

KENTUCKY

Department of Corrections



2019 Annual Report

Letter From The Commissioner



JUSTICE AND PUBLIC SAFETY CABINET

Department of Corrections

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Governor

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Secretary

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Kathleen M. Kenney

Commissioner

Ronnie Bastin

Deputy Secretary

Every area of the Department of Corrections is vital in meeting our overall mission. I would like to take a moment to highlight just a few of our accomplishments in 2019. In the past year, Offender Information Services excelled in reducing their backlog and streamlining their processes. The Reentry Division implemented a new Reentry Mentor Initiative, initiated a partnership with CEOWorks, and conducted 52 expungement sessions throughout the state. Probation and Parole improved many of their office spaces, provided new training opportunities for staff, and introduced a new drug and alcohol testing pilot program. In April, the Addiction Services Division implemented the SOAR (Supporting Others in Active Recovery) program at Northpoint Training Center, which if successful, may be expanded throughout the state.

As you read this annual report, what is most striking is that improvements and innovations are happening all the time throughout each area of the department. It is important to remember that these changes do not take place without the hard work of all of our dedicated employees in our administrative offices, institutions and out in the field.

Without a doubt, our employees are our greatest asset. I have been so impressed with the resiliency, dedication and commitment of all of our staff to the mission of the department. When we work together, there is nothing we cannot do, nothing we cannot achieve. One Team, One Mission.

Sincerely,

Kathleen M. Kenney

DOC Commissioner



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OFFICE OF THE COMMISSIONER



Executive Secretary

Jesse Kirk

Personnel Director

Rodney Moore

Communications Director

Lisa Lamb

Executive Staff Advisor/ ACA Coordinator/ Ombudsman

John Dunn

Commissioner

Kathy Kenney

Office of Legislation and Research

Cyndi Heddleston

Safety Director

Hannah Gibson

Office of Support Services

Hilarye Dailey

Office of Adult Institutions

Randy White

Office of the Commissioner

The Department of Corrections is one of the largest state agencies in Kentucky with almost 4,000 employees and a current budget of \$565M. The Commissioner directly supervises:

Personnel Division

Comprised of three branches: Personnel Management, Payroll, and Recruitment and Staff Development– DOC personnel staff serve our employees in the administration of personnel, payroll and recruitment programs throughout the department.

Communications Office

Public Information officers are responsible for all internal and external communications. They respond to media and open records request, maintain the DOC social media accounts, produce the annual report and facilitate other special projects. Victim advocates work with the victims providing information about their offender such as explanation of inmate release dates and release type; parole eligibility and hearings; and restitution information.

Ombudsman's Office

This office is responsible for responding to all inmate grievances appealed to the Commissioner's Office. They also coordinate all accreditations efforts within the agency.

Legislative Research and Planning focuses on all aspects related to Kentucky's General Assembly including the drafting of statute mandated Corrections Impact Statements.

In addition, all Corrections related research projects and federal reporting requirements are coordinated through this office.

Division of Personnel Services



Rodney Moore

Director

Rodney Moore

Personnel Branch Manger

Tabitha Hobbs

Payroll Branch Manager

Ashley Thomas

Recruitment and Staff Development Branch Manager

Tammy Lou Wright

As director, Rodney Moore oversees the department’s human resource needs, including payroll, personnel and recruitment.

After serving in the Navy, Moore began his DOC career in April 2003 as a correctional officer at NTC. In 2007 he was promoted to sergeant and then to lieutenant at BCC. While in these roles, Moore served as a member of the department’s Corrections Emergency Response Team.

Moore’s career in human resources began in 2009 when he assumed the role of human resources administrator at the Frankfort Career Development Center. In July 2011, upon the closure of the prison, Moore was transferred to human resources specialist III in Central Office. He promoted to human resources administrator in 2012 and then to payroll branch manager in 2013.

During his career Moore has been recognized with numerous awards, including employee of the month and was a 2011 DOC Achievement Award recipient. He is a 2013 graduate of the Commissioner’s Executive Leadership Program. Upon graduating, he served as a mentor and project coach for the program. Moore is also a member of the International Public Management Association for Human Resources and the Kentucky Council on Crime and Delinquency.

Overview

The Division of Personnel Services is comprised of three branches: Personnel Management, Payroll, and Recruitment and Staff Development. These three branches serve over 4,400 employees in the administration of Personnel, Payroll and Recruitment programs throughout the department.

The Division of Personnel Services serves as a catalyst to effective and efficient personnel, payroll, and recruitment services; protect the integrity of the Merit System through the proper enforcement and application of the statutes and regulations; and stay informed of the latest personnel management strategies to establish positive change for the betterment of the department.

Personnel Management Branch

The Personnel Management Branch provides assistance to executive staff, department managers and personnel liaisons by implementing personnel programs and initiatives, providing guidance on complex per-

sonnel issues and represents the department at meetings related to human resources. Division staff also sit on interview panels when requested.

In 2019, the Personnel Management Branch processed over 9,000 personnel actions and requested approximately 1,200 job postings for the department. They also audited over 3,000 employee evaluations to ensure compliance with state regulations, with the assistance of the other branches within the Division of Personnel.

The department implemented a new salary increase for P&P officers, investigators and assistant supervisors within the Division of Probation and Parole. HR staff had to review and pull reports of all employees within the listed titles and determine the new salary each individual would receive. Once the review was completed, reinstatement certificates were submitted for the almost 800 affected employees. Once those came back approved, the reinstatements were entered into KHRIS. Letters were also mailed to each employee with the changes made. This was a major project which required processing of over 1,000 personnel actions to include the reinstatement itself and other miscellaneous actions. This project is still ongoing and will continue into 2020 but at a much smaller volume.

The Personnel Branch experienced several changes in 2019. The branch manager accepted a promotion and a human resource administrator transferred to another cabinet. Both of these changes happened in the same timeframe which left one HR administrator to process all of the Department of Corrections personnel ac-



Division of Personnel Services

tions for over a month. The department was very quick to get started on the register request for the manager position and was able to successfully fill that quickly. Within that same timeframe, the previous human resource administrator transferred back to her previous position within the department. The branch now consists of Tabitha Hobbs, human resource branch manager and two Human Resource Administrators, Ali Whittaker and Heather Burke.

The Personnel Cabinet launched MyPURPOSE, on July 1, 2018, to access training courses, review and apply for job openings, and eventually, for new employee information and for completing performance plans and evaluations. The system presented many challenges to human resource staff across the state. Throughout 2019 several meetings were held with updates and revisions to the system. HR staff had to stay up to date on new changes implemented. Personnel Cabinet also announced in 2019 the new process of how Performance Evaluations are complete. They have created a new process within MyPURPOSE to include all aspects of employee evaluations. The new procedures will begin on January 1, 2020. In preparing for this massive change our HR staff worked closely with all HR contacts to prepare our department to go live on January 1. In doing so several trainings rolled out to include both online and classroom. Our staff worked directly with HR contacts to ensure the department's reports were set up correctly in KHRIS due to this being the primary driver of who would be assigned to administer performance plans and evaluations in

2020. Online trainings were sent out department-wide which required diligent work on our HR staff to ensure everyone completed the trainings by the deadline of December 31st.

Staff continued to be instrumental in establishing new positions across departmental organizational structures with the exponential growth of old and new programs. We work hard to ensure that the agency's needs are being met.

Payroll Branch

The Payroll Branch is responsible for employee pay and benefit administration, employee medical programs (workers compensation benefits, leave sharing, and Family and Medical Leave), retirement and unemployment benefits.

During 2019, the Payroll Branch consisted of Ashley Thomas, human resource branch manager, and two human resource administrators, Faye West and Scott Parritt. Throughout the year, Ashley, Faye, and Scott attended various trainings offered through the Personnel Cabinet. Faye and Scott attended the Personnel Cabinet Symposium in October 2019.

Previously in October 2018, the Payroll Branch led the department in migrating the majority of the DOC's workforce from Kronos to KHRIS self-time entry. The Payroll Branch successfully migrated additional institutional staff from Kronos to KHRIS self-time entry in March 2019. Branches from Green River Correctional Complex, Little Sandy Correctional Complex,



Tabitha Hobbs
Human Resource Branch Manager

Northpoint Training Center and Roederer Correctional Complex participated in the March 2019 migration.

All staff in the Payroll Branch conducted presentations during the annual human resource liaison meeting held in September 2019. Scott Parritt made a presentation on time management and reviewed payroll processes in the KHRIS system. Faye West provided a comprehensive guide and review of the worker's compensation process and covered Kronos updates. Ashley Thomas discussed the Family and Medical Leave Act procedures.

Recruitment and Staff Development Branch

The Recruitment and Staff Development Branch is challenged not only with recruitment efforts but tracking and monitoring personnel-related items that are not specific to employee actions and payroll. This branch tracks written reprimands, employee grievances, and record reasons for staff separations by recording data on exit interviews, track volunteers, review outside employment request, oversee the drug screen analysis results, verify employee educations and prepare new ID cards for all staff in Central Office and the Division of

Division of Personnel Services

Probation and Parole.

The branch continued to battle staff shortages by posting vacancies on websites, attending job fairs, and assisting applicants with applying for positions in the application system MyPURPOSE. This branch started utilizing the number one job website, Indeed, by advertising with a budget to 'sponsor' jobs. This resulted in an increase in applicants in our new application system.

This branch recorded disciplinary actions reflecting 265 disciplinary actions issued. This branch reviewed and approved 75 outside employment forms, created 254 employee I.D. badges for Central Office staff and for the Division of Probation and Parole; monitored over 3,242 employee drug screens; and processed 1,117 education verifications on new employee or staff who received promotions.

This branch employs Charles Travillian, human resource specialist II and Kim Yocom, administrative specialist I. The duties continued by attending job fairs, posting job vacancies each week on various websites, sending out two weekly job announcements, one with promotional jobs and another with competitive listings. Charles Travillian is the computer-based training liaison for the Division of Personnel. He is a member of IMPA and attended the Kentucky Chapter IMPA fall meeting with Branch Manager Wright.

Kim Yocom, administrative assistant, is very instrumental in the day-to-day operations of the personnel office. She performs work for all



Ashley Thomas
Payroll Branch Manager



Tammy Lou Wright
Recruitment & Staff Development
Branch Manager

branches within the division by maintaining employee files, processing incoming and outgoing mail and faxes, entering data into numerous spreadsheets, and answering phones for the building. Ms. Yocom's previous experience in the Personnel Cabinet provided invaluable support to the institutional human resources staff for Intellivue which is the department's electronic employee file system.

Recruitment's Administrative Coordinator Kim Potter-Blair works with the department's veterans to assist them in utilizing their G.I. Bill benefits while on probation in our agency. She also assists interns in obtaining proper approval to intern with our agency and locates their workspaces. She assists with job fairs and all other functions of the Recruitment Branch as well as entering all the department's separation actions for the Human Resources Branch. Mrs. Potter-Blair is a board member for Correctional Peace Officer Foundation (CPOF) and meets quarterly with CPOF.

Wright and Potter-Blair attended Kentucky's EEO Conference.

Highlights

In 2019, the Division of Personnel

provided key services to the department and continued to provide oversight and guidance in the areas of personnel, payroll, benefits, disciplinary and leadership. The division continues to conduct training for managers and supervisors throughout the state.

In June 2019, the Personnel Branch processed nearly 800 salary increases for the Division of Probation and Parole.

In September 2019, the Payroll Branch processed approximately 450 locality premiums for security personnel in Oldham and Shelby counties.

On December 1, 2019, the Personnel Division was re-organized under the Justice and Public Safety Cabinet / Office of Human Resource Management.

All staff within the division continued to seek out professional development opportunities through IPMA-HR, the Office of Diversity and Equality and other venues, including KCCD.

Communications Office



Lisa Lamb

Director

Lisa Lamb

Public Information Officers

- Katherine Williams
- Eric Lemon
- Niki Barnes



Katherine Williams
Public Information Officer



Eric Lemon
Public Information Officer



Niki Barnes
Public Information Officer

Overview

The Communications Office is responsible for external and internal communications for the department. It is the point of contact for all media inquiries: responding to requests for interviews, arranging hearing coverage, and providing official statements. Press releases, including information on promotions, escapes and other newsworthy events, are written and distributed through this office.

In addition to Director Lamb, there are three public information officers in this area. Katherine Williams acts as the secondary media contact and serves as the department’s administrative open records coordinator. Niki Barnes manages the department’s official social media accounts and works on special projects. Eric Lemon creates original and informative videos and graphics for social media and the DOC website.

This office prepares talking points and presentations for the commissioner and her staff, organizes and hosts the DOC Annual Awards Luncheon, and publishes the DOC annual report.

The Communications Office oversees the responsibilities of the Commissioner's Executive Leadership Program as well. Twenty-six participants graduated from the program

in May 2019 and 25 were selected for the 2019-20 class. Additionally, this office coordinates efforts to revisit and implement proposed projects from previous leadership classes.

Lisa Lamb supervises the staff within the Communications Office and the Victim Services Branch.

Highlights

Multiple media trainings were conducted for executive staff in the Division of Probation and Parole, Adult Institutions, and Reentry. Two of three training phases were conducted in 2019, which included in-depth instruction on developing and maintaining positive media relations.

Over 12,000 likes were reached on the department’s Facebook page and the number of followers for the DOC Twitter account increased 20% in 2019.

This office served, on behalf of the department, in organizing and hosting the 2019 CLA Midwest Regional Conference in Louisville. High level executives and leaders in the field visited from multiple states to attend the three day meeting.

For the second consecutive year, this office developed a calendar featuring dogs from several dog programs within the institutions as well as dogs from the Department of Juvenile Justice and the Kentucky State Police.

Lisa Lamb serves as the director of Communications for the Department of Corrections.

A native of Harlan, Lamb brought nearly 20 years of experience working with the media to her position with the DOC. In her current role, she serves as the department’s spokesperson, handling media calls and requests for information and interviews.

Lamb came to Corrections after six years with the Kentucky Press Association where she worked as the news bureau director, member services director and as director of the Kentucky High School Journalism Association. She spent nearly 10 years with the Harlan Daily Enterprise and served as the newspaper’s executive editor.

She is a graduate of Southeast Community College and attended Eastern Kentucky University and the University of Kentucky, majoring in journalism.



Victim Services Branch

Branch Manager

Vacant

Victim Advocates:

Alexis Williams, Program Manager

Kim Crews

John Thorpe

Cristela Scanlon

Overview

The Victim Services Branch (VSB) is the arm of Corrections that handles requests for information from victims pertaining to their offenders. VSB staff provides a broad range of information-related services such as explanation of inmate release dates and release type; restitution information; service referrals; victim rights services and parole board hearings. VSB also manages the Kentucky VINE system, which encompasses three fully functioning victim notification services: Offender status, Court Hearings, and Protective Orders. VSB staff also travels the state to promote and train other criminal justice professionals and victim service agencies regarding the KY VINE Services and VSB functions.

Highlights

VSB former Victim Advocate III Charlotte Ellis retired as a victim advocate with our office. The vacant position was filled with John Thorpe.

VSB staff exhibited at the following conference and training events: Kentucky Association Sexual Assault Programs, Kentucky Victim Assistance Conference, Senior Protection Summit, SAFE Summit, Attorney General Victim Assistance Conference, Attorney General Victim Rights Day, Victim Resource Lunch-



Pictured above from left to right, victim advocates: Alexis Williams, Cristela Scanlon, Kim Crews and John Thorpe.

eon hosted by Fayette County Commonwealth Attorney's Office, DOCJT Sexual Assault training (resource table), Attorney General Skilled Prosecution training, Georgetown Police Department Resource Fair.

Alexis and Cristela attend victim hearings on each Monday to help assist victims with questions or concerns and to offer support. After the hearings, they send out 'Thinking of You' cards to let the victims know that they are still here as a support. They have sent out over 131 cards and have continued to receive thank you letters in return.

Cristela continues to travel the state and visit the 80 jails to ensure that they are properly activating the EOL when the facility has an escape. She has been diligent in stressing the importance of using the system to ensure that victims are notified in a timely matter. She has been asked by numerous incoming jailers to revisit their jails and train their staff on how to activate the system. Cristela continues to sit on the committee for KVAA

(Kentucky Victim Assistance Academy), assisting with getting the academy ready with trainings for new and seasoned victim advocates. She also continues to be a part of and sit on the Sexual Assault Response Team Advisory Council (SART AC).

Alexis continues to sit on the KVAA committee. She also continues to be a part of and sit on Sexual Assault Response Team Advisory Council (SART AC).

The Victim Services Branch wrote another grant for travel and training and was awarded VOCA funding for the 2019-2020 award year for \$19,625.00. VSB victim advocates are excited to continue to become better trained advocates so that we can be of more assistance to the victims we serve now and continue to service throughout the Commonwealth of Kentucky.

Advocates Kim Crews and John Thorpe continued their work of making manual notifications of offenders' upcoming parole hearings to victims. They mailed 3,089 initial notification letters on offenders who are

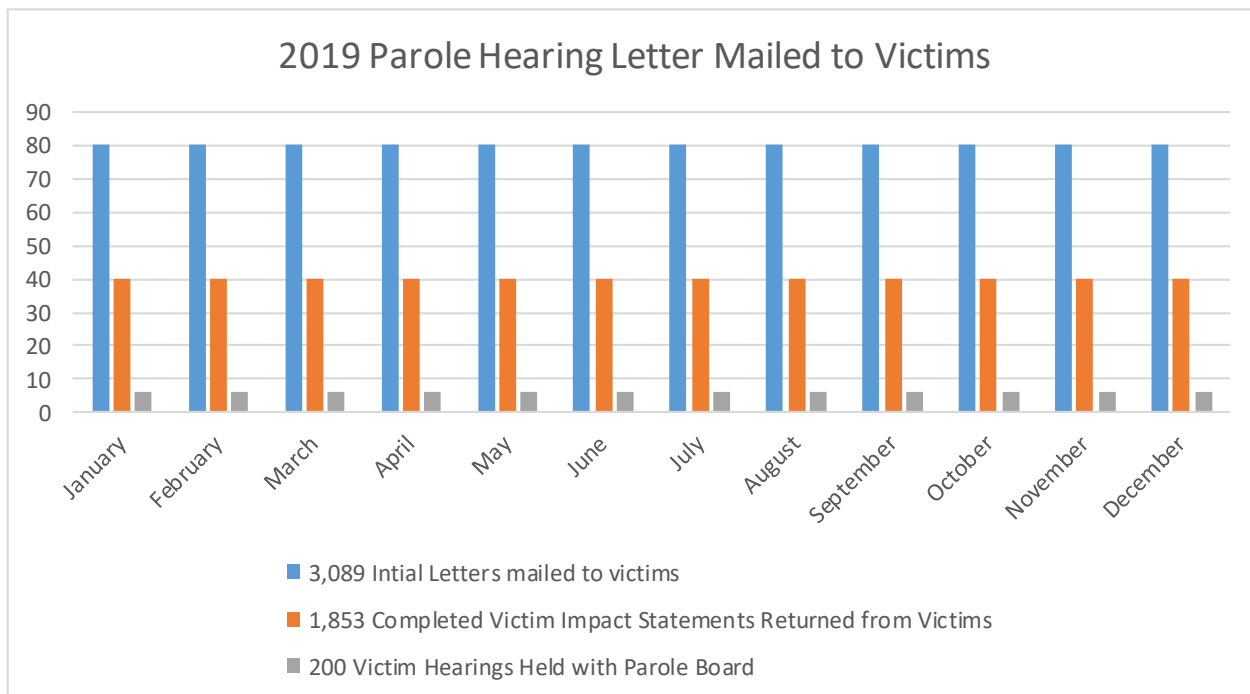
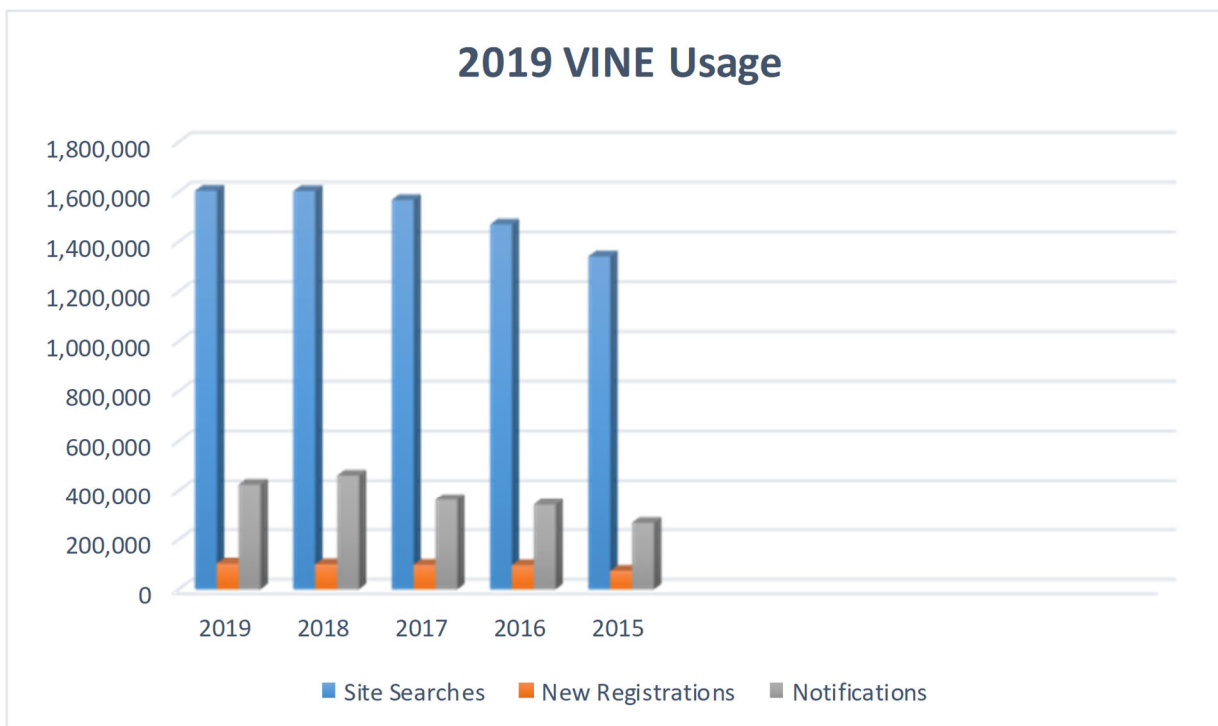
Victim Services Branch

serving on crimes defined in KRS 421.500. Of those initial letters, 1,853 victims provided a response to include a victim impact statement for the Parole Board to review. Of those responses, 200 requested a victim hearing with the Parole Board.

These numbers do not account for the parole outcome letters that were sent to victims on the Parole Boards' decision based on the victim's request.

During the year of 2019, VINE saw an increase in the number of site

searches and acquired 104,574 new registrations. The total number of notifications for 2019 for all three VINE services was 449,936. Total VINE searches for the year 2019 totaled to 1,604,238. Of this total, 260,826 searches were conducted via VINE mobile apps.



Ombudsman Office



John Dunn

Ombudsman

John Dunn

Administrative Specialist III

Julie Bowles

John Dunn serves as the ombudsman for the Department of Corrections and oversees the grievance process throughout the state.

Dunn began his corrections career in April 1993. His first DOC position was at Northpoint Training Center as a correctional officer.

In 1999 he was promoted to a classification and treatment officer I at Northpoint, and two years later was promoted to an administrative specialist III position at the prison. In 2004 he was promoted to a classification and treatment officer II at Frankfort Career and Development Center. In January 2006 Dunn was promoted to a program administrator at Central Office, supervising the home incarceration program as well as the reentry program. He held this position until September of that year when he was named as ombudsman.

Dunn also served 21 years in the National Guard and retired in February 2006 after achieving the rank of major.

Dunn is a 1990 graduate of the University of Kentucky where he received his bachelor’s degree in history.

He is a member of the American Correctional Association, Correctional Accreditation Managers’ Association and the National Guard Association.

Ombudsman/Accreditation

This office oversaw sixteen internal audits and six ACA audits during 2019. Each institution or department audited by ACA received a score greater than 99.4%. Four of the institutions and divisions achieved scores of 100%. These remain some of the highest scores in the country and reflects the dedication and commitment of the department to accreditation. In 2019, approximately 816 grievances were researched and responded to by the Commissioner’s Office with approximately 8% ruled in favor of offenders. This is an excellent percentage among correctional systems. The total number of grievances filed throughout the department number 8,856. This office also processed more than 1,030 letters received at Central Office and distributed them across the department. This office also continues the process of converting to an electronic grievance system. In April 2019, this office trained the staff and the new grievance coordinator at Lee

Adjustment Center to bring our private prison in line with our grievance policy and procedures. In January of 2019, this office conducted training for wardens and accreditation managers on the new 5th Edition ACI standards concerning Restrictive Housing and Mental health inmates from ACA. This office also, with the assistance of the department’s policy staff member, revised several policies to bring them in line with the ACI 5th edition standards. This will have a major impact on the adult institutions and how we manage our RHU inmates.



Office of Legislation and Research



Cyndi Heddleston

Legislation and Research

Cyndi Heddleston

Cyndi Heddleston has served as the department's legislative liaison in Central Office since 2016. She began her career in corrections in 2003 as a probation and parole officer in Fayette County. In 2009, she was promoted to accreditation manager for the Division of Probation and Parole in Central Office, a position she held immediately prior to her current one.

Legislative Research

The department's Legislative Liaison position serves as the department's representative on the Justice & Public Safety Cabinet's legislative team. Kentucky statute requires the Department of Corrections to provide a fiscal impact on any legislative bill that potentially impacts the number of criminal offenses or the cost of incarceration, both of which would directly affect the Department of Corrections' budget.

During the legislative session in 2019, the department completed over 115 corrections impact statements for the Legislative Research Com-

mission. Once the legislative session is over, the department is responsible for implementing changes to law impacting the department. In 2019, the department saw several vital bills passed. Senate Bill (SB) 157 prohibited drones from flying over correctional facilities in Kentucky and added drones and any payload it may carry to the contraband statute. House Bill (HB) 299 updated the statute which authorizes the awarding of sentence credits for inmates and authorized sentence credits for approved promising practice or life skills programs. HB 189 established Parole Board graduated sanctions, where the Parole Board can issue a sanction for treatment, etc., for up to nine months in lieu of revocation. The 2019 legislative session also saw passage of legislation relating to expungement, relief on child support obligation for incarcerated offenders, and enhanced penalties for DUI, along with various other legislation showing new or enhanced criminal penalties.

In addition, the department received and responded to over 140 legislative inquiries in 2019. This office also assists with federal and state reporting requirements, including annual reports as required by Kentucky Revised Statute.

The Research Office also oversees research projects the department is engaged in. This area coordinated 18 research proposals and approximately 50 requests for data during 2019. Currently the department has ongoing research projects with the University of Louisville, the Uni-

versity of Kentucky, Eastern Kentucky University, and Florida State University. The department is engaged in research projects in the areas of substance abuse treatment outcomes, opioid use disorder, medicated assisted treatment, the aging prison population, staff wellness and post-traumatic stress, and reentry programming.

Kentucky State Corrections Commission

The Department of Corrections provides administrative support for the Kentucky State Corrections Commission. The commission is comprised of 23 members appointed by the Governor. The Department of Corrections administers the Kentucky State Corrections Commission grants for the Justice and Public Safety Cabinet. Local Community Corrections Boards are able to receive funding to utilize alternatives to incarceration. Ten grantees received funding for FY20, for a total disbursement of \$625,000.00. Grant programs include electronic monitoring, drug testing, and mental health court.

For Department of Corrections, Commissioner Kathleen Kenney serves as the Commission Co-Chair. The Deputy Commissioner of Community Services & Facilities, Deputy Commissioner of Adult Institutions Randy White, and Director of Parole and Victim Services Angela Tolley serve on the Commission.

Safety Division



Hannah Gibson

Director

Hannah Gibson

Hannah Gibson began her career with the Department of Corrections in 2010 as a probation and parole officer in District 17. There she served as a safety officer, performing monthly safety inspections for the district. In 2015 Gibson promoted to a program administrator in Central Office for the Reentry Branch. In late 2016 she transferred to the Division of Probation and Parole, where she assumed the position of ACA accreditation manager and division safety coordinator. Since June 2018 she has served as the acting safety director for the department. She was named Director in October 2018.

Overview

The Kentucky Department of Corrections (KDOC) established the Safety Division in 2017. The KDOC recognizes that the correctional field presents a unique occupational safety and health challenges. All staff within Corrections have the potential to face threats of workplace violence from individuals who are under supervision or incarcerated. There are

other hazards to be cognizant and control against as well; such as fire hazards, use of hazardous chemicals, and operations of various trades including electricians, welders, plumbers, and construction projects.

A great safety culture can be the product of increased staff morale, productivity, and reduced injuries. Therefore, it is recognized that staff are the greatest asset to the KDOC. The KDOC is committed to protecting all staff from recognized safety and health hazards, as is required by law. Safety also represents part of the KDOC's emphasis on comprehensive staff wellness.

Responsibilities of the Safety Division include composing safety policy and procedure, written compliance programs, safety training delivery, facility inspections, and fire protection coordination. The Safety

Division is in the process of elevating departmental safety practices to a new level of compliance with occupational health and safety standards.

Highlights

Some notable 2019 achievements were the expansion of respiratory protection, improved training on fire suppression inspections, development of Arc Flash training for safety and maintenance staff, implementation for Site Safety Manuals within Kentucky Correctional Industries (KCI), additions to the KCI audit tool to include safe best practices, full scale execution of the lock out/tag out and confined space entry programs, and more. The Safety Division is a support function of the entire KDOC which allows for open communication of safety trends, needs, and accomplishments.

Pictured at right: Confined Space Rescue Training.

Pictured below: KYDOC Safety Team.



OFFICE OF SUPPORT SERVICES



Deputy Commissioner

Hilarye Dailey

Information Services Director

Amanda Sayle

Offender Information Services

Bill Mustage, Branch Manager

Administrative Services Director

Pat Sudduth

Training Director

Bridget Gilliland

Parole and Victim Services Director

Angela Tolley

Population Management Director

Kieryn Fannin

A 16-year veteran of the agency, Dailey began her career with the Department of Corrections in 2003 at Blackburn Correctional Complex as the fiscal manager for the prison. She held that role until accepting the position as fiscal branch manager in the department's Central Office in 2007. She was promoted to assistant director of Administrative Services in 2010.

Dailey received her bachelor's degree in business administration from the University of Kentucky and her master's degree in business administration from Morehead State University. She is a 2009 graduate of the Commissioner's Executive Leadership program. As deputy commissioner of the Office of Support Services, Hilarye Dailey oversees the Administrative Services Division, Corrections Training Division, Division of Parole and Victim Services, Division of Population Management, Information and Technology Branch, and the Offender Information Services Branch. Prior to her appointment to deputy commissioner in 2018 she served as the director of Administrative Services since July 2015 and managed the department's complex budget.

Information Services



Amanda Sayle

Director

Amanda Sayle

Information Systems Manager

Beth Moore

Offender Information Branch Manger

Bill Mustage

Sayle began her career with the department in August 1999 as an offender information specialist for the OIS Branch at Central Office. In 2001, she was promoted to offender information supervisor and then in 2003 to administrative specialist III.

In 2005, Sayle was promoted to offender information administrator. In 2008, Sayle transferred to the Information Technology Branch as a systems analyst II. In 2010 she was promoted to systems analyst III, in 2015 to an information systems supervisor, and again in 2017 to information systems manager. In November Sayle was promoted to her current position as director of Information Services, overseeing the department's Information Technology and Offender Information Services (OIS) branches.

Sayle received a Commissioner's Award in 2000 and in 2018 she was a co-recipient of the Deputy Com-

missioner's Award for Support Services. She is a graduate of the 2009 Commissioner's Executive Leadership Program and has been an active member of Kentucky Council on Crime and Delinquency (KCCD), including serving as president of the Capital Chapter for KCCD.

Information Technology Branch

The Information and Technology (IT) branch supports and maintains the systems that which driven by the business needs of the department.

IT Branch supports our Kentucky Offender Management System (KOMS), websites (Justice Cabinet, Corrections, KY Correctional Industries and Parole Board), custom applications and serves as liaisons for outside applications.

The Information and Technology Branch is persistent in its efforts for continued growth in providing solutions and services to our users by using resources available and seeking additional resources from other state agencies.

The Branch provides ad-hoc reporting and data analytics to users in the field and outside researchers. We work with other agencies to provide information to our users in order to support their business needs.

Highlights

In April of 2019, required enhancements were implemented in the Kentucky Offender Management System (KOMS), to accommodate Security Threat Group enhancements, the SOAR program, SAP



Beth Moore

Information Systems Manager

Phase 1, and GIS Mapping for Luther Lockett Correctional Complex. The new legislation in House Bills 189 and 299 Phase I of the project involving enhancements for Parole Board Sanctions and Programming Credits.

In July of 2019, enhancements to the Admission Summary were added to the Kentucky Offender Management System to enhance tracking for pending calculations and work assigned and completed.

In October/November of 2019, SAP Phase II enhancements and the KOMS Intake Application were implemented in the Kentucky Offender Management System (KOMS).

In December of 2019, the final changes for HB 189 Phase 2 for Parole Board Sanctions were implemented in the Kentucky Offender Management System.

Offender Information Branch

The primary responsibility of the Offender Information Services Branch is to ensure accurate sentence calculations and sentence expiration dates for the state inmate population as well as the offender population serving on mandatory reentry supervision, parole supervision and sex offender post incarceration supervision.

Information Services

Additionally, Offender Information Services is responsible for fielding questions from the court system, law enforcement agencies and the public, responding to correspondence from the inmate population and processing open records requests for documents contained in inmate files.

In 2019, the state inmate population averaged just over 24,000 offenders. Additionally, Offender Information Services oversees the calculations for an additional 16,581 offenders on supervision. The Offender Information Services Branch is made up of sections that specialize in subject matter in order to provide services to these populations effectively. These sections include Sentence Calculation, Sentence Credit, Parole Review, Jail Management and the Community Placement Office. The branch also has staff assigned in the phone/mail room and in the open records office.

The sentence calculation section provides quality assurance by conducting an internal audit for each inmate's sentence calculation. This ensures all sentences are consistent with the courts sentencing order and are in compliance with Kentucky Revised Statutes.

The sentence credit section provides subject matter expertise in relation to credits that incarcerated inmates and offenders on supervision are eligible to earn. The staff in this section provide quality assurance by ensuring applied credits are compliant with Corrections Policy and Procedure and with Kentucky Revised Statutes.

The parole review section provides a variety of services that relate to su-

pervision. These services include the preparation of discharge certificates when an offender satisfies a sentence while on supervision; the calculation and application of parole supervision credit when an offender returns to custody because of a violation of supervision; review of inmate files prior to a parole hearing, and reviewing inmates for release to mandatory reentry supervision.

The Jail Management section serves as the institutional records office for all state inmates housed in county jails and community service centers. They perform all of the routine records processes including detainer documentation and release notifications for each of the approximately 12,000 inmates housed in county jails and halfway houses.

The Community Placement section is responsible for bridging the gap for inmates being released from incarceration to supervision. Staff in this section work closely with the Division of Probation and Parole, Division of Substance Abuse and each institution to ensure offenders are released in a manner that supports their needs for treatment and in a position that maximizes their potential for success while on supervision.

Highlights

In 2019, Offender Information Services continued to streamline processes, advance training of staff and update daily reports seeking defined structure of the branch. The backlog of pending sentence calculations was reduced from over 5,000 to just

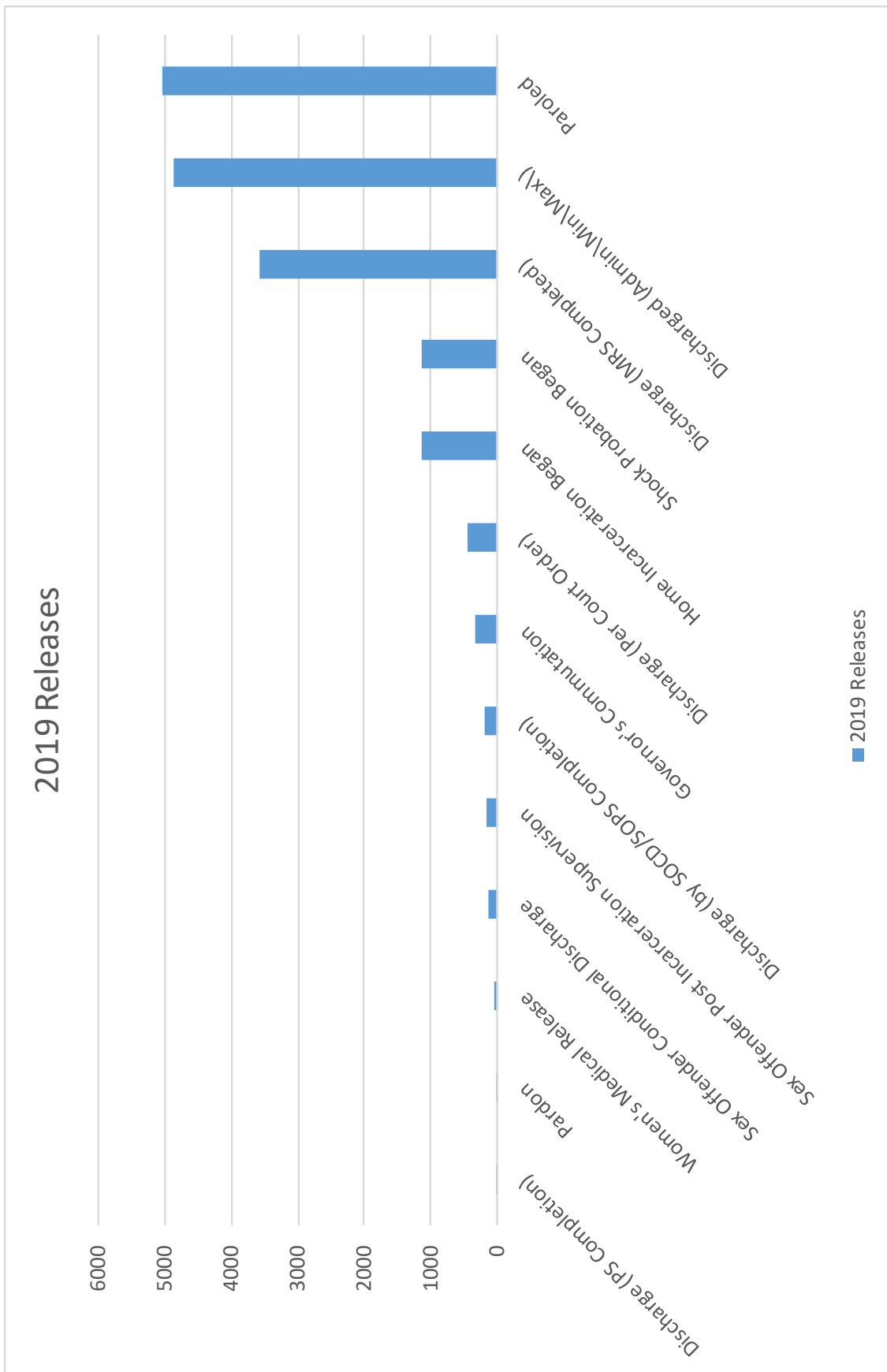


*Bill Mustage
Offender Information Branch Manager*

over 500 by year-end.

In 2019, additional organizational changes occurred with the creation of a new section for Complex Calculations. We created a new supervisor position and promoted long-term OIS employee Beverly Chism. In addition, we also added 3 OIS Specialist positions to staff the newly created section.

Throughout 2019, Offender Information Services reviewed and approved over 17,000 inmate releases. This includes 360 pardons and commutations of sentences issued by Governor Bevin in December 2019.



Division of Administrative Services



Pat Sudduth

Director

Pat Sudduth

Assistant Director

Mike McKinney

Fiscal Branch Manager

Vacant

Pat Sudduth has served as assistant director of the division since 2016. She began her career with the Department of Corrections in 1997 as a secretary chief. In 2001 she was promoted to administrative specialist III in the fiscal branch. In 2005 Sudduth was promoted to internal policy analyst II and a year later was promoted to internal policy analyst III. In 2012 she was promoted to branch manager of the Division of Administrative Services.

Sudduth was a recipient of a 2002 Commissioner's Award for Central Office and the 2009 Deputy Commissioner's Award.

In October Sudduth was named director the department's Division of Administrative Services.

Overview

Administrative Services provides re-

source support to the department and staff in a vast array of services including budget, financial management, accounting, fixed asset management, insurance, fleet, procurement and internal financial audits. The division processes accounts payable, accounts receivable and procurement documents, including travel vouchers for over 750 Probation and Parole staff and Central Office on a monthly basis. The Division processed over 10,000 eMARS documents by the end of December 2019; all while maintaining an average of six vacancies.

The procurement section managed and issued over 135 contracts for the department. This included new contracts, contract extensions, Memorandums of Understanding, Memorandums of Agreement, and service contracts. We had two procurement positions vacant for a large part of the year; however, a new branch manager over procurement begins January 1, 2020. In addition, procurement staff worked directly with the Finance Cabinet's Office of Procurement Services to procure goods and services that exceed the small purchase authority or qualify as Master Agreements. The number of contracts and procurement actions managed by this section has increased by nearly 60 percent in the last several years.

The department retained its \$20,000 small purchase authority granted by the Finance and Administration Cabinet. Procurement staff completed required procurement courses in order to maintain the small purchase authority.



Mike McKinney
Assistant Director

With the implementation of the new accounting system in FY18, we transitioned to paperless travel voucher payments. To maintain internal controls, the majority of eMARS documents generated at the institution level route to the Division of Administrative Services for final eMARS approval. This greatly increased the division's workload, but in greater compliance with Finance Administration Policies.

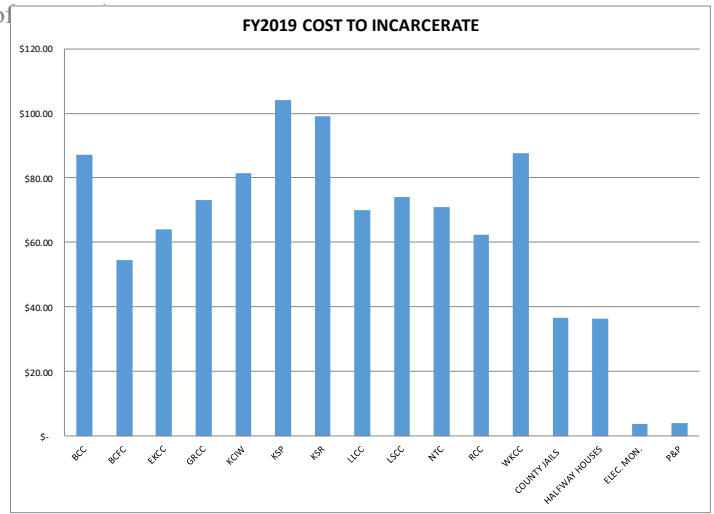
The Division of Administrative Services successfully implemented the FY19 Budget while actively ensuring funds were available to sustain the operational requirements of the department. The department received a \$14,915,900 Necessary Government Expense due to the growth in inmate population and overtime costs stemming from staff shortages in Adult Institutions.

The division is scheduled a fiscal managers meeting in October 2019 to provide training to all fiscal managers. They have implemented conference calls with fiscal managers and their staff to increase continuity on documents processed department-wide.

Division of Administrative Services

Pictured to the right: FY 2019 Cost to incarcerate per institution, county jails, halfway houses, electronic monitoring and probation and parole.

Pictured to the bottom: FY 2019 chart of cost to incarcerate and per diem.



	*CTI Per Day	*CTI Per Annum	**Per Diem (Male)	**Per Diem (Female)
KY DOC Adult Institutions				
BCC	\$ 87.20	\$ 31,826.66		
BCFC	\$ 54.39	\$ 19,852.54		
EKCC	\$ 64.12	\$ 23,403.28		
GRCC	\$ 73.03	\$ 26,656.57		
KCIW	\$ 81.50	\$ 29,746.40		
KSP	\$ 104.06	\$ 37,980.27		
KSR	\$ 99.09	\$ 36,168.67		
LLCC	\$ 69.87	\$ 25,503.58		
LSCC	\$ 73.99	\$ 27,006.33		
NTC	\$ 70.84	\$ 25,857.94		
RCC	\$ 62.26	\$ 22,723.15		
WKCC	\$ 87.64	\$ 31,989.34		
Private Prisons	\$ 62.32	\$ 22,745.77		
MAC				
Marion Adjustment Center (Medium)				\$ -
Marion Adjustment Center (Minimum)				\$ -
Other Averages				
Total State Facility Average Cost	\$ 75.91	\$ 27,708.27		
Private Prison Average				
Total Adult Institutions Average Cost				
Total State Inmate Average Cost	\$ 56.12			
COUNTY JAILS	\$ 36.70		\$ 31.34	\$ 31.34
County Jails with SAP (State Inmates)	\$ 45.70		\$ 40.34	\$ 40.34
HALFWAY HOUSES	\$ 36.47		\$ 31.61	\$ 32.64
***Halfway Houses - Probationer/Parolee Beds & Recovery Ky.	\$ 33.64		\$27.62/ \$31.61	\$27.62/\$32.64
ELEC. MON.	\$ 3.73			
P&P	\$ 3.88			
State Institution Average Female	\$ 81.50			
State Institution Average Medium non medical (male)	\$ 69.02			
State Institution Average Medical (male and female)	\$ 89.26			
Average Medical Cost per Inmate, Adult Institutions	\$ 15.01			
Average Substance Abuse Cost per Inmate, Institutions	\$ 8.34			

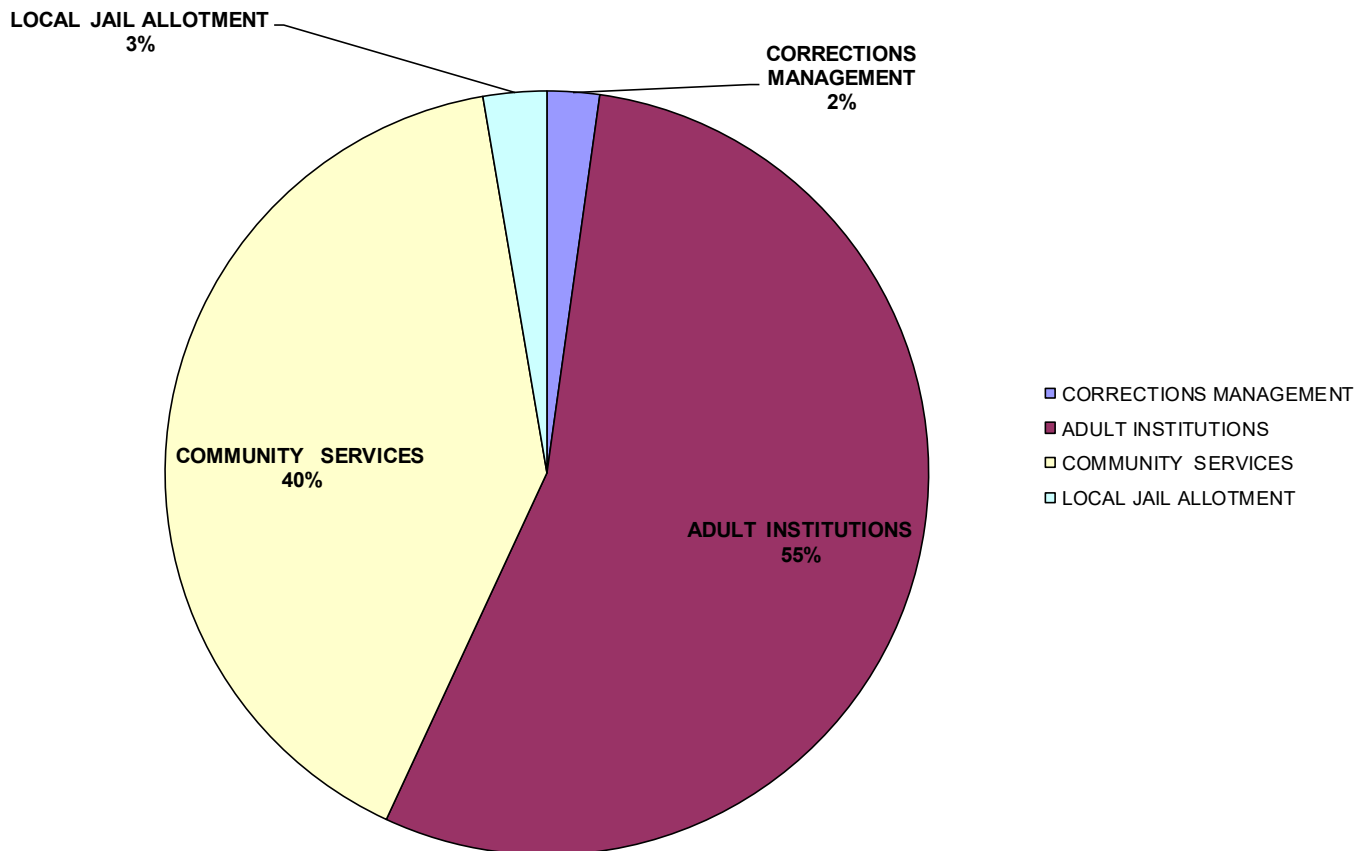


Division of Administrative Services

2019 Expenditures

	CORRECTIONS MANAGEMENT	ADULT INSTITUTIONS	COMMUNITY SERVICES	LOCAL JAIL ALLOTMENT	TOTAL
PERSONNEL	\$ 11,975,914	\$ 199,144,517	\$ 59,948,976	\$ 41,700	\$ 271,111,107
OPERATING	\$ 1,760,199	\$ 55,420,915	\$ 11,684,162	\$ 268,300	\$ 69,133,575
CARE & SUPPORT	\$ 8,870	\$ 83,928,125	\$ 179,116,804	\$ 16,340,812	\$ 279,394,610
DEBT SERVICES		\$ 149,000			
CAPITAL OUTLAY		\$ 784,124	\$ 35,284		\$ 819,407
TOTAL	\$ 13,744,982	\$ 339,426,682	\$ 250,785,224	\$ 16,650,812	\$ 620,458,700

DOC FY19 BUDGET



Division of Corrections Training



Bridget Gilliland

Director

Bridget Gilliland

Central Region Training Center

Branch Manager

John Harrison

Southern Region Training Center

Branch Manager

Vacant

Eastern Region Training Center

Branch Manager

Donnie McGraw

Western Region Training Center

Branch Manger

Mike Roe

ACA Accreditation Manger

Melissa Wright

Curriculum Branch Administrator

Jessica Johnson

Gilliland began her career with the department in April 1998 as a correctional officer at Northpoint Training Center (NTC). She worked her way up through the ranks serving as a correctional sergeant, lieutenant, administrative captain, senior captain, and unit II administrator. She

also held the position of administrative captain at Blackburn Correctional Complex.

During her career, Gilliland has provided assistance with training in multiple areas for the department including basic academy. She has served on the NTC honor guard since 1999 and has also been a member of the Corrections Emergency Response Team (CERT) throughout her career. In 2015, she was named the statewide CERT commander.

Gilliland has received numerous awards during her career for outstanding performance, including the agency's top award, a Commissioner's Award, in 2015. She is a graduate of the 2006 and 2011 Commissioner's Executive Leadership Program.

Gilliland maintains an office in Danville within the Southern Region Training Center (SRTC), as well as



Pictured above: Eastern Region Training Center



Pictured above: Central Region Training Center

LaGrange, within the Central Region Training Center (CRTC). This office provides administrative supervision of all Department of Corrections Training (DCT) operations.

Overview

The Division of Corrections Training is charged with planning, coordinating and conducting training for adult institutions, jailers and DOC Central Office.

The DCT develops training curriculum for the correctional officers, uniformed supervisors, and non security staff of the 12 Kentucky institutions, and CoreCivic (private prison). The DCT also develops curriculum for Central Office, Kentucky Correctional Industries and annual training for the elected Jailers and their staff within all 120 counties.

The DCT successfully went through their annual Program Security Review (PSR), in November.

Highlights

During 2019, computer based training (CBT) offered to the Department



Division of Corrections Training



*John Harrison
CRTC
Branch Manager*



*Donnie McGraw
ERTC
Branch Manager*



*Mike Roe
WRTC
Branch Manager*

of Corrections (DOC) staff was updated and redesigned. The Institutional Pre-Service Academy (IPSA) was also updated and non-security staff now receive a total of 80 hours training, instead of the previously required 56 hours. Adult Institution In-Service (AIIS) Training curriculum was updated as well.

Instructors from each of the four Regional Training Centers were recertified as Nova Remote Access Custody Control (RACC) Belt instructors, and RACC Belt certification training was added to Week 3 of IPSA.

Regional Training Centers received protective vests, which are now required for all individuals when on the firing range.

The Curriculum section of the Division of Corrections Training (DCT) was instrumental in training development within numerous areas of the DOC, including Probation and Parole, Adult Institutions, Divisions of Reentry, Addiction, and Local Facilities, as well as Kentucky Corrections Industries. Curriculum for Central Office staff was updated, and section supervisors established as a means to ensure compliance

with the completion of required training hours.

The Program and Security Review (PSR) of the DCT conducted November 19-20, 2019. The goal of the PSR audit team was to conduct a thorough review of the DCT. The vast majority of observations and conclusions were very positive. The staff of the DCT serve as an impressive example of the professionalism and dedication typified by employees of the Kentucky DOC. The DCT is scheduled for an ACA re-accreditation audit in October 2020.



*Pictured to the left: Southern Region
Training Center*



*Pictured to the right: Western Region
Training Center*

Division of Parole and Victim Services



Angela Tolley

Director

Angela Tolley

Tolley began her career in 1983 with the Department of Corrections as a correctional officer at Northpoint Training Center. During her time with state government she has established a repertoire of experience through positions such as a classification and treatment officer in the institution and a probation and parole officer in Lexington. She served as the deputy compact administrator for probation and parole before retiring in July 2011 after 27 years of service. Tolley returned to state government in 2014 as an administrative assistant with the Department of Corrections before transferring to the Office of Inspector General as a Medicaid fraud special investigator. In addition to her time in Corrections, she has also worked with adults with disabilities and as a social worker who investigated reports of child abuse and neglect for the Cabinet for Families and Children.

While with the Department of Corrections, Tolley was instrumental in ensuring Kentucky's compliance with the newly structured Interstate Commission for Adult Offender Su-

pervision and organizing Kentucky's parole-to-hold process. She established a network of camaraderie with interstate compact and state prison staff across the United States, as well as staff with the Federal Bureau of Prisons. From 2012 to 2016 she was appointed to the Kentucky Council for Interstate Adult Offender Supervision.

Graduating from Eastern Kentucky University in 1980, Tolley received a bachelor's degree in rehabilitation counseling with an emphasis in adult Corrections. She has received numerous awards during her career for outstanding performance, including a Commissioner's Award in 2002 and 2007.

Overview

The Division of Parole and Victim Services is responsible for completing all administrative duties of the Kentucky Parole Board as determined by policies, statutes and regulations. The division was recently reorganized and now consists of four sections: Operations, Risk Assessment, Revocations and the newly added division, Hearings.

We continue to work closely with Victim Services staff members who are responsible for victim and prosecutor notification regarding parole as required by Kentucky statute KRS 439.340. They notify victims of upcoming parole eligibility hearings and coordinate victim impact hearings where they can voice their opinions and concerns with the Kentucky Parole Board members. They respond to questions, process impact

statements, and provide hearing outcomes to the victims. They communicate with the Commonwealth Attorney offices throughout the state and offer them an opportunity to participate in the victim hearing process, whether they wish to represent the state at a victim impact hearing, or they encourage unregistered victims to have a voice in the process.

The Division of Parole Services works with the Administrative Law Judges (ALJs) who preside over our Parole Revocation Probable Cause Hearing process. These staff attorneys were formerly under Parole Services but are currently reporting directly to the Office of Legal Services.

Operations is responsible for incoming calls and correspondence, the processing of all requests for parole reconsideration, and ensures that open audio requests are responded to promptly. Operations provide clerical support during the parole eligibility and revocation hearings, enters all of the Parole Board's decisions, and provide general administrative support. Operations create and processes the weekly docket, compiles and maintains the monthly parole eligibility lists and create the monthly and weekly calendars. Operations facilitates all video conference hearings. The program administrator, in addition to managing staff, is also responsible for writing and updating all Parole Board policy, procedures and regulations.

The Risk Assessment Section is responsible for compiling Parole Guidelines for Risk and Need As-

assessments as required by KRS 439.331. This is an objective and validated tool utilized by the Parole Board since 2003 when making release decisions. All offenders seen by the Parole Board on parole eligibility lists are required to have a completed assessment. The program administrator, in addition to training staff, is also responsible for keeping the assessment manual updated.

The newly formed Hearing Section is responsible for scheduling all Probable Cause Hearings (Phase one) and all Final Revocation Hearings (Phase two) between the Administrative Law Judges and Defense Attorneys. The Hearings section process all Administrative Law Judge decisions, reviews the decision for accuracy, uploads the decision into KOMS and provides a copy of the decision to the appropriate parties. This section is also responsible for any parole violation warrants request as a result of the ALJ decision. Since July 2019, the Program Administrator is responsible for the Parole Board Sanction caseload that currently consist of 50 parolees and is also responsible for all Open Record request for Audio tapes of ALJ hearings.

The Revocation Section is responsible for issuing and processing supervision revocation warrants, processing and rescinding warrants as requested. The Revocation Section reviews all hearing waivers for accuracy, provides and prepare parole violator packets for the Parole Board to use during the final parole revocation hearings. The Program Administrator, in addition to managing staff, is responsible for scheduling all rev-

ocation hearings facilitated by the Parole Board members, scheduling all Final Disposition Hearings and all Parole Revocation File Reviews.

Highlights

On June 13, 2019, the Kentucky Supreme Court rendered an opinion in the Jones vs. Bailey case regarding the parole revocation process. The Court found that the current process violated due process therefore, the entire revocation process was revised which resulted in policy changes, amended regulations, hiring of new staff and the creation of new revocation forms. This new process began on October 24, 2019.

House Bill 189 went into effect on 06/27/2019. This created a supervision continuation option to be applied by the Parole Board at the final revocation hearing. This Parole Board Sanction (PBS) provides for the return of sanctioned individuals to a jail, prison, reentry service center or inpatient treatment facilities without revocation of supervision. The sanction can be up to nine months to complete one of the following events: Substance Abuse Treatment (SAP), Moral Reconciliation Therapy (MRT) or Detention.

In October 2019, the Parole Board initiated a parole review process called the Consent Docket. This is an expedited initial parole review process for Class D offenders who have a level two or lower risk needs assessment score, no disciplinary in the last two years that is higher than Category 3-11 or Category 4 or above, no assaultive conviction

within the last two years of review, no class A,B, or C detainer, no revocations within the last six months, current crime does not involve exploitation of a vulnerable population (elderly, disabled, child).

There were four new appointments to the Kentucky Parole Board in 2019. The program director organized the interview process, and coordinated the training for these new parole board members and their swearing in ceremony.

As of December 31, 2019, this division processed 20,781 Parole Board decisions, compiled 15,968 risk and needs assessments, issued 5,811 revocation warrants and processed 3,661, parole revocation waiver hearing requests while also scheduling 200 victim hearings, 14 Youthful Offender hearings, 692 parole reconsideration requests, 15 emergency medical parole reviews, and 31 Reentry Drug Supervision parole reviews. In lieu of parole revocation, the Parole Board rescinded 1,412 parole violation warrants for parolees who agreed to participate in discretionary sanctions as recommended by the parole officer staff and in lieu of parole revocation the Parole Board imposed 55 Parole Board sanctions.

Division of Classification/ Population Management



Kiernyn Fannin

Director

Kiernyn Fannin

Program Administrators

Jennifer Hogue

Alan Long

Jonathan Rooney

Amanda Scott

Lisa Teague

Jennifer Tracy

Kylor Wilson

Administrative Specialist

Geneva Bogue

Cindy Adams

Classification & Treatment Officer

Connie Taylor

Fannin began her career with the Department of Corrections in 2000 as a classification and treatment officer in the Assessment Center at Roederer Correctional Complex. In April 2005, she was promoted to Central Office as a program administrator in the Classification Branch, where she was responsible for reviewing transfers to ensure inmates were assigned to appropriate custody levels and recommended to appropriate facilities.

In February 2013, Fannin began working as a liaison with the Sub-

stance Abuse Program. She was tasked with monitoring the Substance Abuse Program waiting list and coordinating movement into available treatment beds.

In July 2013, she was named executive staff advisor for the Division of Substance Abuse Services. In this role, she was instrumental in the department's development and implementation of Senate Bill 192, legislation pertaining to the heroin epidemic in Kentucky. During her tenure, Fannin created the Medically Assisted Treatment protocol currently utilized by the department. In addition, she developed a statistical review process that allows the division to more accurately project the need for expanded substance abuse programming.

In 2016 Fannin was promoted to director of the Division of Population Management.

Fannin is a 2007 and 2012 graduate of the Commissioner's Executive Leadership Program as well as the recipient of many awards, including the agency's top award, a Commissioner's Award, in 2015.

Overview

The Population Management Division/Classification Branch is responsible for overseeing classification actions in all state institutions, contract facilities, and full-service county jails and for monitoring the Assessment/Classification Centers at Roederer Correctional Complex, Kentucky Correctional Institution for Women, and Ross Cash Center. This division is also responsible for

monitoring the population of these facilities for appropriate use of bed space.

Inmate custody levels are determined through an objective based risk instrument that was developed with the assistance of the National Institute of Corrections (NIC). The classification manual is reviewed and updated in accordance with recommendations from validity and reliability studies.

Division staff are responsible for reviewing all inmate transfer and furlough requests, jail disciplinary reports, jail detainees, jail additional sentences and requests for priority security admission.

Training is conducted annually for all adult institution classification staff to address any classification issues and changes in policy, procedure or statute. The division also implements and updates policies to ensure compliance with changing statutes and system changes.

The division monitors the population of all state institutions, contract facilities and county jails. When necessary, movement is directed by division staff in order to alleviate overcrowding in county jails.

The division director oversees the operation of the Assessment Centers, currently located at the Roederer Correctional Complex, Kentucky Correctional Institution for Women, Ross-Cash Center and directs admissions / transfers statewide.

Highlights

Annual classification training was held at regional locations for case

Division of Population Management

management staff. This one-day training was presented six times. Basic classification training was held three times at regional locations for new classification staff.

The Division of Population Management has continued to conduct all protective custody reviews. This has resulted in maintaining a reduced number of protective custody inmates at the Kentucky State Penitentiary.

As a result of exorbitant utility costs and failing infrastructure, Dorm 4 at Blackburn Correctional Complex was closed. Additional reduced cus-

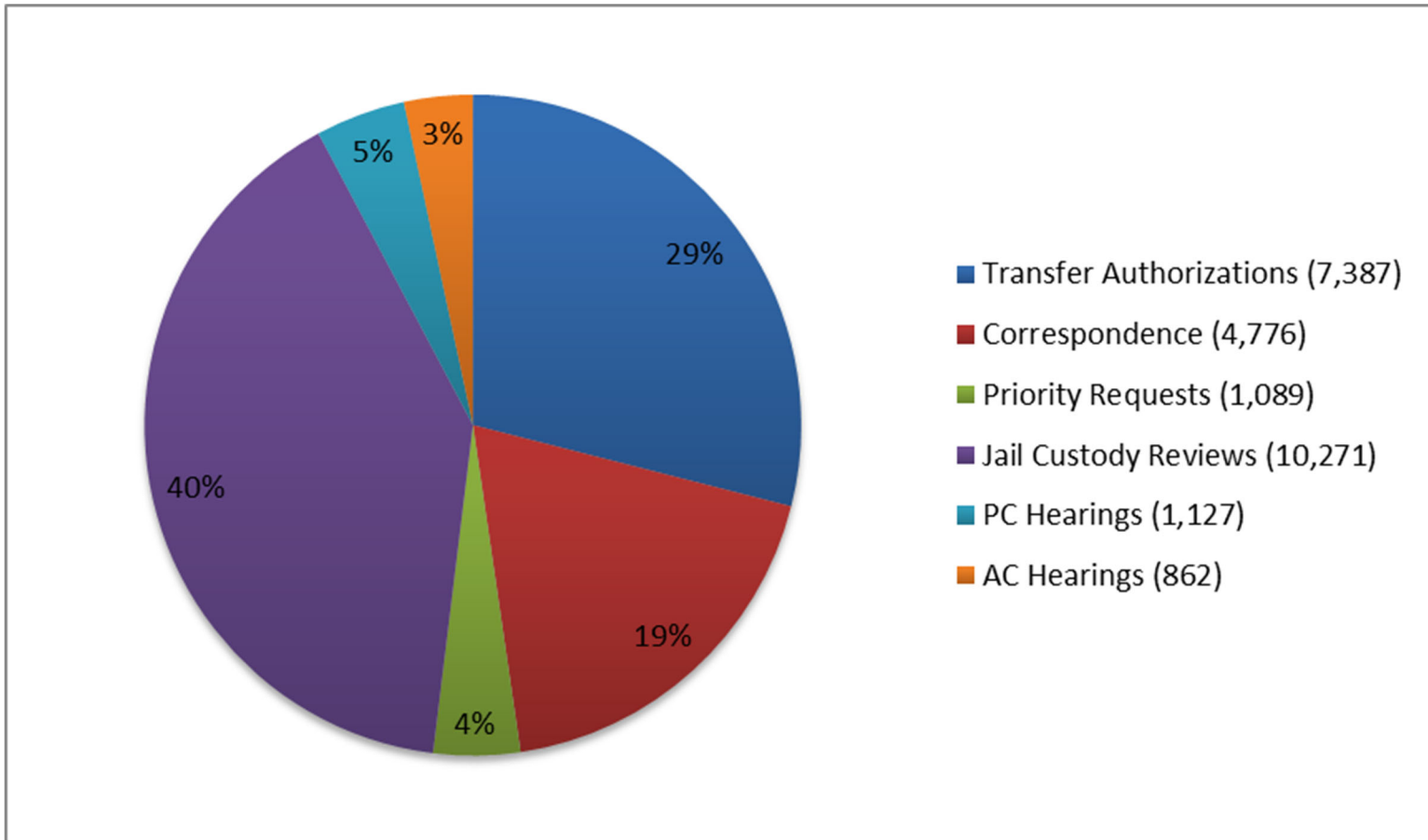
tody beds were added at Northpoint Training Center, Eastern Kentucky Correctional Complex and Little Sandy Correctional Complex to assist with the loss of beds.

After a thorough assessment of bed use at the Kentucky Correctional Institution for Women, the Assessment Center was decreased in size and moved for the new AMENDS (Advising, Mentoring, Encouraging, Nurturing and Supporting) Program. (This did not result in a loss of beds; shifted from Assessment Center to Therapeutic Community.) All therapeutic community pro-

grams are now in the same dorm with the Assessment Center. Allowing these treatment programs to reside in one dorm along with the assessment center inmates will help graduates to continue growing in their recovery by working on themselves and giving back to others and will reduce exposure to the negative behaviors from general population to the new Assessment Center inmates.

Division staff processed over 7,000 transfer authorizations and performed over 12,000 classification actions in 2019.

Below is a breakdown of classifications actions and transfer authorizations:



OFFICE OF COMMUNITY SERVICES

Deputy Commissioner
Vacant

Reentry Director

Kristin Porter

Local Facilities Director

Kirstie Willard

Probation and Parole Director

Erica Hargis

Substance Abuse Director

Sarah Johnson

Division of Reentry Services



Kristin Porter

Director

Kristin Porter

Assistant Director

Rebecca Barker

Administrative Branch Manager

Dan Goyette

Administrative Branch Manager

Christi Sorrell

Program Administrator

Kristin Johnson

Administrative Specialist III

Julie York

- 6 Regional Program Administrators
- 10 Reentry Employment Program Administrators
- 20 Community Reentry Coordinators
- 14 Institutional Reentry Coordinators

Kristin Porter started her career with the Department of Corrections in 2006 as a correctional officer at Luther Lockett Correctional Complex. Later that year, she transferred to the Division of Probation and Parole as an officer in the Louisville Southeast Office. In 2012, Porter was promoted to assistant district supervisor of Dis-

trict 18 in Louisville.

Porter has served as a Mechanical Advantage Control Hold (MACH) instructor, halfway house officer, PREA investigator, PORTAL instructor and Moral Reconciliation Therapy (MRT) instructor. She served as an instructor for SAP Pre-Release classes at the institutions and served on the 2009 American Correctional Association (ACA) compliance review team for the Division of Probation and Parole. She developed and initiated the Probation and Parole Field Training Officer Program in 2014, which has been implemented in each district throughout the state. In January 2017 she was promoted to the position of program administrator in the Reentry Branch in Central Office. She was promoted again in 2018 to director of the Division of Reentry.

Porter is a 2006 graduate of University of Louisville, where she earned a bachelor's degree in justice administration. She received the Achievement Award for District 19 in 2008, the Commissioner's Award in 2014 and the Deputy Commissioner's Award for Support Services in 2017. She is a graduate of the 2011 Commissioner's Executive Leadership Program and served as a mentor for the program in 2015 and 2018.

Overview

The Division of Reentry Services strives to increase public safety and improve recidivism rates by enhancing resources available to offenders transitioning from incarceration to the community. This requires that



Rebecca Barker
Assistant Director of Reentry Services

all areas of the department collaborate to build a seamless reentry process.

The Division of Reentry Services oversees the use of the validated risk and needs assessment tool – KyRAS. The department currently uses a validated risk and needs assessment tool and a comprehensive case management plan to prepare for the individualized release of inmates. The KyRAS tool is administered to inmates in adult institutions as well as offenders on supervision in the Division of Probation and Parole. The Division of Reentry Services is also tasked with performing quality assurance audits on this tool.

The Division of Reentry Services supervises the reentry coordinator positions in prison facilities and community reentry coordinators located in Probation and Parole offices across the state. Every adult institution in the state as well as each Probation and Parole district has at least one reentry coordinator to assist in the release of inmates and the community needs of clients. The reentry coordinator positions are also tasked with providing evidence based and promising practice programs to clients on supervision and incarcerated.

Division of Reentry Services

Currently the Division of Reentry delivers all programming to community clients, and promising practice programs to inmates. The Division of Reentry Services also maintains quality assurance for programs delivered by our staff and delivered at Reentry Service Centers (formerly Halfway Houses).

The Division of Reentry Services supports the network of reentry councils across the state. Reentry councils exist in the following areas: Paducah, Owensboro, Elizabethtown, Bowling Green, Louisville, Lexington, Covington, London, Maysville, Ashland, Prestonsburg and Somerset. Reentry staff attend the reentry council meetings routinely to advise service providers of new department initiatives, gather information about services and develop strategies to address service gaps.

In partnership with the local reentry councils, the Division of Reentry



Dan Goyette
Administrative Branch Manager



Christi Sorrell
Administrative Branch Manager

Services developed a process for families to learn more about the criminal justice system. Families of the incarcerated groups exist in a few areas across the state. These groups mirror the Reentry councils and provide the families a monthly group meeting to allow for support and networking. The Division of Reentry Services attends periodically or upon request to hold a question and answer session for families in a less formal environment. The Families of the Incarcerated groups in Lexington and Northern KY areas continue to meet monthly and

grow their groups.

In 2018 the Reentry Branch was officially changed to the Division of Reentry Services and grew over the past two years from a staff of 12 to a staff of 56 with the addition of two branch managers, 10 employment program administrators, 14 institutional reentry coordinators, 20 community reentry coordinators, and a Central Office program administrator. The addition of the branch managers allows for oversight of staff regionally separated by east and west. In 2018 KORE grant funds were granted to the Division of Reentry Services for six employment program administrator positions across the state. The outcomes of these six positions proved successful so the grant administrators gave the division additional four positions in 2019 for a total of 10 across the state. The additional program administrator located in Central Office provides much needed oversight for our current grant operations and special projects that the division is tasked with overseeing.

In addition to normal one on one reentry processes for the population, the division host a number of events throughout the state. Expungement Fairs are held in conjunction with



Pictured above: A Reentry Job and Resource Fair hosted inside one of the Department's institutions for inmates to obtain resources before release.

Division of Reentry Services

Pictured to the right: Photo of a Reentry Simulation conducted for the Governor’s Minority Management Leadership Group to inform them of the barriers the justice involved population faces when being released from custody.

Pictured Below: Photo of a Reentry Simulation training conducted for DOC staff to bring awareness to the needs our population has and how to serve them.



community partners in the local communities to inform the population of expungement eligibility and application processes. Job Fairs and Resource Expositions are held in the local communities and in the institutions to bring resources needed by our population to the individuals. Reentry Simulations are hosted in two-hour intervals to allow participants to go through a real life scenarios of what our population goes through when they are overcoming their barriers in the communities and trying to turn their life back around.

Highlights

- Implementation of Reentry Mentor Initiative.
- Implementation of partnership with CEOWorks.
- Implementation of GED Blitz.
- Safe Streets & Second Chances program began in 2018 and continued in 2019.
- The Division hosted a Reentry Simulation in California for 2019 Fall APPA Conference.

2019 Annual Division Statistics:

- 52 Expungement Sessions – 3,196 Participants
- 34 Reentry Simulations – 1,629 Participants
- 20 Job/Resource Fairs – 1,744 Participants
- Conducted 44 Program Trainings
- Completed 4 KyRAS Trainings
- Completed 132 KyRAS Quality Assurance Evaluations
- Completed 37 Program Quality Assurance Evaluations
- 1,998 Employment Assessments
- 49.6% success rate to employment for an offender after meeting with one of the Reentry Employment Program Administrators for an assessment.



Division of Probation and Parole



Erica Hargis

Director

Erica Hargis

Assistant Director

Steve Turner

Assistant Director

Kirk Gausepohl

Regional Managers:

Central Region– Rebecca Carter

Northern Region– Dan Fountain

Western Region– Mark Stonex

Eastern Region– Mike Caudill

Hargis began her career with the Department of Corrections in July 2009 as a probation and parole investigator writing pre-sentence investigation reports in District 4. In June 2010, she transferred to District 19 as a probation and parole officer supervising a high-risk caseload. She began working in the Reentry Branch in Jefferson County when she was selected for one of the four grant-funded reentry parole officer positions in 2012. Later that year she promoted to an assistant supervisor at the District 4 Probation and Parole office, and then transferred to District 16 in the same capacity in May 2015.

In September 2017, Hargis became the reentry employment program administrator for Reentry Region 3,

assisting justice-involved individuals with employment support services in the greater Louisville area. In March 2018, she was promoted to assistant director of the newly created Division of Reentry Services.

As a result of being one of the original PORTAL reentry team members in District 19, Hargis was the recipient of the Achievement Award for that district in 2011. She assisted with the creation, development and implementation of the Field Training Officer (FTO) program that is currently being utilized in all 20 Probation and Parole districts, earning her a Commissioner’s Award in 2014.

A 2006 graduate of Western Kentucky University, Hargis earned a bachelor’s degree in psychology and criminology. In 2010, she earned a master’s degree from the University of Louisville in justice administration. Hargis is a 2015

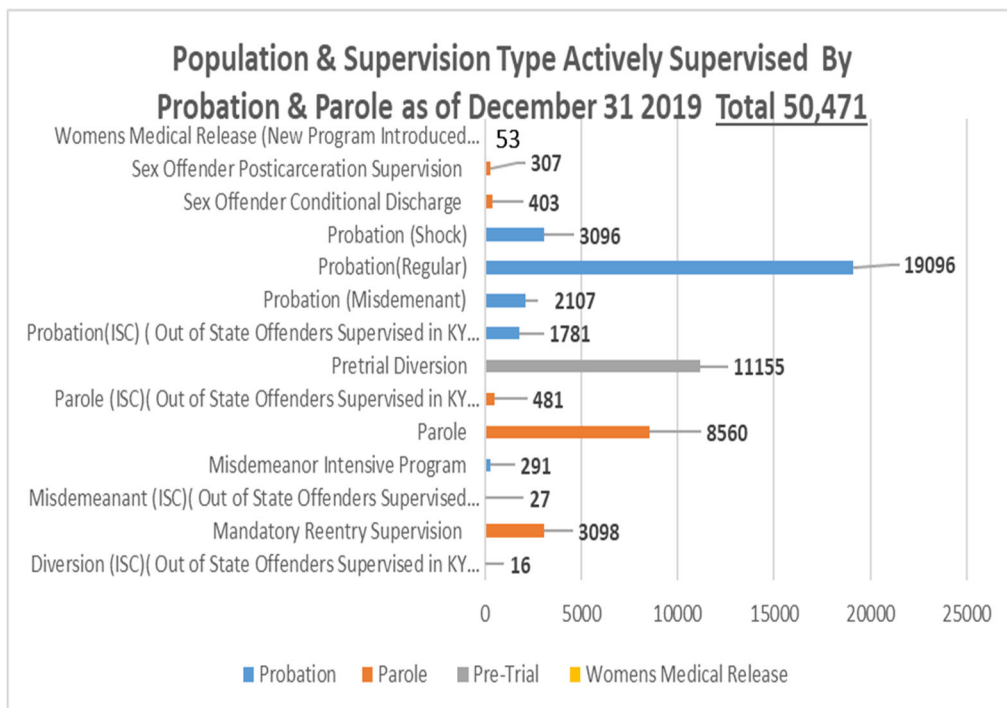
graduate of the Commissioner’s Executive Leadership program

Overview

The primary function of the Division of Probation and Parole is to protect the citizens of the Commonwealth. This is accomplished through community supervision of offenders placed on probation by the courts or released to parole supervision by the Parole Board. Officers serve each of Kentucky’s 120 counties covering four operating regions, divided into 21 districts, structured into 57 supervision field offices spanning across the state of Kentucky.

The division currently employs 749 staff (excluding vacancies), of which 525 are sworn officers who along with administrative staff manage 50,471 active offenders. This total represents 12,849 parolees (25%) released by the Parole Board and 37,569 (74.43%) offenders placed on

The below chart: Population and supervision type actively supervised.



Division of Probation and Parole

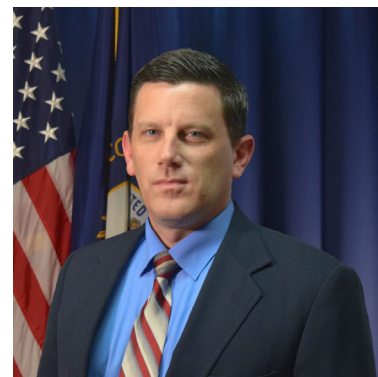
supervision by the court. The remaining 53, are individuals released as part of the SB 133 Women's Medical Release program, introduced in July 2018, specifically aimed at pregnant females assessed with a substance abuse disorder with a 218A offense. During 2019, 43 women have been released, to an approved inpatient substance abuse recovery treatment program.

The current population on community supervision has seen a slight increase in the caseload average, as of December 31, 2019, Officers' current regular caseload average is 91 when fully staffed. Managing time and resources especially as the population of community supervision increases provides a constant challenge within the division. To alleviate this issue the division has implemented specialized caseloads for low risk offenders, allowing staff to focus on offenders at a higher risk to recidivate. As a result, 3,129 offenders are supervised, on an administrative level and 12,367 on a low risk level. The division has completed 52,813 risk needs assessments, which accurately determines the risk level of each individual.

The supervision of offenders in the community saves the citizens of Kentucky \$52.24 per day as a State Inmate based on, \$3.88 current cost of community supervision Vs.\$56.12.(current State Inmate cost) or a saving of \$72.03 based on \$3.88 current cost of community supervision V's \$75.91 (average current State facility cost). Offenders on supervision are required to obtain and maintain full-time employment as a condition of their release; this adds



Steve Turner
Assistant Director of Probation & Parole



Kirk Gausepohl
Assistant Director of Probation & Parole

intangible benefits to the economy of Kentucky. Offenders may also be required to pay towards the cost of supervision and make restitution to their victims. During 2019, offenders paid \$1,851,056.42 in supervision fees. The department also collected \$852,406 in drug testing fees to deflect the costs of drug testing. Probation and parolees also paid \$5,598,589.75 in victim restitution payments and completed over 36,065 hours of community service work in their local communities.

During 2019, the division completed over 30,454 pre-sentence investigations by 19 dedicated PSI Investigators and 63 specialized PSI Officers. In 2019, the division conducted 14,701 community parole plan investigations, 2,398 home incarceration plans, processed 29,958 in-state transfer requests and registered 4,814 through the SOR registry.

In accordance with KRS 17.170 and in conjunction with the Kentucky State Police, Probation and Parole is required to complete DNA testing of all convicted felony offenders. Kentucky Department of Corrections submitted 14,590 completed DNA collections submitted to the Kentucky State Policy database in

2019. The division during the year processed 588 Civil Rights applications and of those 284 were granted and restored.

Specialized Programs

Sex Offender

The division has held a specific focus on sex offenders under supervision. As of December 2019, thirty-four officers statewide supervise 2,057 sex offenders with a caseload average of 62. In addition to monitoring cases from the court, the division currently monitors 403 individuals on sex offender conditional discharge and 307 individuals on sex offender post-incarceration supervision.

MIP

The population count includes 291 offenders supervised under a program known as Misdemeanor Intensive Supervision Program (MIP) a successful partnership with Jefferson County District Court.

Jail Based Inmates & Class D

The Division also provides services to over 11,177 jail based inmates, including Class D programs. Additionally at the end of 2019, the Divi-

Division of Probation and Parole

sion provided supervision services to approximately 1,111, offenders in 20 Reentry Service Centers.

Interstate Compact

The division is also responsible for the administration of the Interstate Compact, which transfers cases between states. In 2019, the Interstate Compact processed 1644, transfer requests from other states to Kentucky and 3180, transfer requests from Kentucky to other states. As of December 31, Kentucky currently actively supervises 2,305 offenders for other states.

SMART Program

Kentucky continues to monitor eight SMART programs, (Hawaii Hope Model). A court based system providing court intervention for immediate and graduated responses to probation violations. As of December 2019, 317 individuals are active program participants located in Allen/Simpson, Campbell/Kenton, Knott/Magoffin, Spencer/Shelby/Anderson, Rockcastle/Pulaski/Lincoln/Pike and Jefferson counties.

Task Force

The Division of Probation and Parole partners with the US Marshals to form a fugitive task force in Louisville, Lexington, Newport and Pulaski areas. In 2019, the fugitive Task force apprehended 280 Probation and Parole fugitives.

Significant Impacts

Recidivism is a constant challenge but the Division of Probation and Parole continuously improves services and programs to encourage and

guide the offender to success. The Division utilizes, when authorized by the court, graduated sanctions for those who violate probation, an alternative measure to immediate return and may grant early termination of supervision for those who are compliant.

The division continues to use HB 463, which in 2019, granted 192 successful probationers early termination by the courts, bringing the total number of offenders released on early termination to 2,662 since its introduction.

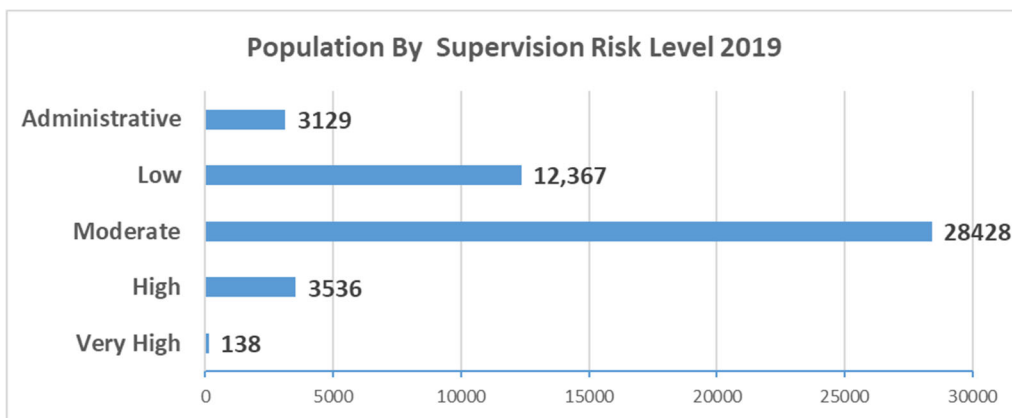
On June 29, 2017, Senate Bill 120 facilitated significant changes to community supervision. In response to the change, Corrections Policy and Procedures 27-15-03 and 27-20-03 were amended, to correspond with the Kentucky Revised Statutes 439.3108 and 439.250. These changes allowed Probation and Parole Officers the option to expand the use of discretionary detention and apply a greater scope of graduated sanctions, allowing individuals who do not pose a threat to society to benefit from available programs in the community while remaining on supervision. These changes also

allowed eligible parolees the increased incentive of supervised compliance credit.

In 2019, amended policy CPP 27-19-01 Probable Cause and Final Parole Revocation Hearing afforded offenders facing a final court or parole revocation an opportunity to present evidence at an Administrative Law Judge hearing prior to final revocation proceedings. The ALJ upon hearing all the facts and evidence presented, may determine a violation has not occurred allowing the individual to return to community supervision. Also introduced in 2019, CPP 27-19-02 provided an opportunity for the Parole Board to serve a graduated sanction to a parolee in lieu of revocation. Although the parolee is classed as an inmate during this time, upon successful completion of a sanction such as a substance abuse treatment program, will be released and returned to community supervision.

The division has seen a 25% decrease in revocations of parole and MRS clients between calendar years 2017-2019 which could be attributed to the consistent use of graduated sanctions, increased monitoring, reentry programming techniques and increased support services.

Chart Below: Supervision levels of individuals on active supervision.



Division of Probation and Parole



*Rebecca Carter
Central Region Branch Manager*



*Dan Fountain
Northern Region Branch Manager*



*Mark Stonex
Western Region Branch Manager*



*Mike Caudill
Western Region Branch Manager*

In 2019, the Division of Probation and Parole completed many large projects making a significant impact to the overall operations and the well-being of everyone.

Probation and Parole continues to focus on exceptional service to all clients by providing the tools for client success. Continued improvements to the client service, caseload management, employee position allocation and location has proved to be an effective way to adjust to the dynamics of the division. One such primary focus, reallocation of staff improved the consistency of daily operations. All district and field offices are required to have a supervisory staff at each site, providing additional guidance and support to both staff and clients. Another area of focus, lead to an improved client orientation and intake process providing a clearer understanding of procedure, expectations and immediate connections to additional services allowing the smooth transition to recovery, begin.

The division consistently strives to improve the standard and quality of the agency as a whole. This includes quality of office locations, building structure, fixtures, furnishings and technology to effectively improve the working environment and services delivered while increasing all levels of safety. During 2019, in response to the increased growth of service required – four offices received expansion renovations, four offices remodeled to utilize space efficiently and six offices relocated to new spaces.

During 2019, Probation and Parole staff received additional training and

opportunities to actively participate in professional discussion groups, increasing both knowledge and experience. Over the course of the year, the training team received an additional two instructors dedicated to Probation and Parole providing extra assistance to improve mandatory staff training. The team expansion also provided an opportunity for on site CPR training, which involved taking the training to the district offices saving many hours of travel and additional expenses.

Towards the end of 2019, a pilot program introduced in District 9 – Drug & Alcohol Testing by oral drug screening may change the predominant method currently used.

This agency continues to strive and research new innovative ideas to improve the outcome of all those it serves. Probation and Parole across the state remains involved with community service treatment providers, Reentry Councils, local task forces, community police and outreach programs to provide a progressive collaboration of a team driven continuation of services.

Additionally, Probation and Parole utilizes interns and community volunteers and continues to support Probation and Parole officer participation in offender education programs, career days, school education programs, employer trainings job fairs and many community charity events, an important aspect of being a trusted presence within the community.

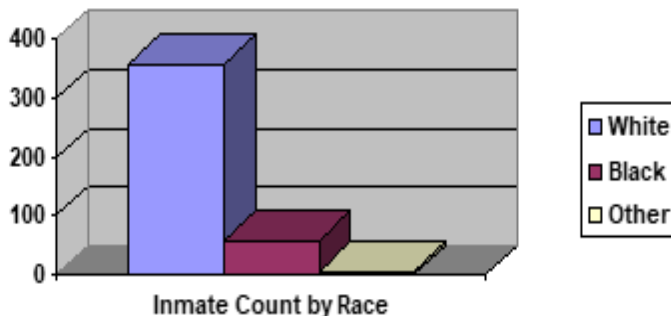
The Division of Probation and Parole is proud to remain an ACA Accredited agency receiving the current reaccreditation in January 2019.

Division of Probation and Parole

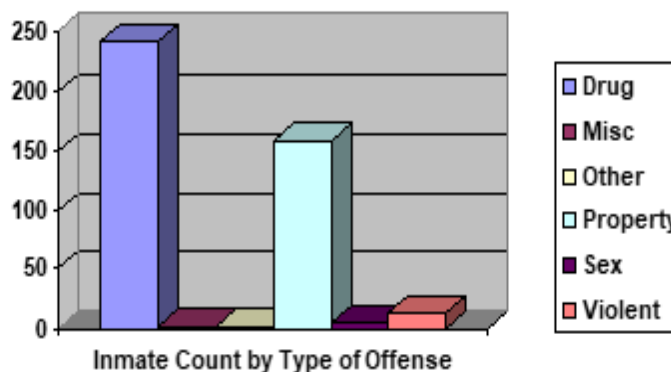
HALFWAY HOUSES

Demographic Data

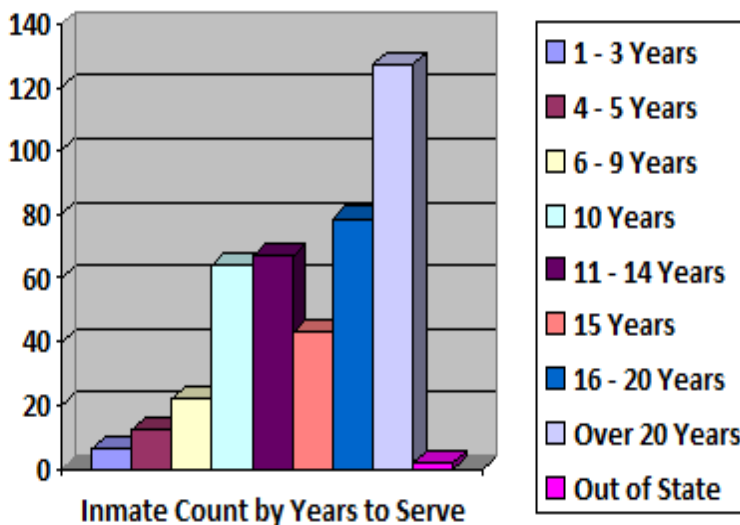
RACE	COUNT	PERCENTAGE
White	359	85.07%
Black	57	13.51%
Other	6	1.42%
Total	422	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	242	57.35%
Misc.	2	0.47%
Other	2	0.47%
Property	159	37.68%
Sex	4	0.95%
Violent	13	3.08%
Total	422	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	6	1.42%
4 - 5 Years	12	2.84%
6 - 9 Years	22	5.21%
10 Years	64	15.17%
11 - 14 Years	67	15.88%
15 Years	43	10.19%
16 - 20 Years	79	18.73%
Over 20 Years	127	30.09%
Out of State	2	0.47%
Total	422	100.00%



Division of Local Facilities



Kirstie Willard

Director

Kirstie Willard

Kirstie Willard joined the department as an intern with the Division of Local Facilities in May 1999. She held the position until August 2001 while completing her bachelor's degree in correctional and juvenile justice studies at Eastern Kentucky University. Upon graduation, she worked as a graduate assistant at the University of Louisville while working on a master's degree in justice administration.

In October 2004, Willard returned to the department as a victim advocate in the Office of Victim Services. In September 2005, she was promoted to head the office, which included managing the statewide Victim Information and Notification Everyday (VINE) program.

Willard transferred to the Division of Local Facilities as a jail services specialist in March 2007. She has served as a jail inspector and a liaison to all jails across the state on the federal Prison Rape Elimination Act (PREA). Willard was promoted in February 2010 to corrections program administrator, where in addition to her role as a jail inspector and PREA liaison, she handled the ad-

ministrative duties of the Kentucky State Corrections Commission.

In 2014, she assumed supervisor duties of the Division of Local Facilities administrative staff, who are responsible for processing housing reimbursement, state inmate pay and catastrophic medical claims for the jails. Later that year, Willard was promoted to regional administrator for the Division of Local Facilities. In April 2016, she was named assistant director for the Division of Local Facilities, where she served until assuming the position of acting director in December 2017. In December 2018, she was appointed as director.

Willard is a 2014 graduate of the Commissioner's Executive Leadership Program, a member of the American Jail Association and the Kentucky Council on Crime and Delinquency.

Overview

The Division of Local Facilities provides support and technical assistance to local jails in overall jail operations, policy and procedure development, training, construction review and consultation and ensures compliance with the Kentucky Jail Standards.

The division's administrative staff works closely with county jails to process per diem payments for state inmates housed in jails, inmate pay, and catastrophic medical invoices. In addition, they provide documentation of deficiencies and monitor corrective action requests and reviews.

The Division of Local Facilities

provides funding to 120 counties to assist them in defraying the cost of care and maintenance of prisoners through the Local Jail Allotment and the Restricted Medical Fund. Additionally, the division provides a Jailers's Allowance that provides training incentive funding for jailers and jail personnel.

State Inmate Population in County Jails

The population of state inmates in county jails increased slightly overall in 2019. The population dropped to its lowest point in January, which was 10,945. The population peaked for the year in July at a level of 11,439.

On average, the state inmate population for 2019 accounted for 46% of the county jail prisoner populations, up slightly from 2018. A majority of those state prisoners are CD and CC inmates that are eligible to be housed in the county jails and eligible to participate in various programming offered at the jails. Due to the overall increase in state inmates, CI (Controlled Intake) prisoners make up the next largest population of state prisoners housed in the county jails.

Finance

The Division of Local Facilities provided \$149,829,627 in funding to the 120 counties across Kentucky in FY 19. These funds are provided through several funding programs, such as per diem payment for housing state inmates, medical allotment payments, bed allotment payments, pay for inmate labor, payments for catastrophic medical claims and cost sav-

Division of Local Facilities

ings from the implementation of House Bill 463 which are earmarked for the Local Correctional Assistance Fund.

Housing Bills Audits and Payments

During FY19 the Department of Corrections paid Kentucky County jails a total of \$132,837,002 for housing state inmates. This amount was paid at a per diem rate of \$31.34 per inmate; \$29.43 for housing and \$1.91 for medical.

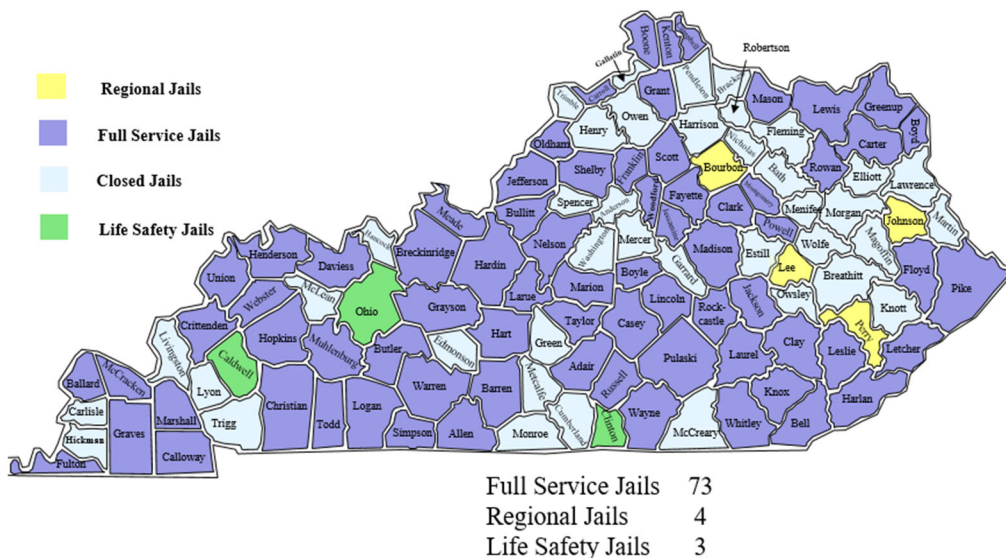
The Division of Local Facilities has the responsibility for auditing housing bills on a monthly basis and reimbursing county jails within 30 working days of receipt of their housing bills.

The Kentucky Offender Management System (KOMS) generates invoices listing all state inmates housed in jails. These invoices are compared with invoices submitted from county jails and are reconciled with assistance from jail and probation and parole staff. Once reconciled, the invoices are submitted for payment.

Inmate Labor

Local Facilities provides inmate labor for many local community projects across the Commonwealth. Local jails that house state prisoners operate the Class D/C Work Program through the direction and guidelines of the Division of Local Facilities. Inmate labor can be used by the Commonwealth or an agency of the Commonwealth, a county or agency of the county, or by a nonreligious-sponsored nonprofit, charitable, or

2019 Jail Classifications



service organization. Community level inmates can be found working at local recycling centers, performing road side cleanup, collecting garbage, and working in the local animal shelters.

On average, there were 4,396 state inmates actively working in communities throughout the Commonwealth during 2019. This translates to an excess of more than 6 million hours of labor that was provided through Class D/C Inmate Work Program. During FY 2019, the Division of Local Facilities paid in excess of \$649,025 to prisoners participating in work programs in the county jails. The counties that utilized these prisoners in the work program potentially save in excess of \$44.1 million during FY 2019, when multiplied by the current minimum wage.

Catastrophic Medical Claims

For FY19, Local Facilities was allotted \$851,800 to reimburse local jails for catastrophic medical claims

incurred by county inmates declared indigent by the courts.

While the number of claims received from counties has increased, there are still very few counties that utilize these funds. The Department continues to educate jailers, judge executives and fiscal court members about this resource, in hopes that they will begin to utilize it fully.

Inspections

Division staff conducted more than 200 routine and special jail inspections on all jail facilities across the Commonwealth. These inspections provide vital information as to the jail’s physical plant condition, jail operations, prisoner program availability and compliance with the Kentucky Jail Standards. These inspections also provided the detention centers with guidance for improving overall operations.

The first inspection of each year is an announced inspection. During this

Division of Local Facilities

inspection, every aspect of the detention center is reviewed and evaluated for compliance with Kentucky Jail Standards.

The inspection for a full-Service facility contains 211 critical items including administrative function, information systems, personnel, physical plan, security areas, emergency procedures, sanitation, medical services, food service, classification, admission, release, prisoner programs and prisoner rights.

The second yearly inspection is an unannounced inspection. By not announcing this inspection, division staff has the ability to see how the jail operates on a standard day or night. This inspection also provides a review of any non-compliant items from the first inspection.

The division also conducts follow-up

inspections, when necessary, as a result of complaints, investigations, and documentation of corrective action.

Construction

With the implementation of HB 463 in 2012, the department developed guidelines for the local jails to follow when considering new construction and/or renovations. There were no new construction, expansion or renovation requests submitted to the Local Correctional Facility Construction Authority in 2019

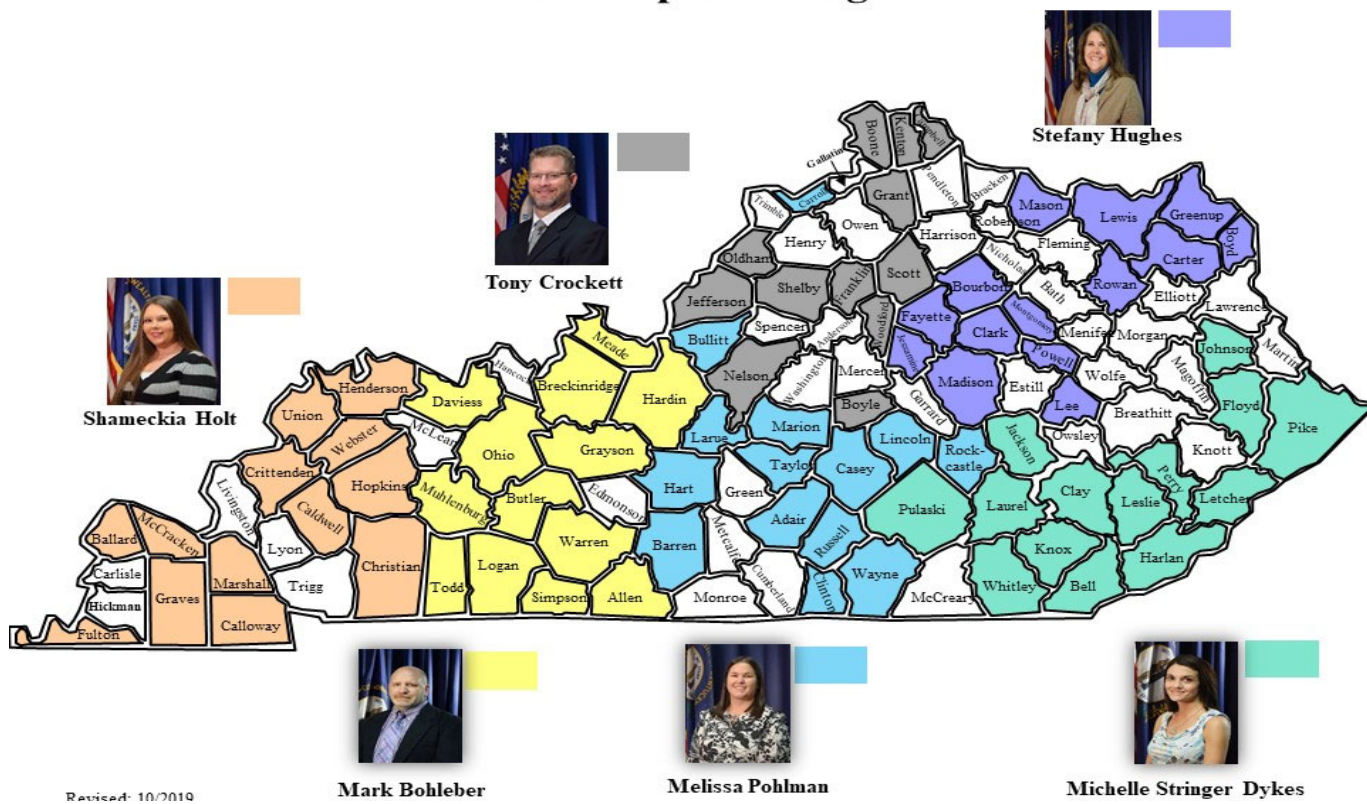
New facility construction was completed in Knox County. The opening of this new 294 bed full service facility increased the statewide jail bed capacity by 259 beds. Construction on the new facility in Laurel

County is nearing completion and is scheduled to open in January 2020.

Investigations

The division investigated over 2,200 written and phone complaints during 2019. Written complaints are generally received from inmates in the local detention centers and generally involve allegations that the jailer or their staff are not following statutory or regulatory requirements. Phone complaints are generally from inmate families or friends, other state agencies or local officials, but also generally involve allegations of jails not following statutory or regulatory requirements. All complaints are assigned to the respective jail services specialist for investigation and response.

2019 Jail Inspector Regions



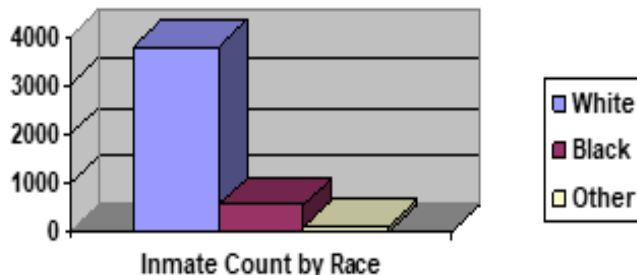
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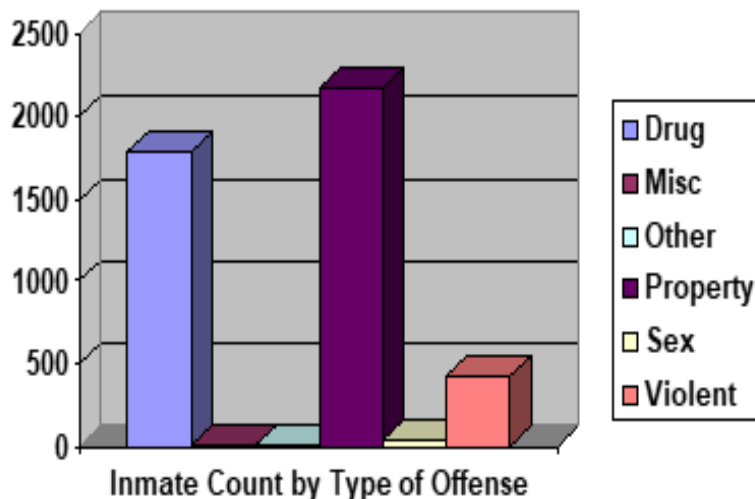
Class D Felons in County Jails

Demographic Data

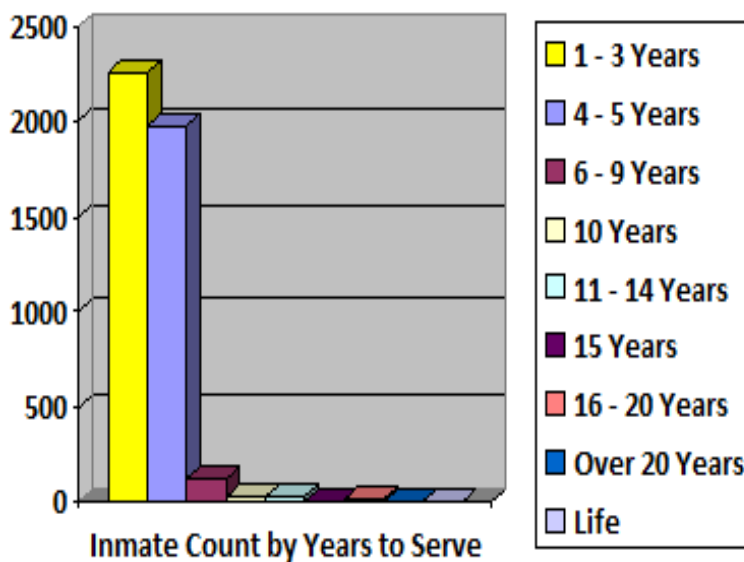
RACE	COUNT	PERCENTAGE
White	3786	85.35%
Black	551	12.42%
Other	99	2.23%
Total	4436	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	1793	40.42%
Misc	5	0.11%
Other	13	0.29%
Property	2169	48.90%
Sex	39	0.88%
Violent	417	9.40%
Total	4436	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	2265	51.06%
4 - 5 Years	1979	44.61%
6 - 9 Years	121	2.73%
10 Years	27	0.61%
11 - 14 Years	21	0.47%
15 Years	6	0.14%
16 - 20 Years	10	0.23%
Over 20 Years	2	0.05%
Life	5	0.10%
Total	4436	100.00%



Division of Addiction Services



Sarah Johnson

Director

Sarah Johnson

Assistant Director

Jeannie Waldrige

Executive Staff Advisor

Ashley Short

Sarah Johnson was named director of the Division of Substance Abuse Programs in July 2018. Most of Johnson’s career has been spent working within the criminal justice system with individuals who have substance use disorders and mental health conditions. She was one of the three original social workers hired for the Department of Public Advocacy’s (DPA) Social Work Pilot Project in 2006 and was instrumental in establishing the social work program and showing its value. In 2008, Johnson was awarded the Public Advocate Award for her work during the project. In 2011, she was the recipient of the Catalyst Award for her leadership and ability to promote positive change. In August 2011, she was appointed to the Kentucky Parole Board and remained there until she returned to the DPA in December 2015. At that time she served as

the defender services branch manager, charged with leading and overseeing the expansion of the Alternative Sentencing Worker Program.

Johnson maintains provider status with the Kentucky Board of Social Work and recently was approved as an ethics trainer. She has been invited to present on various criminal justice topics at several national trainings. Most recently, she was invited by the American Bar Association to attend the Senior Law Division Opioid Summit and was asked to stay on as a member of their opioid initiative work group.

Johnson has a master’s degree in social work with a mental health and substance abuse concentration from the University of Kentucky and a bachelor’s degree in social work from Morehead State University. She holds a license through the Kentucky Board of Social Work as a Certified Social Worker (CSW).

Overview

The division of Addiction Services is responsible for clinically assessing and providing treatment, or connecting any individual with a substance use disorder that is under



Ashley Short
Executive Staff Advisor

DOC supervision to appropriate treatment. This includes those in DOC custody in our institutions and county jails and those under supervision through Probation and Parole. At this point there are approximately 24,000 individuals incarcerated in DOC custody and a little over 50,000 more under some form of supervised release. Addiction Services employs just over 100 individuals, comprised of clinical and administrative staff members. We currently have approximately 600 treatment opportunities including those directly provided and those that are contracted.

Highlights

During 2019 there were multiple changes to the division.

The name changed from Division of Substance Abuse Program to Division of Addiction Services.

Curriculum update: Hazelden-Betty Ford New Directions Curriculum including Co-Occurring workbook.

Medications for addiction treatment expansion.

Aftercare dorm at Northpoint Training Center (NTC) was implemented. Supporting Others in Active Recov-

Division of Addiction Services

ery (SOAR) is a male-only aftercare dorm created as a partnership between Division of Addiction Services and NTC that began in April 2019. Currently there are 88 beds in the SOAR dorm at NTC. SOAR offers many treatment opportunities to prepare for successful reentry including: My Ongoing Recovery Experience (MORE) curriculum, Moral Reconciliation Therapy (MRT), and Soft Skills Boot Camp.

Updated Addiction Services Modules in KOMS.

Partnership with UK for the Justice Community Opioid Innovation Network. (JCOIN)

Supportive Assistance of Medications for Addiction Treatment (SAMAT) Expansion included vivitrol offered at all SAP locations in jails and institutions, three pilot sites offering vivitrol and buprenorphine, 90 day program good time credit– 6 months vivitrol, contracted RKC and RSCs allowing vivitrol, community SSC referrals for MAT, and revisions of SAMAT protocol.

Substance Abuse Programs Added:

Fayette County Detention Center added 20 Male Beds and 20 Female Beds.

Hope Center– IOP Fayette County added 60 IOP Treatment slots.

VOA– Reentry Service Center add a 45, 60 and 90 day residential programs.

The Men’s Healing Place added 60 beds.

Blackburn Correctional Complex added 50 beds.

Addiction Services Directors, Central Office Staff, and Branch Managers Back Row: Jeannie Waldridge, Jessica Tate, Denise Thorpe, Jay Volkert, Marka Burns, Veronica Hunt, MaryAnn Strickland. Front Row: Sarah Johnson, Kendall Hall, Ashley Short, Mylea McFelea, Hillery Ohnemus, and Erin Kron-Chia.



OFFICE OF ADULT INSTITUTIONS



Food Services Branch Manager

Amanda Durrett

Deputy Commissioner

Randy White

Operations Program Administrator

Alicia Bloyd

Capital Construction Branch Manager

Guvnant Shah

Policies and Procedures Program Administrator

Brandon Lynch

Executive Secretary

Teresa Schell

Kentucky Correctional Industries

Aaron Smith

Internal Policy Analyst

Valerie Moore

Director of Operations

Janet Conover

Health Services Division Administrator

Cookie Crews

Education Division Director

Ravonne Sims

PREA Coordinator

Shannon Butrum

Private Prison Operations Director

Vacant

Programs Service Branch Manager

Debbie Kays

Emergency Management Director

Vacant

Deputy Commissioner White is in charge of all aspects of operations and administration of Kentucky's 12 state prisons and one private prison. He also oversees the department's Operations, Health Services Division, Kentucky Correctional Industries, Private Prison Operations, and the Education Division.

White has served for the past six years as warden of the Kentucky State Penitentiary (KSP), the state's oldest and only maximum-security prison. While at KSP, he assisted in creating and implementing the Restrictive Housing Transition Program, designed to address behavioral management issues for inmates in restrictive housing. In addition, he has increased evidence-based, behavior modification programming by 60 percent at the prison. His leadership has helped show that evidence-based programming not only assists the inmate population and reentry efforts, but also improves institutional security.

A 23-year veteran of corrections, White began his career as a correctional officer at Northpoint Training Center in 1996. After a series of promotions that took him to Eastern Kentucky Correctional Complex, Kentucky Correctional Institution for Women and Luther Lockett Correctional Complex, he assumed his first wardenship at Green River Correctional Complex in 2009.

In addition to his DOC service, White served our nation as a member of the Kentucky Army National Guard. He had two stateside activations and was twice mobilized for Operation Iraqi Freedom.

Office of Adult Institutions



Janet Conover

Director

Janet Conover

Conover began her career in 1989 as a correctional officer at KCIW. Before her promotion in 2002 as a program administrator in the classification branch. In 2004, she was promoted to executive staff advisor for Adult Institutions. Two years later, Conover was promoted to deputy warden of security at KCIW. In 2008, she was named deputy warden of programs. In January 2010, Conover was named warden at KCIW.

Conover is a graduate of Bellarmine University where she earned a bachelor's degree in psychology and sociology. She is a 2007 graduate of the Commissioner's Executive Leadership Program.

Conover received the Employee of the year award in 1995 from KCIW and the 2016 Warden of the year.

Overview

The Operations Division, Office of Adult Institutions, provides centralized management of the necessary daily operational functions within the

twelve adult correctional institutions. The division also directly supervises the state employees and vendor staff that comprise the Capital Construction Maintenance Branch (CCMB) which provides - architectural, engineering and maintenance/construction support to the Department; the Food Service Branch and contract monitoring staff; the Programs Services Branch and providers and instructors which support the Department daily.

Capital Construction

The Capital Construction Management Branch (CCMB) manages construction and maintenance activity of 627 DOC buildings, with a total occupied area of 4.4 million square feet (building ages range from 17 years to 129 years) and oversees funds allotted for capital construction and equipment purchases appropriated by the General Assembly for the Department of Corrections' 12 penal institutions. CCMB strives to provide energy efficient buildings and energy efficient equipment and appliances.

The staff consists of six employees, including a registered engineer, a registered architect, three project managers and an administrative assistant. CCMB staff has a total of 173 years of experience in the areas of construction, engineering and architecture and possess licenses in Engineering, Architect, LEED, HVAC, Asbestos sampling and Lead Based Sampling. In addition, this branch is also responsible for designing small construction pro-



Gunvant Shah
Capital Construction

jects and provides assistance on OSHA and environmental matters; operational guidance on water and sewage plants; assists on lead and asbestos matters; troubleshoots engineering and maintenance problems and prepares EPA permits for boilers and emergency generators. Each member of this staff plays a vital role in each project all the way from inception through completion. CCMB also establishes and coordinates seminars on various construction and engineering practices for all DOC maintenance staff. CCMB thrives on team work, efficiency, accountability, respect, professionalism and total transparency.

CCMB staff also performs environmental audits for all 12 institutions that must comply with American Correctional Association (ACA) standards.

The CCMB began calendar year 2019 with 59 projects with allotments totaling \$16,530,245.79 and ended calendar year 2019 with 46 projects with allotments totaling \$12,744,849.45. These totals represent projects carried forward from the previous biennium that are currently still active.

Office of Adult Institutions

The Legislature appropriated \$13,987,000.00 for calendar year 2018. This amount included \$3,116,000.00 to replace perimeter fence at KSR, \$7,871,000.00 to demolish & repair tower at KSR and \$3,000,000.00 for the miscellaneous maintenance pool. In calendar year 2019, CCMB opened 13 new projects and closed 25.

Currently Energy Company-ESG replaced 2,430 exterior light fixtures with LED lights to improve security and enhance light levels at DOC's 12 correctional facilities. RCC, LLCC and KSR have had all interior lights replaced with 11,583 new LED lights. New water mains were installed for KSR, LLCC, and RCC to cut down water losses. LLCC has been converted from all electric heating system to gas heat. New energy efficient HVAC system has been installed for all five dorms at LLCC. Utility savings of \$1,126,753 and operational savings of \$145,081 are expected from the above energy efficient improvements.

The energy projects for all 12 DOC facilities have been completed with a result in energy savings of \$2,940,143.00. These savings come from energy improvements in lighting, plumbing and HVAC improvements.

CCMB also prepares, coordinates and submits the six-year capital plan and the biennial capital budget request for the department. These requests are facilitated through the effective communication and coordinated efforts with the Finance and Administration Cabinet, Facilities

Management and the Governor's Office for Policy and Management.

Cost cutting, increasing work efficiency, total transparency and work ethics have been the primary goal of CCMB. Using these methods have saved an estimated \$539,617.00 by (1) in-house projects design, (2) using inmate labor and (3) project management control. Thus, CCMB has been able to effectively stretch the miscellaneous maintenance budget, enabling the completion of many needed projects that would not have been possible utilizing conventional contract methods.

Food Service Branch

The goal of the Food Service Branch is to provide each inmate a wholesome and nutritious diet within a set budgetary allowance. Meals are prepared and served under strict sanitary conditions according to regulations set by the Kentucky Department for Health Services, as well as, the American Corrections Association standards including food service and sanitation standards.

The Branch operates with a branch manager who is a registered dietitian and is licensed in the state of Kentucky along with a corrections program administrator and a district food operations evaluator. Currently the corrections program administrator and district food operations evaluator are cross-trained to inspect all Adult Institutions as well as Department of Juvenile Justice (DJJ) facilities across the state. Each position is responsible for an assigned east or west region.



Amanda Durrett
Food Service

The Food Services Branch also assists the Department of Juvenile Justice by having the registered licensed dietitian approve menus for their 23 facilities across the state; including Residential Treatment Centers, Detention Centers and Group Homes. The Departments' Food Services Branch also provides any needed assistance throughout the year.

The Department of Corrections maintains its partnership with Aramark Correctional Services (ACS) who operates the 12 state-run institutional food service areas. ACS provides expertise in food service operations, as well as volume buying power, which combines to create efficient and quality food service operations. The DOC oversees the operations to ensure contract compliance and that all regulations and policies are followed.

During 2018, Lee Adjustment Center opened their previous closed facility. Under the contract with Core Civic, Aramark Food Services provides inmate meals as in all other institutions. The Food Services Branch works closely with Core Civic administration as well as Aramark staff to ensure that the facility is serving the same menu according to all other Adult Institutions. Quarterly Inspections and Bi-Annual Reviews are per-

Office of Adult Institutions

formed.

Each institution follows a “Master Menu” planned by dietitians in accordance with Recommended Daily Allowances as recognized by the National Academy of Science and approved by the department branch manager. The menu follows a 28-day cycle with a Spring/Summer cycle and a Fall/Winter cycle. The menu provides an average of 2800 calories per day. Annual resident inmate surveys are conducted and the results of this survey, along with input from institutional personnel, are taken into consideration when revising the Master Menu and improving daily food service operations. Along with the menu surveys, ACS conducts waste management programs in each facility that aids not only in menu development but in product specifications as well. Menus are revised mid-year and allow less favorable items to be removed and more popular items to be added.

Menu items are prepared for the main line with limited or no salt, limited seasonings, reduced frying with vegetable oils, and restricted fat or meat based seasonings. It is the goal of the department to improve diet through healthy cooking on the main line. The long-term benefit being reduced medical costs for the inmate population.

Food Service also feeds one meal per shift to staff while on duty. The department believes in addition to benefiting staff morale, this also provides additional security in the dining rooms during the meal times.

In 2019, 12,390,159 inmate meals

were prepared with an additional 100,230 kosher meals and 202,131 staff meals at a cost of \$13,710,957.28. The corrections program administrator works closely with ACS to ensure accurate billing for each facility. Monthly invoices are audited based on monthly reports provided by each facility.

The Food Services Branch continues to monitor all facilities closely by conducting quarterly inspections, bi-annual documentation reviews and monitoring the food service contract. Over 52 sanitation inspections and 28 reviews including follow-up inspections were conducted throughout the year. The Food Services Branch maintains constant contact with the facilities through email and phone calls. The closer contract monitoring has made the vendor more responsive to the Department and has resulted in improved food service operations. The Food Services Branch also provided 37 inspections for the Department of Juvenile Justice’s Residential Treatment and Juvenile Detention Centers and Group Homes. Information collected during the reviews of DJJ facilities are reported to the Juvenile Justice Branch deputy commissioner, division director and quality assurance branch and maintained in the DOC database.

The Food Services Branch continues its partnership with the Capital Construction Branch to improve food service equipment efficiency and maintenance with reviews of equipment issues before decisions are made to repair or replace. This partnership has not only maintained costs in this area but also saved the



Debbie Kays

Programs Service Branch Manager

Commonwealth significant dollars by repairing equipment instead of purchasing new, whenever cost effective.

Under this partnership, equipment inventories were updated at each food service facility. In addition, specifications for equipment are being standardized so that when possible, similar equipment will be purchased for all facilities making repairs easier and eventually develop a parts inventory.

The inmate food service training program, In2Work (I2W), conducted by Aramark continues to grow and be successful. This program is an institutional inmate food service training program that provides inmates with food service instruction and practical work experience. The Advanced I2W program, which develops leadership skills and job-ready skills, continues to progress with the top qualified inmates.

During 2019, the Food Services Branch continues to work with all branches of the department. The branch manager may approve menus (with proper nutritional info) for Local Facilities as requested. The branch manager also has worked

Office of Adult Institutions

closely with the Medical Services Branch by ensuring that policy is adhered to regarding medical diets and by providing documented verification to the institution food service directors as needed. This information is reviewed and verified at each bi-annual review for each Institution.

The department also worked with our food service vendor Aramark to implement a habitual support agreement with a contract Rabbi to ensure that our food service operations meet all tenants of the Jewish Kosher practice. This contract Rabbi is funded through the Aramark contract.

Programs Services Branch

The Programs Services Branch manager operates under the direct supervision of the director of operations. The branch manager is responsible for supervising a program administrator responsible for policies and procedures; a program administrator responsible for religious services and security threat groups; a program administrator responsible for tracking incident reports and trends for Adult Institutions; and a program coordinator responsible for analyzing use of force videos, assisting with analyzing incident report data, STG, and KCI audits.

This branch oversees evidence-based, promising practice, and life skills inmate programming within the 13 adult correctional institutions. These programs are operated by both Department of Corrections staff and contract facilitators. The oversight includes providing and reviewing quality assurance measures, ensuring



Brandon Lynch
Policies & Procedures



Alicia Bloyd
Operations Program Administrator

contract compliance and coordinating facilitator training programs.

Included in the scope of responsibility are the following staple programs: Moral Reconciliation Therapy (MRT); Thinking for a Change; additional components of MRT to include an evidence-based parenting program for female offenders which are cognitive behavioral oriented programs; along with Inside Out Dads, a parenting program for male offenders; and PORTAL New Direction. An evidence-based program to address the specific needs of our segregation population has been developed and implemented. MRT, Thinking for a Change, Inside Out Dads (24/7 Dads), and PORTAL New Direction have been implemented for use in the continuum of care from the institutions to the community to include Probation and Parole. These efforts are also being monitored by this branch.

Religious Services

A program administrator provides oversight of religious programming throughout the state's adult institutions by monitoring compliance

with DOC policies and the departmental Religion Reference Manual. Responsibilities include answering correspondence from the institutions, assisting with answering inmate grievances, working closely with the food service branch manager regarding religious diets and meals, performing an annual review and updating of the Religion Reference Manual and CPPs, and conducting annual training and quarterly teleconferences with the institutional chaplains.

Security Threat Group Administrator

The security threat group (STG) administrator provides oversight of the department's security threat group coordinators, which includes attending training with outside agencies (KY Homeland Security, FBI, KY State Police, etc.) and disseminating information through annual and bi-annual teleconference trainings to the STG coordinators.

The internal policy analyst reviews all incident reports submitted from Adult Institutions, prepares written reports including charts and graphs detailing the current and past trends,

Office of Adult Institutions

and assists in identifying possible problematic areas.

Policies and Procedures

Adult Institutions staff oversee the Department of Corrections' policies and procedures. Staff facilitate the revisions, legal review, and Legislative Research Commission (LRC) approval process. Additionally, staff facilitates the same process for all 12 institutional policies and procedures while following ACA guidelines.

The procedures development coordinator is responsible for the development, revisions and procedural review of all DOC Policies and Procedures (CPPs). A review of all Institutional Policies and Procedures (IPPs) is conducted annually and feedback is given as needed. This office also provides procedural process to Legal Services and the LRC.

There were 34 CPPs (LRC, Non LRC and Secured) that were revised, approved, became effective and were placed on the DOC website in 2019.

In 2019, 1 new CPP was created, CPP 20.2 Apprenticeship Courses. Eighteen (18) are currently pending the LRC process: CPP 3.10 – Appearance and Dress for Non-Uniformed Staff; CPP 5.1 – Research and Survey Projects; CPP 5.3 – Programs Evaluation and Measurement; CPP 8.7 – Notification of Critical Incident; CPP 13.8 – Division of Addiction Services Substance Abuse Program; CPP 13.13 – Behavioral Health Services; CPP 14.6 – Inmate Grievance Procedure; CPP 15.3 –



Jon Collett
Special Projects & Adult Institution's support



Valerie Moore
Internal Policy Analyst

Meritorious Good Time; CPP 15.4 – Program Credit; CPP 15.5 – Restoration of Forfeited Good Time; CPP 15.7 – Inmate Accounts; CPP 17.2 – Assessment Center Operations; CPP 18.16 – Information to the Parole Board; CPP 20.1 – Educational Courses and Educational Good Time; CPP 25.4 – Inmate Furloughs; CPP 25.10 – Administrative Release of Inmates; CPP 25.12 – Home Incarceration Program; and CPP 26.1 – Citizen Involvement, Volunteer, and Reentry Mentor and Service Programs.

In 2019, 62 non-secure IPPs were processed through the LRC. These regulations were 501 KAR 6:060, 501 KAR 6:110, and 501 KAR 6:140.

There were a total of 93 non-secure IPPs reviewed and sent for Legal Services review. These regulations were 501 KAR 6:030 and 501 KAR 6:070. There were a total of 28 secure IPPs reviewed and sent for Legal Services review.

Five Correctional Industries policies were created and processed through the LRC.

Regulations that were effective in 2019 include:

- 501 KAR 6:020-Corrections Policies and Procedures
- 501 KAR 6:999-Corrections Secured Policies and Procedures
- 501 KAR 6:280-Risk and Needs Assessment

Prison Rape Elimination Act Division (PREA)



Shannon Butrum

Assistant Director
Shannon Butrum

Assistant Director Shannon Butrum serves as the PREA Coordinator for the Department of Corrections and oversees the PREA compliance process throughout the state.

An 18-year veteran of the Department, Butrum began her career in 2001 as a correctional officer at the Kentucky Correctional Institution for Women (KCIW). She was promoted through the security ranks at KCIW to sergeant in 2004 and lieutenant in 2006 before promoting to the position of procedures officer in 2007.

During her time with the department, she has been a member of the Corrections Emergency Response Team (CERT), Hostage Negotiation Team, and served as KCIW's public information officer, litigation coordinator, and trainer for the Kentucky Offender Management System (KOMS).

In 2013 Butrum was named the PREA compliance manager for KCIW and became a Department of Justice (DOJ) certified PREA auditor in 2014.

During her career Butrum has been recognized with several awards in-

cluding KCIW Supervisor of the Quarter, A KCIW Achievement Award and DOC Commissioner's Award. She is also a graduate of the 2015 Commissioner's Executive Leadership Program.

Overview

The Prison Rape Elimination Act was signed into law on September 4, 2003 to address the detection, prevention, and prosecution of sexual harassment and abuse in all correctional facilities in the country. The Kentucky Department of Corrections has a zero tolerance towards all forms of sexual abuse and sexual harassment.

The PREA Division is responsible for developing, implementing and overseeing agency efforts to comply with the National PREA standards as set forth by the United States Department of Justice (DOJ). This involves working closely with facility PREA compliance managers in all state-operated and contract facilities, as well as staff at Reentry Service Centers and Recovery Kentucky Centers to ensure that compliance with PREA standards is maintained.

Highlights

During 2019 the PREA Division oversaw successful DOJ PREA Audits at four adult institutions. The institutions audited were Kentucky State Reformatory, Roederer Correctional Complex, Kentucky State Penitentiary and Bell County Forestry Camp.

Compliance visits were also com-

pleted at 20 Reentry Service Centers to ensure that compliance is being maintained during non-audit years.

Transgender Awareness training was developed and was taught at Probation and Parole and Institutional Pre-Service Academies as well as Probation and Parole In-Service. This program has also been presented at various corrections conferences and meetings as requested. These include Kentucky Jailer's Association, Kentucky Council on Crime & Delinquency and the Department of Corrections Education Division annual meeting. Plans were also made to ensure that this training will be included in Institutional In-Service for 2020.

This year, the PREA Division has also enhanced its collaboration with the Kentucky Association of Sexual Assault Programs (KASAP). In addition to the Memorandum of Understanding with KASAP, we are now collaborating with a PREA Work Group and Sexual Abuse Response Team Advisory Committee.

Additionally, during 2019 the PREA Division began a project with the national criminal justice consulting firm, The Moss Group. The recommendations from this project, as implemented in 2020, will ensure that the Kentucky DOC has standardized processes for each area of PREA compliance as well as training for all facility PREA compliance managers.

Private Prison Operations Division

Director

Vacant

Private Prison Operations

The Department of Corrections Private Prison Operations Division has provided oversight of CoreCivic's Lee Adjustment Center, an 816 bed medium security facility Beattyville, KY, since its opening in fall 2017. The first inmates from Kentucky DOC arrived at the facility in March 2018. Since the facility opened, the Private Prison Operations Division has collaborated with CoreCivic to provide ongoing training to Lee Adjustment Center staff to assist them in providing the same level of services found in Commonwealth-operated prisons.

Lee Adjustment Center has continued to provide comparable program, education, and reentry opportunities as other medium security prisons in the Commonwealth, including some evidence-based opportunities for offenders that are only available at this facility. Fuel Education, commonly called FuelEd, provides cutting edge training in technology, allowing offenders to eventually obtain industry standard certifications. The Threshold Program is a reentry program designed to grow the inmate's personal faith by addressing major life issues from the point of view of their own religious faith. At its core, the Go Further initiative is intended to inspire a collaborative approach between the offender and staff in which re-entry planning begins upon the offender's arrival at a CoreCivic facility and continues throughout his stay.

This year, Lee Adjustment Center has become accredited by the American Correctional Association having been deemed 100% compliant with all mandatory standards and 99.76% compliant with all applicable non-mandatory standards published in the association's 4th Edition Adult Correctional Institution and 2016 Standards Supplement Manuals. This accreditation was awarded at ACA's Winter Conference in January 2020.

Emergency Management

Emergency Management ensures the readiness of the Kentucky Department of Corrections to effectively respond to threats and emergencies, conducts and coordinates incident management activities, and supports public safety and security initiatives across the department. Additionally, Emergency Management oversees the use of force review process for adult institutions, manages allowable force related equipment and munitions, and administers the department's cell entry and extraction related initiatives.

In 2019 the Emergency Management team developed a new Critical Incident Manual (CIM). This manual outlines the responsibilities of department and institutional leadership, the Correctional Emergency Response Team (CERT), Crisis Negotiation Team (CNT), and the department's K-9 teams, and includes requirements for training and practice exercises to ensure consistent, planned responses to all critical incidents and emergencies that may occur.

In cooperation with the department's Safety Division, Emergency Management developed, obtained approval, and began implementation of a respiratory safety program for the Cell Entry and Extraction program. Beginning in January 2020, Adult Institutions will begin issuing gas masks as part of the Personal Protective Equipment (PPE) that correctional staff wear when conducting both emergency, planned cell entry and extraction activities.

The Emergency Management Division partnered with the Federal Bureau of Prisons and the Kentucky State Reformatory (KSR) to host a breaching training for CERT team members from across the state. This training, and the purchase of specialized equipment, will greatly enhance the capabilities of our CERT teams during emergencies and critical incident responses. Special thanks to KSR Warden Anna Valentine, CERT Commander Bridgette Gilliland, and Bureau of Prisons Disturbance Control Team Leader Sam Reid, for making this training possible.

Educational and Vocational Programs



Ravonne Sims

Director

Ravonne Sims

Sims began her career in 1999 as a classification and treatment officer at Roederer Correctional Complex's Assessment Center in LaGrange. After a promotion to a microbiologist I at the Department of Public Health in 2000, Sims returned to the Department of Corrections in 2001. In 2003, she was promoted to a corrections unit administrator I at Luther Lockett Correctional Complex (LLCC). Two years later she was promoted again to a corrections unit administrator II at the Kentucky State Reformatory (KSR) where she supervised the prison's 130-bed segregation unit. In 2011, Sims was promoted to deputy warden of operations at KSR and supervised the institution's support services, including the medical department, mental health, food service, correctional industries, religious services and recreation. In 2012, she was named the deputy warden of security.

In August 2013, Sims was appointed as warden of the Roederer Correc-

tional Complex (RCC). While serving as warden she took on the additional responsibility of acting deputy commissioner of support services at Central Office in September 2017. When the position was filled the following May, Sims agreed to serve as acting commissioner for community services until that position was filled. During this time Sims was also appointed to the State Advisory Council for Exceptional Children as the adult corrections representative for the council, a role she continues to hold.

Sims served on the Corrections Emergency Response Team (CERT) at RCC and LLCC from 2002-2005 and has received training in hostage negotiations. She was appointed to coordinate classification issues at Northpoint Training Center for offenders involved in the disturbance that occurred in August 2009.

Sims is a graduate of the University of Louisville where she earned a bachelor's degrees in biology and psychology. She is a 2006 graduate of the Commissioner's Executive Leadership Program. In 2018, she received the Warden of the Year Award at the department's annual awards ceremony.

Overview

The Corrections Education Division complies with numerous State and Federal education requirements as well as partners with several agencies to bring Kentucky's offender population diverse educational opportunities. When fully staffed, the

Education Division has a workforce of 89, with two primary areas of administration within the Education Division: Adult Education and Career/Technical Education.

The first area is Adult Education, which includes three levels: literacy; adult basic education; and adult secondary education or high school equivalency (GED®). DOC completed the 2019 calendar year with 334 offenders earning their high school equivalency (GED®) credentials. The Corrections Education Division remains committed to computer-based GED® testing as well as GED Ready™ testing. Twelve DOC Education Centers and one Private Prison Education Center are certified by Pearson VUE as official Pearson VUE GED® Testing Sites.

A second area of administration incorporated within the Education Division includes the following Career/Technical trade classes: Automotive Body/Collision Repair; Automotive Technology; Carpentry/Cabinet-Making; Computer Management; Introduction to Computer Science; CT Fundamentals; Electrical Technology; Horticulture & Landscaping; Heating & Air Conditioning (HVAC); Masonry; MOS/IC3 (Microsoft Office Specialist/Internet Core Competency Certification); Small Engine Repair; and Welding. To ensure Career/Technical students are meeting business/industry needs and to keep a strong focus on safety, obtainment of the OSHA 10 Hour General Industry and the OSHA 10 Hour Construction cards are required as part of the existing CT Fundamentals curriculum.

Educational and Vocational Programs

The National Center for Construction Education and Research (NCCER) nationally recognized curricula are used in Carpentry/Cabinet-Making, Electrical Technology, HVAC, Masonry, Welding, and many components within the CT Fundamentals program. In 2019, offenders were awarded 352 NCCER program completions.

Another national-level certification program, the National Occupational Certification Training Institute (NOCTI), provides the exit examination for non-construction trades including Auto Body/Collision Repair, Automotive Technology, Computer Management, Horticulture, Introduction to Computer Science, and Small Engine Repair. At the close of 2019, the Education Division had 136 students pass the exit examination.

Both the Federal and State Departments of Education strive for students to be college and career ready upon completion of high school; and in the world of Corrections, re-enter into society. The National Career

Readiness Certificate (NCRC) is an industry-recognized, portable, evidence-based credential that certifies essential skills needed for workplace success. Aligned with ACT®, the NCRC is emphasized as a career readiness tool that offenders participating in corrections education programs are required to pass as a component of CT Fundamentals. In calendar year 2019, a total of 379 NCRCs were awarded in Adult Institutions. To further an incarcerated students' academic career, Postsecondary Education is offered both on-site and via correspondence courses: Fifteen (15) degrees during calendar year 2019 were obtained: Nine Associates of Arts, and 6 Associate in Applied Science. To ensure the incarcerated students have adequate technology-related skills for today's workforce, the Microsoft Office Specialist (MOS) program has been a staple of the correctional education experience. Consisting of IC3, Microsoft Office Word, Excel, PowerPoint,

and Outlook, 23 students completed the Microsoft Office Specialist throughout 2019.

To summarize, the Corrections Education Division continues to be successful in Adult Education and Career/Technical classes throughout the state of Kentucky. Perhaps the best way to see this leadership is with the following savings to the Commonwealth. Calculations are based on 90 day educational good time awards multiplied by a \$34/day cost to incarcerate. In calendar year 2019, the 334 KY-DOC Institution awarded GED's® resulted in a savings of \$1,022,040, while the 526 Career/Technical and college completions resulted in a savings of \$1,609,560. Additionally, there were 547 county jail-obtained GEDs in which the Education Division awarded educational good time that resulted in a savings of 49,230 days of incarceration or \$1,673,820. Total savings of \$4,305,420 in days of incarceration saved.

Pictured below: Photos from the horticulture department.



Pictured below: Items made in the different education departments.



Kentucky Correctional Industries



Joseph Woods

Acting Director

Joseph Woods

Fiscal Branch Manager

Julie Wooldridge

Business Development

Vacant

Farms Branch Manager

Truman Tipton

Overview

Kentucky Correctional Industries (KCI) comprises 15 industries in 8 facilities within Kentucky’s prison system in addition to four farm operations. It has been producing quality goods and services for government agencies, private businesses and the citizens of the Commonwealth for over 50 years.

KCI currently employs approximately 564 inmate workers within the above-mentioned 15 industries including up to 100 inmate workers on the farms as seasonal needs dictate.

The job opportunities provided through Correctional Industries are highly sought after by our inmate population due to their relatively high pay and the career learning opportunities afforded.

KCI strives to provide a work environment that matches, as closely as possible, what would be available on

the “outside”. There is an interview process, pay increases based upon performance evaluations, a time clock to punch in and out and opportunities to work in a team environment.

As a result, Kentucky Correctional Industry products and services offered are of high quality and competitively priced. Consequently, our prisons are more effectively managed, society is delivered significantly less repeat offenders, and the tax dollars needed from the citizens of the Commonwealth are greatly reduced.

Fiscal

KCI continually reviews and implements changes to operating processes to improve its overall financial position. These changes are to reduce operating costs as well as improved cash flow and customer service.

Shipping/Warehouse

The KCI moving crew operation’s transfer from the Blackburn Correctional Complex (BCC) to the central warehouse has assisted in optimizing logistics functions throughout our system. Overall, logistics have become more professional and more organized than in the past. Delivery rates were updated to more accurately account for distance/time that has helped KCI offset losses in this area.

Farms

The farm operations at BCC, Northpoint Training Center (NTC),

Roederer Correctional Complex (RCC) and Western Kentucky Correctional Complex (WKKC) are sustained by its cattle and crop sales.

Operations

KCI is researching the options and availability for seamless mattress equipment. Providing seamless mattresses for our customers will enable institutions to have a disease resistant and stronger product.

Sales/Marketing

The sales department has expanded the amount of sales being made to the private sector. This includes sales to universities, police departments, local governments and churches. The increase in sales to these sectors has offset the lost sales to state agencies due to budget reductions and the shifting of business to private sector entities. An additional sales representative position was recently created and filled and sales territories were redrawn in an effort to provide more sales coverage throughout the state. KCI continues to actively promote more partnerships for sales opportunities with state government entities pursuant to KRS 197.210.

Prison Industry Enhancement Certification Program (PIECP)

KCI is working with Eastern Kentucky Advanced Manufacturing Institute (eKAMI) to begin a potential PIECP program at Eastern Kentucky Correctional Complex. Building plans have been approved through the Department of Finance.



Health Services Division



Cookie Crews

Health Services Administrator

Cookie Crews

Clinical Director

Denise A. Burkett, APRN

Program Administrator

Dr. A.J. Steele

Assistant Wellness Director

Ruth Staples

After serving as warden at four prisons in Kentucky, Cookie Crews has been promoted to the position of health services administrator with the Department of Corrections in 2012.

Crews began her corrections career in January 1984 as a correctional officer at the Kentucky Correctional Institution for Women (KCIW) and in September of the following year was promoted to sergeant. She was then promoted to the position of classification and treatment officer at KSR in 1987 and served in that same position at the Roederer Correctional Complex the next year.

Crews was then promoted to correctional unit administrator I at KSR and in 1998 was promoted again to unit administrator II at the Luther Lockett Correctional Complex

(LLCC). In 2002 she was promoted to deputy warden at LLCC and her service career includes a seven-month stint as acting warden of the prison. In September 2004, she was promoted to warden of the Frankfort Career Development Center and held that position until being named warden at KCIW in 2006. She served in that position until being named warden at KSR in 2009.

Crews is a graduate of Eastern Kentucky University where she received her bachelor's degree in corrections and public relations.

Health Services Division

The Health Services Division provides administrative oversight and direction for all aspects of inmate health care, including Medical, Psychiatric, Psychology, Dental and Nursing services, Pharmacy operations, sex-offender treatment program, and Post-hospitalization/end of life care.

In addition to ensuring that all inmates receive health screenings, physical exams, primary care, spe-

cialty care referrals and emergency services, the Division also provides for chronic care clinics designed to monitor and treat inmates with the following conditions: Asthma, Diabetes, Epilepsy, Hepatitis C, High Cholesterol, High Blood Pressure and HIV infection.

General Services

Mental Health staff served hundreds of inmates in Mental Health programs. They processed several thousand mental health appraisals / screeners / brief follow up appointments on male and female intakes within their first two weeks of admission. The contact that is initiated through our Assessment Centers is devised to identify those inmates needing specialty intensive mental health services, sex offender treatment services, and/or substance abuse treatment services. Inmates may be referred to these intensive services or may be followed by mental health staff through General Services.

Pictured below: Health Services building, LaGrange KY.



Health Services Division

Phoenix, the Co-occurring Unit housed on KSR grounds, provides Evidence-Based substance abuse programming and mental health treatment to the male offender population. This is a 33-bed unit housing male offenders, with both substance abuse/ mental health issues they are simultaneously treated, a minimum of six months.

General Services Mental Health staff provide coverage in all adult institutions across the state. The General Services provided by Mental Health staff include the completion of other psychological evaluation referrals, 30/90 day reviews in SMU, individual contacts/consultations on inmates, crisis intervention, watch initiations, and watch reviews. Cognitive-behavioral evidence-based groups are offered to the general population by Mental Health staff.

Women's Mental Health

Serious mental illness services for female offenders are provided in the Challenges program at the Lonnie Watson Center, a 42-bed unit at KCIW. A licensed psychology provider assigned to this unit provides stabilization and gender-specific treatment services focusing on independent living skills, mental illness recovery, and transitional living services.

There is a co-occurring unit, WILLOW, housed at KCIW provides substance abuse programming and mental health treatment to female offenders. This is a 42-bed unit that opened in May 2012.



Ruth Staples
Assistant Wellness Director



Denise A. Burkett, APRN
Clinical Director

Mental Health staff at KCIW conducted training on mental health issues for the staff assigned to the mental health unit at this institution.

Correctional Psychiatric Treatment Unit (CPTU)

Serious mental illness services are provided for male offenders at the Correctional Psychiatric Treatment Unit (CPTU). This 125-bed unit is located at KSR. The A-wing of CPTU serves inmates with persistent and severe mental illness who have concurrent cognitive related disorders and/or severe deficits in activities of daily living. The B-wing program (THRIVE) serves inmates with persistent and severe mental illness and normative intellectual abilities. The C-Wing is for psychologically and /or behaviorally disordered offenders. It also houses those inmates with acute stabilization. This wing is reserved for the most severely disturbed psychiatric/ behavioral disordered offenders, and/or those inmates with severe mental illness who are serving disciplinary segregation time. Contact with offenders in this program is daily.

Sex Offender Treatment

The Sex Offender Treatment Program (SOTP) provides treatment to approximately 850 offenders at any given time.

The SOTP continues to utilize evidence-based practices as well as track and record recidivism data, which demonstrates significant reductions in recidivism rates for treated sexual offenders when compared with untreated sexual offenders.

Wellness

Staff Wellness has added two program administrators to help all correctional staff deal with the mental and physical aspects of their daily work routine. Staff wellness is any workplace health promotion activity; designed to support healthy behavior in the workplace and to improve health outcomes. The Wellness team created a 4-hour block of training on Emotional Survival, which was presented to all institutional trainers and is being incorporated into Pre-Service Academy as well as Staff In-Service training.

The Wellness team is also tasked

Health Services Division

with handling issues of Workplace Violence. Workplace violence is any act or threat of physical violence, harassment, intimidation, or other threatening disruptive behavior that occurs at the work site.

Highlights

The Critical Incident Stress Debriefing Team provided services to staff following 29 critical incidents and 358 workplace violence incidents in 2019.

We had 155 staff participate of the 220 needed for our grant. Ninety of the 155 have attended MBSR (Mindfulness Stress Reduction).

There were 422 Probation and Parole officers who completed the survey for the P/P wellness grant.

Sex Offender Risk Assessment pro-

cessed 406 court orders.

We submitted 12,237 applications to Medicaid. To date, every inmate inside of our institutions has a current Medicaid application. We will be maintaining that project with our two Assessment Centers and Ross Cash.

Processed 544 Medical Grievances.

Revised and Expanded SAMAT program/protocol to include writing the new medical portion.

We had 28 early medical parole applications submitted 2 were granted and 3 were denied.

Expansion of clinical services at EKCC by adding a satellite medical unit in RHU and minimum security in order to decrease movement of patients between those locations and the main medical unit.

Provided Hepatitis A vaccines to all inmates at KCIW, KSR and RCC.

Established a memory care unit at KSR for patients with Dementia.

Community Sex Offender Treatment Program (SOTP) has had 74 clients successfully complete the program thus reducing the risk to re-offend.

The instructional Sex Offender Program (SOTP) had 157 offenders complete the program.

Our Community SOTP program in the South End of Louisville moved their office to downtown Louisville. This put the clinician close to their clients.

All SOTP staff were trained on SOTIPs, a new assessment tool.

The chart below depicts the major health services provided on site by the Health Services Division over the past three years.

2017		2018		2019	
Chemo	834	Chemo	1515	Chemo	1407
Dialysis	2772	Dialysis	3396	Dialysis	3717
Urology	128	Urology	125	Urology	200
Cardiology	184	Cardiology	235	Cardiology	49
Sleep Study	82	Sleep Study	84	Sleep Study	97
OB	249	OB	282	OB	55
Audiology	0	Audiology	140	Audiology	550

Institutions

BELL COUNTY FORESTRY CAMP

560 Correctional Drive
Pineville, KY 40977
Warden: Brandy Harm
Secretary: Betsy Patterson
Phone: 606-337-7065
Fax #: 606-337-1312

BLACKBURN CORRECTIONAL COMPLEX

3111 Spurr Road
Lexington, KY 40511
Warden: Tiffany Ratliff
Secretary:
Phone: 859-246-2366
Fax #: 859-246-2376

EASTERN KY CORRECTIONAL COMPLEX

200 Road to Justice
West Liberty, KY 41472
Warden: James David Green
Secretary: Melissa Howard
Phone: 606-743-2800
Fax #: 606-743-2811

GREEN RIVER

CORRECTIONAL COMPLEX

1200 River Road, P.O. Box 9300
Central City, KY 42330
Warden: Kevin Mazza
Secretary: Amy Douglas
Phone: 270-754-5415
Fax #: 270-754-2732

KENTUCKY CORRECTIONAL INSTITUTION FOR WOMEN

3000 Ash Avenue
Pewee Valley, KY 40056
Warden: Janet Conover
Secretary: Donna Daily
Phone: 502-241-8454
Fax #: 502-243-0079

KENTUCKY STATE PENITENTIARY

266 Water Street
Eddyville, KY 42038
Warden: DeEdra Hart
Secretary: Tracy Jordan
Phone: 270-388-2211
Fax #: 270-388-5529

KENTUCKY STATE REFORMATORY

3001 West Highway 146
LaGrange, KY 40032
Warden: Anna Valentine
Secretary: Teresa St. Clair
Phone: 502-222-9441
Fax #: 502-222-0240

LITTLE SANDY CORRECTIONAL COMPLEX

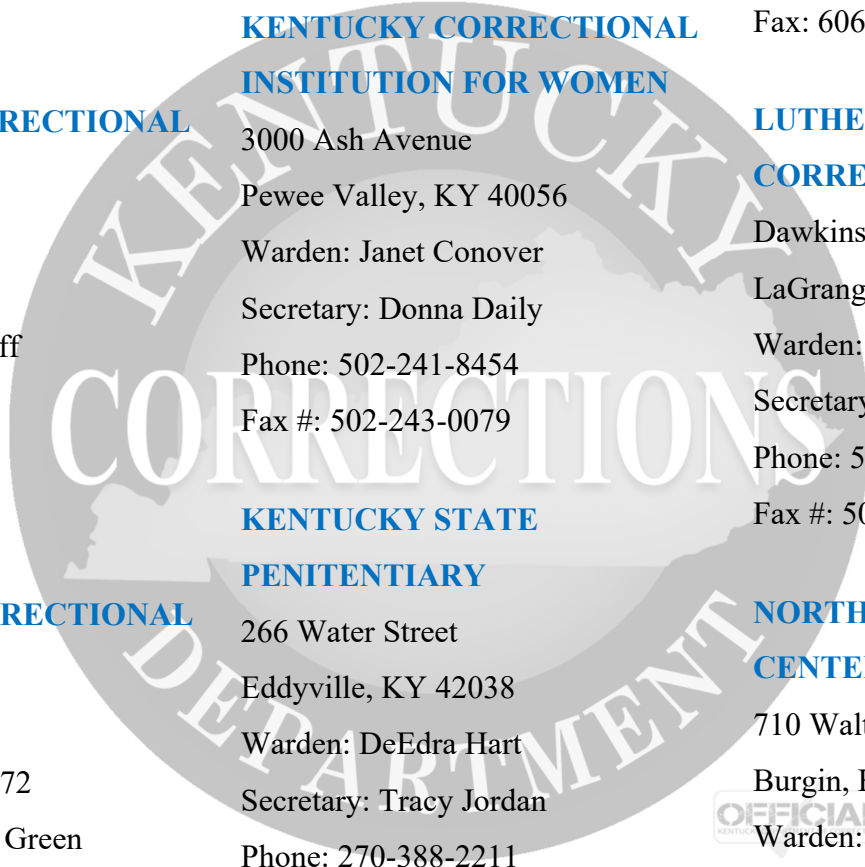
505 Prison Connector
Sandy Hook, KY 41171
Warden: Keith Helton
Secretary: Deborah Williamson
Phone: 606-738-6133
Fax: 606-738-6143

LUTHER LUCKETT CORRECTIONAL COMPLEX

Dawkins Road, Box 6
LaGrange, KY 40031
Warden: Scott Jordan
Secretary: Jennifer Fitzgerald
Phone: 502-222-0363/222-0365
Fax #: 502-222-8112

NORTHPOINT TRAINING CENTER

710 Walter Reed Road
Burgin, KY 40310
Warden: Brad Adams
Secretary: Ashley S. Kirk
Phone: 859-239-7012
Fax #: 859-239-7560



Institutions

ROEDERER CORRECTIONAL COMPLEX

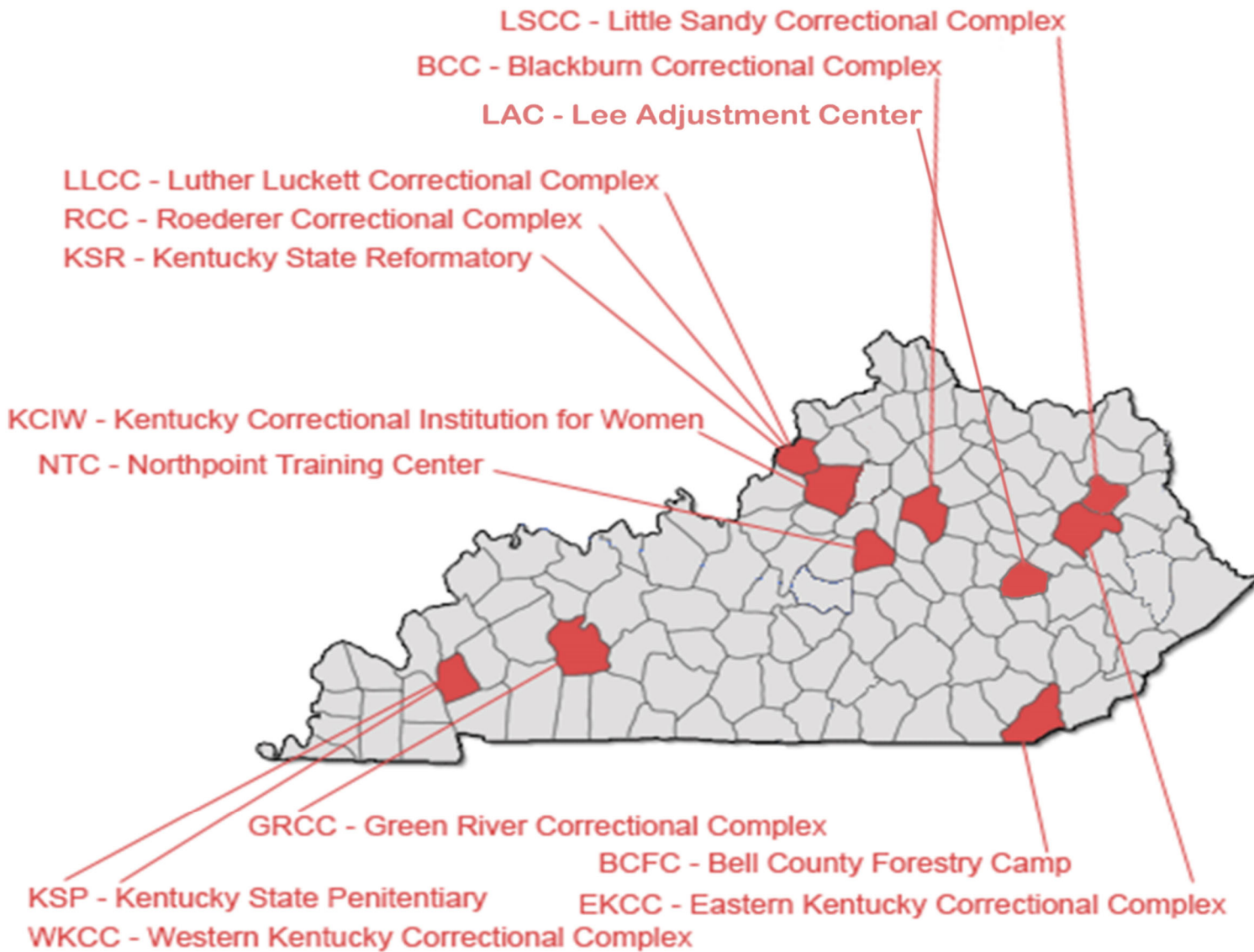
P.O. Box 69
LaGrange, KY 40031
Warden: Ravonne Sims
Secretary: Patti Ray
Phone: 502-222-0170/222-0173
Fax #: 502-225-0084

WESTERN KY CORRECTIONAL COMPLEX

374 New Bethel Church Road
Fredonia, KY 42411
Warden: Tim Lane
Secretary: Kim Odom
Phone: 270-388-9781
Fax #: 270-388-0031

LEE ADJUSTMENT CENTER (CoreCivic)

168 Lee Adjustment Center Drive
Beattyville, KY 41311
Warden: Daniel Akers
Phone: 606-464-2866
Fax #: 606-464-9654



Population Data

**All DOC Offenders
Three-Year Comparison by Gender**

MALE	BCC	BCFC	EKCC	GRCC	KSP	KSR	LAC	LLCC	LSCC	NTC	RCC	WKCC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL
2019	315	293	1894	959	866	987	844	1174	1043	1248	1218	476	3336	67	385	15105
2018	512	299	1909	982	914	1058	851	1197	998	1241	1230	476	3586	96	410	15609
2017	592	299	1673	951	858	1652	0	1199	993	1233	1221	479	0	0	0	11150

FEMALE	KCIW	RC	WKCC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL
2019	702	182	0	1100	21	37	2042
2018	733	197	0	1190	25	3	2131
2017	721	195	0	0	0	0	916

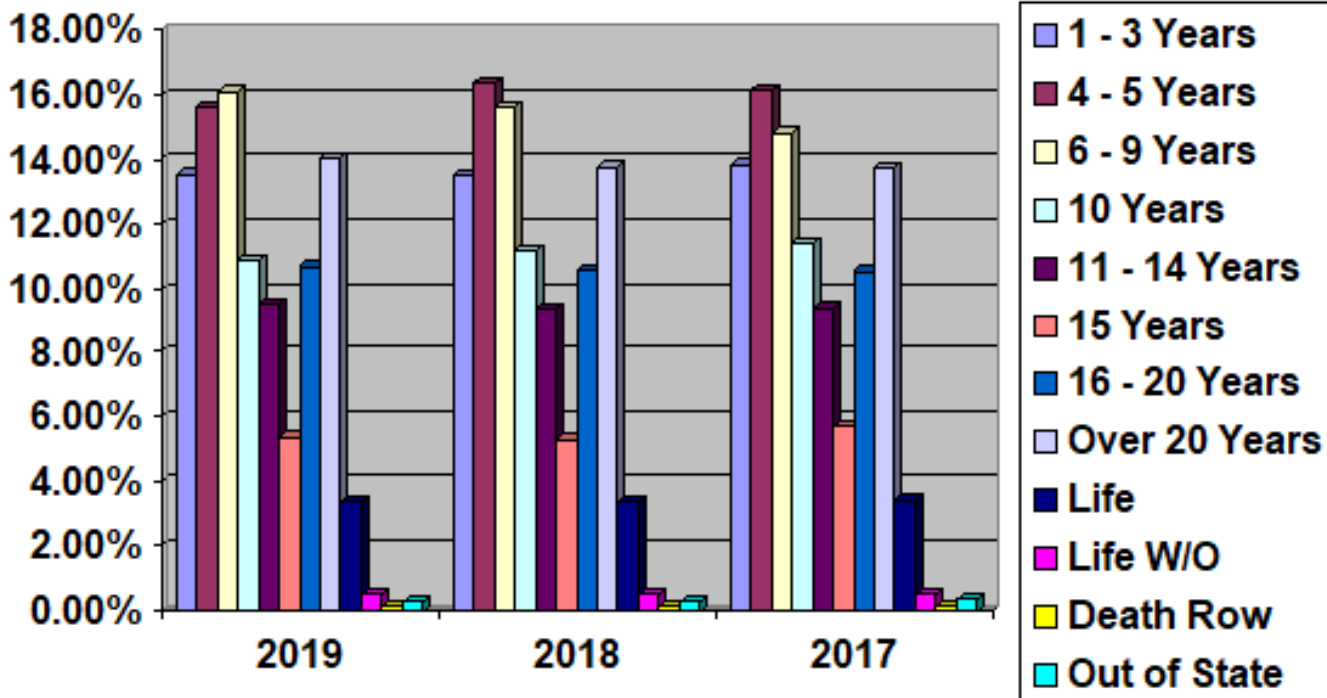
**Demographic Data for All DOC Offenders
Three-Year Comparison**

COUNTY OF CONVICTION	2019	2018	2017
Jefferson	13.41%	14.47%	14.90%
Fayette	5.17%	5.69%	5.71%
Kenton	4.15%	4.18%	4.08%



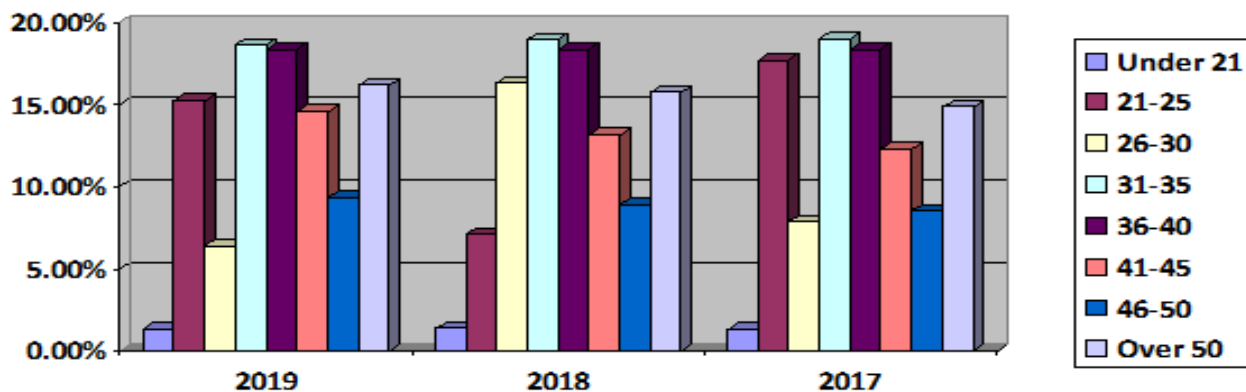
Population Data

Population by Years to Serve Three-Year Comparison



Population by Age Three-Year Comparison

Population Three-Year Comparison



Bell County Forestry Camp



Warden

Brandy Harm

Deputy Warden

Josh Hart

Administrative Assistant

Vacant

Address

560 Correctional Drive
Pineville, KY 40977

2019 Average Daily Population

296

Total Number of Staff

52

Security Level

Minimum

Overview

The Bell County Forestry Camp (BCFC) is situated on approximately 15 acres in rural Bell County, Kentucky. It is approximately 14 miles southwest of the town of Pineville. It is a Minimum Security Facility with the capacity to house 300 adult

inmates. The facility employs 52 staff as well as having 14 contract employees that work in Food Service, Medical Services, and Program Facilitation etc.

The Bell County Forestry Camp was established in October of 1962 as a satellite of the Kentucky State Reformatory in LaGrange with a population of 27 inmates. The population has gradually increased over the years, rising to 105 by the end of 1978. The population increased to 200 with the completion of a new inmate dormitory in November 1987.

The institution later added double bunks and the capacity increased to 300 on August 1, 2007. Bell County Forestry Camp also operates its own Water Treatment Plant and Waste Water Treatment Plant where inmates receive training and become certified plant operators.

There are a total of 14 buildings on the BCFC grounds that includes dormitory, academic school, administration building, procedures office, medical building, multi-purpose building, recreation building, kitchen/dining hall, inmate library, inmate canteen, chapel, caustic/toxic, maintenance, training center, and a number of storage, utility and support buildings.

Bell County Forestry Camp provides inmate labor to Division of Forestry for fire suppression in Bell and surrounding counties during fire season. In addition to forest fire suppression, Inmate labor is also supplied to the Department of Transportation (2 road crews), Bell County Fiscal Court, Bell County



Brandy Harm
Warden

Schools, Pineville City Street Department, Middlesboro City Street Department, Bell County Solid Waste, and Knox Fiscal Court. Special details are also provided from time to time to State Parks in the area.

The average daily count for outside labor crews is 70 inmates. Inmate labor is also utilized in various areas for day-to-day operations such as landscaping, maintenance, food service, sanitation, Water Plant and Sewer Plant operations etc.

The Institution was accredited by the American Correctional Association in 1990 and has successfully passed re-accreditation audits through November 2019. The Institution received PREA Accreditation by the Department of Justice in 2014 and was re-accredited in 2019.

Highlights

The Bell County Forestry Camp has made the following significant improvements:

The Maintenance Department added Phase Monitors to the third Phase HVAC systems.

Many areas of the institution including the inmate dormitory, recreation hall, and academic school were completely repainted this year.

Bell County Forestry Camp



Propane Tanks for the boiler room were also repainted.

A new walk-in freezer was installed for the kitchen and many walls inside the kitchen were resurfaced. A large covered porch has been added for the Horticulture program

BCFC provided Bloodhound puppies for other KYDOC institutions for their K-9 Program. BCFC also provided mature Bloodhounds for EKCC's and NTC's new K-9 programs.

Programmatic and Operational Areas

A reentry coordinator position has been established and filled at BCFC. An MRT Anger Management Mentor class has been added.

BCFC Education Department ranked #1 in the state in GED testing

awards.

New sidewalks were installed throughout the institution for inmate and staff safety.

New mattresses were ordered and replaced for our Honor Dorm inmates.

New chairs ordered for the inmate dormitory chairs.

In the recreation area, the handball court was repaired and the weight equipment has been reupholstered.

Governmental Services Program inmates were utilized to assist the community in flood clean-up efforts during the spring.

In November, BCFC inmates trained in forest fire fighting assisted the Department of Forestry in Bell, Harlan, and Clay counties.

In addition, Bell County Forestry Camp (BCFC) offers a dog program called "Second Chance Paws" and is a partnership with the Knox-Whitley Humane Association in Corbin, KY. This program places a select few dogs from crowded shelter life with inmate handlers to learn basic so-



*Josh Hart
Deputy Warden*

cialization and obedience skills, providing the dogs a second chance at life. During the eight-week program the dogs learn basic obedience skills, how to happily co-exist with many different people, proper leash walking skills, and socialization - all of which are highly adoptable traits. Not only does this program offer valuable training for the dogs, it offers the inmates a chance to learn life skills and what second chances are truly about. In 2019, we've had 36 dogs graduate the program and 100 % of these dogs were adopted when they left the institution.

Pictured to the right: Bloodhound from the K-9 Tracking team.

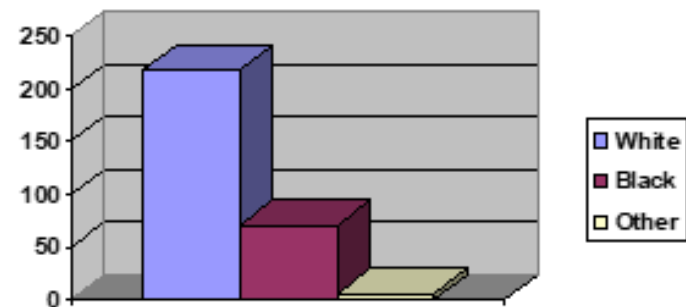
Pictured below: Dogs from the "Second Chance Paws" program.



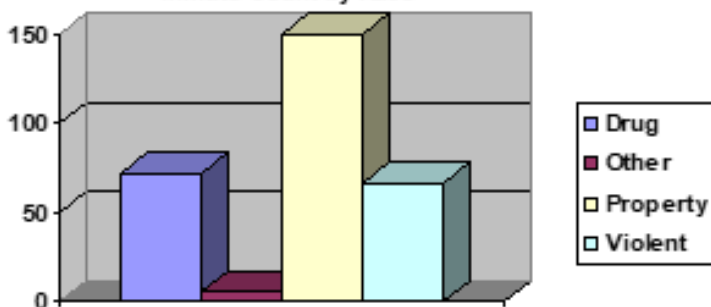
Bell County Forestry Camp

Demographic Data

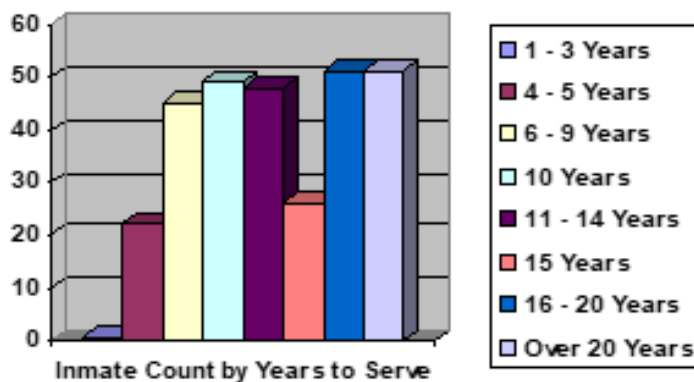
RACE	COUNT	PERCENTAGE
White	217	74.06%
Black	70	23.89%
Other	6	2.05%
Total	293	100.00%



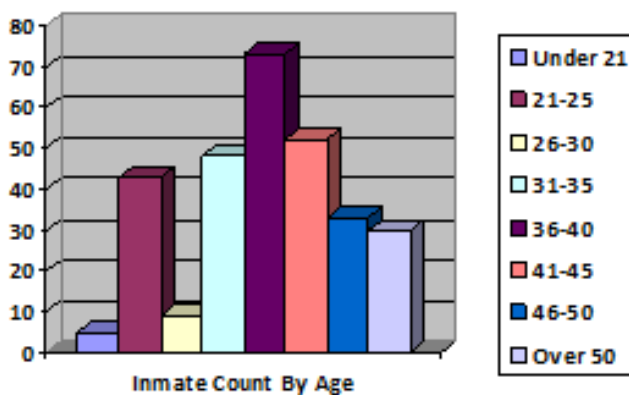
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	71	24.23%
Other	5	1.71%
Property	150	51.19%
Violent	67	22.87%
Total	293	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1	0.34%
4 - 5 Years	22	7.51%
6 - 9 Years	45	15.36%
10 Years	49	16.72%
11 - 14 Years	48	16.38%
15 Years	26	8.87%
16 - 20 Years	51	17.41%
Over 20 Years	51	17.41%
Total	293	100.00%



AGE	COUNT	PERCENTAGE
Under 21	5	1.71%
26-30	43	14.68%
21-25	9	3.07%
31-35	48	16.38%
36-40	73	24.91%
41-45	52	17.75%
46-50	33	11.26%
Over 50	30	10.24%
Total	293	100.00%



Blackburn Correctional Complex



Warden

Amy Robey

Deputy Warden

Abby McIntire

Administrative Assistant

Christy Peach

Address

3111 Spurr Rd.
Lexington, KY 40511

2019 Average Daily Population

320

Total Number of Staff

132

Security Level

Minimum / Community

Overview

Blackburn Correctional Complex (BCC) is the largest minimum-security institution operated by the Kentucky Department of Corrections. BCC became a part of the Kentucky Department of Corrections

in 1972, when it was transferred from the Department of Child Welfare. BCC was named after former Kentucky Governor Luke P. Blackburn, who is known as the “father of prison reform in Kentucky.”

BCC has grown over the course of time. Currently, the facility houses 320 male, adult offenders. The physical plant consists of thirty-two buildings, on 456 acres of land. BCC houses Academic and Occupational Programs, as well as Industrial and Support Services. Additionally, BCC manages one of seven Second Chances Farms for the Thoroughbred Retirement Foundation (TRF) and the only one in Kentucky. The Second Chances Farm at BCC is currently home to 57 thoroughbreds that are retired or have an otherwise limited athletic future. The institution also has a Cattle-farm operation, which includes approximately 100-head of cattle.

BCC focuses on preparing offenders for release into the community. BCC has two vocational programs,

including Horticulture and Masonry. Kentucky Correctional Industries (KCI) operates the mattress and panel operation at the facility. Three work-programs are provided at the facility, including the Groom Elite Horse Program, Inmate-2-Workmate, and Safety Specialist. Similarly, cognitive behavioral programs at BCC include: Moral Reconciliation Therapy (MRT), Anger Management, Inside-Outside Dads, Relapse Prevention, Portal-New Directions, Soft Skills and Prison Fellowship. Additionally, BCC provides work details to KCI-Frankfort, the Kentucky Horse Park, and the Kentucky Department of Transportation.



Amy Robey
Warden



Pictured above: Horseshoe Diner Entry at BCC.

Blackburn Correctional Complex

Highlights

BCC reduced its offender population in April 2019 due to physical plant issues. As a result, Dorm 4, which housed 202 offenders, was closed. Physical plant issues that resulted in the closure of Dorm 4 had been long out-standing, including aging structures that required continuous repairs and updates.

In April 2019, two new boilers were installed in Dorm 2.

In June 2019, a new temporary mobile post was opened, named Post 3. A permanent building is in the process of being constructed.

In June 2019, BCC began allowing outside visitation.

In July 2019, the HVAC systems in the Education and Medical areas were replaced.

In July 2019, the Training Room was relocated to the Security Building.

In 2019, BCC began working with Habitat for Humanity in order to provide a volunteer work detail to assist with building homes in the Lexington area.

In 2019, the veterans program began assisting at the Lexington Veteran's Office twice a month, where they provide assistance with the upkeep of the grounds.

In October of 2019, carbon monoxide detectors were placed throughout the institution.

In October 2019, the Horseshoe Diner opened, which provides staff with an in-house restaurant.

In October 2019, BCC implemented a staff newsletter called *Blackburn Correction Connection* in order to provide staff up-to-date news regarding the institution.

In November 2019, BCC purchased a new Polaris UTV for escorts and perimeter-checks.

In December of 2019, BCC and NTC partnered to purchase confined space rescue equipment.

Programmatic and Operational

BCC awarded 35 GEDs in 2019.

In May of 2019, BCC opened a Reentry Center, the first in the facilities history.

In June of 2019, the TRF horse program at BCC was upgraded to include a Groom Elite curriculum.

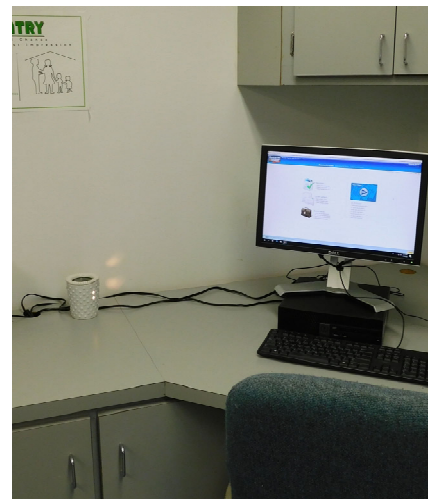
In September of 2019, BCC was approved to add the Substance Abuse Program (SAP) to the institution, with a scheduled implemen-



Abby McIntire
Deputy Warden

tation in early 2020.

In November of 2019, BCC and TFR horse program celebrated their 20-year anniversary.



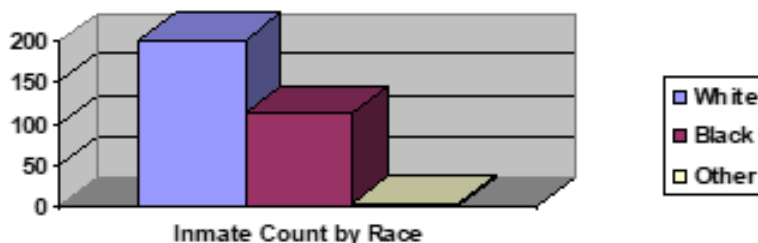
Pictured right: Resume computer in Reentry Center.
Pictured Below: Resource Wall in Reentry Center.



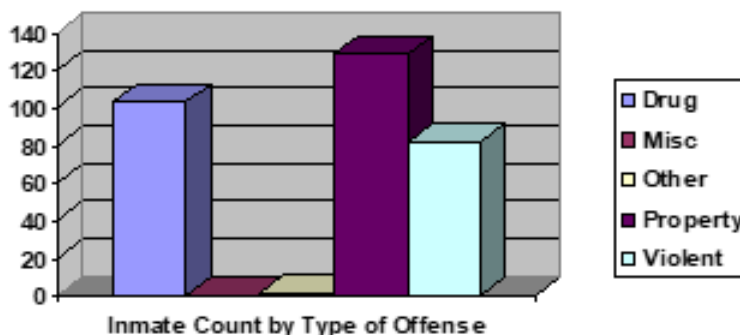
Blackburn Correctional Complex

Demographic Data

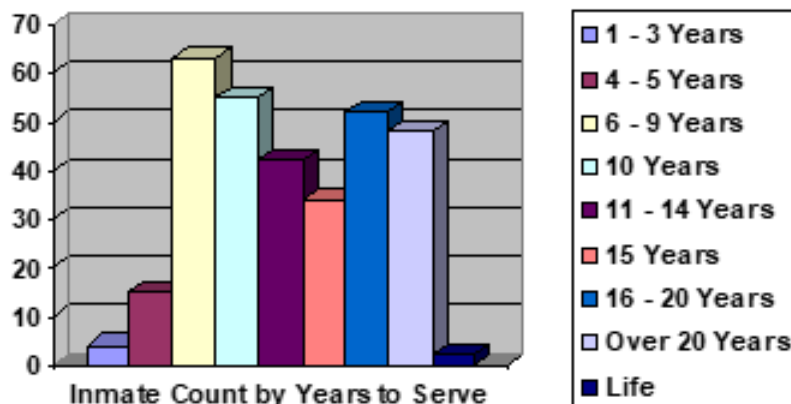
RACE	COUNT	PERCENTAGE
White	200	63.49%
Black	111	35.24%
Other	4	1.27%
Total	315	100.00%



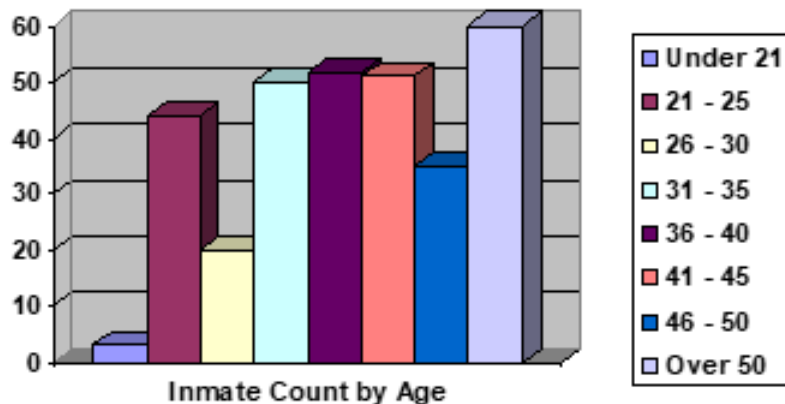
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	103	32.71%
Misc	0	0%
Other	2	0.63%
Property	128	40.63%
Violent	82	26.03%
Total	315	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	4	1.27%
4 - 5 Years	15	4.76%
6 - 9 Years	63	20.00%
10 Years	55	17.46%
11 - 14 Years	42	13.33%
15 Years	34	10.79%
16 - 20 Years	52	16.51%
Over 20 Years	48	15.25%
Life	2	0.63%
Total	315	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.95%
26-30	44	13.97%
21-25	20	6.35%
31-35	50	15.87%
36-40	52	16.51%
41-45	51	16.19%
46-50	35	11.11%
Over 50	60	19.05%
Total	315	100.00%



Eastern Kentucky Correctional Complex



Warden

James David Green

Deputy Warden—Security

Terry Wallace

Deputy Warden— Programs

James Whitt

Deputy Warden— Operations

Shawn McKenzie

Administrative Assistant

Melissa Howard

Address

200 Road to Justice
West Liberty, KY 41472

2019 Average Daily Population

1864

Total Number of Staff

384

Security Level

Medium

Overview

The Eastern Kentucky Correctional Complex was constructed in two

phases and houses minimum, medium, close and maximum custody inmates. The contract for construction was awarded in August 1985 and officially opened in 1990. The first inmates were received February 14, 1990. Phase II construction was completed in December of 1991. EKCC was designed to house 1,122 inmates but double bunking increased the institutional capacity to 1,938, making EKCC 73% over its designed capacity. EKCC’s distinction from other Kentucky institutions is the very structured inmate controlled movement. Controlled movement is the result of our institutional design, the number of inmates housed and the inmate custody level. EKCC’s best security tool is controlled movement. Inmates move to and from their dormitories to work, school, gym and yard on the hour and half hour. Movement is closely monitored providing inmates with few opportunities to pass contraband and creating a safer environment for inmates and staff. Controlled movement also allows EKCC to reduce the number of inmates moving at any given time; thus enhancing EKCC’s ability to control disturbances within the inmate population.

Highlights

The education department initiated GED testing for qualifying MSU and RHU inmates. This effort yielded one MSU GED completion and the first-ever RHU GED within the Kentucky Department of Corrections Education Division.



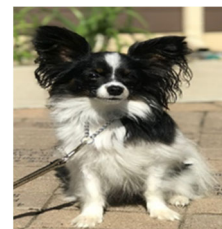
James David Green
Warden

Human Resources launched their Referral Program. If an employee refers someone for a correctional officer position and they are hired and complete their six month probationary period, the referring employee receives \$50.00.

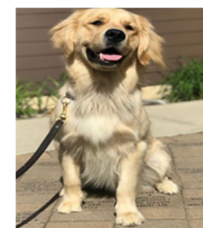
The number of retirees who have taken advantage of the Gold Card are 18. The gold card entitles retirees of 20 plus years to come back to the institution and take advantage of services that are offered to our staff such as car washes, haircuts, shoe-shines and eating at the staff grill.

The training department is now conducting an Annual Taser End User Qualification/Requalification on an annual basis instead of having everyone become due at different times during the year.

The 4-paws program has had 12 dogs to graduate as service dogs and have many more in advanced training. Two of the pups are Andretti and Mandrake. Andretti is a service dog for a hearing impaired child. Mandrake is a service dog to a child with mobility issues.



Andretti



Mandrake



Eastern Kentucky Correctional Complex



Shawn McKenzie
Acting Deputy Warden



James Whitt
Deputy Warden



Terry Wallace
Deputy Warden

Programmatic and Operational Areas

The Eastern Kentucky Correctional Complex library donated 400 books to Kentucky Correctional Institution for Women.

The MRT classes were very active in 2019. The classes participated in a Family Resource donation drive. Inmates donated 66 hygiene items, 408 school supply items and 319 food/drink mix items. These items were delivered to the Morgan County Family Resource Center to be distributed to needy schoolchildren in the community.

The EKCC staff donated 366 cans of food to the local food pantry during the months of November and December in lieu of paying \$3 on Friday's for non-uniform staff to wear jeans.

EKCC has approximately 1,048 inmates who graduated programs (excluding educational programs). Of those, 529 were MRT graduates.

The maintenance department fabricated and installed 16 restraint desks for Dorm 1 RHU classrooms. Thirty-seven new digital cameras were installed throughout the institution.

Fabricated and installed 12 recreation holding cells for the transition dorm 1 RHU.

Property room was moved to academic wing to make more room for medical and three holding cells were fabricated and installed for the new property room.

Thirty-two student inmates completed the GED program and earned their Commonwealth of Kentucky High School Equivalency Diploma, 30 were academic graduates.

In March of 2019, EKCC began the conversion of a 240 inmate GP housing unit into a 160 inmate Restrictive Housing Unit that would house and manage a new transition program for long term administrative control inmates. This is a collaborative effort between EKCC staff and NOA counseling. The goal of the transition unit program is to provide intensive programming that specifically targets violence and substance abuse. This RHU unit serves inmates assigned there for administrative control, inmates with conflicts and those who refuse to move to the general population. The Transition Unit Program is a 24 week program, broken down into

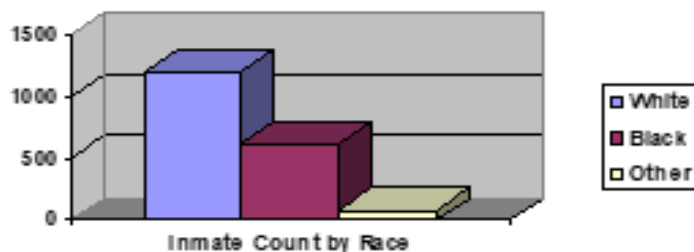
four phases and 75 assignments. A violent risk scale (VRS) is completed on each inmate and upon completion of the pre-VRS an individualized treatment plan is developed to begin programming. Between each phase the treatment team meets and conducts Offense Analogue Behavior and Offense Replacement Behavior. Upon completion of the program a post-VRS is conducted. EKCC transition and NOA staff prepare an aftercare plan for each graduate.

The Medical Department obtained the space where the property room used to be. It allowed the Medical department to expand their treatment areas. This allowed medical staff to provide care to the patients at EKCC more effectively. There is now space for PT and OPS that had previously been moved around on a daily basis to have an assigned, private area for patients. Most rooms in the current medical space are double booked every day. This additional space is a much needed and appreciated resolution to many of the medical issues.

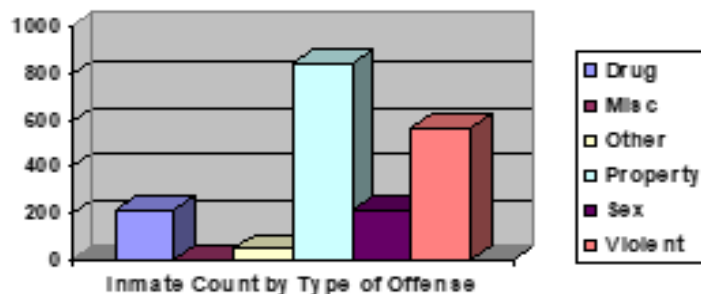
Eastern Kentucky Correctional Complex

Demographic Data

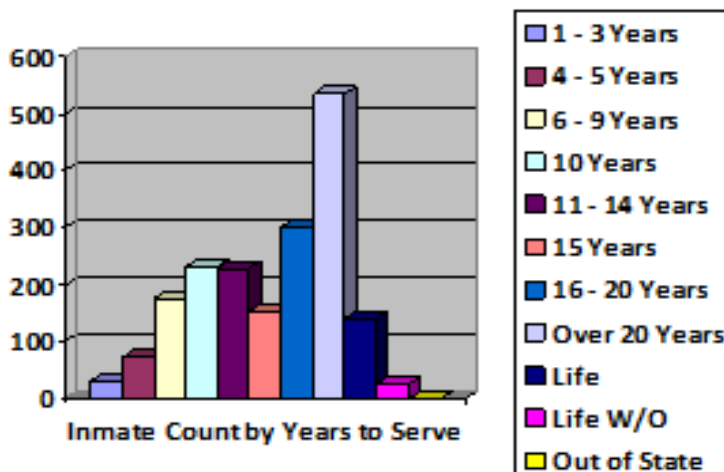
RACE	COUNT	PERCENTAGE
White	1202	63.46%
Black	612	32.31%
Other	80	4.23%
Total	1894	100.00%



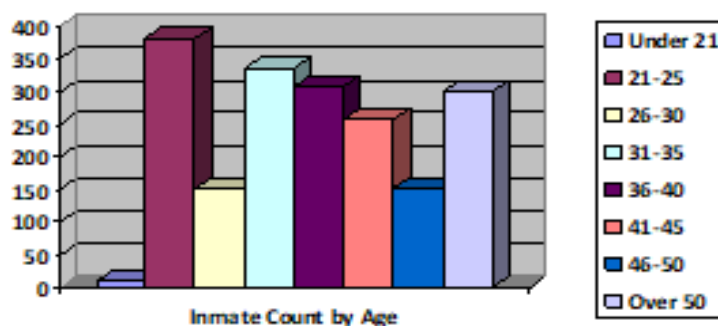
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	220	11.62%
Misc	1	0.05%
Other	52	2.75%
Property	843	44.51%
Sex	215	11.35%
Violent	563	29.72%
Total	1894	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	30	1.58%
4 - 5 Years	73	3.85%
6 - 9 Years	175	9.24%
10 Years	232	12.25%
11 - 14 Years	227	11.99%
15 Years	154	8.13%
16 - 20 Years	300	15.84%
Over 20 Years	535	28.25%
Life	141	7.44%
Life W/O	26	1.37%
Out of State	1	0.06%
Total	1894	100.00%



AGE	COUNT	PERCENTAGE
Under 21	10	0.53%
26-30	381	20.12%
21-25	152	8.03%
31-35	337	17.79%
36-40	308	16.26%
41-45	256	13.52%
46-50	151	7.97%
Over 50	299	15.78%
Total	1894	100.00%



Green River Correctional Complex



Warden

Kevin Mazza

Deputy Warden– Security

Patrick Kessinger

Deputy Warden—Programs

Bobbi Jo Butts

Administrative Assistant

Mindy Masden

Address

1200 River Road
Central City, KY 42330

2019 Average Daily Population

966

Total Number of Staff

261

Security Level

Medium

Overview

Construction of the Green River Correctional Complex (GRCC) began in August 1992 and was originally designed to house 550 inmates. The

first group of inmates arrived December 16, 1994. GRCC has since expanded, building a medium security living unit, as well as a minimum security dormitory with a current inmate population capacity of 982. Since opening, GRCC has been re-accredited by the ACA seven times, most recently in 2015.

The institutional design is “direct supervision.” The dormitories have an open control center (no bars, glass or other barriers). Unit Management is the means of managing and organizing the institution. In this team oriented concept, a management team of administrators, supervisors, correctional officers and classification treatment officers supervise each living unit and are responsible for the security and management of the inmate living unit and its inmate population.

GRCC does not have security gun towers. Perimeter security is dependent upon an electronic detection perimeter alert system, a microwave detection system, and constant 24-hour armed perimeter vehicle patrol(s).



Kevin Mazza
Warden

Highlights

During the year of 2019 Green River Correctional Complex upgraded the institution on several different levels.

Installation of handicap rails in some of the cells in dorm 1 lower walk.

The maintenance department successfully removed the floor tile from the chapel. The floors were then sealed and waxed.

There was removal of the tool cage from the carpentry shop and a new one was built for security reasons.

The Special Management Housing Unit recreation cages were also re-worked to provide a higher security level. Special Management Housing Unit cells and walks were painted. Installed new observation windows

Pictured to the right: New metal roof on minimum security housing unit.



Green River Correctional Complex

in both dry cells in Special Management Housing Unit. New sidewalks in front of Special Management Housing Unit and the Medical department.

There was a gravel part of the inmate loop on the yard that was paved.

Installation of new cooling towers and heat exchanger at K-building.

There were repairs on the perimeter fence that were complete.

Fire and Safety Coordinator Ricky Cary, overseen the installation of 13 new Simplex Control Panels.

The Administration building had alarms installed on the Exit doors. Installation of magnetic lock on the front door of Administration.

New Muffin Monster “sewage grinder” installed at K-building.

The picnic tables were removed from the outside area of visits.

Placed six additional picnic tables on the yard for general population.

Installed new metal roof on Minimum Security Housing Unit.

New Camera Master Server, New Camera Recording Server, Camera License / Version upgrade

New Server Rack installed. New hard drives added to Old Wonder ware / PLC Computer that controls the facility doors / intercoms.

2 AT&T Server Upgrades / speed increase, New Riverbed Installed. New Phone System installed

Extensive network cabling installed throughout facility. New network switches added to buildings for cam-



Patrick Kessinger
Deputy Warden



Bobbi Jo Butts
Deputy Warden

era / network expansion. New UPS added to network switches.

Replaced Virtual File Server (G & H) drive with New Physical File Server (G & H) drive.

Installed: 9 PTZ cameras, 2 corner cameras, 2 quad view cameras

Programmatic and Operational Areas

Added MRT Con Games to the programs and an additional MRT Seeking Safety and MRT Successful Re-Entry. Decreased regular MRT program.

The Chapel now offers classic movies two Thursdays per month and provides popcorn to attendees.

Dorms 2 & 6 (Meritorious Dorms) are the only population allowed yard/gym time following 6 p.m. count.

Dorm 5 is now unassigned dorm. Let-in/Let-out – once inmates come out of their cells, they must stay out until the next approved time to return. Controlled movement after 12:30pm count. Only movement is for meals, religious services, etc. Allowed one hour out of cell each evening to utilize JPay and tele-

phones. This is conducted by walk.

Dorm 7 & 3 (clear conduct and Substance Abuse Program) are allowed bullpen/dayroom time following 6pm count.

Physical therapy will be added to GRCC in the near future. The room is currently being prepared in the gym.

HR Ministries’ Celebration Day was held at GRCC for the first time in several years.

GRCC has been approved to move forward with adding more Substance Abuse Program beds and the SOAR program. Currently, Division of Substance Abuse is working to obtain possible grants to fund this addition.

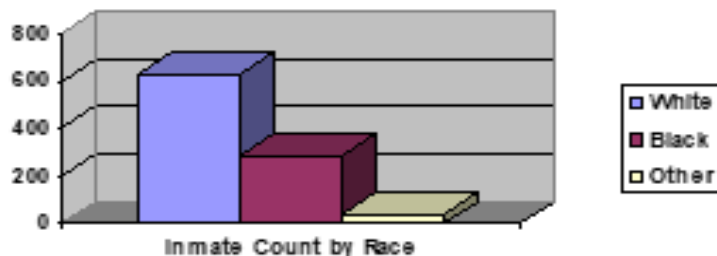
Pictured below: New security gate was added to inmate visitation.



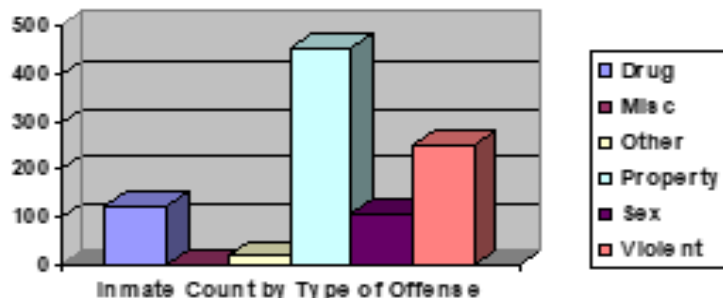
Green River Correctional Complex

Demographic Data

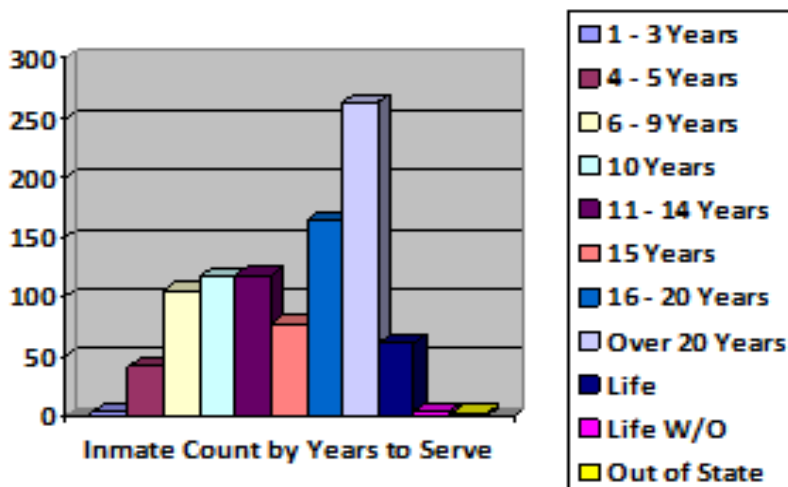
RACE	COUNT	PERCENTAGE
White	633	66.01%
Black	289	30.14%
Other	37	3.85%
Total	959	100.00%



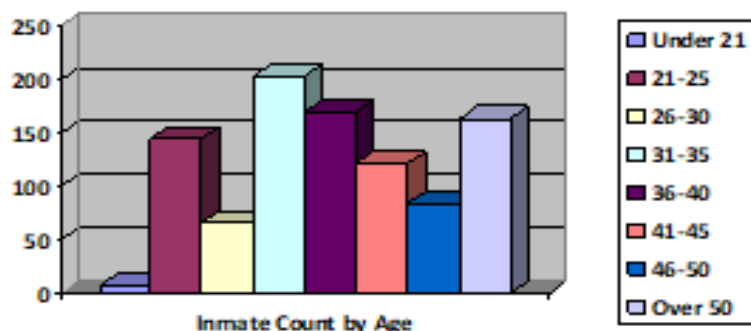
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	125	13.03%
Misc	2	0.21%
Other	20	2.09%
Property	456	47.55%
Sex	106	11.05%
Violent	250	26.07%
Total	959	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	3	0.31%
4 - 5 Years	41	4.28%
6 - 9 Years	106	11.05%
10 Years	117	12.20%
11 - 14 Years	119	12.41%
15 Years	77	8.03%
16 - 20 Years	164	17.10%
Over 20 Years	264	27.53%
Life	61	6.36%
Life W/O	5	0.52%
Out of State	2	0.21%
Total	959	100.00%



AGE	COUNT	PERCENTAGE
Under 21	7	0.73%
26-30	144	15.02%
21-25	66	6.88%
31-35	203	21.17%
36-40	170	17.73%
41-45	122	12.72%
46-50	84	8.75%
Over 50	163	17.00%
Total	959	100.00%



Kentucky Correctional Institution for Women



Warden

Vanessa Kennedy

Deputy Warden– Security

Stephen Lyons

Deputy Warden– Programs

Vacant

Administrative Assistant

Melanie Dragoo

Address

3000 Ash Avenue
Pewee Valley, KY 40056

2019 Average Daily Population

215

Total Number of Staff

174

Security Level

Multi-custody including death row

Overview

The Kentucky Correctional Institution for Women (KCIW) received its first inmates in 1938 as an extension of the Kentucky State Reformatory at LaGrange. In 1962, KCIW was es-

tablished by the Legislature as an autonomous institution with a Warden as its Chief Executive Officer. KCIW was the only state run institution for female inmates until 2010 when the Western Kentucky Correctional Complex was converted to a female facility. As of November 1, 2015 the Western Kentucky Correctional Complex was converted back to a male facility and the Ross-Cash Center was established as a 200 bed minimum female facility.

Near the town of Pewee Valley, KCIW is located on 270 acres in Shelby County. The facility has been ACA accredited since 1982 and is tobacco free. The institution is a campus style setting with multiple housing units, double and single bunked. KCIW is considered a multi-custody facility as differential housing and programming must meet the needs of female felons serving one year to life, death row, maximum, medium, minimum, community custody, first offenders, persistent offenders, the disabled and special needs inmates.

Warden Vanessa Kennedy provides fiscal responsibility and oversight as she manages a budget of approximately \$15.7 million. Positive working relationships as well as good communication between the Warden, Deputy Wardens, staff, volunteers and outside visitors add significant value to the Mission Statement of the institution and the DOC.

Highlights

The Kentucky Correctional Institution for Women (KCIW) continues



Vanessa Kennedy
Warden

to provide outstanding public protection through a secure facility while providing the inmate population opportunities to rehabilitate and ready themselves for re-entry into life outside the fence.

KCIW’s Program Security Review took place April 9-10, 2019.

KCIW placed Fentanyl Kits throughout the institution for quick response to Fentanyl exposure.

KCIW’s Training Department continues to train newly hired Correctional Officers during the employee orientation process. The Training Department has also developed a week-long program for officers once they have completed the Corrections Basic Academy that focuses on topics covered at the academy and how they relate to KCIW specifically. KCIW’s training department continues to conduct annual in-service training and assists staff with meeting their annual training requirements.

The I-LEAD Program, a six-month long regional leadership training program with participants from KCIW, KSR, LLCC and RCC continues to play an important part in KCIW’s quest to effectively raise the chances for professional success of its participants within the DOC. Participants receive guidance in the following areas: upward mobility, resume prepara-



Kentucky Correctional Institution for Women

ration, public speaking skills, cultural diversity and networking opportunities.

October 2, 2019 KCIW created a Program Dorm to include SAP/WILLOW/ Challenges/ AMENDS/ Assessment Center. We reduced our Assessment Center from seventy-two (72) beds to twenty-four (24) beds.

December 2019, KCIW's Assessment Center started using the Intake tablet for a quicker intake process. The tablet used for this process is directly linked to KOMS.

Programmatic and Operational Areas

The Kentucky Correctional Institution For Women (KCIW) continues to partner with Paws With Purpose (PWP), a non-profit organization that provides highly skilled Assistance Dogs to children and adults with physical disabilities or other special needs.

The dog program begins with 2 month old puppies and continues until the dogs are about a year and a half old. The inmates which apply to participate in the PWP program must be screened and go through an interview process to be selected to participate. The inmates selected receive training from PWP volunteers who visit during the week.

The Education Center offers inmate instruction in Basic Literacy, Adult Basic Education, GED and college courses. Some of the college courses offered include Biology, English, Psychology, Sociology, Women's History, Developmental Math, and English. Vocational training includes

a certified program in Horticulture, Electrical, and Microsoft Office.

Treatment programs such as Sex Offender Treatment Program and Substance Abuse Program are available to inmates. The Substance Abuse Program provides treatment in a Therapeutic Community, with close supervision in a structured environment. It allows inmates to learn accountability for their actions as well as learning relapse prevention tools they will need when they return to the community. The program takes approximately six months to complete.

Another therapeutic program called WILLOW (Women Invested in Learning and Loving Ourselves Well) addresses the needs of incarcerated women who suffer from both a mental illness and a co-occurring addiction.

Moral Reconciliation Therapy (MRT) is also available to the inmate population and is a behavior modification course that uses evidence-based practices to understand how decisions are made and the reasoning behind them.

Portal New Directions is available to the inmate population that uses evidence-based practices on prepar-



*Stephen Lyons
Deputy Warden*

ing offenders for upcoming release. The program modules focus on tools needed for successful re-entry into the community by preparing a person with steps on obtaining employment, housing, medical care, and transportation.

Soft Skills Boot Camp is available to the inmate population as a motivational training to work on communication skills, teamwork, leadership, creative thinking and seeing the big picture of what a business is trying to accomplish.

Kentucky Correctional Industries (KCI) has been at KCIW for 32 years. Industries provides inmates with job training that produces marketable skills and quality products. Correctional Industries currently operates four separate industries consisting of Bulk Mail Services, Braille Transcription, Warehousing and the Soap Plant and Portion Pac.

Photo to the right: KCIW Chapel.

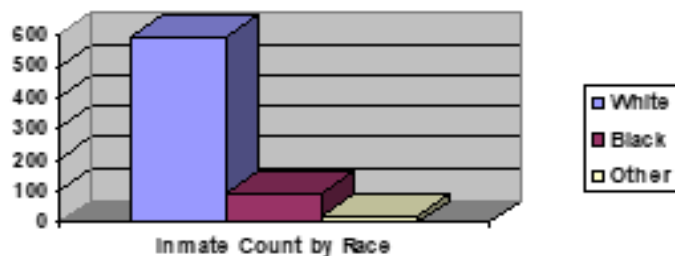


Chapel

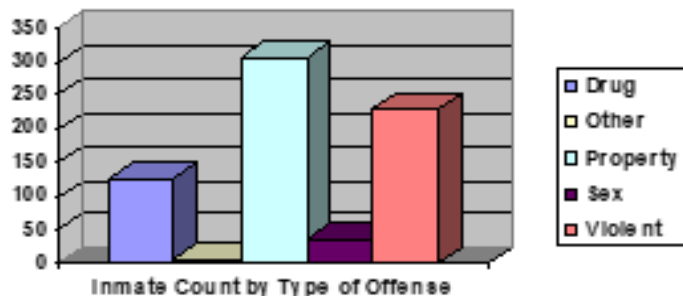
Kentucky Correctional Institution for Women

Demographic Data

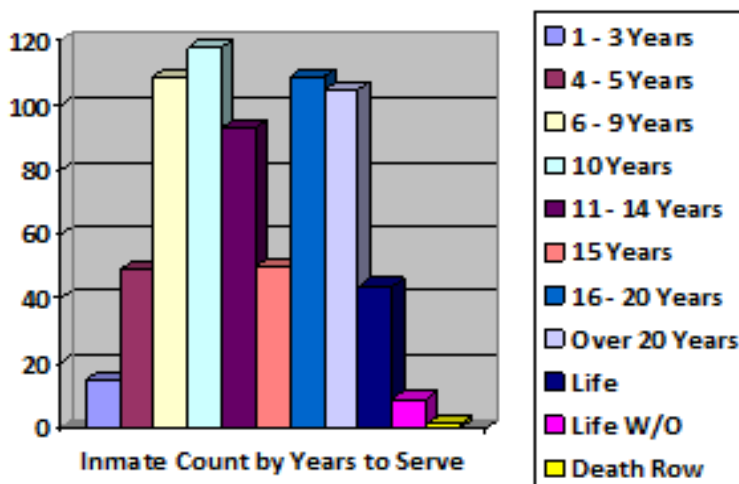
RACE	COUNT	PERCENTAGE
White	590	84.05%
Black	93	13.24%
Other	19	2.71%
Total	702	100.00%



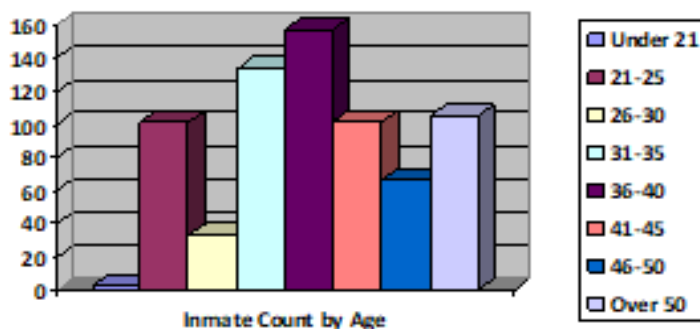
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	127	18.09%
Other	6	0.85%
Property	305	43.45%
Sex	36	5.13%
Violent	228	32.48%
Total	702	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	15	2.14%
4 - 5 Years	49	6.98%
6 - 9 Years	109	15.53%
10 Years	118	16.81%
11 - 14 Years	93	13.25%
15 Years	50	7.12%
16 - 20 Years	109	15.53%
Over 20 Years	105	14.96%
Life	44	6.26%
Life W/O	9	1.28%
Death Row	1	0.14%
Total	702	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.43%
26-30	102	14.53%
21-25	33	4.70%
31-35	134	19.09%
36-40	157	22.36%
41-45	102	14.53%
46-50	66	9.40%
Over 50	105	14.96%
Total	702	100.00%



Kentucky State Penitentiary



Warden

DeEdra Hart

Deputy Warden– Security

Bruce VonDwingelo

Deputy Warden– Programs

Skyla Grief

Deputy Warden– Operations

Garyth Thompson

Administrative Assistant

Donna Dunbar

Address

266 Water Street
Eddyville, KY 42038

2019 Average Daily Population

859

Total Number of Staff

342 Positions
34 Contract

Security Level

Maximum

Overview

The Kentucky State Penitentiary

(KSP) serves as the Department of Corrections’ only maximum-security facility with an operational capacity of 914 beds, housing an average of 859 inmates in 2019. The institution has operated for over 130 years, originally opening on Christmas Eve in 1889. The facility sits on the banks of Lake Barkley and locally referred to as, “The Castle on the Cumberland”.

Our population includes a small protective custody unit, a large restrictive housing unit in two separate cellblocks, death row, general population, and a 30-man minimum security dormitory located outside the secure perimeter.

Highlights

During 2019, the Kentucky State Penitentiary continued to be compliant with all Prison Rape Elimination Act (PREA) standards as part of a national PREA audit process. This consists of 43 national standards that ensure compliance with all facets of this federal legislation. PREA addresses the detection, prevention, reduction and prosecution of sexual harassment and abuse in correctional facilities in the country.

Kentucky State Penitentiary was originally accredited by the Commission on Accreditation for Corrections, American Correctional Association in 1983 and has maintained accreditation status since that time. In June 2019, Kentucky State Penitentiary excelled in an Accreditation Audit.

Maintenance and Physical Plant

Kentucky State Penitentiary made



DeEdra Hart
Warden

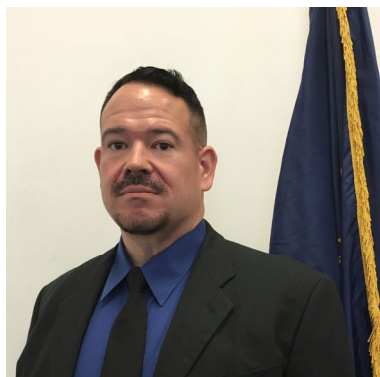
several repairs and upgrades within 2019 to include: Business Office/Museum roof and gutters replacement, 7 Cell House washers, replacement W/P generator fuel tank replacement, 6 Cell House generator fuel tank replacement, LED Energy Lights added to the yard and cell houses, Internal Affairs Office renovation, non-contact Visitation cages installed, sewer line above kitchen replaced, 3 Cell House plumbing electronic valves installed, annex basement court Room hallway painted, 6 Wall Stand roof replacement, 3 Cell House Mini Gym roof replacement, section of gutters and roof replaced on the former relabeling plant building, 6 Cell House Death Row showers renovation, Medical Unit roof has been repaired, front entrance roof on the annex replaced, 10 cameras replaced, 2 Kiosks installed and new camera servers upgraded. Along with upgraded to the water treatment plant, sewer plant and boiler room.

Security

Kentucky State Penitentiary added 10 shotguns to the armory, 150 sets of metal wrist restraints and 100 metal ankle restraints. Pelican cases were obtained for transportation of weapons during CERT activations. CERT added new helmets for the team.



Kentucky State Penitentiary



Garyth Thompson
Deputy Warden



Skyla Grief
Deputy Warden



Bruce VonDwingelo
Deputy Warden

During 2019, the execution building added new radios dedicated to the building and team.

Kentucky State Penitentiary added a recycling program and in six months the recycling program at KSP has kept 7,102 pounds of waste from going into our landfills. In Restrictive Housing non-contact visitation we installed telephone communication that can be recorded and monitored, covered the screened area that was previously used for communication to reduce the introduction of contraband into the institution.

Restrictive Housing Transition Program

Kentucky State Penitentiary has a Transition Unit within its Restrictive Housing Unit established in August 2014. The Transition Unit (TU) is able to hold a maximum of 36 offenders. Offenders who have behavioral issues resulting in excessive rule-breaking requiring restrictive housing and those committing violence and presenting as a security concern with a placement in administrative control, afforded the opportunity to move into the Transition Unit and participate in programming.

Programmatic and Operational Areas

For FY 2019, a total of 22 cognitive skills courses of various MRT programs and other evidence-based programming is conducted, allowing an average of 330 offenders during a six-month period, to complete a course and have educational good time credits applied.

For offenders in general RHU, a 30-day Behavioral Modification course is available. During 2019, a third session was implemented. Fifteen offenders assigned to RHU are provided the opportunity for small group session bi-weekly for four weeks.

Prison Industries

Kentucky Correctional Industries (KCI) at Kentucky State Penitentiary (KSP) began operating in the mid 1950s with a mandate to provide training and employment to state inmates. Today, Industries at KSP employs over 100 plus state inmates. Overall, for the year of 2019, the Garment plant made approximately \$1.2 million dollars in sales.

Expanded Faith-Based Activities

A minimum of 29 different reli-

gious services/studies are scheduled each week for eleven various faith groups and many more weekend activities are scheduled because of volunteer participation. During 2019, KSP continued to have the Kairos faith based prison ministry to conduct a program within the facility as Kairos and Residents Encounter Christ (REC) programs. In 2019, Kairos included all inmate populations to include 24 General Population, 20 Protective Custody, Death Row, Minimum Custody Unit, and they make rounds in the Restrictive Housing Units. Each were followed up by monthly reunions extending their contact within the groups.

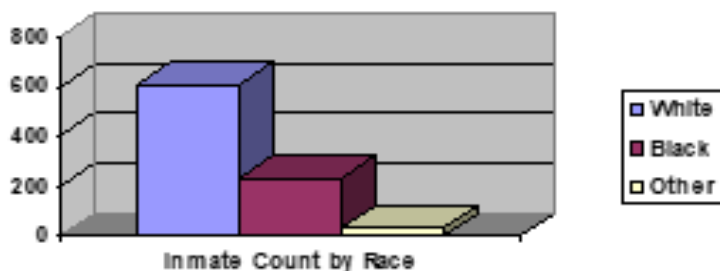
Reentry

The Division of Reentry began its formal operations during this year, and a KSP was provided a full-time reentry coordinator based on site and part of District 1. Offenders are assisted with obtaining necessary identification documents prior to release, housing options and placement, job leads, and information for other necessary services such as medical, clothing, and faith-based organizations.

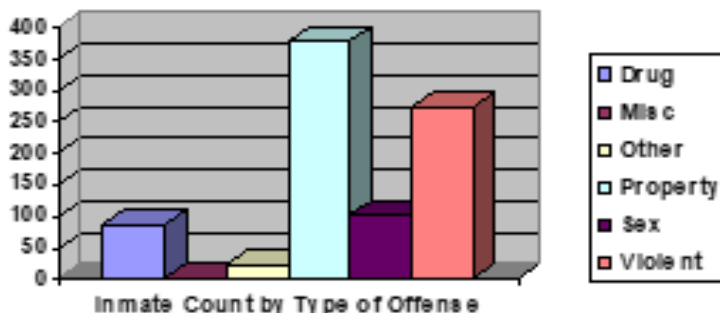
Kentucky State Penitentiary

Demographic Data

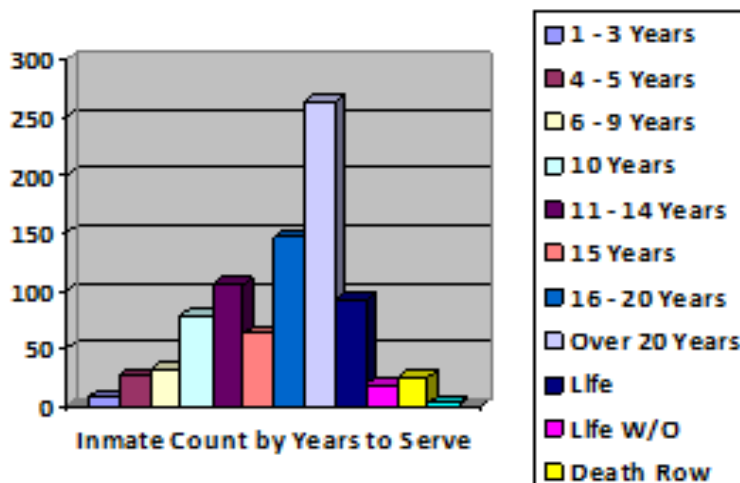
RACE	COUNT	PERCENTAGE
White	607	70.09%
Black	230	26.56%
Other	29	3.35%
Total	866	100.00%



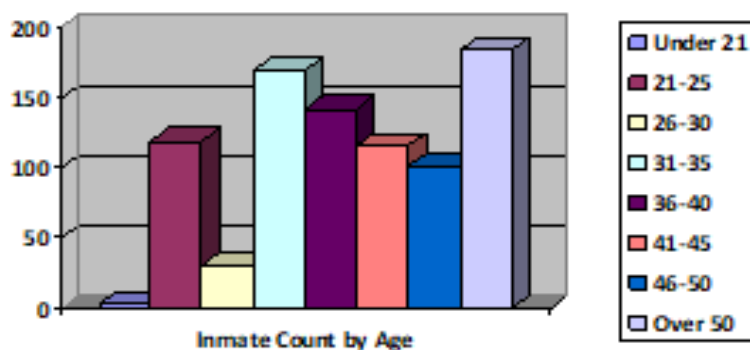
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	86	9.93%
Misc	3	0.35%
Other	22	2.54%
Property	380	43.88%
Sex	102	11.78%
Violent	273	31.52%
Total	866	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	8	0.92%
4 - 5 Years	27	3.12%
6 - 9 Years	33	3.81%
10 Years	80	9.24%
11 - 14 Years	105	12.12%
15 Years	63	7.27%
16 - 20 Years	147	16.97%
Over 20 Years	264	30.49%
Life	92	10.63%
Life W/O	19	2.19%
Death Row	25	2.89%
Out of State	3	0.35%
Total	866	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.35%
26-30	119	13.74%
21-25	31	3.58%
31-35	170	19.63%
36-40	142	16.40%
41-45	116	13.39%
46-50	101	11.66%
Over 50	184	21.25%
Total	866	100.00%



Kentucky State Reformatory



Warden

Anna Valentine

Deputy Warden– Security

Jesse Stack

Deputy Warden– Programs

Phillip Campbell

Administrative Specialist III

Kimberly Campbell

Address

3001 West Highway 146
LaGrange, KY 40031

2019 Average Daily Population

985

Total Number of Staff

329 Funded
107 Agency/Contract

Security Level

Medium

Overview

In July 2017, the Kentucky Department of Corrections Administration announced that due to the Kentucky State Reformatory’s severe and con-

tinued staffing crisis and aging physical facility concerns, the Reformatory would be downsized from its current 1,969-bed capacity. This was accomplished in August 2018 and the facility is presently at a 1,052-bed capacity. Kentucky State Reformatory’s primary mission is to be a specialized institution which provides extensive mental health and medical services to inmates within the correctional system.

Up to the present day, the 80-year old physical plant has essentially retained its original configuration. The 12-story administration building was designed to house offices, a hospital, medical offices and living quarters for correctional staff. The 11th floor houses the machinery of the elevator; the 12th floor houses a now nonfunctional 150,000 gallon water tank. Other buildings include an academic school, gymnasium, chapel, dining facility, inmate commissary, correctional industries, visitation building, and medical services building.

The Reformatory is American Correctional Association accredited and scheduled for re-accreditation in 2021.

The Reformatory offers extensive mental health services. The Correctional Psychiatric Treatment Unit maintains 148 beds. A-wing Lower provides 25 programming beds entitled STAR - a therapeutic community designed to assist lower functioning offenders with understanding of their illness, basic hygiene and life skills. B-wing provides 50 programming beds entitled THRIVE - a therapeutic community designed to assist offenders with behavioral



*Anna Valentine
Warden*

change, emotional regulation, recovery, and re-entry. C-wing provides 50 beds and is a crisis and stabilization wing, housing individuals in need of intensive mental health treatment. A Wing Upper houses the 23 Restrictive Housing beds with two recreation cells. The Mental Health staffing at the Reformatory consists of three psychiatrists, seven psychologists and 11 social service clinicians.

The Reformatory also offers extensive medical services to its residents. The Reformatory maintains a 67 bed Nursing Care Facility and a 90 bed medical dormitory. In addition, there is also a 20 bed open wing in the Medical Service building and a 50 bed Daily Assisted Living Unit for inmates with medical devices or requiring them to be close to services. Some of the services the Medical Department provides are on-site chemotherapy and dialysis treatments, eye clinic, physical therapy, x-ray, chronic care clinics and dental. Additionally, they coordinate Health Care Services, which includes the direct provision of routine and emergency medical care and the provision of medical care of outside hospitals and private practitioners.

The Reformatory currently houses 250 deaf or hard of hearing inmates.



Kentucky State Reformatory

A Video Relay System is located in Unit A for the deaf or hard of hearing inmates who wish to communicate with individuals on the outside by using sign language in lieu of traditional telephone services. A Video Relay Interpreter System is available for classification meetings, disciplinary hearings, etc. Translators are provided upon request for groups and parole hearings. “Shaker” units are in use in the living units to provide alerts during emergencies as well as scheduled alerts that can be programmed through an alarm clock. These systems also include strobe lights and handheld activator units for the dorm officers.

Programmatic and Operational Areas

Programs offered by the Reformatory include: Academic, organized sports and recreation, religious services and counseling, on-the-job training and services provided by the Division of Mental Health, i.e. Psychological/Psychiatric Services, Sex Offender Treatment Program, and individual or group counseling. An outpatient substance abuse program is in place for inmates that are not able to complete a traditional substance abuse program due to medical issues. Several specific programs include, New Directions and Soft Skills Boot Camp which are re-entry programs, Phoenix a co-occurring disorder program, THRIVE (Trust, Hope, Recovery, Integrity, Values, Empathy) and STAR (Striving Towards A Recovery) which are therapeutic programs located in the psychiatric treatment unit, I.T. W.O.R.K.S. (Trust, Hope, Recovery, Integrity, Values, and Em-



Jesse Stack
Deputy Warden



Phillip Campbell
Deputy Warden

pathy) which is a program to help mentally ill inmates live on the institutional yard, and a Hospice Program providing end of life support in the Nursing Care Facility. Several contracted programs are also offered which include Moral Reconciliation Therapy, Anger Management, Seeking Safety and Con Game.

Kentucky Correctional Industries operates at the Reformatory and currently employs 100 inmates. Operations include production of Kentucky state automobile license plates, a powder coat facility, welding and metal fabrication.

The Reformatory, working with the Humane Society of Oldham County, provides a canine rescue and training program called Camp K-9 in Dormitory 3, established in 2015. Inmates involved with the program train dogs as service dogs, therapy dogs, or as family pets to be adopted.

Highlights

The Reformatory’s Program Security Review was successfully conducted October 1-3, 2019. The review team was particularly impressed with the institution’s tool control and cleanliness of the facility. They felt the staff took owner-

ship of their areas and it was evident that sanitation was a high priority. They felt staff were very knowledgeable and professional, and staff morale was excellent despite the many challenges they face.

In June of 2019, a sensory room was completed within Dorm 10 for the inmates suffering from Alzheimer’s and Dementia. The room has soft music, mattresses, blankets, and calming murals on the walls. This room was created as an alternate way to redirect the inmates when they get aggressive or disoriented.

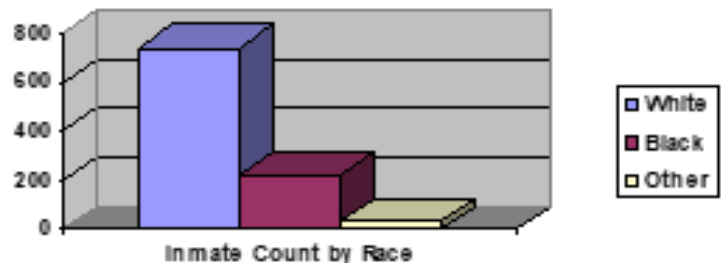
The kitchen basement was thoroughly cleaned, mold remediated, and was coated with mold inhibitors in December 2019. The next step in the process will involve insulating the steam pipes to cut down on heat which contributes to mold growth.

Due to the downsizing, the institution was able to reduce to a four day, two 12 hour shift. The Reformatory continues to utilize internal support staff, Probation and Parole, and staff from other institutions in other parts of the state for additional security staffing.

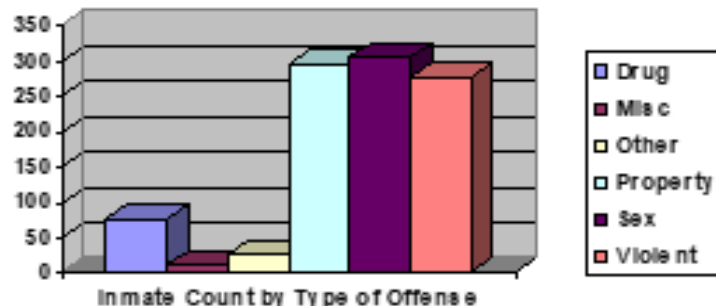
Kentucky State Reformatory

Demographic Data

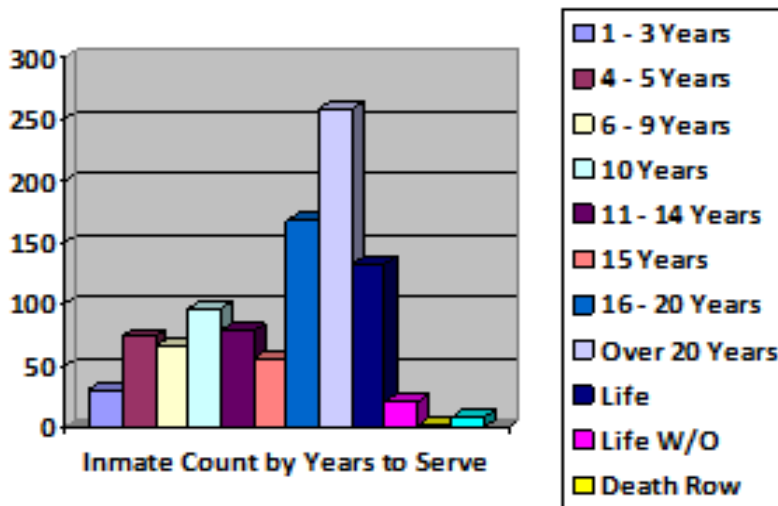
RACE	COUNT	PERCENTAGE
White	742	75.10%
Black	214	21.66%
Other	32	3.24%
Total	988	100.00%



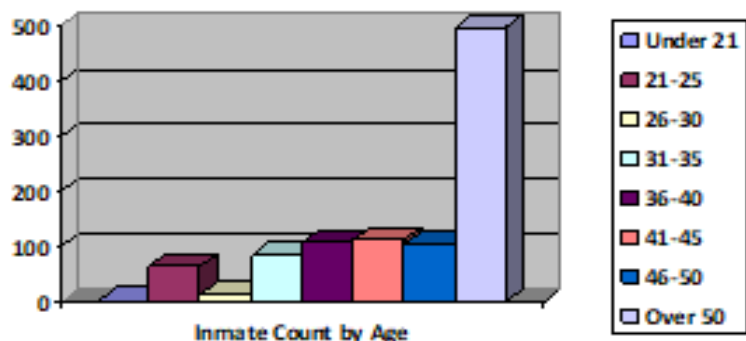
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	75	7.59%
Misc	9	0.91%
Other	26	2.63%
Property	296	29.96%
Sex	304	30.77%
Violent	278	28.14%
Total	988	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	30	3.04%
4 - 5 Years	74	7.49%
6 - 9 Years	66	6.68%
10 Years	96	9.72%
11 - 14 Years	78	7.89%
15 Years	55	5.57%
16 - 20 Years	168	17.00%
Over 20 Years	259	26.21%
Life	132	13.36%
Life W/O	20	2.03%
Death Row	2	0.20%
Out of State	8	0.81%
Total	988	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.30%
26-30	66	6.68%
21-25	14	1.42%
31-35	85	8.60%
36-40	107	10.83%
41-45	112	11.34%
46-50	104	10.53%
Over 50	497	50.30%
Total	988	100.00%



Little Sandy Correctional Complex



Warden

Keith Helton

Deputy Warden– Security

Danny McGraw

Deputy Warden– Programs

David Bradley

Administrative Assistant

Deborah Williamson

Address

505 Prison Connector
Sandy Hook, KY 41171

2019 Average Daily Population

1007

Total Number of Staff

257

Security Level

Medium

Overview

Little Sandy Correctional Complex is a medium security institution located in Elliott County, Kentucky. It is the state's newest and most technologically advanced institution.

A total of 1049 inmates are currently housed in two living units at Little Sandy Correctional Complex. Our housing also includes a 140 bed minimum security unit and a 90 bed special management unit.

There are numerous support buildings including academic and vocational schools, a medical unit, inmate canteen, correctional industries, gym, dining facility, and maintenance area.

Little Sandy Correctional Complex operates under a direct supervision model that encourages staff and inmate interaction and allows the inmates to have direct access to staff when they have a concern.

Little Sandy Correctional Complex received its initial accreditation on August 13, 2007 by the American Correctional Association (ACA) with 100% compliance. The institution was reaccredited on June 11, 2010, July 13, 2013, and January 23, 2017 receiving 100% compliance on both mandatory and non-mandatory expected practices.

In March of 2015, LSCC received its PREA accreditation and reaccredited in 2018.

Highlights

The security reception area was upgraded with walk through metal detector to promote security. The new metal detectors indicate the location of the object that it is detecting.

Six Geo-Vision cameras with 360-degree view, day/night capability, 30 frames per second and H264/



Keith Helton
Warden

H265 rendering software were installed. The cameras were installed in the visitation room, GA and GB housing unit hallways, GA and GB housing unit bullpens, KCI woodshop, and the gymnasium. The new cameras and software allow for an improved video monitoring experience.

The ACA file server's storage capacity and access speed was upgraded to increase usability. New audit presentation software was developed and implemented. Committee members from the ACA commission on accreditation recently visited and graded the institution 100% compliant with mandatory and non-mandatory expected practices pending the upcoming panel hearing.

The GA, GB, SAP Bullpen, and walking track asphalts were sealed to prolong durability.

Inmate movement procedures were



Pictured above: LSCC aerial photo



Little Sandy Correctional Complex

changed to controlled movement for “P Building” to include academics, vocational, recreation, and chapel.

The “soft skills” program was made available to inmates. Some classes are instructed in the visitation room to accommodate a larger number of inmates thus reducing the waiting list for some classes. A total of 27 inmates graduated from the GED program.



David Bradley
Deputy Warden



Danny McGraw
Deputy Warden

Programmatic and Operational Areas

LSCC has a diverse array of programming to address the needs of a wide spectrum of offenders. We provide quality programs that focus on key fundamentals such as behavior and thinking processes.

LSCC offers programs to include Active Parenting, Advanced Inmate to Work, Cage Your Rage, Canine Program, Carpentry, Gateway Community Action, GED, Horticulture, Inmate to Work, Inside Out Dads,

Life Without A Crutch, MRT Anger Management, MRT Mentors, MRT, Narcotics Anonymous, National Career Readiness Certificate Program, New Directions, Substance Abuse Program, Small Engines, Soft Skills, Violent Offender Program, and VT Fundamentals for Vo-Tech.

Program opportunities for inmates in the Minimum Security Unit include canine program, Inside out Dads, MRT, MRT Mentor, Narcotics Anonymous, Portal New Directions, and Soft Skills Boot Camp. Minimum security inmates may al-

so elect for special training opportunities in cooperation with the Kentucky Department of Transportation. Recently, the work program has expanded in the community to include Grayson Lake State Park, Hidden Cove Golf Course, the cities of Sandy Hook, Olive Hill, and Grayson, and the counties of Carter and Elliott.

LSCC actively seeks partnerships with community and educational entities to provide an integral programmatic menu to the inmate population.



Pictured to the top left: Inmate visitation.



Pictured to the bottom left: General population housing unit.



Pictured to the top right: Inmate library.

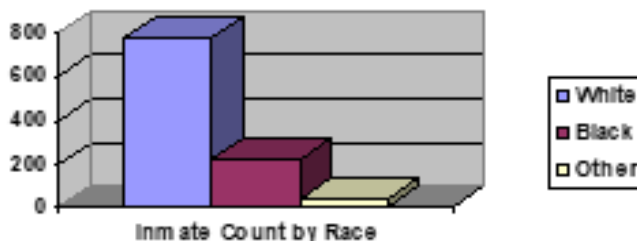


Pictured at the bottom right: Inmate dining hall.

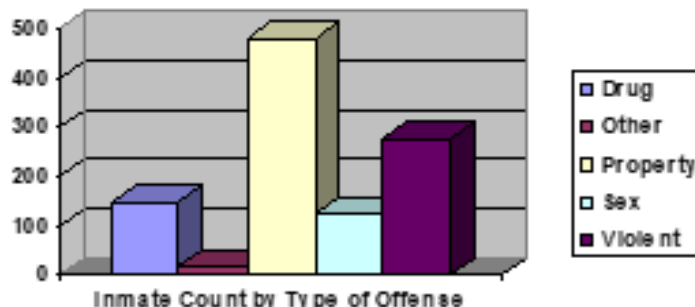
Little Sandy Correctional Complex

Demographic Data

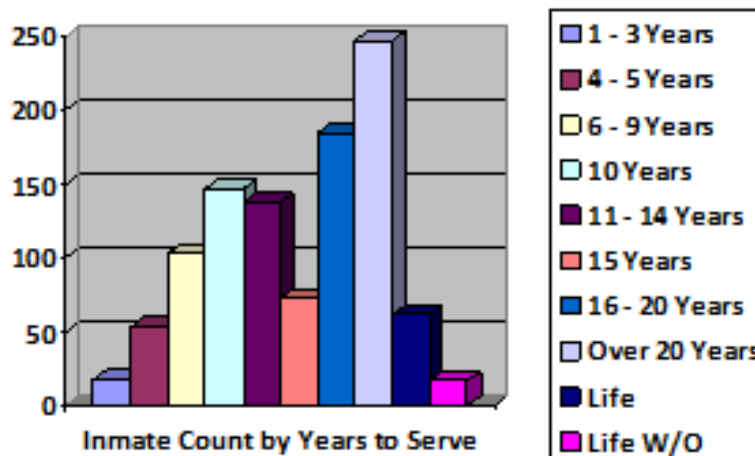
RACE	COUNT	PERCENTAGE
White	777	74.50%
Black	228	21.86%
Other	38	3.64%
Total	1043	100.00%



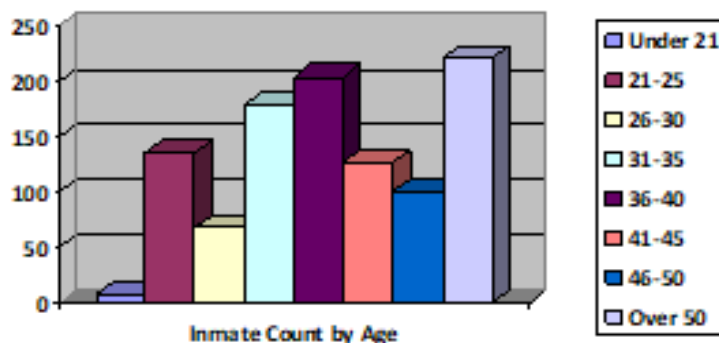
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	148	14.19%
Other	15	1.44%
Property	480	46.02%
Sex	125	11.98%
Violent	275	26.37%
Total	1043	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	18	1.73%
4 - 5 Years	54	5.18%
6 - 9 Years	103	9.88%
10 Years	147	14.09%
11 - 14 Years	138	13.23%
15 Years	73	7.00%
16 - 20 Years	184	17.64%
Over 20 Years	247	23.68%
Life	62	5.94%
Life W/O	17	1.63%
Total	1043	100.00%



AGE	COUNT	PERCENTAGE
Under 21	8	0.77%
26-30	136	13.04%
21-25	68	6.52%
31-35	179	17.16%
36-40	204	19.56%
41-45	127	12.17%
46-50	100	9.59%
Over 50	221	21.19%
Total	1043	100.00%



Luther Luckett Correctional Complex



Complex was built in direct response to the 1980 Federal Consent Decree. The Luther Luckett Correctional Complex was named in honor of Mr. Luther Luckett, an employee of the Department of Corrections for over 22 years. It stands as a tribute to him and exemplifies his dedication and commitment to duty and excellence in correctional management.

The first inmates were received at the Luther Luckett Correctional Complex in March 1981. The institution was originally designed to house 486 inmates. In 1990, the institution double bunked the cells.

The Luther Luckett Correctional Complex is also unique among the other twelve correctional facilities in that the Kentucky Correctional Psychiatric Center under the Kentucky Family & Health Services Cabinet operates behind the perimeter fence. This provides the sister agency with a safe and secure environment to complete the unique mission it has been tasked with. The memo of understanding with the Luther Luckett Correctional Complex and administrative protocols has ensured a successful ongoing partnership.

Highlights

In 2019, Luther Luckett was removed from KSR Water Tower and placed on the Oldham County City Water Company. This will provide less leaks and fewer outages to LLCC.

LLCC has replaced the I-Con plumbing fixtures with the Sloan



Scott Jordan
Warden

low water flow fixtures to provide more water efficiency.

We replaced 40 electric HVAC units with 10 Energy Efficient gas fired units.

The Restrictive Housing bullpens were replaced along with RHU annex recreation from chain-link security fence with removable bolts and fence ties with two inch tubing. This provides a safer and more secure recreational area, due to no removable parts.

Two electric powered steam boilers for laundry and kitchen to gas fired boilers for a more energy efficient use.

Building 9 D has a newly built security post. It provides security for the 7 yards and inmate Canteen line.

Steel bars were added to all of the dorms.

Lockdown Procedures

Trained staff and inmates on the new lockdown procedures. We have handed out whistles to all staff and have held multiple trainings outlining a step-by step process in the event of an emergency on the yard. Inmates are instructed to immediately go to one of three compliance positions. Inmate movement ends by the surrounding areas to provide a safe space for the responders. We

Warden

Scott Jordan

Deputy Warden– Security

Patricia Gunter

Deputy Warden– Programs

Laura Plappert

Deputy Warden– Operations

Vacant

Administrative Assistant

Suzannah Rostek

Address

1612 Dawkins Rd.
LaGrange, KY 40031

2019 Average Daily Population

1,186

Total Number of Staff

179

Security Level

Medium

Overview

The Luther Luckett Correctional



Luther Luckett Correctional Complex

continue to train on a daily basis and discuss with staff any mistakes that were made during a lockdown procedure.

Armory/CERT

CERT and armory were expanded by taking over the old locksmith office. This allows for better organization, more room and quicker access in the event that weapons and ammunition are needed.

LLCC Inmate K9 Training Program

The program continues to maintain 12 dogs providing them with training, basic obedience, and placement in a forever home. The program employs 12 inmate dog handlers and two inmate clerks/janitors. There were 83 dogs adopted in 2019.

Programmatic and Operational Areas

Psychology Department

One additional mental health position was added due to the increase of mental health needs. We now have three mental health staff members at LLCC instead of two. We have one psychologist that is assigned to Restrictive Housing Unit, one psychologist that is assigned to general population and one psychologist assigned to the inmates that live in 7 A. Housing unit 7A is primarily where we house our inmates that have medical or mental health needs.

Inmate Programs

LLCC continues to provide numerous opportunities for inmates to advance academically to include GED, college, and vocational trades. In addition, we offer a variety of program-



Patricia Gunter
Deputy Warden



Laura Plappert
Deputy Warden

ming to include MRT, MRT relapse prevention, MRT anger management, MRT getting started, MRT successful reentry, MRT seeking safety, sex offender treatment program, inside out dads, new directions, and seeking safety. All of the listed programs and educational options provide the inmate with 90 days good time award. We also offer many religious based programs as well.

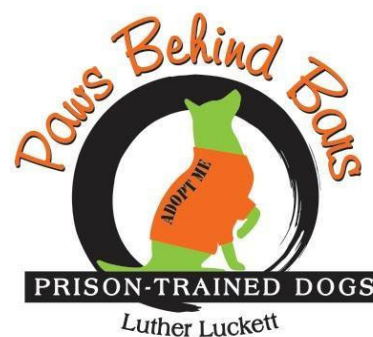
Paws Behind Bars

In conjunction with Adopt Me! Bluegrass Pet Rescue and the Luther Luckett Correctional Complex Paws Behind Bars Program, a new training program for service, therapy and emotional support dogs has recently been launched. The program, called Paws for Me, will select highly qualified puppies and dogs for adoption to people with specific needs. The training of these puppies and dogs will require months of specialized training. This program (Paws for Me) will also enhance the inmates' dog handling skills. As our professional dog trainer trains the dog handlers, Tyler Boyer, to handle/train these service, therapy and emotional support dogs, the handlers will acquire advanced levels of training skills.

These skills will be an asset to the inmates as they re-enter society upon their release from prison.

Reentry

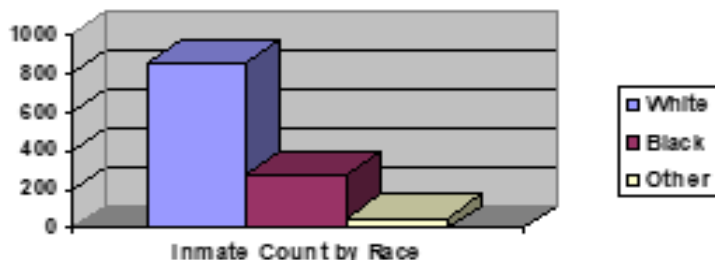
We have created a reentry center located in 5C. The center has a classroom for reentry programs and a lobby area with various reentry informational materials that are readily available to inmates. Both areas are visible from the reentry coordinator's office, which is located inside the center. LLCC has had one reentry expo and one reentry simulation.



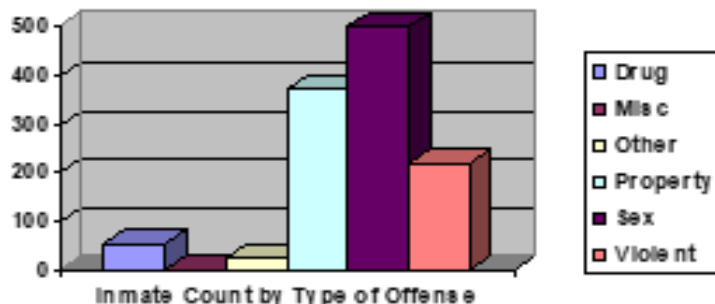
Luther Luckett Correctional Complex

Demographic Data

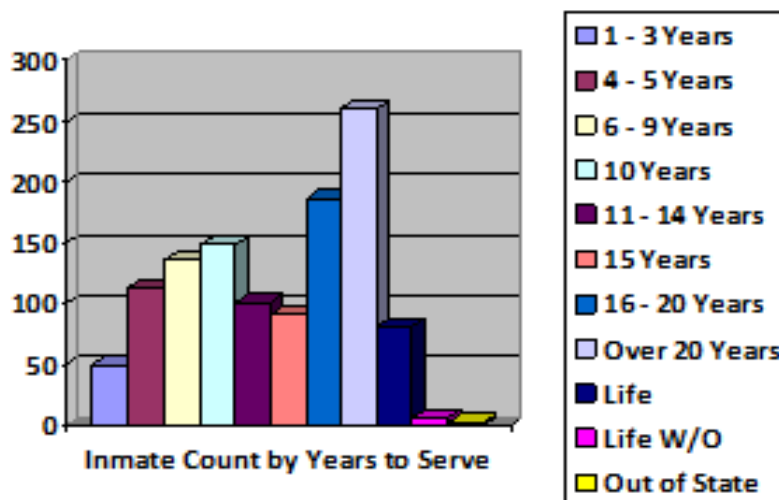
RACE	COUNT	PERCENTAGE
White	850	72.40%
Black	269	22.92%
Other	55	4.68%
Total	1174	100.00%



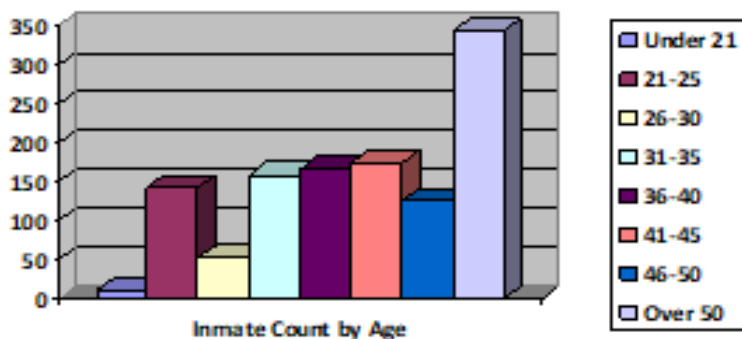
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	55	4.68%
Misc	2	0.17%
Other	24	2.04%
Property	371	31.60%
Sex	499	42.52%
Violent	223	18.99%
Total	1174	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	50	4.26%
4 - 5 Years	113	9.63%
6 - 9 Years	136	11.58%
10 Years	148	12.61%
11 - 14 Years	101	8.60%
15 Years	91	7.75%
16 - 20 Years	186	15.84%
Over 20 Years	260	22.15%
Life	81	6.90%
Life W/O	6	0.51%
Out of State	2	0.17%
Total	1174	100.00%



AGE	COUNT	PERCENTAGE
Under 21	10	0.85%
26-30	143	12.18%
21-25	54	4.60%
31-35	159	13.54%
36-40	166	14.14%
41-45	174	14.82%
46-50	125	10.65%
Over 50	343	29.22%
Total	1174	100.00%



Northpoint Training Center



Overview

Northpoint Training Center (NTC) is a medium security correctional facility for adult male offenders. The facility is located in northern Boyle County near Danville, Kentucky.

The department received control of the property in January 1983. NTC was initially conceived as a minimum security institution for fewer than 500 inmates. The mission rapidly changed to the development of a medium security institution with a proposed population of 700 inmates. The institution has expanded over the years to a bed capacity of 1,270.

NTC consists of 551 acres. General population inmates are housed in open-bay dormitories within a secure perimeter. Restrictive Housing Unit inmates are housed in single cells in a 60-bed segregated structure separated from the main compound. There are 54 minimum security inmates housed in dormitories outside the secure perimeter.

The perimeter of the compound is a combination of two parallel 12-foot fences with razor wire on the bot-



Brad Adams
Warden

tom, middle, and top. The inner perimeter fence has a sensor system that alerts the main control, four armed wall towers, an outside patrol, control center, and over 700 state of the art security cameras have been installed to monitor inmate activities.

Highlights

In early April 2019, the SOAR Program (Supporting Others in Active Recovery) was implemented at Northpoint Training Center. The SOAR Program is based on the Therapeutic Community model. SOAR is located on the lower floor of Dormitory One with SAP (Substance Abuse Program) located on the upper floor of Dormitory 1. The Veterans Dorm

Warden

Brad Adams

Deputy Warden– Security

Craig Hughes

Deputy Warden– Programs

Shea Carlson

Deputy Warden– Operations

Mendalyn Cochran

Administrative Assistant

Kelly McGinnis

Address

710 Walter Reed Road
Danville, KY 40422

2019 Average Daily Population

1252

Total Number of Staff

337

Security Level

Medium



Pictured above: One of two transport buses acquired by NTC.
Pictured to the left: Inside of the transport bus.

Northpoint Training Center



Mendalyn Cochran
Deputy Warden of Operations



Craig Hughes
Deputy Warden of Security



Shea Carlson
Deputy Warden of Programs

was relocated to the upper floor of Dormitory Two.

Northpoint Training Center held a golf scramble on June 29th in which a third of the proceeds raised went to benefit Sunrise Children Services, another third went to Grace Café, and third went to Northpoint’s staff canteen. Each organization received \$1,000.

A staff barber and shoe-shining program was implemented this year for a boost in staff morale.

A K-9 Unit was established at North-

point Training Center and two experienced hounds, Emma and Pewee, joined Northpoint in December of 2019.

In December 2019 Northpoint Training Center became a pilot institution for SAMAT (Supportive Assistance with Medication for Addiction Treatment).

Programmatic and Operational Areas

Door alarms and window cages were installed at the Outside Detail

Dormitories for added security and to assist in preventing escape.

Reentry Expos were held at Northpoint throughout 2019 for those inmates eligible.

A Reentry Simulator was held at the institution in early 2019 for staff and outside agencies.

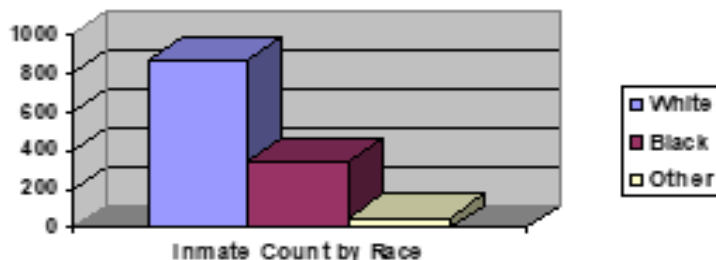
A Reentry Job Fair was hosted at Northpoint Training Center in August 2019 for inmates.

Several security staff members were trained as Fentanyl Response Team responders in 2019.

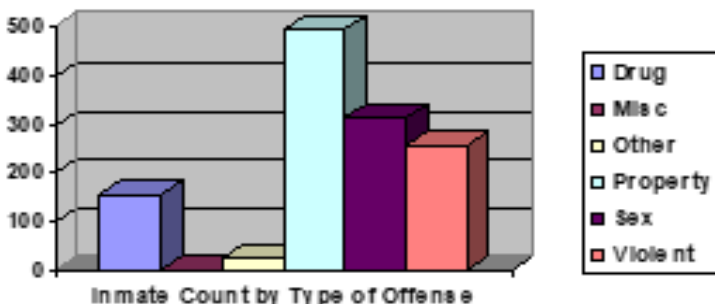
Northpoint Training Center

Demographic Data

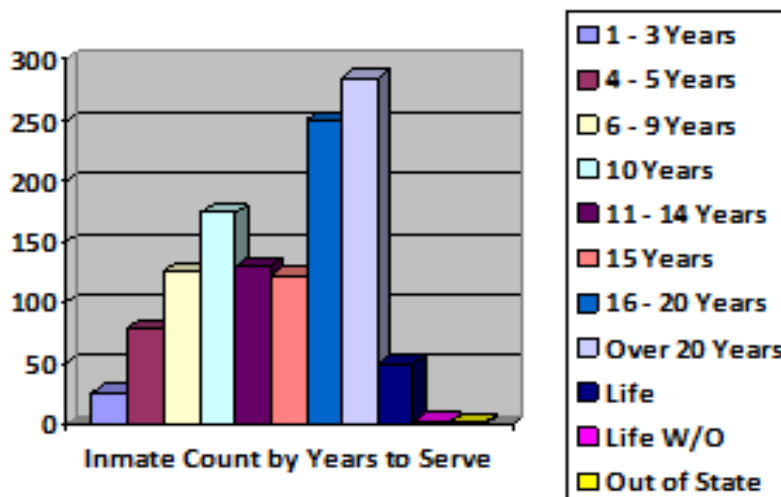
RACE	COUNT	PERCENTAGE
White	859	68.89%
Black	337	27.02%
Other	51	4.09%
Total	1247	100.00%



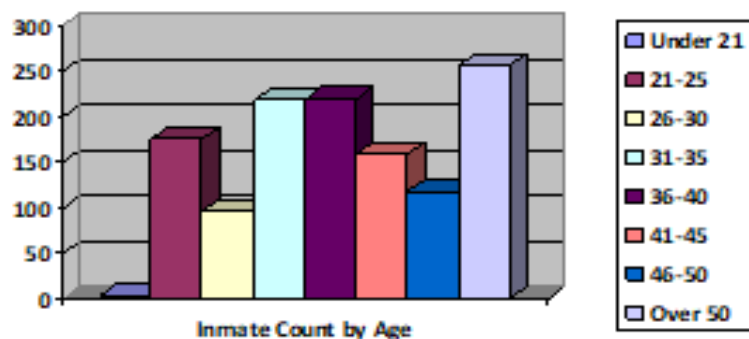
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	155	12.43%
Misc	1	0.08%
Other	24	1.92%
Property	496	39.78%
Sex	313	25.10%
Violent	258	20.69%
Total	1247	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	26	2.09%
4 - 5 Years	80	6.42%
6 - 9 Years	126	10.10%
10 Years	174	13.95%
11 - 14 Years	130	10.43%
15 Years	122	9.78%
16 - 20 Years	251	20.13%
Over 20 Years	285	22.85%
Life	50	4.01%
Life W/O	2	0.16%
Out of State	1	0.08%
Total	1247	100.00%



AGE	COUNT	PERCENTAGE
Under 21	4	0.32%
26-30	175	14.03%
21-25	97	7.79%
31-35	218	17.48%
36-40	219	17.56%
41-45	159	12.75%
46-50	118	9.46%
Over 50	257	20.61%
Total	1247	100.00%



Roederer Correctional Complex



Warden

Sarah Jessie Ferguson

Deputy Warden– Security

Arnold Chisholm

Deputy Warden– Programs

Jessica Durrett

Administrative Assistant

Patti M. Ray

Address

P.O. Box 69
4000 Morgan Road,
LaGrange, KY 40031

2019 Average Daily Population

1,215

Total Number of Staff

216

Security Level

Medium & Minimum

Overview

The Roederer Correctional Complex (RCC) opened August 28, 1976 as a

150-bed minimum security “work camp” responsible for the farming operation of approximately 3,000 acres. In December 1987, the first of several transitions occurred. The institution converted to a medium security facility and increased the offender population to 252. In 1989, with the transfer of the Assessment and Classification Center from the Kentucky State Reformatory, the offender population increased to 435. The double bunking of existing living areas and utilization of five trailers for additional housing options achieved this expansion. In May 2016, 36 offenders relocated from KSR to RCC Minimum Security Unit, increasing its capacity to 108 beds. In May 2017, 50 medium offenders were added to the Unit 3 Permanent housing, increasing the permanent population to 200. Currently, RCC has five separate units, with a maximum capacity of 1,238 offenders.

RCC is a dynamic facility with three distinct areas. The Assessment and Classification Center pro-



Jessie Ferguson
Warden

cesses all incoming male felons, with the exception of those sentenced to death row. Over 3,000 incoming offenders are processed each year. The Assessment Center is responsible for the classification of offenders for the Class D/Class C Program (approximately 12,000 per year).

RCC houses the Controlled Intake Records Office, which calculates all felony sentence calculations (over 17,000 per year). Offenders permanently assigned to RCC provide support services for the institution. RCC currently has 200 medium custody permanently assigned offenders who work in the Maintenance Department, Kitchen, Laundry, Legal Office, Grievance Office, as well as



Roederer Correctional Complex

other areas necessary for the daily operation of the facility. Additionally, minimum security units at RCC have a maximum capacity of 308 offenders and is the location for a 200-bed Substance Abuse Program (SAP) at the facility and 108 offenders who provide support for RCC, LLCC and KSR.



Jessica Durrett
Deputy Warden



Arnold Chisholm
Deputy Warden

Highlights

RCC made several updates during 2019, some of them included the following:

Washer and dryer added to the Kitchen to launder work uniforms.

Lock Boxes installed for staff keys.

Yard Compliance Siren added in Tower B.

Clear Bags purchased for staff and Gate 1 Entrance policies.

Heating and cooling updated for all three towers through ESG Program.

Temporary observations cells added in I.D.

RCC Facebook online page was created.

Programmatic and Operational Areas

Recreation department implemented wing activities for the Assessment Center inmates.

Locksmith administrator and HR positions were created.

MRT, MRT-Getting Started, and MRT- Reentry programs began for the permanent inmates.

MRT-Taking Action program was implemented for the Assessment Center inmates.

Kosher meals made available for inmates participating in the Sub-

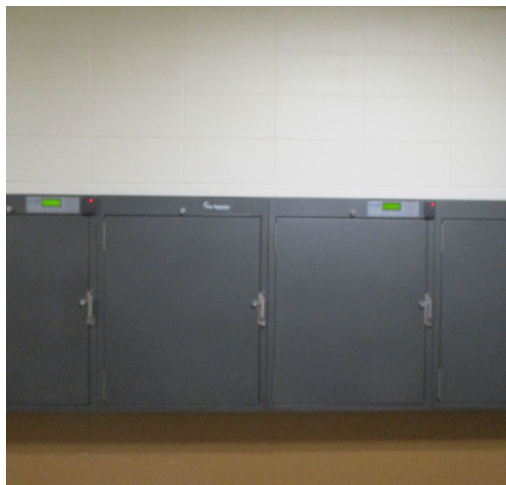
stance Abuse Program.

AC inmates who are participating in GED classes will be housed in J wing (unit 3) and AC inmates participating in MRT Thinking For Good/Taking Action in D wing (Unit 2) in June 2019.

CD assigned staff were merged into the Assessment Center area.

One CTO position from the CD area was added to Unit 3 and Unit 5.

Pictured below: Installed key boxes.



Pictured below: RCC Main entrance.



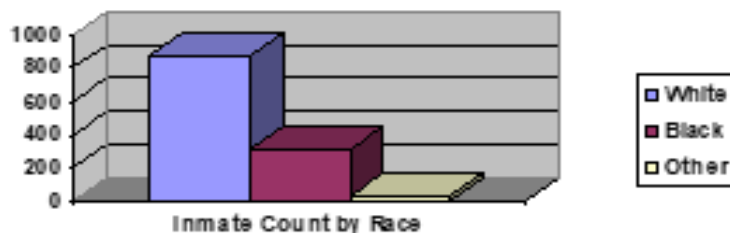
Pictured below: Yard compliance siren.



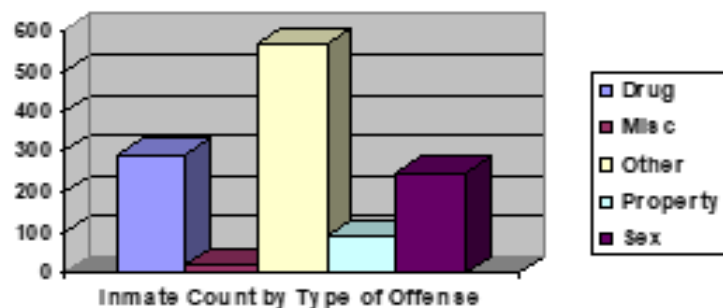
Roederer Correctional Complex

Demographic Data

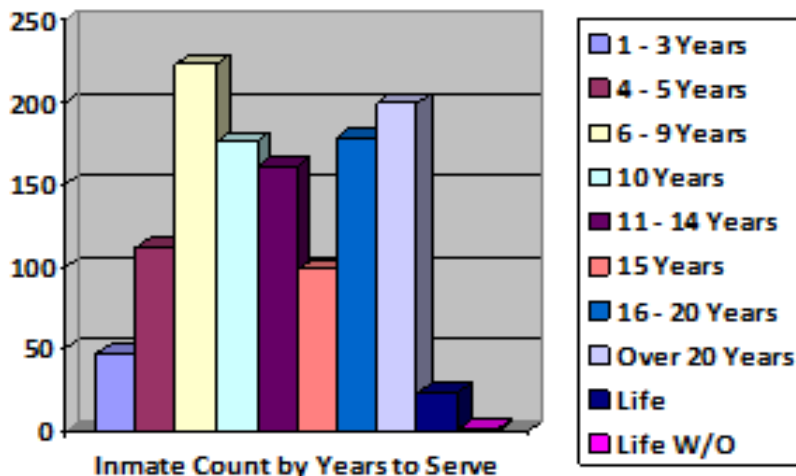
RACE	COUNT	PERCENTAGE
White	870	71.43%
Black	307	25.20%
Other	41	3.37%
Total	1218	100.00%



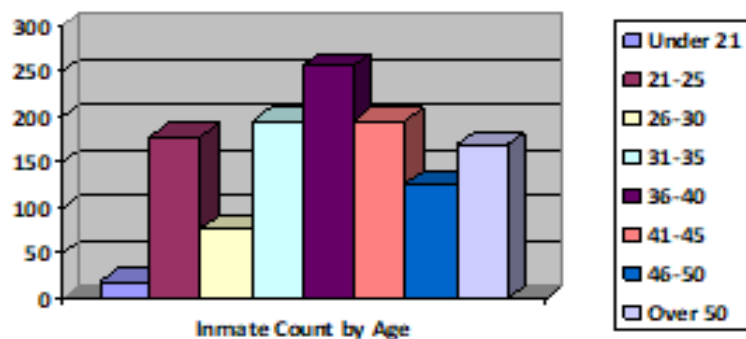
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	293	24.06%
Other	18	1.48%
Property	563	46.22%
Sex	95	7.80%
Violent	249	20.44%
Total	1218	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	47	3.86%
4 - 5 Years	111	9.11%
6 - 9 Years	223	18.31%
10 Years	176	14.45%
11 - 14 Years	161	13.22%
15 Years	98	8.05%
16 - 20 Years	178	14.61%
Over 20 Years	199	16.34%
Life	24	1.97%
Life W/O	1	0.08%
Total	1218	100.00%



AGE	COUNT	PERCENTAGE
Under 21	19	1.56%
26-30	179	14.70%
21-25	77	6.32%
31-35	196	16.09%
36-40	256	21.02%
41-45	196	16.09%
46-50	126	10.34%
Over 50	169	13.88%
Total	1218	100.00%



Western Kentucky Correctional Complex



Warden

Tim Lane

Deputy Warden– Security

Jon Tangerose

Deputy Warden– Programs

Chris Hatton

Administrative Assistant

Regina Moore

Address

374 New Bethel Church Rd,
Fredonia, KY 42411

2019 Average Daily Population

634

Total Number of Staff

232
43– Contract

Security Level

Medium

Overview

The Western Kentucky Correctional Complex (WKCC) was constructed in 1968 as a satellite facility of the

Kentucky State Penitentiary. In 1977, this facility became a separate minimum institution called the Western Kentucky Farm Center. In 1989, the institution was converted to a medium security facility. The name of the institution was changed to Western Kentucky Correctional Complex in July 1990. In 2010, the institution converted to a female institution. In 2015, what was formerly known as the Minimum Security Unit for WKCC became the Ross-Cash Center, housing 200 female inmates, and Western Kentucky Correctional Complex transitioned back to a male population. In April of 2016, the Ross-Cash Center became a subordinate center, resulting in Western Kentucky Correctional Complex becoming a co-ed facility. The institution houses 693 inmates. It includes 44 cells with two additional Observation Cells in a Special Management Unit, 477 medium security male inmates are housed in three open wing dormitories within the secured perimeter, and 200 minimum security female inmates are housed in two open wing dormitories outside of the secured perimeter. Inmates are offered academic, vocational and cognitive thinking programs along with employment opportunities that prepare them to be capable of contributing to society in a positive manner upon release. The facility has been accredited by the American Correction Association since 1988, with the most recent audit in May of 2018 when the facility scored 100%. WKCC has a farming operation, situated on 2,200 acres, with a herd of 800 beef cows,



Tim Lane
Warden

405 acres of corn, 300 acres of wheat and 355 acres of soybeans. There is also a 15 acre garden and a 275 apple tree orchard, as well as a composting operation. WKCC also operates a Regional Recycling Center that partners with six surrounding counties that result in an average landfill savings of 500 tons per year.

Highlights

On January 18, the 2019 LEAD program had its first meeting. There are twenty participants including five from WKCC, KSP, GRCC, and Probation and Parole. This is the first time since LEAD’s inception that P&P has participated.

On January 22, D&I Contracting began the project of repairing the roof from damages received in a storm in March 2017.

Representatives from the Pennyriple District Health Department completed 2019 biometric screenings for staff on Thursday, January 24. Approximately 50 employees took advantage of the opportunity to fulfill the LivingWell Promise with this on-site health screening that included a full lipid panel (TC, HDL, ratio, LDL, triglycerides, glucose) blood pressure, pulse, measured height, weight, and waist circumference.



Western Kentucky Correctional Complex

Pennyriple District Health Department employees were Alfreda Wheeler, Public Health Services Supervisor; Sherry Rhodes, RN; and Kathy Thompson, RN.

On February 1, Associated General Contractors of Western Kentucky conducted a safety inspection to include maintenance and farm. The facility received a score of 100%.

On Sunday, February 3, inmate Aaron Davis #264895 escaped from the institution at approximately 5:30 a.m. He was apprehended by local law enforcement agencies at approximately 9 a.m. Various corrective actions have been taken in response.

On February 18 & 19 Pennyriple Fire Safety conducted a safety inspection of all fire alarms and detectors. The facility received a score of 100%.

Students from Campbellsville University conducted a research survey with approximately 100 inmates on March 26. The research focuses on the theory of learned helplessness and its relationship to prolonged incarceration.

Representatives from the University of Louisville visited the institution on April 17 and 18 to conduct PTSD screenings for officers.

WKCC CERT in conjunction with Kentucky State Police conducted a roadblock/vehicle search on April 13 and 14. Multiple items of dangerous contraband were prevented from entering the institution.

The Western Regional Blood Center conducted a blood drive on June 4. Approximately twenty-five employees donated.



*Jon Tangerose
Deputy Warden*



*Chris Hutton
Deputy Warden*

Jail employees from Warren County, Todd County, Graves County, and Henderson County toured the institution on June 6.

Western LEAD program participants graduated the program on August 16. Honored guests at the graduation ceremony included Deputy Commissioner Randy White and Commissioner Kathleen Kenney. On August 21 and 22, members of the Crisis Negotiation Team Command Staff attended training at the Southern Ohio Correctional Facility.

The annual KCCD conference was held in Lexington September 3 through 6. WKCC's Captain Zac Ford and Unit Administrator II Jayme French were recognized with Achievement Awards.

On September 21, HR Ministries hosted a community outreach program entitled "Celebration Day" for the inmate population. Seventeen inmates participated in fun-filled activities with their children to encourage and strengthen familial bonds.

The K-9 Unit participated in annual certification October 21 through 25. Handlers Austin Palmer and Jared Mellendorf completed their first

certification.

KCI's annual cattle audit was conducted at Ross-Cash Farms October 28 through November 1. Four staff members from KCI Central Office staff assisted. The audit included not only the amount of cattle but also that the state assigned number was located in both ears and the physical description was correct. The audit team surveyed 12 bulls, 90 calves, and 327 cows for a total of 529 animals. All were found to be correct. The results of the audit are a testimony of the diligence and dedicated work of the Ross-Cash Farm Staff. Additional information from the farm is as follows: a corn harvest of 350 acres yielded a 175-bushel average. A 375-acre soybean harvest yielded a 50 bushel per acre average. 2,750 rolls of round hay were harvested during the 2019 harvest.

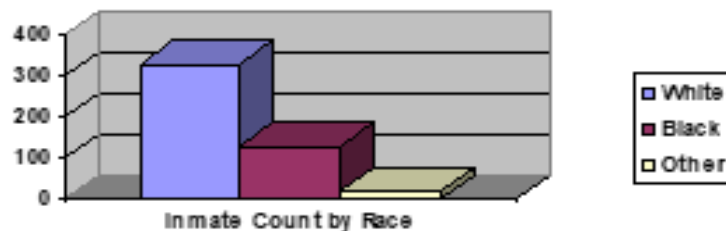
Pictured below: Approximately 50 staff received a biometric screening.



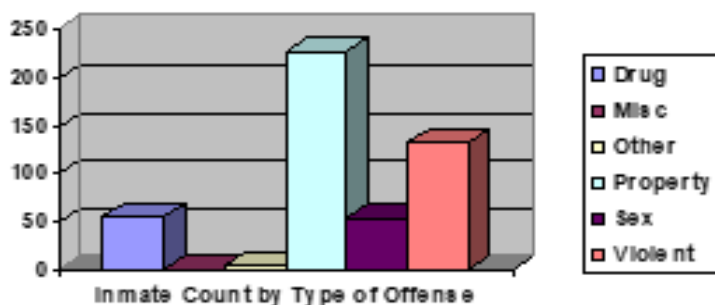
Western Kentucky Correctional Complex

Demographic Data

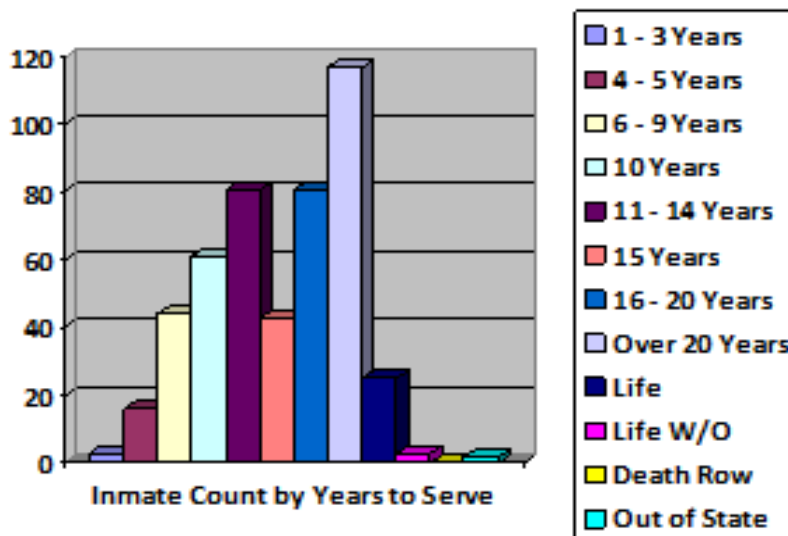
RACE	COUNT	PERCENTAGE
White	327	68.70%
Black	129	27.10%
Other	20	4.20%
Total	476	100.00%



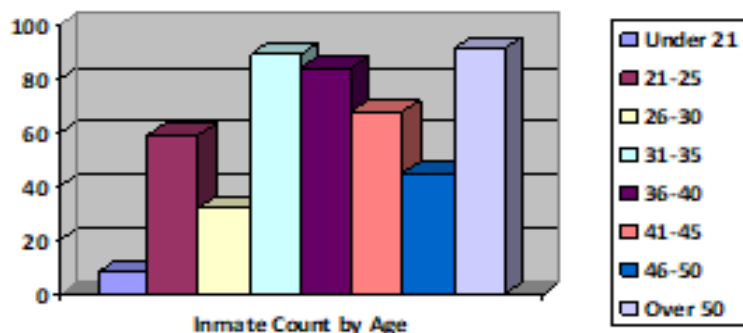
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	56	11.76%
Misc	1	0.21%
Other	5	1.05%
Property	227	47.69%
Sex	55	11.55%
Violent	132	27.74%
Total	476	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	5	1.05%
4 - 5 Years	17	3.57%
6 - 9 Years	49	10.29%
10 Years	66	13.87%
11 - 14 Years	61	12.82%
15 Years	41	8.61%
16 - 20 Years	87	18.28%
Over 20 Years	120	25.21%
Life	26	5.46%
Life W/O	3	0.63%
Out of State	1	0.21%
Total	476	100.00%



AGE	COUNT	PERCENTAGE
Under 21	8	1.68%
26-30	59	12.40%
21-25	32	6.72%
31-35	89	18.70%
36-40	84	17.64%
41-45	68	14.29%
46-50	45	9.45%
Over 50	91	19.12%
Total	476	100.00%



Lee Adjustment Center



Warden

Daniel Akers

Assistant Warden

Troy Pollock

Address

168 Lee Adjustment Center Dr.
Beattyville, KY 41311

2019 Average Daily Population

845

Total Number of Staff

205 (CoreCivic Staff)

Security Level

Medium

Overview

Louisville, Kentucky based U.S. Corrections Corporation constructed and opened the Lee Adjustment Center in 1990 as a 500 bed minimum

security facility to house inmates for the Kentucky Department of Corrections. The facility was in the process of being upgraded to medium security when it was acquired Corrections Corporation of America (CCA) in April 1998. CCA re-branded as CoreCivic in 2016.

At the time of the 1998 acquisition, a 50 bed restrictive housing unit was already under construction. In addition to this, a new 256 bed housing unit; a medical building; an armory and a control center/visitation building were constructed. A double perimeter fence was constructed around the compound, and at a later time a non-lethal electronic stun fence was also installed along the inner fence. Lee Adjustment Center officially became a medium security facility on July 1, 1999.

Lee Adjustment Center continued housing inmates for the Kentucky Department of Corrections through June 2010. The facility also housed inmates for the Vermont Department of Corrections from February 2004 through June 2015. Lee Adjustment Center was shuttered at the end of the Vermont contract term, however a small group of employees remained on-site to maintain the facility during this period. In November 2017, the facility began the ramp-up phase for the present partnership with the Kentucky Department of Corrections with the first inmates arriving at the facility in March 2018.



Daniel Akers
Warden

Highlights

The 2019 calendar year proved to be an eventful period for the staff and inmates of Lee Adjustment as it transitioned from a start-up to a fully operational facility. Many of the staff hired during start-up were new to the correctional field; therefore, it took some time for them to adapt to and become familiar with working in this type of environment. A number of Kentucky Department of Corrections employees have been instrumental in training and coaching our employees during this process, but we must give a special shout-out to our on-site KDOC Inspectors Nikki Beckstrom and Aaron Holbrook. They both have made numerous contributions toward our success this year.

Several capital improvement projects were completed around the facility during 2019. Major projects included the installation of six (6) new rooftop HVAC systems for our West Dorm and a pad-mounted rooftop system for the Central Control/Visitation Building; new washers and dryers in our central Laundry; an additional walk-in freezer and erection of a storage warehouse (outside the secure compound). The Medical building received new HVAC systems as well. As of year-end, a reno-



Lee Adjustment Center



Pictured to the left: Front entrance.

Pictured to the right: The recreation yard.



vation project of showers on the upper level of the North Dorm is in progress.

Within a few months of re-opening, Lee Adjustment Center began preparing to seek accreditation from the American Correctional Association. This process intensified as we moved into the 2019 calendar year and in September we had our initial accred-

itation audit by the Association. This audit resulted in being found compliant with all of the applicable mandatory standards and all but one applicable non-mandatory standards in the ACI 4th Edition Manual and 2016 Supplement for a score of 99.76%. The official awarding of accreditation will occur at ACA's Winter Conference in January 2020.

Programs at Lee Adjustment Center completed their first full year of operation in 2019. Twenty-nine inmates obtained their high school equivalency diploma during the year and 14 completed the vocational carpentry program. The residential Substance Abuse Program finished the year with 127 graduates. The various other programs offered to our population during the year were also successful with hundreds of inmates completing and/or achieving milestones during the period.

Pictured below: Helicopter moving a roof-top HVAC unit.

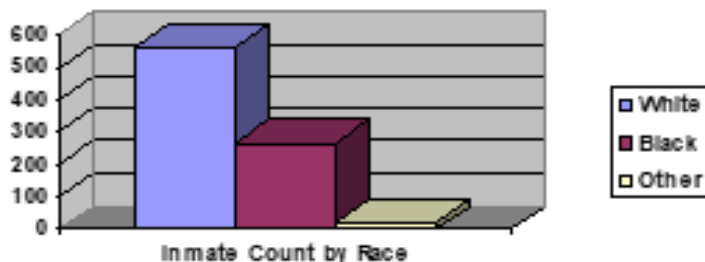


As we move into 2020, we're making preparations to build on our successes of the prior year. We will be transitioning to ACA's 5th Edition Performance Based ACI Standards and will be seeking PREA Accreditation during the first half of the new year and plan to continue efforts to be even more successful this year.

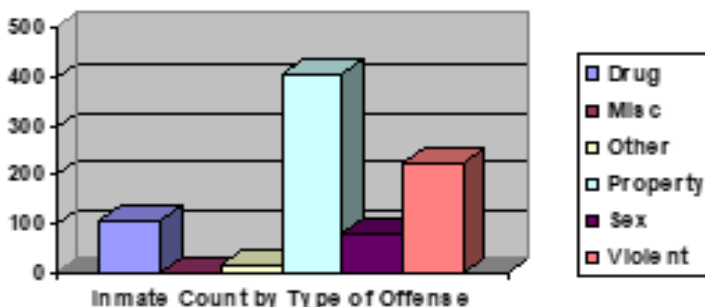
Lee Adjustment Center

Demographic Data

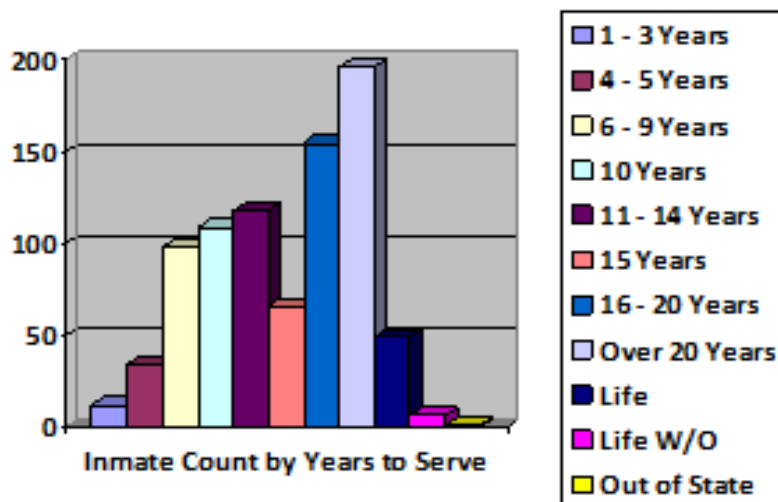
RACE	COUNT	PERCENTAGE
White	560	66.35%
Black	268	31.75%
Other	16	1.90%
Total	844	100.00%



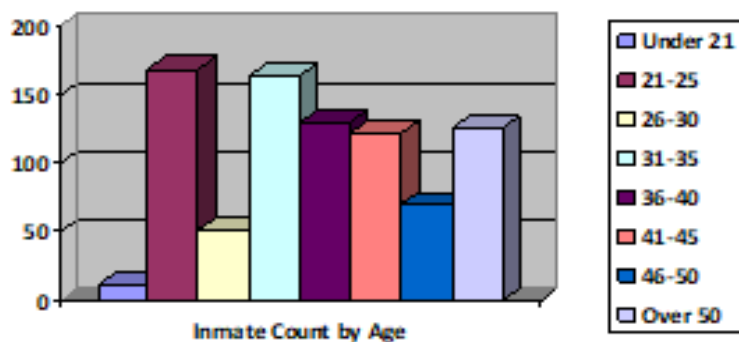
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	109	12.91%
Misc	1	0.12%
Other	17	2.01%
Property	408	48.34%
Sex	82	9.72%
Violent	227	26.90%
Total	844	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	12	1.42%
4 - 5 Years	34	4.03%
6 - 9 Years	98	11.61%
10 Years	109	12.91%
11 - 14 Years	118	13.98%
15 Years	66	7.82%
16 - 20 Years	155	18.36%
Over 20 Years	196	23.23%
Life	49	5.81%
Life W/O	6	0.71%
Out of State	1	0.12%
Total	844	100.00%



AGE	COUNT	PERCENTAGE
Under 21	11	1.30%
26-30	169	20.02%
21-25	52	6.16%
31-35	164	19.44%
36-40	130	15.40%
41-45	122	14.46%
46-50	70	8.29%
Over 50	126	14.93%
Total	844	100.00%



“When we work together, there is nothing we cannot do, nothing we cannot achieve. One Team, One Mission.”

-Commissioner Kenney

