

Kentucky Department of  
Corrections

# Annual Report

2021

TEAM  
KENTUCKY®

JUSTICE AND  
PUBLIC SAFETY CABINET

**Andy Beshear,**  
Governor

**Kerry Harvey,**  
Secretary

**Cookie Crews,**  
Commissioner



**Andy Beshear**  
GOVERNOR

**JUSTICE AND PUBLIC SAFETY CABINET  
DEPARTMENT OF CORRECTIONS**

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**Kerry Harvey**  
SECRETARY

**Cookie Crews**  
COMMISSIONER

## Message from the Commissioner

Despite continuing challenges in 2021, the Department of Corrections advanced its core mission and objectives to better serve those within its jurisdiction. DOC staff persevered through difficulties, including the ongoing COVID-19 pandemic and staff shortages, to deliver critical services, support their communities, and advance criminal justice goals.

Throughout the year, DOC worked closely with the Department for Public Health to offer COVID-19 vaccinations for both offenders and staff. This was one essential piece in DOC's aggressive and proactive efforts to limit the spread of the virus throughout DOC institutions, as well as surrounding and returning communities.

In 2021, DOC invested in essential programming and technology. It introduced whole-body scanners for its institutions to better detect contraband and protect inmates and staff. DOC continued to prioritize video visitation implementation and utilization by start the work to equip each facility with the needed technology and equipment. Enhanced VINE was implemented, making it easier for victims to receive information on offenders and access necessary resources. DOC continued to expand its treatment services for individuals with substance use disorders. These projects represent just a small fraction of the incredible work DOC accomplished in 2021 as it continues to evolve and innovate with the demands of the moment and the field of criminal justice.

This annual report illustrates so many amazing individuals who keep pushing DOC forward through their hard work and dedication. It is important to remember that the strength and effectiveness of DOC's operations depend on all its committed employees in its offices, institutions, and out in the field.

Without a doubt, our employees are our greatest asset at DOC. When we work together, we can overcome any obstacles we may face. We are Team Kentucky, and together there is nothing we can't accomplish.

Sincerely,

A handwritten signature in black ink that reads "Cookie Crews".

Cookie Crews

DOC Commissioner

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# Office of the Commissioner



Cookie Crews, Commissioner

**Chief of Staff**

Kim Potter-Blair

**Health Services Division**

Cookie Crews, Administrator

**Communications Office**

Lisa Lamb, Spokesperson

**Office of Support Services**

Hilary Dailey, Deputy Commissioner

**Compliance Office**

John Dunn, Compliance Director

**Office of Community Services and  
Local Facilities**

Lisa Lamb, Deputy Commissioner

**Office of Research and  
Legislation**

Cyndi Heddleston

**Office of Adult Institutions**

Randy White, Deputy Commissioner

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## Communications Office



*Lisa Lamb*



*Katherine Williams  
Communications Manager*



*Niki Barnes  
Public Information Officer*

### Spokesperson

Lisa Lamb

### Communications Manager

Katherine Williams

### Public Information Officer

Niki Barnes

Deputy Commissioner Lisa Lamb serves as the spokesperson for the Department of Corrections.

A native of Harlan, Lamb brought nearly 20 years of experience working with the media to her position with the DOC. In her communications role, she handles media calls and requests for information and interviews.

Lamb came to Corrections after six years with the Kentucky Press Association where she worked as the news bureau director, member services director, and as director of the Kentucky High School Journalism Association. She spent nearly 10 years with the Harlan Daily Enterprise and served as the newspaper's executive editor.

She is a graduate of Southeast Community College and attended Eastern Kentucky University and the University of Kentucky, majoring in journalism.

### Overview

The Communications Office is responsible for managing and directing DOC's internal and external communications. It is the point of contact for all media inquiries: responding to requests for interviews, arranging hearing coverage, and providing official statements. Press releases, including information on promotions, escapes and other newsworthy events, are written and distributed through this office.

In addition to Deputy Commissioner Lamb, there are two staff in this area. Katherine Williams acts as the primary media contact, writes press releases, and serves as DOC's administrative open records coordinator. Niki Barnes manages DOC's official social media accounts, creates graphics and videos, and works on special projects.

This office prepares talking points and presentations for the commissioner and her staff, organizes and hosts the DOC Annual Awards Luncheon, and publishes the DOC annual report.

The Communications Office oversees the responsibilities of the Commissioner's Executive

Leadership Program, as well. Due to the COVID-19 pandemic, the program went on a brief hiatus with no graduations occurring in 2021. However, a new class commenced in November 2021 with an expected graduation date of Fall 2022.

Lisa Lamb supervises the staff within the Communications Office and the Victim Services Branch.

### Highlights

- Due to the COVID-19 pandemic there were no in-person media or public information officer training sessions during 2021.
- By the end of 2021, the department's Facebook page reached 15,088 followers.
- The DOC Twitter account gained 95 new followers in 2021, an increase of 4% from the previous year.
- This office processed 195 open records requests during 2021.

## Victim Services Branch

### Branch Manager

Vacant

### Victim Advocates

Alexis Williams, Program Manager

Cristela Scanlon

Kim Crews

John Thorpe

### Overview

The Victim Services Branch (VSB) is the arm of Corrections that handles requests for information from victims pertaining to their offenders. VSB staff provides a broad range of information-related services such as explanation of inmate release dates and release type; restitution information; service referrals; victim rights services and parole board hearings. VSB also manages the Kentucky VINE system, which encompasses three fully functioning victim notification services: Offender status, Court Hearings, and Protective Orders. VSB staff also travel the state to promote and train other criminal justice professionals and victim service agencies regarding the KY VINE Services and VSB functions.

### Highlights

VSB staff did not get the opportunity to exhibit or attend any trainings this year due to COVID-19.

VSB had to be creative this year in order to continue to provide support to victims by contacting victims after the victim hearings via phone to answer any questions they may have after their hearing, as well as to ensure that they are registered with VINE and the form is completed to receive written notifications when the offender is released. Since



*Victim advocates: Alexis Williams, Cristela Scanlon, Kim Crews and John Thorpe*

COVID-19 began, VSB has been unable to send out Thinking of You cards.

Cristela Scanlon, Victim Advocate, did not have the opportunity to physically visit counties jails due to COVID-19 but has been able to speak with jail staff regarding the Emergency Override Procedure (EOP), as well as provide training and help sign up staff members via phone. Scanlon continues to sit on the committee for the Kentucky Victim Assistance Academy (KVAA), assisting with trainings for new and seasoned victim advocates. She also continues to be a part of and sit on the Sexual Assault Response Team Advisory Council (SART AC).

Alexis Williams, Program Manager, serves on the KVAA committee and SART ACT, as well.

VSB received VOCA funding to upgrade its classic VINE system to Enhanced VINE for the 2020-2021 grant year. Enhanced VINE was implemented on September 28, 2021. This updated system now allows victims to search for service

providers, as well as continue to register and receive notification on offenders.

Victim Advocates Kim Crews and John Thorpe continued the essential work of making manual notifications of offenders' upcoming parole hearings for victims. Crews and Thorpe have also had to adjust how they serve victims in relation to the victim hearings. These hearings are now scheduled either via phone conference or Zoom. Crews and Thorpe take turns coming into the office on Mondays and handling these hearings. They mailed 2,756 initial notification letters on offenders who are serving on crimes defined in KRS 421.500. Of those initial letters, 618 victims provided a response to include a victim impact statement for the Parole Board to review. Of those responses, 192 requested a victim hearing with the Parole Board. These numbers do not account for the parole outcome letters that were sent to victims on the Parole Board decision based on the victim's request.

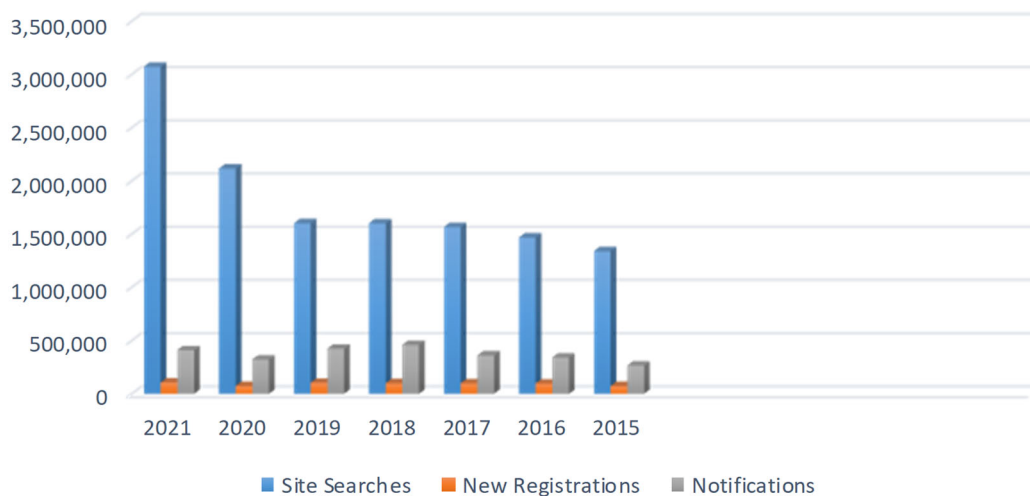
During 2021, VINE saw an increase

## Victim Services Branch

in the number of site searches and acquired 107,653 new registrations. The total number of notifications for 2021 for all three VINE services was 446,076. Total VINE searches for the year 2021 totaled to 3,074,013.



### 2021 VINE Usage



### 2021 Parole Hearing Letter Mailed to Victims



## Compliance Office



*John Dunn*

### **Director/Ombudsman**

John Dunn

### **Assistant Director**

Shannon Butrum

### **PREA**

#### **Justice Program Supervisor**

Pamela Clayton

### **Contract Management**

#### **Justice Program Supervisor**

Jessica Payton

### **Program Credit**

#### **Justice Program Supervisor**

Pamela Bentley

- 15 Justice Program Administrators
- 3 Community Service Center Inspectors
- 1 Program Coordinator
- 2 Administrative Specialist IIIs

John Dunn serves as the director of the Compliance Office and the ombudsman for the Department of Corrections. He also oversees the grievance process throughout the state.

Dunn began his Corrections career in 1993 at Northpoint Training Center as a correctional officer. He was later promoted to a classification and treatment officer and then an

administrative specialist III at the prison. In 2004, he was promoted to classification and treatment officer II at Frankfort Career Development Center. Two years later, Dunn was promoted to a program administrator position in Central Office, supervising the home incarceration program and the reentry program. He held that position until 2006 when he was named as ombudsman for the department. In May 2021, Dunn was promoted to director and tasked with establishing a new Compliance Division.

Dunn served 21 years in the National Guard and retired in 2006 after achieving the rank of major. A 1990 graduate of the University of Kentucky, Dunn received his bachelor's degree in history.

He is a member of the American Correctional Association (ACA), Compliance and Accreditation Managers Association, and the National Guard Association.

### **Overview**

The Compliance Office was developed during 2021, bringing together the areas of Accreditation, PREA and Safety. Newly added areas also include Contract Management and Program Credit.

### **Ombudsman/Accreditation**

The office oversaw 17 internal audits and nine ACA audits during 2021. Internal audits returned to being conducted onsite during 2021.

In 2021, approximately 532 grievances were researched and responded to by the



*Shannon Butrum  
Assistant Director*

Commissioner's Office.

The total number of grievances filed throughout DOC was 7,516. A total of 1,036 were found in favor or partially in favor of the offender. This represents a 13.7 percentage in favor of the offenders which is an excellent percentage among correctional systems.

The office also continues the process of converting to an electronic grievance system.

The office, along with the assistance of DOC's policy staff member, revised several policies to be in line with the ACI 5th edition standards. This will have a major impact on the adult institutions and how DOC manages its Restrictive Housing Unit (RHU) inmates.

The office is responsible for logging external inquiries from the public and government officials, as well. During 2021, approximately 223 inquiries were received.

### **PREA**

The Prison Rape Elimination Act (PREA) was signed into law on September 4, 2003 to address the detection, prevention, and prosecution of sexual harassment. .



*Pamela Clayton  
PREA Supervisor*



*Jessica Payton  
Contract Management Supervisor*



*Pam Bentley  
Program Credit Supervisor*

This area is responsible for developing, implementing and overseeing agency efforts to comply with the national PREA standards as set forth by the United States Department of Justice (DOJ). This involves working closely with facility PREA compliance managers in all state-operated and contract facilities, as well as staff at Reentry Service Centers and Recovery Kentucky Centers, to ensure that compliance with PREA standards is maintained.

During 2021, this office oversaw seven internal audits and successful DOJ PREA audits at eight prisons. Compliance monitoring reviews were conducted virtually at 22 community confinement facilities.

The PREA staff ensured that necessary training continued through 2021 by presenting specialized investigator training in a virtual format. A total of seven training courses were conducted and included participants from local jail facilities, adult institutions, the DOC Division of Probation and Parole and community confinement facilities. In addition, other PREA-related training courses were made available for new PREA compliance managers, as well

as staff charged with conducting PREA risk assessments.

### ***Safety***

DOC established the Safety Office in 2017, recognizing that the corrections field presents unique occupational safety and health challenges. All staff within DOC have the potential to face threats of workplace violence from individuals who are under supervision or incarcerated. There are other hazards to be cognizant of and control against, as well. These include fire hazards, use of hazardous chemicals, and operations of various trades such as electricians, welders, plumbers, and construction projects.

A strong safety culture can increase staff morale and productivity and reduce injuries. DOC recognizes that staff are its greatest asset and remains committed to protecting all staff from recognized safety and health hazards as required by law. Safety also represents part of the DOC's emphasis on comprehensive staff wellness.

This area is responsible for safety policies and procedures, written compliance programs, safety

training, facility inspections, and fire protection coordination. The Safety Office continues to elevate departmental safety practices to a new level of compliance with occupational health and safety standards.

During 2021, this office assisted with the creation of the radiation safety program in response to DOC's acquisition of full-body scanners in all prisons. The creation of this new program included signage, training, protective equipment, and general research required for the operation of this technology. Additionally, as 2021 continued to be impacted by COVID-19, continuous reviews of the respiratory protection program and the personal protective equipment that are used in mitigating the virus occurred throughout the year to ensure DOC's ability to maintain its functions.

### ***Contract Management***

The Contract Management office was established in late 2021 and oversees contract compliance for the Reentry Service Centers (RSC) and Recovery Kentucky Centers (RKC). This includes announced and unannounced inspections at all RSCs

and RKC.

During 2021 staff positions for this area were established and posted. Additionally, plans were finalized for the beginning of the inspection process in 2022.

In December 2021 Contract Management hosted a virtual meeting for all RSC and RKC directors. This meeting included staff from PREA, Program Credit, Addiction Services, Reentry, Medical, Probation and Parole, and Administrative Services.

### ***Program Credit***

The Program Credit office was established in the fall of 2021 and oversees quality assurance for DOC approved programming across the state, to include programming facilitated in local facilities, RSC/RKCs, adult facilities and probation and parole offices. Additionally, the Program Credit office is responsible for entering of all program attendance rosters for local facilities, auditing any program denials, and auditing multiple reports set up to ensure program credits are received appropriately.

During 2021 staff positions for this area were established and posted in order to fill integral positions. Additionally, plans were started for the development of both the quality assurance role within the area and the administrative role.

### ***Policies and Procedures***

Policies and Procedures staff moved from under Adult Institutions to the Compliance Office in August 2021.

Staff facilitate the revisions, legal review, and Legislative Research

Commission (LRC) approval process. Additionally, staff facilitate the same process for all 13 institutional policies and procedures while following ACA guidelines.

The Procedures Development Coordinator is responsible for the development, revision and procedural review of all DOC Policies and Procedures (CPPs). A review of all Institutional Policies and Procedures (IPPs) is conducted annually and feedback is given as needed. This office also provides procedural process to Legal Services and the LRC.

There were 36 CPPs (LRC, Non LRC and Secured) that were revised, approved, and became effective and were placed on the DOC website in 2021.

In 2021, nine new CPPs were created: CPP 8.10 Radiation Safety Program; CPP 9.23 Whole Body Imaging; CPP 30.1 Program Approval Process, Evaluation, and Measurement; CPP 30.2 Program Credit; CPP 30.3 Risk and Needs Assessment and Reentry Programming Training and Quality Assurance; CPP 30.5 Sex Offender Treatment Program; CPP 30.6 Division of Addiction Services Substance Abuse Program; CPP 30.8 Pretrial Substance Abuse Program (PSAP); and CPP 30.9 Supporting Others in Active Recovery (SOAR) Program. The Department of Corrections Classification Manual was filed on November 2, 2020, and approved on February 12, 2021, through the LRC process. The Classification Manual became effective on July 6, 2021.



*Brandon Lynch*  
*Policies & Procedures Coordinator*

In 2021, 45 non-secure IPPs from regulation 501 KAR 6:070, 35 non-secure IPPs from regulation 501 KAR 6:030, and 57 non-secure IPPs from regulation 501 KAR 6:290 were processed through the LRC.

## Office of Legislation and Research



*Cyndi Heddleston*

### Staff Assistant

Cyndi Heddleston

Cyndi Heddleston has served as DOC's legislative liaison in Central Office since 2016. She began her career in corrections in 2003 as a Probation & Parole officer in Fayette County. In 2009, she was promoted to Accreditation Manager for the Division of Probation & Parole in Central Office, a position she held immediately prior to her current one.

### *Legislative Research*

DOC's legislative liaison serves as its representative on the Justice & Public Safety Cabinet's legislative team. Kentucky statute requires that the Department of Corrections provide a fiscal impact report on any legislative bill that potentially impacts the number of criminal offenses or the cost of incarceration, both of which would directly affect the Department of Corrections' budget.

During the legislative session in 2021, DOC completed 95 Corrections Impact Statements for the Legislative Research Commission and 111 bill reviews for Kentucky Legislative Information

and Reporting. Once the legislative session is over, DOC is responsible for implementing DOC-related law changes. In 2021, DOC saw several vital bills passed. HB 497 provides additional information about education and work history for inmates leaving custody to assist with employment searches after release, as well as issuing Certificates of Employability to eligible inmates. HB 556 increased per diems for county jails that provide programming to state inmates. This legislation requires increased tracking and reporting by DOC. SB 84 prohibits pregnant and postpartum inmates from being housed in restrictive housing, as well as providing report requirements related to restrictive housing. The 2021 legislative session also saw passage of legislation related to victim notification, bed allotment at Reentry Service Centers, and raising of the theft threshold, along with various other legislation showing new or enhanced criminal penalties.

In addition, DOC received and responded to over 100 legislative inquiries in 2021. This office also assists with federal and state reporting requirements, including annual reports as required by Kentucky Revised Statute.

The Research Office also oversees research projects that DOC is engaged in. This area coordinated 55 research proposals and approximately 35 requests for data during 2021. Currently, DOC has ongoing research projects with the University of Louisville, the University of Kentucky, and

Eastern Kentucky University. DOC is engaged in research projects in the areas of substance use disorder and treatment, health and mental health issues for inmates, trauma, and reentry resources and barriers.

### ***Kentucky State Corrections Commission***

The Department of Corrections provides administrative support for the Kentucky State Corrections Commission. The commission is comprised of 23 members appointed by the Governor. DOC administers the Kentucky State Corrections Commission grants for the Justice and Public Safety Cabinet. Local community corrections boards are able to receive funding to utilize alternatives to incarceration. Eight grantees received funding for FY22, for a total disbursement of \$643,500. Grant programs include electronic monitoring, drug testing, and mental health court.

For the Department of Corrections, Commissioner Cookie Crews serves as the commission's vice-chair. Deputy Commissioner of Community Services and Local Facilities Lisa Lamb, Deputy Commissioner of Adult Institutions Randy White, and Director of Parole Board Support Angela Tolley serve on the commission.

## Health Services Division



*Cookie Crews*

### **Health Services Administrator** Cookie Crews

### **Clinical Director** Denise A. Burkett, APRN

### **Mental Health Director** Dr. Russ Williams

### **Assistant Wellness Director** Ruth Staples

### **Fiscal Manager** Erika Watt

### **Wellness Staff** Kenny Talbott Teresa Coyne

### **Administrative Specialist III** Sonja Mays

at Kentucky State Reformatory (KSR) in 1987 and served in that same position at the Roederer Correctional Complex the next year.

Crews was then promoted to Unit Administrator I at KSR and, in 1998, was promoted again to Unit Administrator II at the Luther Luckett Correctional Complex (LLCC). In 2002, she was promoted to Deputy Warden at LLCC, and her service career includes a seven-month stint as Acting Warden of the prison. In September 2004, she was promoted to Warden of the Frankfort Career Development Center and held that position until being named Warden at KCIW in 2006. She served in that position until being named Warden at KSR in 2009.

In 2012, Crews was appointed as the administrator over DOC's Health Services Division.

Crews is a graduate of Eastern Kentucky University where she received her bachelor's degree in corrections and public relations.

## ***Health Services Division***

The Health Services Division provides administrative oversight and direction for all aspects of inmate health care, including medical, psychiatric, psychology, dental and nursing services, pharmacy operations, sex offender treatment program, and post-hospitalization/end-of-life care.

In addition to ensuring that all inmates receive health screenings, physical exams, primary care, specialty care referrals and emergency services, the division also provides chronic care clinics designed to monitor and treat inmates with the following conditions: asthma, diabetes, epilepsy, Hepatitis C, high cholesterol, high blood pressure and HIV infection.

## ***General Services***

Mental Health staff serve hundreds of inmates in Mental Health programs. They process several thousand mental health appraisals,

*Health Services building in LaGrange*



Cookie Crews is the Commissioner, as well as the Health Services Administrator, of the Department of Corrections.

Crews began her corrections career in January 1984 as a Correctional Officer at the Kentucky Correctional Institution for Women (KCIW) and was promoted to Sergeant in September of the following year. She was then promoted to the position of Classification and Treatment Officer

## Health Services Division

screeners, and follow-up appointments on both male and female intakes within their first two weeks of admission. The contact that is initiated through DOC assessment centers is devised to identify those inmates needing specialty intensive mental health services, sex offender treatment services, and/or substance abuse treatment services. Inmates may be referred to these intensive services or may be followed by mental health staff through General Services.

Phoenix, the co-occurring unit housed on KSR grounds, provides evidence-based substance abuse programming and mental health treatment to the male offender population. This is a 33-bed unit housing male offenders with both substance abuse/ mental health issues who are simultaneously treated a minimum of six months.

General Services Mental Health staff provide coverage in all adult institutions across the state. The General Services provided by Mental Health staff include the completion of other psychological evaluation referrals, 30/90 day reviews in SMU, individual contacts/consultations on inmates, crisis intervention, watch initiations, and watch reviews. Cognitive-behavioral evidence-based groups are offered to the general population by mental health staff.

### ***Women's Mental Health***

Serious mental illness services for female offenders are provided in the Challenges program at the Lonnie Watson Center, a 42-bed unit at KCIW. A licensed psychology



*Dr. Russ Williams*  
Mental Health Director



*Denise A. Burkett, APRN*  
Clinical Director

provider assigned to this unit provides stabilization and gender-specific treatment services focusing on independent living skills, mental illness recovery, and transitional living services.

There is a co-occurring unit, WILLOW, located at KCIW, that provides substance abuse

programming and mental health treatment to female offenders. This is a 42-bed unit that opened in May 2012.

Mental health staff at KCIW conduct training on mental health issues for the staff assigned to the mental health unit at this institution.

*Lonnie Watson Center, KCIW*



## Health Services Division

### *Correctional Psychiatric Treatment Unit (CPTU)*

Serious mental illness services are provided for male offenders at the Correctional Psychiatric Treatment Unit (CPTU). This 125-bed unit is located at KSR. The A-wing of CPTU serves inmates with persistent and severe mental illness who have concurrent cognitive related disorders and/or severe deficits in activities of daily living. The B-wing program (THRIVE) serves inmates with persistent and severe mental illness and normative intellectual abilities. The C-wing is for psychologically and /or behaviorally disordered offenders. It also houses those inmates with acute stabilization. This wing is reserved for the most severely disturbed psychiatric/behavioral disordered offenders, and/or those inmates with severe mental illness who are serving disciplinary segregation time. Contact with offenders in this program is daily.

### *Sex Offender Treatment*

The Sex Offender Treatment Program (SOTP) provides treatment to approximately 850 offenders at any given time.

SOTP continues to utilize evidence-based practices as well as track and record recidivism data, which demonstrates significant reductions in recidivism rates for treated sexual offenders when compared with untreated sexual offenders.

### *Wellness*

Staff Wellness has an Assistant Wellness Director and two Program Administrators to help all correctional staff deal with the mental and physical aspects of their daily work routine. Staff wellness is any workplace health promotion activity designed to support healthy behavior in the workplace and to improve health outcomes. The Wellness team created a 4-hour block of training on Emotional Survival, which was presented to all institutional trainers and is being incorporated into Pre-Service Academy, as well as staff In-Service training.

The Wellness team is also tasked with handling issues of workplace



*Ruth Staples  
Assistant Wellness Director*

violence. Workplace violence is any act or threat of physical violence, harassment, intimidation, or other threatening disruptive behavior that occurs at the worksite.



*CPTU Entrance, KSR*

# Office of Support Services



Hilarye Dailey,  
Deputy Commissioner

## **Information Services**

Amanda Sayle, Director

## **Parole and Victim Services**

Angela Tolley, Director

## **Administrative Services**

Mike McKinney, Director

## **Population Management**

Kieryn Fannin, Director

## **Division of Corrections Training**

Tammy Lou Wright, Director

---

## Information Services



*Amanda Sayle*

### **Director**

Amanda Sayle

### **Information Systems Manager**

Beth Moore

### **Offender Information Branch Manager**

Bill Mustage

Amanda Sayle began her career with DOC in August 1999 as an Offender Information Specialist for the OIS Branch at Central Office. In 2001, she was promoted to Offender Information Supervisor and then in 2003 to Administrative Specialist III.

In 2005, Sayle was promoted to Offender Information Administrator. In 2008, Sayle transferred to the Information Technology Branch as a Systems Analyst II. In 2010, she was promoted to Systems Analyst III; in 2015 to an Information Systems Supervisor; and again in 2017 to Information Systems Manager. In November 2019, Sayle was promoted to her current position as Director of Information Services, overseeing DOC's Information Technology and Offender Information Services (OIS) branches.

Sayle received a Commissioner's Award in 2000, and in 2018, she

was a co-recipient of the Deputy Commissioner's Award for Support Services. She is a graduate of the 2009 Commissioner's Executive Leadership Program and has been an active member of Kentucky Council on Crime and Delinquency (KCCD), including serving as president of the Capital Chapter for KCCD.

### **Information Technology Branch**

The Information and Technology (IT) Branch supports and maintains the systems that are driven by the business needs of DOC.

The IT Branch supports the Kentucky Offender Management System (KOMS), websites (Justice Cabinet, Corrections, KY Correctional Industries and Parole Board), and custom applications. They also serve as liaisons for outside applications.

The Information and Technology Branch is persistent in its efforts for continued growth in providing solutions and services to users by utilizing available resources and seeking additional resources from other state agencies.

The branch provides ad-hoc reporting and data analytics to users in the field and outside researchers. They work with other agencies to provide information to users in order to support their business needs.

### **Highlights**

In January 2021, the Division of Probation and Parole launched a client portal app for low-level offenders to reduce in-person reporting, making checking in



*Beth Moore*

*Information Systems Manager*

easier for both clients and officers. This app also allows officers to access information on offenders from their cell phones for field work. This was the first of many applications that interface with the Kentucky Offender Management System that the Department of Corrections has implemented.

In March 2021, a new vendor for the inmate money transfer contract was set in place requiring a new interface between Keefe and KOMS. Staff diligently worked to ensure the transition was successful and inmates received all deposits posted by families with the new vendor without delay. This was a joint effort between Adult Institutions, Administrative Services, and Information and Technology to complete a successful transition.

In June 2021, the new Property Module was promoted to KOMS production to allow intake and security staff to utilize KOMS to track inmate property. With this enhancement, all property tracking is now completed in KOMS, as well as photographs logged of the specific items allowed in their possession through the intake application implemented in 2020.

## Information Services

In August 2021, new legislation went into effect requiring many new functional changes to KOMS. New functionality included an RHU report to track statistics on offenders in Restrictive Housing, jail invoicing for program attendance and completion, as well as reentry efforts for offenders, including release reports and the Certificate of Employability.

In November 2021, the work order to implement video visitation, the first phase in an interface with Securus, was signed. Work began immediately to get each facility set up with the needed technology and equipment for video visitation.

In addition to the previous mentioned KOMS functional improvements, IT assisted DOC staff with the development of the following databases to improve business process and data tracking:

- Development of Personnel database;
- Development of RSC bed database; and
- Development of inventory database.
- Other significant data-gathering and analysis completed in 2021 which were noteworthy:
- KPMG project completion
- Parole RA re-validation study
- COVID-19 vaccine tracking/reports
- Participation in BJS, SLC, NPS, NCRP and other national research projects
- KYSTATS data research committee

### Offender Information Branch

The primary responsibility of the Offender Information Services Branch is to ensure accurate sentence calculations and sentence expiration dates for the state inmate population, as well as the offender population serving on mandatory reentry supervision, parole supervision and sex offender post incarceration supervision.

Additionally, Offender Information Services is responsible for fielding questions from the court system, law enforcement agencies and the public, responding to correspondence from the inmate population, and processing open records requests for documents contained in inmate files.

In 2021, the state inmate population averaged 18,488 incarcerated offenders. Offender Information Services oversees the calculations for an additional 48,100 offenders on supervision. The Offender Information Services Branch is made up of sections that specialize in subject matters to provide services to these populations effectively. These sections include Sentence Calculation, Sentence Credit, Parole Review, Jail Management, and the Community Placement Office. The branch also has staff assigned in the phone/mail room and in the open records office.

The Sentence Calculation section provides quality assurance by conducting an internal audit for each inmate's sentence calculation. This ensures all sentences are consistent with the court sentencing order and in compliance with Kentucky Revised Statutes. In addition, the complex



*Bill Mustage*  
*Offender Information Branch Manager*

calculations, which include violent and sex offenders, are handled by a small group of thoroughly trained staff who have multiple years of experience in sentence calculations due to the complexity of these calculations.

The Sentence Credit section provides subject matter expertise in relation to credits that incarcerated inmates and offenders on supervision are eligible to earn. The staff in this section provide quality assurance by ensuring applied credits are compliant with Corrections Policy and Procedure and with Kentucky Revised Statutes.

The Parole Review section provides a variety of services that relate to supervision. These services include the preparation of discharge certificates when an offender satisfies a sentence while on supervision; the calculation and application of parole supervision credit when an offender returns to custody because of a violation of supervision; review of inmate files prior to parole hearings; and reviewing inmates for release onto mandatory reentry supervision.

The Jail Management section serves as the institutional records office for

## Information Services

all state inmates housed in county jails and community service centers. They perform all the routine records processes including detainer documentation and release notifications for each of the approximately 8,600 inmates housed in county jails and halfway houses.

The Community Placement section is responsible for bridging the gap for inmates being released from incarceration to supervision. Staff in this section work closely with the Division of Probation and Parole, Division of Addiction Services, and each institution to ensure offenders are released in a manner that supports their needs for treatment and in a position that maximizes their potential for success while on supervision.

### Highlights

In 2021, Offender Information Services experienced a large amount of staff turnover. Multiple positions were vacated within OIS, including two management positions. Due to COVID-19, getting the positions filled was a challenge. However, staff persevered utilizing technology to train new staff remotely with minimal days worked in person in the office.

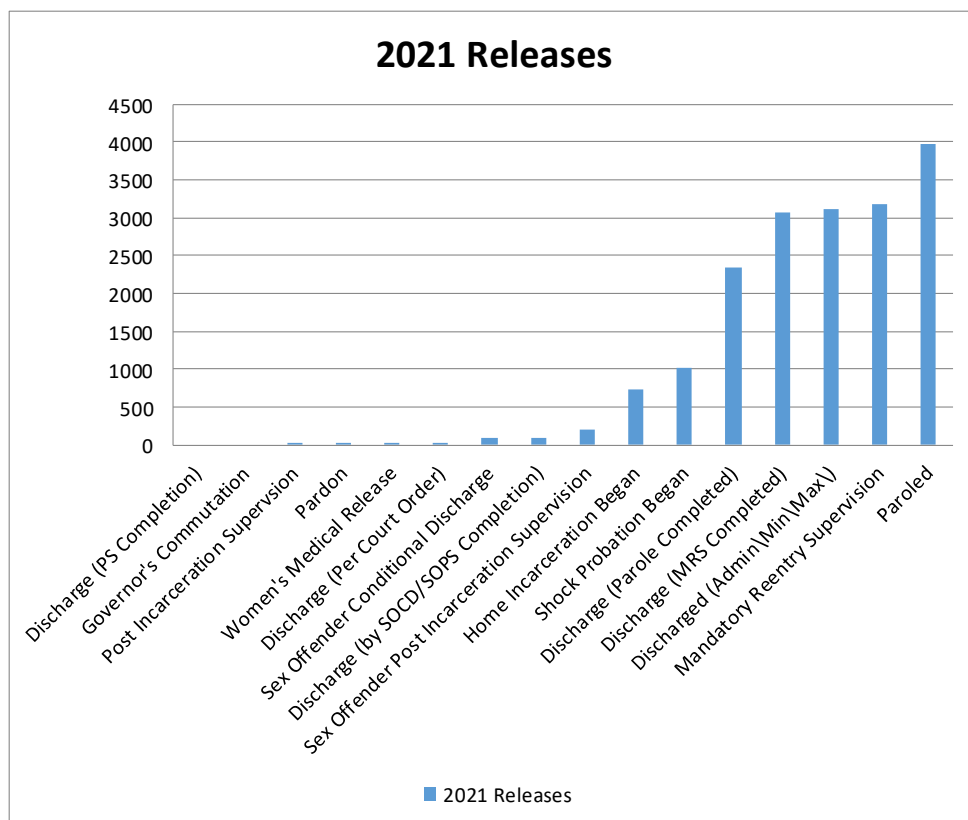
Offender Information Services continued to streamline processes, advance training of staff and update daily reports seeking defined structure of the branch, all while working 100% remotely through June 2021. Staff maintained the progress made in 2020 with the backlog of pending sentence calculations despite new hurdles in getting sentencing documentation

from the courts due to COVID closures. Staff remained current with initial calculation audits from an average backlog of over 500 at the end of 2019. This was accomplished through constant training of staff after filling vacant positions, as well as advanced training for existing staff.

Legislative changes in 2021 added a large volume of work to OIS good-time staff with the passing of HB 556. This allowed the county jails to receive pay for state inmate program attendance and completions achieved for all DOC-approved programs. The Sentence Credit section began working with the newly developed Compliance Office to ensure attendance and completions were recorded in KOMS correctly for accurate

payments to the jails. In addition, HB 497 required additional steps for all offenders being released by any method. In a reentry effort to aid offenders being released, this legislation required documentation to be provided to each offender including incarceration records for job/program completions, behavior records, and a chronological criminal history report. In an effort to assist in successful employment for released offenders, qualified offenders now receive a Certificate of Employability in accordance with this legislation.

Throughout 2021, Offender Information Services reviewed and approved approximately 3,000 inmate releases by serve out and 9,000 releases to supervision.



## Division of Administrative Services



*Mike McKinney*

### **Director**

Mike McKinney

### **Assistant Director**

Tonya Hunter

### **Procurement Branch**

#### **Administrative Branch Manager**

Galen Benassi

### **Fiscal Branch**

#### **Fiscal Manager**

Alan Dockery

### **Property Section**

#### **Administrative Section Supervisor**

Brian McGuire

Mike McKinney has served as Director of the Division of Administrative Services since 2021. He began his career with the Department of Corrections in 2001 as Fiscal Officer at Blackburn Correctional Complex.

McKinney served as Fiscal Manager at Little Sandy Correctional Complex at its opening in 2004 and Fiscal Manager at Eastern Kentucky Correctional Complex in 2013. He promoted to Deputy Warden at Eastern Kentucky Correctional

Complex in 2018 and went onto serve as Assistant Director of the Division of Administrative Services later that year.

McKinney is a graduate of the 2015 Commissioner's Executive Leadership Program and a recipient of a 2020 Commissioner's Award.

### **Overview**

The Division of Administrative Services provides resource support to DOC and staff through a vast array of services including budget, financial management, accounting, fixed asset management, insurance, fleet, procurement, and internal financial audits.

The Division processes accounts payable, accounts receivable and procurement documents, including travel vouchers for over 750 Probation and Parole staff and Central Office staff on a monthly basis. The Division processed and/or approved over 32,500 eMARS documents in Fiscal Year 2021, all while maintaining an average of four vacancies.

The Procurement section managed and issued over 71 contracts for DOC in 2021. This includes new contracts, contract extensions, memorandums of understanding, memorandums of agreement, and service contracts.

The Procurement section also reviewed and approved over 5,500 purchases throughout the agency, ensuring all purchases met Finance Cabinet policy and procedure standards. Procurement staff has continued to work directly with the Finance Cabinet's Office of



*Tonya Hunter  
Assistant Director*

Procurement Services to procure goods and services that exceed the small purchase authority or qualify as master agreements.

DOC retained its \$20,000 small purchase authority granted by the Finance and Administration Cabinet. Procurement staff completed required procurement courses in order to maintain the small purchase authority.

The Division of Administrative Services has started the process of creating a Standard Operating Procedures Manual that will be used by all fiscal operations staff throughout the agency to standardize procedures and allow for an internal audit tool that is Corrections specific.

The Division successfully implemented the Fiscal Year 2022 budget while actively ensuring funds were available to sustain the operational requirements of DOC.

The Division has been holding monthly fiscal operations meetings via Microsoft Teams to provide training to all fiscal managers and their staff.

## Division of Administrative Services

The chart below shows the FY 2021 cost to incarcerate offenders per institution, county jails, and halfway houses; also, the cost to supervise offenders on electronic monitoring, probation and parole.

<b>COST TO INCARCERATE – 2021</b>				
<b>KY DOC Adult Institutions</b>	<b>*CTI Per Day</b>	<b>*CTI Per Annum</b>	<b>**HB463 CTI</b>	<b>**HB463 Per Annum</b>
Blackburn Correctional Complex	\$ 149.84	\$ 54,691.98	\$ 145.37	\$ 53,058.73
Bell County Forestry Camp	\$ 83.85	\$ 30,605.97	\$ 79.37	\$ 28,970.45
Eastern KY Correctional Complex	\$ 79.44	\$ 28,997.14	\$ 74.73	\$ 27,276.27
Green River Correctional Complex	\$ 89.22	\$ 32,567.00	\$ 84.52	\$ 30,848.18
KY Correctional Institution for Women	\$ 106.67	\$ 38,935.39	\$ 101.57	\$ 37,072.08
KY State Penitentiary	\$ 108.33	\$ 39,540.82	\$ 103.57	\$ 37,803.55
KY State Reformatory	\$ 116.31	\$ 42,454.00	\$ 111.24	\$ 40,603.10
Luther Luckett Correctional Complex	\$ 83.25	\$ 30,387.67	\$ 78.50	\$ 28,653.16
Little Sandy Correctional Complex	\$ 86.07	\$ 31,414.71	\$ 80.97	\$ 29,554.02
Northpoint Training Center	\$ 90.34	\$ 32,974.63	\$ 85.89	\$ 31,348.43
Roederer Correctional Complex	\$ 93.18	\$ 34,012.13	\$ 88.12	\$ 32,162.73
Southeast State Correctional Complex	\$ 237.79	\$ 86,794.33	\$ 229.83	\$ 83,888.51
Western KY Correctional Complex	\$ 118.17	\$ 43,133.04	\$ 113.34	\$ 41,370.54
Lee Adjustment Center	\$ 75.28	\$ 27,476.39	\$ 71.23	\$ 25,997.82
<b>Other Averages</b>				
<b>Total State Facility Average Cost</b>	<b>\$ 97.60</b>	<b>\$ 35,623.92</b>	<b>\$ 92.73</b>	<b>\$ 33,847.47</b>
<b>Total State Inmate Average Cost</b>	<b>\$ 67.60</b>	<b>\$ 24,672.34</b>	<b>\$ 64.72</b>	<b>\$ 23,623.41</b>
County Jails without SAP (State Inmates)	\$ 35.43	\$ 12,931.86	\$ 34.75	\$ 12,681.99
County Jails with SAP (State Inmates)	\$ 44.43	\$ 16,216.86	\$ 43.75	\$ 15,966.99
Halfway Houses - Inmate Beds	\$ 36.73	\$ 13,407.40	\$ 36.10	\$ 13,175.10
***Halfway Houses - Probationer/Parolee Beds & Recovery Ky.	\$ 32.60	\$ 11,898.60	\$ 31.96	\$ 11,666.29
Electronic Monitoring	\$ 5.66	\$ 2,067.20	\$ 5.55	\$ 2,027.36
Probation & Parole - Cost to Supervise	\$ 4.06	\$ 1,481.86	\$ 3.98	\$ 1,452.78
State Institution Average Female	\$ 106.67	\$ 38,935.39	\$ 101.57	\$ 37,072.08
State Institution Average Medium non medical (male)	\$ 86.92	\$ 31,725.55	\$ 82.12	\$ 29,973.80
State Institution Average Medical (male and female)	\$ 124.28	\$ 45,360.46	\$ 119.39	\$ 43,577.97
Average Medical Cost per Inmate, Adult Institutions (state)	\$ 18.56	\$ 6,776.13	\$ 17.99	\$ 6,565.18
Average Substance Abuse Cost per Inmate-Institutions (state)	\$ 7.53	\$ 2,750.20	\$ 2.83	\$ 1,031.90

\*Cost to Incarcerate includes department overhead (Central Office)

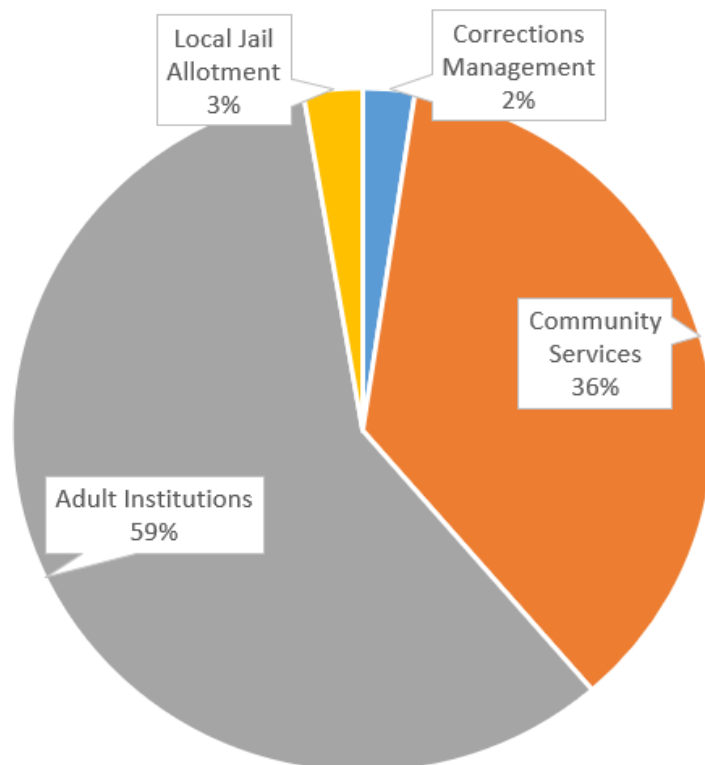
\*\*Calculated per provisions of HB463 (Excludes department overhead/Central office)

# Division of Administrative Services

## FY2021/2022 EXPENDITURES

	Corrections Management	Community Services	Adult Institutions	Local Jail Allotment	Total
<b>Personnel</b>	\$12,789,859.99	\$67,667,355.55	\$194,755,010.76	\$21,734.62	<b>\$275,233,960.92</b>
<b>Operating</b>	\$1,657,339.71	\$10,721,952.95	\$65,394,516.39	\$275,000.00	<b>\$78,048,809.05</b>
<b>Care/Support</b>	\$ -	\$141,483,059.76	\$89,448,639.85	\$16,101,971.59	<b>\$247,033,671.20</b>
<b>Debt Service</b>	\$ -		\$586,000.00		<b>\$586,000.00</b>
<b>Capital Outlay</b>	\$ -		\$4,825,526.74		<b>\$4,825,526.74</b>
<b>Total</b>	<b>\$14,447,199.70</b>	<b>\$219,872,368.26</b>	<b>\$355,009,693.74</b>	<b>\$16,398,706.21</b>	<b>\$605,727,967.91</b>

<b>Corrections Management</b>	\$14,447,199.70
<b>Community Services</b>	\$219,872,368.26
<b>Adult Institutions</b>	\$355,009,693.74
<b>Local Jail Allotment</b>	\$16,398,706.21



## Division of Corrections Training



*Tammy Lou Wright*

### **Director**

Tammy Lou Wright

### **Central Region Training Center Branch Manager**

John Harrison

### **Eastern Region Training Center Branch Manager**

Donnie McGraw

### **Western Region Training Center Branch Manager**

Steve Farley

### **ACA Accreditation Manager**

Melissa Wright

Tammy Lou Wright began her career with DOC in 2001. During her years of service, she has moved up the ranks, holding positions in the Division of Probation and Parole, Northpoint Training Center, and Central Office with classification and home incarceration. She joined the Victim Services Branch in 2006. In 2013, she was promoted to oversee operation of Kentucky's three automated Victim Information and Notification Everyday (VINE) services and supervise DOC's victim advocates. While in the Victim

Services Branch, Wright worked with county jails across the state.

In 2014, Wright was elected to represent the nation's VINE program managers on the national VINE Advisory Committee (VAC). During her three-year VAC term, she worked with the VINE provider to provide guidance for the development of Enhanced VINE, the recently implemented victim notification platform.

In 2015, Governor Steven L. Beshear appointed Wright as a member of the Kentucky Council for Interstate Adult Offender Supervision. Wright served two terms as state president for the Kentucky Council on Crime and Delinquency. She is a graduate of the 2013 Commissioner's Executive Leadership Program and a recipient of a 2010 Commissioner's Award.

Wright maintains an office in LaGrange, within the Curriculum Branch at the Central Region Training Center (CRTC). This office provides administrative supervision of all Department of Corrections training operations.



*Eastern Region Training Center*



*Central Region Training Center*

### **Overview**

The Division of Corrections Training (DCT) is tasked with planning, coordinating and conducting training for adult institutions, jailers, and the Department of Corrections Central Office.

The DCT develops training curriculum for correctional officers, uniformed supervisors, and non-security staff of the 13 adult Kentucky Correctional institutions, Central Office, Kentucky Correctional Industries, and annual training for the elected/appointed jailers and their staff within the 120 counties of Kentucky.

December 13-15, 2021: The ACA annual program security review was conducted at the Eastern Region Training Center (ERTC) finding that "training meets the standards in each and every category as required by the ACA Standards for Correctional Training Academies, 1st edition with 2016 supplement."

### **Highlights**

As a result of the COVID-19 pandemic, adjustments were made to the pre-service academies offered by DCT, such as adjusting the training

## Division of Corrections Training



*John Harrison  
CRTC  
Branch Manager*



*Donnie McGraw  
ERTC  
Branch Manager*



*Steve Farley  
WRTC  
Branch Manager*

hours, days, and locations. In 2021, DCT returned to the regional training centers for instruction on these three phases, with the exception of the Western Regional Training Center (WRTC). WRTC staff continued training at the hiring institutions rather than all students traveling to one training center due to low vaccination rates and continued high COVID positives.

In the fourth quarter of 2021, the Division returned to full hours of training for phases three, four and five of its five-week academy. Phases 1 and 2, with the exception of OC and CPR, were delivered via computer-based modules led and monitored by institutional training coordinators (ITC) with assistance from DCT regional trainers. Smaller

classes were conducted for recertification of firearms instructors and the basic supervisor workshop. Virtual training was also utilized as a Train the Trainer course was conducted via Zoom. Protocols were put in place for face-to-face training to ensure the safety of students and trainers. Extra precautions were taken in the event of specialized trainings, such as body scanners, armorer, etc. that required only vaccinated staff could attend.

A curriculum was developed and will be added into the 2022 Institutional Pre-Service Academy for Correctional Behavioral Health Certification (CBHC). CBHC is an ACA expected practice, 5-ACI-4B-13, and a recent policy addition. This 11.5-hour training is intended for staff who work with offenders in Restrictive Housing.

Instructor I Lee May with CRTC completed Master TASER Instructor certification in September 2021. This gives the DCT two Master Instructors for TASER. DCT staff virtually attended the 2021 Governor's

Conference on Leadership, Equality, Accessibility and Diversity (LEAD), the Commonwealth's largest diversity and leadership conference.

DCT experienced a few staff changes in 2021. SRTC suffered the loss of life of an admired, well-loved supervisor, Marcus Faulkner. Steve Farley, a training instructor, was promoted to WRTC Branch Manager.

As a result of an internal audit of training records, this Division will be adding a compliance position in 2022 to oversee and monitor training within all areas of DOC.



*Southern Region Training Center*



*Western Region Training Center*

## Division of Parole and Victim Services



*Angela Tolley*

### Director

Angela Tolley

Angela Tolley began her career in 1983 with the Department of Corrections as a Correctional Officer at Northpoint Training Center. During her time with state government, she has established a repertoire of experience through positions such as a

Classification and Treatment Officer in the institution and a Probation and Parole Officer in Lexington. She served as the Deputy Compact Administrator for the Division of Probation and Parole before retiring in July 2011 after 27 years of service.

Tolley returned to state government in 2014 as an Administrative Assistant with the Department of Corrections before transferring to the Office of Inspector General as a Medicaid Fraud Special Investigator. In addition to her time in Corrections, she has also worked with adults with disabilities and as a social worker who investigated reports of child abuse and neglect for the Cabinet for Health and Family Services.

While with the Department of

Corrections, Tolley was instrumental in ensuring Kentucky's compliance with the newly structured Interstate Commission for Adult Offender Supervision and organizing Kentucky's parole-to-hold process. She established a network of camaraderie with interstate compact and state prison staff across the United States, as well as staff with the Federal Bureau of Prisons. From 2012 to 2016 she was appointed to the Kentucky Council for Interstate Adult Offender Supervision.

Graduating from Eastern Kentucky University in 1980, Tolley received a bachelor's degree in rehabilitation counseling with an emphasis in adult corrections. She has received numerous awards during her career for outstanding performance, including a Commissioner's Award in 2002 and 2007.

### Overview

The Division of Parole and Victim Services is responsible for completing all administrative duties of the Kentucky Parole Board as determined by policies, statutes, and regulations. The Division consists of four sections: Operations, Risk Assessment, Revocations, and Hearings.

The Division of Parole Services works with the administrative law judges (ALJs) who preside over the parole revocation hearing process. The Division has four ALJs who conduct parole revocation hearings. In 2021, the ALJs conducted 1,575 hearings, issued 1,608 decisions, and reviewed 16 interstate cases.

The Division of Parole Services continues to work closely with Victim Services staff who are responsible for victim and prosecutor notifications regarding parole eligibility release hearings as required by Kentucky statute, KRS 439.340. There were 192 victim hearings conducted in 2021.

Operations is responsible for incoming calls and all correspondence; the processing of all requests for parole reconsiderations, medical parole requests, and open hearing requests; and ensuring that open record requests for copies of audio hearings receive prompt responses.

Operations provides clerical support during the parole eligibility and revocation hearings, enters all parole hearing decisions, and provides general administrative support. Operations facilitates all video conference hearings and creates and processes the monthly and weekly calendars for the Parole Board members. Operations is responsible for the weekly Parole Board meetings and the processing of any and all outcomes from cases heard during the Monday meetings. Amber Ingram, the Program Administrator, in addition to managing staff, is also responsible for writing and updating all Parole Board policy, procedures and regulations.

The Risk Assessment section is responsible for compiling guidelines for the Parole Board Risk Assessments as required by KRS 439.331. This is an objective and validated tool utilized by the Parole Board since 2003 when making release decisions. All offenders seen

by the Parole Board on parole eligibility lists are required to have a completed assessment. This division creates, compiles, maintains, schedules, and notifies the appropriate parties in reference to all parole eligibility hearings and reviews. Adrienne Marlowe, the Program Administrator, in addition to training staff, ensures quality control of the risk assessment product and is also responsible for keeping the assessment manual updated.

The Hearing section is responsible for scheduling all probable cause hearings (phase one) and all final revocation hearings (phase two). Carri Barnett, the Program Administrator, is tasked with creating a schedule encompassing the ALJs, defense attorneys, Probation and Parole staff, and the holding facility. The Hearing section processes all ALJ decisions, reviews the decision for accuracy, uploads the decision into KOMS, and provides a copy of the decision to the appropriate parties. This section is also responsible for statewide revocation training and any parole violation warrants requested as a result of ALJ decisions.

The Revocation section is responsible for issuing and processing requests for parole revocation warrants. They are also responsible for processing all rescind warrant requests. The section reviews all parole revocation hearing waivers for accuracy, provides and prepares parole violator packets for the Parole Board to use during the final parole revocation decisions. Dean Foster, the Program Administrator, in addition to

managing staff, is responsible for scheduling all parole revocation reviews. This includes reviewing, editing, processing, and entering all final revocation decisions and all Parole Board Sanction (PBS) decisions.

### ***Highlights***

During the 2021 calendar year, Parole Services coordinated the revalidation of the Parole Board Risk assessment tool, processed, and coordinated all open hearing and open records requests. Parole Services processed 14,593 Parole Board decisions, compiled 11,319 risk assessments, issued 3,898 parole violation warrants, processed 4,139 parole revocation hearing waivers and 685 reconsideration requests.

In lieu of parole revocation, the Parole Board rescinded 1,139 parole violation warrants for parolees who agreed to participate in discretionary sanctions as recommended by the supervising officer. Also, in lieu of parole revocations, the Parole Board issued 108 PBS offers in 2021. The PBS caseload is monitored by Parole Services which tracks the progress of all participants until their successful completion and return to supervision. This caseload monitoring includes confirming program completion, submission of home placement information, and initiating the release process. For those unsuccessful participants, this involves scheduling the final parole decision review and processing of those decisions. Parole Services was responsible for implementing the three directives issued by the

Parole Board in 2021: The Youthful Offender Directive, the Lifer Directive, and the Parole Release Hearing Directive for classified sex offenses.

There was a noticeable increase in the number of civil actions filed against the Parole Board in 2021. These Parole Board actions require the Director's attention in the handling of these actions, sitting in all meetings related to these actions, accumulating all data requested, and production of documents requests.

The Division of Parole and Victim Services staff continue to ensure that the Parole Board can fulfill their duty as part of the criminal justice system of Kentucky in accordance with KRS 438.380.

## Kentucky Parole Board 2021 Calendar Year Report

FACE TO FACE	Recommended	Deferred	Serve Out	TOTAL
DEFERRED	418	401	62	881
INITIAL	262	161	71	494
FILE REVIEW	Recommended	Deferred	Serve Out	TOTAL
DEFERRED	1782	2105	501	4388
INITIAL	1891	2421	1338	5650
OTHER	183	39	32	254
Parole Eligibility Cases	Recommended	Deferred	Serve Out	TOTAL
Total Cases	4536	5127	2004	11667
Total Parole Decision Rates	38%	45%	17%	100%
Break Out Rates	Recommended	Deferred	Serve Out	TOTAL CASES
DEFERRED	42%	47%	11%	5269
INITIAL	35%	42%	23%	6144
HEARINGS	50%	41%	9%	1383
REVIEWS	37%	45%	18%	10292

FINAL REVOCATION	Parole Recommended	Deferred	Serve Out	TOTAL CASES
MRS Violator	1	0	773	774
Parole Violator	60	953	1008	2021
PS Violator	0	0	3	3
SOC/SOPS	2	0	119	121
Total Revocation Cases	63	953	1903	2919
Total Revocation Decision Rates	3%	32%	65%	100%

	Parole Recommended	Deferred	Serve Out	TOTAL CASES
ALL HEARING TOTALS	4603	6080	3908	14591

Parole Board Event	Total	Parole Board Event	Total
Waived PC Hearings	2066	Warrants Issued	3898
Waived Final Hearings	2073	Warrants Rescinded	1139
Final Revocation Decisions	2595	Inmate Serve Out Request	366
PBS Offers	108	Youthful Offender Hearings	12
Victim's Hearings	192	Reconsideration Request	685

## Division Population Management



*Kiern Fannin*

### Director

Kiern Fannin

### Program Administrators

Andrew Keller

Alan Long

Jonathan Rooney

Amanda Scott

Lisa Teague

Jennifer Tracy

Kylor Wilson

### Administrative Specialists

Geneva Bogue

Cindy Adams

### Classification & Treatment Officer

Connie Taylor

Kiern Fannin began her career with the Department of Corrections in 2000 as a Classification and Treatment Officer in the Assessment Center at Roederer Correctional Complex. In April 2005, she was promoted to Central Office as a Program Administrator in the Classification Branch, where she was responsible for reviewing transfers to ensure inmates were assigned to appropriate custody levels and recommended to appropriate facilities.

In 2013, Fannin began working as a

liaison with the Substance Abuse Program. She was tasked with monitoring the Substance Abuse Program waiting list and coordinating movement into available treatment beds.

In July 2013, she was named Executive Staff Advisor for the Division of Substance Abuse Services. In this role, she was instrumental in DOC's development and implementation of Senate Bill 192, legislation pertaining to the heroin epidemic in Kentucky. During her tenure, Fannin created the Medically Assisted Treatment protocol currently utilized by DOC. In addition, she developed a statistical review process that allows the Division to more accurately project the need for expanded substance abuse programming.

In 2016 Fannin was promoted to director of the Division of Population Management.

Fannin is a 2007 and 2012 graduate of the Commissioner's Executive Leadership Program as well as the recipient of many awards, including the agency's top award, a Commissioner's Award, in 2015.

### Overview

The Population Management Division/Classification Branch is responsible for overseeing classification actions in all state institutions, contract facilities, and full-service county jails and for monitoring the Assessment/Classification Centers at Roederer Correctional Complex, Kentucky Correctional Institution for Women and Ross Cash Center. This

Division is also responsible for monitoring the population of these facilities for appropriate use of bed space.

Inmate custody levels are determined through an objective based risk instrument that was developed with the assistance of the National Institute of Corrections (NIC). The classification manual is reviewed and updated in accordance with recommendations from validity and reliability studies.

Division staff are responsible for reviewing all inmate transfer and furlough requests, jail disciplinary reports, jail detainers, jail additional sentences and requests for priority security admission.

Training is conducted annually for all adult institution classification staff to address any classification issues and changes in policy, procedure or statute. The Division also implements and updates policies to ensure compliance with changing statutes and system changes.

The Division monitors the population of all state institutions, contract facilities and county jails. When necessary, movement is directed by division staff in order to alleviate overcrowding in county jails.

The Division Director oversees the operation of the Assessment Centers, currently located at the Roederer Correctional Complex, Kentucky Correctional Institution for Women, and the Ross-Cash Center, and directs admissions / transfers statewide.

### Highlights

The division completed a

## Division of Population Management

revalidation and reliability study of the inmate classification system in 2020 with guidance and assistance from Dr. Patricia Hardyman of the Criminal Justice Institute, Inc. The revised system became effective on November 1, 2020. The division continued implementation and education of the system in 2021.

Basic classification training was held five times for new classification staff. Annual classification training was also held five times for case management staff. When possible, trainings were held at regional locations. However, many of these trainings were conducted virtually due to the ongoing COVID-19

pandemic.

The pandemic continued to have an impact on DOC operations. The Division opened satellite assessment centers at multiple institutions to assist with controlled intake. Shortly after opening, some were forced to close due to critical staffing levels within DOC that required population changes.

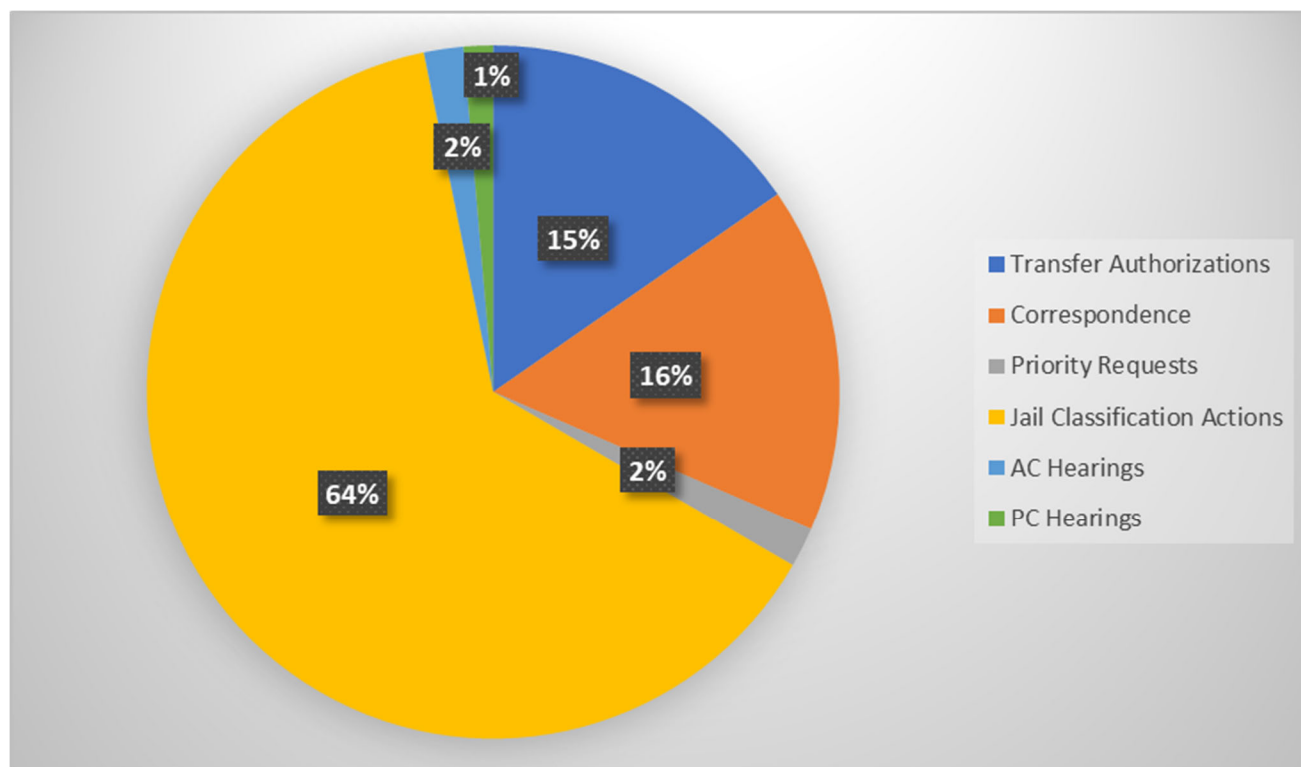
DOC operate a satellite assessment center at Blackburn Correctional Complex for reduced custody male inmates.

The Division of Population Management has continued to conduct all protective custody

reviews. This has resulted in maintaining a reduced number of protective custody inmates at the Kentucky State Penitentiary.

Division staff processed over 4,000 pieces of correspondence and performed over 17,000 classification actions in 2021.

*2021 breakdown of classification actions and transfer authorizations*



# Office of Community Services & Local Facilities



Lisa Lamb,  
Deputy Commissioner

## **Division of Reentry Services**

Kristin Porter, Director

## **Division of Local Facilities**

Kirstie Willard, Director

## **Division of Probation and Parole**

Erica Hargis, Director

## **Division of Addiction Services**

Sarah Johnson, Director

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## Division of Reentry Services



*Kristin Porter*

### Director

Kristin Porter

### Assistant Director

Christi Sorrell

### Administrative Branch Manager

Matthew Aaron

### Administrative Branch Manager

Katie Green

### Justice Program Supervisor

Daniel Goyette

### Justice Program Administrator

Kristin Johnson

### Administrative Specialist III

Julie York

- 7 Regional Program Administrators
- 11 Reentry Employment Program Administrators
- 19 Community Reentry Coordinators

Kristin Porter started her career with the Department of Corrections in 2006 as a Correctional Officer at Luther Luckett Correctional Complex. Later that year, she transferred to the Division of Probation and Parole as an officer in the Louisville southeast office. In 2012, Porter was promoted to

Assistant District Supervisor of District 18 in Louisville.

Porter has served as a Mechanical Advantage Control Hold (MACH) instructor, halfway house officer, PREA investigator, PORTAL instructor and Moral Reconciliation Therapy (MRT) instructor. She served as an instructor for SAP Pre-Release classes at the institutions and served on the 2009 American Correctional Association (ACA) compliance review team for the Division of Probation and Parole. She developed and initiated the Probation and Parole Field Training Officer Program in 2014, which has been implemented in each district throughout the state. In January 2017 she was promoted to the position of Program Administrator in the Reentry Branch in Central Office. She was promoted again in 2018 to Director of the Division of Reentry.

Porter is a 2006 graduate of University of Louisville, where she earned a bachelor's degree in justice administration. She received the District 19 Achievement Award in 2008, the Commissioner's Award in 2014 and the Deputy Commissioner's Award for Support Services in 2017. She is a graduate of the 2011 Commissioner's Executive Leadership Program and served as a mentor for the program for several years.

### Overview

The Division of Reentry Services strives to increase public safety and improve recidivism rates by enhancing resources available to offenders transitioning from incarceration to the community.



*Christi Sorrell*  
*Assistant Director*

This requires that all areas of DOC collaborate to build a seamless reentry process.

The Division of Reentry Services oversees the use of the validated risk and needs assessment tool, KyRAS. DOC currently uses this tool and a comprehensive case management plan to prepare for the individualized release of inmates. The KyRAS tool is administered to inmates in adult institutions, as well as offenders on supervision under the Division of Probation and Parole.

The Division of Reentry Services supervises the reentry coordinator positions in prisons and jail facilities, as well as community reentry coordinators located in Probation and Parole offices across the state. Every adult institution in the state, as well as each Probation and Parole district, has at least one reentry coordinator to assist in the release of inmates and the community needs of clients. The reentry coordinator positions are also tasked with providing evidence-based and promising practice programs to clients on supervision and incarcerated.

Currently, the Division of Reentry Services delivers all programming to

## Division of Reentry Services



*Matthew Aaron*  
*Administrative Branch Manager*



*Katie Green*  
*Administrative Branch Manager*



*Dan Goyette*  
*Justice Program Supervisor*

community clients as well as the inmates in prisons.

The creation of the Division allowed programming expansion across the state for the DOC population. In 2017, 6,845 program completions were recorded for the Department of Corrections, while in 2021, 17,671 were recorded.

The Division of Reentry Services supports the network of reentry councils across the state. Reentry councils exist in the following areas: Paducah, Owensboro, Elizabethtown, Bowling Green, Louisville, Covington, London, Maysville, Ashland, Prestonsburg and Somerset. Reentry staff attend the reentry council meetings routinely to advise service providers of new DOC initiatives, gather information about services and develop strategies to address service gaps.

In 2018, the Reentry Branch was officially changed to the Division of Reentry Services and grew over the past three years from a staff of 12 to a staff of 81. As of 2019, every adult institution has at least one Reentry Coordinator housed in it to provide reentry services at the time of release for inmates, as well as 19

Community Reentry Coordinators housed in every Probation and Parole district to provide reentry services for the community population. In 2018, KORE grant funds were provided to the Division of Reentry Services for six employment program administrator positions across the state. The outcomes of these six positions proved successful, and as a result, the grant administrators gave the Division an additional four positions in 2019 and an additional position in 2020 to ensure full statewide coverage.

In addition to normal one-on-one reentry processes for the population, the Division hosts a number of events throughout the state. Expungement fairs are held in conjunction with community partners in the local communities to inform the population of expungement eligibility and application processes. Job fairs and resource expositions are held in the local communities and in the institutions to bring resources needed by its population directly to individuals.

Reentry simulations are hosted in

two-hour intervals to allow participants to go through real life scenarios of what its population goes through when they are overcoming barriers in their communities and trying to turn their lives around. Due to the pandemic in 2020, many in-person events were halted out of an abundance of caution, which continued into 2021, so this is reflective in the event numbers hosted for the year.

### **Highlights**

- 17,671 Program completions
- 3,178 Employment assessments with 55% employment success rate
- 27 Virtual events held
- 411 State IDs issued pre-release
- 1,093 Transportation vouchers issued
- 2,072 GED referrals
- 43 KyRAS and program trainings conducted
- 29.17% Recidivism rate

## Division of Probation and Parole



Erica Hargis

### Director

Erica Hargis

### Assistant Director

Steve Turner

### Assistant Director

Kirk Gausepohl

### Regional Managers

Central Region – Rebecca Carter

Northern Region – Dan Fountain

Western Region – Sabrina Farris

Eastern Region – Mike Caudill

Erica Hargis began her career with the DOC in 2009 as a Probation and Parole Investigator writing presentence investigation reports in District 4. In 2010, she transferred to District 19 as a Probation and Parole Officer supervising a high-risk caseload. She began working in the Reentry Branch in Jefferson County when she was selected for one of the four grant-funded reentry parole officer positions in 2012. Later that year she was promoted to an Assistant Supervisor at the District 4 Probation and Parole office, and then transferred to District 16 in the same capacity in 2015.

In 2017, Hargis became the Reentry Employment Program Administrator

for Reentry Region 3, assisting justice-involved individuals with employment support services in the greater Louisville area. In March 2018, she was promoted to Assistant Director of the newly created Division of Reentry Services.

As a result of being one of the original PORTAL reentry team members in District 19, Hargis was the recipient of the Achievement Award for that district in 2011. She assisted with the creation, development and implementation of the field training officer program that is utilized in all 20 Probation and Parole districts, earning her a Commissioner's Award in 2014.

A 2006 graduate of Western Kentucky University, Hargis earned a bachelor's degree in psychology and criminology. In 2010, she earned a master's degree from the University of Louisville in justice administration. Hargis is a 2015 graduate of the Commissioner's Executive Leadership program.

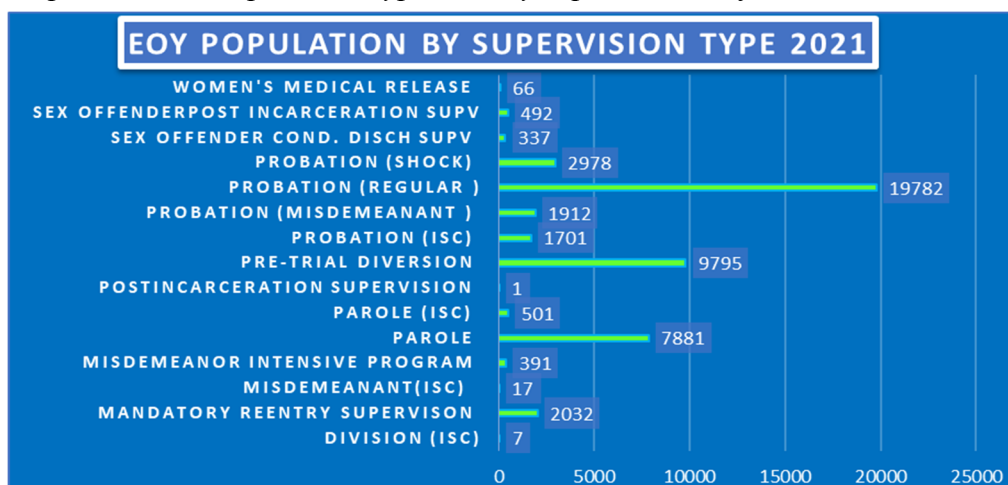
### Overview

The primary function of the

Division of Probation and Parole is to protect the citizens of the Commonwealth. This is accomplished through community supervision of offenders placed on probation by the courts or released to parole supervision by the Parole Board. Officers serve each of Kentucky's 120 counties covering four operating regions, divided into 20 districts, structured into 57 supervision field offices spanning across the state of Kentucky.

As of December 31, 2021, the Division of Probation and Parole employed 743 staff (excluding vacancies), of which 540 are sworn Probation and Parole officers and 20 administrative staff supervised 47,893 active offenders on community or administrative supervision. This total represents 11,244 parolees (23.47%) released by the Parole Board and 36,583 (76.38%) offenders placed on supervision by the court. The remaining 66 are individuals released as part of the SB 133 Women's Medical Release program, introduced in July 2018, specifically aimed at pregnant females assessed with a substance use disorder with a

### Population and supervision type actively supervised as of Dec. 31, 2021



## Division of Probation and Parole

218A offense. During 2021, an average of 67 women have been released or placed into an approved inpatient substance abuse recovery treatment program as part of the Women's Medical Release program. Since the program was created, 70 women have successfully completed the treatment program.

The current population on community supervision has seen a slight decrease of 3.5% from 49,421 to 47,685 as of December 31, 2021. On average, the regular officer's caseload remained at 1:86. The regular officer caseloads supervise a majority of the community supervised population a total of 28,289, requiring a face-to-face report with their officer once a month. Managing time, resources, and a supervised population during the second year of the pandemic provided a continued challenge, but not as intense as 2020.

The improved use of technology during 2021 and established electronic resources have continued to prove an undeniable asset in assisting both the community supervised individual and supervising officer. Throughout 2021, the executive committee, regional managers in collaboration with district supervisors, continued to review caseloads and population distribution to realign caseload distribution. They optimized the use of specialized caseloads, resulting in a manageable officer caseload average. The overall goals were to provide equality of service and ensure all clients receive the support they need to be successful and those clients who may need extra support receive greater oversight, guidance,



*Steve Turner  
Assistant Director*

and additional services during their time on supervision.

Throughout the supervision process the priority is to assist individuals in successfully completing supervision. The case management plan, initial risk assessment, and reassessment plans provide a starting platform to begin the road to success for both supervised individual and officer. The determined risk level affords the individual the opportunity to show results of reintegration success and to strive towards a lower supervision level. As of December 31, 2021, there were 4,426 offenders – 9.2% of the total population – supervised on low-risk caseloads by 27 officers with an average of 164:1; and there were 2,394 offenders – 5% of the total population – supervised on an administrative risk level by 20 administrative staff with an average of 126:1. The Division completed 47,168 risk needs assessments (KyRAS) over the course of 2021, an assessment which accurately determines the risk level of every supervised individual. This enables a plan of action to be mapped out to prioritize the needs of the community supervised individual and the order to be accomplished.



*Kirk Gausepohl  
Assistant Director*

The supervision of offenders in the community saves the citizens of Kentucky \$63.54 per day based on the \$4.06 current cost of community supervision vs. \$67.60 (current state inmate cost). There is a savings of \$93.54 based on \$4.06 current cost of community supervision vs. \$97.60 (average current state facility cost). Offenders on supervision are required to obtain and maintain full-time employment as a condition of their release; this adds intangible benefits to the economy of Kentucky. Offenders may also be required to pay towards the cost of supervision and make restitution to their victims. During 2021, offenders paid \$1,190,857 in supervision fees. DOC also collected \$572,008 in drug testing fees to deflect the costs of drug testing. Probation and parolees also paid \$5,076,572.65 in victim restitution payments and completed over 24,661 hours of community service work in their local communities.

During 2021, the Division completed over 18,475 full presentence investigation reports (PSIs) and 6,705 supplemental PSIs by 16 dedicated PSI investigators and 58 specialized PSI officers. In 2021 the Division conducted 12,399

## Division of Probation and Parole

community parole plan investigations, 1,185 home incarceration plans, processed 18,653 in-state transfer requests, and registered 3,409 through the SOR registry.

In accordance with KRS 17.170 and in conjunction with the Kentucky State Police, Probation and Parole is required to complete DNA testing of all convicted felony offenders. DOC submitted 9,604 completed DNA collections to the Kentucky State Police database in 2021. During the year, the Division also processed 237 civil rights restoration applications, and of those, three were granted and restored.

### *Specialized Programs*

#### *Sex Offender*

The Division has a specific focus on sex offenders under supervision. As of December 31, 2021, 35 officers statewide supervised 2,044 sex offenders with a caseload average of 54. In addition to monitoring cases from the court, the Division currently monitors 377 individuals on sex offender conditional discharge and 492 individuals on sex offender post-incarceration supervision.

#### *Home Incarceration Program (HIP)*

Over the course of 2021, the HIP team has performed 4,286 individual case reviews. The reviews involve a detailed screening process identifying those eligible as potential candidates who meet the criteria required. Safety is of utmost importance as all individuals placed on HIP are considered inmates until they successfully complete the HIP program. Throughout the year of

2021, 423 individuals successfully completed HIP. During 2021, new GPS tracking equipment known as BLU tag, received from the current supplier Securus, replaced outdated equipment. The new one-piece ankle monitor weighs less and has improved GPS reception and location both indoor and outdoor, providing an increased degree of accuracy. HIP is a significant cost saving to DOC. The new fiscal year 2021 daily cost per housed individual of those in county jails without SAP (state inmates) is \$35.43 compared to the current cost of electronic monitoring of \$5.66 per day which represents a cost savings of \$29.77 per individual per day.

#### *Misdemeanant Intensive Probation (MIP)*

The population count includes 374 offenders supervised under MIP, a successful partnership with Jefferson County District Court.

#### *Jail Based Inmates & Class D*

The Division also provides services to over 8,988 jail-based inmates, including Class D programs. Additionally, at the end of 2021, the Division provided supervision services on a monthly average to

744 offenders in 21 Reentry Service Centers.

#### *Interstate Compact*

The Division is also responsible for the administration of Interstate Compact, which transfers cases between states. In 2021, Interstate Compact staff processed 390 parole and 1,339 probation transfer requests from other states to Kentucky and 1,031 parole and 1,850 probation transfer requests from Kentucky to other states. As of December 31, 2021, Kentucky actively supervised 2,226 offenders for other states and monitored progress reports for 5,106 Kentucky offenders living out-of-state.

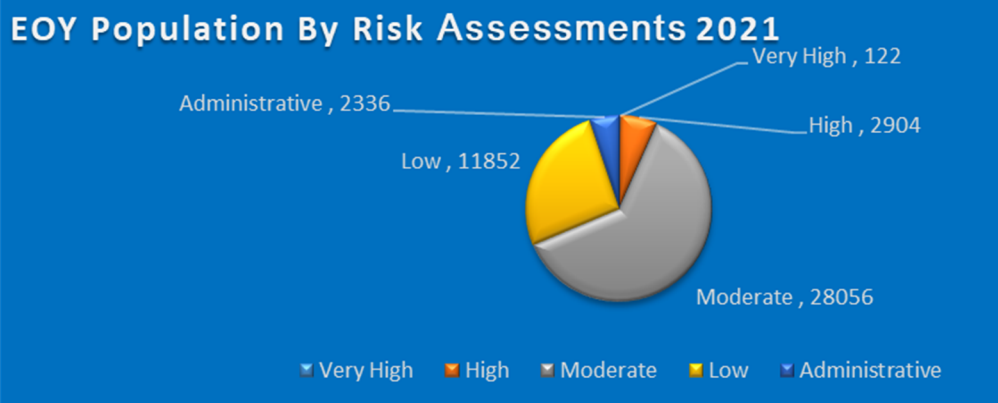
#### *Task Force*

The Division of Probation and Parole partners with the US Marshals to form a fugitive task force in Louisville, Lexington, Bellevue and Somerset. In 2021, the fugitive task force apprehended 314 probation and parole fugitives and assisted with the apprehension of 317 individuals wanted by the US Marshals.

#### *Significant Impacts*

Recidivism is a constant challenge, but the Division of Probation and

#### *Supervision levels of individuals on active supervision.*



## Division of Probation and Parole



*Rebecca Carter*  
Central Regional Manager



*Dan Fountain*  
Northern Regional Manager



*Sabrina Farris*  
Western Regional Manager



*Mike Caudill*  
Eastern Regional Manager

Parole continuously improves services and programs to encourage and guide the offender to success. The Division utilizes, when authorized by the court, graduated sanctions for those who violate probation, an alternative measure to immediate return and may grant early termination of supervision for those who are compliant.

Regional managers have continued throughout 2021 to commit to the task of reviewing and analyzing violation reports in consultation with the supervising officer and supervisor to seek an alternative solution to revocation. The overall goal for the Division of Probation and Parole is to provide the opportunity for success without compromising community safety. From 2019 to 2021, the Division had a significant decrease in revocations both in those under the parole categories (a 34% decrease) and those under the court ordered categories (a 30% decrease), which could be attributed to current conditions, commutation of early releases, consistent use of graduated sanctions in lieu of revocation, improved dedicated reentry programming techniques, and increased support services and resources.

### **House Bill 284**

House Bill 284 provided any supervised individual, inactive or active, in state or Kentucky individuals supervised out-of-state, on probation, probation with an alternative sentence, pretrial diversion, or conditional discharge to receive credit in the following areas: completion of an approved

educational achievement resulting in a certificate; completion of an approved treatment or evidence-based program or maintaining employment; and work-for-time credit. When approved, each successfully completed program or work-for-time credit applies credited days allowing the reduction of the final supervised end date. Ninety days prior to the revised end date, a special report known as the probation credit notice is submitted by the supervising officer to the sentencing court. Upon approval by the sentencing court, the individual is released from the supervision obligations reinforcing the individual's successful commitment to intrinsic self-improvement.

Although the process was challenging to implement, the team approach and partnership with all involved divisions enabled the program to roll out on July 15, 2021. To date, there have been 18,290 program or work-for-time credit entries issued to 9,761 offenders, for an overall total of 818,726 days of possible decreased supervision, a significant impact for those individuals and their commitment to success.

### **Reentry Drug Supervision Program (RDS)**

RDS, a four-year pilot program resulting from 17 RDS SB 120, was designed to restore lives and reduce recidivism rates of inmates and parolees suffering from substance use disorders. The program consists of two phases lasting a minimum of 12 months or until the sentence is completed. Those who meet basic criteria are assessed by Addiction

## Division of Probation and Parole

Services and the Parole Board before being screened as a potential candidate for the program. Successful candidates can earn as much as 180 days of educational good time credit, 90 days for completion of intensive outpatient treatment, and 90 days for completion of a reentry drug supervision program. Clients must reside in Boone, Kenton or Campbell counties and must meet the four criteria defined by the program eligibility.

The RDS program remained suspended during 2021 due to the COVID-19 pandemic; however, the results to date since implemented are as follows: 37 have entered the program, 22 successfully completed, spending on average 359 days in the program. If not for the RDS program, those participants would have spent an average of 391 days incarcerated, with a total of 4,499 days of incarceration cost avoidance. The estimated cost net savings in keeping these individuals out of incarceration was \$264,839.89, a significant cost savings to DOC while providing a valuable service to those in need of a specific type of focused recovery assistance.

challenge resulted in new processes that were implemented in March 2020 and led to the formation of a new civil rights team and welcome addition to DOC.

### 2021 Supervision Adjustment

During 2021, as the severity of the pandemic continued, Probation and Parole staff strived to provide all services despite setbacks due to unusual circumstances, such as staff illness. Probation and Parole continued to adapt by forming a hybrid work schedule for all staff to cover operations and continue the provision of services. The process involved continual reevaluation and prioritization to overcome barriers the COVID crisis created for community supervision and the imperative need to remain in contact with all those on supervision. Districts continued throughout the year to implement plans of action to safely interact within the office and community supervised individuals to minimize the risk of COVID transmission while maintaining daily operation of duties and safety in the community. Service was not

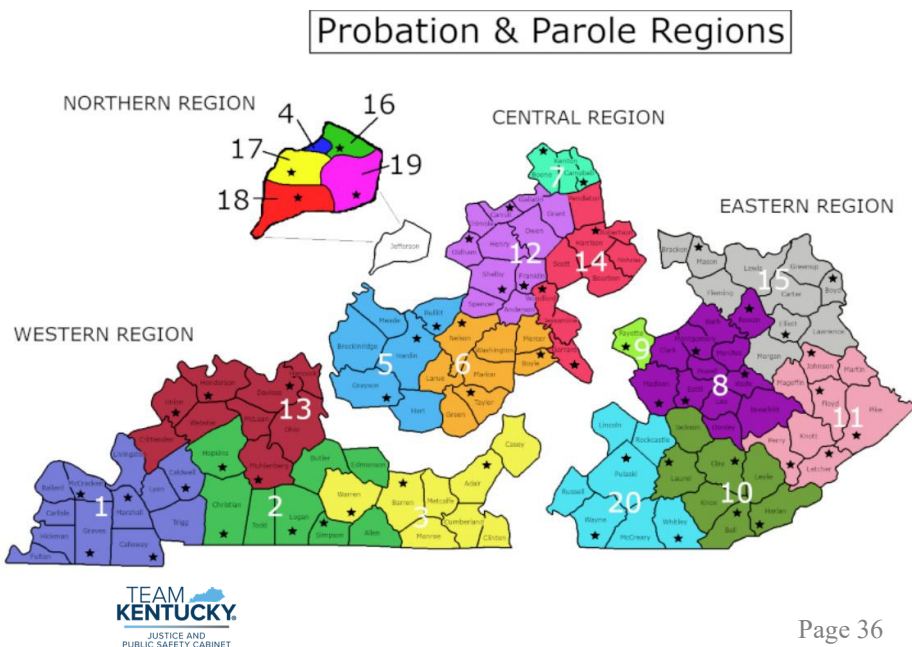
compromised, just adjusted to implement consistent safe practices and sanitation for everyone. This unusual circumstance did provide the perfect opportunity to familiarize both staff and offenders with new electronic virtual platforms, electronic technology and reporting procedures introduced and improved during 2020-2021.

### Training

Impacted by the pandemic, the training staff continued to implement progressive changes to maintain safety and training during 2021. Four basic academies took place in 2021: January/February, May/June, July/August, and September/October. The training team continued basic academy by a virtual platform except for firearms qualifications which remained in person qualifying on range. The training team worked tirelessly to produce the combination of virtual and in-person trainings, adjusting to meet the progression of health and safety protocols imposed throughout the year. Overall, over 2021, 78 probation officers completed the virtual portion, and 90

### Civil Rights

In response to Governor Andy Beshear's Executive Order, 2019-003, the Division of Probation and Parole partnered with representatives throughout DOC to develop and deliver a process ensuring every eligible individual was provided with the opportunity to either apply or gain automatic restoration of their civil rights, the right to vote and the right to hold public office. This



## Division of Probation and Parole

officers completed the in-person portion during 2021.

### *ACA Final Reaccreditation 2021*

This was the final year of the three-year reaccreditation process. The pandemic challenge continued throughout 2021 but the challenge was met to final completion of a both a mock audit in July and final audit in September 2021. By tradition, the process was different than in previous years, but the process continued as planned even

during the midst of the pandemic. The two sets of auditors, three of which traveled from another state, were exceptionally impressed with the documentation provided, the presentation, and the welcome all Probation and Parole staff provided at each office that exemplified their pride in this profession. In all cases, the auditors' expectations met and exceeded their perception and clarity of the work performed by all staff. The auditors conducting the reviews continued to be

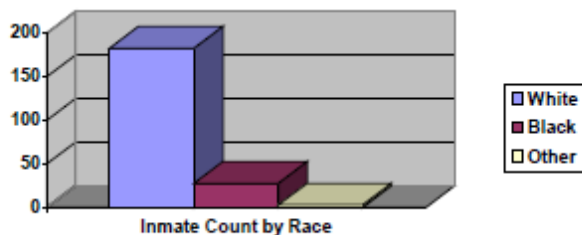
exceptionally impressed with the motivation and dedication shown by all staff during their time shared with Probation and Parole, especially due to the challenges 2021 presented.

Probation and Parole is a team where everyone is a part of the process, and the process drives the success of the team and all the people it serves. The value of partnerships with other agencies and a leadership that appreciates all staff continues to motivate that strive for success.

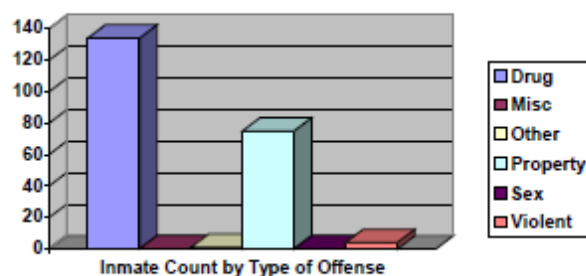
## COMMUNITY SERVICE CENTERS

### Demographic Data

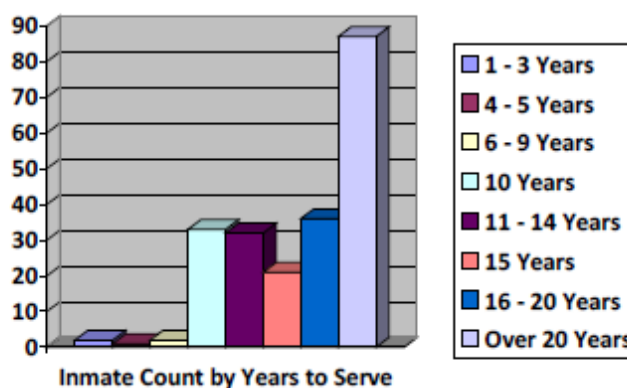
RACE	COUNT	PERCENTAGE
White	183	85.52%
Black	28	13.08%
Other	3	1.40%
Total	214	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	134	62.62%
Misc	0	0.00%
Other	1	0.47%
Property	75	35.05%
Sex	0	0.00%
Violent	4	1.86%
Total	214	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	2	0.93%
4 - 5 Years	1	0.47%
6 - 9 Years	2	0.93%
10 Years	33	15.44%
11 - 14 Years	32	14.95%
15 Years	21	9.81%
16 - 20 Years	36	16.82%
Over 20 Years	87	40.65%
Total	214	100.00%



## Division of Local Facilities



*Kirstie Willard*

### Director

Kirstie Willard

### Executive Staff Advisor

Michelle Stringer Dykes

Kirstie Willard joined DOC as an intern with the Division of Local Facilities in 1999. She held the position until 2001 while completing her bachelor's degree in correctional and juvenile justice studies at Eastern Kentucky University. Upon graduation, she worked as a graduate assistant at the University of Louisville while working on a master's degree in justice administration.

In 2004, Willard returned to DOC as a Victim Advocate in the Office of Victim Services. In September 2005, she was promoted to head the office, which included managing the statewide Victim Information and Notification Everyday (VINE) program.

Willard transferred to the Division of Local Facilities as a Jail Services Specialist in 2007. She has served as a jail inspector and a liaison to all jails across the state on the federal Prison Rape Elimination Act (PREA). Willard was promoted in 2010 to Corrections Program

Administrator, where in addition to her role as a jail inspector and PREA liaison, she handled the administrative duties of the Kentucky State Corrections Commission.

In 2014, she assumed supervisor duties of the Division of Local Facilities administrative staff, who are responsible for processing housing reimbursement, state inmate pay and catastrophic medical claims for the jails. Later that year, Willard was promoted to Regional Administrator for the Division. In 2016, she was named Assistant Director of the Division, where she served until assuming the position of Acting Director in December 2017. In 2018, Willard was appointed as Director.

Willard is a 2014 graduate of the Commissioner's Executive Leadership Program, and a member of the American Jail Association and the Kentucky Council on Crime and Delinquency.

### Overview

The Division of Local Facilities provides support and technical assistance to local jails in overall jail operations, policy and procedure development, training, construction review and consultation and ensures compliance with the Kentucky Jail Standards.

The Division's administrative staff work closely with county jails to process per diem payments for state inmates housed in jails, inmate pay, and catastrophic medical invoices. In addition, they provide documentation of deficiencies and monitor corrective action requests

and reviews, as well as enter program attendance for approved programming offered to state prisoners.

The Division of Local Facilities provides funding to 120 counties to assist them in defraying the cost of care and maintenance of prisoners through the Local Jail Allotment and the Restricted Medical Fund. Additionally, the Division provides a Jailer's Allowance that provides training incentive funding for jailers and jail personnel.

### State Inmate Population in County Jails

The population of state inmates in county jails remained fairly steady throughout 2021. The population was at its highest point in February, which was 8,835. The population dropped to its lowest level in August, which was 8,061 before rising back up to finish out the year at a total of 8,663.

On average, the state inmate population for 2021 accounted for 46% of the county jail prisoner population, down slightly from 2020. A majority of those state prisoners are CD and CC inmates who are eligible to be housed in the county jails and eligible to participate in various programming offered at the jails. Controlled Intake (CI) prisoners make up the next largest population of state prisoners housed in the county jails.

### Finance

The Division of Local Facilities provided \$117,707,682 in funding to the 120 counties across Kentucky in FY2021. These funds are provided through several funding programs,

## Division of Local Facilities

such as per diem payment for housing state inmates, medical allotment payments, bed allotment payments, pay for inmate labor, payments for catastrophic medical claims and cost savings from the implementation of House Bill 463 which are earmarked for the Local Correctional Assistance Fund.

### *Housing Bills Audits and Payments*

During FY2021, the Department of Corrections paid Kentucky county jails a total of \$101,031,149 for housing state inmates. This amount was paid at a per diem rate of \$31.34 per inmate: \$29.43 for housing and \$1.91 for medical.

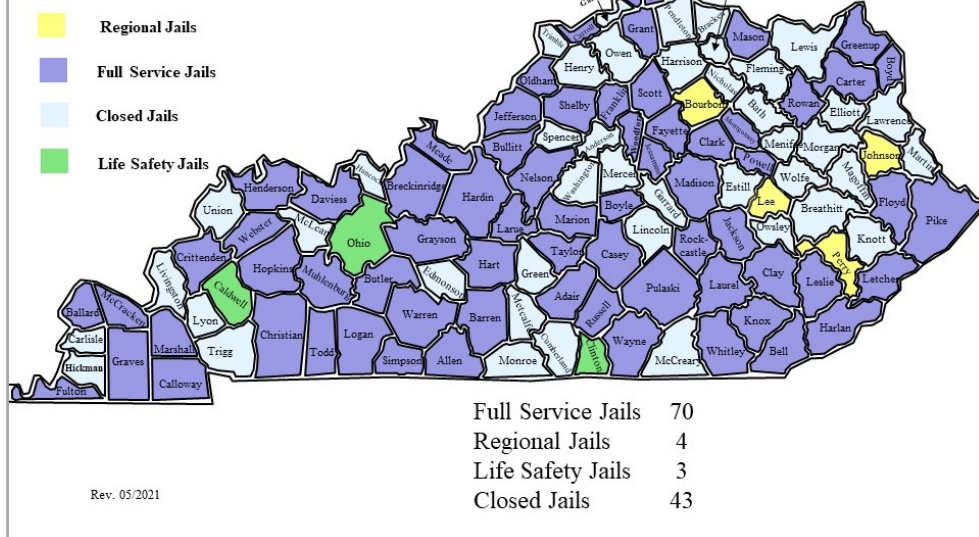
The Division of Local Facilities has the responsibility for auditing housing bills on a monthly basis and reimbursing county jails within 30 working days of receipt of their housing bills.

The Kentucky Offender Management System (KOMS) generates invoices listing all state inmates housed in jails. These invoices are compared with invoices submitted from county jails and are reconciled with assistance from jail and Probation and Parole staff. Once reconciled, the invoices are submitted for payment.

### *Inmate Labor*

Local Facilities provides inmate labor for many local community projects across the commonwealth. Local jails that house state prisoners operate the Class D/C Work Program through the direction and guidelines from the Division of Local Facilities. Inmate labor can be used by the commonwealth or an agency of the commonwealth, a county or agency of the county, or by a

### 2021 Jail Classifications



nonreligious-sponsored nonprofit, charitable, or service organization. Community level inmates can be found working at local recycling centers, performing roadside cleanup, collecting garbage, and working in the local animal shelters.

On average, there were 3,109 state inmates actively working in communities throughout the commonwealth during FY2021. This translates to an excess of more than 4.8 million hours of labor that were provided through Class D/C Inmate Work Program. During FY2021, the Division of Local Facilities paid in excess of \$499,108 to prisoners participating in work programs in the county jails. The counties that utilized these prisoners in the work program potentially saved approximately \$34 million during FY2021, when multiplied by the current minimum wage.

### *Catastrophic Medical Claims*

For FY2021, Local Facilities was

allotted \$851,800 to reimburse local jails for catastrophic medical claims incurred by county inmates declared indigent by the courts. Unfortunately, only \$705,625 was requested for reimbursement.

While the number of claims received from counties has increased, there are still very few counties that utilize these funds. DOC continues to educate jailers, judge executives and fiscal court members about this resource, in hopes that they will begin to utilize it fully.

### *Inspections*

Division staff conducted more than 150 routine and special jail inspections on all jail facilities across the commonwealth. These inspections provided vital information as to the jail's physical plant condition, jail operations, prisoner program availability, and compliance with the Kentucky Jail Standards. These inspections also provide the detention centers with guidance for improving overall operations.

## Division of Local Facilities

The first inspection of each year is an announced inspection. During this inspection, every aspect of the detention center is reviewed and evaluated for compliance with Kentucky Jail Standards.

The inspection for a full-service facility contains 211 critical items including administrative function, information systems, personnel, physical plan, security areas, emergency procedures, sanitation, medical services, food service, classification, admission, release, prisoner programs and prisoner rights.

The second yearly inspection is an unannounced inspection. By not announcing this inspection, Division staff has the ability to see how the jail operates on a standard day or night. This inspection also provides a

review of any non-compliant items from the first inspection.

The Division also conducts follow-up inspections, when necessary, as a result of complaints, investigations, and documentation of corrective action.

### Construction

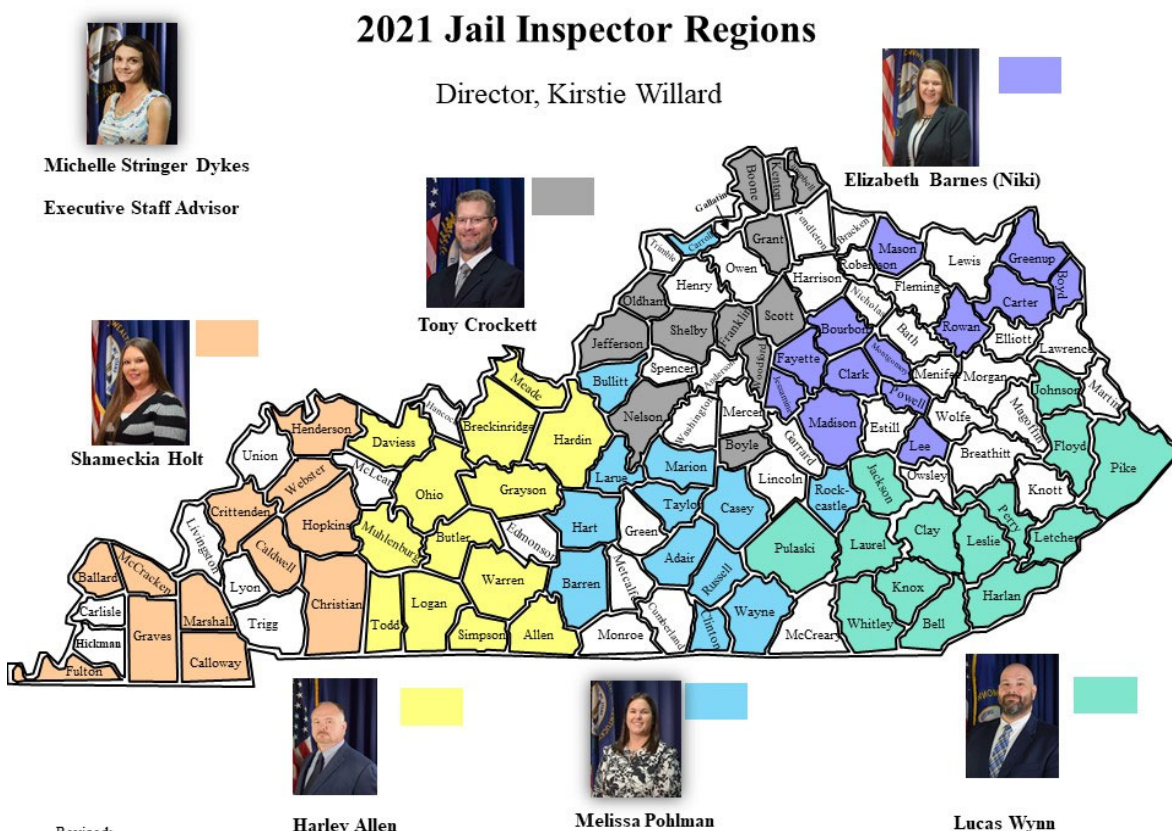
With the implementation of HB 463 in 2012, DOC developed guidelines for the local jails to follow when considering new construction and/or renovations. There were no new construction, expansion or renovation requests submitted to the Local Correctional Facility Construction Authority in 2021.

Expansion construction in Grayson County was completed on April 2, 2021. The facility added a 199-bed addition which now houses female

inmates who were previously housed at a location off campus from the county's main jail.

### Investigations

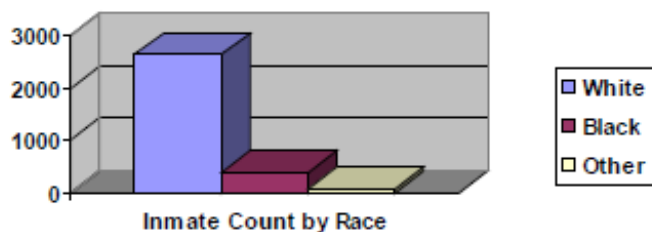
The Division investigated over 1,491 written and phone complaints during 2021. Written complaints are generally received from inmates in the local detention centers and generally involve allegations that the jailer or their staff are not following statutory or regulatory requirements. Phone complaints are generally from inmate families or friends, other state agencies or local officials, but also can involve allegations of jails not following statutory or regulatory requirements. All complaints are assigned to the respective jail services specialist for investigation and response.



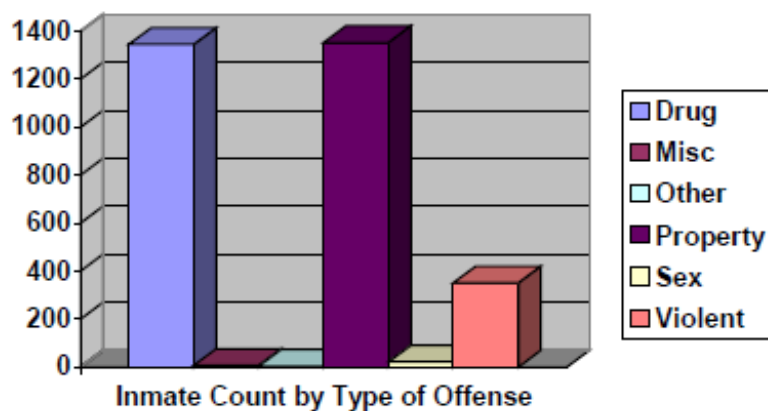
# Class D Felons in County Jails

## Demographic Data

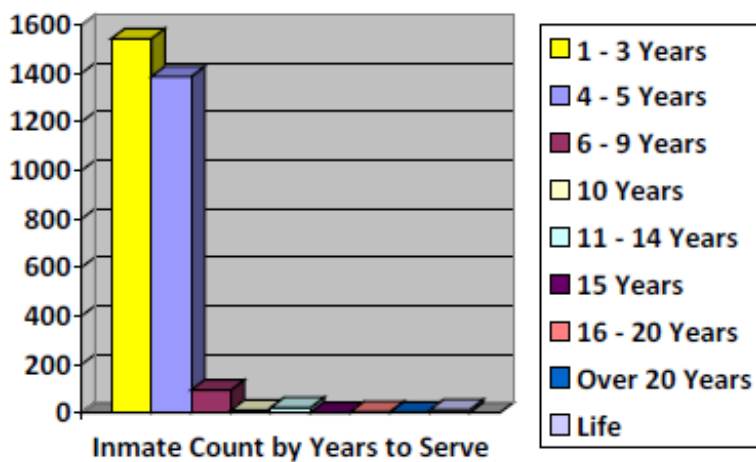
RACE	COUNT	PERCENTAGE
White	2626	85.18%
Black	389	12.61%
Other	68	2.21%
Total	3083	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	1346	43.66%
Misc	7	0.23%
Other	4	0.12%
Property	1354	43.92%
Sex	21	0.68%
Violent	351	11.39%
Total	3083	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1543	50.05%
4 - 5 Years	1387	44.99%
6 - 9 Years	95	3.08%
10 Years	12	0.39%
11 - 14 Years	23	0.75%
15 Years	2	0.06%
16 - 20 Years	5	0.16%
Over 20 Years	3	0.10%
Life	13	0.42%
Total	3083	100.00%



## Division of Addiction Services



*Sarah Johnson*

### Director

Sarah Johnson

### Assistant Director

Jeannie Waldrige

### Executive Staff Advisor

Ashley Short

- 9 Administrative Staff
- 7 Branch Managers
- 14 Program Administrators
- 29 Institution Social Service Clinicians
- 50 Community Social Service Clinicians

Sarah Johnson was named Director of the Division of Addiction Services in 2018. Most of Johnson's career has been spent working within the criminal justice system with individuals who have substance use disorders and mental health conditions. She was one of the three original social workers hired for the Department of Public Advocacy's (DPA) Social Work Pilot Project in 2006 and was instrumental in establishing the social work program and showing its value. In 2008, Johnson was awarded the Public Advocate Award for her work during the project. In 2011, she was the recipient of the Catalyst Award for her



*Jeannie Waldrige*  
*Assistant Director*

leadership and ability to promote positive change. In 2011, she was appointed to the Kentucky Parole Board and remained there until she returned to the DPA in 2015. At that time, she served as the Defender Services Branch Manager, charged with leading and overseeing the expansion of the Alternative Sentencing Worker Program.

Johnson maintains provider status with the Kentucky Board of Social Work. She has presented on criminal justice topics at several national trainings. She was invited by the American Bar Association to attend the Senior Law Division Opioid Summit and was asked to stay on as a member of their opioid initiative work group.

Johnson has a master's degree in social work with a mental health and substance abuse concentration from the University of Kentucky and a bachelor's degree in social work from Morehead State University. She holds a license through the Kentucky Board of Social Work as a Certified Social Worker (CSW).

### Overview

The Division of Addiction Services



*Ashley Short*  
*Executive Staff Advisor*

is responsible for clinically assessing and providing treatment or connecting individuals with a substance use disorder that is under DOC supervision to appropriate treatment. This includes those in DOC custody in its institutions and county jails and those under supervision through the Division of Probation and Parole. At this point, there are approximately 19,000 individuals incarcerated in DOC custody and approximately 50,000 under some form of supervised release. Addiction Services employs just over 100 clinical and administrative staff. There are approximately 6,300 treatment opportunities, including those directly provided and those that are contracted.

### Highlights

During 2021, there were multiple changes to the Division to include the following:

- In 2021, there were 44 DOC approved providers with 156 locations
- Annual Addiction Services meeting held via Zoom with participants from RSCs, RKC's, jail SAP staff, and Division of Addiction Service staff

## Division of Addiction Services

- Developed guide for jails and prisons on using medication-assisted treatment (MAT) for Opioid Use Disorder (OUD)
- Participated in multiple research projects
- Continued implementation of MAT expansion
- Expanded services to improve quality assurance
- Secured funding to provide extensive training through the Change Companies on ASAM, Motivational Interviewing, Journaling, Stages of Change and Client Care, and Case Management

### *SAMAT/MAT Updates*

- Updated SAMAT protocol for

jails and institutions using MAT for OUD/AUD

- Created new tool for tracking SAMAT clients in partnership with the University of Kentucky
- Conducted multiple trainings, both within the DOC and with outside agencies, to educate on MAT/MOUD options, implementation, benefits/risks, and reducing stigma
- Participated in several MOUD/ MAT grant funded research study partnerships, including a small scale Vivitrol pilot study through NIDA, The Helping to End Addiction Longterm Initiative (NIH HEAL), Justice

Community Opioid Innovation Network (JCOIN), and Kentucky Opioid Response Effort (KORE)

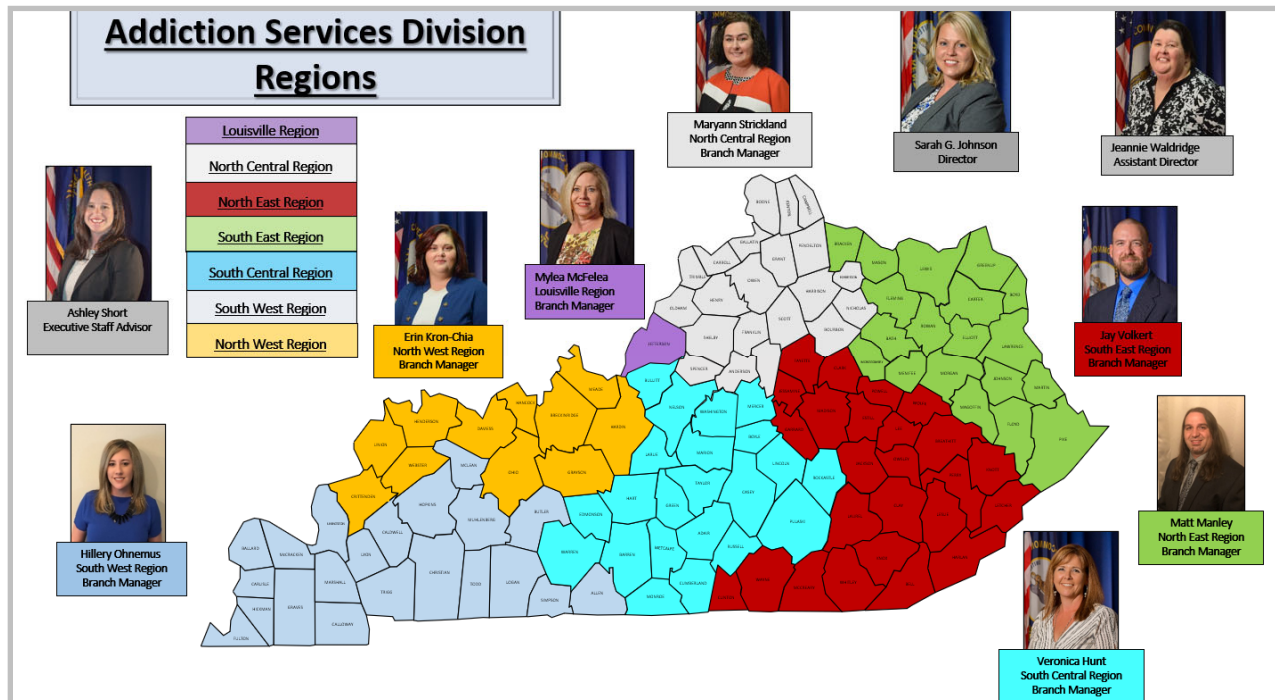
- Continued to expand pilot program through KORE grant funding to offer buprenorphine as a MOUD option prior to release from incarceration and connect them with community resources to continue MOUD treatment in the community once released

### *Substance Abuse Programs Added*

- Added 20 female beds to Hope Center SHARE program with two treatment tracks: SHARE-CO & SHARE-SMI-mental health only program

### Division of Addiction Services SSC Client Referrals

	Active IOP Cases	Current open Prog.Referrals	Total ASH Entries	Initial Screenings	Re-screenings	Other/Ongoing Tracking	File Reviews	Client Did Not Keep Apt	Exhausted Tx	Referred to IOP Contracted	Referred to RKC/RSC	Referred to Outpatient	New Aftercare Clients	New SAMAT Clients	SAP Approved Provider
2021 TOTAL:	658 (Average)	5,132 (Average)	39,375	12,147	4,590	22,569	637	2,048	26	1,543	2,487	3,121	1,463	313	115 (only 4 mo of data)



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# Office of Adult Institutions



Randy White,  
Deputy Commissioner

## **Office of Adult Institutions**

Janet Conover, Director

## **Education Division**

Ravonne Sims, Director

## **Kentucky Correctional Industries**

Toney Bailey, Director

## **13 Adult Institutions**

## Office of Adult Institutions



*Janet Conover*

### Director

Janet Conover

Janet Conover began her career in 1989 as a Correctional Officer at KCIW. In 2004, she was promoted to Executive Staff Advisor for Adult Institutions. Two years later, Conover was promoted to Deputy Warden at KCIW. She was named Warden of KCIW in 2010. In 2019, she was named Director of Operations for the Office of Adult Institutions.

Conover is a graduate of Bellarmine University where she earned a bachelor's degree in psychology and sociology. She is a 2007 graduate of the Commissioner's Executive Leadership Program.

Conover received the Employee of the Year award in 1995 from KCIW and the 2016 Warden of the Year Award.

### Overview

The Operations Division within the Office of Adult Institutions provides centralized management of the necessary daily operational functions within the 13 adult institutions.

The Division also directly supervises the state employees and vendor staff

that comprise the Capital Construction Maintenance Branch (CCMB) which provides architectural, engineering and maintenance/construction support to DOC; the Food Service Branch and contract monitoring staff; the Programs Services Branch and providers and instructors which support the department daily.

### Capital Construction

CCMB manages construction and maintenance activity of 627 DOC buildings, with a total occupied area of 4.4 million square feet (building ages range from 18 years to 130 years) and oversees funds allotted for capital construction and equipment purchases appropriated by the General Assembly for DOC's 13 penal institutions. CCMB strives to provide energy efficient buildings and energy efficient equipment and appliances.

The staff consists of five employees, including a registered engineer, a registered architect, three project managers and a budget specialist. CCMB staff has a total of 166 years of experience in the areas of construction, engineering and architecture and possess licenses in Engineering, Architecture, LEED, HVAC, Asbestos Sampling, and Lead Based Sampling. In addition, this branch is responsible for designing small construction projects and provides assistance on OSHA and environmental matters, operational guidance on water and sewage plants, assists on lead and asbestos matters, troubleshoots engineering and maintenance problems, and prepares EPA permits for boilers



*Gunvant Shah*  
*Capital Construction*

and emergency generators. Each member of this staff plays a vital role in each project from inception through completion. CCMB also establishes and coordinates seminars on various construction and engineering practices for all DOC maintenance staff. CCMB thrives on teamwork, efficiency, accountability, respect, professionalism, and total transparency.

CCMB staff also performs environmental audits for all 13 institutions that must comply with American Correctional Association (ACA) standards. The CCMB began calendar year 2022 with 79 projects with allotments totaling \$44,531,418 and ended calendar year 2021 with 68 projects with allotments totaling \$20,974,531.52. These totals represent projects carried forward from the previous biennium that are currently still active. In 2021, CCMB staff saved \$1,380,500 in consulting fees, environmental assessment, and in-house project management.

### Food Service Branch

The goal of the Food Service Branch is to provide each inmate a wholesome and nutritious diet within a set budgetary allowance. Meals are

## Office of Adult Institutions

prepared and served under strict sanitary conditions according to regulations set by the Kentucky Department for Health Services, as well as the ACA standards, including food service and sanitation standards.

The branch operates with a Branch Manager who is a registered dietitian and is licensed in the state of Kentucky, along with a Corrections Program Administrator and a District Food Operations Evaluator. Currently, these latter two positions are cross trained to inspect all adult institutions, as well as Department of Juvenile Justice (DJJ) facilities across the state. Each position is responsible for an assigned east or west region.

The Food Services Branch also assists DJJ by having the registered licensed dietitian approve menus for their 23 facilities across the state, including residential treatment centers, detention centers and group homes.

DOC maintains its partnership with Aramark Correctional Services (ACS) who operates the 13 state-run institutional food service areas. ACS provides expertise in food service operations, as well as volume buying power, which combine to create efficient and quality food service operations. DOC oversees the operations to ensure contract compliance and that all regulations and policies are followed.

Each institution follows a Master Menu planned by dietitians in accordance with Recommended Daily Allowances as recognized by the National Academy of Science and approved by the department branch manager. The menu follows a 28-day cycle with a spring/ summer cycle

and a fall/winter cycle. The menu provides an average of 2,800 calories per day. Annual resident inmate surveys are conducted, and the results of this survey, along with input from institutional personnel, are taken into consideration when revising the Master Menu and improving daily food service operations. Along with the menu surveys, ACS conducts waste management programs in each facility that aids not only in menu development but in product specifications, as well. Menus are revised mid-year and allow less favorable items to be removed and more popular items to be added.

Menu items are prepared for the main line with limited or no salt, limited seasonings, and restricted fat or meat-based seasonings. It is the goal of DOC to improve diet through healthy cooking. The long-term benefit being reduced medical costs for the inmate population.

Food Services also feeds one meal per shift to staff while on duty. DOC feels that this not only benefits staff morale, but it also provides additional security in the dining rooms during meal periods.

Throughout 2021, COVID affected all facilities throughout the state, providing many challenges. Population rates dramatically decreased, inmate labor was at times brought to a complete standstill and Aramark staff were required to operate on a newly formed pandemic emergency menu. Aramark teams provided new and unique ways to feed the inmate population. DOC inspectors completed their final quarterly inspections and biannual reviews



*Amanda Durrett  
Food Services Branch Manager*

virtually in order to maintain safety.

In 2021, there were 10,015,731 inmate meals prepared with an additional 36,588 kosher meals and 132,207 staff meals at a cost of \$9,594,944. The Corrections Program Administrator works closely with ACS to ensure accurate billing for each facility. Monthly invoices are audited based on monthly reports provided by each facility.

The branch monitors all facilities closely by conducting quarterly inspections, biannual documentation reviews and monitoring the food service contract. Over 53 sanitation inspections and 28 reviews including follow-up inspections were conducted in 2021. The branch maintains constant contact with the facilities through email and phone calls. The closer contract monitoring has made the vendor more responsive to DOC and has resulted in improved food service operations. During 2021, the Food Services Branch also provided 14 inspections for DJJ, including at youth development centers and regional juvenile detention centers. Group homes were not inspected during 2021 due to COVID restrictions.

The inmate food service training program, In2Work (I2W), conducted

## Office of Adult Institutions

by Aramark continues to grow and be successful. This program is an institutional inmate food service training program that provides inmates with food service instruction and practical work experience.

During 2021, the branch worked closely with Medical Services by ensuring that policy was adhered to regarding medical diets and by providing documented verification to institutional food service directors as needed. This information is reviewed and verified at each biannual review for each institution.

DOC worked with our food service vendor Aramark to implement a habitual support agreement with a contract Rabbi to ensure that our food service operations meet all tenets of the Jewish Kosher practice. This contract Rabbi is funded through the Aramark contract.

### ***Programs Services Branch***

The Programs Services Branch Manager operates under the direct supervision of the Director of Operations. The Branch Manager is responsible for supervising the institutional program approval process, as well as serving on committees for program approvals. This branch oversees evidence-based, promising practice, and life skills inmate programming within the 14 adult institutions. These programs are operated by both DOC staff and contract facilitators. The oversight includes providing and reviewing quality assurance measures, ensuring contract compliance and coordinating facilitator training programs.

Included in the scope of

responsibility is the following staple programs: Moral Reconnection Therapy (MRT) with multiple components of the program which include Anger Management, Parenting, Thinking for Good, Staying Quit, Untangling Relationships and Mentoring. Another staple program includes PORTAL New Directions. An evidence-based program to address the specific needs of our segregation population has been developed and implemented named Restrictive Housing Unit Behavioral Modification. The Branch Manager is also responsible for monitoring the Americans with Disabilities Act as it relates to institutional operations and reporting.

Significant accomplishments for the year of 2021 include the creation and ongoing maintenance of the programs course catalogue. This catalogue outlines all approved Department of Corrections programming and is published on DOC's website.

### ***Religious Services***

A Program Administrator provides oversight of religious programming throughout the state's adult institutions by monitoring compliance with DOC policies and the departmental Religion Reference Manual. Responsibilities include answering correspondence from the institutions, assisting with answering inmate grievances, working closely with the food service branch manager regarding religious diets and meals, performing an annual review and updating of the Religion



*Debbie Kays*  
*Programs Services Branch Manager*

Reference Manual and CPPs, and conducting annual training and quarterly teleconferences with the institutional chaplains.

### ***Security Threat Group***

The Security Threat Group (STG) Administrator provides oversight of DOC's security threat group coordinators, which includes attending training with outside agencies (KY Homeland Security, FBI, KY State Police, etc.) and disseminating information through annual and biannual teleconference trainings to the STG coordinators. The STG Administrator monitors all incident reports and possible trends involving STG assessed inmates and assists institutions as needed.

The internal policy analyst reviews all incident reports submitted from Adult Institutions, prepares written reports including charts and graphs detailing the current and past trends, and assists in identifying possible problematic areas.

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## Office of Adult Institutions

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### *Private Prison Operations*

In 2021, Private Prison Operations has provided oversight of our contract provider CoreCivic, which operates the Lee Adjustment Center in Beattyville. This 866-bed medium-security facility has housed adult males for DOC since March 2018. An onsite DOC employee is responsible for monitoring daily operations at the facility to ensure offenders receive care and services at a level comparable to those provided in state adult institutions. The Lee Adjustment Center has obtained both ACA and PREA accreditation and will be seeking reaccreditation from the American Correctional Association with an audit scheduled for 2022.

Much like the prior year, the COVID-19 pandemic continued to affect the facility's operations at varying degrees throughout 2021. Where possible, alternatives to traditional in-person group programming sessions were implemented to allow inmates to continue their educational or other programming in an effort create better opportunities for success upon being released from custody.

The methods for accomplishing this varied depending on the program. Education staff began taking work packets to enrollees in their housing units. This allowed students to continue working towards obtaining their GED when the use of a traditional classroom style setting was not feasible. As a result, Lee

Adjustment Center led the state in the number of GEDs awarded during the 2021 calendar year. Forty percent (40%) of the total GEDs obtained by inmates within the state prison system were from Lee Adjustment Center.

Several improvements to the facility's physical plant were made during 2021, including upgrades and additional cameras for the Milestone video surveillance and recording system. Onsite employees have access to and utilize this system on a daily basis. There was an installation of an electronic key tracer system that permits staff to check in and out their facility keys in a self-service manner. Lastly, new ice machines were added for food service and some housing units.

Perhaps the most substantial upgrade to the physical plant during 2021 was the installation of new energy efficient LED lighting throughout the facility, including the perimeter lighting system.

To enhance security, a whole-body imaging scanning system was installed, and a drug detection canine was added as another tool to increase the ability to maintain a safe and secure facility for staff, visitors, and the inmate population.

DOC's vendors Aramark, Keefe and Wellpath provide food service, canteen services and health care services at Lee Adjustment Center in the same manner as that is provided at DOC's own facilities.

### *Emergency Management*

Emergency Management ensures

the readiness of the Kentucky Department of Corrections to effectively respond to threats and emergencies, conducts and coordinates incident management activities, and supports public safety and security initiatives across DOC.

Additionally, Emergency Management oversees the use of force review process for adult institutions and manages allowable force related equipment and munitions.

In 2020-21 the Emergency Management team developed and introduced a Corrections Emergency Response Team (CERT) Operations Manual. This manual serves as a guideline for standards of tactical procedural operation, as a training tool for all 14 CERT teams in the DOC and ensures that standard operating procedures are taught and practiced consistently statewide.

Additionally, in 2020-21 the Emergency Management team was instrumental in researching, procuring and implementing a fleet of Conducted Energy Weapons (CEW) or Tasers as a form of non-lethal use of force within all Kentucky prisons. The introduction of an improved model provides staff with an option to deploy less than lethal force during use of force situations, provides for a more modern and improved model of CEW with greater capabilities, and considerably expands the number of CEWs in use within the DOC, further enhancing the safety of inmates, staff and the public.

## Division of Education



*Ravonne Sims*

### **Director**

Ravonne Sims

### **Assistant Director**

Donna McClamroch

### **Branch Manager**

Amber Skaggs

### **Branch Manager**

Steven Meadows

Ravonne Sims began her career in 1999 as a Classification and Treatment Officer at Roederer Correctional Complex's Assessment Center. After a promotion to a Microbiologist I at the Department for Public Health in 2000, Sims returned to DOC in 2001. In 2003, she was promoted to a Unit Administrator I at Luther Lockett Correctional Complex (LLCC). Two years later, she was promoted again to a Unit Administrator II at the Kentucky State Reformatory (KSR) where she supervised the prison's 130-bed segregation unit. In 2011, Sims was promoted to Deputy Warden of Operations at KSR and supervised the institution's support services, including the medical department, mental health, food service, correctional industries,

religious services and recreation. In 2012, she was named the Deputy Warden of Security.

In 2013, Sims was appointed as Warden of the Roederer Correctional Complex (RCC). While serving as Warden, she took on the additional responsibility of Acting Deputy Commissioner of Support Services at Central Office in September 2017. When the position was filled the following May, Sims agreed to serve as Acting Commissioner for Community Services until that position was filled. During this time, Sims was also appointed to the State Advisory Council for Exceptional Children as the adult corrections representative for the council, a role she continues to hold.

Sims is a graduate of the University of Louisville where she earned bachelor's degrees in biology and psychology. She is a 2006 graduate of the Commissioner's Executive Leadership Program. In 2018, she received the Warden of the Year award at DOC's annual awards ceremony.

### **Overview**

The Corrections Education Division complies with numerous state and federal education requirements, as well as partners with several agencies to bring Kentucky's justice-involved population diverse educational opportunities. When fully staffed, the Education Division has a workforce of 87, with two primary areas of administration within the Education Division: Adult Education and Career/Technical Education.

The first area is Adult Education, which includes three levels: literacy, adult basic education, and adult secondary education or high school equivalency (GED®). DOC completed the 2021 calendar year with 78 offenders earning their high school equivalency (GED®) credentials. The Education Division remains committed to computer-based GED® testing, as well as GED Ready™ testing. Thirteen DOC Education Centers and one Private Prison Education Center are certified by Pearson VUE as official Pearson VUE GED® testing sites.

To further an incarcerated student's academic career, postsecondary education is offered on-site, through distance learning and via correspondence courses: Three degrees during calendar year 2021 were obtained and two Associate of Arts and one Associate of Science.

Planning for a new initiative to provide access to college programming began with Ashland University in the fall of 2020 to pilot at two adult institutions. Using the Second Chance Pell Grant, this partnership has allowed students who are eligible for Pell Grants to take college courses that are delivered through distance learning on tablets. There is an on-site college coordinator to facilitate all the steps from application, FAFSA completion, enrollment, and course work submission. In 2021, semester enrollments were as follows: spring – 20 men, 22 women; summer – 59 men, 30 women; fall – 51 men, 26 women.

A second area of administration incorporated within the Education Division includes the following

## Division of Education

career/technical trade classes: Automotive Body/Collision Repair; Automotive Technology; Carpentry; Computer Management; Introduction to Computer Science; CT Fundamentals; Electrical; Horticulture & Landscaping; Heating & Air Conditioning (HVAC); Masonry; MOS/IC3 (Microsoft Office Specialist/Internet Core Competency Certification); Small Engine Repair; and Welding. To ensure career/technical students are meeting business/industry needs and to keep a strong focus on safety, obtainment of the OSHA 10 Hour General Industry and the OSHA 10 Hour Construction cards are required as part of the existing CT Fundamentals curriculum.

The National Center for Construction Education and Research (NCCER) nationally recognized curricula are used in Carpentry, Electrical, HVAC, Masonry, Welding, and many components within the CT Fundamentals program. In 2021, offenders were awarded 52 NCCER program completions.

Another national-level certification program, the National Occupational Certification Training Institute (NOCTI), provides the exit examination for non-construction trades including Auto Body/Collision Repair, Automotive Technology, Horticulture, and Small Engine Repair. At the close of 2021, the Education Division had four students pass the exit examination.

Both the federal and state Departments of Education strive for students to be college and career ready upon completion of high school and, in the world of

Corrections, re-enter society. The National Career Readiness Certificate (NCRC) is an industry-recognized, portable, evidence-based credential that certifies essential skills needed for workplace success. Aligned with ACT®, the NCRC is emphasized as a career readiness tool that offenders participating in corrections education programs are required to pass as a component of CT Fundamentals. In calendar year 2021, a total of 107 NCRCs were awarded in Adult Institutions.

To ensure incarcerated students have adequate technology-related skills for today's workforce, the Microsoft Office Specialist (MOS) program has been a staple of the correctional education experience, consisting of IC3, Microsoft Office Word, Excel, PowerPoint, and Outlook. Also, Computer Management and Introduction to Computer Science are offered. There were 42 completions in these courses.

The Education Division acquired oversight of seven ancillary courses: Braille (Math & Nemeth), Emergency Medical Technician, In2Work, Safety Specialist, Second Chances Groom Elite, Wastewater Treatment Plant Operator, Water Treatment Plant Operator. There were 11 completions in these courses for 2021.

To summarize, the Education Division continues to be successful in Adult Education and Career/Technical classes throughout the state of Kentucky. Perhaps the best way to see this leadership is with the following savings to the



*Donna McClamroch  
Assistant Director*

commonwealth. Calculations are based on 90-day educational good time awards multiplied by a \$34/day cost to incarcerate. In calendar year 2021, there were 78 DOC institutionally awarded GEDs® and three college degrees, resulting in a savings of \$247,860. The 109 career/technical and college completions resulted in a savings of \$333,540. Additionally, there were 272 county jail-obtained GEDs in which the Education Division awarded educational good time that resulted in a savings of 24,480 days of incarceration or \$832,320. Total savings of \$1,413,720 in days of incarceration costs saved.

Due to the COVID-19 pandemic, educational achievements were compromised and negatively impacted in 2021.

## Kentucky Correctional Industries



*Toney Bailey*

### **Director**

Toney Bailey

### **Fiscal Branch Manager**

Mary Jennings

### **Sales Branch Manager**

Charles Wilkerson

### **Operations Branch Manager**

Kristopher Adams

Toney Bailey was named Director of Kentucky Correctional Industries (KCI) in June 2020. He began working for KCI in 2005 as a Production Coordinator at Green River Correctional Complex (GRCC). The next year, he was promoted to Production Supervisor, responsible for monitoring production machinery and overseeing employee training. In 2009, Bailey was promoted to the Operations Manager of KCI at GRCC. In addition to developing and implementing daily operational plans, his management focused on maximizing efficiency and promoting products. While at GRCC, Bailey also served as co-commander of the Crisis Negotiation Team from 2010 to 2017.

Prior to his time with KCI, Bailey

held a variety of positions related to criminal justice, including several years as a military police officer for the United States Army in Georgia and a police officer in Alabama. From 1999 to 2005, he worked as a youth worker and youth worker supervisor for the Department of Juvenile Justice at the Green River Youth Development Center located in Cromwell, Kentucky.

Bailey is a graduate of Eastern Kentucky University, where he obtained a bachelor's degree in correctional and juvenile justice studies. In 2013 and again in 2019, Bailey was chosen as the Kentucky Correctional Industries Manager of the Year. He is a member of the National Correctional Industries Association (NCIA).

### **Overview**

Kentucky Correctional Industries comprises 15 industries in eight facilities within Kentucky's prison system, in addition to four farm operations. It has been producing quality goods and services for government agencies, private businesses and the citizens of the Commonwealth for over 50 years.

In 2021, KCI employed between 500 to 600 inmate workers within the above-mentioned 15 industries including up to 100 inmate workers on the farms as seasonal needs dictated and as the spread of the COVID-19 virus spread throughout our prison system.

The job opportunities provided through Correctional Industries are highly sought after by DOC's inmate population due to their

relatively high pay and the career learning opportunities afforded.

KCI strives to provide a work environment that matches, as closely as possible, what would be available on the "outside." There is an interview process, pay increases based upon performance evaluations, a time clock to punch and opportunities to work in a team environment.

As a result, Kentucky Correctional Industries products and services offered are of high quality and competitively priced. Consequently, DOC's prisons are more effectively managed, society is delivered significantly fewer repeat offenders, and the tax dollars needed from the citizens of the commonwealth are greatly reduced.

### **Fiscal**

KCI continually reviews and implements changes to operating processes to improve its overall financial position. These changes are to reduce operating costs, as well as improve cash flow and customer service.

With the onset of the COVID-19 pandemic, KCI has seen a reduction of sales from both the state and the private sector, thus resulting in a tightening of the financial belt. In addition to a reduction in sales, KCI has also seen an increase in delinquent accounts in both sectors. With the financial challenges facing all other entities, KCI has implemented acceptance of partial payments to assist those struggling while still enabling KCI to remain current with its vendors.

KCI has also had to quickly adapt

## Kentucky Correctional Industries

when vendors have been unable to fill orders due to the rush purchasing of certain goods needed for sanitation and safety purposes or the vendor has had to shut down due to an outbreak of the virus at their facility.

### *Shipping/Warehouse*

This area provides logistics and warehousing of KCI products including, conducting moves for various customers. This includes colleges and universities, state resort parks, and multiple state, city and county governmental agencies.

The KCI moving crew transferred its operations from the Blackburn Correctional Complex (BCC) to the central warehouse in 2019 which has assisted in optimizing logistics functions throughout its system. Overall, logistics have become more professional and more organized than in the past. Delivery rates were updated to more accurately account for distance/time that has helped KCI offset losses in this area.

The warehouse implemented a surcharge for help with the expenses on fuel and maintenance of its fleet. KCI spent approximately 3,000 staff hours between five drivers delivering sanitizer and PPE to the prison system. Inmate hours worked related to filling sanitizer bottle and loading PPE was around 2,500 hours between six inmates. The warehouse is currently completing the work normally done within a plan to complete pass thru order to enable those plants the time required to produce orders related to PPE which is mainly masks and gowns.

Inmate workers were not able to

assist the KCI warehouse, shipping and to serve as movers throughout much of 2021. Therefore, warehouse staff members took on all janitorial duties for the KCI central office while loading and unloading trucks.

Furthermore, warehouse staff, along with several other administrative and sales staff members, assisted in moving new lodge furniture into the Jenny Wiley, Rough River and Barren River State Resort Parks. These duties are typically completed utilizing its inmate moving crew.

### *Farms*

The farm operations at BCC, Northpoint Training Center (NTC), Roederer Correctional Complex (RCC) and Western Kentucky Correctional Complex (WKCC) are sustained by cattle and crop sales.

Farming operations are separate from KCI and Central Office, only serving to assist in a fiscal/accounting capacity.

### *Operations*

KCI is researching the options and availability for seamless mattress equipment. Providing seamless mattresses for our customers will enable institutions to have a disease resistant and stronger product.

The license plate operation is undergoing a transition from embossed to flat plates. The Kentucky Transportation Cabinet has entered into a contract with ITI (Intellectual Technology Inc.). The printer supplied by John R. Wald

was delivered in in the summer and the KAVIS software was transferred over to ITI in the fall. Metal Fabrication experienced sporadic work orders, but the full potential of the plant was not being utilized. Swift CNC plasma table provides the ability to cut custom metal products up to 5' x 10' surface area.

The printing plant covers all abilities of offset printing, business cards and various types of stationary to include thermo-grave printing. Print services has suffered due to COT and Transportation opening their own printing businesses which has proven to be our largest competitors for state government printing. The screen print and embroidery business has remained steady with continual business.

### *Sales/Marketing*

The sales department continues to expand the number of sales being made to the private sector. Additionally, sales opportunities to universities, police departments, professional businesses, local governments, hospitals and churches are actively pursued. The focus of sales to these customers has been an effort to offset a portion of the lost sales to state agencies due to budget reductions and the effects of the pandemic on staffing.

Although sales territories were redrawn in 2020 in an effort to provide more sales coverage throughout all areas of the commonwealth, sales representative positions have been difficult to fill, and vacancies remain. KCI continues to actively promote more partnerships for sales opportunities with state government entities

## Kentucky Correctional Industries

pursuant to KRS 197.210 within the commonwealth, as well as correctional industries in other states.

### ***Personal Protection Equipment & COVID-19 Response***

KCI began producing 3-ply surgical masks in early March 2020 for the Department of Corrections Adult Institutions Division, Probation and Parole Division, the Department of Juvenile Justice, the Cabinet for Health and Family Services, Kentucky Emergency Management and various orders to the general public.

In April 2020, Kentucky Correctional Industries began producing anti-microbial surgical gowns for the Department of Corrections and Kentucky Emergency Management. The gown design was approved by Dr. Steven J. Stack, Commissioner for the Kentucky Department for Public Health.

Throughout 2021, KCI distributed shoe covers, surgical gowns, nitrile gloves, face shields, KN95 masks and 3-ply surgical masks to the Department of Corrections Adult Institutions Division.

KCI received over 2,000 gallons of hand sanitizer from Jephtha Creed Distillery that was bottled by inmates from Blackburn Correctional Complex working at the KCI Central Office warehouse, as well as inmates from the Kentucky Correctional Institution for Women. KCI distributed them to the Adult Institutions Division.

The KCI Green River Correctional Complex plant designed and

produced 1,495 PPE desk shields for the Justice and Public Safety Cabinet, Capitol Building (including Governor Andy Beshear's office), Legislative Research Commission, Department of Corrections, Probation and Parole Division, Kentucky Transportation Cabinet, Kentucky Board of Elections, Fayette County Public Schools and various private businesses throughout the commonwealth. Additional PPE shield designs for various specific needs requiring metal framing have also been produced by the KCI Kentucky State Reformatory Plant.

### ***Prison Industry Enhancement Certification Program (PIECP)***

KCI is working with Eastern Kentucky Advanced Manufacturing Institute (eKAMI) at Eastern

Kentucky Correctional Complex. This will be the pipeline for highly skilled offenders for potential PIECP partners in the future. KCI is also working with Restoration Parts Unlimited Interior on implementing our first PIECP which will be located in the Kentucky Correctional Institution for Women in LaGrange. This Pilot PIE program will employ an estimated 10-15 inmate workers, with the potential for more.



## Institutions

### Bell County Forestry Camp

560 Correctional Drive

Pineville, KY 40977

Warden: Brandy Harm

Secretary: Kim Stanaford

Phone: (606) 337-7065

Fax: (606) 337-1312

### Blackburn Correctional Complex

3111 Spurr Road

Lexington, KY 40511

Warden: Abby McIntire

Secretary: Sharon K. Johnson

Phone: (859) 246-2366

Fax: (859) 246-2585

### Eastern Kentucky Correctional Complex

200 Road to Justice

West Liberty, KY 41472

Warden: James David Green

Secretary: Melissa Howard

Phone: (606) 743-2800

Fax: (606) 743-2811

### Green River Correctional Complex

1200 River Road

P.O. Box 9300

Central City, KY 42330

Warden: Kevin Mazza

Secretary: Jacqueline Morgan

Phone: (270) 754-5415

Fax: (270) 754-2732

### Kentucky Correctional Institution for Women

3000 Ash Ave.

Pewee Valley, Kentucky 40056

Warden: Vanessa Kennedy

Secretary: Melanie Drago

Phone: (502) 241-8454

Fax: (502) 243-0079

### Kentucky State Penitentiary

266 Water Street

Eddyville, Kentucky 42038

Warden: Scott Jordan

Secretary: Donna Dunbar

Phone: (270) 388-2211

### Kentucky State Reformatory

3001 W Hwy 146

LaGrange, Kentucky 40032

Warden: Anna Valentine

Secretary: Kimberly Campbell

Phone: (502) 222-9441

Fax: (502) 222-0240

### Little Sandy Correctional Complex

505 Prison Connector

Sandy Hook, Kentucky 41171

Warden: Larry Chandler

Secretary: Deborah Williamson

Phone: (606) 738-6133

Fax: (606) 738-6143

### Lee Adjustment Center

168 Lee Adjustment Center Drive

Beattyville, KY 41311

Warden: Daniel Akers

Phone: (606) 464-2866

### Luther Luckett Correctional Complex

Dawkins Road, Box 6

LaGrange, Kentucky 40031

Warden: Amy Robey

Secretary: Kayla Cantrall

Phone: (502) 222-0363

Fax: (502) 222-8112

### Northpoint Training Center

P.O. Box 479, Hwy 33

710 Walter Reed Rd.

Burgin, Kentucky 40310

Warden: Brad Adams

Secretary: Kelly McGinnis

Phone: (859) 239-7012

Fax: (859) 239-7560

### Roederer Correctional Complex

P.O. Box 69

LaGrange, Kentucky 40031

Warden: Jessie Ferguson

Secretary: Patti M. Ray

Phone: (502) 222-0170

Fax: (502) 225-0084

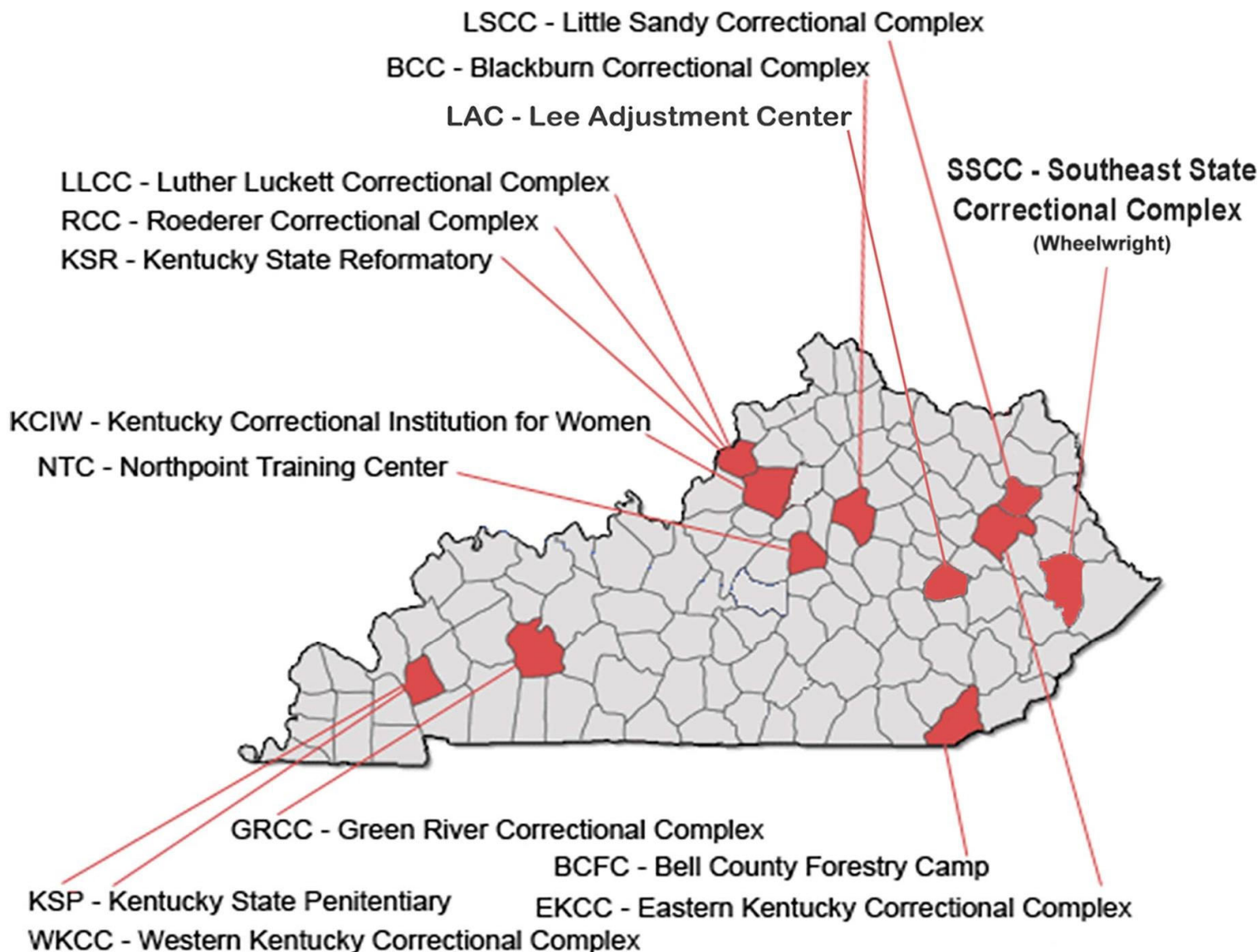
## Institutions

### **Southeast State Correctional Complex**

327 Correctional Drive  
P.O. Box 1600  
Wheelwright, KY 41669  
Warden: Craig Hughes  
Phone: 606-452-6300  
Fax: 606-452-6330

### **Western Kentucky Correctional Complex**

374 New Bethel Church Road  
Fredonia, KY 42411  
Warden: Bobbi Jo Butts  
Secretary: Regina Moore  
Phone: (270) 388-9781  
Fax: (270) 388-0031



# Population Data

## All DOC Offenders Three-Year Comparison by Gender

MALE	BC	BCFC	EKCC	GRCC	KSP	KSR	LAC	LLCC	LSCC	NTC	RCC	WKCC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL
2021	212	123	1589	420	428	825	736	1024	880	1156	1011	466	2637	32	205	11744
2020	201	179	1552	718	790	819	736	1079	832	1068	847	364	2088	37	139	11449
2019	315	293	1894	959	866	987	844	1174	1043	1248	1218	476	3336	67	385	15105

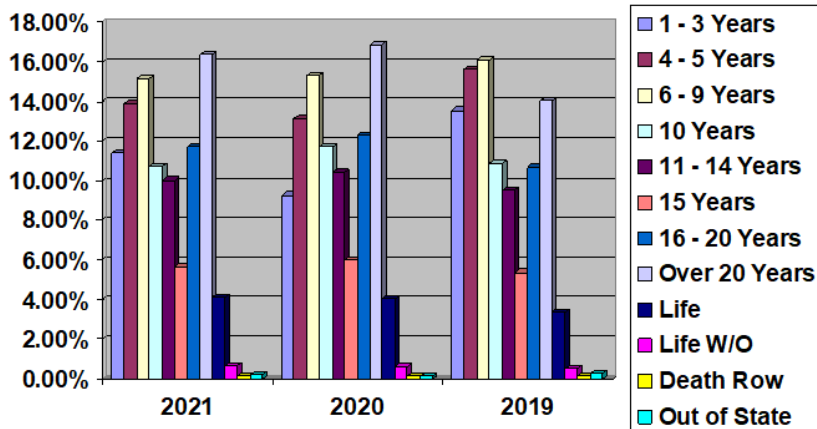
FEMALE	KCIW	RC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL
2021	580	112	704	9	12	1409
2020	550	85	556	10	4	1205
2019	702	182	1100	21	37	2042

## Demographic Data for All DOC Offenders Three-Year Comparison

COUNTY OF	2021	2020	2019
Jefferson	13.12%	13.65%	13.41%
Fayette	5.14%	5.56%	5.17%
Kenton	4.81%	4.55%	4.15%

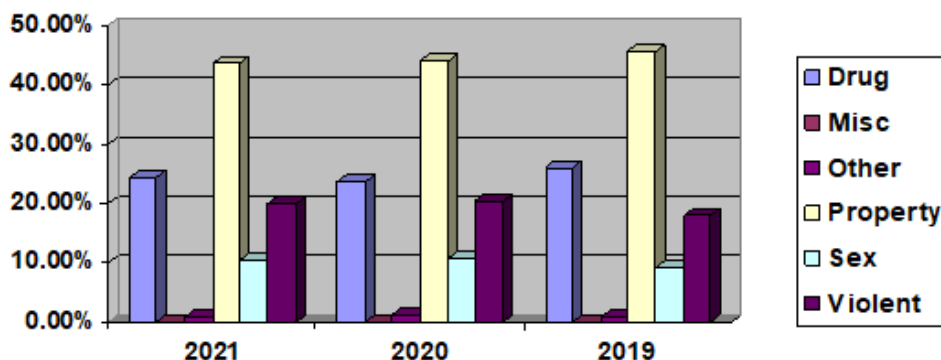
## Population by Years to Serve Three-Year Comparison

YEARS TO SERVE	2021	2020	2019
1 - 3 Years	11.39%	9.27%	13.55%
4 - 5 Years	13.90%	13.12%	15.60%
6 - 9 Years	15.15%	15.29%	16.11%
10 Years	10.73%	11.73%	10.91%
11 - 14 Years	10.01%	10.44%	9.51%
15 Years	5.66%	6.00%	5.36%
16 - 20 Years	11.70%	12.31%	10.69%
Over 20 Years	16.35%	16.85%	14.01%
Life	4.10%	4.06%	3.37%
Life W/O	0.66%	0.62%	0.49%
Death Row	0.15%	0.15%	0.12%
Out of State	0.20%	0.15%	.28%
Total	100.00%	100.00%	100.00%

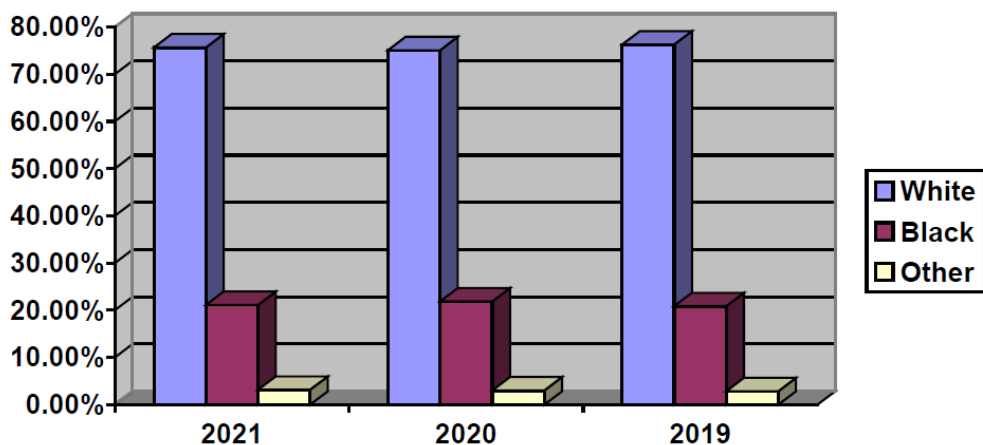


## Population Data

### Type of Offense All DOC Institutions Three-Year Comparison

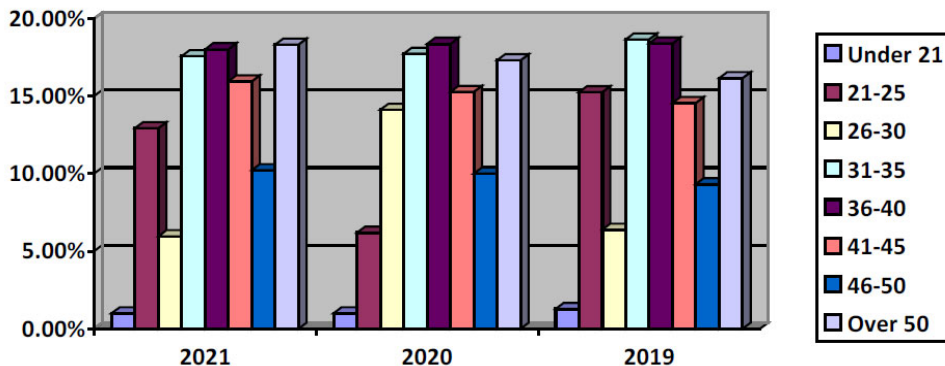


### Ethnic Data for All DOC Offenders Three-Year Comparison



### Population by Age Three-Year Comparison

AGE	2021	2020	2019
Under 21	0.99%	0.99%	1.28%
21-25	12.94%	6.19%	15.26%
26-30	5.96%	14.12%	6.38%
31-35	17.58%	17.74%	18.66%
36-40	18.02%	18.37%	18.39%
41-45	15.95%	15.27%	14.56%
46-50	10.23%	10.00%	9.32%
Over 50	18.33%	17.32%	16.16%
Total	100.00%	100.00%	100.00%



## Bell County Forestry Camp



### Warden

Brandy Harm

### Deputy Warden

Josh Hart

### Administrative Assistant

Kim Stanaford

### Address

560 Correctional Drive  
Pineville, KY 40977

### 2021 Average Daily Population

144

### Total Number of Staff

54

### Security Level

Minimum

### Overview

The Bell County Forestry Camp (BCFC) is situated on approximately 15 acres in rural Bell County and 14 miles southwest of the town of Pineville. It is a minimum-security facility with the capacity to house 300 adult inmates. The facility employs 54 staff, as well as having

14 contract employees who work in food service, medical services, and program facilitation etc.

The Bell County Forestry Camp was established in October 1962 as a satellite of the Kentucky State Reformatory in LaGrange with a population of 27 inmates. The population has gradually increased over the years, rising to 105 by the end of 1978. The population increased to 200 with the completion of a new inmate dormitory in November 1987.

The institution later added double bunks and the capacity increased to 300 on August 1, 2007. Bell County Forestry Camp also operates its own Water Treatment Plant and Waste Water Treatment Plant where inmates receive training and become certified plant operators.

BCFC provides inmate labor to the Division of Forestry for fire suppression in Bell and surrounding counties during fire season. In addition to forest fire suppression, inmate labor is also supplied to the Department of Transportation (two road crews), Bell County Fiscal Court, Pineville City Street Department, Middlesboro City Street Department, and special details are also provided from time to time to state parks in the area. Inmate labor and details have been temporarily suspended in 2021 due to the COVID-19 pandemic.

The average daily count for outside labor crews is normally around 70 inmates. Due to the COVID-19 pandemic, outside labor crews have temporarily been suspended. Inmate labor is also utilized in various areas for day-to-day operations such as



*Brandy Harm*  
Warden

landscaping, maintenance, food service, sanitation, Water Plant and Sewer Plant operations, etc.

The institution was accredited by the American Correctional Association in 1990 and has successfully passed re-accreditation audits through November 2019. The institution received PREA Accreditation by the Department of Justice in 2014 and was re-accredited in 2019.

### Highlights

The Bell County Forestry Camp has made the following significant improvements:

- The Maintenance Department has replaced the flooring in the chapel with all new hardwood.
- Started two new programs: Thinking for Good and Untangling Relationships for inmates.
- Purchased new Polaris side-by-side for institutional use.
- Purchased two new X-7 Tasers.
- Replaced HVAC unit for the toxic caustic building, as well as repaired and replaced two HVAC Units for the dorm.
- Inmates donated \$304.77 worth of water, coffee, and donuts to Pineville Community Hospital during extreme Covid-19 times in order to show their appreciation to

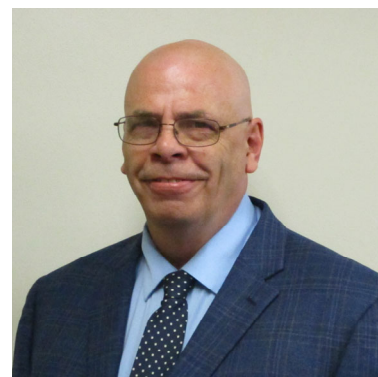
## Bell County Forestry Camp

the medical staff.

### *Programmatic and Operational*

- An additional reentry position was created.
- One new washer was purchased for institutional laundry.
- A new rower and cardio bike were purchased for inmates in the recreation area. Thirty-two short lockers, and numerous chairs were purchased for the inmates.
- A new portable cooler and freezer were purchased for additional storage space.
- Bell County Forestry Camp faced

numerous challenges with staffing and inmate safety in 2021 with the COVID-19 pandemic. Both security and administrative staff have met the challenges head on and continue to rise above expectations for the safety and security of the community, as well as the Department of Corrections.



*Josh Hart  
Deputy Warden*



*New package scanner*



*New body scanner*



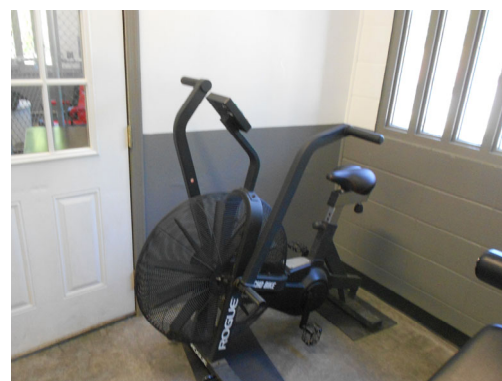
*Hardwood flooring in chapel*



*New Polaris side-by-side*



*New exercise equipment*

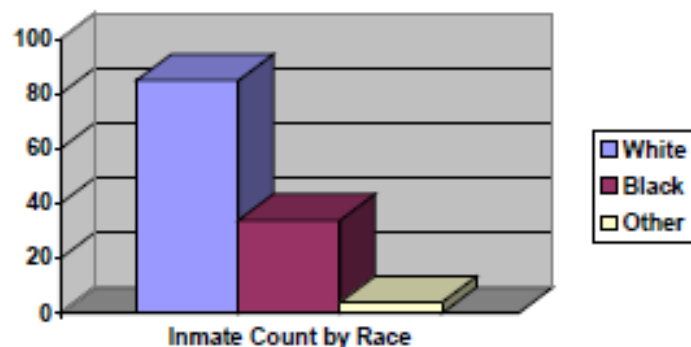


*New exercise bike*

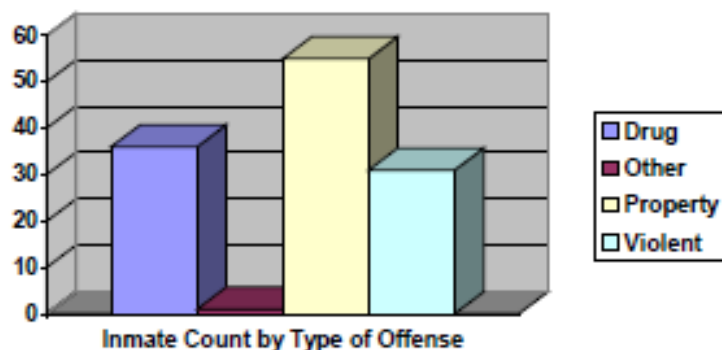
# Bell County Forestry Camp

## Demographic Data

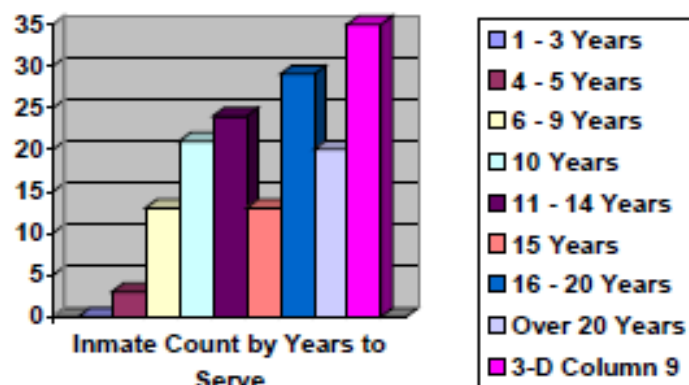
RACE	COUNT	PERCENTAGE
White	85	69.11%
Black	34	27.64%
Other	4	3.25%
Total	123	100.00%



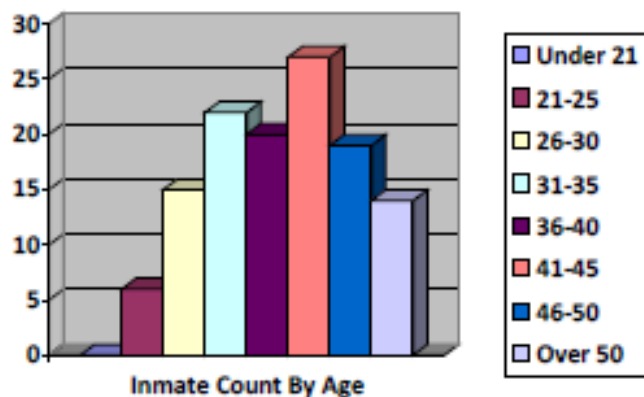
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	36	29.27%
Other	1	0.81%
Property	55	44.72%
Violent	31	25.20%
Total	123	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	0	0.00%
4 - 5 Years	3	2.44%
6 - 9 Years	13	10.57%
10 Years	21	17.07%
11 - 14 Years	24	19.51%
15 Years	13	10.57%
16 - 20 Years	29	23.58%
Over 20 Years	20	16.26%
Total	123	100.00%



AGE	COUNT	PERCENTAGE
Under 21	0	0.00%
21-25	6	4.87%
26-30	15	12.20%
31-35	22	17.89%
36-40	20	16.26%
41-45	27	21.95%
46-50	19	15.45%
Over 50	14	11.38%
Total	123	100.00%



# Blackburn Correctional Complex



## Warden

Abby McIntire

## Deputy Warden

Mary Oerther

## Administrative Assistant

Sharon K. Johnson

## Address

3111 Spurr Rd.

Lexington, KY 40511

## 2021 Average Daily Population

260

## Total Number of Staff

138

## Security Level

Minimum / Community

transferred from the Department of Child Welfare. BCC was named after former Kentucky Governor Luke P. Blackburn, who is known as the “father of prison reform in Kentucky.”

BCC has grown over the course of time. Currently, the facility houses 260 male adult offenders. The physical plant consists of 32 buildings on 456 acres of land. BCC houses academic and occupational programs, as well as industrial and support services. Additionally, BCC manages one of seven Second Chances Farms for the Thoroughbred Retirement Foundation (TRF) and the only one in Kentucky. The Second Chances Farm at BCC is currently home to 50 thoroughbreds that are retired or have an otherwise limited athletic future. The institution also has a cattle farm operation, which includes approximately 130 head of cattle.

BCC focuses on preparing offenders for release into the community.



*Abby McIntire*  
Warden

Horticulture is the one vocational program offered at the facility. Three work programs are provided at the facility, including the Groom Elite Horse Program, Inmate-2-Workmate, and Safety Specialist. Similarly, cognitive behavioral programs at BCC include Moral Reconciliation Therapy (MRT), MRT Anger Management, MRT Parenting, and Portal New Directions. Additionally, BCC provides work details to KCI-Frankfort, the Kentucky Horse Park, and the Kentucky Department of Transportation.



## Overview

Blackburn Correctional Complex (BCC) is the largest minimum-security institution operated by the Kentucky Department of Corrections. BCC became a part of the Kentucky Department of Corrections in 1972, when it was

# Blackburn Correctional Complex

## Highlights

- In 2021, BCC installed a PA system in both living units, Dorm 1 and Dorm 2. A check point was established with a walk-through metal detector and X-ray scanner for all staff.
- In January 2021, Dixon Electric replaced three poles.
- In January 2021, Keefe vending machines were installed in the living units.
- In February 2021, a new blowdown separator was replaced on the main boiler to cool the water for the complex.
- In March 2021, upgraded fire alarm panels were installed in the Administration Building.
- In April 28 - 30, 2021, the PREA audit took place. BCC passed the audit. BCC purchased new Tasers for Security to comply with the new Taser that was implemented.
- In May 2021, BCC interviewed and added an additional Reentry staff member to conduct all MRT classes.

- In June 2021, BCC Post One's sign was renovated and a new front entrance was constructed.
- In July 2021, Abby McIntire was named officially as the new Warden at BCC. Deputy Warden interviews were conducted.
- In August 2021, Mary Oerther was selected as Deputy Warden.
- In August 2021, BCC had an ACA mock audit.
- In August 2021, the baseball field was filled and leveled.
- In September 2021, BCC began to re-key the institution. As a result of a mock audit there were too many master keys issued.
- In September 2021, BCC had training for the new body scanner to detect any contraband on staff searches and inmate searches.
- October 2021, Securus began to visit to prepare for tablet and video visitation installed.
- In November 2021, BCC held a staff COVID-19 Vaccine Clinic.
- In November 2021, BCC held an inmate COVID-19 Booster Vaccine Clinic for inmates.

- In December 2021, BCC had several steam pipe leaks and began repair on those.

## Programmatic and Operational

In December 2021, BCC TRF horse program graduated a total of 12 inmates from the Groom Elite program. Three of those inmates interviewed and gained employment upon release with a local horse farm.

In December 2021, BCC Reentry began getting inmates state photo IDs upon release.

From June to December 2021, 72 inmates have graduated from MRT.



*Newly established staff checkpoint*



*Renovated front entrance Sign*

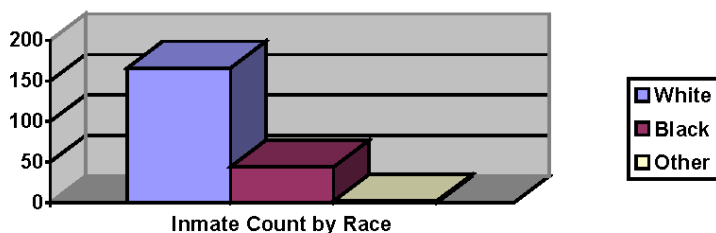


*Body scanner*

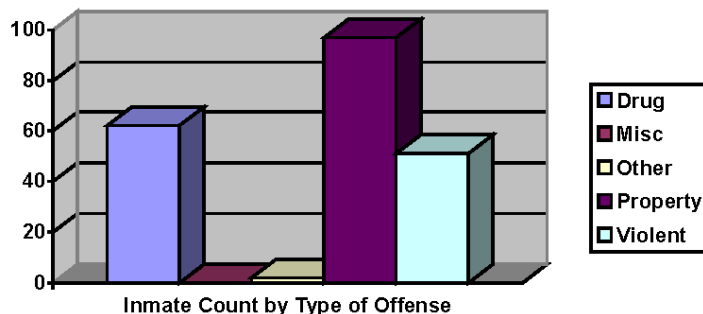
# Blackburn Correctional Complex

## Demographic Data

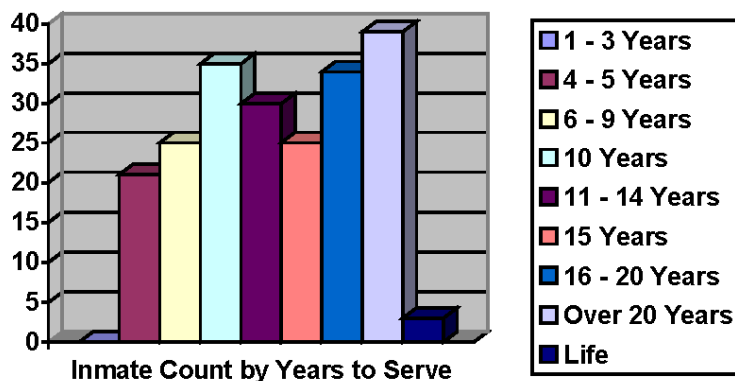
RACE	COUNT	PERCENTAGE
White	166	78.31%
Black	44	20.75%
Other	2	0.94%
Total	212	100.00%



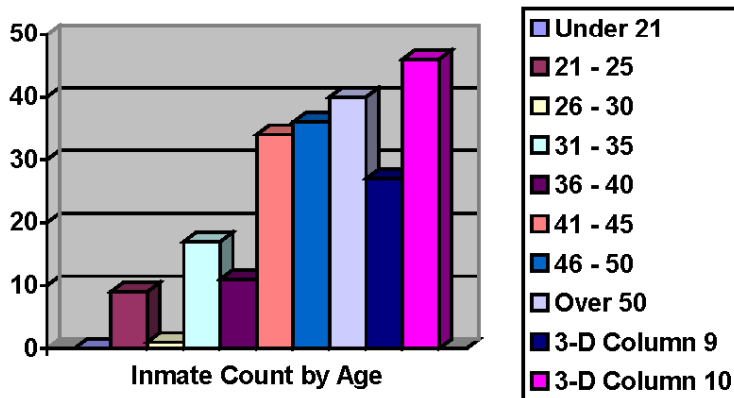
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	62	29.25%
Misc	0	0%
Other	2	0.94%
Property	97	45.75%
Violent	51	24.06%
Total	212	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	0	0.00%
4 - 5 Years	21	9.91%
6 - 9 Years	25	11.79%
10 Years	35	16.50%
11 - 14 Years	30	14.15%
15 Years	25	11.79%
16 - 20 Years	34	16.04%
Over 20 Years	39	18.40%
Life	3	1.42%
Total	212	100.00%



AGE	COUNT	PERCENTAGE
Under 21	1	0.47%
21-25	17	8.02%
26-30	11	5.19%
31-35	34	16.04%
36-40	36	16.98%
41-45	40	18.87%
46-50	27	12.73%
Over 50	46	21.70%
Total	212	100.00%



## Eastern Kentucky Correctional Complex



### Warden

James David Green

### Deputy Warden - Operations

Paul Crouch

### Deputy Warden - Security

James Whitt

### Deputy Warden - Programs

Shawn McKenzie

### Administrative Assistant

Melissa Howard

### Address

200 Road to Justice  
West Liberty, KY 41472

### 2021 Average Daily Population

1517

### Total Number of Staff

252

### Security Level

Medium

### Overview

The Eastern Kentucky Correctional Complex was constructed in two

phases and houses minimum, medium, and maximum custody inmates.

The contract for construction was awarded in 1985 and the facility officially received its first inmates February 14, 1990. Phase II construction was completed in December 1991. EKCC was designed to house 1,122 inmates but double bunking increased the institutional capacity to 1,930, making EKCC 72% over its designed capacity. EKCC's distinction from other state institutions is the very structured inmate-controlled movement. Controlled movement is the result of our institutional design, the number of inmates housed, and the inmate custody level, and is EKCC's best security tool. Inmates move to and from their dormitories to go to work, school, gym, and yard on the hour and half hour. Movement is closely monitored providing inmates with few opportunities to pass contraband and creating a safer environment for inmates and staff. Controlled movement also allows EKCC to reduce the number of inmates moving at any given time, thus enhancing EKCC's ability to control disturbances within the inmate population.

### Highlights

EKCC enforced some standard COVID-19 protocols for employees. Anyone entering the institution will have their temperature taken. If they appear to have a fever, medical staff will respond, and a decision will be made at the time on the action needed to be taken. In



*James David Green*  
Warden

addition, unvaccinated staff are tested twice weekly for COVID. Lastly, any person entering the facility must wear a face covering, including all staff, inmates, and visitors. All inmates were given the opportunity to take the Johnson and Johnson COVID-19 vaccine and are required to wear their masks in all areas beyond their cell.

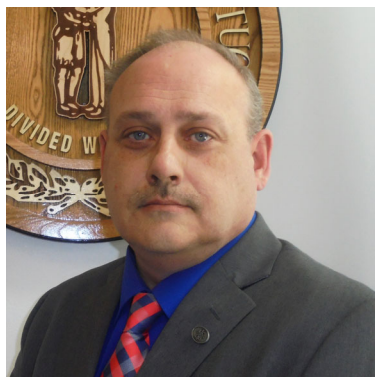
**Education:** The education department had two Associate in Arts degrees from Maysville Community and Technical College awarded to two inmates. One inmate also earned an Associate in Science degree from Maysville Community and Technical College.

National Center for Construction Education and Research (NCCER) testing for vocational students went to online only, which students were able to access in the GED Testing Lab. Virtual instruction pilot for classes was completed.

**Human Resources:** The Health and Wellness committee continues to support staff.

There was a total of nine staff who retired in 2021. EKCC is still offering the gold card to retirees of 20 plus years to come back to the institution and take advantage of services that are offered to our staff such as car washes, haircuts, and

## Eastern Kentucky Correctional Complex



Shawn McKenzie  
Deputy Warden



James Whitt  
Deputy Warden



Paul Crouch  
Deputy Warden

shoeshines.

Human Resources offers a referral program. If an employee refers someone for a correctional officer position and that person completes their 6-month probationary period, then the referring employee will receive \$100 that is tax free.

**Training:** The training department is now conducting in-service through computer-based training (CBTs) and one in-person day due to the COVID-19. In 2021, extra training was conducted for the restraint chair. Staff were trained in the use of Taser 7, and it is now on the yard.

**The 4-Paws Program:** The 4-Paws Program partners with EKCC to train and provide service dogs to children and veterans. 4-Paws dogs serve clients with all types of needs and disabilities, such as autism, epilepsy, diabetes, PTSD, mobility issues, hearing loss, and combat-related amputations and injuries.

EKCC received and trained several litters of puppies for the 4-Paws for Ability program.

**EKAMI:** EKCC has a contract with Eastern Kentucky Advanced Manufacturing Institute (EKAMI) to provide training for inmates. This is

expected to bring economic development to the city of West Liberty. Graduates of this program will have the opportunity to find jobs in various machine and robotics operations.

In 2021, the final piece of funding was secured to pay for construction. The building plans were finalized and approved by both parties. The road project is expected to be completed by the Transportation Department. The ground breaking date for construction is set for 2022.

**Security:** Arlo, a narcotic detection German shepherd dog, was purchased and trained. In Dec. 2021, Arlo began working at EKCC as a narcotic detection K9.

### ***Programmatic and Operational Areas***

EKCC Maintenance built and installed secure book stations in the RHU dorms and the property room. The book stations allow inmates to return books while in RHU or the property room. The book stations are tamperproof, meaning once the books are put into the book station, they are secure until the officer removes them. This ensures book returns while in RHU,

while making the inmate more liable for the books in their possession.

EKCC has continued the inmate newsletter. This has been a great success and the newsletter provides vital and informative information to the population.

EKCC had approximately 621 inmates who graduated from programs (excluding educational programs), including 489 MRT graduates.

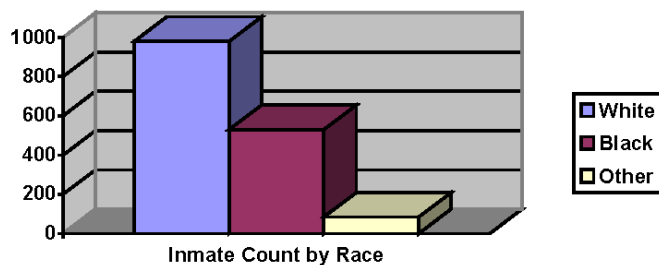
Maintenance installed a new security key control system in the main hallway and MSU and also installed LED green flashing for the hearing-impaired wings. EKCC installed a new sewer auger, fabricated and installed recreational holding cells in Dorm 1 Bullpen, and fabricated and installed holding cells in the new property room. The Maintenance Department also completed installing new windows in both Tower One and Tower Two as well and painting Tower One, which was a big project.

Medical purchased three new EKG machines and placed one in the medical rooms in Dorms 1 and 5 and MSU. A medical director was hired and is now onsite three days per week.

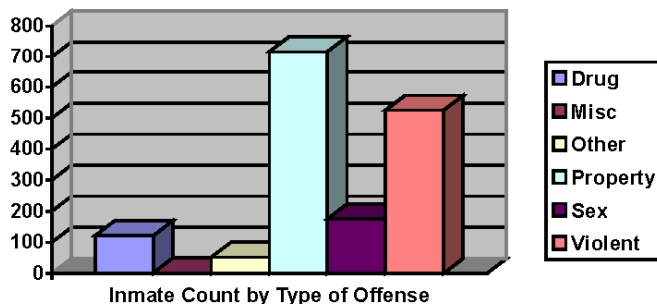
# Eastern Kentucky Correctional Complex

## Demographic Data

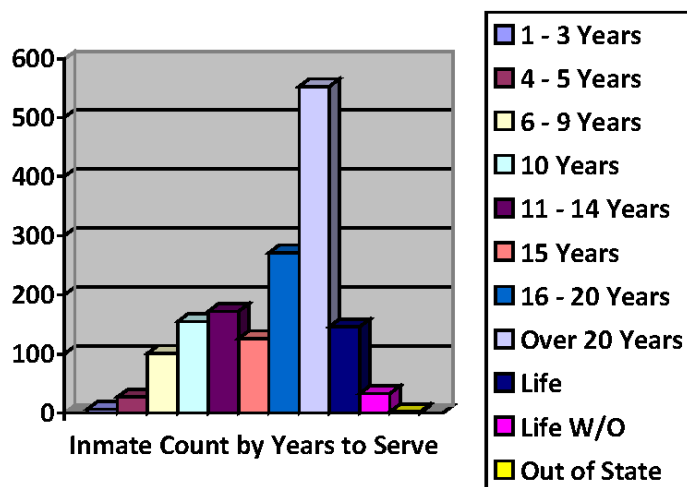
RACE	COUNT	PERCENTAGE
White	979	61.46%
Black	530	33.27%
Other	84	5.27%
Total	1593	100.00%



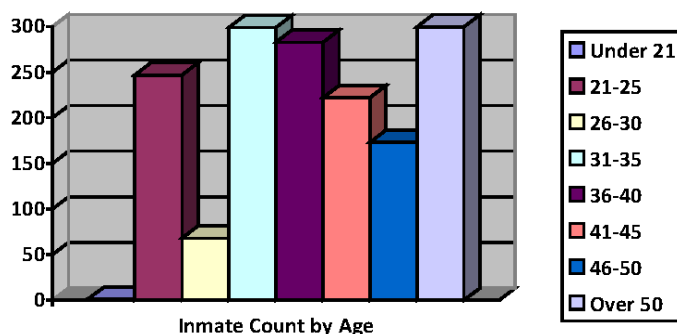
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	122	7.66%
Misc	2	0.13%
Other	52	3.26%
Property	717	45.01%
Sex	175	10.99%
Violent	525	32.95%
Total	1593	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	6	0.38%
4 - 5 Years	27	1.69%
6 - 9 Years	101	6.34%
10 Years	155	9.73%
11 - 14 Years	172	10.80%
15 Years	126	7.91%
16 - 20 Years	271	17.01%
Over 20 Years	554	34.77%
Life	146	9.17%
Life W/O	33	2.07%
Out of State	2	0.13%
Total	1593	100.00%



AGE	COUNT	PERCENTAGE
Under 21	1	0.06%
26-30	247	15.51%
21-25	68	4.27%
31-35	299	18.76%
36-40	283	17.77%
41-45	222	13.94%
46-50	173	10.86%
Over 50	300	18.83%
Total	1593	100.00%



# Green River Correctional Complex



## Warden

Kevin Mazza

## Deputy Warden - Programs

Patrick Kessinger

## Deputy Warden - Security

Stacey Gibson

## Administrative Assistant

Jacqueline Morgan

## Address

1200 River Road  
Central City, KY 42330

## 2021 Average Daily Population

431

## Total Number of Staff

190

## Security Level

Medium

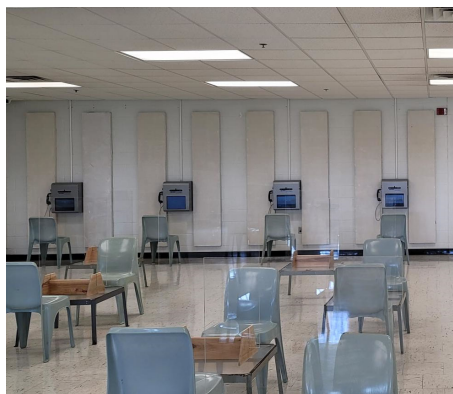
## Overview

Construction of the Green River Correctional Complex (GRCC) began in August 1992 and was originally designed to house 550

inmates. The first group of inmates arrived December 16, 1994. GRCC has since expanded, building a medium security living unit, as well as a minimum-security dormitory with a current inmate population capacity of 982. Since opening, GRCC has been re-accredited by the ACA eight times, most recently in 2021.

The institutional design is “direct supervision.” The dormitories have an open control center (no bars, glass or other barriers). Unit Management is the means of managing and organizing the institution. In this team-oriented concept, a management team of administrators, supervisors, correctional officers, and classification and treatment officers supervise each living unit and are responsible for the security and management of the inmate living unit and its inmate population.

GRCC does not have security gun towers. Perimeter security is dependent upon an electronic detection perimeter alert system, a microwave detection system, and constant 24-hour armed perimeter vehicle patrols.



*Video visit terminals*



*Kevin Mazza  
Warden*

## 2021 Highlights

- Installed a 208 v UPS system for cameras.
- Installed a 120-terabyte camera recording server.
- Installed new time clocks.
- Installed radio repeater antenna tower.
- Installed body scanners in Security Reception and Property.
- Installed two (2) cameras in warehouse.
- Installed new HVAC unit in Laundry.
- Installed new HVAC unit for Dorm 6.
- Quad PTZ camera installed in gym.
- Two (2) 180 degree cameras installed in KCI warehouse.
- Two (2) cameras installed at kitchen serving lines.
- Camera installed on B building



## Green River Correctional Complex

facing C building.

- Camera installed in maintenance area tool cage.
- Network switch replaced in MSU due to lightning strike.
- Dorms 4 and 5 painted.
- Cameras installed in dining area of kitchen.
- PTZ camera installed at K building.
- Installed new UPS system for servers.
- Wi-Fi installed.
- Began and completed Securus fiber project for tablets, phone and visitation terminals.
- Installed UPS for all Control Center equipment.
- Laundry cleaned, duct work cleaned, painting completed, new dryer installed due to fire in laundry area.
- HVAC units installed in kitchen and security reception.
- Removed water boiler and storage tanks at Minimum Unit and installed two (2) 199,900 BTU hot water heaters.
- Removed floor tile in security reception and finished concrete floor.
- Key boxes completed.
- New control panel and motor installed at sewer grinding station.
- New hot water heater installed in building B. Boiler removed.
- Began new generator project (ongoing).
- Cameras installed in SMHU bullpen.

### ***Programming and Operational Areas***

- Resumed academic classes.
- Expanded class sizes and extended hours for attendance due to



*Patrick Kessinger  
Deputy Warden*



*Stacey Gibson  
Deputy Warden*

removal of COVID-19 restrictions.

- Religious volunteer personnel to begin coming in June 1, 2022.
- Began in-person non-contact visitation.
- Distributed inmate tablets.
- Added two (2) MRT classes to catalog for GRCC.



*New camera recording server*



*MM control box*

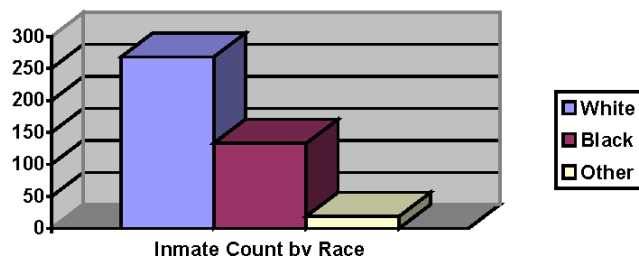


*MSU heaters*

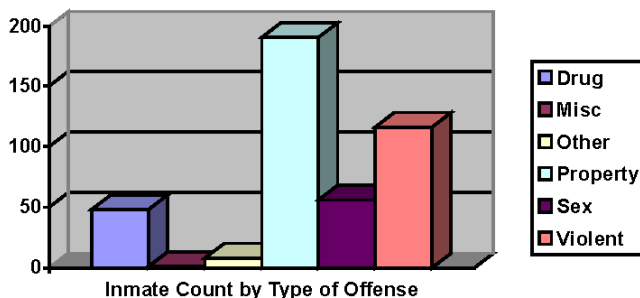
# Green River Correctional Complex

## Demographic Data

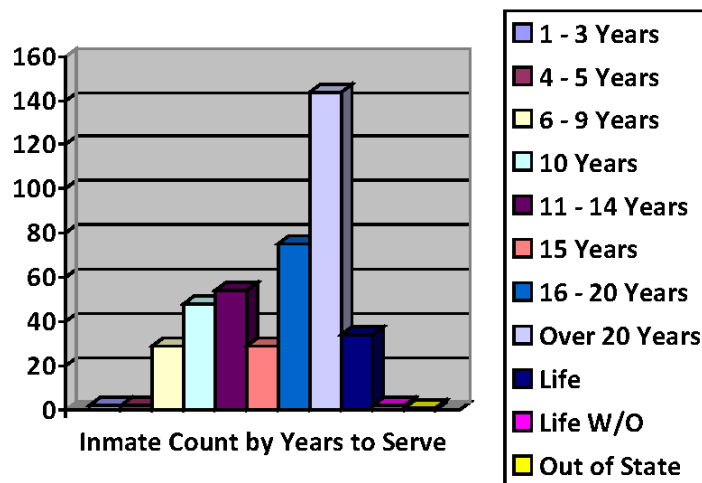
RACE	COUNT	PERCENTAGE
White	268	63.81%
Black	133	31.67%
Other	19	4.52%
Total	420	100.00%



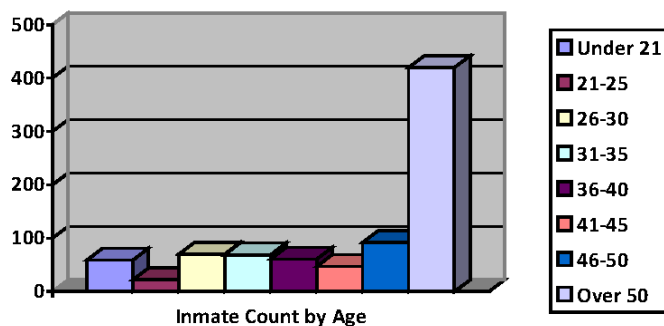
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	48	11.43%
Misc	1	0.24%
Other	8	1.90%
Property	191	45.48%
Sex	56	13.33%
Violent	116	27.62%
Total	420	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	2	0.48%
4 - 5 Years	2	0.48%
6 - 9 Years	29	6.90%
10 Years	48	11.43%
11 - 14 Years	54	12.86%
15 Years	29	6.90%
16 - 20 Years	75	17.85%
Over 20 Years	144	34.28%
Life	34	8.10%
Life W/O	2	0.48%
Out of State	1	0.24%
Total	420	100.00%



AGE	COUNT	PERCENTAGE
Under 21	59	14.05%
26-30	22	5.24%
21-25	70	16.67%
31-35	69	16.43%
36-40	61	14.52%
41-45	47	11.19%
46-50	92	21.90%
Over 50	420	100.00%
Total	59	14.05%



# Kentucky Correctional Institution for Women



## Warden

Vanessa Kennedy

## Deputy Warden – Security

Stephen Lyons

## Deputy Warden – Programs

Joseph Woods

## Administrative Assistant

Melanie Dragoo

## Address

3000 Ash Avenue  
Pewee Valley, KY 40056

## 2021 Average Daily Population

570

## Total Number of Staff

214

## Security Level

Multi-custody including death row

Reformatory at LaGrange. In 1962, KCIW was established by the legislature as an autonomous institution with a Warden as its Chief Executive Officer. KCIW was the only state-run institution for female inmates until 2010 when the Western Kentucky Correctional Complex was converted to a female facility. As of November 1, 2015, the Western Kentucky Correctional Complex was converted back to a male facility and the Ross-Cash Center was established as a 200-bed minimum female facility.

Near the town of Pewee Valley, KCIW is located on 270 acres in Shelby County. The facility has been ACA accredited since 1982. The institution is a campus style setting with multiple housing units, double and single bunked. KCIW is considered a multi-custody facility as differential housing and programming must meet the needs of female felons serving one year to life, death row, maximum, medium, minimum, community custody, first offenders, persistent offenders, and the physically and intellectually disabled, along with those suffering from mental illness.

Warden Vanessa Kennedy provides fiscal responsibility and oversight as she manages a budget of approximately \$15.7 million. Positive working relationships, as well as good communication between the warden, deputy wardens, staff, volunteers and outside visitors, add significant value to the mission statement of the institution and the DOC.



*Vanessa Kennedy  
Warden*

## Highlights

- The Kentucky Correctional Institution for Women continues to provide outstanding public protection through a secure facility while providing the inmate population opportunities to rehabilitate and ready themselves for reentry into life outside the fence.
- KCIW's ACA audit took place June 21-23, 2021. KCIW received their re-accreditation.
- KCIW conducted virtual and in-person visitation in 2021.
- KCIW population reduced from 733 to 713.
- KCIW's Training Department continued to train newly hired correctional officers during the employee orientation process. The Training Department has developed a week-long program for officers once they have completed the Corrections Basic Academy that focuses on topics covered at the academy and how they relate to KCIW specifically.
- The I-LEAD Program, a six-month long regional leadership training program, continued to play an important part in KCIW's quest to effectively raise the chances for

## Overview

The Kentucky Correctional Institution for Women (KCIW) received its first inmates in 1938 as an extension of the Kentucky State

# Kentucky Correctional Institution for Women



Stephen Lyons  
Deputy Warden



Joseph Woods  
Deputy Warden

professional success of its participants within the DOC.

## ***Programmatic and Operational Areas***

*Paws with Purpose (PWP):* KCIW continued to partner with PWP, a non-profit organization that provides highly skilled assistance dogs to children and adults with physical disabilities or other special needs. One of the only programs of its type in the region, the dog program was first launched in 2003 and has been extremely successful.

The inmates who apply to participate in the PWP program must be screened and go through an interview process to be selected to participate. The selected inmates receive training from PWP volunteers who visit during the week. These dogs stay at the prison throughout the week and work one-on-one with the women. There are 15 inmates assigned as handlers, with three inmates assigned as alternate handlers in training.

In 2021, PWP received a grant to work on creating a curriculum for a certification program for the inmates to obtain prior to release to assist with employment upon release.

*Education:* The Education Center offers inmate instruction in basic literacy, Adult Basic Education, GED and college courses. Vocational training includes a certified program in Horticulture, Electrical, and Microsoft Office.

*Programs:* Treatment programs such as the Sex Offender Treatment Program and the substance abuse program are available to inmates. The substance abuse program provides treatment in a Therapeutic Community, with close supervision in a structured environment.

Another therapeutic program called COD-SAP (Co-Occurring Disorder) addresses the needs of incarcerated women who suffer from both a mental illness and a co-occurring addiction.

Moral Reconnection Therapy (MRT) is also available to the inmate population and is a behavior modification course that uses evidence-based practices to understand how decisions are made and the reasoning behind them. MRT is designed to restructure the mental processes that allow a person to perform certain actions or desires. Other MRT programs offered at KCIW include a Restrictive Housing Behavior Modification program, Anger Management, Seeking Safety, Untangling Relationships, Parenting, and Getting Started.

Portal New Directions is available to the inmate population that uses evidence-based practices on preparing offenders for upcoming release. The program modules focus on tools needed for successful reentry into the community.

Kentucky Correctional Industries (KCI) has been at KCIW for 34 years. KCI provides inmates with job training that produces marketable skills and quality products, and currently operates four separate industries consisting of Bulk Mail Services, Braille Transcription, Warehousing and the Soap Plant and Portion Pac.

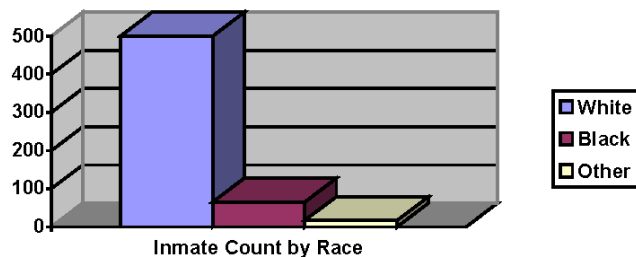


KCIW Main Building

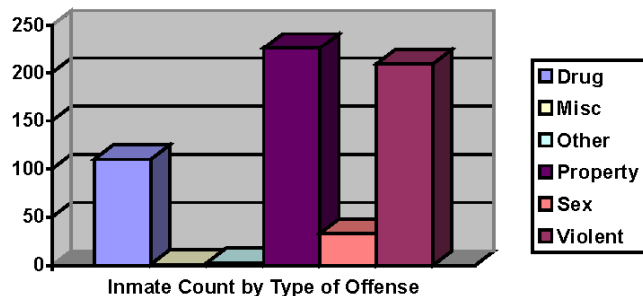
# Kentucky Correctional Institution for Women

## Demographic Data

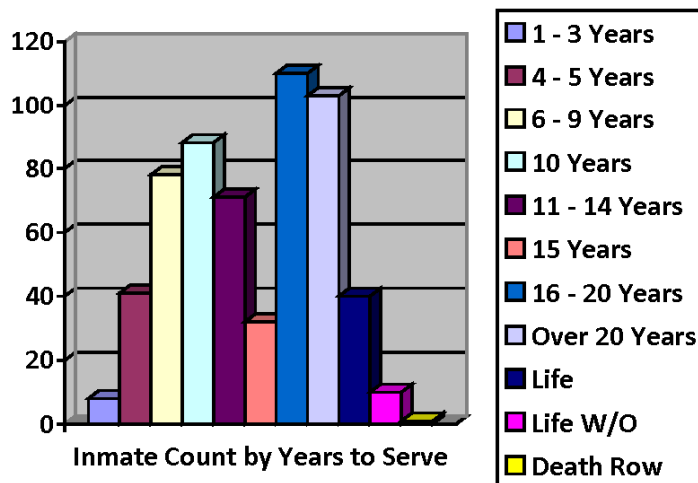
RACE	COUNT	PERCENTAGE
White	500	85.91%
Black	65	11.17%
Other	17	2.92%
Total	582	100.00%



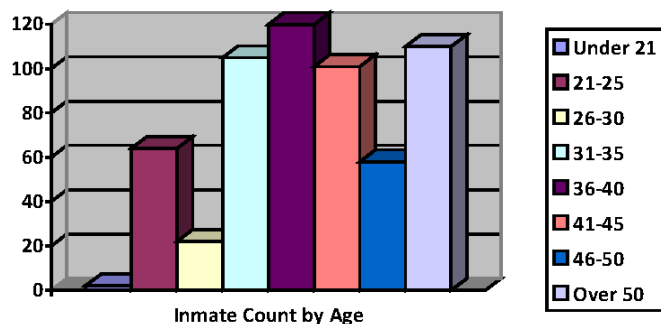
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	110	18.90%
Misc	1	0.17%
Other	3	0.52%
Property	226	38.83%
Sex	33	5.67%
Violent	209	35.91%
Total	582	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	8	1.37%
4 - 5 Years	41	7.04%
6 - 9 Years	78	13.40%
10 Years	88	15.12%
11 - 14 Years	71	12.20%
15 Years	32	5.50%
16 - 20 Years	110	18.91%
Over 20 Years	103	17.70%
Life	40	6.87%
Life W/O	10	1.72%
Death Row	1	0.17%
Total	582	100.00%



AGE	COUNT	PERCENTAGE
Under 21	2	0.34%
26-30	64	11.00%
21-25	22	3.78%
31-35	105	18.04%
36-40	120	20.62%
41-45	101	17.35%
46-50	58	9.97%
Over 50	110	18.90%
Total	582	100.00%



# Kentucky State Penitentiary



## Warden

Scott Jordan

## Deputy Warden– Security

Garyth Thompson

## Deputy Warden– Programs

Laura Plappert

## Deputy Warden– Operations

Skyla Grief

## Administrative Assistant

Donna Dunbar

## Address

266 Water Street

Eddyville, KY 42038

## 2021 Average Daily Population

580

## Total Number of Staff

172 Positions, 42 Contract

## Security Level

Maximum

## Overview

The Kentucky State Penitentiary (KSP) serves as the DOC's only

maximum-security facility with an operational capacity of 914 beds, housing an average of 580 inmates in 2021. The institution has operated for over 130 years, originally opening on Christmas Eve in 1889. The facility sits on the banks of Lake Barkley and is locally referred to as the “Castle on the Cumberland.”

Its population includes a small protective custody unit, a large restrictive housing unit in two separate cellblocks, death row, general population, and a 30-man minimum security dormitory located outside the secure perimeter.

### Highlights

An emergency inventory of PPE continued to be stocked. Sanitation stations were used throughout 2021. Staff were required to be tested for COVID-19 two times per week. Inmates were tested systematically.

Beginning in June, the Kentucky State Penitentiary began mass transfers of inmates to other institutions throughout Kentucky to close two cell houses due to a staff shortage. On July 20, 2021, Five Cell House and Seven Cell House were officially closed. The Protective Custody Unit was relocated to Six Cell House.

### Maintenance Projects in 2021

KSP made several repairs and upgrades during 2021. Several projects were not able to be completed due to COVID-19 precautions, illness and the shortage in staff causing maintenance staff to work many hours of security instead. Among other improvements the parking lot in



Scott Jordan  
Warden

front of the institution was completed. A new high service pump was installed at the Water Plant. Construction of the new entrance station began. The new body scanner was installed. Installation of all new controls began in main control. The Five Cell House sewer line began being repaired and replaced as needed. Replacement of the roof and windows began on the medical unit's building.

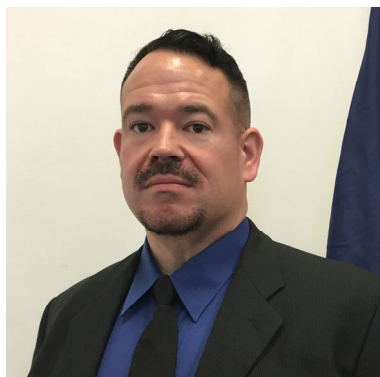
### Security

KSP added 20 Taser 7 handles and exchanged all X26P handles for Taser 7 after conducting multiple trainings on the new equipment in the armory. Armory lockers for storage were added to improve organization and improve upkeep of equipment. OC spray was updated to Sabre 1.3 water-based OC. A total of three large clearing barrels were added for the institution, one each at the entry station, and Five Wall Stand and Six Wall Stand sally ports.

Video visitation continued for family and attorney visits with a brief time of face-to-face visitation with COVID-19 protocols. Court proceedings continued via video to lower the risk to the inmate population.

The recycling program kept 18,344 tons of waste from going into

# Kentucky State Penitentiary



*Garyth Thompson*  
Deputy Warden



*Skyla Grief*  
Deputy Warden



*Laura Plappert*  
Deputy Warden

landfills.

The Transition Unit (TU) within the Restrictive Housing Unit was established in August 2014. TU is able to hold a maximum of 36 offenders who have behavioral issues such as excessive rule-breaking and violence that present serious security concerns. While in TU inmates have the opportunity to participate in programming.

## ***Programmatic and Operational Areas***

KSP offers job opportunities ranging from Prison Industries to janitorial services, and civic and social activities through AA/NA and NAACP offender-led groups. Various cognitive skills programs are available to the inmate population, which include Moral Reconnection Therapy (MRT), Seeking Safety, New Directions, and In2Work. Class size was back to 15 participants per class. In 2021 a total of 21 cognitive skills course of various MRT programs and other evidence-based programming was conducted, allowing an average of 310 offenders during a six-month period, to complete a course and have educational good time credits applied. Offenders are provided the opportunity for small eight-week group sessions weekly in the

conventional RHU Short Term Behavioral Modification course.

IRC volunteers were not permitted entry due to COVID-19 protocols. This impacted active programs inside the IRC. Social distancing during IRC programming and time spacing for PC and SSU specifically were adhered to for safety precautions. The IRC employed chaplain continued to provide daily spiritual counseling to inmates in need. Video visitation for funerals was permitted in May with a formal policy implemented in Aug. 2021.

The institutional library was relocated to a central portion of the general population yard. This has greatly reduced noise levels due to not sharing building space with JPay terminals and legal office activities.

***Expanded Faith-Based Activities:*** Eleven religious groups are recorded as active in the IRC faith-based programs: General Christian groups representing churches GP, PC and SSU; Roman Catholic GP and SSU; Jehovah witness GP and PC; Muslim/Islam GP and Outside Dorm; Moorish Science of America; Native American Circle SSU and GP; Jewish/Messianic

Judaism GP and SSU; Wicca GP; Asatru/Odinist GP; Rastafarian GP; and Church of Satan (officially recognized Dec. 2021).

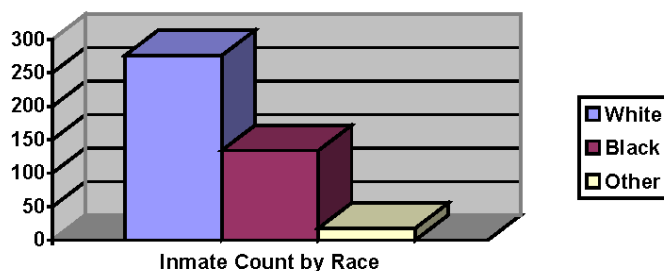
***Prison Industries:*** In 2021, COVID-19 PPE production for the DOC and other state departments caused a backlog of regular clothing orders. Over 50 inmates who worked in PI transferred in June, placing work orders even farther behind. To add to this, over 45 days of work were lost in 2021 due to COVID-19 lock down and various other reasons. We increased our work hours to help with these issues to ease backlog. However, we only made just over \$1 million in sales shipped.

***Reentry:*** The KSP reentry coordinator facilitates PORTAL New Directions. In 2021, they coordinated 72 releases by parole, MRS, SOCD, minimum/ maximum sentence expiration, administrative release, and HIP. They work with the records section, mental health, classification, Probation and Parole, families, and community partners. They also facilitate face-to-face parole board hearings and provide inmates notification of the parole hearing results from file reviews. In 2021, the Parole Board conducted 71 face-to-face hearings and 89 file reviews of KSP inmates.

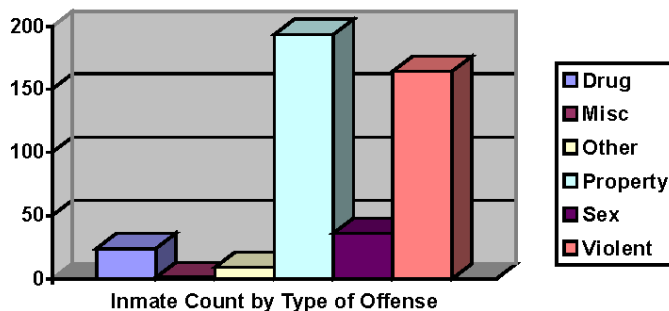
# Kentucky State Penitentiary

## Demographic Data

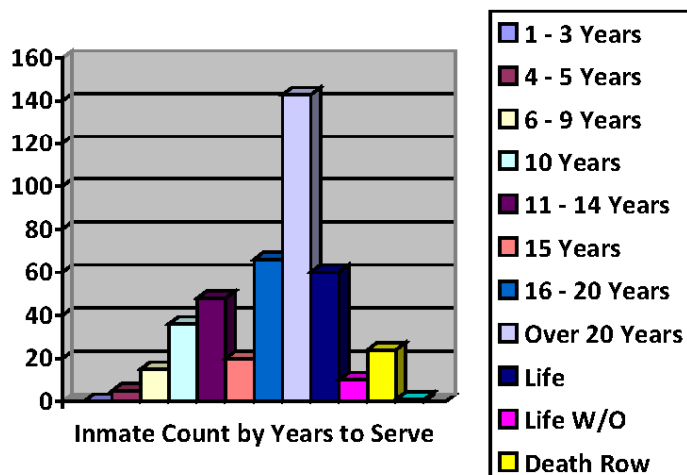
RACE	COUNT	PERCENTAGE
White	276	64.49%
Black	134	31.30%
Other	18	4.21%
Total	428	100.00%



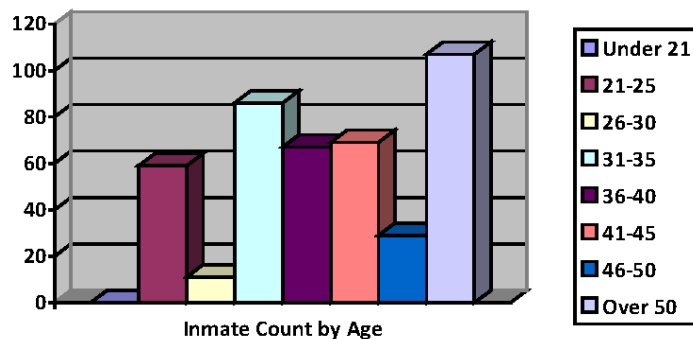
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	24	5.61%
Misc	1	0.23%
Other	9	2.10%
Property	194	45.33%
Sex	36	8.41%
Violent	164	38.32%
Total	428	100.00%



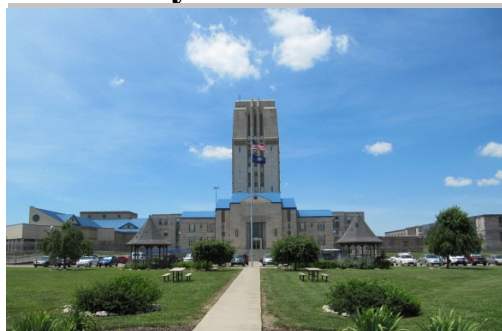
YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	0	0.00%
4 - 5 Years	5	1.17%
6 - 9 Years	15	3.50%
10 Years	36	8.41%
11 - 14 Years	48	11.22%
15 Years	20	4.67%
16 - 20 Years	66	15.42%
Over 20 Years	143	33.41%
Life	60	14.02%
Life W/O	10	2.34%
Death Row	24	5.61%
Out of State	1	0.23%
Total	428	100.00%



AGE	COUNT	PERCENTAGE
Under 21	0	0.00%
26-30	59	13.79%
21-25	11	2.57%
31-35	86	20.09%
36-40	67	15.65%
41-45	69	16.12%
46-50	29	6.78%
Over 50	107	25.00%
Total	428	100.00%



# Kentucky State Reformatory



## Warden

Anna Valentine

## Deputy Warden– Security

Jesse Stack

## Deputy Warden– Programs

Phillip Campbell

## Administrative Specialist III

Kimberly Campbell

## Address

3001 West Highway 146

LaGrange, KY 40031

## 2021 Average Daily Population

831

## Total Number of Staff

339 Funded

103 Agency/Contract

## Security Level

Medium

## Overview

The Kentucky State Reformatory (KSR) is located in LaGrange, which is approximately 30 miles northeast

of Louisville. In 2018, a reorganization and downsizing was implemented, reducing the institution from 1,969-bed capacity to 1,048-bed capacity. In 2019, two units were modified, and the current institutional bed capacity is 1,051.

Up to the present day, the 80-year-old physical plant has essentially retained its original configuration. The 12-story administration building was originally designed to house the offices of key staff members, a hospital, medical offices, and living quarters for correctional staff. The 11th floor houses the machinery of the elevator; the 12th floor houses a now nonfunctional 150,000-gallon water tank.

There are five dormitories made up of individual rooms. KSR maintains 148 beds in the Correctional Psychiatric Treatment Unit (CPTU). It is divided into a 50-bed program wing, 25-bed program wing, 50-bed stabilization wing, and 23-bed restrictive housing unit (RHU). The Reformatory also maintains a 75-bed Nursing Care Facility (NCF), a 20-bed open wing maintained in the Medical Service building, and a 50-bed open wing Daily Assisted Living Unit (DAL). Other buildings include an academic school, gymnasium, chapel, dining facility, inmate commissary, correctional industries, visitation building, and medical services building.

In 2018, KSR closed the 130-bed RHU that was used for purposes of segregated housing. There are six closed dormitories located on KSR institutional grounds. Two dormitories (Dorm 2 – closed 2011 & Dorm 8 – closed 2017) are



*Anna Valentine  
Warden*

condemned and pending demolition. Four dormitories (4, 5, 6, and 11 – closed 2018) are also pending demolition.

KSR's primary mission is to be a specialized institution to provide extensive mental health and medical services to inmates within the system. The Medical Department provides several on-site services to include chemotherapy, dialysis, physical therapy, and radiological services. Additionally, they coordinate Health Care Services, which includes the direct provision of routine and emergency medical and psychological care, and the provision of medical care of outside hospitals and private practitioners. The Mental Health staffing at KSR consists of three psychiatrists, eight psychologists, and 12 social service clinicians. An eye and dental clinic is available onsite, as well.

There are several services available for deaf and hard of hearing inmates. Unit A has a video relay system located for access to all deaf and hard of hearing inmates. Bed "shaker" units were installed for the hearing impaired and provide alerts during emergencies, as well as scheduled alerts that can be programmed through an alarm clock. Pocket pagers that send notifications

## Kentucky State Reformatory

of events are issued to inmates who have severe hearing loss or are deaf. Additionally, there are strobe lights and handheld activator units for the dorm officers.

### *Programmatic and Operational Areas*

Programs offered by the Reformatory include: academic, life management, organized sports and recreation, religious services and counseling, on-the-job training, and services provided by the Division of Mental Health, (*i.e.*, psychological/psychiatric services, Sex Offender Treatment Program (SOTP), and individual or group counseling). An outpatient substance abuse program is in place for inmates who are not able to complete a traditional SAP program due to medical issues. Several specific programs include New Directions, COD SAP (substance abuse treatment for inmates who also have co-occurring mental health issues), Challenges (therapeutic program located in CPTU), STAR (Striving Towards A Recovery; therapeutic program located in CPTU), and a Hospice program providing end-of-life support in the NCF. Other programs: Moral Reconciliation Therapy, Anger Management, and Seeking Safety.

GED services are offered on the institutional yard and managed by D O C ' s Education Branch. Aramark offers a food service program (In2Work) where participants receive a ServSafe certification that is accepted throughout the food service industry.

The Correctional Industry operation continues to provide relevant work experience to the inmate population.



*Jesse Stack  
Deputy Warden*



*Phillip Campbell  
Deputy Warden*

The area consists of a Metal Fabrication Plant, Tag Plant, and Carpentry Workshop.

Camp K9 is the dog program in partnership with the Oldham County Humane Society. Camp K9 has a capacity of 20 dogs in their training program.

### *Highlights*

KSR continues to experience a severe security staff shortage. The majority of institutional non-security staff are utilized on a daily basis to ensure minimum security staffing. Additionally, KSR continues to rely on Probation and

Parole staff and staff from other institutions on overtime status when possible.

Whole body imaging scanners were installed in the entrance, visitation room, transportation department, and CPTU.

The employee and visitor parking lots were repaved, and a new roof was installed on the warehouse.

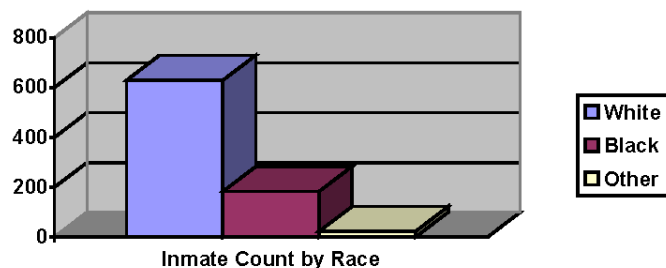
In October 2021, KSR achieved ACA re-accreditation.



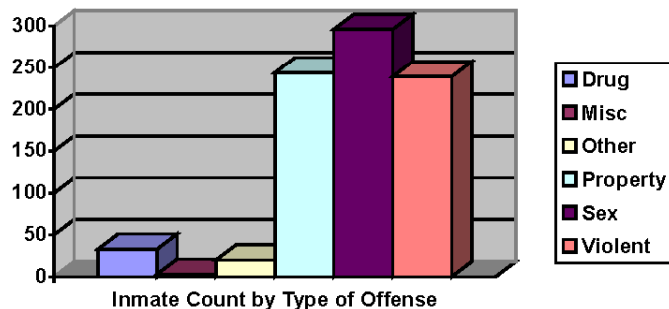
# Kentucky State Reformatory

## Demographic Data

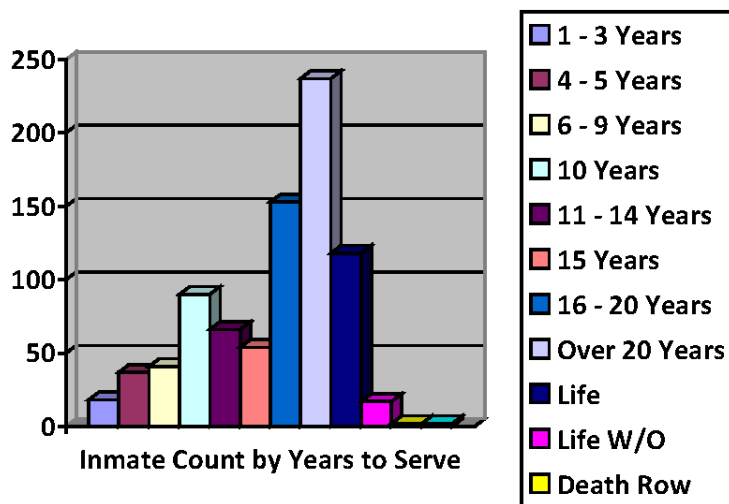
RACE	COUNT	PERCENTAGE
White	629	75.33%
Black	183	21.92%
Other	23	2.75%
Total	835	100.00%



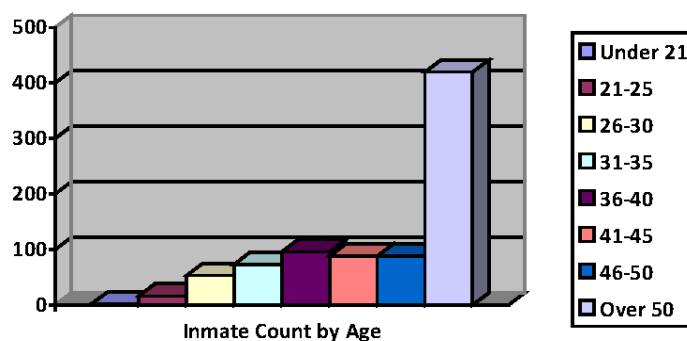
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	33	3.95%
Misc	3	0.36%
Other	20	2.40%
Property	244	29.22%
Sex	296	35.45%
Violent	239	28.62%
Total	835	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	18	2.16%
4 - 5 Years	37	4.43%
6 - 9 Years	41	4.91%
10 Years	90	10.78%
11 - 14 Years	66	7.90%
15 Years	54	6.47%
16 - 20 Years	153	18.32%
Over 20 Years	237	28.38%
Life	118	14.13%
Life W/O	17	2.04%
Death Row	2	0.24%
Out of State	2	0.24%
Total	835	100.00%



AGE	COUNT	PERCENTAGE
Under 21	1	0.12%
21-25	16	1.92%
26-30	53	6.34%
31-35	73	8.74%
36-40	96	11.50%
41-45	88	10.54%
46-50	88	10.54%
Over 50	420	50.30%
Total	835	100.00%



## Little Sandy Correctional Complex



### Warden

Larry Chandler

### Deputy Warden– Security

Ivan Krow

### Deputy Warden– Programs

David Bradley

### Administrative Assistant

Deborah Williamson

### Address

505 Prison Connector  
Sandy Hook, KY 41171

### 2021 Average Daily Population

951

### Total Number of Staff

260

### Security Level

Medium / Maximum

### Overview

Little Sandy Correctional Complex (LSCC) is a medium-security institution located in Elliott County. The institutional capacity is 1,050 with 100 minimum security beds

outside the secure perimeter. It also has a 128-bed Special Management Unit which is used to house those who choose to violate its rules and for those requiring special services or protection from other inmates.

The design phase of the facility started in 2001. The institution was opened in 2005 and was designed and built to add another 816 beds for the future growth of incarceration in Kentucky.

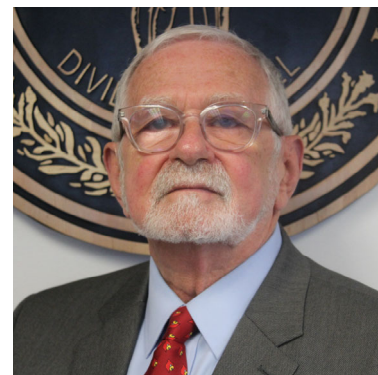
Currently, two additional dorms are being designed to house additional inmates that will bring the institutional capacity to 1,866, the second largest institution in Kentucky. The institution operates on the Unit Management and direct supervision concept which promotes direct interaction between management staff, line staff, and inmates.

Since it opened, LSCC has been accredited by the American Correctional Association six times and achieved Prison Rape Elimination Act (PREA) accreditation three times.

The complex also houses the Eastern Region Training Center which provides training to staff from Eastern Kentucky Correctional Complex and Southeast State Correctional Complex, as well as providing space for basic academy training for the eastern region Probation and Parole offices.

The facility has 260 staff, plus contracted staff from Wellpath for medical services, Aramark for Food Service, and Keefe Corporation for inmate canteen services.

LSCC houses a branch of the



*Larry Chandler*  
Warden

Kentucky Correctional Industries which employs about 100 inmates.

Its programs include a substance abuse program, several Moral Reconciliation Therapy programs, and academic and vocational programs. It also has a full-service library to support its educational department. One of its unique vocational programs is aquaculture.

LSCC also offers religious programs to all faiths using its staff, as well as volunteers from the community. LSCC has a wide range of recreational opportunities, including a class on how to structure your spare time productively.

LSCC has a representative from the Commonwealth Office of Technology onsite to ensure its network and data systems are working full time. LSCC also has a top-notch maintenance department that keeps all its



## Little Sandy Correctional Complex

buildings, mechanical/plumbing devices, HVAC, and security systems fine-tuned and operational.

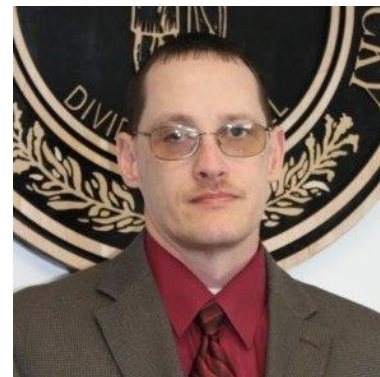
On the security side of its operations, LSCC has a Crisis Negotiation Team, a Correctional Emergency Response Team, and a K9 Team. The LSCC Rapid Response Team is used to create a perimeter in the unlikely event of an escape. It also uses the AlertXpress system to notify its neighbors in case of an escape.

### Highlights

- Like everyone in the Kentucky Department of Corrections and the entire state, LSCC spent most of 2021 mitigating the effects of COVID-19. The pandemic forced LSCC to lock down and quarantine twice in 2021. The inmates spent most of their time quarantined to their cells with limited time out for exercise, showering and using the inmate telephones.
- LSCC put protocols in place to ensure staff were as safe as possible when they reported for duty. Vaccinations were encouraged for staff and inmates alike. LSCC required masks, continued temperature checks of staff entering the complex, and monitored the health and wellbeing of staff and inmates daily.
- LSCC was challenged with staff shortages in 2021. The institution was forced to go to mandatory 12-hour shifts in July and continued that until the end of the year.
- Many programs were shut down and staff were used to fill critical posts in the institution. Despite these considerable obstacles, the staff at Little Sandy Correctional



*David Bradley  
Deputy Warden*



*Ivan Krow  
Deputy Warden*

Complex rose to the challenge. Two full body scanners were installed for both staff and inmates to help stem the flow of contraband into the facility.

- LSCC revamped in-house training by adding field training officers to help new staff get through the difficult first few months of working in a prison and

improve retention.

- The institution created two more Correctional Unit Manager I positions to help supervise and manage the inmates. Each housing unit has a unit manager, an assistant unit manager, and a security supervisor assigned.

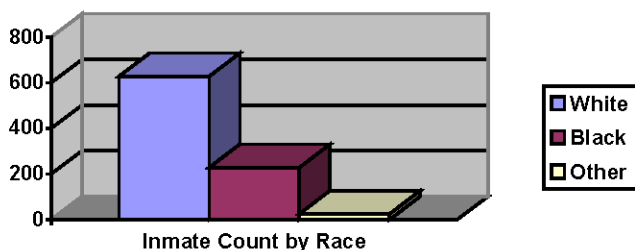


*Clockwise from Top:  
LSCC expansion, new  
full body scanner, CERT  
members*

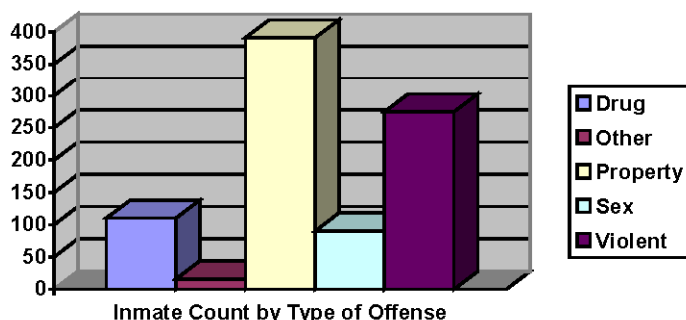
# Little Sandy Correctional Complex

## Demographic Data

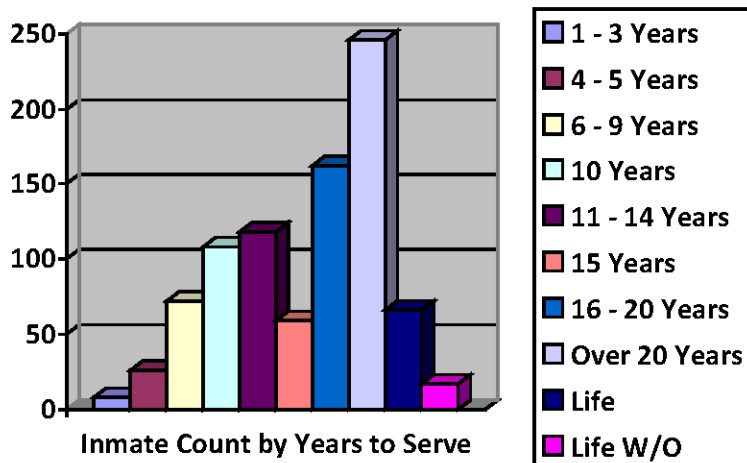
RACE	COUNT	PERCENTAGE
White	628	71.20%
Black	229	25.97%
Other	25	2.83%
Total	882	100.00%



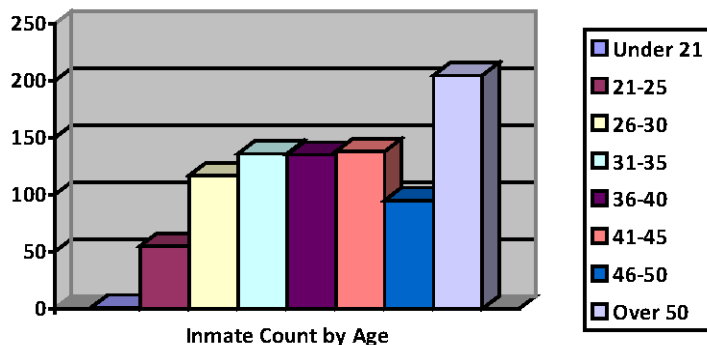
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	110	12.47%
Other	16	1.81%
Property	391	44.33%
Sex	90	10.20%
Violent	275	31.19%
Total	882	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	8	0.91%
4 - 5 Years	26	2.95%
6 - 9 Years	72	8.16%
10 Years	108	12.24%
11 - 14 Years	118	13.38%
15 Years	59	6.69%
16 - 20 Years	162	18.37%
Over 20 Years	246	27.89%
Life	66	7.48%
Life W/O	17	1.93%
Total	882	100.00%



AGE	COUNT	PERCENTAGE
Under 21	1	0.11%
26-30	55	6.24%
21-25	117	13.27%
31-35	136	15.42%
36-40	135	15.31%
41-45	138	15.64%
46-50	95	10.77%
Over 50	205	23.24%
Total	882	100.00%



# Luther Luckett Correctional Complex



## Warden

Amy Robey

## Deputy Warden– Security

Patricia Gunter

## Deputy Warden– Programs

Rebecca Barker

## Deputy Warden– Operations

Vacant

## Administrative Assistant

Kayla Cantrall

## Address

1612 Dawkins Rd.

LaGrange, KY 40031

## 2021 Average Daily Population

1,028

## Total Number of Staff

210

## Security Level

Medium

## Overview

The Luther Luckett Correctional Complex (LLCC) was built in direct

response to the 1980 Federal Consent Decree. LLCC was named in honor of Mr. Luther Luckett, an employee of the Department of Corrections, who served DOC for over 22 years. The facility stands as a tribute to him and exemplifies his dedication and commitment to duty and excellence in correctional management.

The first offenders were received at LLCC in March 1981. The institution was originally designed to house 486 offenders. In 1990, in response to the growing prison population in Kentucky, the institution double-bunked general population cells. This allowed LLCC to double the number of offenders that could be housed at the institution.

LLCC is unique when compared to the 11 other correctional facilities that comprise Adult Institutions. The institution houses the Kentucky Correctional Psychiatric Center (KCPC), which is administered by the Kentucky Cabinet for Health and Family Services. KCPC opened in 1981, in part, as a replacement for the Grauman Unit, a forensic housing unit, at Central State Hospital. KCPC operates behind the perimeter fence of LLCC. This provides the sister agency with a safe and secure environment to complete the unique mission it has been tasked with. The memorandum of understanding between LLCC and KCPC and related administrative protocols has ensured a successful partnership for over 40 years.

LLCC is committed to supporting the mission of the Kentucky



*Amy Robey  
Warden*

Department of Corrections: “To protect the citizens of the Commonwealth and to provide a safe, secure and humane environment for staff and offenders in carrying out the mandates of the legislative and judicial processes; and, to provide opportunities for offenders to acquire skills which facilitate non-criminal behavior.” Executive staff at the institution are also highly committed to supporting the continued professional development of institutional personnel in order to prepare them for leadership roles within DOC and the greater local community.

## Highlights

- The medical department was renovated to construct a new nurses’ station and treatment rooms.
- The Special Management Unit redesigned 4 cells into safe single cells. The cells are utilized for those offenders on safety watch.
- A new concrete pad was installed for the emergency lane from 7 Baker to 7 Adam.
- The academic school was renovated to add walls for classrooms and the flooring was replaced.
- Tablets were issued to the offender population. Video visitation

## Luther Luckett Correctional Complex

terminals were added to the gym for video visitation.

- Body scanners were placed at the LLCC entrance for staff searches and in the transport department for inmate searches.
- A new fence and razor wire were installed around the armory.
- New institutional diesel and gas fuel tanks for equipment were installed.
- A new ambulance golf cart was purchased, and a new storage building was built.

### ***Staff Recruitment, Development, and Retention***

The Personnel department is primarily recruiting via social media and hiring websites. LLCC staff attended several recruitment events in 2021, including job fairs, a booth at the Kentucky State Fair, and an immediate hiring event in Oldham County. LLCC has begun expanding our networking on LinkedIn to attract a larger pool of candidates. LLCC is also posting jobs on the Indeed website. LLCC continues to emphasize the importance of consistent staff training and employee retention and continues to collaborate with Job Corps to recruit and hire candidates.

### ***Staffing***

Security staff at LLCC have been assigned to work 12-hour shifts, five days per week since December 2015. Hiring and recruiting efforts have continued to decline in 2021. This decline is likely related to increased employment opportunities in the nearby city of Louisville and the continued concerns regarding COVID-19.



*Patricia Gunter  
Deputy Warden*



*Rebecca Barker  
Deputy Warden*

### ***Security Areas***

LLCC maintains a secure perimeter, including three operational towers. An external patrol vehicle monitors the outside perimeter of the institution 24 hours a day/seven days a week. All perimeter fences have extensive razor wire, and a motion detection system detects motion that occurs near the interior/exterior fence. LED lighting is used throughout the institution, which includes the residential areas, recreation field, and all perimeter lights. LLCC currently has 620 operational cameras to assist with monitoring all areas of the institution.

### ***Programmatic and Operational Areas***

LLCC provides numerous opportunities for offenders to engage in educational pursuits, including literacy, Adult Basic Education, GED, college courses, and vocational training. Additionally, the institution offers a variety of evidence-based and life skills programs to assist residents in rehabilitation and successful integration into the community. The programs available at LLCC

include the Sex Offender Treatment Program, Healthy Lifestyles Program, Moral Reconciliation Therapy, Parenting, Anger Management, trauma-based Seeking Safety, Portal New Directions, and more. Residents at LLCC also participate in individualized release planning with the reentry coordinator in the Reentry Resource Center.

LLCC offers a fitness and recreation program focused on maintaining health and reducing idleness. The fitness and recreation program hosts various sports leagues and other opportunities for physical activities, as well as an arts and crafts club and a band room.

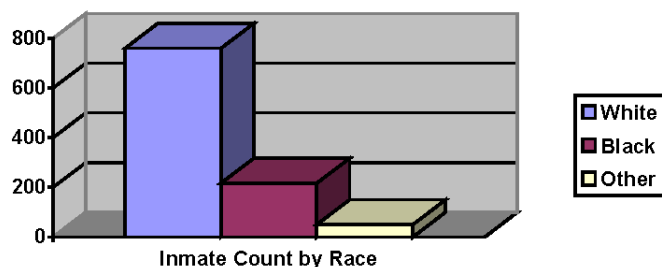


*Inmate  
electronic  
tablet*

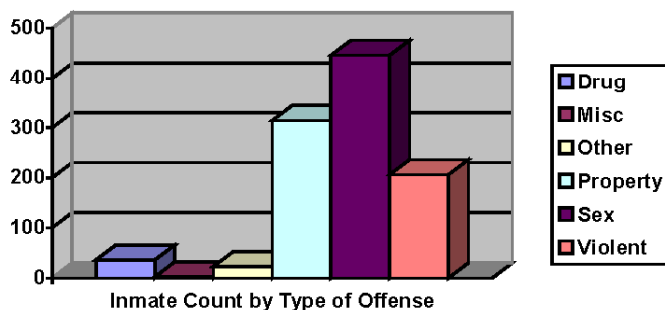
# Luther Luckett Correctional Complex

## Demographic Data

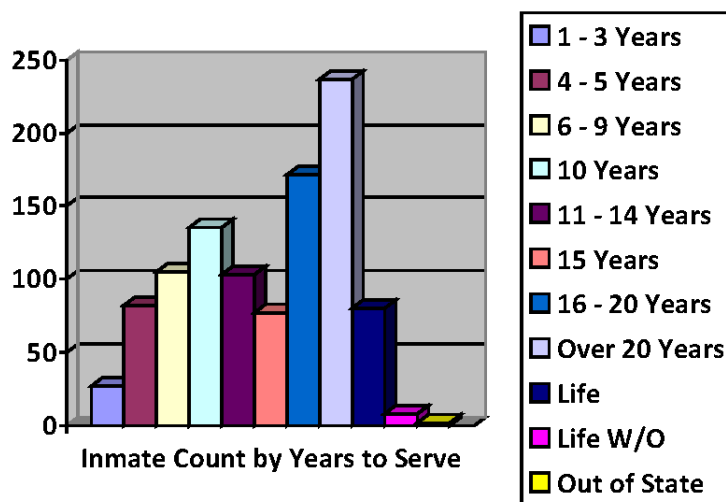
RACE	COUNT	PERCENTAGE
White	760	74.00%
Black	217	21.13%
Other	50	4.87%
Total	1027	100.00%



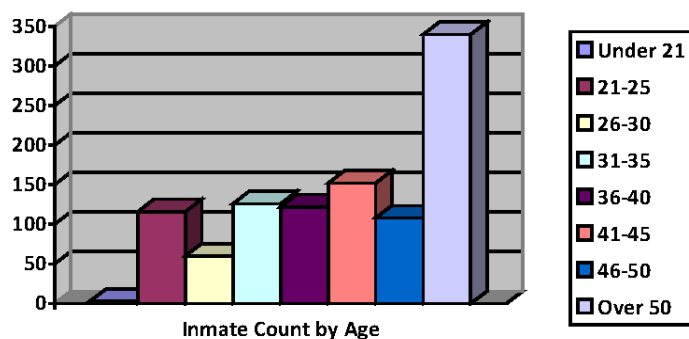
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	36	3.51%
Misc	2	0.19%
Other	23	2.24%
Property	314	30.57%
Sex	446	43.43%
Violent	206	20.06%
Total	1027	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	27	2.63%
4 - 5 Years	82	7.98%
6 - 9 Years	105	10.22%
10 Years	135	13.15%
11 - 14 Years	103	10.03%
15 Years	77	7.50%
16 - 20 Years	171	16.65%
Over 20 Years	237	23.08%
Life	80	7.79%
Life W/O	8	0.78%
Out of State	2	0.19%
Total	1027	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.29%
21-25	60	5.84%
26-30	116	11.30%
31-35	126	12.26%
36-40	122	11.88%
41-45	152	14.80%
46-50	108	10.52%
Over 50	340	33.11%
Total	1027	100.00%



## Northpoint Training Center



### Warden

Brad Adams

### Deputy Warden - Security

Daniel Napier

### Deputy Warden - Programs

Stephany Hughes

### Deputy Warden - Operations

Shea Hawkins

### Administrative Assistant

Kelly McGinnis

### Address

710 Walter Reed Road  
Danville, KY 40422

### 2021 Average Daily Population

1,116

### Total Number of Staff

217

### Security Level

Medium

### Overview

Northpoint Training Center (NTC) is a medium security correctional facility for adult male offenders. The facility is located in northern Boyle County near Danville, Kentucky.

DOC received control of the property in January 1983. NTC was initially conceived as a minimum-security institution for fewer than 500 inmates. The mission rapidly changed to the development of a medium-security institution with a proposed population of 700 inmates. The institution has expanded over the years to a bed capacity of 1,270.

NTC consists of 551 acres. General population inmates are housed in open-bay dormitories within a secure perimeter. Restrictive Housing Unit inmates are housed in single cells in a 60-bed segregated structure separated from the main compound. There are 54 minimum security inmates housed in dormitories outside the secure perimeter.

The perimeter of the compound is a combination of two parallel 12-foot fences with razor wire on the bottom, middle, and top. The inner perimeter fence has a sensor system that alerts the main control, four armed wall towers, an outside patrol, control center, and over 700 state of the art security cameras have been installed to monitor inmate activities.

### Highlights

- Two range towers were built on the firing range.
- The rear of the perimeter was repaved.



Brad Adams  
Warden

- Asbestos abatement was completed in the old boiler room.
- Whole body imaging scanners were installed in the secure perimeter entrance, visitation area, and main yard.
- Passed PREA audit in September 2021. Not only was NTC compliant on all standards, NTC was found to have substantially exceeded the requirements of 13 standards. This represents the all-time highest number of exceeded standards amongst any adult institution in the state.
- A new roof was installed on the water treatment plant. There are future plans to make this building a multipurpose building for outside detail (OSD) inmates.



## Northpoint Training Center



*Daniel Napier  
Deputy Warden*



*Stephany Hughes  
Deputy Warden*



*Shea Carlson  
Deputy Warden*

- New heating and air was installed in the institution training room and in Post One.
- New roofing was installed on the lower warehouse building.
- Concrete was installed on the firing range.
- NTC successfully achieved ACA re-accreditation in September 2021.



*New blacktop in rear of the institution*



*New towers on firing range*



*New body scanner*

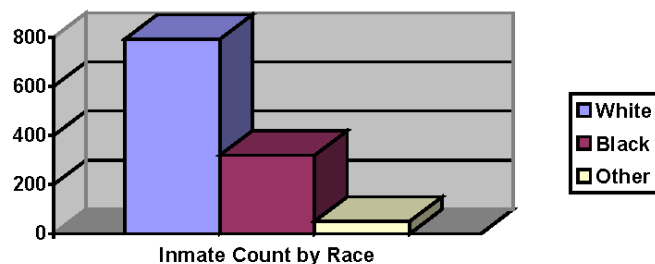


*New concrete at NTC's firing range*

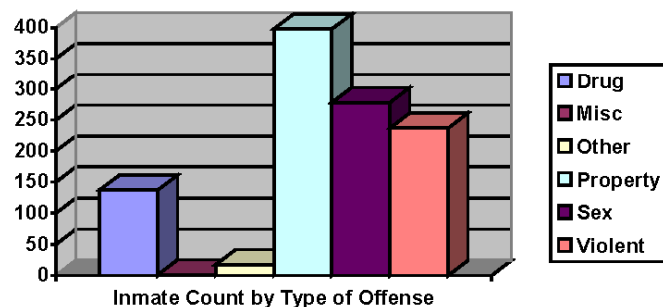
# Northpoint Training Center

## Demographic Data

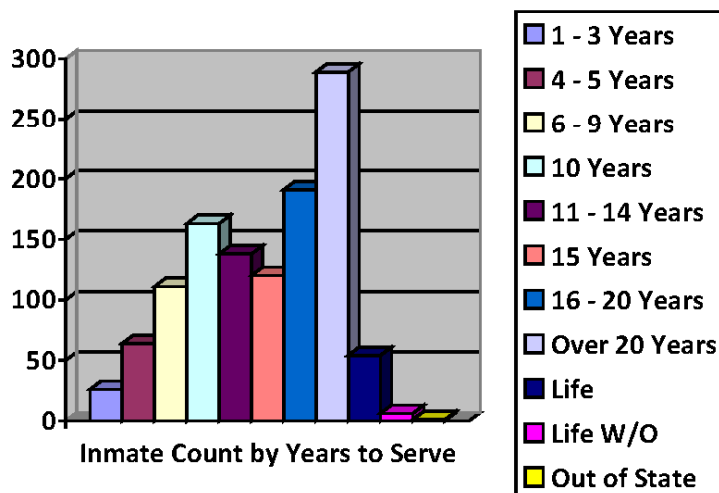
RACE	COUNT	PERCENTAGE
White	792	68.09%
Black	320	27.52%
Other	51	4.39%
Total	1163	100.00%



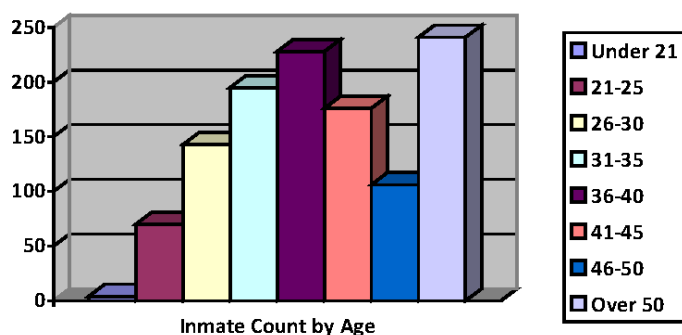
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	127	10.92%
Misc	1	0.09%
Other	16	1.38%
Property	451	38.77%
Sex	308	26.48%
Violent	260	22.36%
Total	1163	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	26	2.24%
4 - 5 Years	64	5.50%
6 - 9 Years	111	9.54%
10 Years	163	14.02%
11 - 14 Years	138	11.87%
15 Years	120	10.32%
16 - 20 Years	191	16.41%
Over 20 Years	289	24.85%
Life	54	4.64%
Life W/O	6	0.52%
Out of State	1	0.09%
Total	1163	100.00%



AGE	COUNT	PERCENTAGE
Under 21	4	0.34%
21-25	70	6.02%
26-30	143	12.30%
31-35	195	16.77%
36-40	228	19.60%
41-45	176	15.13%
46-50	106	9.12%
Over 50	241	20.72%
Total	1163	100.00%



## Roederer Correctional Complex



### Warden

Sarah Jessie Ferguson

### Deputy Warden - Security

Arnold Chisholm

### Deputy Warden - Programs

Jessica Durrett

### Administrative Assistant

Patti M. Ray

### Address

P.O. Box 69  
4000 Morgan Road,  
LaGrange, KY 40031

### 2021 Average Daily Population

870

### Total Number of Staff

199

### Security Level

Close/Medium, Minimum/  
Community

### Overview

The Roederer Correctional Complex

(RCC) opened August 28, 1976, as a 150-bed minimum-security “work camp,” responsible for the farming operation of approximately 3,000 acres. In December 1987, the first of several transitions occurred. The institution converted to a medium-security facility and increased the offender population to 252. In 1989, with the transfer of the Assessment and Classification Center from the Kentucky State Reformatory, the offender population increased to 435. The double bunking of existing living areas and utilization of five trailers for additional housing options achieved this expansion. In May 2016, 36 offenders relocated from KSR to the RCC Minimum Security Unit, increasing its capacity to 108 beds. In May 2017, 50 medium offenders were added to the Unit 3 permanent housing, increasing the permanent population to 200. Currently, RCC has five separate units, with a maximum capacity of 1,238 offenders.

RCC is a dynamic facility, with three distinct areas. The Assessment and Classification Center processes all incoming male felons, with the exception of those sentenced to death row. Only 2,000 incoming offenders were processed this year due to COVID-19 protocols. The Assessment Center is responsible for the classification of offenders for the Class D/Class C Program (approximately 8,500 this year due to COVID-19).

RCC houses the Controlled Intake Records Office, which calculates all felony sentence calculations (over 15,500 files this year due to



*Jessie Ferguson*  
Warden

COVID-19). Offenders permanently assigned to RCC provide support services for the institution. RCC currently has 200 medium-custody permanently assigned offenders who work in the maintenance department, kitchen, laundry, legal office, grievance office, as well as other areas necessary for the daily operation of the facility. Additionally, minimum security units at RCC have a maximum capacity of 308 offenders and is the location for a 200-bed substance abuse program (SAP) at the facility and 108 offenders who provide support for RCC, LLCC and KSR.

### Highlights

- In October 2021, the warden’s conference room was updated with new computer technology and a widescreen television was added.
- A Reentry position was added, and an additional office built.
- An above ground diesel tank to run Unit 3 generator was installed.
- A security gate was added to the identification department.

### Programmatic and Operational Areas

- Kiosks were added to Units 4 and 5.

## Roederer Correctional Complex

- Bookcases and microwave shelves were installed in all housing units.
- Stairwell threads and landings were repainted with nonskid paint.
- Concrete was poured for the back dock in Unit 5.
- New basketball goal was installed in Unit 5 yard.
- Minimum Security Unit Reentry office was opened.
- HVAC-Trane unit was added to Unit 5 roof.
- Roof construction of Unit 5 was completed.
- Additional lighting was added to Unit 4 recreation yard.
- Repair on farm hoop barns was completed.
- Fence was constructed around hay lot at the old hog barns.
- Feed lots fencing was repaired and rebuilt.
- New ice machine was installed in Unit 3.
- Larger televisions were installed in all Assessment Center wings.
- New cardio equipment was added in Unit 3 and Unit 5.



*Jessica Durrett  
Deputy Warden*



*Arnold Chisholm  
Deputy Warden*

- Sidewalk was added from the warehouse parking to the front of Unit 4.
- Bathroom was added in the warehouse.
- Desks were installed in Unit 3 inmate cells (total of 200).

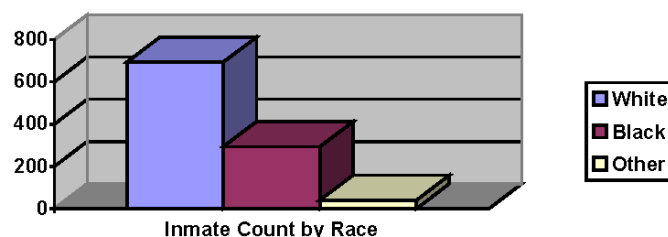


*Left: New Reentry office  
Above: ID security gate  
Right top: Diesel tank Unit 3  
Right bottom: RCC conference room*

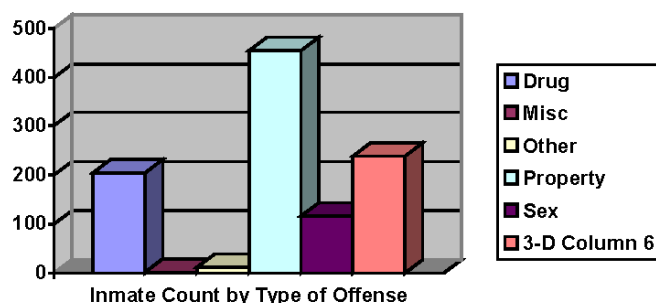
# Roederer Correctional Complex

## Demographic Data

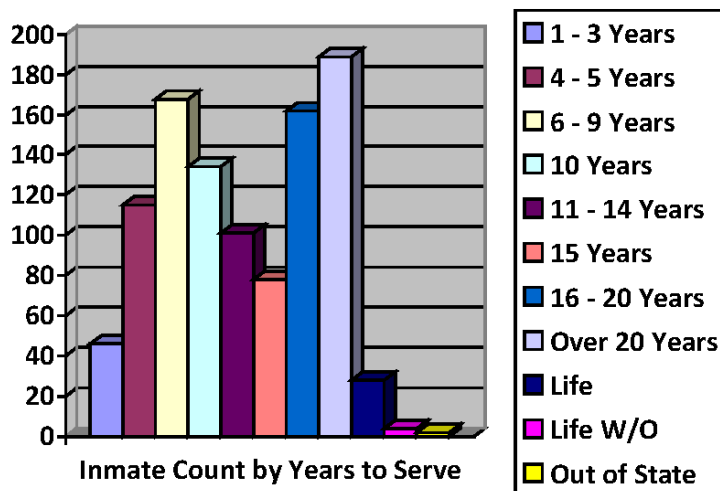
RACE	COUNT	PERCENTAGE
White	694	67.58%
Black	293	28.53%
Other	40	3.89%
Total	1027	100.00%



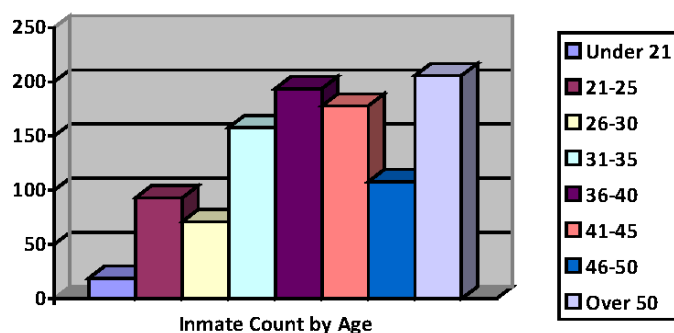
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	204	19.86%
Misc	2	0.19%
Other	12	1.17%
Property	455	44.30%
Sex	116	11.30%
Violent	238	23.18%
Total	1027	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	46	4.48%
4 - 5 Years	115	11.20%
6 - 9 Years	168	16.36%
10 Years	134	13.05%
11 - 14 Years	101	9.83%
15 Years	78	7.59%
16 - 20 Years	162	15.78%
Over 20 Years	189	18.40%
Life	28	2.73%
Life W/O	4	0.39%
Out of State	2	0.19%
Total	1027	100.00%



AGE	COUNT	PERCENTAGE
Under 21	19	1.85%
26-30	93	9.06%
21-25	71	6.91%
31-35	158	15.38%
36-40	194	18.89%
41-45	178	17.33%
46-50	108	10.52%
Over 50	206	20.06%
Total	1027	100.00%



## Southeast State Correctional Complex



### Warden

Craig Hughes

### Deputy Warden - Security

James Back

### Deputy Warden - Programs

Belinda Sanchez

### Address

327 Corrections Road  
Wheelwright, KY 41669

### 2021 Average Daily Population

262

621 (Capacity)

### Total Number of Staff (at capacity)

232, 47 contract

### Security Level

Medium

housed minimum-security inmates. The Corrections Corporation of America (CCA) acquired the facility in 1998. CCA named the facility the Otter Creek Correctional Complex (OCCC). In 1999, CCA expanded the capacity by 256 beds after building a dorm with 128 cells. They housed Kentucky male inmates until 2005 when Kentucky needed an additional female facility. Consequently, CCA contracted with Hawaii to house 150 Hawaiian females.

The facility officially closed in 2012. In October 2019, Governor Matt Bevin signed a contract to lease the facility, which was renamed the Southeast State Correctional Complex (SSCC), to be operated as a state facility by the Department of Corrections. In May 2020, Governor Andy Beshear announced the reopening of SSCC and in June 2020, a team of Kentucky officials toured the facility with CoreCivic officials.



*Craig Hughes*  
Warden

### Highlights

- SSCC has had several accomplishments to be proud of in 2021. The staff at Southeast State Correctional Complex continuously work hard to achieve goals and improve the facility. SSCC's corrections emergency response team (CERT) has had several accomplishments, including assisting with the search of a local missing toddler. Fortunately, the toddler was found safe.



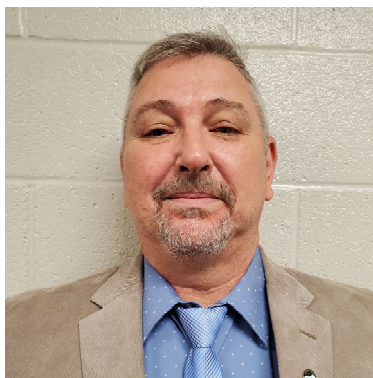
*Administration Building*

### Overview

The U.S. Corrections Corporation designed, built and managed the original facility in 1993. The facility had one open wing dorm, which

## Southeast State Correctional Complex

- On a positive note, SSCC had its first substance abuse program graduation in September 2021.
- The education program at the facility opened to the inmate population in June 2021. Since then, several students have been moved from adult basic education to the GED program.
- In preparation of the 2022 PREA audit SSCC successfully passed the mock PREA audit in November 2021.
- The upper parking lot area was completed in order to allow additional employee parking.
- Craig Hughes was named Warden in December 2021.
- Moving into 2022, SSCC will continue to make improvements



*James Back  
Deputy Warden*



*Belinda Sanchez  
Deputy Warden*

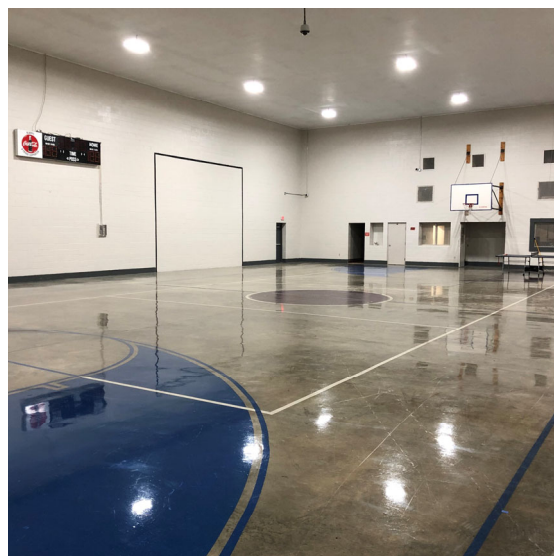
on the physical plant, operating procedures and security. SSCC will install meaningful evidence-based programs as its inmate population increases, as well as recruit individuals to bring staffing to full capacity.



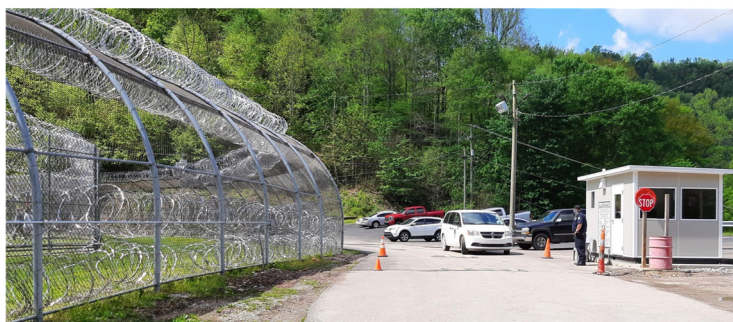
*Inside a dormitory*



*Dormitory hallway*



*Gymnasium*



*Entrance/Post One*

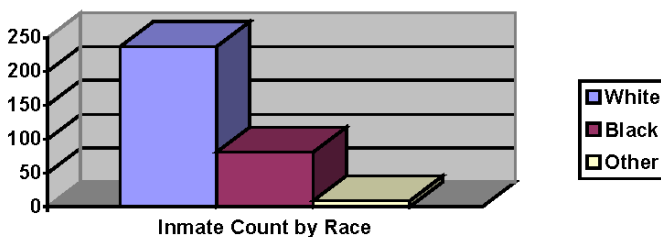


*Dining hall*

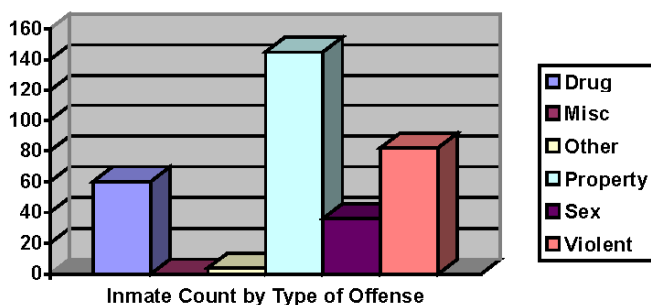
# Southeast State Correctional Complex

## Demographic Data

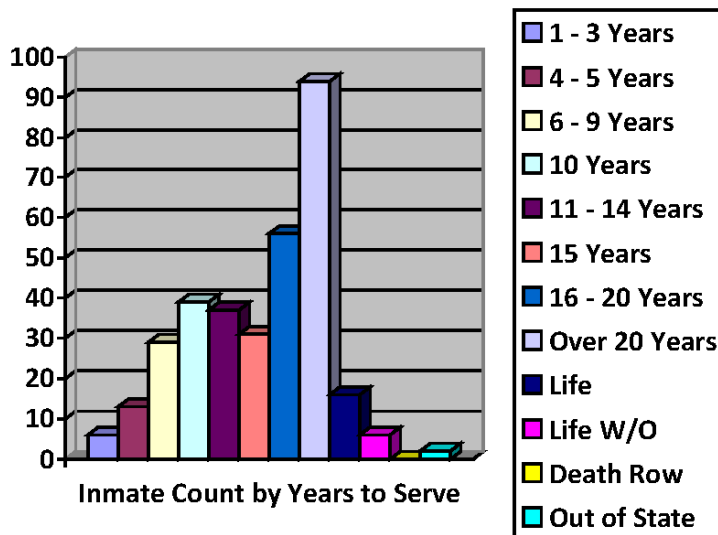
RACE	COUNT	PERCENTAGE
White	237	72.48%
Black	81	24.77%
Other	9	2.75%
Total	327	100.00%



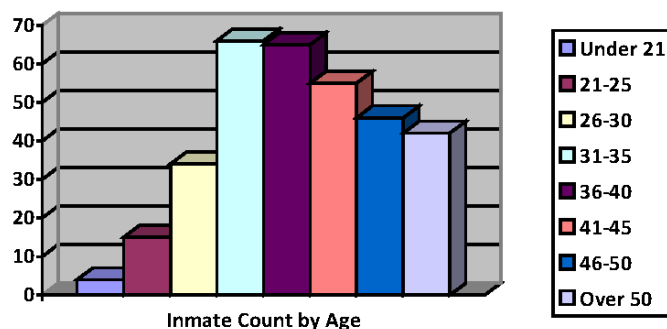
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	60	18.35%
Misc	0	0.00%
Other	4	1.22%
Property	145	44.34%
Sex	36	11.01%
Violent	82	25.08%
Total	327	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	6	1.83%
4 - 5 Years	13	3.98%
6 - 9 Years	29	8.87%
10 Years	39	11.93%
11 - 14 Years	37	11.32%
15 Years	31	9.48%
16 - 20 Years	56	17.13%
Over 20 Years	94	28.75%
Life	16	4.88%
Life W/O	6	1.83%
Total	327	100.00%



AGE	COUNT	PERCENTAGE
Under 21	4	1.22%
21-25	15	4.59%
26-30	34	10.40%
31-35	66	20.18%
36-40	65	19.88%
41-45	55	16.82%
46-50	46	14.07%
Over 50	42	12.84%
Total	327	100.00%



## Western Kentucky Correctional Complex



### Warden

Bobbi Jo Butts

### Deputy Warden– Security

Jon Tangerose

### Deputy Warden– Programs

Chris Hatton

### Administrative Assistant

Regina Moore

### Address

374 New Bethel Church Rd,  
Fredonia, KY 42411

### 2021 Average Daily Population

463

### Total Number of Staff

164

34 - Contract

### Security Level

Medium

### Overview

The Western Kentucky Correctional Complex (WKCC) was constructed in 1968 as a satellite facility of the

Kentucky State Penitentiary. In 1977, this facility became a separate minimum institution called the Western Kentucky Farm Center. In 1989, the institution was converted to a medium-security facility. The name of the institution was changed to Western Kentucky Correctional Complex in July 1990. In 2010, the institution converted to a female institution. In 2015, what was formerly known as the Minimum-Security Unit for WKCC became the Ross-Cash Center, housing 200 female inmates, and Western Kentucky Correctional Complex transitioned back to a male population.

In April 2016, the Ross-Cash Center became a subordinate center, resulting in Western Kentucky Correctional Complex becoming a co-ed facility. The institution houses 693 inmates. It includes 44 cells with two additional observation cells in a Special Management Unit, 477 medium security male inmates are housed in three open wing dormitories within the secured perimeter, and 200 minimum security female inmates are housed in two open wing dormitories outside of the secured perimeter. Inmates are offered academic, vocational and cognitive thinking programs along with employment opportunities that prepare them to be capable of contributing to society in a positive manner upon release. The facility has been accredited by the American Correction Association since 1988, with the most recent audit in May 2021 when the facility scored 99.8%.



*Bobbi Jo Butts  
Warden*

WKCC has a farming operation, situated on 2,200 acres, with a herd of 800 beef cows, 405 acres of corn, 300 acres of wheat and 355 acres of soybeans. WKCC also operates a regional recycling center that partners with six surrounding counties that has resulted in an average landfill savings of 500 tons per year.

### Highlights

- On January 1, 2021, WKCC's Ross-Cash Center was announced as recovered from the COVID-19 quarantine lockdown that began on November 14, 2020. A COVID lockdown was reinstated for the periods of February 5 - February 27, August 9 - August 24, and September 6 - October 4.
- On January 14, 2021, the state set up a regional COVID-19 vaccination site allowing correctional officers and other essential staff members the opportunity to receive a vaccine.
- On February 17, 2021, WKCC was placed on quarantine lockdown due to COVID-19 positive inmates. This was the first time the compound encountered positive inmates since the pandemic started in March 2020. COVID claimed the lives of four male inmates in the month of

## Western Kentucky Correctional Complex

March 2021 prior to the facility being announced as recovered on April 7, 2021. WKCC's compound returned to a COVID positive environment on August 16, 2021, and remained on lockdown status until September 27, 2021.

- On March 1, 2021, security supervisors' hours were revised in response to staff shortages resulting from the pandemic. Supervisor shifts were extended to 12 hours. Eight-hour shifts resumed on March 21, 2021.
- On March 1, 2021, mandated post closures were implemented in response to staffing needs due to the pandemic lockdown. All Ross-Cash inmates were moved to Clarke Dorm. The following compound posts were closed: C-Dorm (officer 2), Collins Dorm officer, Medical officer, 3- Stand officer (excluding midnight shift), SMU (officer 2 – day shift), Yard (officer 2R), and Ross-Cash Yard officer.
- On March 22, 2021, cable television was added to the visitation area.
- On March 29, 2021, Moral Reconciliation Therapy programs and New Directions resumed meeting at the Ross-Cash Center.
- March 29-31, 2021, the facility participated in a PREA audit.
- On April 7, 2021, distribution of COVID-19 vaccines for the inmate population began at the Ross-Cash Center. Vaccines continued April 8 with the male population on the compound.
- On April 16, 2021, representatives from Reentry and the Department



*Jon Tangerose  
Deputy Warden*



*Chris Hatton  
Deputy Warden*

of Transportation made an initial visit to the facility to issue REAL IDs to inmates.

- On May 16, 2021, security staff transitioned from three 8-hour shifts to two 12-hour shifts.
- On May 26, 2021, representatives from the Pennyryle Region Health Department visited the facility and conducted biometric screenings for 50 staff.
- On May 27, 2021, religious services resumed for inmates. All services had been suspended due to the COVID-19 pandemic.
- In September 2021, the process began to install body scanners in the entryway of the administration building, compound's visitation building, and entryway at Ross-Cash.
- On September 8, 2021, COVID claimed the life of WKCC's Correctional Officer Jonathan Tosh.
- On September 29, 2021, COVID claimed the life of WKCC's Correctional Officer Tom Beard.
- On October 6, 2021, a Critical Incident Stress Debriefing (CISD) Team visited the facility

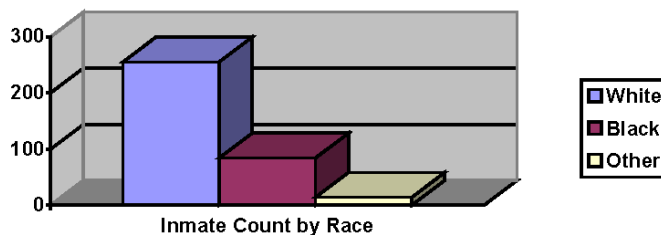
to provide support to staff members suffering from the loss of co-workers.

- On October 27, 2021, representatives from the Pennyryle Health Department visited the facility to provide flu shots to staff. Twenty-six staff members participated.
- Vaccine clinics allowing inmates the opportunity to receive COVID-19 boosters were conducted in November.
- Proceeds received from the farm for the year totaled \$720,756.38. The breakdown by category is as follows: cattle \$196,628.52; corn \$302,726.38; soybeans \$221,401.48.
- Approximately 65 new cameras were added in 2021, to include replacing older models with new HD versions.

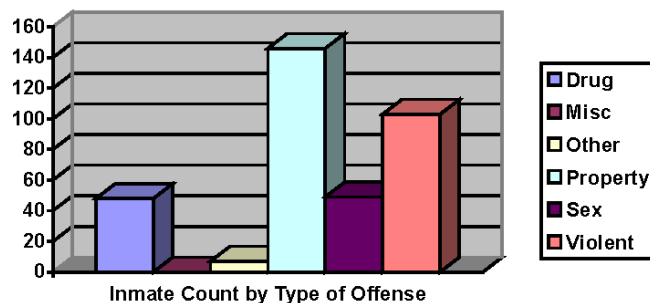
# Western Kentucky Correctional Complex

## Demographic Data

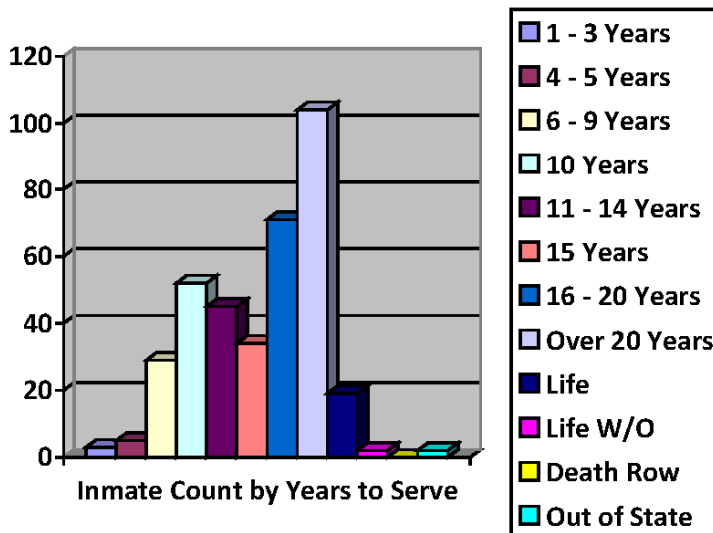
RACE	COUNT	PERCENTAGE
White	255	72.23%
Black	84	23.80%
Other	14	3.97%
Total	353	100.00%



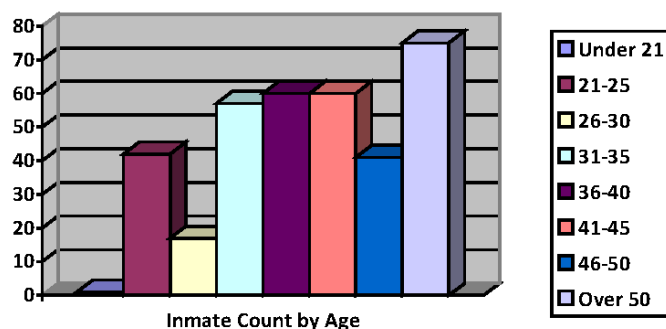
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	48	13.60%
Misc	0	0.00%
Other	7	1.98%
Property	146	41.36%
Sex	49	13.88%
Violent	103	29.18%
Total	353	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	3	0.85%
4 - 5 Years	12	3.40%
6 - 9 Years	28	7.93%
10 Years	50	14.16%
11 - 14 Years	55	15.58%
15 Years	39	11.05%
16 - 20 Years	65	18.41%
Over 20 Years	80	22.66%
Life	20	5.67%
Life W/O	1	0.29%
Total	353	100.00%



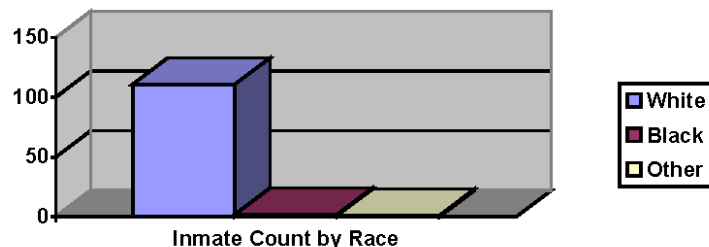
AGE	COUNT	PERCENTAGE
Under 21	1	0.28%
21-25	42	11.90%
26-30	17	4.82%
31-35	57	16.14%
36-40	60	17.00%
41-45	60	17.00%
46-50	41	11.61%
Over 50	75	21.25%
Total	353	100.00%



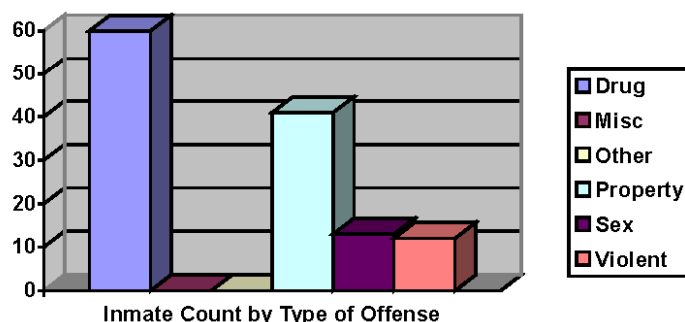
## Ross-Cash

**Demographic Data**

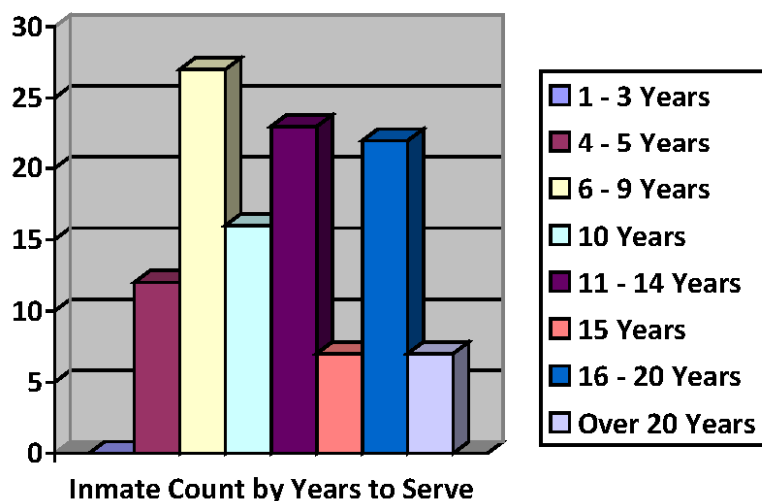
RACE	COUNT	PERCENTAGE
White	111	97.37%
Black	2	1.75%
Other	1	0.88%
Total	114	100.00%



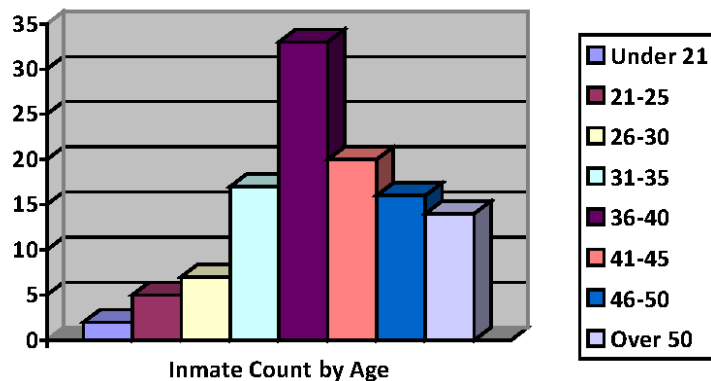
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	60	52.64%
MISC	0	0.00%
Other	0	0.00%
Property	41	35.96%
Violent	13	11.40%
Total	114	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	0	0.00%
4 - 5 Years	12	10.53%
6 - 9 Years	27	23.68%
10 Years	16	14.04%
11 - 14 Years	23	20.18%
15 Years	7	6.14%
16 - 20 Years	22	19.30%
Over 20 Years	7	6.13%
Total	114	100.00%



AGE	COUNT	PERCENTAGE
Under 21	2	1.75%
21-25	5	4.39%
26-30	7	6.14%
31-35	17	14.91%
36-40	33	28.95%
41-45	20	17.54%
46-50	16	14.04%
Over 50	14	12.28%
Total	114	100.00%



## Lee Adjustment Center



### Warden

Daniel Akers

### Assistant Warden

James Briggs

### Address

168 Lee Adjustment Center Dr.  
Beattyville, KY 41311

### 2021 Average Daily Population

740

### Total Number of Staff

206 (CoreCivic Staff)

### Security Level

Medium

### Overview

Owned and operated by CoreCivic, the Lee Adjustment Center is 866-bed medium-security correctional facility contracted by the Kentucky Department of Corrections to house adult male offenders. This contract was awarded in late 2017, with the first offenders arriving at the facility mid-March 2018.

The facility also housed offenders for the DOC from September 1990

through June 2010, originally as a minimum-security facility, but upgraded to medium security in July 1998.

Lee Adjustment Center is located approximately one mile northeast of town of Beattyville on a 98-acre parcel of land. The secure compound includes an estimated 11 acres that is surrounded by a double perimeter fence and equipped with a non-lethal electric stun fence. Included within the compound but separated by cross-fencing from inmate accessible areas, is an old family cemetery that is maintained by the facility. No burials have occurred since the facility was established and rarely does anyone from the public request a visit to the cemetery.

The facility is currently accredited by the American Correctional Association under the 4<sup>th</sup> edition Adult Correctional Institution



*Daniel Akers  
Warden*

Standards, having achieved this accomplishment in January 2020. LAC is currently preparing for re-accreditation under the Association's 5<sup>th</sup> edition standards with an audit scheduled for August 2022. Lee Adjustment Center achieved PREA accreditation in July 2020 and will be up for re-accreditation sometime in 2023.

### Highlights

As was the case for much of the prior

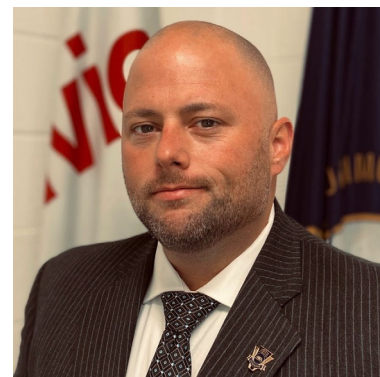


*Administration building, medical building and west dormitory*

# Lee Adjustment Center



*North Dorm*



*James Briggs  
Deputy Warden*

calendar year, 2021 began with many COVID-19 restrictions remaining in place that affected the normal operations of the facility. Where possible, alternatives to traditional in-person group programming sessions were implemented. This allowed inmates to continue educational or other programing to better themselves and created a greater likelihood for them to reenter and become productive members of society upon release.

Some of the more significant accomplishments in the area of programing during 2021 included the awarding of 22 GED diplomas; 122

inmates completed the substance abuse program; and 140 inmates completed CoreCivic's Go Further reentry program. While these numbers likely would have been greater had it not been for the COVID-19 restrictions, they are considerable accomplishments given the circumstances.

Various capital expenditure projects were completed during 2021. These included several new ice machines for housing units and the kitchen.

Milestone video surveillance and recording system upgrades were made and an electronic key tracer

system was installed allowing many staff to check in and out their facility keys in a self-service manner.

Perhaps the most substantial upgrade to the physical plant during the year was the installation of new energy efficient LED lighting throughout the facility, including the perimeter lighting. A whole-body imaging scanning system was installed at the facility entrance in 2021, greatly increasing our ability to maintain a safe and secure facility for staff, visitors and the inmate population.



*Cemetery Hill/North Dorm*

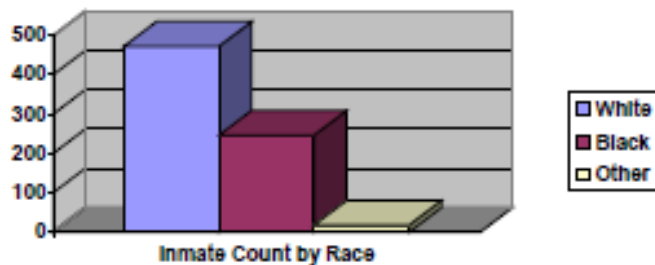


*Central Control/Visitation*

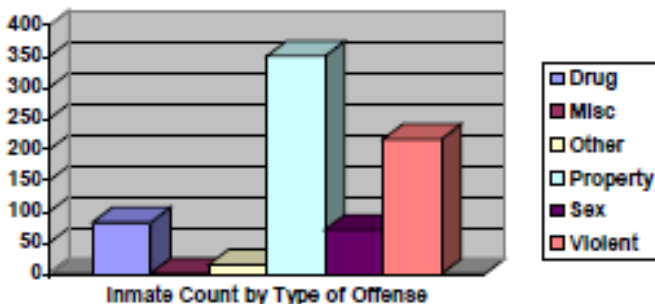
# Lee Adjustment Center

## Demographic Data

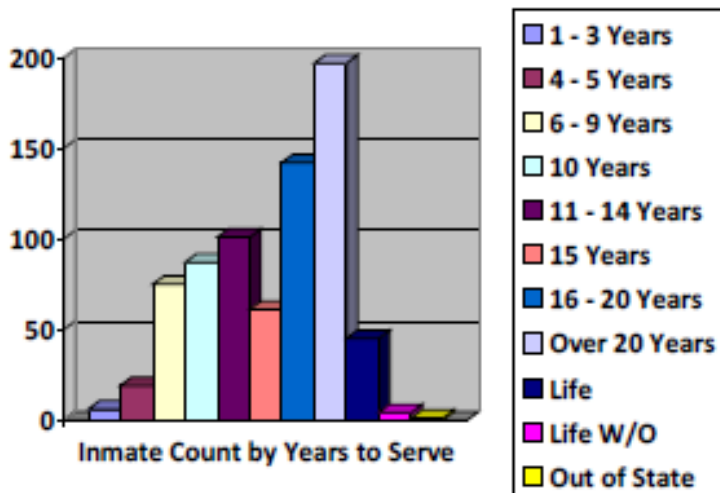
RACE	COUNT	PERCENTAGE
White	473	64.09%
Black	247	33.47%
Other	18	2.44%
Total	738	100.00%



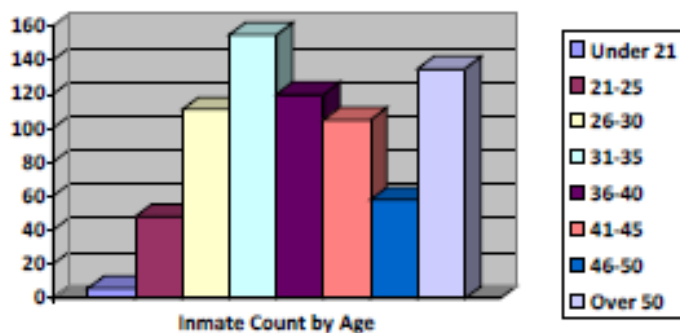
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	83	11.25%
Misc	1	0.14%
Other	15	2.03%
Property	351	47.56%
Sex	71	9.62%
Violent	217	29.40%
Total	738	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	6	0.81%
4 - 5 Years	19	2.57%
6 - 9 Years	75	10.16%
10 Years	87	11.79%
11 - 14 Years	101	13.69%
15 Years	61	8.27%
16 - 20 Years	142	19.24%
Over 20 Years	197	26.69%
Life	45	6.10%
Life W/O	4	0.54%
Out of State	1	0.14%
Total	738	100.00%



AGE	COUNT	PERCENTAGE
Under 21	6	0.81%
21-25	48	6.50%
26-30	111	15.04%
31-35	155	21.01%
36-40	120	16.26%
41-45	105	14.23%
46-50	58	7.86%
Over 50	135	18.29%
Total	738	100.00%



# TEAM KENTUCKY<sup>®</sup>

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