

Kentucky

Department of Corrections

2020 Annual Report



Letter From The Commissioner



JUSTICE AND PUBLIC SAFETY CABINET

Andy Beshear

Governor

Department of Corrections

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Cookie Crews

Commissioner

Justice Mary C. Noble, Ret.

Secretary

Ronnie Bastin

Deputy Secretary

The year 2020 was possibly the most challenging any of us have faced while working in Corrections. The COVID-19 pandemic greatly impacted not only our professional lives, but all aspects of our lives at home as well. In these uncertain times, we have endured many hardships and been forced to adjust our operations in ways we could never have imagined. However, as our department has repeatedly demonstrated, we will adapt and we will persevere.

Throughout the year, while working closely with the Department for Public Health, we took aggressive steps to mitigate the spread of the virus and provide COVID-19 testing for both staff and inmates in our prisons. As usual, we continued to make safety our top priority.

We successfully opened a new institution, Southeast State Correctional Complex located in Wheelwright, Kentucky. We created a Civil Rights Restoration website for prior offenders to determine eligibility for automatic restoration of civil rights. The Reentry Division introduced virtual programming, Telehealth Veteran's Services, and implemented a Transportation Voucher Pilot Program.

This annual report illustrates the amazing ways the department continues to evolve and innovate. It is important to remember that the strength and effectiveness of our operations is dependent on the hard work of all of our committed employees in our offices, institutions, and out in the field.

Without a doubt, our employees are our greatest asset. When we work together, it is clear that we can overcome any obstacles we may face.

Sincerely,

Cookie Crews

Cookie Crews

DOC Commissioner



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Office of the Commissioner



Cookie Crews, Commissioner

Ombudsman's Office
John Dunn, Staff Advisor

Communications Office
Lisa Lamb, PIO/Staff Assistant

Chief of Staff
Kim Potter-Blair

Legislative Office
Cyndi Heddleston, Staff Assistant

Safety Division
Hannah Gibson, Assistant Director

Office of Support Services
Hilarye Dailey, Deputy Commissioner

Division of Medical Services
Denise Burkett, Director

Health Services Division
Cookie Crews, Administrator

Division of Mental Health Services
Ruth Staples, Wellness Director

Office of Adult Institutions
Randy White, Deputy Commissioner

Office of Community Services & Facilities
Lisa Lamb, Deputy Commissioner

Communications Office



Lisa Lamb



*Katherine Williams
Public Information Officer*



*Niki Barnes
Public Information Officer*

Spokesperson

Lisa Lamb

Public Information Officers

Katherine Williams

Niki Barnes

Deputy Commissioner Lisa Lamb serves as the spokesperson for the Department of Corrections.

A native of Harlan, Lamb brought nearly 20 years of experience working with the media to her position with the DOC. In her communications role, she handles media calls and requests for information and interviews.

Lamb came to Corrections after six years with the Kentucky Press Association where she worked as the news bureau director, member services director, and as director of the Kentucky High School Journalism Association. She spent nearly 10 years with the Harlan Daily Enterprise and served as the newspaper's executive editor.

She is a graduate of Southeast Community College and attended Eastern Kentucky University and the University of Kentucky, majoring in journalism.

Overview

The Communications Office is responsible for managing and directing the department's internal and external communications. It is the point of contact for all media inquiries: responding to requests for interviews, arranging hearing coverage, and providing official statements. Press releases, including information on promotions, escapes and other newsworthy events, are written and distributed through this office.

In addition to Deputy Commissioner Lamb, there are two public information officers in this area. Katherine Williams acts as the secondary media contact, writes press releases, and serves as the department's administrative open records coordinator. Niki Barnes manages the department's official social media accounts, creates graphics and videos, and works on special projects.

This office prepares talking points and presentations for the commissioner and her staff, organizes and hosts the DOC Annual Awards Luncheon, and publishes the DOC annual report.

The Communications Office oversees the responsibilities of the Commissioner's Executive Leadership Program as well. Twenty-five participants graduated from the program in the May 2020 class. Additionally, this office coordinates efforts to revisit and implement proposed projects from previous leadership classes.

Lisa Lamb supervises the staff within the Communications Office and the Victim Services Branch.

Highlights

Due to the COVID-19 pandemic there were no media training sessions during 2020.

Over 13,000 likes were reached on the department's Facebook page and the number of followers for the DOC Twitter account increased 20% in 2020.

This office processed 162 open records requests this year.

Victim Services Branch

Branch Manager

Vacant

Victim Advocates:

Alexis Williams, Program Manager

Cristela Scanlon

Kim Crews

John Thorpe

Overview

The Victim Services Branch (VSB) is the arm of Corrections that handles requests for information from victims pertaining to their offenders. VSB staff provides a broad range of information-related services such as explanation of inmate release dates and release type; restitution information; service referrals; victim rights services and parole board hearings. VSB also manages the Kentucky VINE system, which encompasses three fully functioning victim notification services: Offender status, Court Hearings, and Protective Orders. VSB staff also travel the state to promote and train other criminal justice professionals and victim service agencies regarding the KY VINE Services and VSB functions.

Highlights

VSB staff did not get the opportunity to exhibit or attend any trainings this year due to COVID-19.

Due to COVID-19, victim advocates were unable to attend in-person victim hearings. VSB had to be creative so that they could continue to provide support to victims by contacting victims after the victim hearings via phone to answer any questions they had after their hear-

ings. Victim advocates also ensured that victims were registered with VINE and forms completed so that written notifications were received when offenders are released. Since COVID-19 began they have been unable to send out Thinking of You cards.

Cristela Scanlon was unable to physically visit county jails due to COVID-19 but was able to speak with jail staff in regards to the EOL, as well as doing training and signing up staff members via phone. Cristela continues to sit on the committee for KVAA (Kentucky Victim Assistance Academy), assisting with getting the academy ready with trainings for new and seasoned victim advocates. She also continues to be a part of and sit on the Sexual Assault Response Team Advisory Council (SART AC).

Alexis continues to sit on the KVAA committee, in addition to being a part of and sitting on the Sexual Assault Response Team Advisory Council (SART AC).

The Victim Services Branch wrote



Pictured above from left to right, victim advocates: Alexis Williams, Cristela Scanlon, Kim Crews and John Thorpe.

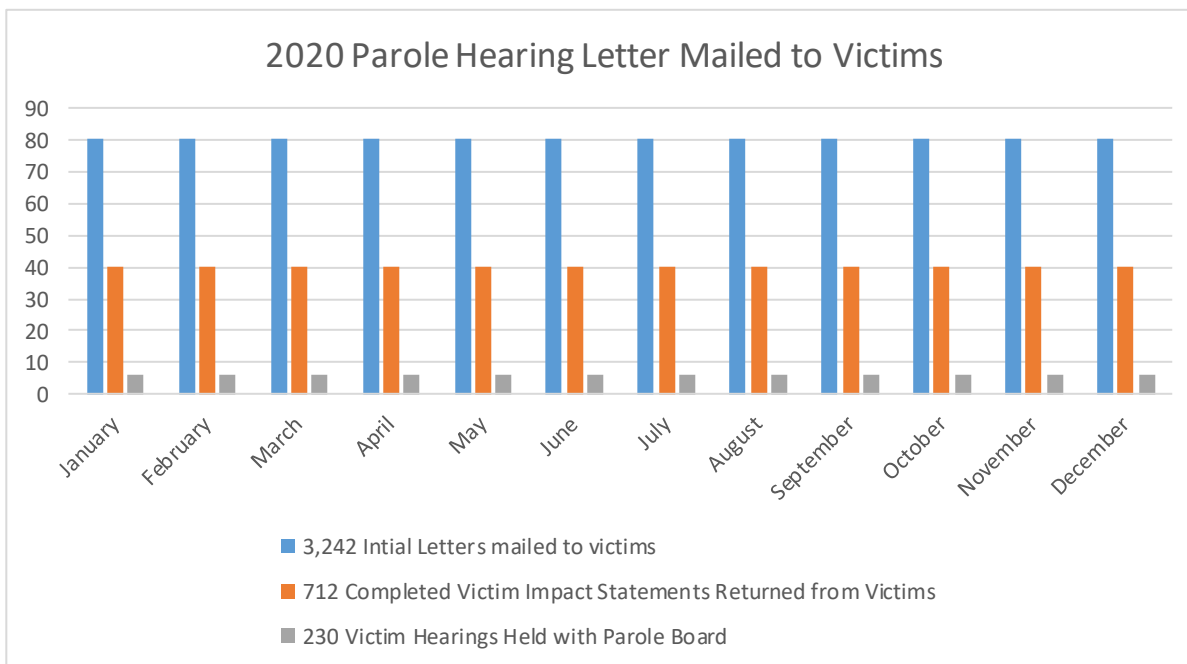
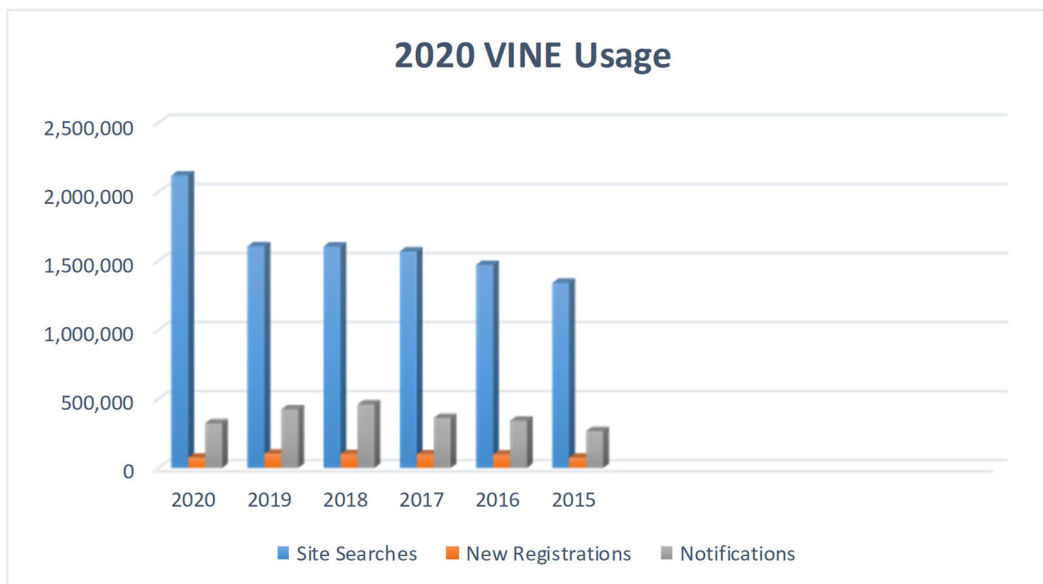
another grant requesting monies to upgrade our classic VINE to Enhanced VINE. VSB was awarded VOCA funding for the 2020-2021 award year of \$500,000. This money will assist in the implementation of Enhanced VINE. Enhanced VINE will be an upgrade to our current notification system and will allow victims the opportunity to now search for service providers as well as continue to register and receive notifications on offenders.

Advocates Kim Crews and John Thorpe continued their work of making manual notifications for offenders' upcoming parole hearings to victims. Kim and John have also had to make an adjustment on how they serve victims when it is related to the victim hearings. These hearings are now scheduled either via phone conference or Zoom. Kim and John take turns coming into the office on Mondays and handling these hearings. They mailed 3,242 initial notification letters on offenders who were serving on crimes defined in KRS 421.500. Of those initial letters, 712 victims

Victim Services Branch

provided a response to include a victim impact statement for the Parole Board to review. Of those responses, 230 requested a victim hearing with the Parole Board. These numbers do not include the parole outcome letters mailed to victims regarding the Parole Board's decision based on the victim's request.

During 2020, VINE saw an increase in the number of site searches and acquired 74,682 new registrations. The total number of notifications for 2020 for all three VINE services was 322,899. VINE searches for the year totaled 2,117,078. Of this, 361,199 searches were conducted via VINE mobile apps.



Ombudsman Office



John Dunn

Ombudsman

John Dunn

Administrative Specialist III

Julie Bowles

John Dunn serves as the ombudsman for the Department of Corrections and oversees the grievance process throughout the state.

Dunn began his Corrections career in April 1993. His first DOC position was at Northpoint Training Center as a correctional officer.

In 1999 he was promoted to a classification and treatment officer I at Northpoint, and two years later transferred to an administrative specialist III position at the prison. In 2004 he was promoted to a classification and treatment officer II at Frankfort Career and Development Center. In January 2006 Dunn was promoted to a program administrator at Central Office, supervising the home incarceration program as well as the reentry program. He held this position until September of that year when he was named as ombudsman.

Dunn also served 21 years in the National Guard and retired in February 2006 after achieving the rank of major.

Dunn is a 1990 graduate of the University of Kentucky where he received his bachelor's degree in history.

He is a member of the American Correctional Association, Correctional Accreditation Managers' Association and the National Guard Association.

Ombudsman/Accreditation

This office oversaw 16 internal audits and one ACA audit during 2020. The Division of Training scored a 100% on their audit. The internal audits were conducted virtually due to the pandemic while the Training ACA audit was on site. In 2020, approximately 808 grievances were researched and responded to by the Commissioner's Office.

The total number of grievances filed throughout the department number 8,458. A total of 1,077 were found in favor or partial in favor of the offender. This is a 12.7% percentage in favor of the offenders which is an excellent percentage among correctional systems.

This office no longer processes the Commissioner letters which is now done in the Commissioner's Office. This office is now responsible for maintaining the medical grievance log as well as the regular grievance log. A total of 76 medical grievanc-

es were logged by this office in November and December of 2020 when we assumed this new duty.

This office also continues the process of converting to an electronic grievance system.

This office, along with the assistance of the department's policy staff member, revised several policies to bring them in line with the ACI 5th edition standards. This will have a major impact on the adult institutions and how we manage our Restrictive Housing Unit (RHU) inmates.

This office is responsible for logging in external inquiries from the public and government officials as well. This duty was assumed in 2020 and during that time period approximately 540 inquiries were received.



Office of Legislation and Research



Cyndi Heddlestone

Legislation and Research

Cyndi Heddlestone

Cyndi Heddlestone has served as the department's legislative liaison in Central Office since 2016. She began her career in Corrections in 2003 as a probation and parole officer in Fayette County. In 2009, she was promoted to accreditation manager for the Division of Probation and Parole in Central Office, a position she held immediately prior to her current one.

Legislative Research

The department's legislative liaison position serves as the department's representative on the Justice & Public Safety Cabinet's legislative team. Kentucky statute requires the Department of Corrections to provide a fiscal impact on any legislative bill that potentially impacts the number of criminal offenses or the cost of incarceration, both of which would directly affect the Department of Corrections' budget.

During the legislative session in 2020, the department completed 111 Corrections impact statements for the Legislative Research Commission

and 129 bill reviews for Kentucky Legislative Information and Reporting. Once the legislative session is over, the department is responsible for implementing changes to law impacting the department. In 2020, the department saw several vital bills passed. HB 284 allows probation offenders and individuals under court supervision to receive sentence credits, reducing the length of the probation term upon approval by the judge. HB 361 focused on jail overcrowding, providing a mechanism to transfer certain state inmates from severely overcrowded jails to those with open capacity. The legislation also established a Jail and Corrections Reform Task Force which met over the course of 2020 to discuss criminal justice policy issues. The 2020 legislative session also saw passage of legislation relating to crime victims' rights, expungement, and sex offender registrants along with various other legislation showing new or enhanced criminal penalties.

In addition, the department received and responded to close to 100 legislative inquiries in 2020. This office also assists with federal and state reporting requirements, including annual reports as required by Kentucky Revised Statute.

The Research Office also oversees research projects the department is engaged in. This area coordinated 15 research proposals and approximately 45 requests for data during 2020. Currently the department has ongoing research projects with the University of

Louisville, the University of Kentucky, Eastern Kentucky University, and Campbellsville University. The department is engaged in research projects in the areas of substance abuse treatment outcomes, opioid use disorder, medications for assisted treatment, the aging prison population, staff wellness and post-traumatic stress, and reentry programming.

Kentucky State Corrections

Commission

The Department of Corrections provides administrative support for the Kentucky State Corrections Commission. The commission is comprised of 23 members appointed by the Governor. The Department of Corrections administers the Kentucky State Corrections Commission grants for the Justice and Public Safety Cabinet. Local Community Corrections Boards are able to receive funding to utilize alternatives to incarceration. Ten grantees received funding for FY21, for a total disbursement of \$643,500.00. Grant programs include electronic monitoring, drug testing, and mental health court.

For Department of Corrections, Commissioner Cookie Crews serves as the Commission Vice-Chair. The Deputy Commissioner of Community Services and Local Facilities Lisa Lamb, Deputy Commissioner of Adult Institutions Randy White, and Director of Parole and Victim Services Angela Tolley serve on the commission.

Safety Division



Hannah Gibson

Director

Hannah Gibson

Hannah Gibson began her career with the Department of Corrections in 2010 as a probation and parole officer in District 17. There she served as a safety officer, performing monthly safety inspections for the district. In 2015 Gibson promoted to a program administrator in Central Office for the Reentry Branch. In late 2016 she transferred to the Division of Probation and Parole, where she assumed the position of ACA accreditation manager and division safety coordinator. Since June 2018 she has served as the acting safety director for the department. She was named director in October 2018.

Overview

The Department of Corrections established the Safety Division in 2017. The DOC recognizes that the Correctional field presents unique occupational safety and health challenges. All staff within Corrections have the potential to face threats of workplace violence from individuals who are under supervision or incarcerated. There are other hazards to be

cognizant and control against as well. These include fire hazards, use of hazardous chemicals, and operations of various trades such as electricians, welders, plumbers, and construction projects.

A great safety culture can lead to increased staff morale, productivity, and reduced injuries. Therefore, it is recognized that staff are the greatest asset to the DOC. The DOC is committed to protecting all staff from recognized safety and health hazards, as is required by law. Safety also represents part of the DOC's emphasis on comprehensive staff wellness.

Responsibilities of the Safety Division include composing safety policies and procedures, written compliance programs, safety training delivery, facility inspections, and fire protection coordination. The Safety Division is in the process of elevating

departmental safety practices to a new level of compliance with occupational health and safety standards.

Highlights

Some notable 2020 achievements were the expansion of respiratory protection and other personal protective equipment (PPE) in relation to COVID-19, improved training on the use of PPE and conservation strategies, enhancement of infectious disease and control response plans, updated and improved sanitation procedures, and development and implementation of added safety programs and trainings. The Safety Division is a support function of the entire DOC which allows for open communication of safety trends, needs, and accomplishments.

Pictured below: Southeast State Correctional Complex tornado drill.



Office of Support Services



Hilary Dailey, Deputy Commissioner

Information Services
Amanda Sayle, Director

Population Managment
Kieryn Fannin, Director

Parole and Victim Services
Angela Tolley, Director

Adminstrative Services
Pat Sudduth, Director

Training Division
Bridget Gilliland, Director

Information Services



Amanda Sayle

Director

Amanda Sayle

Information Systems Manager

Beth Moore

Offender Information Branch Manager

Bill Mustage

Sayle began her career with the department in August 1999 as an offender information specialist for the OIS Branch at Central Office. In 2001, she was promoted to offender information supervisor and then in 2003 to administrative specialist III.

In 2005, Sayle was promoted to offender information administrator. In 2008, Sayle transferred to the Information Technology Branch as a systems analyst II. In 2010 she was promoted to systems analyst III, in 2015 to an information systems supervisor, and again in 2017 to information systems manager. In November 2019 Sayle was promoted to her current position as director of Information Services, overseeing the department's Information Technology and Offender Information Services (OIS) branches.

Sayle received a Commissioner's Award in 2000 and in 2018 she was

a co-recipient of the Deputy Commissioner's Award for Support Services. She is a graduate of the 2009 Commissioner's Executive Leadership Program and has been an active member of Kentucky Council on Crime and Delinquency (KCCD), including serving as president of the Capital Chapter for KCCD.

Information Technology Branch

The Information and Technology (IT) Branch supports and maintains the systems that are driven by the business needs of the department.

The IT Branch supports the Kentucky Offender Management System (KOMS), websites (Justice Cabinet, Corrections, KY Correctional Industries and Parole Board), and custom applications. They also serve as liaisons for outside applications.

The Information and Technology Branch is persistent in its efforts for continued growth in providing solutions and services to users by utilizing available resources and seeking additional resources from other state agencies.

The branch provides ad-hoc reporting and data analytics to users in the field and outside researchers. They work with other agencies to provide information to users in order to support their business needs.

Highlights

January 2020: Gov. Andy Beshear issued an executive order for the automatic restoration of civil rights. This order included creating a website for previous offenders to deter-



Beth Moore

Information Systems Manager

mine their eligibility for the automatic restoration of civil rights. IT staff swiftly worked to provide the needed data and created an accurate and efficient website with a search engine for public usage while keeping personal information protected.

March 2020: Phase Four of the Addiction Services (ASK) work order was implemented into KOMS. These enhancements included multiple new screens to allow ASK staff to complete their daily work in assessing substance abuse needs for offenders in KOMS. In addition, this build included a new monthly report, which replaced a manual spreadsheet for tracking.

April 2020: The new classification system was implemented in KOMS. This replaced the outdated classification scoring system and introduced a scoring system based on gender for the first time.

May 2020: Two new positions were added to the branch which allowed us to utilize one position to focus on creating training videos and the second to assist in ad-hoc reporting and data analysis. These tasks have been needed for quite some time. Now that more staff are available to handle the workload, we are making great progress in these areas.

Information Services

June 2020: Security Threat Group enhancements were promoted, which included updates to the STG assessments, statistic reports, and related disciplinary reports.

July 2020: Changes related to legislative mandates for HB 284 were implemented into KOMS. This includes the ability to apply programming and work for time credits to clients on probation.

August 2020: The Electronic Health Record module was added to KOMS. This replaced an antiquated system previously used to record health information for the offender population. This project was a huge undertaking, increasing KOMS functionality by 1/3 and training hundreds of new users. This enhancement was followed up by promoting the Electronic Prescription for Controlled Substances in December 2020, the result of a federal mandate beginning in 2021.

November 2020: The department opened a new prison, Southeastern State Correctional Complex. This concluded months of work getting all necessary technology in place, including security cameras, kiosks, and network servers.

Offender Information Branch

The primary responsibility of the Offender Information Services Branch is to ensure accurate sentence calculations and sentence expiration dates for the state inmate population as well as the offender population serving on mandatory reentry supervision, parole supervision and sex offender post incarceration

supervision. Additionally, Offender Information Services is responsible for fielding questions from the court system, law enforcement agencies and the public, responding to correspondence from the inmate population and processing open records requests for documents contained in inmate files.

In 2020, the state inmate population averaged 20,697 incarcerated offenders. Additionally, Offender Information Services oversaw the calculations for an additional 42,700 offenders on supervision. The Offender Information Services Branch is made up of sections that specialize in subject matter in order to provide services to these populations effectively. These sections include Sentence Calculation, Sentence Credit, Parole Review, Jail Management and the Community Placement Office. The branch also has staff assigned in the phone/mail room and in the open records office.

The Sentence Calculation section provides quality assurance by conducting an internal audit for each inmate's sentence calculation. This ensures all sentences are consistent with the courts sentencing order and are in compliance with Kentucky Revised Statutes.

The Sentence Credit section provides subject matter expertise in relation to credits that incarcerated inmates and offenders on supervision are eligible to earn. The staff in this section provide quality assurance by ensuring applied credits are compliant with Corrections Policy and Procedure and with Kentucky Revised Statutes.



*Bill Mustage
Offender Information Branch Manager*

The Parole Review section provides a variety of services that relate to supervision. These services include the preparation of discharge certificates when an offender satisfies a sentence while on supervision; the calculation and application of parole supervision credit when an offender returns to custody because of a violation of supervision; review of inmate files prior to a parole hearing; and reviewing inmates for release onto mandatory reentry supervision.

The Jail Management section serves as the institutional records office for all state inmates housed in county jails and community service centers. They perform all of the routine records processes including detainer documentation and release notifications for each of the approximately 9,000 inmates housed in county jails and halfway houses.

The Community Placement Office is responsible for bridging the gap for inmates being released from incarceration to supervision. Staff in this section work closely with the Division of Probation and Parole, Division of Addiction Services, and each institution to ensure offenders are released in a manner that supports their needs for treatment and in a position that maximizes their potential

Information Services

for success while on supervision.

Highlights

In 2020, Offender Information Services experienced a large amount of staff turnover. Nine positions were vacated within OIS including one management position. Due to COVID-19, getting these positions filled has been challenging. Staff have persevered, utilizing technology to train new staff remotely.

This year we also continued to streamline processes, advance training of staff, and update daily reports seeking defined structure of the branch. The backlog of pending sentence calculations has been

eliminated; staff remain current with initial calculation audits from an average backlog of over 500 at the end of 2019.

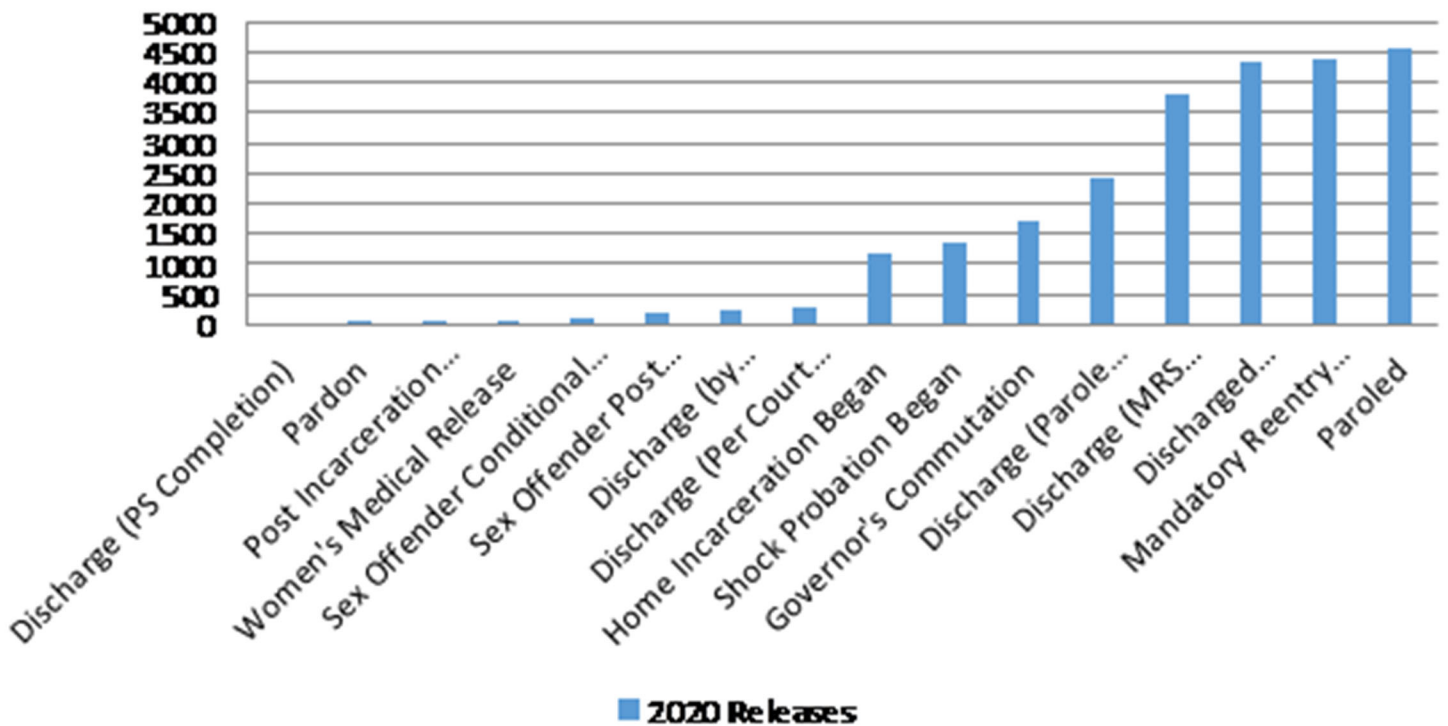
In January 2020, Gov. Beshear issued an executive order requiring the automatic restoration of civil rights for qualified offenders. OIS added two additional NCIC terminals and filled two full-time positions to complete the reviews for the automatic restoration of civil rights. OIS processed over 8,000 reviews, restoring the rights of over 4,000 offenders upon release.

Legislative changes in 2020 added a large volume of work to OIS good time staff with the passing of HB 284. Due to program credits and

work for time credits now being applied to probation, pre-trial diversion, and shock probation clients for program completion while on supervision. This bill increased the number of offenders eligible for these credits by approximately 12,000 clients.

Throughout 2020, OIS reviewed and approved over 4,000 inmate releases by serve out and 10,600 releases to supervision. In addition, OIS processed approximately 1700 commutations of sentence issued by Governor Andy Beshear in 2020.

2020 Releases



Division of Administrative Services



Pat Sudduth

Director

Pat Sudduth

Assistant Director

Mike McKinney

Manager

Galen Benassi

Pat Sudduth has served as assistant director of the division since 2016. She began her career with the Department of Corrections in 1997 as a secretary chief. In 2001 she was promoted to administrative specialist III in the fiscal branch. In 2005 Sudduth was promoted to internal policy analyst II and a year later was promoted to internal policy analyst III. In 2012 she was promoted to branch manager of the Division of Administrative Services.

Sudduth was a recipient of a 2002 Commissioner's Award for Central Office and the 2009 Deputy Commissioner's Award.

In October 2018, Sudduth was named director the department's Division of Administrative Services.

Overview

Administrative Services provides resource support to the department and staff through a vast array of services including budget, financial management, accounting, fixed asset management, insurance, fleet, procurement, and internal financial audits. The division processes accounts payable, accounts receivable and procurement documents, including travel vouchers for over 750 probation and parole staff and Central Office on a monthly basis. The division processed over 15,000 eMARS documents by the end of December 2020; all while maintaining an average of six vacancies.

The procurement section managed and issued over 114 contracts for the department. This includes new contracts, contract extensions, Memorandums of Understanding, Memorandums of Agreement, and Service Contracts. A new branch manager over procurement started January 1, 2020 and one additional vacancy was filled. In addition, procurement staff worked directly with the Finance Cabinet's Office of Procurement Services to procure goods and services that exceed the small purchase authority or qualify as Master Agreements. The number of contracts and procurement actions managed by this section has increased by nearly 60% in the last several years.

The department retained its \$20,000 small purchase authority granted by the Finance and Administration

Mike McKinney
Assistant Director

Cabinet. Procurement staff completed required procurement courses in order to maintain the small purchase authority.

With the implementation of the new accounting system in FY18, we transitioned to paperless travel voucher payments. To maintain internal controls, the majority of eMARS documents generated at the institution level route to the Division of Administrative Services for final eMARS approval. This significantly increased the division's workload, but facilitated greater compliance with Finance Administration policies.

The Division of Administrative Services successfully implemented the FY20 Budget while actively ensuring funds were available to sustain the operational requirements of the department.

The division has been holding fiscal managers meetings via Microsoft Teams to provide training to all fiscal managers and their staff. We have also implemented conference calls with fiscal managers and their staff to increase continuity on documents processed department wide.

Division of Administrative Services

Pictured below: Chart shows the FY 2020 cost to incarcerate offenders per institution, county jails, and halfway houses; also, the cost to supervise offenders on electronic monitoring, probation and parole.

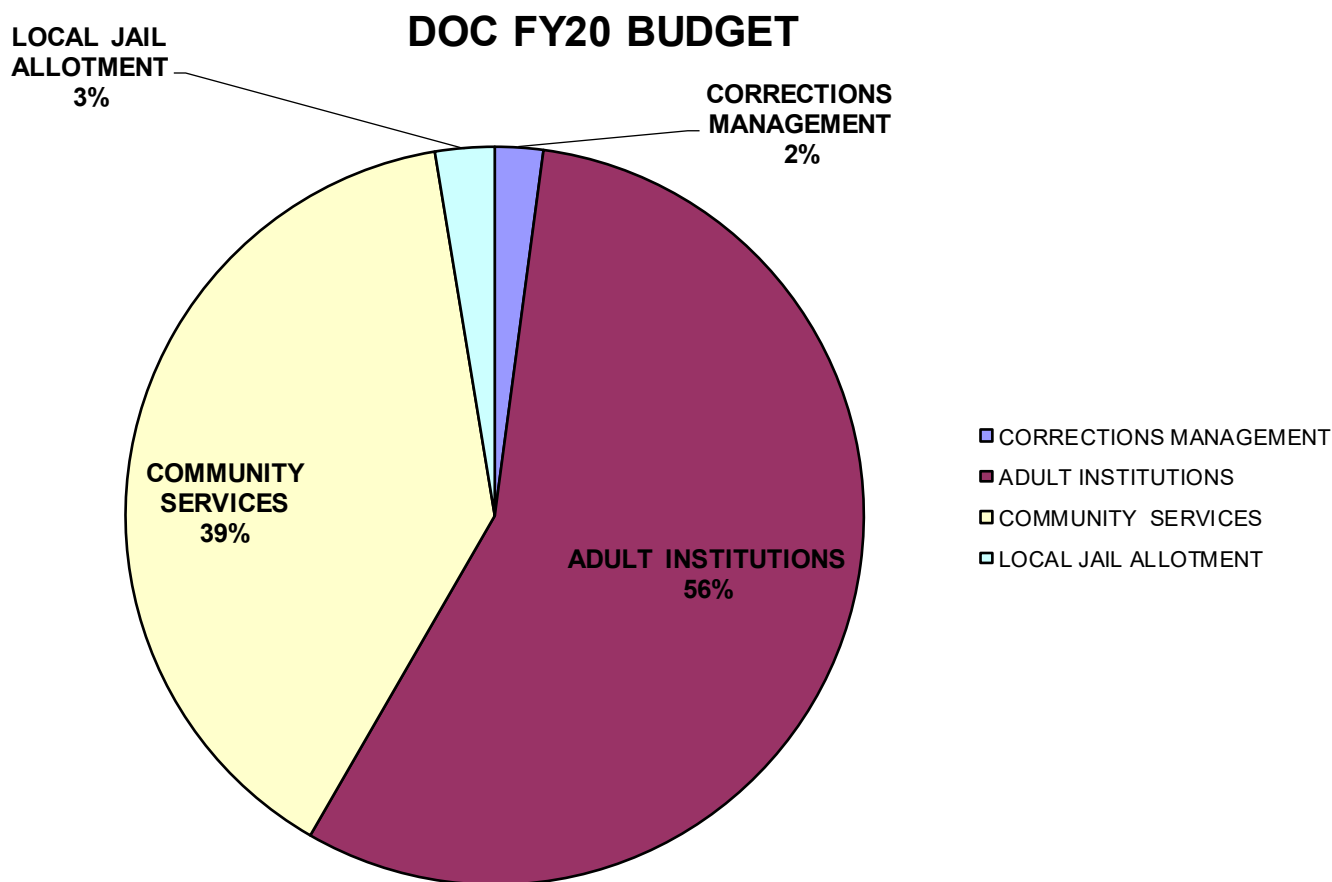
	*CTI Per Day	*CTI Per Annum	**HB463 CTI	**HB463 Per Annum
KY DOC Adult Institutions				
Blackburn Correctional Complex	\$ 118.36	\$ 43,200.55	\$ 115.51	\$ 42,161.80
Bell County Forestry Camp	\$ 58.05	\$ 21,189.18	\$ 55.30	\$ 20,183.28
Eastern KY Correctional Complex	\$ 67.78	\$ 24,740.65	\$ 64.73	\$ 23,625.26
Green River Correctional Complex	\$ 78.47	\$ 28,643.11	\$ 75.57	\$ 27,582.92
KY Correctional Institution for Women	\$ 88.92	\$ 32,455.25	\$ 85.65	\$ 31,261.28
KY State Penitentiary	\$ 101.23	\$ 36,948.41	\$ 98.28	\$ 35,870.42
KY State Reformatory	\$ 103.12	\$ 37,639.63	\$ 99.91	\$ 36,468.68
Luther Luckett Correctional Complex	\$ 74.11	\$ 27,051.63	\$ 71.19	\$ 25,982.69
Little Sandy Correctional Complex	\$ 74.29	\$ 27,114.39	\$ 71.33	\$ 26,034.87
Northpoint Training Center	\$ 75.49	\$ 27,554.05	\$ 72.71	\$ 26,538.06
Roederer Correctional Complex	\$ 69.05	\$ 25,203.60	\$ 66.11	\$ 24,130.17
Western KY Correctional Complex	\$ 88.47	\$ 32,292.83	\$ 85.47	\$ 31,197.72
Lee Adjustment Center	\$ 68.50	\$ 25,003.28	\$ 65.68	\$ 23,971.62
Other Averages				
Total State Facility Average Cost	\$ 80.24	\$ 29,287.75	\$ 77.26	\$ 28,199.80
Total State Inmate Average Cost	\$ 59.06	\$ 21,558.64	\$ 57.20	\$ 20,878.32
County Jails without SAP (State Inmates)	\$ 37.35	\$ 13,634.54	\$ 36.67	\$ 13,386.00
County Jails with SAP (State Inmates)	\$ 46.35	\$ 16,919.54	\$ 45.67	\$ 16,671.00
Halfway Houses - Inmate Beds	\$ 34.27	\$ 12,509.00	\$ 33.66	\$ 12,284.25
***Halfway Houses - Probationer/Parolee Beds & Recovery Ky.	\$ 35.21	\$ 12,849.88	\$ 34.59	\$ 12,625.13
Electronic Monitoring	\$ 4.92	\$ 1,796.02	\$ 4.83	\$ 1,764.72
Probation & Parole - Cost to Supervise	\$ 3.84	\$ 1,399.87	\$ 3.76	\$ 1,371.32
State Institution Average Female	\$ 88.92	\$ 32,455.25	\$ 85.65	\$ 31,261.28
State Institution Average Medium non medical (male)	\$ 73.20	\$ 26,717.90	\$ 70.27	\$ 25,649.00
State Institution Average Medical (male and female)	\$ 103.47	\$ 37,765.15	\$ 100.36	\$ 36,630.59
Average Medical Cost per Inmate, Adult Institutions (state)	\$ 17.02	\$ 6,212.62	\$ 16.63	\$ 6,071.21
Average Substance Abuse Cost per Inmate-Institutions (state)	\$ 6.75	\$ 2,462.87	\$ 4.18	\$ 1,525.56

Division of Administrative Services

2020 Expenditures

FY20 Expenditures

	Corrections Management	Adult Institutions	Community Services	Local Jail Allotment	Total
Personnel	\$ 12,057,211.76	\$ 202,915,115.20	\$ 64,256,326.08	\$ 23,876.74	279,252,529.78
Operating	\$ 1,366,404.08	\$ 64,848,862.17	\$ 11,196,885.67	\$ 280,166.23	77,692,318.15
Care & Support	\$ 8,641.00	\$ 87,335,426.14	\$ 172,704,383.69	\$ 16,179,635.73	276,228,086.56
Debt Service		\$ 544,000.00			
Capital Outlay		\$ 1,367,422.59	\$ 36,158.30		1,403,580.89
Total	\$ 13,432,256.84	\$ 357,010,826.10	\$ 248,193,753.74	\$ 16,483,678.70	635,120,515.38



Division of Corrections Training



Bridget Gilliland

Director

Bridget Gilliland

Central Region Training Center

Branch Manager

John Harrison

Southern Region Training Center

Branch Manager

Vacant

Eastern Region Training Center

Branch Manager

Donnie McGraw

Western Region Training Center

Branch Manager

Mike Roe

ACA Accreditation Manager

Melissa Wright

Curriculum Branch Administrator

Jessica Johnson

Bridget Gilliland began her career with the department in April 1998 as a correctional officer at Northpoint Training Center (NTC). She worked her way up through the ranks serving as a correctional sergeant, lieutenant, administrative captain, senior captain, and unit II administrator.

She also held the position of administrative captain at Blackburn Correctional Complex.

During her career, Gilliland has provided assistance with training in multiple areas for the department including basic academy. She has served on the NTC honor guard since 1999 and has also been a member of the Corrections Emergency Response Team (CERT) throughout her career. In 2015, she was named the statewide CERT commander.

Gilliland has received numerous awards during her career for outstanding performance, including the agency's top award, a Commissioner's Award, in 2015. She is a graduate of the 2006 and 2011 Commissioner's Executive Leadership Program.

Gilliland maintains an office in Danville within the Southern Region Training Center (SRTC), as well as LaGrange, within the Central Region Training Center (CRTC). This office provides administrative supervision of all Department of Corrections Training operations.



Pictured above: Eastern Region Training Center



Pictured above: Central Region Training Center

Overview

The Division of Corrections Training (DCT) is tasked with planning, coordinating and conducting training for adult institutions, jailers, and the Department of Corrections Central Office.

The DCT develops training curriculum for correctional officers, uniformed supervisors, and non-security staff of the 13 adult Kentucky Correctional institutions, Central Office, Kentucky Correctional Industries, and annual training for the elected/appointed jailers and their staff within the 120 counties of Kentucky.

Having initially achieved ACA accreditation in 2011, maintaining accreditation is a source of pride for the DCT and the Department of Corrections.

The DCT successfully went through the ACA re-accreditation process in October 2020.

Highlights

Oleoresin Capsicum (OC) curriculum was updated to include not only the .2% Level 1 Exposure but also the 1.3% area saturation. DCT purchased tents for use in the

Division of Corrections Training



*John Harrison
CRTC
Branch Manager*



*Donnie McGraw
ERTC
Branch Manager*



*Mike Roe
WRTC
Branch Manager*

area saturation portion of training.

Due to the COVID-19 pandemic it became necessary to make adjustments to the classes and academies offered by the DCT. The 5 phase IPSA was condensed, with phases 1 and 2, with the exception of OC and CPR, being converted to computer-based modules led and monitored by institutional training coordinators (ITC). Regional trainers assist ITCs with the hands-on training of CPR/First-Aid. The remaining three phases were condensed to 10-hour days, 4-day weeks with instructors holding smaller classes at the hiring institutions rather than all students traveling to one training center.

Other smaller classes were conducted for recertification of firearms instructors and Basic Supervisor Workshop. Virtual training was also utilized as a Train the Trainer course was conducted via Zoom. Protocols were put in place for face-to-face training to ensure the safety of students and trainers.

Protocols include pre-entry temperature checks, classroom seating spaced 6 ft. apart, masks and gloves required for both students and trainers, sanitizer made available in all teaching areas and social distancing to be maintained, even during lunch and breaks.

Instructor II Kevin Lewis with the Western Region Training Center completed MASTER TASER Instructor Certification in September 2020.

July 7-9, 2020 the DCT took part in the first virtual ACA mock audit. Auditors were provided flash drives containing the DCT electronic files, with reference materials. All meetings were held via ZOOM and auditors were provided contact numbers for staff to conduct

interviews.

Mid-July 2020, DCT regional instructors traveled to Jenny Wiley State Resort Park to begin Pre-Service Academies for Southeast State Correctional Complex (SSCC). Instructors from each of the four training centers participated. Multiple academies were held prior to the September opening of SSCC.

October 1 & 2, 2020 the DCT went through the ACA re-accreditation process. The DCT received a score of 100% and will meet with the ACA Panel virtually, due to COVID-19 protocols, on January 26, 2021.



Pictured above: Southern Region Training Center



Pictured above: Western Region Training Center

Division of Parole and Victim Services



Angela Tolley

Director

Angela Tolley

Angela Tolley began her career in 1983 with the Department of Corrections as a correctional officer at Northpoint Training Center. During her time with state government she has established a repertoire of experience through positions such as a classification and treatment officer in the institution and a probation and parole officer in Lexington. She served as the deputy compact administrator for Probation and Parole before retiring in July 2011 after 27 years of service. Tolley returned to state government in 2014 as an administrative assistant with the Department of Corrections before transferring to the Office of Inspector General as a Medicaid fraud special investigator. In addition to her time in Corrections, she has also worked with adults with disabilities and as a social worker who investigated reports of child abuse and neglect for the Cabinet for Families and Children.

While with the Department of Corrections, Tolley was instrumental in ensuring Kentucky's compliance with the newly structured Interstate Commission for Adult Offender

Supervision and organizing Kentucky's parole-to-hold process. She established a network of camaraderie with interstate compact and state prison staff across the United States, as well as staff with the Federal Bureau of Prisons. From 2012 to 2016 she was appointed to the Kentucky Council for Interstate Adult Offender Supervision.

Graduating from Eastern Kentucky University in 1980, Tolley received a bachelor's degree in rehabilitation counseling with an emphasis in adult corrections. She has received numerous awards during her career for outstanding performance, including a Commissioner's Award in 2002 and 2007.

Overview

The Division of Parole and Victim Services is responsible for completing all administrative duties of the Kentucky Parole Board as determined by policies, statutes and regulations. The division consists of four sections: Operations, Risk Assessment, Revocations and Hearings.

We continue to work closely with Victim Services staff members who are responsible for victim and prosecutor notification regarding parole as required by Kentucky statute KRS 439.340.

The Division of Parole Services works with the Administrative Law Judges (ALJs) who preside over the Parole Revocation Hearing process. These staff attorneys were formerly under Parole Services but are currently reporting directly to the

Office of Legal Services. In 2019, the branch was expanded to include four full-time Administrative Law Judges. In 2020, the Parole Services director assisted with the hiring and training of three new staff.

Operations is responsible for incoming calls and correspondence, the processing of all requests for parole reconsiderations, medical parole requests, open hearing requests, and ensures that open audio requests are promptly responded to. Operations provides clerical support during the parole eligibility and revocation hearings, enters all parole hearing decisions, and provides general administrative support. Operations facilitates all video conference hearings. Operations creates and processes the monthly and weekly calendars for the Parole Board members. Operations is responsible for the weekly Parole Board meetings and the processing of any and all outcomes from cases heard during the Monday meetings. The program administrator, in addition to managing staff, is also responsible for writing and updating all Parole Board policy, procedures and regulations.

The Risk Assessment Section is responsible for compiling Parole Guidelines for Risk and Need Assessments as required by KRS 439.331. This is an objective and validated tool, utilized by the Parole Board since 2003, when making release decisions. All offenders seen by the Parole Board on parole eligibility lists are required to have a completed assessment. This division creates, compiles, maintains, schedules and notifies the appropriate parties in reference to all parole eligibility hearings and

reviews. The Program administrator, in addition to training staff, ensures quality control of the Risk Assessment product and is also responsible for keeping the assessment manual updated.

The Hearing Section is responsible for scheduling all Probable Cause Hearings (Phase one) and all Final Revocation Hearings (Phase two) between the Administrative Law Judges and Defense Attorneys. The Hearings section processes all Administrative Law Judge decisions, reviews the decision for accuracy, uploads the decision into KOMS and provides a copy of the decision to the appropriate parties. This section is also responsible for any parole violation warrants requested as a result of the ALJ decision. The Program Administrator is also responsible for all Open Record request for Audio tapes of ALJ hearings and the training of probation and parole staff in terms of the revocation process.

The Revocation Section is responsible for issuing and processing request for parole revocation warrants. They are also responsible for processing all rescind warrant request. The Revocation Section reviews all parole revocation hearing waivers for accuracy, provides and prepares parole violator packets for the Parole Board to use during the final parole revocation decision. The Program Administrator, in addition to managing staff, is responsible for scheduling all parole revocation reviews. Reviewing, editing, processing and entering all Final Disposition Hearing decisions. Reviewing, editing, processing and

entering all final revocation decisions. Reviewing, editing, processing and entering all Parole Board Sanction Decisions.

Highlights

During the 2020 calendar year, the coronavirus outbreak did not have a major negative impact on the functionality of Parole Services. The majority of the staff worked off site. Only two staff members elected to work full time in Central Office, the director and one program administrator, Dean Foster. The remaining staff continued to be productive and timely in terms of their job duties. On occasion, parole eligibility cases were continued due to COVID-19 but these continuances were issued in response to the holding facility request. Due to COVID-19, Parole Services assumed the responsibility of organizing and arranging all open hearing requests via Zoom video conferencing. Prior to COVID-19, the DOC institutions were responsible for each of their open hearing requests. In 2020, Parole Services implemented Zoom as the primary audio recording source for parole eligibility hearings due to the malfunctioning of the previous recording system For The Record (FTR). Parole Services is also responsible for facilitating all Corrections Commission meetings, which are now conducted by ZOOM due to COVID-19.

There was a noticeable increase in the number of Civil Actions filed against the Parole Board in 2020. These Parole Board actions also require the director's attention in

the handling of these actions, sitting in all meetings related to these actions and accumulating all data requested. One such action was a request for production of documents and reports dating back to 2000. The director is responsible for drafting regulations and directives related to these civil actions, developing any new protocol as a result of these actions, and ensuring the scheduling of re-hearings as a result of these actions. The director of Parole Services is an active participant on the Corrections Commission who reviews various community Corrections programs along with the granting of program funding. The Corrections Commission is responsible for the Parole Board member hiring process. The Parole Services director organized the interview process, coordinated the training for these new parole board members and their swearing in ceremony. There were two appointments to the Kentucky Parole Board in 2020. In 2020, Parole Services established a protocol for mental health evaluations requested by the Parole Board for parole eligible DOC inmates and Parole Services established a protocol for emergency medical parole requests.

The total number of hearings conducted this year by the Kentucky Parole Board decreased due to COVID-19. This is primarily attributed to early releases, Governor commutations, and reduction in parole revocation cases. As of December 31, 2020, this division processed 16,212 Parole Board decisions, compiled 12,980 risk and needs assessments, issued 4,092 revocation warrants, processed 4,656 parole revocation hearing waivers

and 684 serve out requests while also scheduling 212 victim hearings, six Youthful Offender hearings, 982 parole reconsideration requests, and 18 early medical parole hearings. Re-entry Drug Supervision parole reviews are on hold due to COVID-19. In lieu of parole revocation, the Parole Board rescinded 1,269 parole violation warrants for parolees who agreed to participate in discretionary sanctions as recommended by the supervising officer. Also, in lieu of

parole revocations, the Parole Board issued 115 Parole Board Sanction (PBS) offers in 2020. This caseload is monitored by Parole Services which tracks the progress of all participants until their successful completion and return back to parole supervision. This caseload monitoring includes confirming program completion, submission of home placement information and initiating the release process. Or, for those

unsuccessful participants, scheduling the Final Parole Decision review and processing of those decisions.

The Division of Parole and Victim Services staff continue to ensure that the Parole Board is able to fulfill their duty as part of the Criminal Justice System of Kentucky in accordance with KRS 438.380.

Chart Below: Parole Board 2020 statistics

FACE TO FACE	Recommend	Deferred	Serve Out	TOTAL
DEFERRED	448	424	84	956
INITIAL	282	283	65	630
FILE REVIEW	Recommend	Deferred	Serve Out	TOTAL
DEFERRED	2131	1442	640	4213
INITIAL	2185	3713	1383	7281
OTHER	146	40	21	207
Total Hearings & Reviews	Recommend	Deferred	Serve Out	TOTAL
Total Cases	5192	5902	2193	13287
Total Parole Decision Rates	39%	44%	17%	100%

Break Out Rates	Recommend	Deferred	Serve Out	Total
DEFERRED	50%	36%	14%	100%
INITIAL	31%	51%	18%	100%
HEARINGS	46%	45%	9%	100%
REVIEWS	38%	45%	18%	100%

Revocation Cases	Parole Recommended	Defer	Serve out	Total
MRS Violator	0	0	874	874
Parole Violator	83	763	1095	1941
SOCD/SOPS	9	0	101	110
TOTAL	92	763	2070	2925
Total Revocation Decision Rates	3%	26%	71%	100%

	Recommend	Deferred	Serve Out	TOTAL
ALL HEARING TOTALS	5284	6665	4263	16212

Parole Board Event	Total	Parole Board Event	Total
Waived PC Hearing	2368	Warrants Issued	4092
Waived Final Hearing	2288	Warrants Rescinded	1269
Final Revocation Decisions	2197	Serve-Out Requested by Inmates	684
PBS Offers	115	Victim's Hearings	212
Reconsideration Request	982	Youthful Offender Hearings	6

Division of Classification/ Population Management



Kiern Fannin

Director

Kiern Fannin

Program Administrators

Jennifer Hogue

Alan Long

Jonathan Rooney

Amanda Scott

Lisa Teague

Jennifer Tracy

Kylor Wilson

Administrative Specialists

Geneva Bogue

Cindy Adams

Classification & Treatment Officer

Connie Taylor

Kiern Fannin began her career with the Department of Corrections in 2000 as a classification and treatment officer in the Assessment Center at Roederer Correctional Complex. In April 2005, she was promoted to Central Office as a program administrator in the Classification Branch, where she was responsible for reviewing transfers to ensure inmates were assigned to appropriate custody levels and recommended to appropriate facilities.

In February 2013, Fannin began

working as a liaison with the Substance Abuse Program. She was tasked with monitoring the Substance Abuse Program waiting list and coordinating movement into available treatment beds.

In July 2013, she was named executive staff advisor for the Division of Substance Abuse Services. In this role, she was instrumental in the department's development and implementation of Senate Bill 192, legislation pertaining to the heroin epidemic in Kentucky. During her tenure, Fannin created the Medically Assisted Treatment protocol currently utilized by the department. In addition, she developed a statistical review process that allows the division to more accurately project the need for expanded substance abuse programming.

In 2016 Fannin was promoted to director of the Division of Population Management.

Fannin is a 2007 and 2012 graduate of the Commissioner's Executive Leadership Program as well as the recipient of many awards, including the agency's top award, a Commissioner's Award, in 2015.

Overview

The Population Management Division/Classification Branch is responsible for overseeing classification actions in all state institutions, contract facilities, and full-service county jails and for monitoring the Assessment/Classification Centers at Roederer

Correctional Complex, Kentucky Correctional Institution for Women and Ross Cash Center. This division is also responsible for monitoring the population of these facilities for appropriate use of bed space.

Inmate custody levels are determined through an objective based risk instrument that was developed with the assistance of the National Institute of Corrections (NIC). The classification manual is reviewed and updated in accordance with recommendations from validity and reliability studies.

Division staff are responsible for reviewing all inmate transfer and furlough requests, jail disciplinary reports, jail detainers, jail additional sentences and requests for priority security admission.

Training is conducted annually for all adult institution classification staff to address any classification issues and changes in policy, procedure or statute. The division also implements and updates policies to ensure compliance with changing statutes and system changes.

The division monitors the population of all state institutions, contract facilities and county jails. When necessary, movement is directed by division staff in order to alleviate overcrowding in county jails.

The division director oversees the operation of the Assessment Centers, currently located at the Roederer Correctional Complex, Kentucky Correctional Institution for Women, and Ross-Cash Center, and directs admissions / transfers statewide.

Division of Population Management

Highlights

The division completed a revalidation and reliability study of the inmate classification system with guidance and assistance from Dr. Patricia Hardyman of the Criminal Justice Institute, Inc. Findings and recommendations from the revalidation were used to update the system and related policies. The new system became effective November 1, 2020 and implementation continues.

Basic Classification Training was held two times at regional locations for new classification staff. Annual Classification Training was held at a

regional location for case management staff. These trainings were held prior to the COVID-19 pandemic. All Case Management staff attended training (conducted 12 times) for the new classification system once it became effective by regulation. The vast majority of these trainings were conducted virtually due to the pandemic.

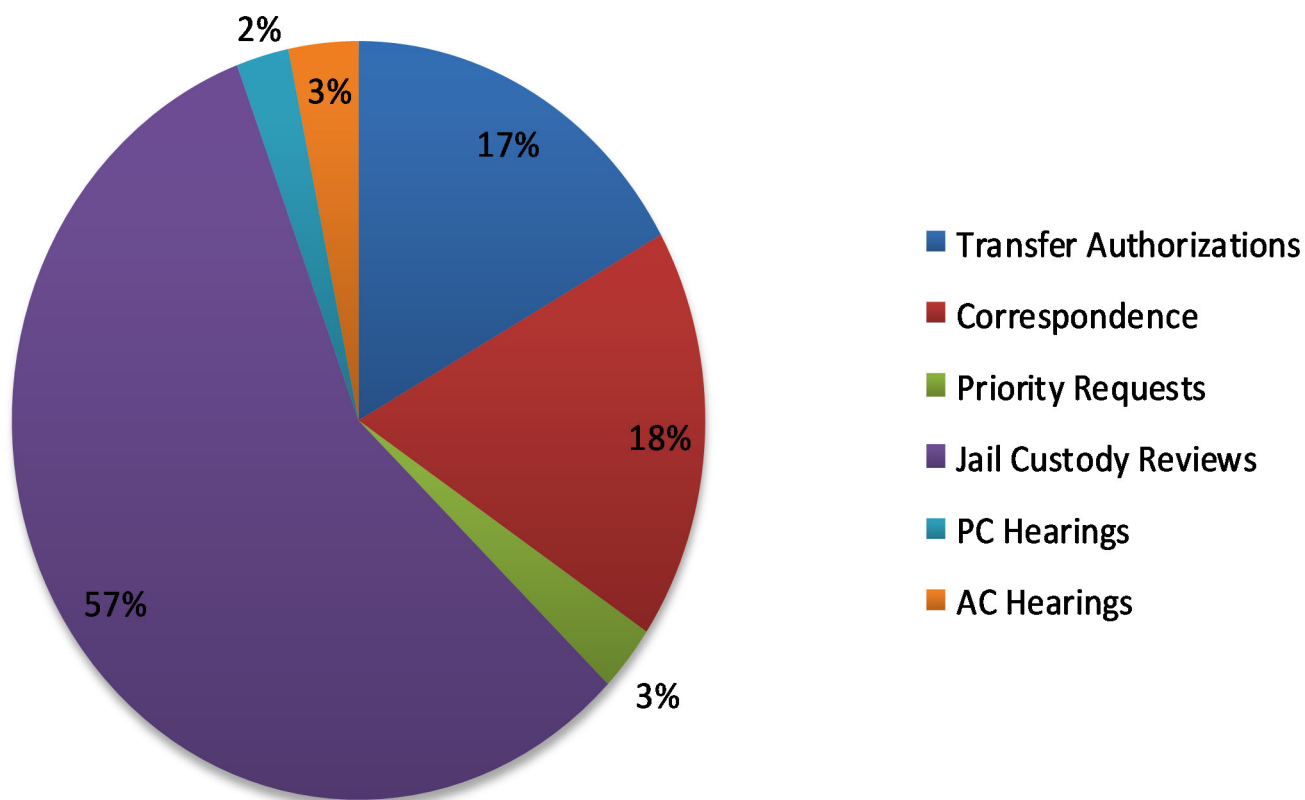
The department received funding to open the Southeast State Correctional Complex (SSCC) in Wheelwright, KY. This is a 621-bed medium custody institution to help ease the controlled intake population in local jails. SSCC

officially opened on November 17, 2020 when they received their first 26 inmates.

The Division of Population Management has continued to conduct all Protective Custody reviews. This has resulted in maintaining a reduced number of Protective Custody inmates at the Kentucky State Penitentiary.

Division staff processed over 4,000 pieces of correspondence and performed over 12,000 classification actions in 2020.

Below is a breakdown of classification actions and transfer authorizations:



Office of Community Services and Local Facilities



Lisa Lamb, Deputy Commissioner

Reentry Services
Kristin Porter, Director

Local Facilities
Kirstie Willard, Director

Probation and Parole
Erica Hargis, Director

Division of Addiction Services
Sarah Johnson, Director

Division of Reentry Services



Kristin Porter

Director

Kristin Porter

Assistant Director

Christi Sorrell

Administrative Branch Manager

Dan Goyette

Administrative Branch Manager

Matthew Aaron

Program Administrator

Kristin Johnson

Administrative Specialist III

Julie York

- 6 Regional Program Administrators
- 10 Reentry Employment Program Administrators
- 20 Community Reentry Coordinators
- 14 Institutional Reentry Coordinators

Kristin Porter started her career with the Department of Corrections in 2006 as a correctional officer at Luther Luckett Correctional Complex. Later that year, she transferred to the Division of Probation and Parole as an officer in the Louisville southeast office. In 2012, Porter was promoted to assistant district supervisor of

District 18 in Louisville.

Porter has served as a Mechanical Advantage Control Hold (MACH) instructor, halfway house officer, PREA investigator, PORTAL instructor and Moral Reconciliation Therapy (MRT) instructor. She served as an instructor for SAP Pre-Release classes at the institutions and served on the 2009 American Correctional Association (ACA) compliance review team for the Division of Probation and Parole. She developed and initiated the Probation and Parole Field Training Officer Program in 2014, which has been implemented in each district throughout the state. In January 2017 she was promoted to the position of program administrator in the Reentry Branch in Central Office. She was promoted again in 2018 to director of the Division of Reentry.

Porter is a 2006 graduate of University of Louisville, where she earned a bachelor's degree in justice administration. She received the Achievement Award for District 19 in 2008, the Commissioner's Award in 2014 and the Deputy Commissioner's Award for Support Services in 2017. She is a graduate of the 2011 Commissioner's Executive Leadership Program and served as a mentor for the program in 2015 and 2018.

Overview

The Division of Reentry Services strives to increase public safety and improve recidivism rates by enhancing resources available to offenders transitioning from



Christi Sorrell

Assistant Director of Reentry Services

incarceration to the community. This requires that all areas of the department collaborate to build a seamless reentry process.

The Division of Reentry Services oversees the use of the validated risk and needs assessment tool – KyRAS. The department currently uses a validated risk and needs assessment tool and a comprehensive case management plan to prepare for the individualized release of inmates. The KyRAS tool is administered to inmates in adult institutions as well as offenders on supervision in the Division of Probation and Parole. The Division of Reentry Services is also tasked with performing quality assurance audits on this tool.

The Division of Reentry Services supervises the reentry coordinator positions in prison facilities and community reentry coordinators located in Probation and Parole offices across the state. Every adult institution in the state as well as each Probation and Parole district has at least one reentry coordinator to assist in the release of inmates and the community needs of clients. The reentry coordinator positions are also tasked with providing evidence based and promising practice

Division of Reentry Services

programs to clients on supervision and incarcerated.

Currently the Division of Reentry delivers all programming to community clients, and promising practice programs to inmates. The Division of Reentry Services also maintains quality assurance for programs delivered by our staff.

The creation of the division allowed programming expansion across the state to the DOC population. In 2017, 6,845 program completions were recorded for the Department of Corrections, while in 2020, 20,221 were recorded.

The Division of Reentry Services supports the network of reentry councils across the state. Reentry councils exist in the following areas: Paducah, Owensboro, Elizabethtown, Bowling Green, Louisville, Lexington, Covington, London, Maysville, Ashland, Prestonsburg and Somerset. Reentry staff attend the reentry council meetings routinely to advise service providers of new department initiatives, gather information about services and develop strategies to address service gaps.

In 2018 the Reentry Branch was officially changed to the Division of Reentry Services and grew over the past three years from a staff of 12 to a staff of 62. As of 2019, every adult institution has at least one reentry coordinator housed in it to provide reentry services at the time of release for inmates as well as 19 community reentry coordinators housed in every Probation and Parole district to provide reentry services for the community population. In 2018



*Dan Goyette
Administrative Branch Manager*

KORE grant funds were granted to the Division of Reentry Services for six employment program administrator positions across the state. The outcomes of these six positions proved successful so the grant administrators gave the division an additional four positions in 2019 and an additional position in 2020 to ensure full statewide coverage.

In addition to normal one on one reentry processes for the population, the division hosts a number of events throughout the state. Expungement fairs are held in conjunction with community partners in the local communities to inform the population of expungement eligibility and application processes. Job fairs and resource expositions are held in the local communities and in the institutions to bring resources needed by our population to the individuals. Reentry simulations are hosted in two-hour intervals to allow participants to go through real life scenarios of what our population goes through when they are overcoming their barriers in the communities and trying to turn their lives back around. Due to the pandemic in 2020, many in-person



*Matthew Aaron
Administrative Branch Manager*

events were halted out of an abundance of caution so this is reflective in the event numbers hosted for the year.

Highlights

- ◆ Introduction of Virtual Programming
- ◆ Implementation of Telehealth Veteran's Services
- ◆ Implementation of Project Friendship – Clothing closets in P&P
- ◆ Creation of Community Program Facilitator application and review process
- ◆ Implementation of Transportation Voucher Pilot Program

2020 Annual Division Statistics:

- ◆ 13 Expungement Sessions
- ◆ 12 Reentry Simulations
- ◆ 1 Job/Resource Fair
- ◆ 2,723 Employment Assessments

Division of Probation and Parole



Erica Hargis

Director

Erica Hargis

Assistant Director

Steve Turner

Assistant Director

Kirk Gausepohl

Regional Managers:

Central Region– Rebecca Carter

Northern Region– Dan Fountain

Western Region– Sabrina Farris

Eastern Region– Mike Caudill

Erica Hargis began her career with the Department of Corrections in July 2009 as a probation and parole investigator writing pre-sentence investigation reports in District 4. In June 2010, she transferred to District 19 as a probation and parole officer supervising a high-risk caseload. She began working in the Reentry Branch in Jefferson County when she was selected for one of the four grant-funded reentry parole officer positions in 2012. Later that year she promoted to an assistant supervisor at the District 4 Probation and Parole office, and then transferred to District 16 in the same capacity in May 2015.

In September 2017, Hargis became the reentry employment program administrator for Reentry Region 3,

assisting justice-involved individuals with employment support services in the greater Louisville area. In March 2018, she was promoted to assistant director of the newly created Division of Reentry Services.

As a result of being one of the original PORTAL reentry team members in District 19, Hargis was the recipient of the Achievement Award for that district in 2011. She assisted with the creation, development and implementation of the Field Training Officer (FTO) program that is currently being utilized in all 20 Probation and Parole districts, earning her a Commissioner's Award in 2014.

A 2006 graduate of Western Kentucky University, Hargis earned a bachelor's degree in psychology and criminology. In 2010, she earned a master's degree from the University of Louisville in justice administration. Hargis is a 2015

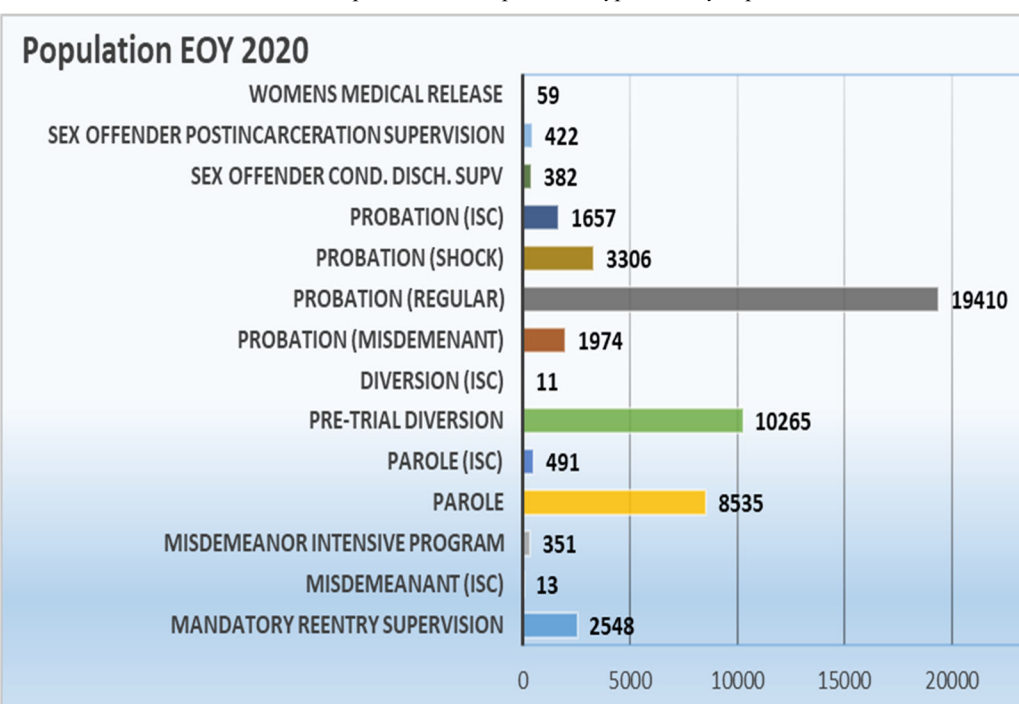
graduate of the Commissioner's Executive Leadership program.

Overview

The primary function of the Division of Probation and Parole is to protect the citizens of the Commonwealth. This is accomplished through community supervision of offenders placed on probation by the courts or released to parole supervision by the Parole Board. Officers serve each of Kentucky's 120 counties covering four operating regions, divided into 20 districts, structured into 57 supervision field offices spanning across the state of Kentucky.

The Division of Probation and Parole currently employs 775 staff (excluding vacancies), of which 541 are sworn probation and parole officers who, along with administrative staff, manage 49,424 active offenders on community or administrative supervision. This total

The below chart: Population and supervision type actively supervised.



Division of Probation and Parole

represents 12,378 parolees (25%) released by the Parole Board and 36,987 (74.83%) offenders placed on supervision by the court. The remaining 59, are individuals released as part of the SB 133 Women' Medical Release program, introduced in July 2018, specifically aimed at pregnant females assessed with a substance abuse disorder with a 218A offense. During 2020, 66 women have been released or placed, into an approved inpatient substance abuse recovery treatment program as part of the Women's Medical release program.

The current population on community supervision has seen a slight decrease in the caseload average since 2019, as of December 31, 2020, officers' current regular caseload average reported as 1:86. Managing time, resources and a supervised population during the very unusual pandemic situation provided a constant challenge within the division. The use of technology and electronic resources proved a valuable asset throughout 2020. Population management proved an invaluable necessity throughout the year. District supervisors continued to use specialized caseloads and low caseloads to concentrate focus on assisting those in the higher risk categories, providing greater oversight and guidance for their success while on community supervision. The ultimate goal throughout the supervision process is to reduce the risk to recidivate. As of December 31, 2020, 4,581 offenders, or 9.26% of the total population, were supervised on low risk caseloads by 27 officers with an average of 170

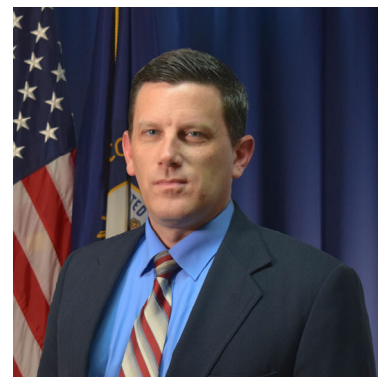


Steve Turner
Assistant Director of Probation & Parole

per officer; 2,494 offenders, or 5% of the total population, were supervised on an administrative risk level by 20 administrative staff. The division completed 48,165 risk needs assessments over the course of 2020, which accurately determines the risk level of each individual.

The supervision of offenders in the community saves the citizens of Kentucky \$76.40 per day: the current cost to have an offender on community supervision is \$3.84 per day versus the average cost of housing an inmate in a state facility is \$80.24 per day.

Offenders on supervision are required to obtain and maintain full-time employment as a condition of their release; this adds intangible benefits to the economy of Kentucky. Offenders may also be required to pay towards the cost of supervision and make restitution to their victims. During 2020, offenders paid \$853,088.75 in supervision fees. The department also collected \$495,319.71 in drug testing fees to deflect the costs of drug testing. Probationers and parolees also paid \$4,194,727.05 in victim restitution payments and completed over 15,315 hours of



Kirk Gausepohl
Assistant Director of Probation & Parole

community service work in their local communities.

During 2020, the division completed over 14,123 full pre-sentence investigations (PSIs) and 4,670 supplemental pre-sentence investigations by 18 dedicated PSI investigators and 56 specialized PSI officers. In 2020, the division conducted 12,992 community parole plan investigations, 1,747 home incarceration plans, processed 20,664 in-state transfer requests, and registered 3,268 with the sex offender registry.

In accordance with KRS 17.170, and in conjunction with the Kentucky State Police, Probation and Parole is required to complete DNA testing of all convicted felony offenders. The Department of Corrections submitted 8,793 completed DNA collections to the Kentucky State Police database in 2020. During this year, the division processed 909 civil rights applications and of those, 56 were granted and restored.

Specialized Programs

Sex Offender

The division has held a specific focus on sex offenders under

Division of Probation and Parole

supervision. As of December 2020, 35 officers statewide supervise 2,135 sex offenders with a caseload average of 61. In addition to monitoring cases from the court, the division currently monitors 382 individuals on sex offender conditional discharge and 422 individuals on sex offender post-incarceration supervision.

HIP

Over the course of 2020, the HIP team has performed 4,952 individual case reviews. The reviews involve a detailed screening process identifying those eligible as potential candidates who meet the criteria required. Safety is the utmost importance as all individuals placed on HIP are considered inmates until they successfully complete the HIP program. Throughout the year, 679 HIP individuals were successful on HIP resulting in release from HIP obligations and inmate status, allowing the individual to either receive a final discharge or transfer to mandatory or parole supervision as was the case for 1,150 individuals. During 2020 new GPS tracking equipment known as BLU tag received from the current supplier Securus, replaced outdated equipment. The new one-piece ankle monitor weighs less and has improved GPS reception and location both indoor and outdoor providing an increased degree of accuracy. The home incarceration program is a significant cost saving to the department. The new fiscal year 2020 daily cost per housed individual of those in county jails

without SAP (state inmates) is \$37.35 compared to the current cost of electronic monitoring \$4.92 per day which represents a cost savings of \$32.43 per individual per day when monitored through the HIP program.

MIP

The population count includes 351 offenders supervised under a program known as Misdemeanor Intensive Supervision Program (MIP), a successful partnership with Jefferson County District Court. Currently the contract is under review for renewal.

Jail Based Inmates & Class D

The division also provides services to over 8,763 jail-based inmates, including Class D programs. Additionally at the end of 2020, the division provided supervision services on a monthly average to 254 offenders in 19 Reentry Service Centers.

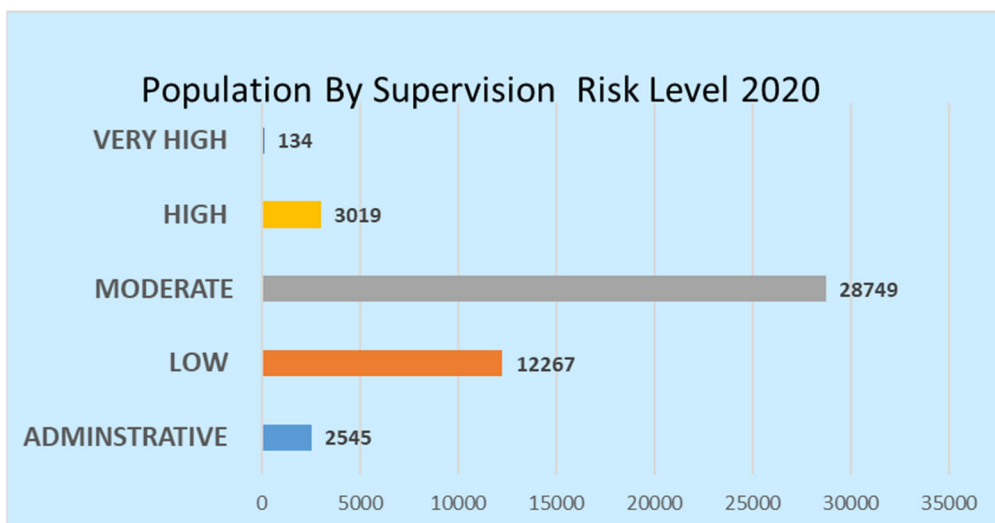
Interstate Compact

The division is also responsible for the administration of Interstate Compact, which transfers cases between states. In 2020, the Interstate Compact processed 1,439 transfer requests from other states to Kentucky and 2,689 transfer requests from Kentucky to other states. As of December 31, 2020 Kentucky actively supervised 2,172 offenders for other states and monitored progress reports for 5,060 Kentucky offenders living out of state.

Task Force

The Division of Probation and Parole partners with the US Marshals to form a fugitive task force in Louisville, Lexington, Newport and Pulaski areas. In 2020, the fugitive task force apprehended 227 Probation and Parole fugitives and assisted the apprehension of 199 individuals wanted by the US Marshalls.

Chart Below: Supervision levels of individuals on active supervision.



Division of Probation and Parole



Rebecca Carter
Central Region Branch Manager



Dan Fountain
Northern Region Branch Manager



Sabrina Farris
Western Region Branch Manager



Mike Caudill
Eastern Region Branch Manager

Significant Impacts

Recidivism is a constant challenge but the Division of Probation and Parole continuously improves services and programs to encourage and guide the offender to success. The division utilizes, when authorized by the court, graduated sanctions for those who violate probation, an alternative measure to immediate return and may grant early termination of supervision for those who are compliant. Regional managers have throughout 2020 undertaken the task of regularly reviewing and analyzing violation reports in consultation with the supervising officer and supervisor to seek an alternative solution to revocation. The goal is to provide the opportunity for success without compromising community safety. From 2019 to 2020 the division had a significant decrease in revocations both in those under the parole categories (a 37.72% decrease) and those under the court ordered categories (a 31.74 % decrease) which could be attributed to current conditions, commutation of early releases, consistent use of graduated sanctions in lieu of revocation, improved reentry programming techniques and increased support services.

House Bill 284 –Probation Program Credits- released July 15, 2020

The bill provided any supervised individual inactive or active, instate or Kentucky individuals supervised out of state, on probation, probation with an alternative sentence, pretrial diversion or conditional discharge to receive credit in the several areas.

Completion of an approved educational achievement resulting in a certificate, completion of an approved treatment or evidence-based program or maintaining employment resulting in work for time credit. Each successfully completed program or work for time credit when approved, applies credited days allowing the reduction of the final supervised end date. Ninety days prior to the revised end date, a special report known as the probation credit notice is submitted by the supervising officer to the sentencing court. Upon approval by the sentencing court the individual is released from the supervision obligations reinforcing the individual's successful commitment to intrinsic self-improvement.

Although the process was challenging to implement, the team approach and partnership with all divisions involved enabled the program to roll out on July 15, 2020. To date there have been 3,259 program or work for time credit entries issued to 2,233 offenders, for an overall total of 187,214 days of possible decreased supervision, a significant impact for those individuals and their commitment to success.

Reentry Drug Supervision Program (RDS)

The four year pilot program resulting from 17 RS SB 120 was designed to restore lives and reduce recidivism rates of inmates and parolees suffering from substance use disorder. The program consists of two phases lasting a

Division of Probation and Parole

minimum of 12 months or until the sentence is completed. Those who meet the criteria are assessed by Addiction Services and the Parole Board before being screened as a potential candidate for the program. Successful candidates can earn as much as 180 days of educational good time credit, 90 days for completion of intensive outpatient treatment, and 90 days for completion of a reentry drug supervision program. Clients must reside in Boone, Kenton or Campbell counties and must meet the four criteria defined by the program eligibility.

Results to date: 37 have entered the program and 22 successfully completed spending on average 359 days in the program. The estimated cost net savings in keeping these individuals out of incarceration is \$240,799, diverting a total of 4,499 days of cost avoidance. Only one of the group of successful graduates of the program has since returned.

Civil Rights

In response to Governor Beshear's executive order, 2019-003, Probation and Parole partnered with representatives throughout the department to develop and deliver a process ensuring every eligible individual was provided with the opportunity to either apply or gain automatic restoration of their civil rights, including the right to vote and the right to hold public office. The challenge and the dedicated professionals involved compiled a process and accomplished the task to roll out in March 2020 and leading to the

formation of a new civil rights team and welcome asset to the department.

2020 Supervision Adjustment

The pandemic created a whole new realm of change and adaption to an unusual circumstance. Probation and Parole quickly re-organized, prioritized and processed the barriers that COVID created for community supervision and the imperative need to remain in contact with all those on supervision. Every district as a team responded with the implementation of a plan of action to safely interact within the office and community supervised individuals, minimizing the risk of transmission while maintaining daily operation of duties. Each district organized essential onsite staffing requirements, ordered and distributed adequate personal protective equipment, cleaning supplies and essential environment modifications to protect everyone required to enter the facility. Service was not compromised, just adjusted to implement consistent safe practices, sanitation and familiarize both staff and offenders to the use of electronic virtual platforms and reporting procedures.

Training

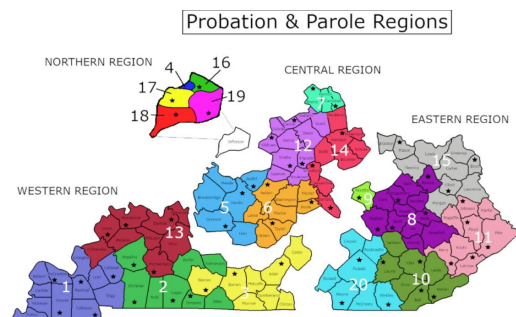
Impacted by the pandemic, training did see some progressive changes to maintain safety during the early part of the year. In January, the training team continued basic academy, graduating 20 officers. Basic academy in July took on a new

training platform to adjust to the circumstances. The training team worked tirelessly to produce a combination of virtual and in-person trainings. In addition, 54 probation officers completed the virtual portion and 23 officers completed the in-person portion during 2020.

ACA Program Security Review Audit

This was year two of the three year process, the challenge ensued throughout the first part of the year. By tradition the process was different than in previous years but once the process was established and the auditor's expectations of the unusual circumstances outlined, the process continued to final successful completion of the second year. The auditors conducting the review were exceptionally impressed with the motivation and dedication shown during their time shared with Probation and Parole.

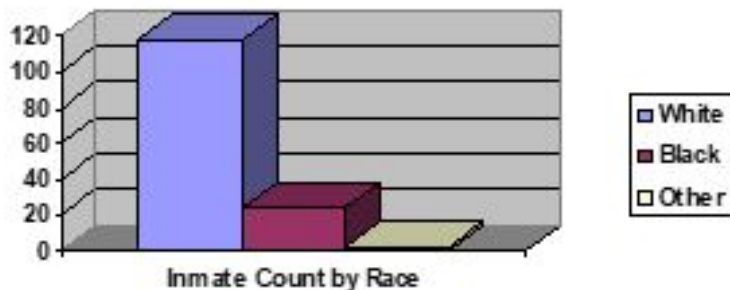
Probation and Parole is a team where everyone is a part of the process; the success of this team partnership depends on the valued leadership and the continued challenge to strive for success.



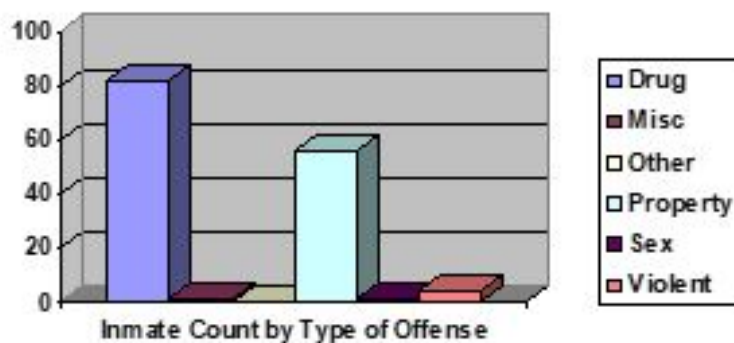
Division of Probation and Parole

Demographic Data

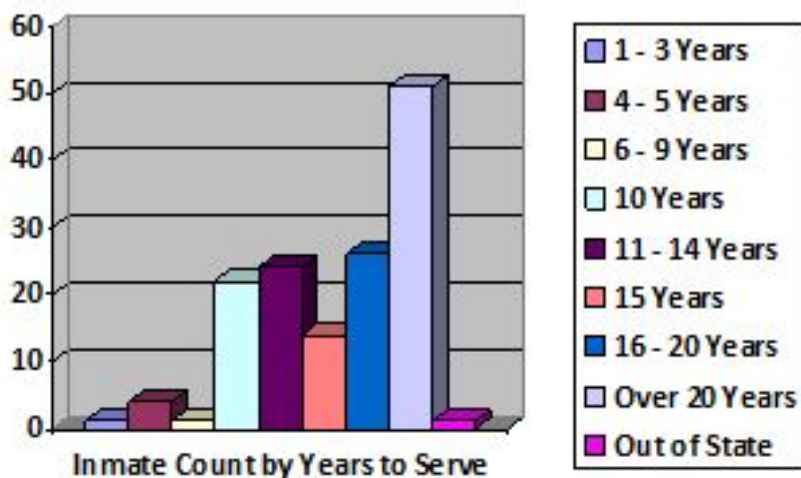
RACE	COUNT	PERCENTAGE
White	118	81.94%
Black	24	16.67%
Other	2	1.39%
Total	144	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	82	56.94%
Misc.	1	0.69%
Other	0	0.00%
Property	56	38.89%
Sex	1	0.69%
Violent	4	2.78%
Total	144	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1	0.69%
4 - 5 Years	4	2.78%
6 - 9 Years	1	0.69%
10 Years	22	15.28%
11 - 14 Years	24	16.67%
15 Years	14	9.72%
16 - 20 Years	26	18.06%
Over 20 Years	51	35.42%
Out of State	1	0.69%
Total	144	100.00%



Division of Local Facilities



Kirstie Willard

Director

Kirstie Willard

Kirstie Willard joined the department as an intern with the Division of Local Facilities in May 1999. She held the position until August 2001 while completing her bachelor's degree in correctional and juvenile justice studies at Eastern Kentucky University. Upon graduation, she worked as a graduate assistant at the University of Louisville while working on a master's degree in justice administration.

In October 2004, Willard returned to the department as a victim advocate in the Office of Victim Services. In September 2005, she was promoted to head the office, which included managing the statewide Victim Information and Notification Everyday (VINE) program.

Willard transferred to the Division of Local Facilities as a jail services specialist in March 2007. She has served as a jail inspector and a liaison to all jails across the state on the federal Prison Rape Elimination Act (PREA). Willard was promoted in February 2010 to corrections program administrator, where in addition to her role as a jail inspector

and PREA liaison, she handled the administrative duties of the Kentucky State Corrections Commission.

In 2014, she assumed supervisor duties of the Division of Local Facilities administrative staff, who are responsible for processing housing reimbursement, state inmate pay and catastrophic medical claims for the jails. Later that year, Willard was promoted to regional administrator for the Division of Local Facilities. In April 2016, she was named assistant director for the Division of Local Facilities, where she served until assuming the position of acting director in December 2017. In December 2018, she was appointed as director.

Willard is a 2014 graduate of the Commissioner's Executive Leadership Program, a member of the American Jail Association and the Kentucky Council on Crime and Delinquency.

Overview

The Division of Local Facilities provides support and technical assistance to local jails in overall jail operations, policy and procedure development, training, construction review and consultation and ensures compliance with the Kentucky Jail Standards.

The division's administrative staff works closely with county jails to process per diem payments for state inmates housed in jails, inmate pay, and catastrophic medical invoices. In addition, they provide documentation of deficiencies and monitor corrective action requests

and reviews, as well as enter program attendance for approved programming offered to state prisoners.

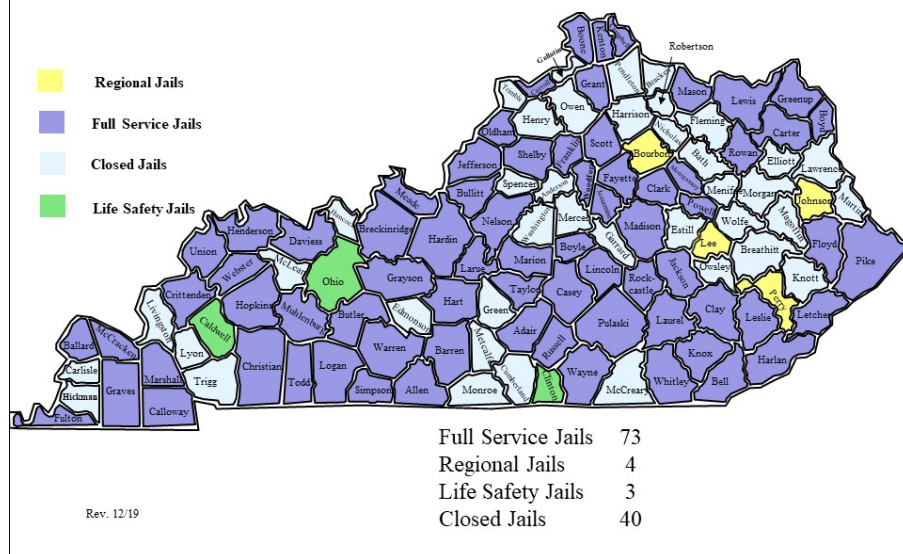
The Division of Local Facilities provides funding to 120 counties to assist them in defraying the cost of care and maintenance of prisoners through the Local Jail Allotment and the Restricted Medical Fund. Additionally, the division provides a Jailer's Allowance that provides training incentive funding for jailers and jail personnel.

State Inmate Population in County Jails

The population of state inmates in county jails decreased significantly in 2020. This can be attributed to the COVID-19 pandemic, which resulted in the conditional commutation of 1,881 prisoners, most of which were housed in county jails. The population was at its highest point in March, which was 10,760. The population dropped to its lowest level in September, which was 8,381 before rising slightly to finish out the year at a total of 8,813.

On average, the state inmate population for 2020 accounted for 48% of the county jail prisoner populations, up slightly from 2019. A majority of those state prisoners are Class D and Class C inmates that are eligible to be housed in county jails and eligible to participate in various programming offered at the jails. Controlled Intake (CI) prisoners make up the next largest population of state prisoners housed in the county jails.

2020 Jail Classifications



Division of Local Facilities

Finance

The Division of Local Facilities provided \$121,911,672 in funding to the 120 counties across Kentucky in FY 20. These funds are provided through several funding programs, such as per diem payment for housing state inmates, medical allotment payments, bed allotment payments, pay for inmate labor, payments for catastrophic medical claims and cost savings from the implementation of House Bill 463 which are earmarked for the Local Correctional Assistance Fund.

Housing Bills Audits and Payments

During 2020 the Department of Corrections paid Kentucky county jails a total of \$108,932,985 for housing state inmates. This amount was paid at a per diem rate of \$31.34 per inmate; \$29.43 for housing and \$1.91 for medical.

The Division of Local Facilities has the responsibility for auditing housing bills on a monthly basis and reimbursing county jails within 30 working days of receipt of their housing bills.

The Kentucky Offender Management System (KOMS) generates invoices listing all state inmates housed in jails. These invoices are compared with invoices submitted from county jails and are reconciled with assistance from jail and probation and parole staff. Once reconciled, the invoices are submitted for payment.

Inmate Labor

Local Facilities provides inmate

labor for many local community projects across the Commonwealth. Local jails that house state prisoners operate the Class D/C Work Program through the direction and guidelines of the Division of Local Facilities. Inmate labor can be used by the Commonwealth or an agency of the Commonwealth, a county or agency of the county, or by a nonreligious-sponsored nonprofit, charitable, or service organization. Community level inmates can be found working at local recycling centers, performing road side cleanup, collecting garbage, and working in the local animal shelters.

On average, there were 3,566 state inmates actively working in communities throughout the Commonwealth during 2020. This translates to an excess of more than 5.5 million hours of labor that was provided through Class D/C Inmate Work Program. During 2020, the Division of Local Facilities paid in excess of \$570,524 to prisoners participating in work programs in the county jails. The counties that

utilized these prisoners in the work program potentially save in excess of \$40 million during 2020, when multiplied by the current minimum wage.

Catastrophic Medical Claims

For FY20, Local Facilities was allotted \$687,835 to reimburse local jails for catastrophic medical claims incurred by county inmates declared indigent by the courts.

While the number of claims received from counties has increased, there are still very few counties that utilize these funds. The department continues to educate jailers, judge executives and fiscal court members about this resource, in hopes that they will begin to utilize it fully.

Inspections

Division staff conducted 80 routine jail inspections on all jail facilities across the Commonwealth. Traditionally, these inspections provide vital information as to the jail's physical plant condition, jail

Division of Local Facilities

operations, prisoner program availability and compliance with the Kentucky Jail Standards. They also typically provide the detention centers with guidance for improving overall operations. Due to the COVID-19 pandemic, staff were not able to physically visit the facilities. Instead, they conducted virtual inspections by collecting necessary paperwork electronically or through the mail and then scheduling a video/phone meeting with the jailer and staff.

Traditionally, division staff conduct 2 inspections per year for each jail. One is announced, while the other is a follow-up and is unannounced. Due to the declared state of emergency in Kentucky in March, and the inspector's inability to be inside the facilities, only one inspection was completed for 2020.

The inspection for a full-service facility contains 211 critical items including administrative function, information systems, personnel, physical plan, security areas, emergency procedures, sanitation, medical services, food service, classification, admission, release, prisoner programs and prisoner rights.

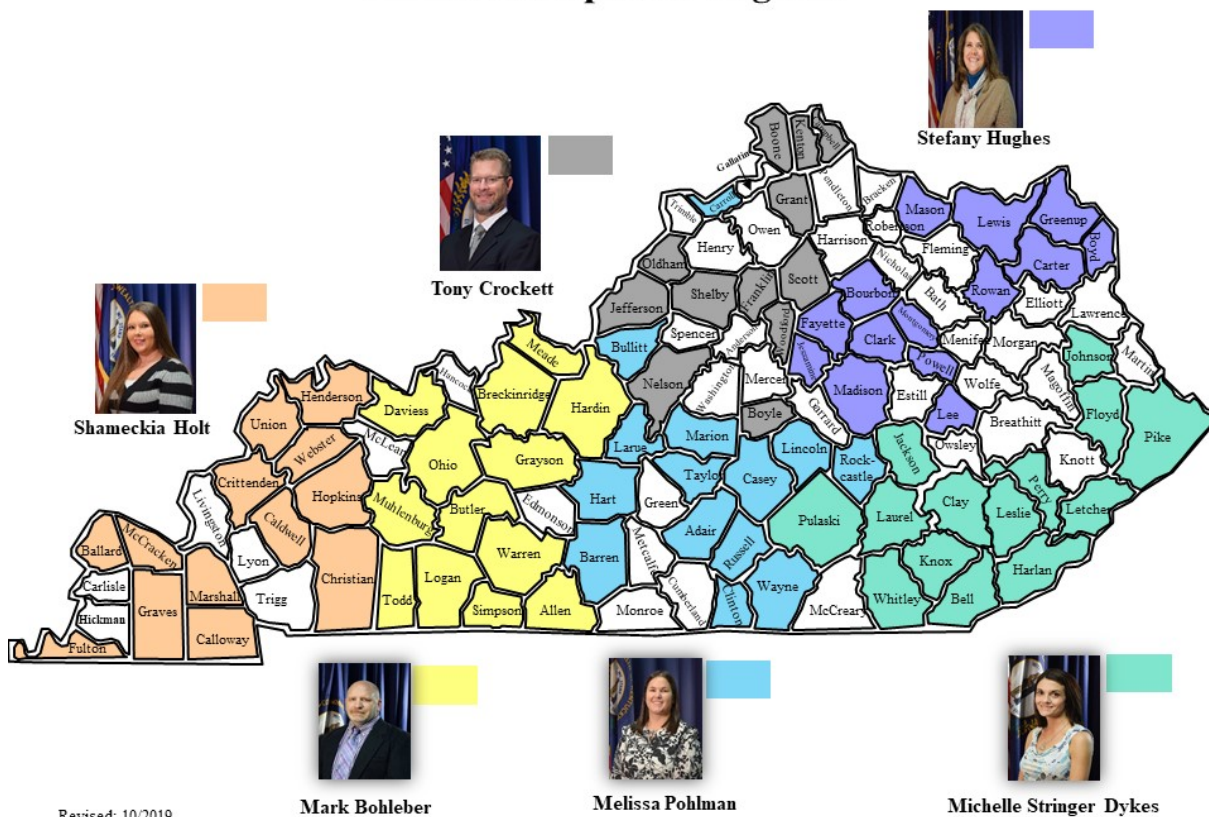
Construction

With the implementation of HB 463 in 2012, the department developed guidelines for the local jails to follow when considering new construction and/or renovations. There were no new construction, expansion or renovation requests submitted to the Local Correctional Facility Construction Authority in 2020.

Investigations

The division investigated over 1,512 written and phone complaints during 2020. Written complaints are generally received from inmates in the local detention centers and typically involve allegations that the jailer or their staff are not following statutory or regulatory requirements. Phone complaints are generally from inmate families or friends, other state agencies or local officials, but also typically involve allegations of jails not following statutory or regulatory requirements. All complaints are assigned to the respective jail services specialist for investigation and response.

2020 Jail Inspector Regions



Revised: 10/2019

Mark Bohleber

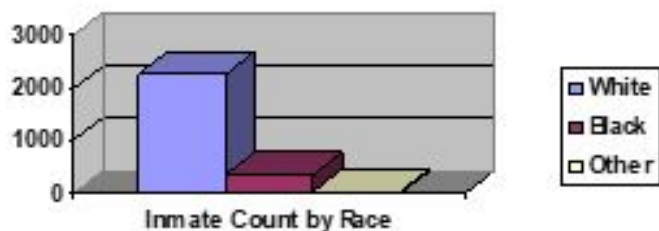
Melissa Pohlman

Michelle Stringer Dykes

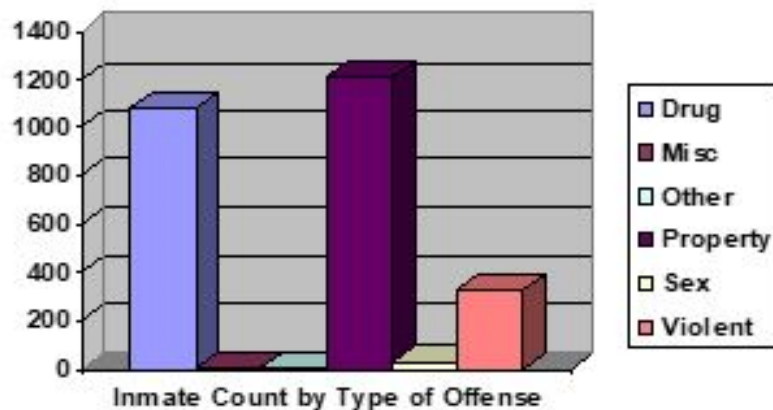
Class D Felons in County Jails

Demographic Data

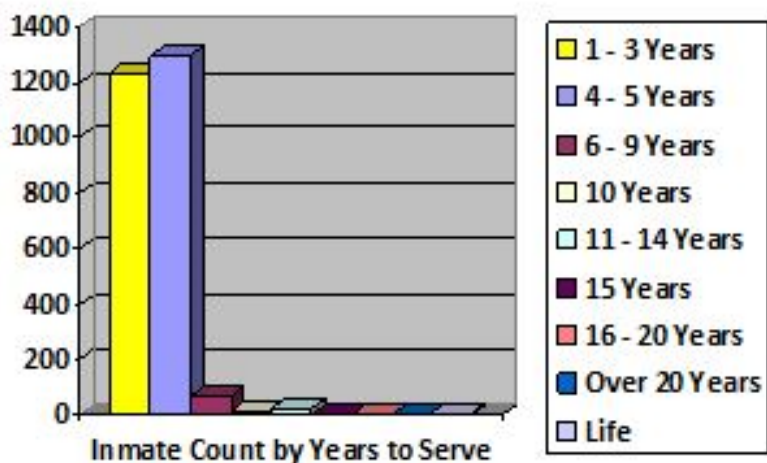
RACE	COUNT	PERCENTAGE
White	2254	85.25%
Black	345	13.05%
Other	45	1.70%
Total	2644	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	1077	40.73%
Misc.	1	0.04%
Other	6	0.23%
Property	1207	45.65%
Sex	28	1.06%
Violent	325	12.29%
Total	2644	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1232	46.60%
4 - 5 Years	1293	48.90%
6 - 9 Years	68	2.57%
10 Years	15	0.57%
11 - 14 Years	20	0.76%
15 Years	3	0.11%
16 - 20 Years	2	0.08%
Over 20 Years	3	0.11%
Life	8	0.30%
Total	2644	100.00%



Division of Addiction Services



Sarah Johnson



*Jeannie Waldrige
Assistant Director*



*Ashley Short
Executive Staff Advisor*

Director

Sarah Johnson

Assistant Director

Jeannie Waldrige

Executive Staff Advisor

Ashley Short

Sarah Johnson was named director of the Division of Addiction Services in July 2018. Most of Johnson's career has been spent working within the criminal justice system with individuals who have substance use disorders and mental health conditions. She was one of the three original social workers hired for the Department of Public Advocacy's (DPA) Social Work Pilot Project in 2006 and was instrumental in establishing the social work program and showing its value. In 2008, Johnson was awarded the Public Advocate Award for her work during the project. In 2011, she was the recipient of the Catalyst Award for her leadership and ability to promote positive change. In August 2011, she was appointed to the Kentucky Parole Board and remained there until she returned to the DPA in December 2015. At that time she served as the defender services branch manager, charged with

leading and overseeing the expansion of the Alternative Sentencing Worker Program.

Johnson maintains provider status with the Kentucky Board of Social Work and recently was approved as an ethics trainer. She has been invited to present on various criminal justice topics at several national trainings. Most recently, she was invited by the American Bar Association to attend the Senior Law Division Opioid Summit and was asked to stay on as a member of their opioid initiative work group.

Johnson has a master's degree in social work with a mental health and substance abuse concentration from the University of Kentucky and a bachelor's degree in social work from Morehead State University. She holds a license through the Kentucky Board of Social Work as a Certified Social Worker (CSW).

Overview

The Division of Addiction Services is responsible for clinically assessing and providing treatment, or connecting any individual with a substance use disorder that is under

DOC supervision to appropriate treatment. This includes those in DOC custody in our institutions and county jails and those under supervision through Probation and Parole. At this point there are approximately 18,000 individuals incarcerated in DOC custody and a little over 50,000 more under some form of supervised release. Addiction Services employs just over 100 individuals, comprised of clinical and administrative staff members. We currently have approximately 6,200 treatment opportunities, including those directly provided and those that are contracted.

Highlights

During 2020 there were multiple changes to the division to include the following:

- ◆ DOC Approved Providers
- ◆ Added a Program Administrator over Quality Assurance
- ◆ Marion County Detention Center's Reach program changed to SOAR
- ◆ Grant County Detention Center & Fulton County Jail added a SOAR program
- ◆ KOMS changes added to include

Division of Addiction Services

a new Addiction Services History dashboard

- ◆ Annual Addiction Services meeting held via Zoom with participants from RSCs, RKC's, jail SAP staff, and Division of Addiction Service staff
- ◆ Guide developed for jails & prisons on using MAT for Opioid Use Disorder
- ◆ Participated in multiple research projects
- ◆ Continued implementation of MAT expansion

SAMAT/MAT Updates

- ◆ Updated SAMAT protocol for jails and institutions using MAT for OUD/AUD
- ◆ Created new tool for tracking SAMAT clients in partnership with UK
- ◆ Conducted multiple trainings, both within the Department of

Corrections and with outside agencies, to educate on MAT/ MOUD options, implementation, benefits/risks, and reducing stigma

- ◆ Participated in several MOUD/ MAT grant funded research study partnerships, including: a small scale Vivitrol pilot study through NIDA, The Helping to End Addiction Long-term Initiative (NIH HEAL), Justice Community Opioid Innovation Network (JCOIN), and Kentucky Opioid Response Effort (KORE)
- ◆ Continued to expand pilot program through KORE grant funding to offer buprenorphine as a MOUD option prior to release from incarceration and connect them with community resources to continue MOUD treatment in the community

once released.

Substance Abuse Programs Added

- ◆ Added 20 beds to Hope Center SHARE program with two treatment tracks: SHARE-CO & SHARE-SMI-mental health only program
- ◆ Added 20 female and 80 male beds to Grant County Detention Center
- ◆ Added 19 female beds to Pike County Detention Center
- ◆ Added 80 SOAR beds to Grant County Detention Center which includes 66 male and 14 female beds
- ◆ Added 96 female beds to WestCare/Ashcamp
- ◆ Added 36 male SOAR beds to Fulton County Jail

Addiction Services Directors, Central Office Staff, and Branch Managers Back Row: Jeannie Waldrige, Jessica Tate, Denise Thorpe, Jay Volkert, Marka Burns, Veronica Hunt, MaryAnn Strickland. Front Row: Sarah Johnson, Kendall Hall, Ashley Short, Mylea McFelea, Hillery Ohnemus, and Erin Kron-Chia.



Office of Adult Institutions



Randy White, Deputy Commissioner

Operations
Janet Conover, Director

Food Service
Amanda Durrett, Branch Manager

Policies and Procedures
Brandon Lynch, Program Administrator

Internal Analyst
Valerie Moore

Private Prison Operations
Vacant

Education Division
Ravonne Sims

Health Services Division
Cookie Crews, Administrator

Capital Construction
Guvnant Shah, Branch Manager

Programs Services
Debbie Kays, Branch Manager

Operations Program
Alicia Bloyd

PREA
Shannon Butrum

Emergency Management
Vacant

Kentucky Correctional Industries
Toney Bailey

Office of Adult Institutions



Janet Conover

Director

Janet Conover

Janet Conover began her career in 1989 as a correctional officer at KCIW. In 2004, she was promoted to executive staff advisor for Adult Institutions. Two years later, Conover was promoted to deputy warden at KCIW. She was named warden of KCIW in 2010. In 2019 she was named director of operations for the Office of Adult Institutions.

Conover is a graduate of Bellarmine University where she earned a bachelor's degree in psychology and sociology. She is a 2007 graduate of the Commissioner's Executive Leadership Program.

Conover received the Employee of the Year award in 1995 from KCIW and the 2016 Warden of the Year Award.

Overview

The Operations Division within the Office of Adult Institutions provides centralized management of the necessary daily operational functions within the 13 adult correctional institutions.

The division also directly supervises the state employees and vendor staff that comprise the Capital Construction Maintenance Branch (CCMB) which provides architectural, engineering and maintenance/construction support to the department; the Food Service Branch and contract monitoring staff; the Programs Services Branch and providers and instructors which support the department daily.

Capital Construction

CCMB manages construction and maintenance activity of 627 DOC buildings, with a total occupied area of 4.4 million square feet (building ages range from 18 years to 130 years) and oversees funds allotted for capital construction and equipment purchases appropriated by the General Assembly for the Department of Corrections' 13 penal institutions. CCMB strives to provide energy efficient buildings and energy efficient equipment and appliances.

The staff consists of five employees, including a registered engineer, a registered architect, two project managers and a budget specialist. CCMB staff has a total of 160 years of experience in the areas of construction, engineering and architecture and possess licenses in Engineering, Architecture, LEED, HVAC, Asbestos sampling and Lead Based Sampling. In addition, this branch is also responsible for designing small construction projects and provides assistance on OSHA and

Gunvant Shah
Capital Construction

environmental matters, operational guidance on water and sewage plants, assists on lead and asbestos matters, troubleshoots engineering and maintenance problems and prepares EPA permits for boilers and emergency generators. Each member of this staff plays a vital role in each project all the way from inception through completion. CCMB also establishes and coordinates seminars on various construction and engineering practices for all DOC maintenance staff. CCMB thrives on team work, efficiency, accountability, respect, professionalism and total transparency.

CCMB staff also performs environmental audits for all 13 institutions that must comply with American Correctional Association (ACA) standards.

The CCMB began calendar year 2021 with 68 projects with allotments totaling \$20,974,531.52 and ended calendar year 2020 with 59 projects with allotments totaling \$16,530,245.79. These totals represent projects carried forward from the previous biennium that are currently still active.

Office of Adult Institutions

The Legislature appropriated \$12,231,000.00 for calendar year 2019. This amount included \$6,531,000.00 to repair/replace roofs at EKCC and Install Emergency Generators at LLCC and GRCC, \$5,700,000.00 and \$5,000,000.00 for the miscellaneous maintenance pool. In calendar year 2020, CCMB opened 41 new projects and closed 19.

Currently Energy Company-ESG replaced 2,430 exterior light fixtures with LED lights to improve security and enhance light levels at DOC's correctional facilities. RCC, LLCC and KSR have had all interior lights replaced with 11,583 new LED lights. New water mains were installed for KSR, LLCC, and RCC to cut down water losses. LLCC has been converted from all electric heating system to gas heat. New energy efficient HVAC system has been installed for all five dorms at LLCC. Utility savings of \$1,126,753 and operational savings of \$145,081 are expected from the above energy efficient improvements.

The energy projects for all DOC facilities have been completed with a result in energy savings of \$2,940,143.00. These savings come from energy improvements in lighting, plumbing and HVAC improvements.

CCMB also prepares, coordinates and submits the six-year capital plan and the biennial capital budget request for the department. These requests are facilitated through the effective communication and coordinated efforts with the Finance

and Administration Cabinet, Facilities Management and the Governor's Office for Policy and Management.

Cost cutting, increasing work efficiency, total transparency and work ethics have been the primary goal of CCMB. These methods have saved an estimated \$420,600.00 by (1) in-house projects design, (2) using inmate labor and (3) Value Engineering. Thus, CCMB has been able to effectively stretch the miscellaneous maintenance budget, enabling the completion of many needed projects that would not have been possible utilizing conventional contract methods.

Food Service Branch

The goal of the Food Service Branch is to provide each inmate a wholesome and nutritious diet within a set budgetary allowance. Meals are prepared and served under strict sanitary conditions according to regulations set by the Kentucky Department for Health Services, as well as the American Corrections Association standards, including food service and sanitation standards.

The branch operates with a branch manager who is a registered dietitian and is licensed in the state of Kentucky along with a corrections program administrator and a district food operations evaluator. Currently, the corrections program administrator and district food operations evaluator are cross-trained to inspect all adult institutions as well as Department of Juvenile Justice (DJJ) facilities



*Amanda Durrett
Food Service*

across the state. Each position is responsible for an assigned east or west region.

The Food Services Branch also assists the Department of Juvenile Justice by having the registered licensed dietitian approve menus for their 23 facilities across the state; including Residential Treatment Centers, Detention Centers and Group Homes. The departments' Food Services Branch also provides any needed assistance throughout the year.

The Department of Corrections maintains its partnership with Aramark Correctional Services (ACS) who operates the 13 state-run institutional food service areas. ACS provides expertise in food service operations, as well as volume buying power, which combine to create efficient and quality food service operations. The DOC oversees the operations to ensure contract compliance and that all regulations and policies are followed.

During 2020, Southeastern Correctional Complex opened in Wheelwright, Kentucky. During 2020 the facility's population was gradually increased. A quarterly inspection at this facility was

Office of Adult Institutions

completed.

Each institution follows a “Master Menu” planned by dietitians in accordance with Recommended Daily Allowances as recognized by the National Academy of Sciences and approved by the department branch manager. The menu follows a 28-day cycle with a Spring/Summer cycle and a Fall/Winter cycle. The menu provides an average of 2800 calories per day. Annual resident inmate surveys are conducted and the results of this survey, along with input from institutional personnel, are taken into consideration when revising the Master Menu and improving daily food service operations. Along with the menu surveys, ACS conducts waste management programs in each facility that aid not only in menu development, but in product specifications as well. Menus are revised mid-year and allow less favorable items to be removed and more popular items to be added.

Menu items are prepared for the main line with limited or no salt, limited seasonings, and restricted fat or meat based seasonings. It is the goal of the department to improve diet through healthy cooking on the main line. The long-term benefit being reduced medical costs for the inmate population.

Food Service also feeds one meal per shift to staff while on duty. The department feels while this benefits staff morale, it also provides additional security in the dining rooms during the meal period.

Throughout 2020, a global

pandemic affected all facilities throughout the state, providing many challenges to the entire corrections population. Population rates dramatically decreased, inmate labor was at times brought to a complete standstill and Aramark staff was required to operate on a newly formed ‘pandemic emergency menu’. Many challenges were faced at all facilities with Aramark teams providing new and unique ways to feed the inmate population. Department of Corrections inspectors completed their final quarterly inspections and bi-annual reviews ‘virtually’ in order to maintain safety to all affected.

In 2020, 11,330,160 inmate meals were prepared with an additional 48,726 kosher meals and 161,912 staff meals at a cost of \$12,666,855. The corrections program administrator works closely with ACS to ensure accurate billing for each facility. Monthly invoices are audited based on monthly reports provided by each facility.

The Food Services Branch continues to monitor all facilities closely by conducting quarterly inspections, bi-annual documentation reviews and monitoring the food service contract. Over 53 sanitation inspections and 28 reviews, including follow-up inspections, were conducted throughout the year. The Food Services Branch maintains constant contact with the facilities through email and phone calls. The closer contract monitoring has made the vendor more responsive to the department and has resulted in improved food



Debbie Kays

Programs Service Branch Manager

service operations. The Food Services Branch also provided 12 inspections for the Department of Juvenile Justices’ Residential Treatment and Juvenile Detention Centers. Information collected during the reviews of DJJ facilities are reported to the Juvenile Justice Branch Deputy Commissioner, Division Director and Quality Assurance Branch and maintained in the DOC database.

The Food Services Branch continues its partnership with the Capital Construction Branch to improve food service equipment efficiency and maintenance with reviews of equipment issues before decisions are made to repair or replace. This partnership has not only maintained costs in this area but also saved the Commonwealth significant dollars by repairing equipment instead of purchasing new, whenever cost effective.

Under this partnership, equipment inventories were updated at each food service facility. In addition, specifications for equipment are being standardized so that when possible, similar equipment will be purchased for all facilities making repairs easier and eventually develop

Office of Adult Institutions

a parts inventory.

The inmate food service training program, In2Work (I2W), conducted by Aramark continues to grow and be successful. This program is an institutional inmate food service training program that provides inmates with food service instruction and practical work experience.

During 2020, the Food Services Branch continues to work with all branches of the department. The branch manager may approve menus (with proper nutritional info) for Local Facilities as requested. The branch manager also has worked closely with the Medical Services Branch by ensuring that policy is adhered to regarding medical diets and by providing documented verification to the Institution Food Service Directors as needed. This information is reviewed and verified at each bi-annual review for each institution.

The department also worked with our food service vendor Aramark to implement a habitual support agreement with a contract Rabbi to ensure that our food service operations meet all tenets of the Jewish Kosher practice. This contract Rabbi is funded through the Aramark contract.

Programs Services Branch

The Programs Services Branch manager operates under the direct supervision of the director of operations. The branch manager is responsible for supervising a program administrator responsible for policies and procedures; a program administrator responsible for religious



Brandon Lynch
Policies & Procedures

services and security threat groups; a program administrator responsible for tracking incident reports and trends for Adult Institutions; and a program coordinator responsible for analyzing use of force videos, assisting with analyzing incident report data, STG, and KCI audits.

This branch oversees evidence-based, promising practice, and life skills inmate programming within the 14 adult correctional institutions. These programs are operated by both Department of Corrections staff and contract facilitators. The oversight includes providing and reviewing quality assurance measures, ensuring contract compliance and coordinating facilitator training programs.

Included in the scope of responsibility are the following staple programs: Moral Reconnection Therapy (MRT); Thinking for a Change; additional components of MRT to include an evidence-based parenting program for female offenders which are cognitive behavioral oriented programs; along with Inside Out Dads, a parenting program for male offenders; and



Alicia Bloyd
Operations Program Administrator

PORTAL New Direction. An evidence-based program to address the specific needs of our segregation population has been developed and implemented. MRT, Thinking for a Change, Inside Out Dads (24/7 Dads), and PORTAL New Direction have been implemented for use in the continuum of care from the institutions to the community to include Probation and Parole. These efforts are also being monitored by this branch.

Religious Services

A program administrator provides oversight of religious programming throughout the state's adult institutions by monitoring compliance with DOC policies and the departmental Religion Reference Manual. Responsibilities include answering correspondence from the institutions, assisting with answering inmate grievances, working closely with the food service branch manager regarding religious diets and meals, performing an annual review and updating of the Religion Reference Manual and CPPs, and conducting annual training and

Office of Adult Institutions

quarterly teleconferences with the institutional chaplains.

Security Threat Group

The security threat group (STG) administrator provides oversight of the department's security threat group coordinators, which includes attending training with outside agencies (KY Homeland Security, FBI, KY State Police, etc.) and disseminating information through annual and bi-annual teleconference trainings to the STG coordinators. The STG administrator monitors all IRTs and possible trends involving STG assessed inmates and assists institutions as needed.

The internal policy analyst reviews all incident reports submitted from Adult Institutions, prepares written reports including charts and graphs detailing the current and past trends, and assists in identifying possible problematic areas.

Policies and Procedures

Adult Institutions staff oversee the Department of Corrections' policies and procedures. Staff facilitate the revisions, legal review, and Legislative Research Commission (LRC) approval process. Additionally, staff facilitates the same process for all 13 institutional policies and procedures while following ACA guidelines.

The procedures development coordinator is responsible for the development, revision and procedural review of all DOC Policies and Procedures (CPPs). A



Jon Collett
Special Projects & Adult Institution's support

review of all Institutional Policies and Procedures (IPPs) is conducted annually and feedback is given as needed. This office also provides procedural process to Legal Services and the LRC.

There were 43 CPPs (LRC, Non LRC and Secured) that were revised, approved, became effective and were placed on the DOC website in 2020.

In 2020, two new CPPs were created: CPP 30.4 Probation Program Credit and CPP 30.7 Substance Abuse Program Good Time Credit. The Department of Corrections Classification Manual was filed November 2, 2020 and is currently pending the LRC process.

In 2020, 53 non-secure IPPs were processed through the LRC. This regulation was 501 KAR 6:120.

There were a total of 251 non-secure IPPs reviewed and sent for Legal Services review. These regulations were 501 KAR 6:040, 501 KAR 6:070, 501 KAR 6:130, 501 KAR 6:150, and 501 KAR 6:230.



Valerie Moore
Internal Policy Analyst

Regulations that were effective in 2020 include:

- ♦ 501 KAR 6:020-Corrections Policies and Procedures
- ♦ 501 KAR 6:999-Corrections Secured Policies and Procedures
- ♦ 501 KAR 6:280-Risk and Needs Assessment

Prison Rape Elimination Act (PREA) Division



Shannon Butrum

Assistant Director
Shannon Butrum

Shannon Butrum was promoted to assistant director of the PREA Division in 2019. She serves as the PREA coordinator for the Department of Corrections and oversees the PREA compliance process throughout the state.

Butrum began her career in 2001 as a correctional officer at the Kentucky Correctional Institution for Women (KCIW). She was promoted through the security ranks at KCIW to sergeant in 2004 and lieutenant in 2006 before promoting to the position of procedures officer in 2007.

During her time with the department, she has been a member of the Corrections Emergency Response Team (CERT), Crisis Negotiation Team, and served as KCIW's public information officer, litigation coordinator, and trainer for the Kentucky Offender Management System (KOMS).

In 2013, Butrum was named the PREA compliance manager for KCIW and became a Department of Justice (DOJ) certified PREA auditor in 2014.

During her career Butrum has been recognized with several awards including KCIW Supervisor of the Quarter, a KCIW Achievement Award and DOC Commissioner's Award. She is also a graduate of the 2015-2016 Commissioner's Executive Leadership Program.

Overview

The Prison Rape Elimination Act (PREA) was signed into law on September 4, 2003 to address the detection, prevention, and prosecution of sexual harassment and abuse in all correctional facilities in the country. The Kentucky Department of Corrections has a zero tolerance policy towards all forms of sexual abuse and sexual harassment.

The PREA Division is responsible for developing, implementing and overseeing agency efforts to comply with the national PREA standards as set forth by the United States Department of Justice (DOJ). This involves working closely with facility PREA compliance managers in all state-operated and contract facilities, as well as staff at Reentry Service Centers and Recovery Kentucky Centers to ensure that compliance with PREA standards is maintained.

Highlights

During 2020, the PREA Division oversaw successful DOJ PREA audits at one adult institution, the Green River Correctional Complex. Other facilities scheduled to be audited during 2020 were

postponed due to the COVID-19 pandemic and are scheduled for completion during 2021. The PREA Division also participated in a successful DOJ PREA audit at the Lee Adjustment Center, a facility contracted through CoreCivic to house male offenders. Compliance visits were also completed at five Reentry Service Centers to ensure that compliance is being maintained during non-audit years.

During 2020, the PREA Division completed a project with the national criminal justice consulting firm, The Moss Group (TMG). The recommendations from this project, implemented in 2020, have ensured that the Kentucky DOC has standardized processes for each area of PREA compliance, including data tracking, offender education, staff training, and sexual abuse incident reviews. TMG also conducted the first PREA compliance manager training in Kentucky for PREA compliance staff from all adult facilities, the Division of Probation and Parole and the Department of Juvenile Justice in the spring of 2020.

Additionally, in order to standardize audit file documentation throughout adult facilities, the PREA Division developed agency documentation files and a file compilation tool for use by PREA compliance managers. This along with an annual documentation review schedule will ensure that documentation is monitored and maintained during non-audit years.

The PREA Division has also continued to enhance its

Prison Rape Elimination Act Division (PREA)

collaboration with the Kentucky Association of Sexual Assault Programs (KASAP) by collaborating with a PREA Work Group and Sexual Abuse Response Team Advisory Committee throughout 2020.

Due to the cancellation of the 2020 Conference for Ending Sexual Assault & Domestic Violence, where required training for advocates is normally presented, the PREA Division collaborated with the Division of Corrections Training's Curriculum Branch to convert Certified Volunteer training into an online training module for local advocates. This ensured that trained advocates continued to be available

to provide services as necessary. Additionally, KASAP and the PREA Division worked together to develop guidelines that will allow incarcerated survivors virtual one-on-one sessions with victim advocates. This collaboration was in response to the suspension of in-person visitation due to COVID-19 restrictions. Virtual sessions are scheduled to officially launch in January 2021.

Lastly, due to restrictions enacted with the COVID-19 pandemic, the PREA Division converted PREA risk assessment training and PREA investigator training into virtual formats enabling them to continue needed training without in-person,

large group sessions. Both of these will continue to be presented virtually into 2021.

Pictured below: DOC staff attending PREA compliance managers training



Private Prison Operations Division / Emergency Management

Director

Vacant

Private Prison Operations

The Department of Corrections Private Prison Operations Division has provided oversight of CoreCivic's Lee Adjustment Center, an 816-bed medium security facility Beattyville, KY, since its opening in fall 2017. The first inmates from Kentucky DOC arrived at the facility in March 2018. Since the facility opened, the Private Prison Operations Division has collaborated with CoreCivic to provide ongoing training to Lee Adjustment Center staff to assist them in providing the same level of services found in Commonwealth operated prisons.

Lee Adjustment Center has continued to provide comparable program, education, and reentry opportunities as other medium security prisons in the Commonwealth, including some evidence-based opportunities for offenders that are only available at this facility. Fuel Education, commonly called FuelEd, provides cutting edge training in technology, allowing offenders to eventually obtain industry standard certifications. The Threshold Program is a reentry program designed to grow the inmate's personal faith by addressing major life issues from the point of view of their own religious faith. At its core, the Go Further initiative is intended to inspire a collaborative approach between the offender and

staff in which reentry planning begins upon the offender's arrival at a CoreCivic facility and continues throughout his stay.

In addition to the ACA accreditation, Lee Adjustment Center also achieved Prison Rape Elimination Act (PREA) accreditation during 2020. No deficiencies were identified during Lee Adjustment Center's PREA audit in late July. Major projects related to the physical plant at Lee Adjustment Center during the year included the addition of a lock shop outside the secure perimeter of the facility. Video inmate visitation was implemented online which permitted inmates a way of maintaining ties with friends and family while in-person visitation was suspended during the COVID-19 pandemic.

Emergency Management

Emergency Management ensures the readiness of the Kentucky Department of Corrections to effectively respond to threats and emergencies, conducts and coordinates incident management activities, and supports public safety and security initiatives across the department. Additionally, Emergency Management oversees the use of force review process for adult institutions, manages allowable force related equipment and munitions, and administers the department's cell entry and extraction related initiatives.

In 2020/21 the Emergency Management team developed and introduced a Corrections Emergency Response Team Operations Manual. This manual serves as a guideline for standards of tactical procedural operation, as a training tool for all 14 CERT teams in the DOC and ensures that standard operating procedures are taught, practiced and consistent statewide.

Additionally in 2020/21 the Emergency Management team was instrumental in researching, procurement and the implementation of a fleet of Conducted Energy Weapons (CEW) or "tasers" as a form of non-lethal use of force within all Kentucky prisons. The introduction of an improved model provides staff with an option to deploy less than lethal force during use of force situations, provides for a more modern and improved model of CEW with greater capabilities, and considerably expands the number of CEWs in use within the DOC, further enhancing the safety of inmates, staff and the public.

Educational and Vocational Programs



Ravonne Sims

Director

Ravonne Sims

Assistant Director

Donna McClamroch

Branch Manager

Amber Skaggs

Branch Manager

Steven Meadows

Ravonne Sims began her career in 1999 as a classification and treatment officer at Roederer Correctional Complex's Assessment Center in LaGrange. After a promotion to a microbiologist I at the Department of Public Health in 2000, Sims returned to the Department of Corrections in 2001. In 2003, she was promoted to a corrections unit administrator I at Luther Luckett Correctional Complex (LLCC). Two years later she was promoted again to a corrections unit administrator II at the Kentucky State Reformatory (KSR) where she supervised the prison's 130-bed segregation unit. In 2011, Sims was promoted to deputy warden of operations at KSR and

supervised the institution's support services, including the medical department, mental health, food service, correctional industries, religious services and recreation. In 2012, she was named the deputy warden of security.

In August 2013, Sims was appointed as warden of the Roederer Correctional Complex (RCC). While serving as warden she took on the additional responsibility of acting deputy commissioner of support services at Central Office in September 2017. When the position was filled the following May, Sims agreed to serve as acting commissioner for community services until that position was filled. During this time Sims was also appointed to the State Advisory Council for Exceptional Children as the adult corrections representative for the council, a role she continues to hold.

Sims served on the Corrections Emergency Response Team (CERT) at RCC and LLCC from 2002-2005 and has received training in crisis negotiations. She was appointed to coordinate classification issues at Northpoint Training Center for offenders involved in the disturbance that occurred in August 2009.

Sims is a graduate of the University of Louisville where she earned bachelor's degrees in biology and psychology. She is a 2006 graduate of the Commissioner's Executive Leadership Program. In 2018, she received the Warden of the Year

Award at the department's annual awards ceremony.

Overview

The Corrections Education Division complies with numerous State and Federal education requirements as well as partners with several agencies to bring Kentucky's offender population diverse educational opportunities. When fully staffed, the Education Division has a workforce of 89, with two primary areas of administration within the Education Division: Adult Education and Career/Technical Education.

The first area is Adult Education, which includes three levels: literacy; adult basic education; and adult secondary education or high school equivalency (GED®). DOC completed the 2020 calendar year with 66 offenders earning their high school equivalency (GED®) credentials. The Corrections Education Division remains committed to computer-based GED® testing as well as GED Ready™ testing. Thirteen DOC Education Centers and one Private Prison Education Center are certified by Pearson VUE as official Pearson VUE GED® Testing Sites.

A second area of administration incorporated within the Education Division includes the following Career/Technical trade classes: Automotive Body/Collision Repair; Automotive Technology; Carpentry/Cabinet-Making; Computer Management; Introduction to Computer Science; CT Fundamentals; Electrical

Educational and Vocational Programs

Technology; Horticulture & Landscaping; Heating & Air Conditioning (HVAC); Masonry; MOS/IC3 (Microsoft Office Specialist/Internet Core Competency Certification); Small Engine Repair; and Welding. To ensure career/technical students are meeting business/industry needs and to keep a strong focus on safety, obtainment of the OSHA 10 Hour General Industry and the OSHA 10 Hour Construction cards are required as part of the existing CT Fundamentals curriculum.

The National Center for Construction Education and Research (NCCER) nationally recognized curricula are used in Carpentry/Cabinet-Making, Electrical Technology, HVAC, Masonry, Welding, and many components within the CT Fundamentals program. In 2020, offenders were awarded 105 NCCER program completions.

Another national-level certification program, the National Occupational Certification Training Institute (NOCTI), provides the exit examination for non-construction trades including Auto Body/Collision Repair, Automotive Technology, Computer Management, Horticulture, Introduction to Computer Science, and Small Engine Repair. At the close of 2020, the Education Division had 74 students pass the exit examination.

Both the Federal and State Departments of Education strive for students to be college and career ready upon completion of high school; and in the world of

Corrections, re-enter into society. The National Career Readiness Certificate (NCRC) is an industry-recognized, portable, evidence-based credential that certifies essential skills needed for workplace success. Aligned with ACT®, the NCRC is emphasized as a career readiness tool that offenders participating in corrections education programs are required to pass as a component of CT Fundamentals. In calendar year 2020, a total of 139 NCRCs were awarded in Adult Institutions. To further an incarcerated students' academic career, Postsecondary Education is offered both on-site and via correspondence courses: Seven degrees during calendar year 2020 were obtained: Two Associates of Arts, four Associate of Science and one Associate in Applied Science. To ensure the incarcerated students have adequate technology-related skills for today's workforce, the Microsoft Office Specialist (MOS) program has been a staple of the correctional education experience. Consisting of IC3, Microsoft Office Word, Excel, PowerPoint, and Outlook, five students completed the Microsoft Office Specialist throughout 2020.

To summarize, the Corrections Education Division continues to be successful in adult education and career/technical classes throughout the state of Kentucky. Perhaps the best way to see this leadership is with the following savings to the Commonwealth. Calculations are based on 90 day educational good



*Donna McClamroch
Assistant Director*

time awards multiplied by a \$34/day cost to incarcerate.

In calendar year 2020, the 66 DOC institution-awarded GEDs® resulted in a savings of \$201,960, while the 191 career/technical and college completions resulted in a savings of \$584,460. Additionally, there were 202 county jail-obtained GEDs in which the Education Division awarded educational good time that resulted in a savings of 18,180 days of incarceration or \$618,120. A total of \$1,404,540 in days of incarceration was saved.

Due to the COVID-19 pandemic, educational achievements were compromised and negatively impacted in 2020.



Kentucky Correctional Industries



Toney Bailey

Director

Toney Bailey

Fiscal Branch Manager

Julie Wooldridge

Business Development

Vacant

Farms Branch Manager

Truman Tipton

Director BIO

Toney Bailey was named director of Kentucky Correctional Industries (KCI) in June 2020. He began working for KCI in 2005 as a production coordinator at Green River Correctional Complex (GRCC). The next year he was promoted to production supervisor, responsible for monitoring production machinery and overseeing employee training. In 2009, Bailey was promoted to the operations manager of KCI at GRCC. In addition to developing and implementing daily operational plans, his management focused on maximizing efficiency and promoting products. While at GRCC, Bailey also served as co-commander of the Crisis Negotiation Team (CNT) from 2010 to 2017.

Prior to his time with KCI, Bailey held a variety of positions related to

criminal justice. This included several years as a military police officer for the United States Army in Georgia and a police officer in Alabama. From 1999 to 2005 he worked as a youth worker and youth worker supervisor for the Department of Juvenile Justice at the Green River Youth Development Center located in Cromwell, Kentucky.

Bailey is a graduate of Eastern Kentucky University, where he obtained a bachelor's degree in correctional and juvenile justice studies. In 2013 and again in 2019, Bailey was chosen as the Kentucky Correctional Industries Manager of the Year. He is a member of the National Correctional Industries Association (NCIA).

Overview

Kentucky Correctional Industries (KCI) comprises 15 industries in eight facilities within Kentucky's prison system in addition to four farm operations. It has been producing quality goods and services for government agencies, private businesses and the citizens of the Commonwealth for over 50 years.

In 2020, KCI employed between 500 to 600 inmate workers within the above-mentioned 15 industries including up to 100 inmate workers on the farms as seasonal needs dictated and as the spread of the COVID-19 virus spread throughout our prison system.

The job opportunities provided through Correctional Industries are

highly sought after by our inmate population due to their relatively high pay and the career learning opportunities afforded.

KCI strives to provide a work environment that matches, as closely as possible, what would be available on the "outside." There is an interview process, pay increases based upon performance evaluations, a time clock to punch and opportunities to work in a team environment.

As a result, Kentucky Correctional Industry products and services offered are of high quality and competitively priced. Consequently, our prisons are more effectively managed, society is delivered significantly less repeat offenders, and the tax dollars needed from the citizens of the Commonwealth are greatly reduced.

Fiscal

KCI continually reviews and implements changes to operating processes to improve its overall financial position. These changes are to reduce operating costs as well as improved cash flow and customer service.

With the onset of the COVID-19 virus KCI has seen a reduction of sales from both the State and the private sector, thus resulting in a tightening of the financial belt. In addition to a reduction in sales, KCI has also seen an increase in delinquent accounts in both sectors. With the financial challenges facing all other entities KCI has implemented acceptance of partial payments to assist those struggling while still enabling KCI to remain

Kentucky Correctional Industries

current with our vendors.

KCI has also had to quickly adapt when vendors have been unable to fill orders due to the rush purchasing of certain goods needed for sanitation and safety purposes or the vendor has had to shut down due to an outbreak of the virus at their facility.

Shipping/Warehouse

This area provides logistics and warehousing of KCI products including conducting moves for various customers. This includes colleges and universities, state resort parks as well as multiple state, city and county government agencies.

The KCI moving crew transferred its operations from the Blackburn Correctional Complex (BCC) to the central warehouse in 2019 which has assisted in optimizing logistics functions throughout our system. Overall, logistics have become more professional and more organized than in the past. Delivery rates were updated to more accurately account for distance/time that has helped KCI offset losses in this area.

The warehouse implemented a surcharge for help with the expenses on fuel and maintenance of our fleet. KCI spent approximately 3000 staff hours between five drivers delivering sanitizer and PPE to the prison system. Inmate hours worked related to filling sanitizer bottle and loading PPE was around 2500 hours between six inmates. The warehouse is currently completing the work normally done within a plant to complete pass thru order to enable those plants the time required to

produce orders related to PPE which is mainly masks and gowns.

Inmate workers were not able to assist the KCI warehouse, shipping and to serve as movers throughout much of 2020. Therefore, warehouse staff members took on all janitorial duties for the KCI central office while loading and unloading trucks.

Furthermore, warehouse staff along with several other administrative and sales staff members assisted in moving new lodge furniture into the Jenny Wiley, Rough River and Barren River State Resort Parks. These duties are typically completed utilizing our inmate moving crew.

Farms

The farm operations at BCC, Northpoint Training Center (NTC), Roederer Correctional Complex (RCC) and Western Kentucky Correctional Complex (WKKC) are sustained by its cattle and crop sales.

Farming operations are separate from KCI and our Central Office only serves to assist in a fiscal/accounting capacity.

Operations

KCI is researching the options and availability for seamless mattress equipment. Providing seamless mattresses for our customers will enable institutions to have a disease resistant and stronger product.

The license plate operation is undergoing a transition from

embossed to flat plates. KYTC has entered into a contract with ITI (Intellectual Technology Inc.) The printer supplied by John R Wald was delivered in the summer and the KAVIS software was transferred over to ITI in the fall. Metal Fabrication experienced sporadic work orders, but the full potential of the plant is not being utilized. Swift CNC plasma table provides the ability to cut custom metal products up to 5' x 10' surface area.

The printing plant covers all abilities of offset printing, business cards and various types of stationary to include thermo-grave printing. Print services has suffered due to COT and Transportation opening their own printing businesses which has proven to be our largest competitors for state government printing. The Screen print and embroidery business has remained steady with continual business.

Sales/Marketing

The sales department has expanded the amount of sales being made to the private sector. This includes sales to universities, police departments, local governments and churches. The increase in sales to these sectors has offset a portion of the lost sales to state agencies due to budget reductions and the shifting of business to private sector entities.

A sales manager position was recently filled and sales territories were redrawn in an effort to provide more sales coverage throughout the state. KCI continues to actively promote more partnerships for sales

Kentucky Correctional Industries

opportunities with state government entities pursuant to KRS 197.210.

Personal Protection Equipment & COVID-19 Response

KCI began producing 3-ply surgical masks in early March for the Department of Corrections Adult Institutions Division, Probation and Parole Division, the Department of Juvenile Justice, the Cabinet for Health and Family Services, Kentucky Emergency Management and various orders to the general public.

In April 2020, Kentucky Correctional Industries began producing anti-microbial surgical gowns for the Department of Corrections and Kentucky Emergency Management. The gown design was approved by Dr. Steven J. Stack, commissioner for the Kentucky Department for Public Health.

Throughout the spring of 2020, KCI distributed shoe covers, surgical gowns, nitrile gloves, face shields,

KN95 masks and 3-ply surgical masks to the Department of Corrections Adult Institutions Division.

KCI received over 2,000 gallons of hand sanitizer from Jephtha Creed Distillery that was bottled by inmates from Blackburn Correctional Complex working at the KCI Central Office Warehouse as well as inmates from the Kentucky Correctional Institution for Women; then KCI distributed them to the Adult Institutions Division.

In 2020, the KCI Kentucky State Penitentiary Plant manufactured 23,848 antimicrobial surgical gowns and 165,632 3-ply surgical masks.

The KCI Green River Correctional Complex Plant designed and produced 1,495 PPE desk shields for the Justice and Public Safety Cabinet, the Capitol Building (including Governor Andy Beshear's Office), the Legislative

Research Commission, the Department of Corrections, the Probation and Parole Division, the Kentucky Transportation Cabinet, the Kentucky Board of Elections, Fayette County Public Schools and various private businesses throughout the Commonwealth. Additional PPE shield designs for various specific needs requiring metal framing have also been produced by the KCI Kentucky State Reformatory Plant.

Prison Industry Enhancement Certification Program (PIECP)

KCI is working with Eastern Kentucky Advanced Manufacturing Institute (eKAMI) to begin a potential PIECP program at Eastern Kentucky Correctional Complex. Building plans have been approved through the Department of Finance.



Health Services Division



Cookie Crews

Health Services Administrator

Cookie Crews

Clinical Director

Denise A. Burkett, APRN

Program Administrator

Dr. Russ Williams

Assistant Wellness Director

Ruth Staples

After serving as warden at four prisons in Kentucky, Cookie Crews has been promoted to the position of health services administrator with the Department of Corrections in 2012.

Crews began her corrections career in January 1984 as a correctional officer at the Kentucky Correctional Institution for Women (KCIW) and in September of the following year was promoted to sergeant. She was then promoted to the position of classification and treatment officer at KSR in 1987 and served in that same position at the Roederer Correctional Complex the next year.

Crews was then promoted to correctional unit administrator I at KSR and in 1998 was promoted again to unit administrator II at the Luther Luckett Correctional Complex

(LLCC). In 2002 she was promoted to deputy warden at LLCC and her service career includes a seven-month stint as acting warden of the prison. In September 2004, she was promoted to warden of the Frankfort Career Development Center and held that position until being named warden at KCIW in 2006. She served in that position until being named warden at KSR in 2009.

Crews is a graduate of Eastern Kentucky University where she received her bachelor's degree in corrections and public relations.

Health Services Division

The Health Services Division provides administrative oversight and direction for all aspects of inmate health care, including Medical, Psychiatric, Psychology, Dental and Nursing services, Pharmacy operations, sex-offender treatment program, and Post-hospitalization/end of life care.

In addition to ensuring that all inmates receive health screenings,

physical exams, primary care, specialty care referrals and emergency services, the Division also provides for chronic care clinics designed to monitor and treat inmates with the following conditions: Asthma, Diabetes, Epilepsy, Hepatitis C, High Cholesterol, High Blood Pressure and HIV infection.

General Services

Mental Health staff served hundreds of inmates in Mental Health programs. They processed several thousand mental health appraisals / screeners / brief follow up appointments on male and female intakes within their first two weeks of admission. The contact that is initiated through our Assessment Centers is devised to identify those inmates needing specialty intensive mental health services, sex offender treatment services, and/or substance abuse treatment services. Inmates may be referred to these intensive services or may be followed by

Pictured below: Health Services building in LaGrange



Health Services Division

mental health staff through General Services.

Phoenix, the Co-occurring Unit housed on KSR grounds, provides evidence-based substance abuse programming and mental health treatment to the male offender population. This is a 33-bed unit housing male offenders, with both substance abuse/ mental health issues they are simultaneously treated a minimum of six months.

General Services Mental Health staff provide coverage in all adult institutions across the state. The General Services provided by Mental Health staff include the completion of other psychological evaluation referrals, 30/90 day reviews in SMU, individual contacts/consultations on inmates, crisis intervention, watch initiations, and watch reviews. Cognitive-behavioral evidence-based groups are offered to the general population by mental health staff.



*Ruth Staples
Assistant Wellness Director*



*Denise A. Burkett, APRN
Clinical Director*

female offenders. This is a 42-bed unit that opened in May 2012.

Mental health staff at KCIW conducted training on mental health issues for the staff assigned to the mental health unit at this institution.

Correctional Psychiatric Treatment Unit (CPTU)

Serious mental illness services are provided for male offenders at the Correctional Psychiatric Treatment Unit (CPTU). This 125-bed unit is located at KSR. The A-wing of CPTU serves inmates with persistent and severe mental illness who have concurrent cognitive related disorders and/or severe deficits in activities of daily living. The B-wing program (THRIVE) serves inmates with

Pictured Below: Lonnie Watson Center, KCIW



Women's Mental Health

Serious mental illness services for female offenders are provided in the Challenges program at the Lonnie Watson Center, a 42-bed unit at KCIW. A licensed psychology provider assigned to this unit provides stabilization and gender-specific treatment services focusing on independent living skills, mental illness recovery, and transitional living services.

There is a co-occurring unit, WILLOW, located at KCIW that provides substance abuse programming and mental health treatment to

Health Services Division

persistent and severe mental illness and normative intellectual abilities. The C-wing is for psychologically and /or behaviorally disordered offenders. It also houses those inmates with acute stabilization. This wing is reserved for the most severely disturbed psychiatric/behavioral disordered offenders, and/or those inmates with severe mental illness who are serving disciplinary segregation time. Contact with offenders in this program is daily.

Sex Offender Treatment

The Sex Offender Treatment Program (SOTP) provides treatment to approximately 850 offenders at any given time.

SOTP continues to utilize evidence-based practices as well as track and record recidivism data, which demonstrates significant reductions in recidivism rates for

treated sexual offenders when compared with untreated sexual offenders.

Wellness

Staff Wellness has two program administrators to help all correctional staff deal with the mental and physical aspects of their daily work routine. Staff wellness is any workplace health promotion activity; designed to support healthy behavior in the workplace and to improve health outcomes. The Wellness team created a 4-hour block of training on Emotional Survival, which was presented to all institutional trainers and is being incorporated into Pre-Service Academy as well as Staff In-Service training.

The Wellness team is also tasked with handling issues of Workplace

Violence. Workplace violence is any act or threat of physical violence, harassment, intimidation, or other threatening disruptive behavior that occurs at the worksite.

Pictured to the right: CPTU Entrance, KSR



Institutions

Bell County Forestry Camp

560 Correctional Drive

Pineville, KY 40977

Warden: Brandy Harm

Secretary: Betsy Patterson

Phone: (606) 337-7065

Fax: (606) 337-1312

Blackburn Correctional Complex

3111 Spurr Road

Lexington, KY 40511

Warden: Abby McIntire (acting)

Secretary: Christy Peach

Phone: (859) 246-2366

Fax: (859) 246-2585

Eastern Kentucky Correctional Complex

200 Road to Justice

West Liberty, KY 41472

Warden: James David Green

Secretary: Melissa Howard

Phone: (606) 743-2800

Fax: (606) 743-2811

Green River Correctional Complex

1200 River Road

P.O. Box 9300

Central City, KY 42330

Warden: Kevin Mazza

Secretary: Mindy Masden

Phone: (270) 754-5415

Fax: (270) 754-2732

Kentucky Correctional Institution for Women

3000 Ash Ave.

Pewee Valley, Kentucky 40056

Warden: Vanessa Kennedy

Secretary: Melanie Dragoo

Phone: (502) 241-8454

Fax: (502) 243-0079

Kentucky State Penitentiary

266 Water Street

Eddyville, Kentucky 42038

Warden: Scott Jordan

Secretary: Donna Dunbar

Phone: (270) 388-2211

Kentucky State Reformatory

3001 W Hwy 146

LaGrange, Kentucky 40032

Warden: Anna Valentine

Secretary: Kimberly Campbell

Phone: (502) 222-9441

Fax: (502) 222-0240

Lee Adjustment Center

168 Lee Adjustment Center Drive

Beattyville, KY 41311

Warden: Daniel Akers

Phone: (606) 464-2866

Little Sandy Correctional Complex

505 Prison Connector

Sandy Hook, Kentucky 41171

Warden: Keith Helton

Secretary: Deborah Williamson

Phone: (606) 738-6133

Fax: (606) 738-6143

Luther Luckett Correctional Complex

Dawkins Road, Box 6

LaGrange, Kentucky 40031

Warden: Amy Robey

Secretary: Suzannah Rostek

Phone: (502) 222-0363

Fax: (502) 222-8112

Institutions

Northpoint Training Center

P.O. Box 479, Hwy 33
710 Walter Reed Rd.
Burgin, Kentucky 40310
Warden: Brad Adams
Secretary: Kelly McGinnis
Phone: (859) 239-7012
Fax: (859) 239-7560

Southeast State Correctional Complex

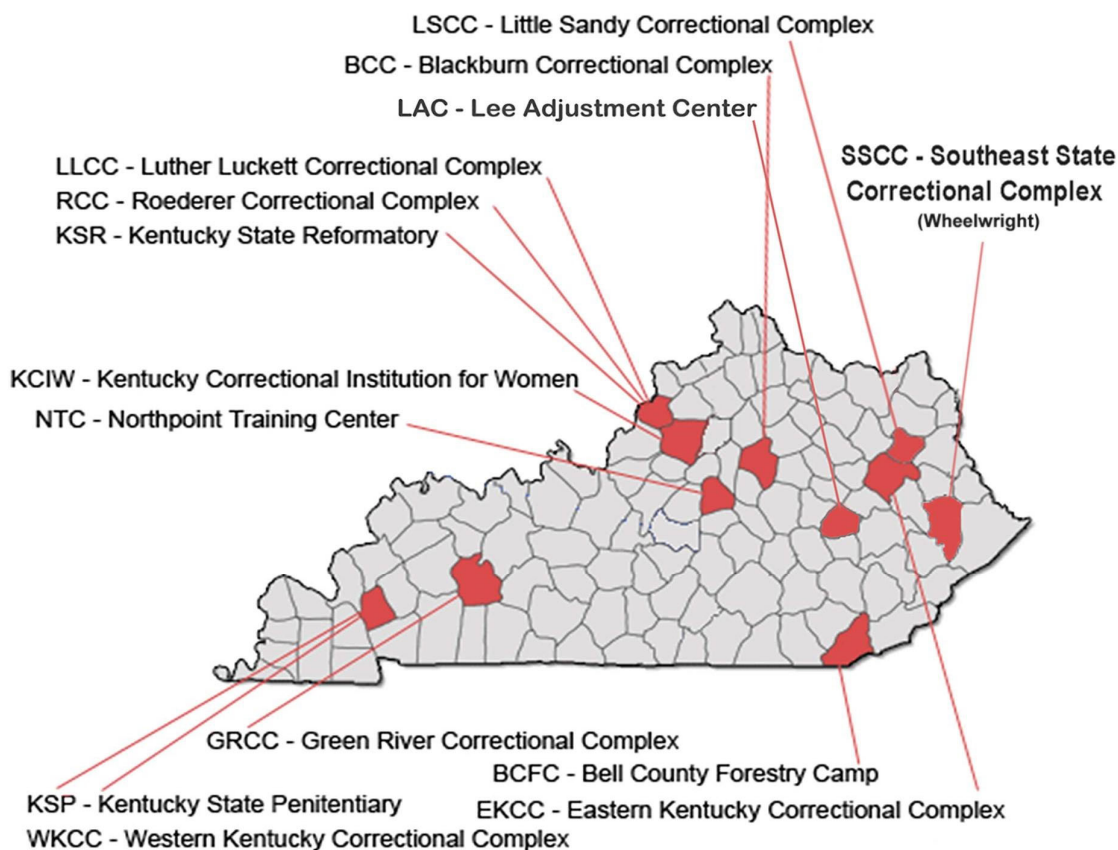
327 Correctional Drive
P.O. Box 1600
Wheelwright, KY 41669
Warden: Larry Chandler
Phone: 606-452-6300
Fax: 606-452-6330

Western Kentucky Correctional Complex

374 New Bethel Church Road
Fredonia, KY 42411
Warden: Bobbi Jo Butts
Secretary: Regina Moore
Phone: (270) 388-9781
Fax: (270) 388-0031

Roederer Correctional Complex

P.O. Box 69
LaGrange, Kentucky 40031
Warden: Jessie Ferguson
Secretary: Patti M. Ray
Phone: (502) 222-0170
Fax: (502) 225-0084



Population Data

All DOC Offenders Three-Year Comparison by Gender

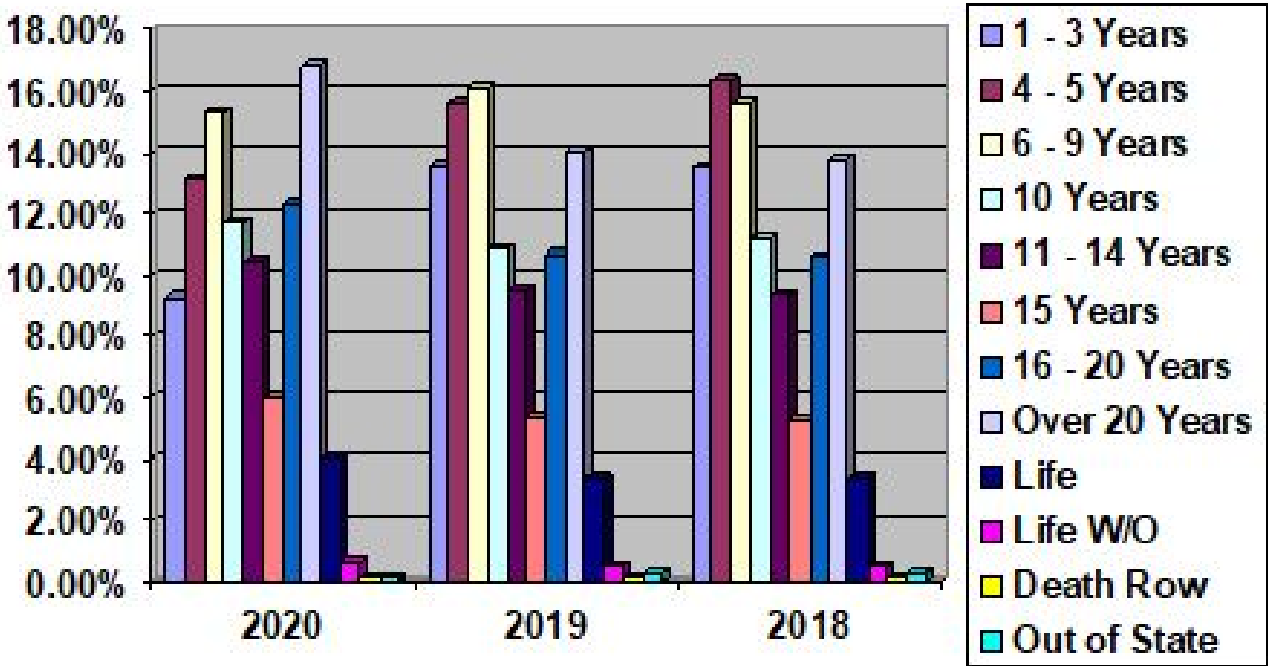
MALE	BCC	BCFC	EKCC	GRCC	KSP	KSRI	LAC	LICC	LSCC	NTC	RCC	WKCC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL
2020	201	179	1552	718	790	819	736	1079	832	1068	847	364	2088	37	139	11449
2019	315	293	1894	959	866	987	844	1174	1043	1248	1218	476	3336	67	385	15105
2018	512	299	1909	982	914	1058	851	1197	998	1241	1230	476	3586	96	410	15609
FEMALE	KCIW	RC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL										
2020	550	85	556	10	4	1205										
2019	702	182	1100	21	37	2042										
2018	733	197	1190	25	3	2131										
2017	721	195	0	0	0	916										

Demographic Data for All DOC Offenders Three-Year Comparison

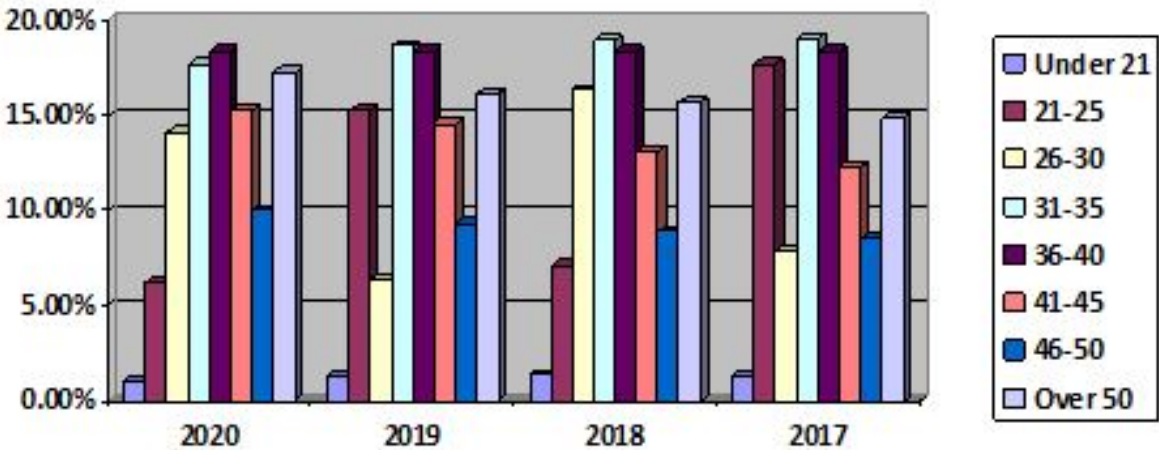
COUNTY OF CONVICTION	2020	2019	2018
Jefferson	13.65%	13.41%	14.47%
Fayette	5.56%	5.17%	5.69%
Kenton	4.55%	4.15%	4.18%

Population Data

Population by Years to Serve
Three-Year Comparison



Population by Age
Three-Year Comparison



Bell County Forestry Camp



Warden

Brandy Harm

Deputy Warden

Josh Hart

Administrative Assistant

Vacant

Address

560 Correctional Drive

Pineville, KY 40977

2020 Average Daily Population

247

Total Number of Staff

53

Security Level

Minimum

Overview

The Bell County Forestry Camp (BCFC) is situated on approximately 15 acres in rural Bell County, Kentucky. It is approximately 14 miles southwest of the town of Pineville. It is a minimum-security facility with the capacity to house 300 adult inmates. The facility employs 53 staff as well as having

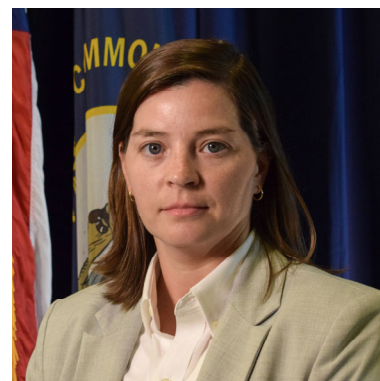
14 contract employees that work in food service, medical services, and program facilitation etc.

The Bell County Forestry Camp was established in October of 1962 as a satellite of the Kentucky State Reformatory in LaGrange with a population of 27 inmates. The population has gradually increased over the years, rising to 105 by the end of 1978. The population increased to 200 with the completion of a new inmate dormitory in November 1987.

The institution later added double bunks and the capacity increased to 300 on August 1, 2007. Bell County Forestry Camp also operates its own Water Treatment Plant and Waste Water Treatment Plant where inmates receive training and become certified plant operators.

Bell County Forestry Camp provides inmate labor to Division of Forestry for fire suppression in Bell and surrounding counties during fire season. In addition to forest fire suppression, inmate labor is also supplied to the Department of Transportation (two road crews), Bell County Fiscal Court, Bell County Schools, Pineville City Street Department, Middlesboro City Street Department, Bell County Solid Waste, and Knox Fiscal Court. Special details are also provided from time to time to State Parks in the area. Inmate labor and details have been temporarily suspended in 2020 due to the COVID-19 pandemic.

The average daily count for outside labor crews is normally around 70 inmates. Due to the COVID-19



Brandy Harm
Warden

pandemic, outside labor crews have temporarily been suspended. Inmate labor is also utilized in various areas for day-to-day operations such as landscaping, maintenance, food service, sanitation, Water Plant and Sewer Plant operations etc.

The Institution was accredited by the American Correctional Association in 1990 and has successfully passed re-accreditation audits through November 2019. The Institution received PREA Accreditation by the Department of Justice in 2014 and was re-accredited in 2019.

Highlights

The Bell County Forestry Camp has made the following significant improvements:

- ◆ The Maintenance Department has resurfaced and refinished the internal waste water catchment tank.
- ◆ Started virtual programming, virtual visitation, as well as virtual funeral visitation for inmates.
- ◆ Inmates have donated \$400 to the relay for life, and \$1500 to Shop with a Trooper at KSP Post 10.
- ◆ BCFC purchased two new female

Bell County Forestry Camp

bloodhounds to provide a different bloodline for the K9 program. One of BCFC's bloodhounds delivered a litter of puppies that will provide the department with future working K9s.

Programmatic and Operational

- ◆ Two new correctional officer positions were created for BCFC.
- ◆ A new dryer was purchased for institutional laundry. New weight and cardio equipment was purchased for inmates in the recreation area. Twenty five short lockers, twenty five tall lockers, as well as twenty five single racks, and twenty five double racks were purchased for the inmates. A new portable cooler and freezer was purchased for additional storage space.

Bell County Forestry Camp faced numerous challenges with staffing and inmate safety in 2020 with the COVID-19 pandemic. Both security and administrative staff has met the challenges head on and continue to rise above expectations for the safety and security of the community as well as the Department of Corrections.

In addition, BCFC offers a dog program called "Second Chance Paws" in a partnership with the Knox-Whitley Humane Association in Corbin. This program places a select few dogs from crowded shelter life with inmate handlers to learn basic socialization and obedience skills, providing the dogs a second chance at life. During the eight-week program the dogs learn basic obedience skills, how to happily co-exist with many different people, proper leash walking skills,

and socialization - all of which are highly adoptable traits. Not only does this program offer valuable training for the dogs, it offers the inmates a chance to learn life skills and what second chances are truly about. However due to the COVID-19 pandemic BCFC has not had an opportunity to receive any "Second Chance" dogs in 2020.



*Josh Hart
Deputy Warden*



Pictured above: Bloodhound puppy Kaylee



Pictured above: Bloodhound Josie

Pictured below: Bloodhound Sissy



Pictured above: Waste water tank.

Pictured Below: New exercise equipment



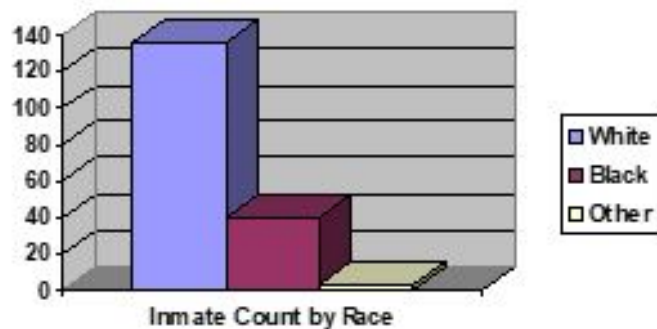
*Pictured to the
right: Blood-
hound puppy
Lefty*



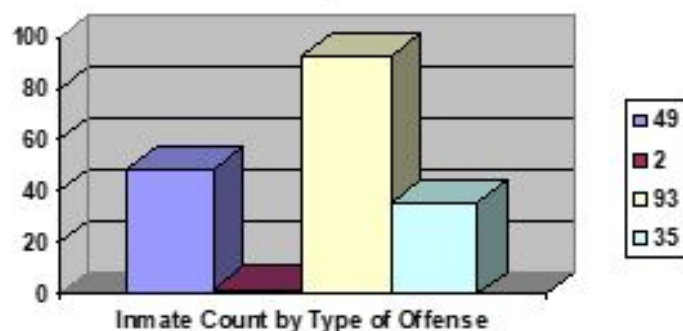
Bell County Forestry Camp

Demographic Data

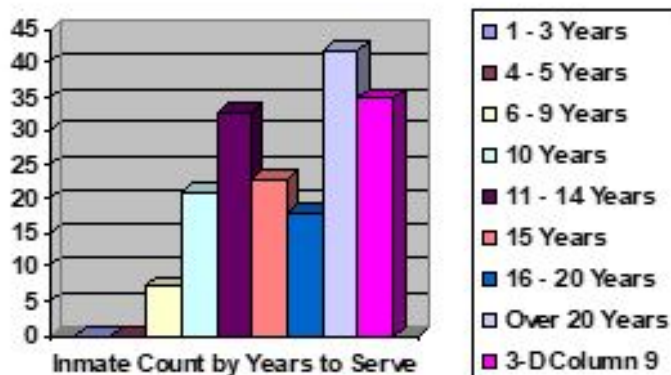
RACE	COUNT	PERCENTAGE
White	136	75.98%
Black	40	22.35%
Other	3	1.68%
Total	179	100.00%



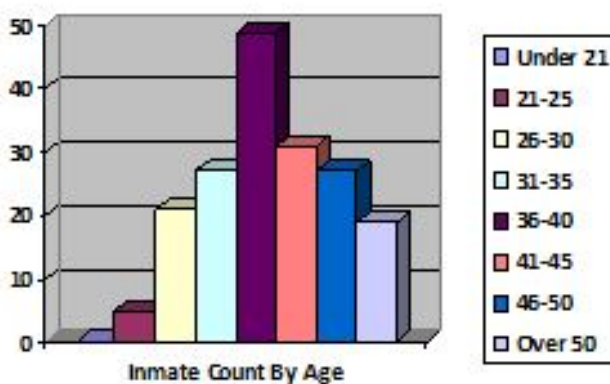
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	49	27.37%
Other	2	1.12%
Property	93	51.96%
Violent	35	19.55%
Total	179	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	0	0.00%
4 - 5 Years	7	3.91%
6 - 9 Years	21	11.73%
10 Years	33	18.44%
11 - 14 Years	23	12.85%
15 Years	18	10.06%
16 - 20 Years	42	23.46%
Over 20 Years	35	19.55%
Total	179	100.00%



AGE	COUNT	PERCENTAGE
Under 21	0	0.00%
21-25	5	2.79%
26-30	21	11.73%
31-35	27	15.08%
36-40	49	27.37%
41-45	31	17.32%
46-50	27	15.08%
Over 50	19	10.61%
Total	179	100.00%



Blackburn Correctional Complex



Acting Warden

Abby McIntire

Deputy Warden

Abby McIntire

Administrative Assistant

Christy Peach

Address

3111 Spurr Rd.

Lexington, KY 40511

2020 Average Daily Population

320

Total Number of Staff

138

Security Level

Minimum / Community

was named after former Kentucky Governor Luke P. Blackburn, who is known as the “father of prison reform in Kentucky.”

BCC has grown over the course of time. Currently, the facility houses 320 male, adult offenders. The physical plant consists of 32 buildings, on 456 acres of land. BCC houses academic and occupational programs, as well as industrial and support services. Additionally, BCC manages one of seven Second Chances Farms for the Thoroughbred Retirement Foundation (TRF) and the only one in Kentucky. The Second Chances Farm at BCC is currently home to 53 thoroughbreds that are retired or have an otherwise limited athletic future. The institution also has a cattle farm operation, which includes approximately 158 head of cattle.

BCC focuses on preparing offenders for release into the community. BCC has two vocational programs, including horticulture and masonry.



Abby McIntire
Acting Warden

Kentucky Correctional Industries (KCI) operates the mattress and panel operation at the facility. Three work programs are provided at the facility, including the Groom Elite Horse Program, Inmate-2-Workmate, and Safety Specialist. Similarly, cognitive behavioral programs at BCC include Moral Reconciliation Therapy (MRT), MRT Anger Management, Inside Out Dads, Portal New Directions, Soft Skills, and Prison Fellowship. Additionally, BCC provides work details to KCI-Frankfort, the Kentucky Horse Park, and the Kentucky Department of Transportation.

Pictured below: BCC



Overview

Blackburn Correctional Complex (BCC) is the largest minimum-security institution operated by the Kentucky Department of Corrections. BCC became a part of the Kentucky Department of Corrections in 1972, when it was transferred from the Department of Child Welfare. BCC

Blackburn Correctional Complex

Highlights

- ◆ In 2020, BCC installed additional cameras to enhance security.
- ◆ In January 2020, BCC purchased PPE for fentanyl response.
- ◆ In February 2020, Dorm 2 received a new sprinkler system piping and heads. Johnson Controls installed a fire alarm system in the inmate canteen, security, and training.
- ◆ In March 2020, upgraded fire alarm panels were installed in the Administration Building.
- ◆ In March 2020 (due to COVID-19), BCC implemented all entry point temperature checks, hand and foot sanitizing stations and protocols. Visitation was suspended until further notice. Non-alcohol hand soap was placed in all inmate bathrooms. Face masks were issued to all staff and inmates.
- ◆ In May 2020, BCC purchased a new John Deere Gator, built a non-contact visitation booth in the visitation building, and purchased a new dishwasher for the inmate kitchen.



Pictured above: New John Deer Gator.

- ◆ In June 2020, Post 3 was constructed and opened. Dorm 1 fire panel and devices (smoke heads, pull stations, strobes and horns) were upgraded. The training room was remodeled (installed new flooring, painting and purchased new chairs). Installed new HVAC units in the Chapel multipurpose room and the warden's house.
- ◆ In July 2020, the visitor parking lot was expanded to add 25 new parking spaces.
- ◆ In August 2020, the baseball field was filled and leveled.
- ◆ August – September 2020: upgraded emergency generators were installed complex-wide.
- ◆ In September 2020, Thoroughbred Retirement Foundation (TRF) videoed their 21-year celebration and broadcast to the public and inmate population.
- ◆ November 2020 – December 2020: BCC was on lockdown due to COVID-19 outbreak.
- ◆ In December 2020, BCC



Pictured above: Photos from TRF Horse show.

purchased a Gator for the landscape department.

- ◆ In January 2021, Keefe vending machines were installed in the living units.

Programmatic and Operational

In November 2020, BCC and TFR horse program celebrated their 21-year anniversary. The TRF horse program at BCC graduated 18 participants from the Groom Elite program. Two of those have interviewed and gained employment upon release with a local horse farm.

In January 2020, the BCC Substance Abuse Program (SAP) opened with 50 participants.

In March 2020 (due to COVID-19), Inside Out Dads and Celebrate Recovery (Prison Fellowship) classes went virtual to accommodate social distancing.

In April 2020 (due to COVID-19), all remaining offender programming went virtual to accommodate social distancing.

In July 2020, BCC held first SAP graduation with 48 graduates.

BCC awarded six GEDs in 2020.

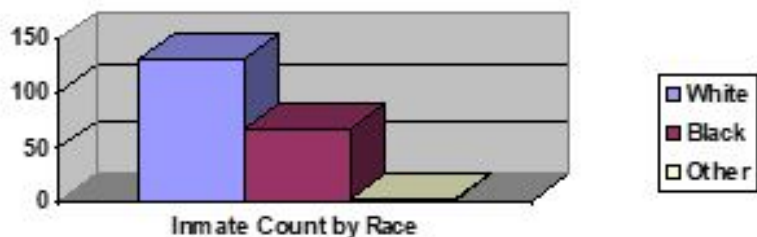


Pictured above: Post Three

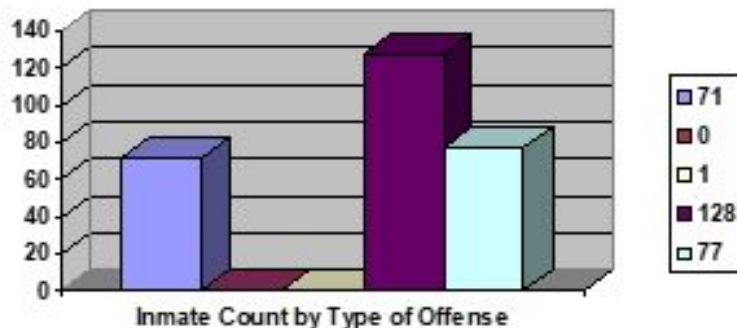
Blackburn Correctional Complex

Demographic Data

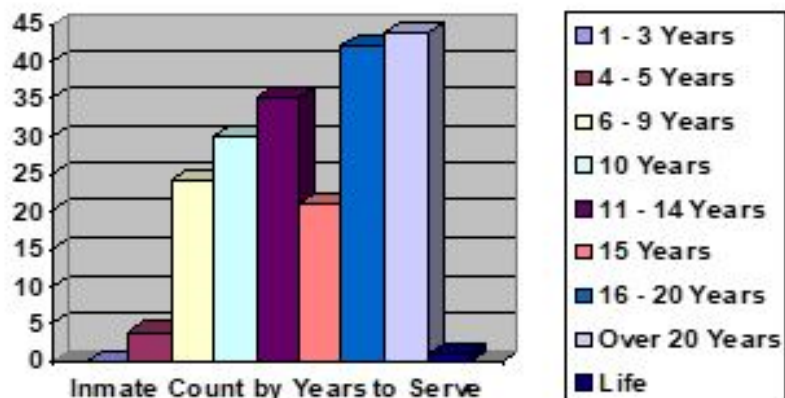
RACE	COUNT	PERCENTAGE
White	131	65.17%
Black	67	33.33%
Other	3	1.49%
Total	201	100%



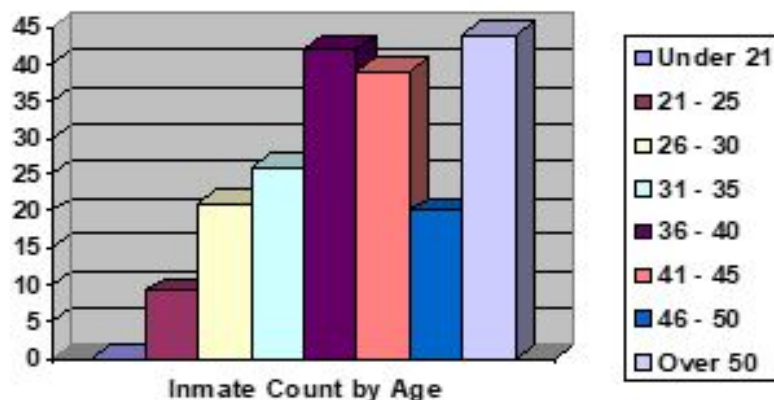
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	71	35.32%
Misc.	0	0%
Other	1	0.50%
Property	128	40.63%
Violent	77	38.31%
Total	201	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	0	0.00%
4 - 5 Years	4	1.99%
6 - 9 Years	24	11.94%
10 Years	30	14.93%
11 - 14 Years	35	17.41%
15 Years	21	10.45%
16 - 20 Years	42	20.90%
Over 20 Years	44	21.89%
Life	1	0.50%
Total	201	100.00%



AGE	COUNT	PERCENTAGE
Under 21	0	0.95%
21-25	9	4.48%
26-30	21	10.45%
31-35	26	12.94%
36-40	42	20.90%
41-45	39	19.40%
46-50	20	9.95%
Over 50	44	21.89%
Total	201	100.00%



Eastern Kentucky Correctional Complex



Warden

James David Green

Deputy Warden—Operations

Terry Wallace

Deputy Warden— Security

James Whitt

Deputy Warden— Programs

Shawn McKenzie

Administrative Assistant

Melissa Howard

Address

200 Road to Justice

West Liberty, KY 41472

2020 Average Daily Population

1517

Total Number of Staff

346

Security Level

Medium

Overview

The Eastern Kentucky Correctional Complex was constructed in two

phases and houses minimum, medium, and maximum custody inmates. The contract for construction was awarded in August 1985 and the facility officially opened in 1990. The first inmates were received February 14, 1990. Phase II construction was completed in December 1991. EKCC was designed to house 1,122 inmates but double bunking increased the institutional capacity to 1,930, making EKCC 72% over its designed capacity. EKCC's distinction from other Kentucky institutions is the very structured inmate controlled movement. Controlled movement is the result of our institutional design, the number of inmates housed and the inmate custody level. EKCC's best security tool is controlled movement. Inmates move to and from their dormitories to go to work, school, gym, and yard on the hour and half hour. Movement is closely monitored providing inmates with few opportunities to pass contraband and creating a safer environment for inmates and staff. Controlled movement also allows EKCC to reduce the number of inmates moving at any given time; thus enhancing EKCC's ability to control disturbances within the inmate population.

Highlights

During the last year, EKCC has made some changes due to COVID-19. Any individuals entering the institution had their temperatures taken. In addition, any person entering the facility was



James David Green
Warden

required to wear a face covering, including all staff and all inmates. Face coverings were issued to all staff and inmates. As of November 2020, all staff were tested weekly for COVID-19.

Education: The education department had six inmates graduate with associate degrees from Maysville Community and Technical College.

Human Resources: A Health and Wellness committee was started. There were a total of eight staff who retired in 2020. There were also 10 retirees in 2020 to receive a gold card. The gold card entitles retirees of 20 plus years to come back to the institution and take advantage of services that are offered to our staff such as car washes, haircuts, shoeshines and eating at the staff grill.

Training: The training department conducted in-service through Computer Based Training (CBTs) and one in-person day due to the COVID-19.

The 4-Paws Program: The 4-paws program is still partnering with EKCC to train and provide service dogs to children and veterans. To date, EKCC has had 30 dogs graduate as service dogs.

Eastern Kentucky Correctional Complex



Shawn McKenzie
Deputy Warden



James Whitt
Deputy Warden



Terry Wallace
Deputy Warden

EKAMI: EKCC also completed a contract with EKAMI (Eastern Kentucky Advanced Manufacturing Institute) to provide training for inmates.

Programmatic and Operational Areas

The Eastern Kentucky Correctional Complex library upgraded their computer system. The new system should better track the logging of the library inventory and hold inmates accountable for the books they check out.

EKCC is continuing to maintain a newsletter for the inmate population. This has been a tremendous success and the newsletter provides vital and informative information to the population.

EKCC had approximately 949 inmates who graduated programs.

Maintenance Department: The Maintenance Department installed a new security key control system in the main hallway and the Minimum Security Unit and also installed LED green flashing for the hearing impaired wings. EKCC installed a new sewer auger, fabricated and

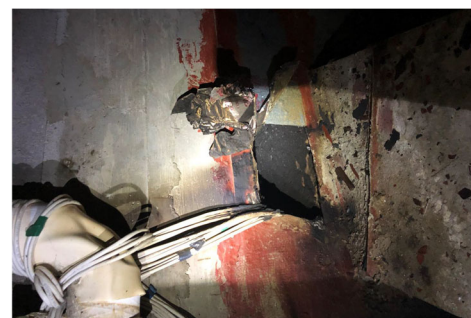
installed recreational holding cells in Dorm 1 Bullpen, and fabricated and installed holding cells in the new property room. The Maintenance Department also completed installing new windows in both Tower One and Tower Two as well and painting Tower One, which was a big project.

Transition Unit: EKCC is still running its Transition Unit that houses and manages a transition program for long-term administrative control inmates. This is a collaborative effort between EKCC staff and NOA Counseling. The program currently can house up to 144 inmates. The Transition Unit Program is a 24 week program, broken down into four phases.

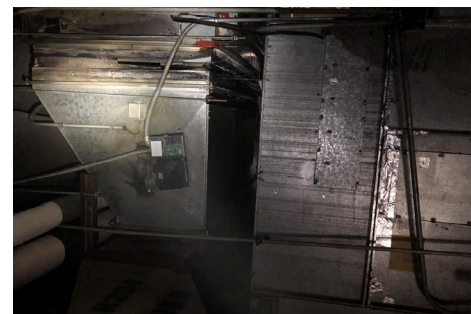
In December 2020, EKCC implemented a Short Term Behavior Modification Program with RHU. The program targets inmates who are assigned to Administrative Control, but not currently on the waiting list for the Transition Unit Program. The Short Term B-MOD Program is a 20 week program, consisting of 20 assignments.

Medical: The Medical Department was granted more space and was completed with new furniture.

On February 21, 2020, Eastern Kentucky Correctional Complex experienced a fire in Housing Unit Two. The Maintenance Department had been welding the shower panels when a spark ignited some dryer lint. The fire was contained within the HVAC vents and the mechanical level. Dorms 3 and 4 were evacuated. Inmates were escorted to the Phase One and Phase Two Gyms.



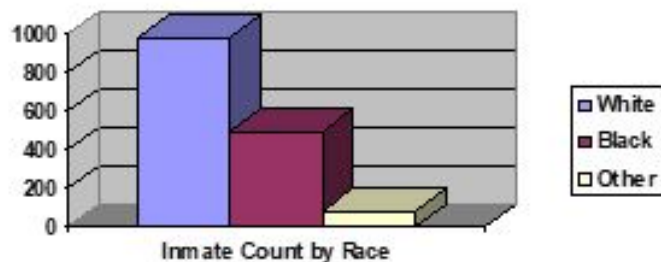
Pictured above & below: Fire damage.



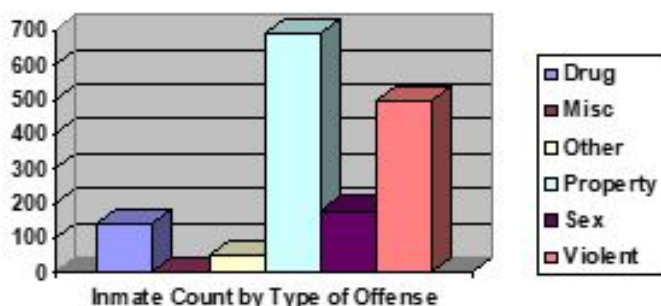
Eastern Kentucky Correctional Complex

Demographic Data

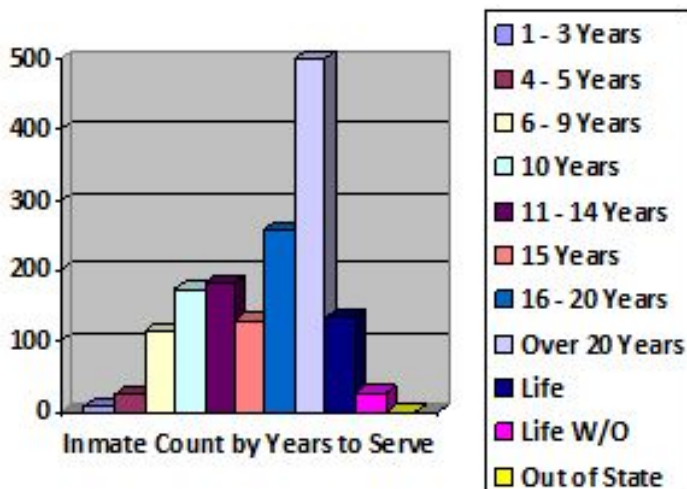
RACE	COUNT	PERCENTAGE
White	983	63.34%
Black	493	31.77%
Other	76	4.90%
Total	1552	100.00%



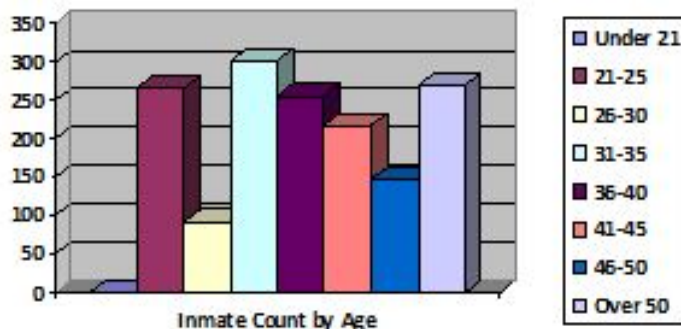
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	141	9.09%
Misc.	1	0.06%
Other	49	3.16%
Property	689	44.39%
Sex	178	11.47%
Violent	494	31.83%
Total	1552	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	9	0.58%
4 - 5 Years	25	1.61%
6 - 9 Years	114	7.35%
10 Years	174	11.21%
11 - 14 Years	182	11.73%
15 Years	129	8.31%
16 - 20 Years	258	16.62%
Over 20 Years	500	32.22%
Life	134	8.63%
Life W/O	26	1.68%
Out of State	1	0.06%
Total	1552	100.00%



AGE	COUNT	PERCENTAGE
Under 21	1	0.06%
26-30	266	17.14%
21-25	92	5.93%
31-35	302	19.46%
36-40	256	16.49%
41-45	218	14.05%
46-50	148	9.54%
Over 50	269	17.33%
Total	1552	100.00%



Green River Correctional Complex



Warden

Kevin Mazza

Deputy Warden– Programs

Patrick Kessinger

Deputy Warden—Security

Stacey Gibson

Administrative Assistant

Mindy Masden

Address

1200 River Road

Central City, KY 42330

2020 Average Daily Population

832

Total Number of Staff

261

Security Level

Medium

Overview

Construction of the Green River Correctional Complex (GRCC) began in August 1992 and was originally designed to house 550

inmates. The first group of inmates arrived December 16, 1994. GRCC has since expanded, building a medium security living unit, as well as a minimum security dormitory with a current inmate population capacity of 982. Since opening, GRCC has been re-accredited by the ACA seven times, most recently in 2018.

The institutional design is “direct supervision.” The dormitories have an open control center (no bars, glass or other barriers). Unit Management is the means of managing and organizing the institution. In this team oriented concept, a management team of administrators, supervisors, correctional officers and classification treatment officers supervise each living unit and are responsible for the security and management of the inmate living unit and its inmate population.

GRCC does not have security gun towers. Perimeter security is dependent upon an electronic detection perimeter alert system, a microwave detection system, and constant 24-hour armed perimeter



Kevin Mazza
Warden

vehicle patrols.

Highlights

- ♦ During the year of 2020, Green River Correctional Complex removed the gravel and fencing from the outside visitation area.
- ♦ Removed the short fencing from behind Correctional Industries.
- ♦ Replaced all the broken/missing tile in the dish room at the kitchen.
- ♦ Installed new PTZ camera behind T building.
- ♦ Replaced a large section of collapsed sewer line under the concrete floor in SMHU.
- ♦ Removed all paper from the

Pictured to the right: New metal roof on minimum security housing unit.



Green River Correctional Complex

lights and vents in SMHU.

- ♦ Painted over all the writing on the walls and doors in SMHU.
- ♦ Griffith Engineering toured the facility, reviewing the electronic security controls.
- ♦ Completed the new fencing project around the new cooling towers at K building.
- ♦ Installed new steam tables in the kitchen and repainted the serving line wall.
- ♦ Changed out the baffles on the loop system in K & E mechanical rooms.
- ♦ Built and installed new signs at the entry station and B building.
- ♦ Sonifi came in and changed out the satellite receivers at K building to digital.
- ♦ Repaired electrical lines in D building restoring power to dorms 2 and 3.
- ♦ Met with Griffith Engineering concerning Phase A for the new electronics.



Patrick Kessinger
Deputy Warden



Stacey Gibson
Deputy Warden

- ♦ Installed key boxes in A & C building.
- ♦ Pogue Electric installed new transfer switches in T & F building for emergency power.
- ♦ Built and installed the awning over the serving windows at the canteen.
- ♦ Moved the repeater for the radios from the warehouse to A building.
- ♦ Installed new antenna for communications at A building.
- ♦ Painted all of MSU including recoating of the showers.
- ♦ Installed the second transfer switch in T building for emergency power.

- ♦ Installed new sewer grinder at K-building.
- ♦ Built and installed new sliding gates with operators at the entry station.
- ♦ New K9 kennel at A building.
- ♦ Norman King Electric in to hook up the metering sites for the generator project.
- ♦ Dug up and replaced 25 feet of sewer pipe in the kitchen floor.

Programmatic and Operational Areas

Began MRT classes via Zoom as well as virtual visitation.



Pictured above: New sliding gate for entry.



Pictured above and to the right:
New K9 unit.

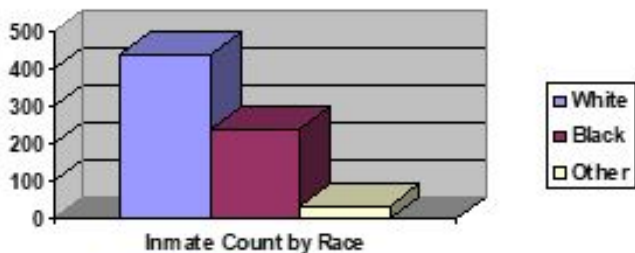


Pictured above: New contraband sign.

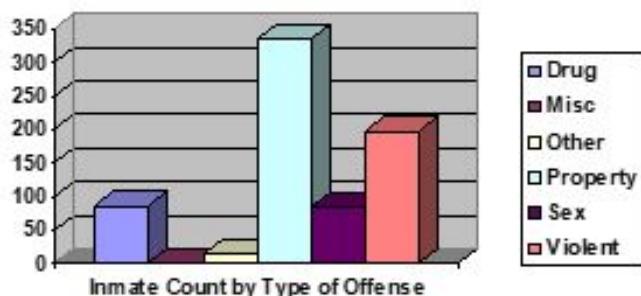
Green River Correctional Complex

Demographic Data

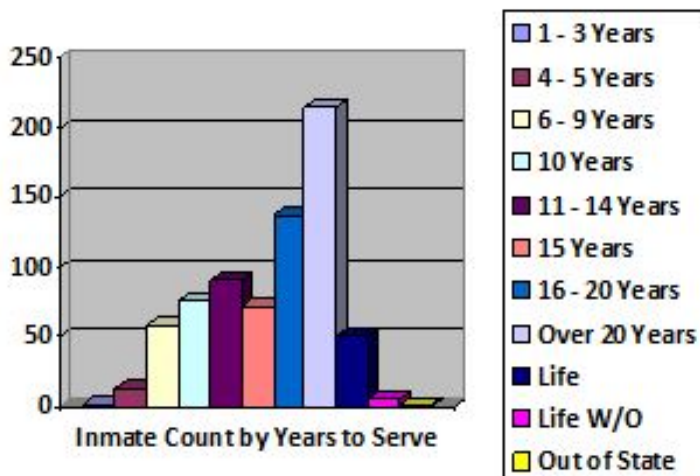
RACE	COUNT	PERCENTAGE
White	444	61.84%
Black	243	33.84%
Other	31	4.32%
Total	718	100.00%



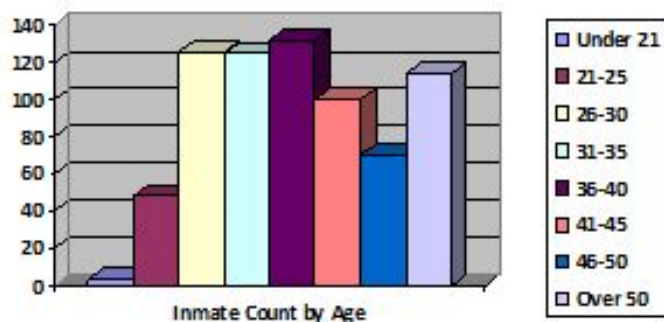
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	86	11.98%
Misc.	1	0.14%
Other	15	2.09%
Property	336	46.80%
Sex	83	11.56%
Violent	197	27.44%
Total	718	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	2	0.28%
4 - 5 Years	13	1.81%
6 - 9 Years	58	8.08%
10 Years	76	10.59%
11 - 14 Years	90	12.53%
15 Years	72	10.03%
16 - 20 Years	137	19.08%
Over 20 Years	214	29.81%
Life	50	6.96%
Life W/O	5	0.70%
Out of State	1	0.14%
Total	718	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.42%
26-30	48	6.69%
21-25	126	17.55%
31-35	125	17.41%
36-40	132	18.38%
41-45	100	13.93%
46-50	70	9.75%
Over 50	114	15.88%
Total	718	100.00%



Kentucky Correctional Institution for Women



Warden

Vanessa Kennedy

Deputy Warden– Security

Stephen Lyons

Deputy Warden– Programs

Joseph Woods

Administrative Assistant

Melanie Dragoo

Address

3000 Ash Avenue
Pewee Valley, KY 40056

2020 Average Daily Population

629

Total Number of Staff

214

Security Level

Multi-custody including death row

Overview

The Kentucky Correctional Institution for Women (KCIW) received its first inmates in 1938 as an extension of the Kentucky State Reformatory at LaGrange. In 1962, KCIW was established by the

Legislature as an autonomous institution with a Warden as its Chief Executive Officer. KCIW was the only state run institution for female inmates until 2010 when the Western Kentucky Correctional Complex was converted to a female facility. As of November 1, 2015 the Western Kentucky Correctional Complex was converted back to a male facility and the Ross-Cash Center was established as a 200-bed minimum female facility.

Near the town of Pewee Valley, KCIW is located on 270 acres in Shelby County. The facility has been ACA accredited since 1982. The institution is a campus style setting with multiple housing units, double and single bunked. KCIW is considered a multi-custody facility as differential housing and programming must meet the needs of female felons serving one year to life, death row, maximum, medium, minimum, community custody, first offenders, persistent offenders, and the physically and intellectually disabled, along with those suffering from mental illness.

Warden Vanessa Kennedy provides fiscal responsibility and oversight as she manages a budget of approximately \$15.7 million. Positive working relationships as well as good communication between the Warden, Deputy Wardens, staff, volunteers and outside visitors add significant value to the Mission Statement of the institution and the DOC.

Highlights

The Kentucky Correctional



Vanessa Kennedy
Warden

Institution for Women continues to provide outstanding public protection through a secure facility while providing the inmate population opportunities to rehabilitate and ready themselves for reentry into life outside the fence.

- ♦ KCIW's Virtual Program Security Review took place October 28-30, 2020.
- ♦ KCIW's Virtual Visitation began in November 2020, to reconnect offenders with families.
- ♦ KCIW placed fentanyl kits throughout the institution for quick response to fentanyl exposure.
- ♦ KCIW's Training Department continues to train newly hired correctional officers during the employee orientation process. The Training Department has developed a week-long program for officers once they have completed the Corrections Basic Academy that focuses on topics covered at the academy and how they relate to KCIW specifically.
- ♦ The I-LEAD Program, a six-month long regional leadership training program, continues to play an important part in KCIW's quest to effectively raise the chances for professional success of its participants within the DOC.

Kentucky Correctional Institution for Women



Stephen Lyons
Deputy Warden



Joseph Woods
Deputy Warden

Programmatic and Operational Areas

Paws with Purpose (PWP): KCIW continues to partner PWP, a non-profit organization that provides highly skilled assistance dogs to children and adults with physical disabilities or other special needs. One of the only programs of its type in the region, the dog program was first launched in 2003 and has been extremely successful.

The inmates who apply to participate in the PWP program must be screened and go through an interview process to be selected to participate. The selected inmates receive training from PWP volunteers who visit during the week. These dogs stay at the prison throughout the week and will work one-on-one with the women. Currently, there are 12 inmates assigned as handlers, with three inmates assigned as alternate handlers in training.

PWP has recently received a grant to work on creating a curriculum for a certification program for the inmates to obtain prior to release to assist with employment upon release.

Education: The Education Center offers inmate instruction in Basic

Literacy, Adult Basic Education, GED and college courses. Vocational training includes a certified program in Horticulture, Electrical, and Microsoft Office.

Programs: Treatment programs such as the Sex Offender Treatment Program and the Substance Abuse Program are available to inmates. The Substance Abuse Program provides treatment in a Therapeutic Community, with close supervision in a structured environment.

Another therapeutic program called COD-SAP (Co-Occurring Disorder) addresses the needs of incarcerated women who suffer from both a mental illness and a co-occurring addiction.

Moral Reconciliation Therapy (MRT)

is also available to the inmate population and is a behavior modification course that uses evidence-based practices to understand how decisions are made and the reasoning behind them. MRT is designed to restructure the mental processes that allow us to perform certain actions or desires. Other MRT programs offered at KCIW include a Restrictive Housing Behavior Modification program, Anger Management, Seeking Safety, Untangling Relationships, Parenting, and Getting Started, and Trauma for Women.

Portal New Directions is available to the inmate population that uses evidence-based practices on preparing offenders for upcoming release. The program modules focus on tools needed for successful re-entry into the community.

Kentucky Correctional Industries (KCI) has been at KCIW for 33 years. Industries provides inmates with job training that produces marketable skills and quality products. Correctional Industries currently operates four separate industries consisting of Bulk Mail Services, Braille Transcription, Warehousing and the Soap Plant and Portion Pac.

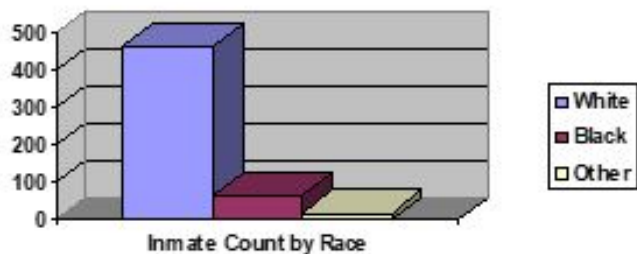


Pictured above: KCIW Main Building

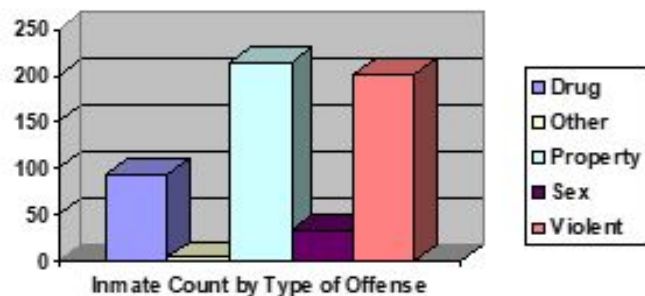
Kentucky Correctional Institution for Women

Demographic Data

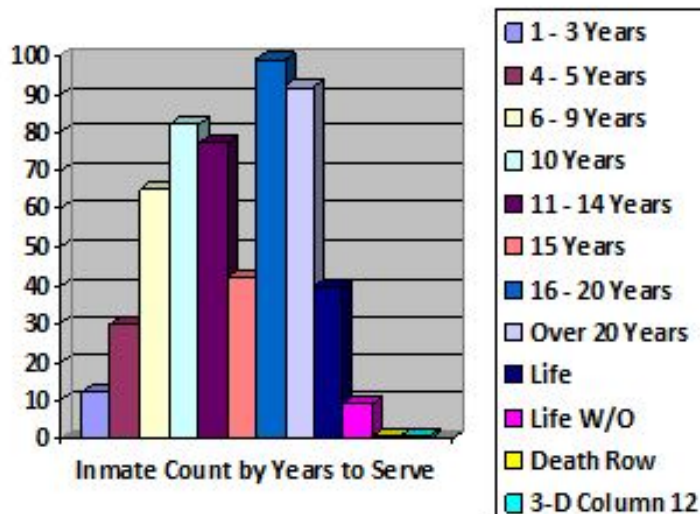
RACE	COUNT	PERCENTAGE
White	467	84.91%
Black	67	12.18%
Other	16	2.91%
Total	550	100.00%



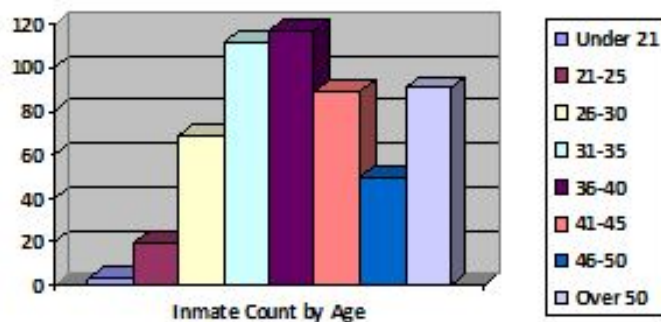
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	94	17.09%
Other	5	0.91%
Property	214	38.91%
Sex	34	6.18%
Violent	202	36.73%
Total	550	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	12	2.18%
4 - 5 Years	30	5.45%
6 - 9 Years	65	11.82%
10 Years	82	14.91%
11 - 14 Years	77	14.00%
15 Years	42	7.64%
16 - 20 Years	99	18.00%
Over 20 Years	92	16.73%
Life	40	7.27%
Life W/O	9	1.64%
Out of State	1	0.18%
Death Row	1	0.18%
Total	550	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.55%
26-30	19	3.45%
21-25	69	12.55%
31-35	112	20.36%
36-40	117	21.27%
41-45	89	16.18%
46-50	50	9.09%
Over 50	91	16.55%
Total	550	100.00%



Kentucky State Penitentiary



Warden

Scott Jordan

Deputy Warden– Security

Garyth Thompson

Deputy Warden– Programs

Laura Plappert

Deputy Warden– Operations

Skyla Grief

Administrative Assistant

Donna Dunbar

Address

266 Water Street

Eddyville, KY 42038

2020 Average Daily Population

863

Total Number of Staff

331 Positions

41 Contract

Security Level

Maximum

Overview

The Kentucky State Penitentiary

(KSP) serves as the Department of Corrections only maximum-security facility with an operational capacity of 914 beds, housing an average of 859 inmates in 2020. The institution has operated for over 130 years, originally opening on Christmas Eve in 1889. The facility sits on the banks of Lake Barkley and locally referred to as, “The Castle on the Cumberland.”

Our population includes a small protective custody unit, a large restrictive housing unit in two separate cellblocks, death row, general population, and a 30-man minimum security dormitory located outside the secure perimeter.

Highlights

In preparation of a possible outbreak of COVID-19, an emergency inventory of PPE was started. Sanitation Stations were set-up and used throughout 2020. Weekly COVID-19 tests were ordered for staff to prevent the spread of the virus to staff and the inmate population.

In August, Warden DeEdra Hart retired and Scott Jordan became Kentucky State Penitentiary’s new warden.

Maintenance Projects in 2020

Kentucky State Penitentiary made several repairs and upgrades during 2020:

- ◆ The lights in front of the Observation Cells have been rewired.
- ◆ A new shower was built in the water plant for inmate workers.



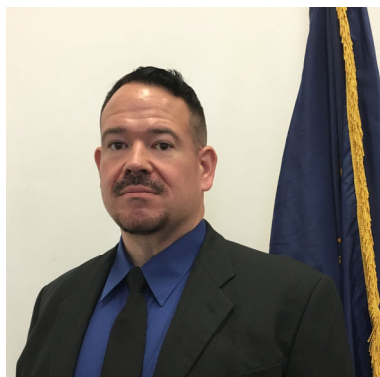
Scott Jordan
Warden

- ◆ New plumbing was installed in the choline room.
- ◆ Four new De-Drone detectors were installed.
- ◆ Hand rails were installed on the steps leading down to the weight room to prevent falls.
- ◆ The roof on 5 C/H tower was repaired.
- ◆ The masonry damage on the front of 6 C/H was repaired.
- ◆ A new control system was installed on the two boilers.
- ◆ A new fence was installed in the Medical Unit recreation area.
- ◆ Parking lot in front of the institution had the base coat applied, the finished coat will be put down in the spring of 2021.

Security

- ◆ Kentucky State Penitentiary added six Pepper Ball launchers to their armory.
- ◆ The Kentucky State Penitentiary recycling program kept 13,263 pounds of waste from going into our landfills.
- ◆ Video visitation has been implemented for both family and attorney visits. Court proceedings are completed via video as well

Kentucky State Penitentiary



*Garyth Thompson
Deputy Warden*



*Skylar Grief
Deputy Warden*



*Bruce VonDwingelo
Deputy Warden*

to lower the risk of spreading COVID-19 to the inmate population.

Restrictive Housing Transition Program

Kentucky State Penitentiary has a Transition Unit within its Restrictive Housing Unit established in August 2014. The Transition Unit (TU) is able to hold a maximum of 36 offenders. Offenders who have behavioral issues resulting in excessive rule-breaking requiring restrictive housing and those committing violence and presenting as a security concern with a placement in administrative control, afforded the opportunity to move into the Transition Unit and participate in programming.

Programmatic and Operational Areas

In July 2020, MRT was transferred to a DOC employee reentry coordinator, who continued to facilitate a total of 18 cognitive skills courses of various MRT programs and other evidence-based programming. This allowed an average of 180 offenders during a six

-month period to complete a course.

Prison Industries

Kentucky Correctional Industries (KCI) at Kentucky State Penitentiary began operating in the mid-1950s with a mandate to provide training and employment to state inmates. Today, the industries at KSP employ over 100 plus state inmates. The Garment Plant made approximately \$1.3 million dollars in sales in 2020.

The KCI Garment Plant had a very challenging year in 2020. At the beginning of the year we were tasked to make Personal Protective Equipment (PPE) items like surgical masks and gowns to help protect those from the coronavirus in our DOC facilities across the state.

After finding vendors to supply us with the material, we began operation in early April. By the end of the month we had nearly all adult DOC facilities supplied with masks for their staff and inmates. We continued the operation on the surgical gowns and supplied over 23,000 gowns to various clients. We are continuing the production of surgical masks and have produced

nearly 170,000 masks to this day.

Our regular orders had to be postponed during this operation to be able to handle the high demand of PPE items. Consequently, this created a large backlog and we had to make modifications to compensate for that backlog. As the year progressed, we had to increase our workload to six days a week but were able to manage all our client's needs and reduce our backlog of orders. Over all, it was a very productive year for the Garment Plant.

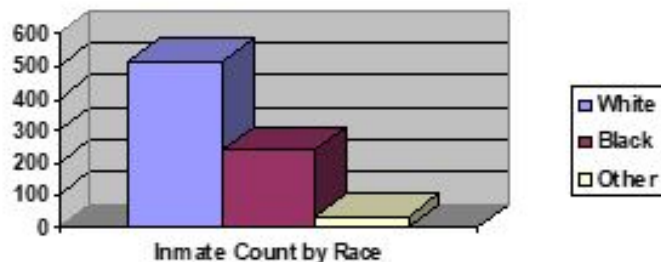


Pictured above: PPE gown and mask made at KSP.

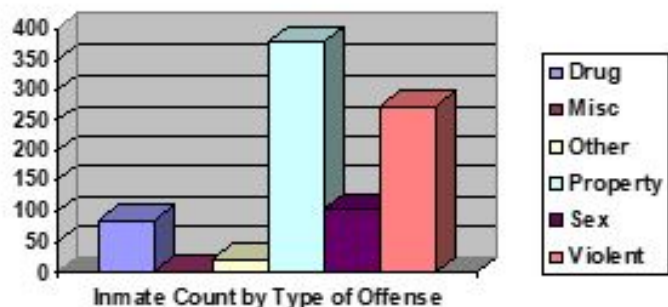
Kentucky State Penitentiary

Demographic Data

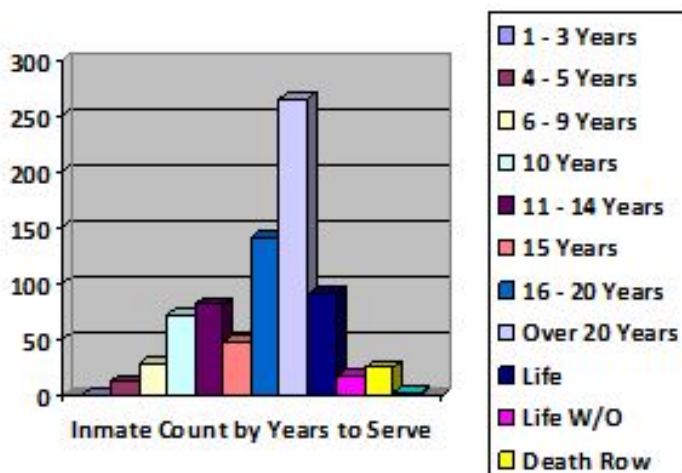
RACE	COUNT	PERCENTAGE
White	518	65.57%
Black	239	30.25%
Other	33	4.18%
Total	790	100.00%



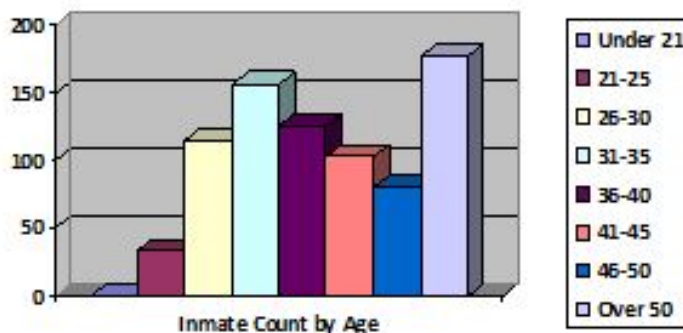
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	61	7.72%
Misc.	3	0.38%
Other	18	2.28%
Property	362	45.82%
Sex	87	11.01%
Violent	259	32.78%
Total	790	100.00%



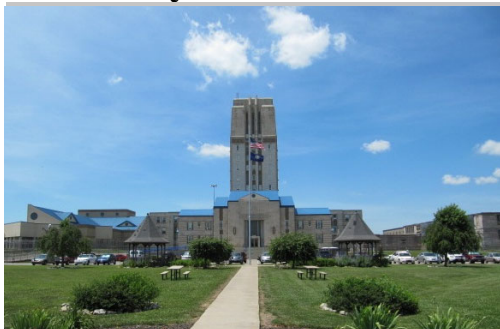
YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1	0.13%
4 - 5 Years	13	1.65%
6 - 9 Years	29	3.67%
10 Years	73	9.24%
11 - 14 Years	82	10.38%
15 Years	49	6.20%
16 - 20 Years	141	17.85%
Over 20 Years	265	33.54%
Life	92	11.65%
Life W/O	17	2.15%
Death Row	25	3.16%
Out of State	3	0.38%
Total	790	100.00%



AGE	COUNT	PERCENTAGE
Under 21	0	0.00%
26-30	33	4.18%
21-25	115	14.56%
31-35	156	19.75%
36-40	126	15.95%
41-45	103	13.04%
46-50	80	10.13%
Over 50	177	22.41%
Total	790	100.00%



Kentucky State Reformatory



Warden

Anna Valentine

Deputy Warden– Security

Jesse Stack

Deputy Warden– Programs

Phillip Campbell

Administrative Specialist III

Kimberly Campbell

Address

3001 West Highway 146

LaGrange, KY 40031

2020 Average Daily Population

879

Total Number of Staff

329 Funded

124 Agency/Contract

Security Level

Medium

Overview

The Kentucky State Reformatory (KSR) is located in LaGrange, Kentucky, which is approximately 30-miles northeast of Louisville in Oldham County. In the year 2018, a

re-organization and downsizing was implemented, reducing the institution from 1,969-bed capacity to 1,048-bed capacity. In 2019, two units were modified and the current institutional bed capacity is 1,051.

Up to the present day, the 80-year old physical plant has essentially retained its original configuration. The 12-story administration building was originally designed to house the offices of key staff members, a hospital, medical offices, and living quarters for correctional staff. The 11th floor houses the machinery of the elevator; the 12th floor houses a now nonfunctional 150,000 gallon water tank.

There are five dormitories made up of individual rooms. KSR maintains 148 beds in the Correctional Psychiatric Treatment Unit (CPTU). It is divided into a 50-bed program wing, 25-bed program wing, 50-bed stabilization wing, and 23-bed restrictive housing unit (RHU). The Reformatory also maintains a 75-bed Nursing Care Facility (NCF), a 20-bed open wing maintained in the Medical Service building, and a 50-bed open wing Daily Assisted Living Unit (DAL). Other buildings include an academic school, gymnasium, chapel, dining facility, inmate commissary, correctional industries, visitation building, and medical services building.

In 2018, KSR closed the 130-bed RHU that was used for purposes of segregated housing. There are six closed dormitories located on KSR institutional grounds. Two dormitories (Dorm 2 - closed 2011 & Dorm 8 – closed 2017) are



Anna Valentine
Warden

condemned and pending demolition. Four dormitories (4, 5, 6, and 11 – closed 2018) are also pending demolition.

KSR's primary mission is to be a specialized institution to provide extensive mental health and medical services to inmates within the system. The Medical Department provides several on-site services to include chemotherapy, dialysis, physical therapy, and radiological services. Additionally, they coordinate Health Care Services, which includes the direct provision of routine and emergency medical and psychological care, and the provision of medical care of outside hospitals and private practitioners. The Mental Health staffing at KSR consists of three psychiatrists, eight psychologists, and 12 social service clinicians. An eye and dental clinic is available on-site as well.

There are several services available for deaf and hard of hearing inmates. Unit A has a video relay system located for access to all deaf and hard of hearing inmates. Bed "shaker" units are installed for the hearing impaired and provide alerts during emergencies as well as scheduled alerts that can be programmed through an alarm clock. Pocket pagers that send notifications

Kentucky State Reformatory

of events are issued to inmates who have severe hearing loss or are deaf. Additionally, there are strobe lights and handheld activator units for the dorm officers.

Programmatic and Operational Areas

Programs offered by the Reformatory include: academic, life management, organized sports and recreation, religious services and counseling, on-the-job training, and services provided by the Division of Mental Health, *i.e.* psychological/psychiatric services, Sex Offender Treatment Program (SOTP), and individual or group counseling. An outpatient substance abuse program is in place for inmates who are not able to complete a traditional SAP program due to medical issues. Several specific programs include New Directions, Phoenix (Co-Occurring Disorder program), Challenges therapeutic program located in CPTU), STAR Program (Striving Towards A Recovery - therapeutic program located in CPTU), and a Hospice program providing end of life support in the NCF. Several contracted programs are also offered which include Moral Reconciliation Therapy, Anger Management, Seeking Safety, and The Con Game.

GED services are offered on the institutional yard and managed by the department's Education Branch. Aramark offers a food service program (In to Work) where participants receive a serve safe certification that is accepted throughout the food service industry.



Jesse Stack
Deputy Warden



Phillip Campbell
Deputy Warden

The Correctional Industry operation continues to provide relevant work experience to the inmate population. The area consists of a Metal Fabrication Plant, Tag Plant, and Carpentry Workshop.

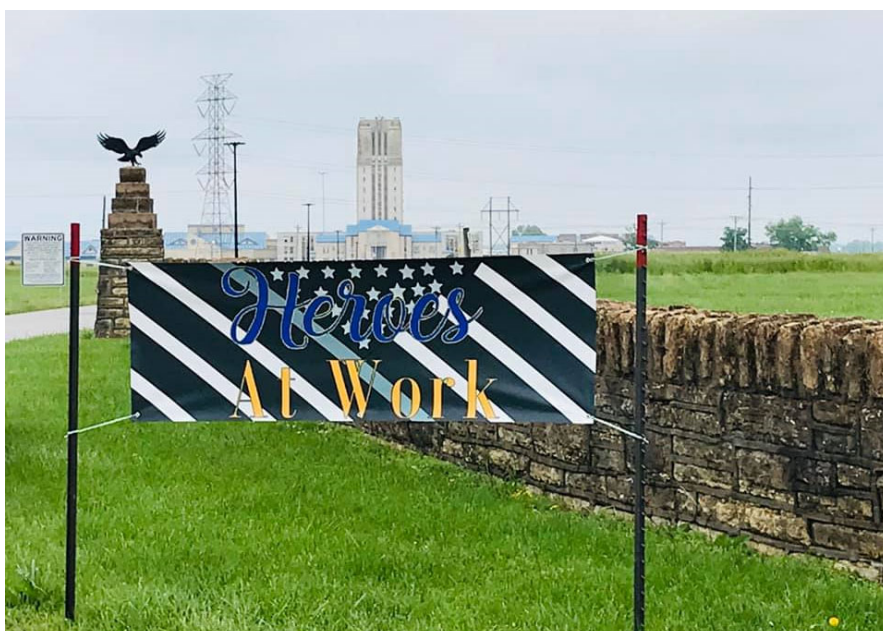
Camp K9 is the dog program in partnership with the Oldham County Humane Society. Camp K9 has a capacity of 20 dogs in their training program.

Highlights

Constant improvement to all areas of security remains first and foremost to institutional planning. The Kentucky State Reformatory

continues to make physical and procedural improvements to strengthen security while providing proper training and communication.

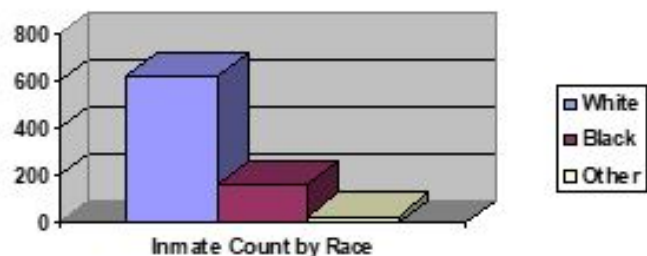
KSR continues to experience a security staff shortage, however we have been able to maintain a four-day, two 12-hour shifts work schedule. Probation and Parole staff and staff from other institutions are still used almost daily on overtime status to ensure minimum security staffing. For improved security and PREA compliance, camera upgrades and installations in the living units are constantly being monitored.



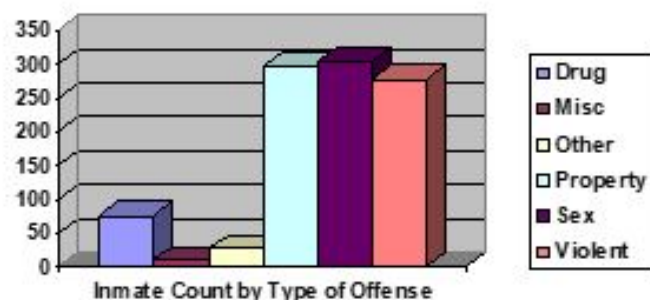
Kentucky State Reformatory

Demographic Data

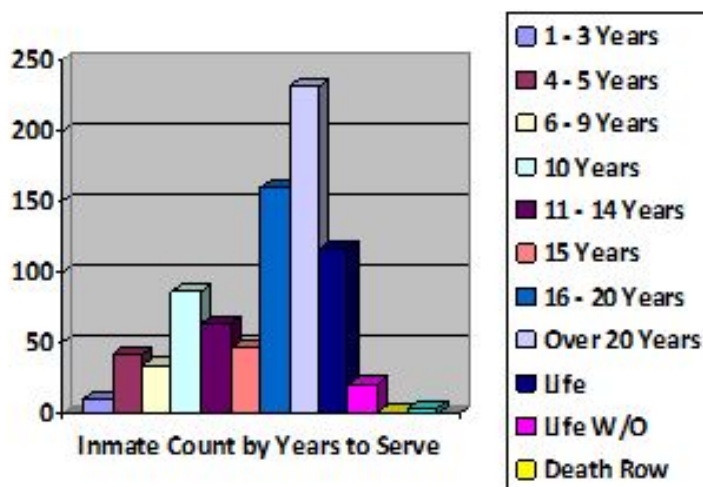
RACE	COUNT	PERCENTAGE
White	625	76.31%
Black	168	20.51%
Other	26	3.17%
Total	819	100.00%



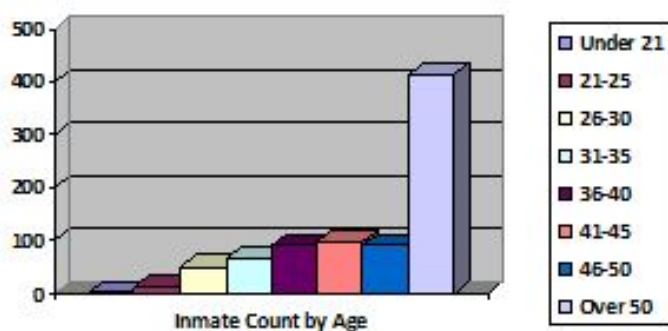
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	37	4.52%
Misc.	4	0.49%
Other	20	2.44%
Property	263	32.11%
Sex	258	31.50%
Violent	237	28.94%
Total	819	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	10	1.22%
4 - 5 Years	42	5.13%
6 - 9 Years	35	4.27%
10 Years	87	10.62%
11 - 14 Years	64	7.81%
15 Years	47	5.74%
16 - 20 Years	159	19.41%
Over 20 Years	232	28.33%
Life	117	14.29%
Life W/O	21	2.56%
Death Row	1	0.12%
Out of State	4	0.49%
Total	819	100.00%



AGE	COUNT	PERCENTAGE
Under 21	1	0.12%
26-30	11	1.34%
21-25	49	5.98%
31-35	65	7.94%
36-40	91	11.11%
41-45	98	11.97%
46-50	90	10.99%
Over 50	414	50.55%
Total	819	100.00%



Little Sandy Correctional Complex



Warden

Keith Helton

Deputy Warden– Security

Ivan Krow

Deputy Warden– Programs

David Bradley

Administrative Assistant

Deborah Williamson

Address

505 Prison Connector
Sandy Hook, KY 41171

20120 Average Daily Population

951

Total Number of Staff

257

Security Level

Medium / Maximum

Overview

Little Sandy Correctional Complex (LSCC) is a medium-security institution located in Elliott County. It is the state's newest and most technologically advanced institution.

A total of 1,049 inmates are currently housed in two living units at Little Sandy Correctional Complex. Our housing also includes a 140-bed minimum security unit and a 90-bed special management unit.

There are numerous support buildings including academic and vocational schools, a medical unit, inmate canteen, correctional industries, gym, dining facility, and maintenance area.

Little Sandy Correctional Complex operates under a direct supervision model that encourages staff and inmate interaction and allows the inmates to have direct access to staff when they have a concern.

Little Sandy Correctional Complex received its initial accreditation on August 13, 2007 by the American Correctional Association (ACA) with 100% compliance. The institution was reaccredited in 2010, 2013, 2016, and 2019, receiving 100% compliance on both mandatory and non-mandatory expected practices.

In March 2015, LSCC received its PREA accreditation and was reaccredited in 2018.

Highlights

- ◆ LSCC installed new Geo-Vision cameras with 360-degree view, day/night capability, 30 frames per second and H264/H265 rendering software. The new cameras allow for an improved video monitoring experience.
- ◆ The adjustment officer's office



Keith Helton
Warden

was upgraded with a security cage to improve safety during proceedings.

- ◆ The visitation area was upgraded with three security cages allowing an increase in visiting opportunities for inmates restricted from contact visits.
- ◆ On July 1, 2020 a new social service clinician began employment with LSCC.
- ◆ Recreational opportunities were enhanced for the inmate population by installing newly designed weightlifting machines. These new machines are more secure and will be easier to maintain than past machines.
- ◆ Additional inmate communal opportunities have been provided to library patrons; including sponsoring a community puzzle and free comic book reading day.



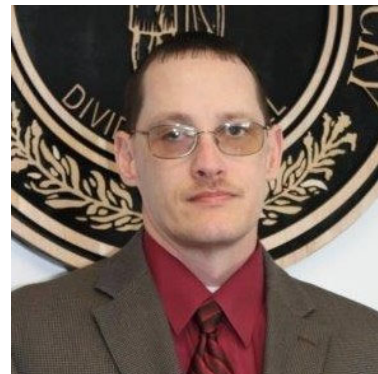
Pictured above: LSCC aerial photo

Little Sandy Correctional Complex

- ◆ A new X-ray screening machine located at the facility entrance has improved the speed and accuracy of searches of staff and visitor traffic entering the institution.
- ◆ The institution's telecommunication connection was recently upgraded from a 9 megabit connection to a 250 megabit connection. In addition to this network upgrade, our virtual server has been upgraded to physical file and print servers.
- ◆ Key issuance has now been automated using new security asset manager cabinets. Assigned keys are now accessed using institution issued RFID badges. Scanning an individual's ID grants access only to those keys that have been assigned to the individual.
- ◆ A sanitizing UVC "Cleanse Portal" has been installed in the facility entrance. This gateway utilizes a wavelength in the ultraviolet spectrum to inactivate surface pathogens. Walking through this gateway upon entry to the institution greatly decreases the possibility of transferring pathogens by fomites.



David Bradley
Deputy Warden



Ivan Krow
Deputy Warden

LSCC offers programs to include the Canine Program, In2 Work, Inside/Out Dads, MRT, MRT Anger Management, MRT Mentor, MRT Relapse Prevention/Staying Quit, MRT Parenting, PORTAL New Directions, Substance Abuse Program, Soft Skills Boot Camp, and the Violent Offenders Program.

LSCC offers literacy and adult education courses to include GED and career and technical education courses that include Carpentry, Small Engines, Horticulture, and VT Fundamentals.

LSCC Minimum Security Unit

also has program opportunities for inmates. They may also elect for special training opportunities in cooperation with the Kentucky Department of Transportation.

LSCC Minimum Security Unit educational opportunities include career and technical education courses that include Horticulture and VT Fundamentals.

LSCC actively seeks partnerships with community and educational entities to provide an integral programmatic menu to the inmate population.

Programmatic and Operational Areas

LSCC has a diverse array of programming to address the needs of a wide spectrum of offenders. We provide quality programs that focus on key fundamentals such as behavior and thinking processes.

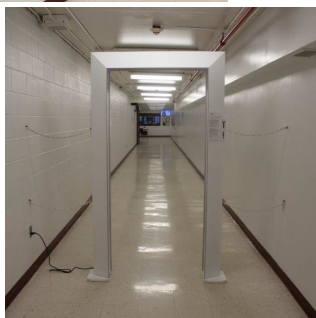
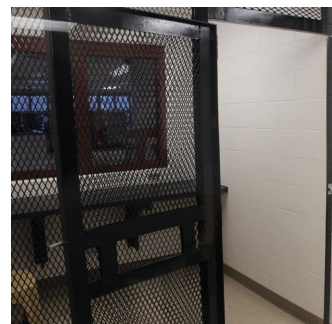


Pictured top left: New key boxes installed.

Pictured bottom left: New body scanner installed at front desk.

Pictured top right: New enclosure for visitation.

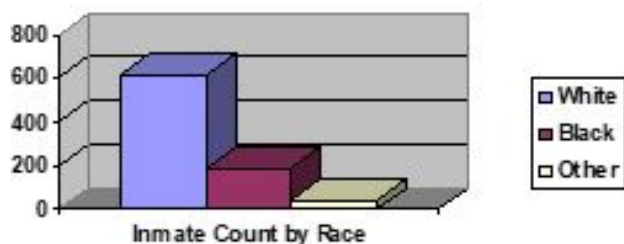
Pictured Bottom right: New Cleanse Portal at entrance.



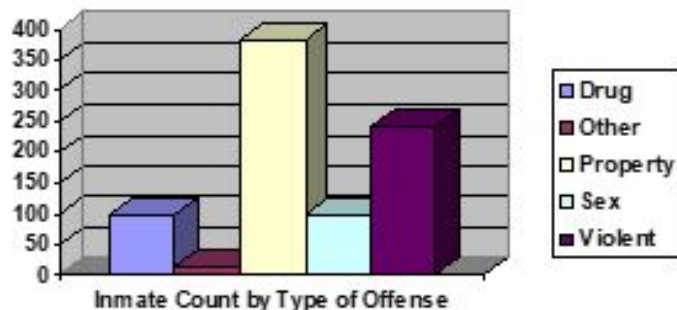
Little Sandy Correctional Complex

Demographic Data

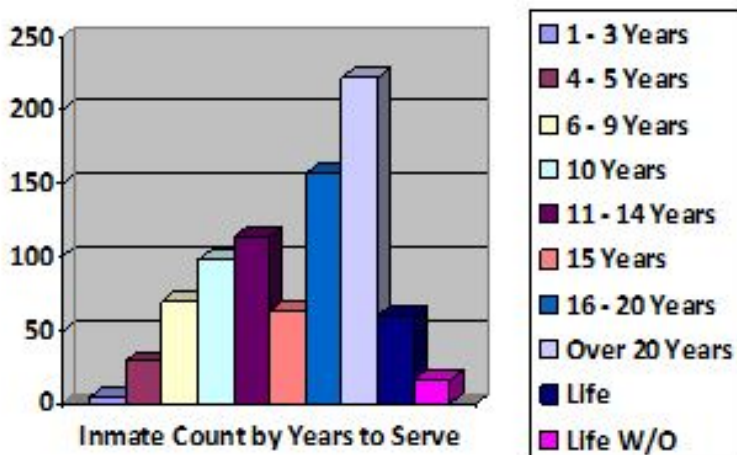
RACE	COUNT	PERCENTAGE
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Black	191	22.96%
Other	33	3.97%
Total	832	100.00%



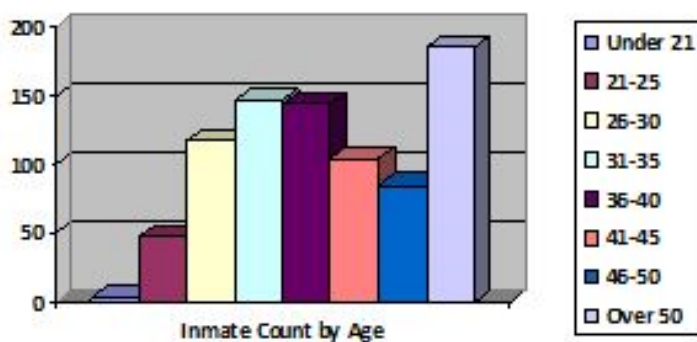
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	98	11.78%
Other	14	1.68%
Property	381	45.79%
Sex	97	11.66%
Violent	242	29.09%
Total	832	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	4	0.48%
4 - 5 Years	29	3.49%
6 - 9 Years	70	8.41%
10 Years	98	11.78%
11 - 14 Years	114	13.70%
15 Years	63	7.57%
16 - 20 Years	156	18.75%
Over 20 Years	222	26.68%
Life	60	7.21%
Life W/O	16	1.92%
Total	832	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.36%
26-30	47	5.65%
21-25	117	14.06%
31-35	147	17.67%
36-40	144	17.31%
41-45	104	12.50%
46-50	85	10.22%
Over 50	185	22.24%
Total	832	100.00%



Luther Luckett Correctional Complex



Warden

Amy Robey

Deputy Warden– Security

Patricia Gunter

Deputy Warden– Programs

Rebecca Barker

Deputy Warden– Operations

Vacant

Administrative Assistant

Suzannah Rostek

Address

1612 Dawkins Rd.
LaGrange, KY 40031

2020 Average Daily Population

1177

Total Number of Staff

258

Security Level

Medium

Overview

The Luther Luckett Correctional Complex (LLCC) was built in direct

response to the 1980 Federal Consent Decree. LLCC was named in honor of Mr. Luther Luckett, an employee of the Department of Corrections, who served the department for over 22 years. The facility stands as a tribute to him and exemplifies his dedication and commitment to duty and excellence in correctional management.

The first offenders were received at LLCC in March 1981. The institution was originally designed to house 486 offenders. In 1990, in response to the growing prison population in Kentucky, the institution double-bunked general population cells. This allowed LLCC to double the number of offenders that could be housed at the institution.

LLCC is unique when compared to the 11 other correctional facilities that comprise Adult Institutions. The institution houses the Kentucky Correctional Psychiatric Center (KCPC), which is administered by the Kentucky Family and Health Services Cabinet. KCPC opened in 1981, in part, as a replacement for the Grauman Unit, a forensic housing unit, at Central State Hospital. KCPC operates behind the perimeter fence of LLCC. This provides the sister agency with a safe and secure environment to complete the unique mission it has been tasked with. The memorandum of understanding between LLCC and KCPC and related administrative protocols has ensured a successful partnership for over 40 years.



Amy Robey
Warden

LLCC is committed to supporting the mission of the Kentucky Department of Corrections, which states, “to protect the citizens of the Commonwealth and to provide a safe, secure and humane environment for staff and offenders in carrying out the mandates of the legislative and judicial processes; and, to provide opportunities for offenders to acquire skills which facilitate non-criminal behavior.” Executive staff at the institution are also highly committed to supporting the continued professional development of institutional personnel, in order to prepare them for leadership roles within the Department of Corrections and greater local community.

Highlights

- ♦ LLCC maintenance staff assisted in the design and completion of the Housing Units security fence project.
- ♦ The RHU observation cell doors were redesigned, in order to provide a safer environment for staff. The redesigned doors also provide an unobstructed view, which allows for more accurate observation.
- ♦ Three-quarters of the razor wire on the exterior fence was



Luther Luckett Correctional Complex

replaced.

- ◆ 69 cameras were installed.
- ◆ The exteriors of all security towers were painted.
- ◆ All incoming offender mail is photocopied and then issued to offenders. This procedure assists with decreasing contraband introduced to the institution via US mail and enhances the overall security of the institution.

Staff Recruitment Development and Retention: The personnel administration is primarily recruiting via social media and hiring websites. Due to restrictions associated with COVID-19, traditional job fairs and recruiting events were cancelled for the majority of 2020. A virtual job fair is being planned for early 2021. LLCC continues to emphasize the importance of consistent staff training and employee retention. Additionally, LLCC collaborated with Job Corps, to recruit and hire candidates from the western part of the state.

Staffing: Security staff at LLCC have been assigned to work 12-hour shifts, five days per week, since December 2015. Hiring and recruiting efforts in the eastern part of the state, which previously resulted in numerous new hires, declined over the last year. This decline is likely related to increased employment opportunities in Louisville, Kentucky.

Security Areas: LLCC maintains a secure perimeter, including three operational towers, two of which are manned 24-hours per day/seven days per week. An external patrol vehicle



Patricia Gunter
Deputy Warden

also monitors the outside perimeter of the institution 24 hours per day/seven days per week. All perimeter fences have extensive razor wire and an operation motion detection system assists in detecting any motion that occurs near the perimeter. LED lighting is used throughout the institution, including the general population yard and all perimeter lights. LLCC currently has 567 operational cameras, in order to assist with monitoring all areas of the institution.

Programmatic and Operational Areas

LLCC provides numerous opportunities for offenders to engage in educational pursuits, including Literacy, Adult Basic Education, GED, college courses, and vocational training. Additionally, the institution also offers a variety of evidence-based and life skill programs to assist offenders in learning pro-social behaviors.

Dorm 7-David was converted to an Honor Dorm, in order to assist with the backlog of offenders awaiting honor dorm placement. LLCC now has two dorms.



Rebecca Barker
Deputy Warden

In collaboration with Ashland University, LLCC initiated a pilot project, which allows offenders to participate in online college courses.

In collaboration with Spalding University, LLCC initiated Healthy Lifestyles, a pilot program for male offenders, ages 18 - 24. The program includes multiple interventions, such as group work, individual sessions, peer support, and individualized case management, in order to address the unique complexities of the young adult population.

LLCC added a Reentry Center to the reentry services available to offenders at the institution.

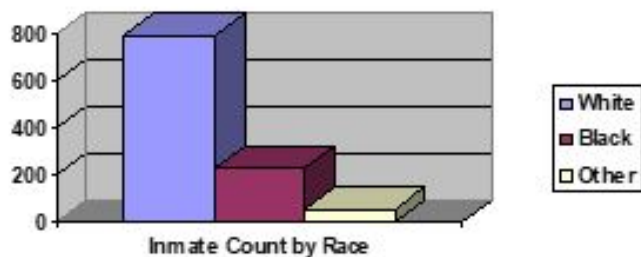


Pictured above: Newly painted observation tower.

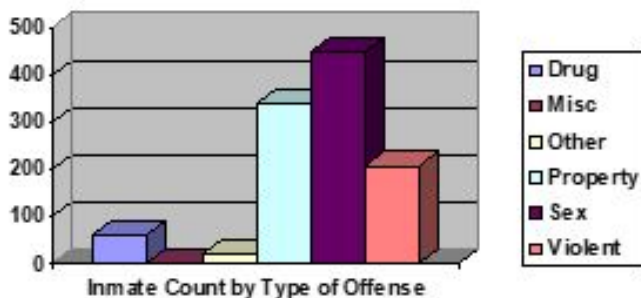
Luther Luckett Correctional Complex

Demographic Data

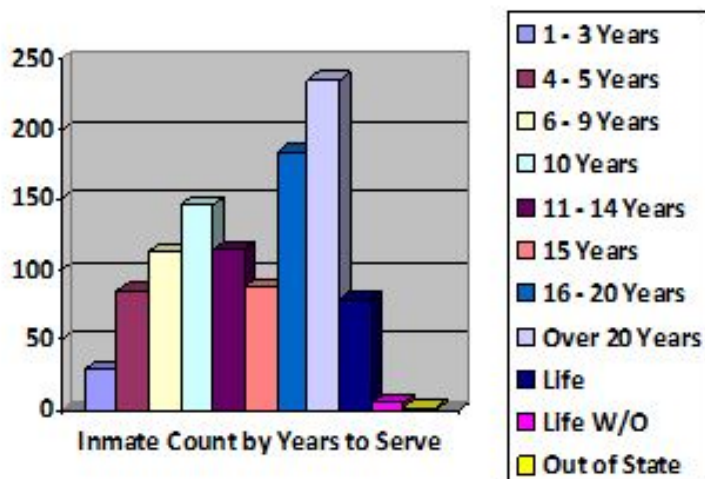
RACE	COUNT	PERCENTAGE
White	797	73.86%
Black	232	21.50%
Other	50	4.63%
Total	1079	100.00%



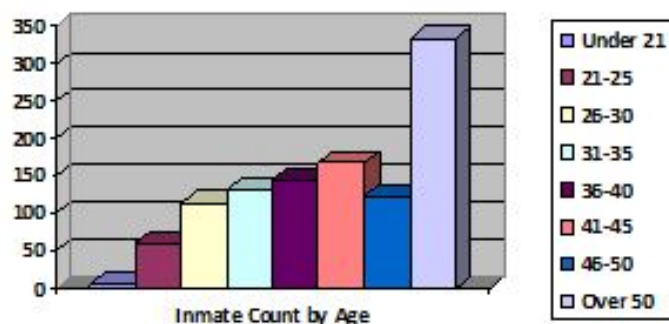
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	59	5.47%
Misc.	2	0.19%
Other	22	2.04%
Property	340	31.51%
Sex	449	41.61%
Violent	207	19.18%
Total	1079	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	29	2.69%
4 - 5 Years	85	7.88%
6 - 9 Years	113	10.47%
10 Years	146	13.53%
11 - 14 Years	114	10.57%
15 Years	88	8.16%
16 - 20 Years	183	16.96%
Over 20 Years	235	21.78%
Life	79	7.32%
Life W/O	5	0.46%
Out of State	2	0.19%
Total	1079	100.00%



AGE	COUNT	PERCENTAGE
Under 21	5	0.46%
26-30	60	5.56%
21-25	114	10.57%
31-35	133	12.33%
36-40	145	13.44%
41-45	167	15.48%
46-50	122	11.31%
Over 50	333	30.86%
Total	1079	100.00%



Northpoint Training Center



Warden

Brad Adams

Deputy Warden– Security

Craig Hughes

Deputy Warden– Programs

Shea Carlson

Deputy Warden– Operations

Mendalyn Cochran

Administrative Assistant

Kelly McGinnis

Address

710 Walter Reed Road
Danville, KY 40422

2020 Average Daily Population

1233

Total Number of Staff

337

Security Level

Medium

Overview

Northpoint Training Center (NTC) is a medium security correctional

facility for adult male offenders. The facility is located in northern Boyle County near Danville, Kentucky.

The department received control of the property in January 1983. NTC was initially conceived as a minimum security institution for fewer than 500 inmates. The mission rapidly changed to the development of a medium security institution with a proposed population of 700 inmates. The institution has expanded over the years to a bed capacity of 1,270.

NTC consists of 551 acres. General population inmates are housed in open-bay dormitories within a secure perimeter. Restrictive Housing Unit inmates are housed in single cells in a 60-bed segregated structure separated from the main compound. There are 54 minimum security inmates housed in dormitories outside the secure perimeter.

The perimeter of the compound is a combination of two parallel 12-foot fences with razor wire on the bottom, middle, and top. The inner perimeter fence has a sensor system



Brad Adams
Warden

that alerts the main control, four armed wall towers, an outside patrol, control center, and over 700 state of the art security cameras have been installed to monitor inmate activities.

Highlights

- ♦ February 1, 2020 the NTC Captain's Office was relocated to the Visiting Building located behind the fence of Northpoint Training Center.
- ♦ February 14, 2020 the NTC K9 Unit was certified.
- ♦ February 2020 new Key Boxes were installed in the Control Center and Administration Building.



Pictured above: First Veterans Dog Program canines, October 2020.

Northpoint Training Center



*Mendalyn Cochran
Deputy Warden*



*Craig Hughes
Deputy Warden*



*Shea Carlson
Deputy Warden*

- ◆ In April 2020, Northpoint received an additional canine (Romeo) for the K9 unit.
- ◆ In May 2020, NTC donated 80 masks to the Heart of Kentucky United Way for those in need.

Programmatic and Operational Areas

- ◆ In January 2020, NTC OSD inmates helped clean up Perryville

Battlefield after a storm caused damage.

- ◆ In February 2020, NTC OSD inmates assisted the City of Burgin with flooding problems.
- ◆ February 2020, Northpoint hosted a reentry simulation for Society for Human Resource Management.
- ◆ In March 2020, MRT Classes from NTC held a food drive and

donated it to The New Hope Food Pantry in Danville.

- ◆ In October 2020, the NTC Veterans Dog Program received their first canines to train.
- ◆ In late 2020, individual recreation yards were made for each dorm.



Pictured above: Officer Wren giving Heart of Kentucky United Way face masks, May 2020.



*Pictured directly above and below:
NTC K-9 certified, February 2020.*

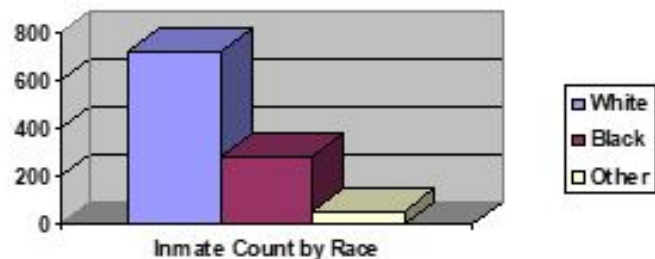


Pictured above: Deputy Warden Carlson giving canned goods to New Hope from NTC MRT classes, March 2020.

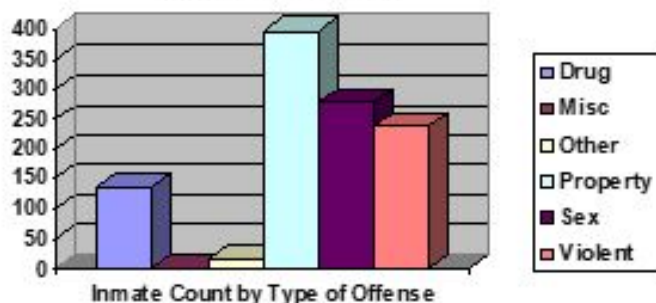
Northpoint Training Center

Demographic Data

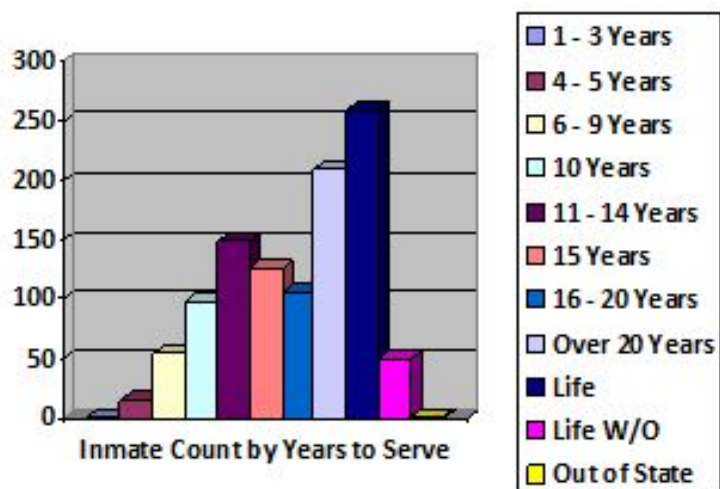
RACE	COUNT	PERCENTAGE
White	728	68.16%
Black	289	27.06%
Other	51	4.78%
Total	1068	100.00%



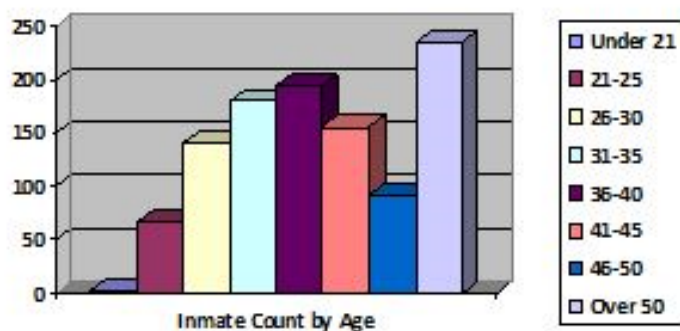
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	137	12.83%
Misc.	1	0.09%
Other	17	1.59%
Property	398	37.27%
Sex	278	26.03%
Violent	237	22.19%
Total	1068	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1	0.09%
4 - 5 Years	15	1.40%
6 - 9 Years	54	5.06%
10 Years	98	9.18%
11 - 14 Years	148	13.86%
15 Years	125	11.70%
16 - 20 Years	106	9.93%
Over 20 Years	209	19.57%
Life	259	24.25%
Life W/O	50	4.68%
Out of State	1	0.09%
Total	1068	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.28%
21-25	67	6.27%
26-30	141	13.20%
31-35	180	16.85%
36-40	194	18.16%
41-45	156	14.61%
46-50	92	8.61%
Over 50	235	22.00%
Total	1068	100.00%



Roederer Correctional Complex



Warden

Sarah Jessie Ferguson

Deputy Warden– Security

Arnold Chisholm

Deputy Warden– Programs

Jessica Durrett

Administrative Assistant

Patti M. Ray

Address

P.O. Box 69
4000 Morgan Road,
LaGrange, KY 40031

2020 Average Daily Population

1,015

Total Number of Staff

225

Security Level

Medium & Minimum

(RCC) opened August 28, 1976, as a 150-bed minimum-security “work camp,” responsible for the farming operation of approximately 3,000 acres. In December 1987, the first of several transitions occurred. The institution converted to a medium security facility and increased the offender population to 252. In 1989, with the transfer of the Assessment and Classification Center from the Kentucky State Reformatory, the offender population increased to 435. The double bunking of existing living areas and utilization of five trailers for additional housing options achieved this expansion. In May 2016, 36 offenders relocated from KSR to RCC Minimum Security Unit, increasing its capacity to 108 beds. In May 2017, 50 medium offenders were added to the Unit 3 Permanent housing, increasing the permanent population to 200. Currently, RCC has five separate units, with a maximum capacity of 1,238 offenders.

RCC is a dynamic facility, with three distinct areas. The Assessment and Classification Center processes all incoming male felons, with the exception of those sentenced to death row. Only 1,200 incoming offenders were processed this year due to COVID-19 protocols. The Assessment Center is responsible for the classification of offenders for the Class D/Class C Program (approximately 8,000 this year due to COVID-19).

RCC houses the Controlled Intake Records Office, which calculates all felony sentence calculations (over



Jessie Ferguson
Warden

12,500 files this year due to COVID-19). Offenders permanently assigned to RCC provide support services for the institution. RCC currently has 200 medium custody permanently assigned offenders who work in the maintenance department, kitchen, laundry, legal office, grievance office, as well as other areas necessary for the daily operation of the facility. Additionally, minimum security units at RCC have a maximum capacity of 308 offenders and is the location for a 200-bed Substance Abuse Program (SAP) at the facility and 108 offenders that provide support for RCC, LLCC and KSR.

Highlights

- ♦ Chaplain office added to mid-section chapel area
- ♦ Farm roof repair and storage building addition
- ♦ Hoop barns constructed on the farm
- ♦ Unit 4 and Central Regional Training Center: new metal roofs began and completed January 2021
- ♦ Unit 4 showers rebuilt
- ♦ Two observation cells added to ID

Overview

The Roederer Correctional Complex

Roederer Correctional Complex

Programmatic and Operational Areas

- ◆ Implemented Video Visitation due to COVID-19. Video court hearings were utilized due to COVID-19
- ◆ MRT-Reentry is being discontinued by DOC; RCC replacing these classes with MRT-Staying Quit and Seeking Safety
- ◆ MRT-Taking Action was phased out and replaced with a second MRT
- ◆ Archive Records moved into the old Training building
- ◆ Records Department moved to new location in Warehouse (previously Archive Records location)
- ◆ Training Room moved inside Annex Building (previously Records Dept.)
- ◆ Unit 5 Conference Room turned into SAP office space
- ◆ Recreation Storage added to Unit 5



*Jessica Durrett
Deputy Warden*

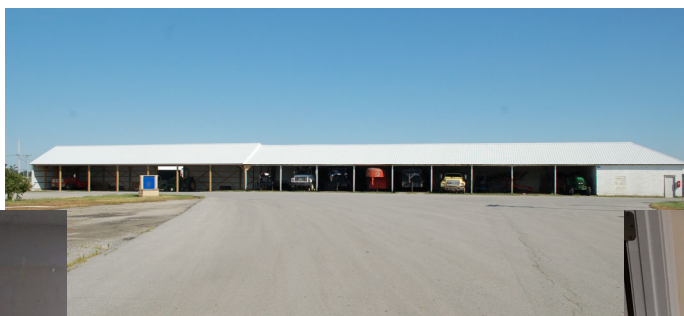


*Arnold Chisholm
Deputy Warden*

- ◆ Farm Maintenance Barn roof and Grain Building inside posts repaired from storm damage
- ◆ Arts and Crafts cabinet added to display items for sale
- ◆ Staff keys added to Unit 5 lockbox
- ◆ Two new fuel tanks were installed at the farm
- ◆ 1 SSCC position added
- ◆ Washer/Dryer added to wastewater
- ◆ Razor wire removed from inside Unit 5 fence to be repurposed

elsewhere

- ◆ Reentry Pamphlet Holders added to Unit 5 Legal Aid Office
- ◆ Repairs made to steam line at horticulture and farm building
- ◆ All TVs were replaced in unit 5 with 50" and the boxes removed
- ◆ TV equipment was moved to the closet in unit 5 and taken out of the dining room
- ◆ Barber boxes were made to be the same for all the barbershop
- ◆ One elliptical was replaced with a stair stepper



Pictured above: Farm building.

Pictured to the left: Chapel office.

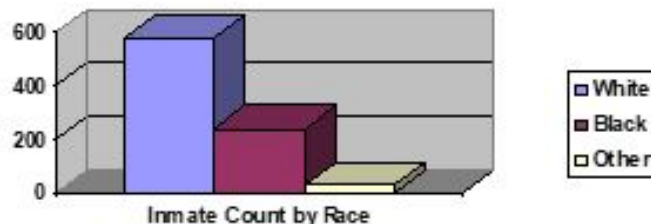
Pictured to the right: New records office space.



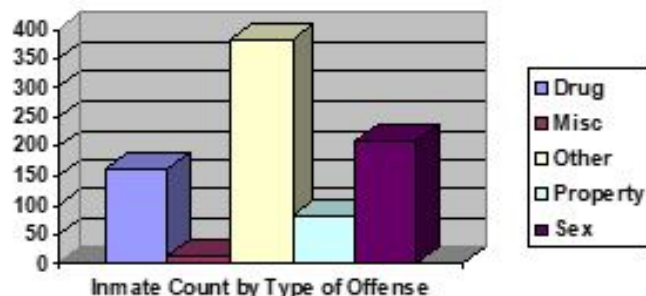
Roederer Correctional Complex

Demographic Data

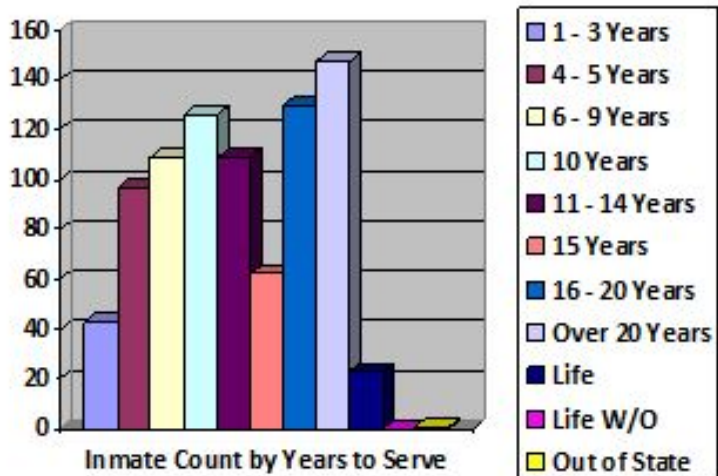
RACE	COUNT	PERCENTAGE
White	578	68.24%
Black	240	28.34%
Other	29	3.42%
Total	847	100.00%



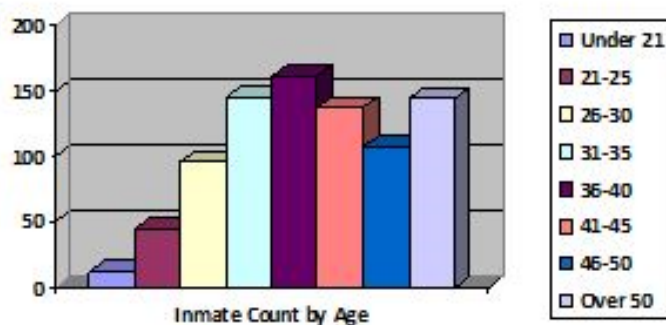
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	161	19.01%
Other	14	1.65%
Property	380	44.86%
Sex	81	9.56%
Violent	210	24.79%
Total	847	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	43	5.08%
4 - 5 Years	97	11.45%
6 - 9 Years	109	12.87%
10 Years	126	14.88%
11 - 14 Years	109	12.87%
15 Years	62	7.32%
16 - 20 Years	130	15.35%
Over 20 Years	147	17.36%
Life	23	2.72%
Life W/O	0	0.00%
Out of State	1	0.12%
Total	847	100.00%



AGE	COUNT	PERCENTAGE
Under 21	12	1.42%
26-30	45	5.31%
21-25	96	11.33%
31-35	145	17.12%
36-40	161	19.01%
41-45	137	16.17%
46-50	107	12.63%
Over 50	144	17.00%
Total	847	100.00%



Southeast State Correctional Complex



Warden

Larry Chandler

Deputy Warden– Security

Vacant

Deputy Warden– Programs

Belinda Sanchez

Address

327 Corrections Road
Wheelwright, KY 41669

2020 Average Daily Population

50

621 (Capacity)

Total Number of Staff (at capacity)

241

47-contract

Security Level

Medium

Overview

The U.S. Corrections Corporation (USCC) designed, built and managed the original facility in 1993. The facility had one open wing dorm,

which housed minimum-security inmates. The Corrections Corporation of America (CCA) acquired the facility in 1998. CCA named the facility the Otter Creek Correctional Complex (OCCC). In 1999, CCA expanded the capacity by 256 beds after building a dorm with 128 cells. They housed Kentucky male inmates until 2005 when Kentucky needed an additional female facility. In 2005, the facility changed its mission from adult males to an adult female facility. CCA also contracted with Hawaii to house 150 Hawaiian females.

The facility officially closed in 2012. In October 2019, Governor Bevin signed a contract to lease the facility which was renamed the Southeast State Correctional Complex (SSCC), to be operated as a state facility by the Department of Corrections. In May 2020, Governor Beshear announced the reopen-



Larry Chandler
Warden

ing of SSCC and in June 2020, a team of Kentucky officials toured the facility with CoreCivic officials. Larry Chandler, a veteran warden, was named to direct the reopening and conversion to a state institution in July. At that time one supervisor and five officers transferred from Eastern Kentucky Correctional Complex (EKCC) to SSCC to assist in the preparations and conversion to a state facility.

Highlights

Like the entire state, SSCC has had to deal with the COVID-19



Pictured above: Outside dorm.

Southeast State Correctional Complex

pandemic. COVID has had an impact on the transition in almost every way, slowing it down considerably.

In partnership with the CoreCivic leadership, the DOC made several improvements in the physical plant and security since July 2020. Inmates and staff from EKCC transported daily a crew of minimum security inmates to assist in cleaning, painting and repairing the buildings.

The first Basic Academy with 60 brand new correctional officers started in July 2020 at the Jenny Wiley State Park. In August, 20 minimum-security inmates were transferred from EKCC to SSCC to assist in the final preparations. They

were returned to EKCC at the end of November. SSCC officially opened in December 2020, with the transfer of 50 medium security inmates.

On a positive note, SSCC will provide a Substance Abuse Program (SAP), Moral Reconciliation Therapy (MRT), Reentry Services, Vocational Carpentry and educational programs. SSCC will also prepare for the American Correctional Association's Adult Institution accreditation process.

As we move into 2021 we will continue to make improvements on the physical plant, operating procedures and security as well as install meaningful evidence-based

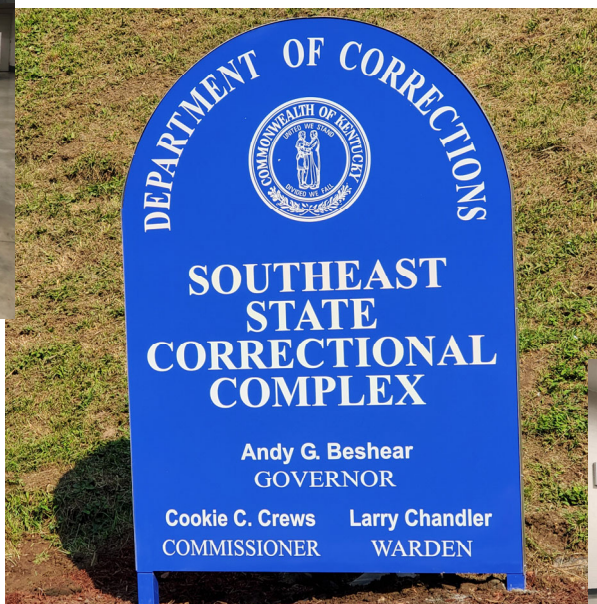


*Belinda Sanchez
Deputy Warden*

programs as we increase our inmate population and recruit to bring our staffing to full capacity.

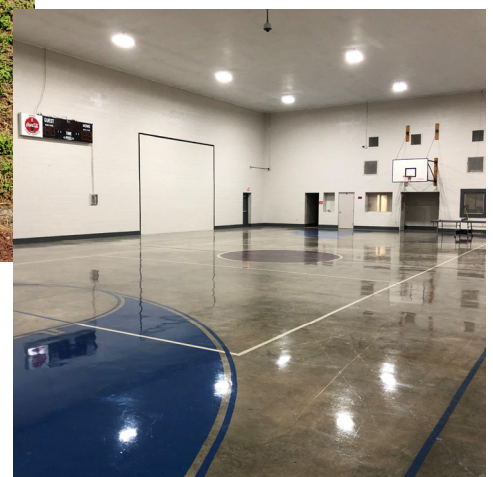


Pictured above: Inside dorm



Pictured to the left: Sign in front of facility

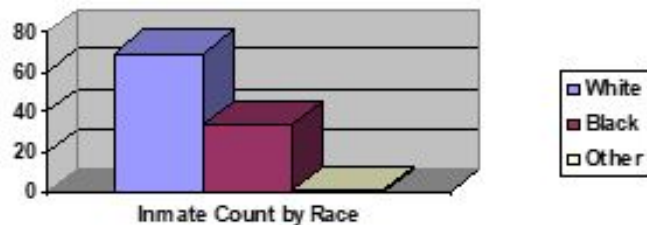
Pictured below: Gymnasium



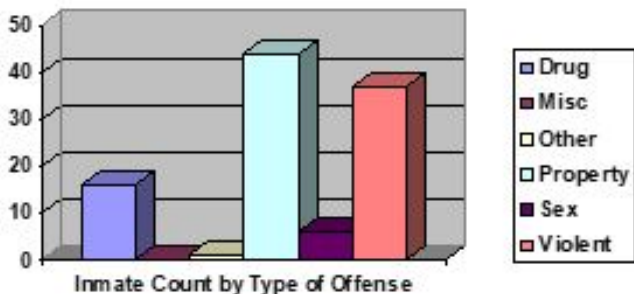
Southeast State Correctional Complex

Demographic Data

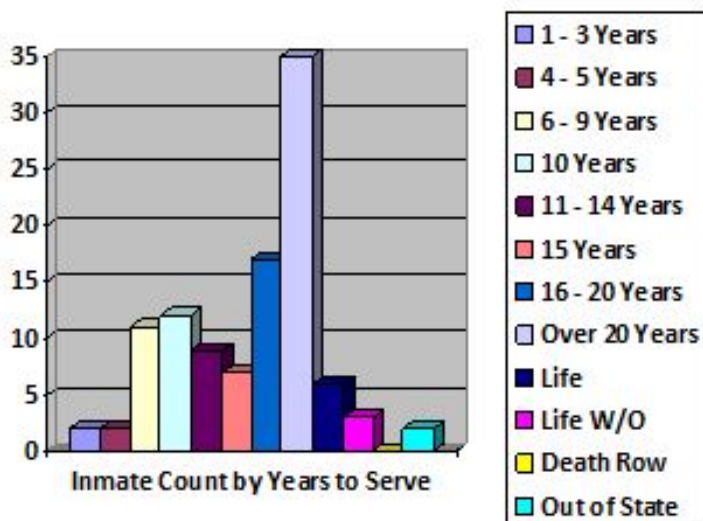
RACE	COUNT	PERCENTAGE
White	69	66.35%
Black	34	32.69%
Other	1	0.96%
Total	104	100.00%



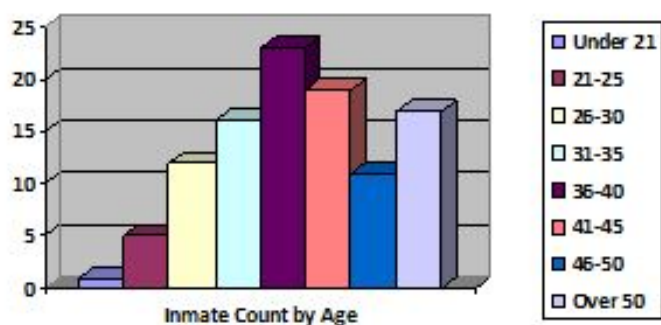
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	16	15.38%
Misc.	0	0.00%
Other	1	0.96%
Property	44	42.31%
Sex	6	5.77%
Violent	37	35.58%
Total	104	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	2	1.92%
4 - 5 Years	2	1.92%
6 - 9 Years	11	10.58%
10 Years	12	11.54%
11 - 14 Years	9	8.65%
15 Years	7	6.73%
16 - 20 Years	17	16.35%
Over 20 Years	35	33.65%
Life	6	5.77%
Life W/O	3	2.88%
Out of State	0	0.00%
Total	104	100.00%



AGE	COUNT	PERCENTAGE
Under 21	1	0.96%
21-25	5	4.81%
26-30	12	11.54%
31-35	16	15.38%
36-40	23	22.12%
41-45	19	18.27%
46-50	11	10.58%
Over 50	17	16.35%
Total	104	100.00%



Western Kentucky Correctional Complex



Warden

Bobbi Jo Butts

Deputy Warden– Security

Jon Tangerose

Deputy Warden– Programs

Chris Hatton

Administrative Assistant

Robin McCalister

Address

374 New Bethel Church Rd,
Fredonia, KY 42411

2020 Average Daily Population

585

Total Number of Staff

193

34– Contract

Security Level

Medium

Overview

The Western Kentucky Correctional Complex (WKCC) was constructed in 1968 as a satellite facility of the Kentucky State Penitentiary. In

1977, this facility became a separate minimum institution called the Western Kentucky Farm Center. In 1989, the institution was converted to a medium security facility. The name of the institution was changed to Western Kentucky Correctional Complex in July 1990. In 2010, the institution converted to a female institution. In 2015, what was formerly known as the Minimum Security Unit for WKCC became the Ross-Cash Center, housing 200 female inmates, and Western Kentucky Correctional Complex transitioned back to a male population.

In April of 2016, the Ross-Cash Center became a subordinate center, resulting in Western Kentucky Correctional Complex becoming a co-ed facility. The institution houses 693 inmates. It includes 44 cells with two additional Observation Cells in a Special Management Unit, 477 medium security male inmates are housed in three open wing dormitories within the secured perimeter, and 200 minimum security female inmates are housed in two open wing dormitories outside of the secured perimeter. Inmates are offered academic, vocational and cognitive thinking programs along with employment opportunities that prepare them to be capable of contributing to society in a positive manner upon release. The facility has been accredited by the American Correction Association since 1988, with the most recent audit in May 2018 when the facility scored 100%. WKCC has a farming



Bobbi Jo Butts
Warden

operation, situated on 2,200 acres, with a herd of 800 beef cows, 405 acres of corn, 300 acres of wheat and 355 acres of soybeans. There is a 275 apple orchard, as well as a composting operation. WKCC also operates a Regional Recycling Center that partners with six surrounding counties that result in an average landfill savings of 500 tons per year.

Highlights

- ♦ On January 16, Joseph Wilcox with KY Saves 529 visited the institution. KY529 allows state employees to participate in a savings program designed to assist with covering their children's costs of higher education.
- ♦ On February 13, Warden Lane provided a spaghetti dinner to all staff.
- ♦ On February 20, 2020 WKCC implemented a new mattress system.
- ♦ The medical department completed TB tests for staff February 17-21.
- ♦ Representatives from the Pennyryle District Health Department completed 2020 biometric screenings for staff.

Western Kentucky Correctional Complex

- ◆ On March 10, in an effort to try to prevent the spread of COVID-19, visitation for inmates was suspended.
- ◆ On March 11, the World Health Organization (WHO) categorized the COVID-19 as a pandemic. In a continuing effort to combat the spread of COVID-19, additional safeguards were implemented at WKCC.
- ◆ The United States Census 2020 was completed for Western Kentucky Correctional Complex on May 27.
- ◆ All security staff completed Mandatory QTR Restraint Training.
- ◆ On July 13, 2020, automated key boxes were installed at the front gate, farm and maintenance shop and Ross Cash. This has enabled us keep all keys secured at the facility at all times.
- ◆ On August 1, Warden Lane retired after a 25-year career with the Department of Corrections. Bobbi Jo Butts assumed the role of Warden.
- ◆ On September 11, 2020, WKCC's Institutional Laundry caught on fire at 11:41pm. Security staff responded and quickly put out the fire but the damage was devastating.
- ◆ On Thursday, September 24, 2020, WKCC pancake breakfast to welcome back Warden Bobbi Jo Butts.
- ◆ On October 5, 2020, concrete construction began on the compound to replace all



*Jon Tangerose
Deputy Warden*

sidewalks in four separate work zones.

- ◆ On October 17, 2020, new mattresses were distributed at Ross-Cash.
- ◆ On October 17, 2020, K-9 Hyball was officially retired.
- ◆ Representatives from the Kentucky Immunization Branch with the Department for Public Health issued free flu vaccines for staff.
- ◆ On October 30, 2020, WellPath sponsored a BBQ meal for all staff.
- ◆ November 12, 2020 The Employee Benefit Team began handing out turkeys for Thanksgiving to all staff.
- ◆ On November 17, 18 and 19, WKCC completed the Virtual PSR.
- ◆ On November 23, 2020, video visitation was implemented for all inmates.
- ◆ On November 25, 2020, the Employee Benefit Team handed out "goodie" bags to all staff for Thanksgiving.
- ◆ On December 17, 2020, the



*Chris Hatton
Deputy Warden*

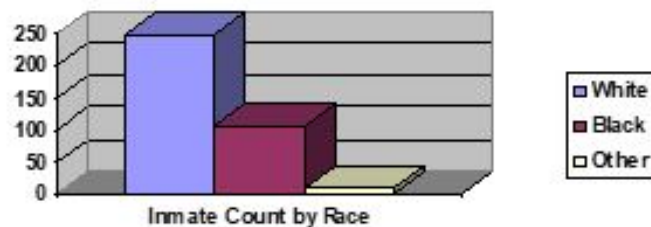
Employee Benefit Team made a Christmas meal for the staff.

- ◆ On December 25, 2020 Warden Bobbi Jo Butts handed out goodie bags to all staff members working on Christmas Day.
- ◆ On December 29, 2020, WKCC placed a UV sanitizing entry gate at the front gate of control and also the entrance to Ross Cash Center. This entry provides first line defense in reducing microbes on clothing and personal belongings. This equipment is currently being used in hospitals as well as other businesses. It is an additional step the department is providing to fight against the spread of COVID-19.

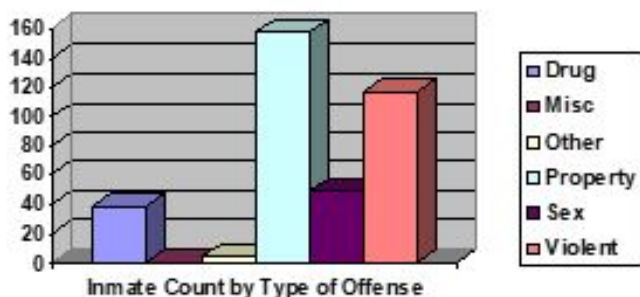
Western Kentucky Correctional Complex

Demographic Data

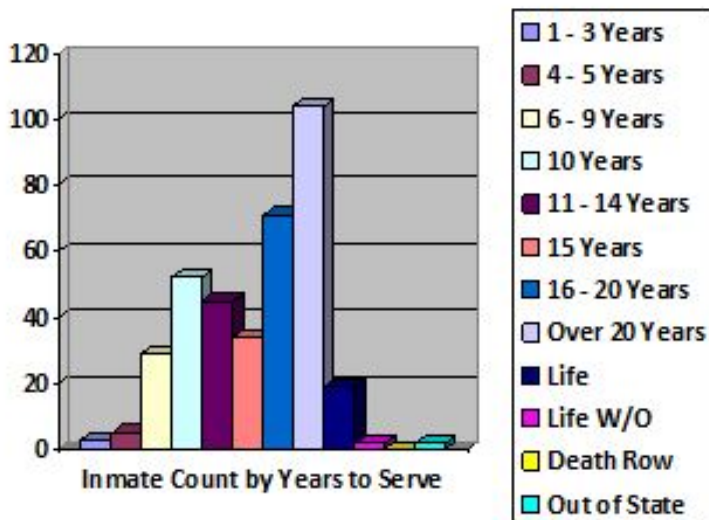
RACE	COUNT	PERCENTAGE
White	248	68.13%
Black	106	29.12%
Other	10	2.75%
Total	364	100.00%



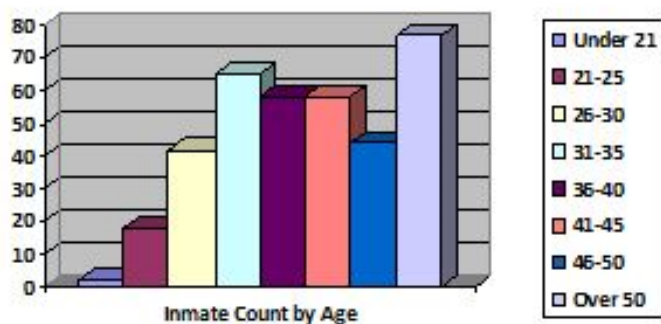
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	38	10.44%
Misc.	0	0.00%
Other	4	1.10%
Property	157	43.13%
Sex	49	13.46%
Violent	116	31.87%
Total	364	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	3	0.82%
4 - 5 Years	5	1.37%
6 - 9 Years	29	7.97%
10 Years	52	14.29%
11 - 14 Years	45	12.36%
15 Years	34	9.34%
16 - 20 Years	71	19.51%
Over 20 Years	104	28.57%
Life	19	5.22%
Life W/O	2	0.55%
Out of State	0	0.00%
Total	364	100.00%



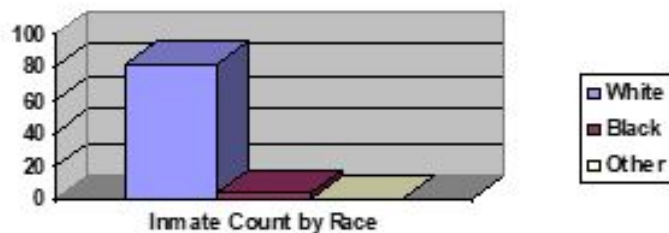
AGE	COUNT	PERCENTAGE
Under 21	2	0.55%
21-25	18	4.95%
26-30	42	11.54%
31-35	65	17.86%
36-40	58	15.93%
41-45	58	15.93%
46-50	44	12.09%
Over 50	77	21.15%
Total	364	100.00%



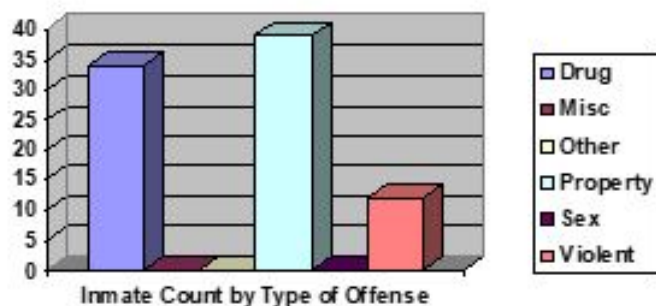
Ross Cash

Demographic Data

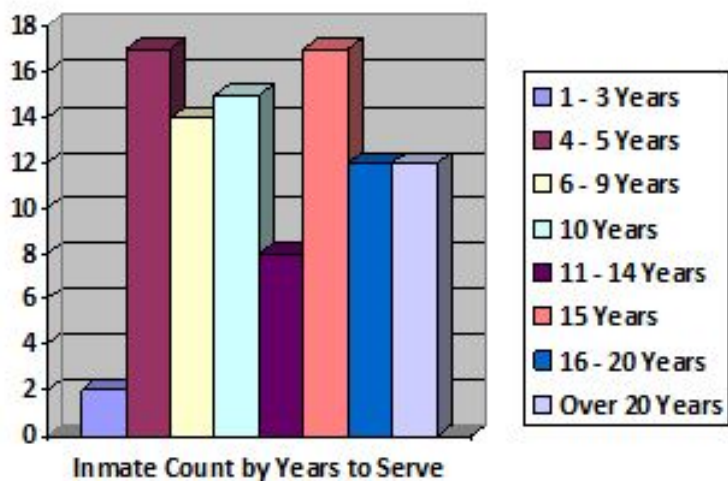
RACE	COUNT	PERCENTAGE
White	81	95.29%
Black	4	4.71%
Other	0	0%
Total	85	100.00%



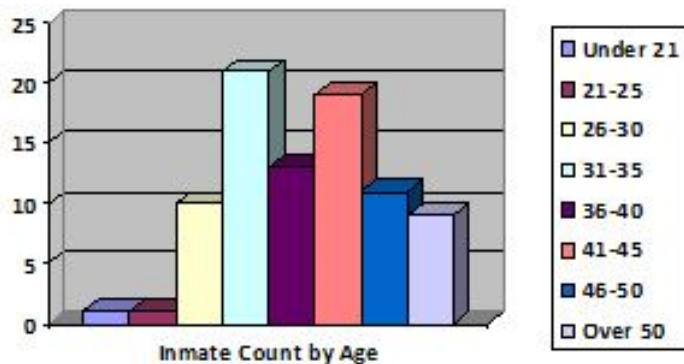
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	34	40.00%
MISC	0	0.00%
Other	0	0.00%
Property	39	45.88%
Violent	12	14.12%
Total	85	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	0	0.00%
4 - 5 Years	2	2.35%
6 - 9 Years	17	20.00%
10 Years	14	16.47%
11 - 14 Years	15	17.65%
15 Years	8	9.41%
16 - 20 Years	17	20.00%
Over 20 Years	12	14.12%
Total	85	100.00%



AGE	COUNT	PERCENTAGE
Under 21	1	1.18%
21-25	1	1.18%
26-30	10	11.76%
31-35	21	24.71%
36-40	13	15.29%
41-45	19	22.35%
46-50	11	12.94%
Over 50	9	10.59%
Total	85	100.00%



Lee Adjustment Center



Warden

Daniel Akers

Assistant Warden

James Briggs

Address

168 Lee Adjustment Center Dr.
Beattyville, KY 41311

2020 Average Daily Population

740

Total Number of Staff

206 (CoreCivic Staff)

Security Level

Medium

Overview

Lee Adjustment Center was established as a newly constructed 500-bed minimum security facility in 1990 to house adult males under a contract with the Kentucky Department of Corrections. Since that time, the facility has been upgraded to medium security and expanded through additional construction to the current capacity of 866 beds.

The facility, owned and operated by CoreCivic, has housed inmates for the Kentucky DOC on two occasions first from September 1990 through June 2010, and from March 2018 through the present. Vermont Department of Corrections inmates were also housed at the facility beginning in early 2004 through mid-2015.

The facility is situated on 98+- acre parcel of land approximately one mile northeast of the town of Beattyville. The secure compound consists of approximately 11 acres, is surrounded by a double perimeter fence and is equipped with a non-lethal electronic stun fence.

Highlights

The year 2020 began with the facility receiving its initial ACA accreditation under the present contract at the American



Daniel Akers
Warden

Correctional Association's Winter Conference in San Diego. Achieving a score of 99.76% compliance with 4th Edition Adult Correctional Institution Standards. A short time later, the COVID-19 pandemic resulted in various measures being taken which changed the way daily activities were carried out not only in the field of corrections, but in most every other aspect of life as well.

A number of COVID-related restrictions and preventive measures were implemented and were largely successful in preventing wide spread



Pictured above: Administration building, medical building and west dorm.

Lee Adjustment Center



Pictured above: North Dorm



*James Briggs
Deputy Warden*

presence of the virus within the facility until mid-November when several staff members and most of the inmate population began testing positive for the virus. Much of the inmate programming at Lee Adjustment Center was suspended as a result of the pandemic, however some were able to continue operation in a modified fashion.

In addition to the ACA accreditation, Lee Adjustment Center also achieved PREA accreditation during 2020. No deficiencies were identified during Lee Adjustment Center's PREA audit in late July.

Major projects related to the physical plant at Lee Adjustment

Center occurred during the year. Including, the addition of a lock shop outside the secure perimeter of the facility. Also, video visitation came online which permitted inmates a way of maintaining ties with friends and family while in-person visitation was suspended during the pandemic.



Cemetery Hill/North Dorm



Central Control/Visitation Building

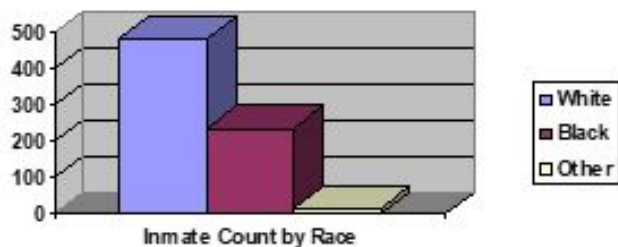
Pictured above: Central control / Visitation

Pictured to the left: Cemetery Hill / North Dorm

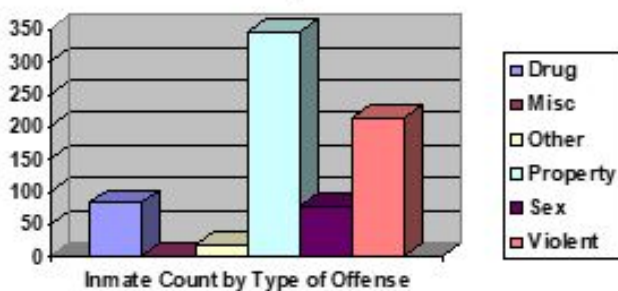
Lee Adjustment Center

Demographic Data

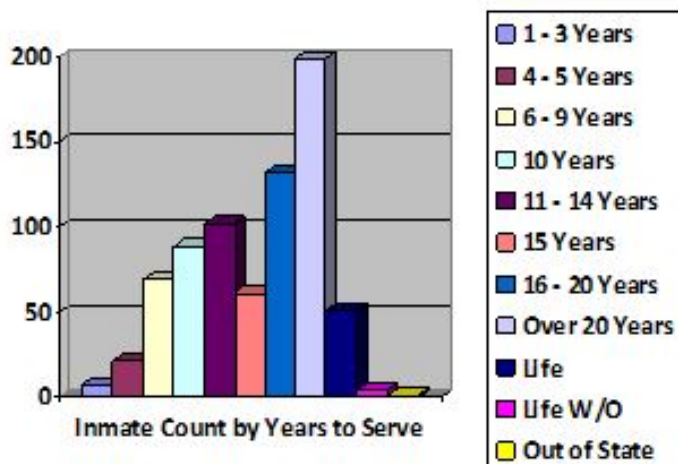
RACE	COUNT	PERCENTAGE
White	485	65.90%
Black	238	32.34%
Other	13	1.77%
Total	736	100.00%



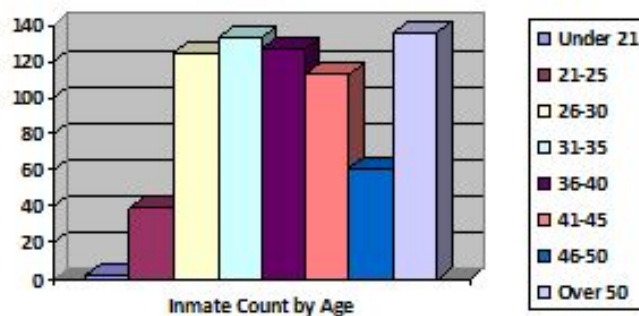
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	81	11.01%
Misc.	1	0.14%
Other	17	2.31%
Property	347	47.15%
Sex	78	10.60%
Violent	212	28.80%
Total	736	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	7	0.95%
4 - 5 Years	21	2.85%
6 - 9 Years	69	9.38%
10 Years	89	12.09%
11 - 14 Years	102	13.86%
15 Years	61	8.29%
16 - 20 Years	132	17.93%
Over 20 Years	199	27.04%
Life	51	6.93%
Life W/O	4	0.54%
Out of State	1	0.14%
Total	736	100.00%



AGE	COUNT	PERCENTAGE
Under 21	2	0.27%
26-30	39	5.30%
21-25	125	16.98%
31-35	133	18.07%
36-40	127	17.26%
41-45	113	15.35%
46-50	61	8.29%
Over 50	136	18.48%
Total	736	100.00%



“Without a doubt, our employees are our greatest asset. I have been so impressed with the resiliency, perseverance and commitment of all of our staff to the mission of the department.”

-Commissioner Crews

