

KENTUCKY

Department of Corrections

2018 ANNUAL REPORT



Letter From The Commissioner



JUSTICE AND PUBLIC SAFETY CABINET

Department of Corrections

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Jonathan R. Grate
Deputy Secretary & Acting
Commissioner

Dear Fellow Readers:

The year of 2018 was a time of continued change and challenges for the Kentucky Department of Corrections. Time and time again we have demonstrated that not only will we meet any problem we face head-on, we will forge new paths in a quest for even better solutions.

Our agency encompasses staff who do a wide variety of jobs but we have the same vision and mission. None of our areas can function independently at the same high caliber we have come to expect. Our Correctional Officers form the bedrock of what we do and our programming staff ensure our population has opportunities to grow and learn while incarcerated. An example of this is the expansion of our Moral Reconciliation Therapy (MRT) modules.

For the first time we have our Correctional Emergency Response Team (CERT) working hand and hand with our Crisis Negotiation Team (CNT) and this collaboration is making our institutions safer. Probation and Parole Officers are working to ensure our communities are safe through increased graduated sanctions and they are doing this despite rising caseloads.

Our Substance Abuse Programming (SAP) division is being rebranded and we just launched "SOAR" (Supporting Others in Active Recovery) which is our first dorm that will specialize in after care for participants. Our staff in Local Facilities navigate a complicated county jail system to ensure facilities comply with Kentucky Jail Standards. Our victim advocates work tirelessly to ensure victims are treated with dignity when they interact with us because too often, they have felt anything but dignified by the criminal justice system.

Now more than ever, the department must focus on what it takes for justice-involved individuals to be successful when they reenter their communities. We continue to be recognized on a national level for the work we've been doing here in the Commonwealth. We are setting a new standard for reentry by establishing more staff and services in our communities to increase successful outcomes. None of this happens without the hard work of all of our dedicated employees in our adult institutions, probation and parole, reentry and all of our other support services.

Without a doubt our employees are our greatest asset. They comprise the foundation of all that we've been able to achieve in criminal justice reform. Without them we wouldn't be the criminal justice leader that we are.

Sincerely,

Jon Grate
Acting DOC Commissioner



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OFFICE OF THE COMMISSIONER



Executive Secretary

Jesse Kirk

Personnel Director

Rodney Moore

Communications Director

Lisa Lamb

Executive Staff Advisor/ ACA Coordinator/ Ombudsman

John Dunn

Commissioner James Erwin

Office of Legislation and Research

Cyndi Heddleston

Safety Director

Hannah Gibson

Communications Director

Lisa Lamb

Office of Support Services

Hilarye Dailey

Office of Adult Institutions

Randy White

Office of the Commissioner

The Department of Corrections, oversees one of the largest state agencies in Kentucky with almost 4,000 employees and a current budget of \$565M. The Commissioner directly supervises:

Personnel Division

Comprised of three branches: Personnel Management, Payroll, and Recruitment and Staff Development— DOC personnel staff serve our employees in the administration of personnel, payroll and recruitment programs throughout the department.

Communications Office

Public Information officers are responsible for all internal and external communications. They respond to media and open records request, maintain the DOC social media accounts, produce the annual report and facilitate other special projects. Victim advocates work with the victims providing information about their offender such as explanation of inmate release dates and release type; parole eligibility and hearings; and restitution information.

Ombudsman's Office

This officer is responsible for responding to all inmate grievances appealed to the Commissioner's Office. They also coordinate all accreditations efforts within the agency.

Legislative Research and Planning focuses on all aspects related to Kentucky's General Assembly including the drafting of statute mandated Corrections Impact Statements.

In addition, all Corrections related research projects and federal reporting requirements are coordinated through this office.

Division of Personnel Services

Director

Rodney Moore

Personnel Branch Manger

Diana Eads

Payroll Branch Manager

Ashley Thomas

Recruitment and Staff Development Branch Manager

Tammy Lou Wright



Rodney Moore

Director of Division of Personnel Services

As director, Rodney Moore oversees the department’s human resource needs, including payroll, personnel and recruitment.

After serving in the Navy, Moore began his DOC career in April 2003 as a correctional officer at Northpoint Training Center. In 2007 he was promoted to sergeant there and then to lieutenant at Blackburn Correctional Complex. While in these roles, Moore served as a member of the department’s Corrections Emergency Response Team.

Moore’s career in human resources began in 2009 when he assumed the role of human resources administrator at the Frankfort Career Development Center. He was responsible for the oversight of personnel, payroll and served as the institutional training coordinator. In July 2011, upon

the closure of the prison, Moore was transferred to human resources specialist III in Central Office. He promoted to human resources administrator in 2012 and then to payroll branch manager in 2013.

During his career Moore has been recognized with numerous awards, including employee of the month and was a 2011 DOC Achievement Award recipient. He is a 2013 graduate of the Commissioner’s Executive Leadership Program. Upon graduating, he served as a mentor and project coach for the program. Moore is also a member of the International Public Management Association for Human Resources and the Kentucky Council on Crime and Delinquency.

Overview

The Division of Personnel Services is comprised of three branches: Personnel Management, Payroll, and Recruitment and Staff Development. These three branches serve over 4,400 employees in the administration of Personnel, Payroll and Recruitment programs throughout the department.

The mission of the Division of Personnel Services is three-fold: serve as a catalyst to effective and efficient personnel, payroll, and recruitment services; protect the integrity of the Merit System through the proper enforcement and application of the statutes and regulations; and stay informed of the latest personnel management strategies to establish positive change for the betterment of the Department of Corrections (DOC).

Personnel Management Branch

The Personnel Management Branch provides assistance to executive staff, department managers and personnel liaisons by implementing personnel programs and initiatives, providing guidance on complex personnel issues and represents the DOC at meetings related to Human Resources. Division staff also sit on interview panels when requested.

In 2018, the Personnel Management Branch processed over 7,500 personnel actions and requested approximately 1,400 job postings for the department. They also audited over 3,000 employee evaluations to ensure compliance with state regulations, with the assistance of the other branches within the Division of Personnel.

The Personnel Branch had a staff member accept a promotion to another agency in February, leaving only one human resource administrator and the branch manager to cover the branch’s responsibilities for the department. This was also during evaluation season, which tends to be when the workload is the heaviest during the year. Heather Burke promoted from the Personnel Cabinet to fill the vacancy on April 16, 2018. The branch now consists of Diana Eads, human resource branch manager and two human resource administrators, Alisonde Whittaker and Heather Burke.

Personnel Cabinet launched My PURPOSE, a new talent management system, on July 1 to access training courses, review and apply for job openings, and eventually, for



Division of Personnel Services

new employee information and for completing performance plans and evaluations. The system presented many challenges to human resource staff across the state. My PURPOSE involved an entirely new process to request job postings. There were many glitches in the system and the branch worked closely with Personnel Cabinet trying to resolve them.

Staff continue to be instrumental in establishing new positions across departmental organizational structures with the exponential growth of old and new programs. We work hard to ensure that the agency's needs are being met.

Payroll Branch

The Payroll Branch is responsible for employee pay and benefit administration, employee medical programs (workers compensation benefits, leave sharing and Family and Medical Leave), retirement and unemployment benefits.

In the beginning of 2018, the Payroll Branch consisted of Ashley Thomas, human resource branch manager, and two human resource administrator positions. In December 2017, Faye West was detailed to the position of human resource administrator from the Kentucky State Reformatory Personnel Office to help with a staffing shortage. Faye received a promotion to human resource administrator on February 16, 2018 and assumed payroll duties for Central Office and seven institutions. Scott Parritt transferred to the department to the remaining human resource administrator position on February 16, 2018 from the Personnel Cabinet and assumed payroll duties for the Division

of Probation and Parole and five institutions. Throughout the year, the Payroll Branch implemented basic internal tracking systems for health insurance, leave tracking, and certain types of deductions that improved the efficiency of the branch. During 2018, Ashley, Faye and Scott attended various payroll trainings offered through the Personnel Cabinet.

All staff in the Payroll Branch conducted presentations during the annual human resource liaison meeting held in September 2018. Scott Parritt made a presentation on time management, the timesheet entry process and provided helpful tips for completing payroll processes in the KHRIS system. Earlier in the year, Faye West self-started a project to streamline the workers compensation process across institutional HR offices. Faye presented a comprehensive guide and review of the workers compensation at the meeting. Ashley Thomas provided a demonstration on KHRIS self-time entry and presented an overview of the Family and Medical Leave Act.

During 2017, the Payroll Branch piloted a KHRIS self-time entry project in select areas of Central Office. Staff in these areas migrated out of time recording in Kronos and began utilizing the electronic timesheet available in KHRIS Employee/Manager Self Service, a portal of the State's personnel, payroll and benefits system. The 2017 pilot was successful and created an avenue of consistency and flexibility for staff to record their timesheet data, and retrieve personal information such as leave history, direct deposit and



*Diana Eads
Personnel Branch Manager*

benefit information in one convenient system. In October 2018, the Payroll Branch led the Department in migrating the remainder of DOC's workforce from Kronos to KHRIS self-time entry. Excluding institutional security staff, the remainder of Central Office, Adult Institutions and Probation and Parole offices transitioned timekeeping systems – roughly 1,800 employees – one of the largest groups of employees in the state to migrate in KHRIS during 2018. Ashley Thomas guided the project for the department in collaboration with the Personnel Cabinet, and led training for management and the HR staff in adult institutions. Faye West and Scott Parritt both played instrumental roles in providing technical support to employees, guided institutional HR staff in refining workflow processes and made numerous technical adjustments in both KHRIS and Kronos that were important during the implementation of go-live. The October 2018 migration was highly successful across the department. No employees experienced a delay in their regularly scheduled pay during the go-live period. KHRIS self-time entry has since reduced many of the payroll errors previously experienced, and a few remaining (eligible) offices are scheduled to migrate in

Division of Personnel Services

2019.

At the time of the October 2018 KHRIS migration, the state observed an active open enrollment for health insurance and a passive enrollment for dental and vision insurance provided through the Kentucky Employees Health Plan (KEHP). At the end of the enrollment period, the Department of Corrections observed less than 5% of staff did not have an enrollment selection made in KHRIS. This did not account for those individuals who were in que to have a paper application manually entered in KHRIS.

Recruitment and Staff Development Branch

The Recruitment and Staff Development Branch is challenged not only with recruitment efforts but tracking and monitoring personnel related items that are not specific to employee actions and payroll. This branch tracks written reprimands, employee grievances, and record reasons for staff separations by recording data on exit interviews, track volunteers, review outside employment request, oversee the drug screen analysis results, verify employee educations and prepare new ID cards for all staff in Central Office and the Division of Probation and Parole.

The branch continued to battle staff shortages by posting vacancies on websites, attending job fairs, and assisting applicants with applying for positions in the application system My PURPOSE. This branch started utilizing the #1 job site, indeed by advertising with budget to ‘sponsor’ jobs. This resulted in an increase in

applicants in our new application system.

In 2018, we concluded the first full year of the new case tracking system established in previous year to record pertinent information for Sexual Harassment and EEO allegations. This system recorded case information, such as who was the complainant, the alleged harasser and the nature of the complaint providing visibility to areas of concern and provide the opportunity to resolve employee or systematic problems.

This branch recorded disciplinary actions which reflected 512 disciplinary actions were issued. This branch approved 253 volunteer forms, reviewed and approved 79 outside employment forms, created 375 employee I.D. badges for cen-



Ashley Thomas
Payroll Branch Manager

tral office staff and for the Division of Probation and Parole; Over 2,957 employee drug screens were monitored; and processed 934 education verifications on new employee or staff who received promotions.

This branch employees Kaycee Kincaid, human resource specialist II and Jesse Kirk, administrative assistant left the branch. Charles Travillian was selected to fill the human resource specialist II position and Kim

Pictured below: Recruitment & Staff Development Branch Manager Tammy Lou Wright with Charles Travillian and a radio personality in Louisville at IHeartRadio job fair.



Division of Personnel Services

Yocom to replace the administrative assistant. The duties continued by attending job fairs, posting jobs vacancies each week on various websites, sending out two weekly job announcements, one with promotional jobs and another with competitive listings. Mr. Travillian is the computer based liaison for the Division of Personnel. He is a member of IMPA and attended the Kentucky Chapter IMPA Fall meeting with Branch Manager Wright.

Kim Yocom, administrative assistant, is very instrumental in the day-to-day operations of the personnel office. She does work for all branches within the division by maintaining employee files, incoming and outgoing mail, faxes, entering data into numerous spreadsheets, and answering phones for the building. Ms. Yocom's previous experience in the Personnel Cabinet provided invaluable support to the institutional human resources staff for Intellivue which is the department's electronic employee file system.

Recruitment's Administrative Coordinator, Kim Potter-Blair, works with the department's veterans to assist them in utilizing their G.I. Bill benefits while on probation in our agency. She also assisted interns in obtaining proper approval to intern with our agency and locates their work spaces. She also assisted with job fair and all other functions of the Recruitment Branch as well as entering all the departments separation actions for the Human Resources Branch. Mrs. Potter-Blair is a Board Member for Correctional Peace Officer Foundation (CPOF) and meets

quarterly with CPOF.

The Recruitment Branch staff, Branch Manager Wright and Human Resources Specialist Travillian, served on committees for the Personnel Cabinets new electronic application system, My PURPOSE.

Wright attended the Kentucky's EEO Conference and was on staff for the event by participating in a training session for how to conduct investigations along with personnel cabinet staff.

Highlights

In 2018, the Division of Personnel provided key services to the department and continued to provide oversight and guidance in the areas of personnel, payroll, benefits, discipli-



Tammy Lou Wright
Recruitment & Staff Development
Branch Manager

nary and leadership. The division continues to conduct training for managers and supervisors throughout the state.

All staff within the division continued to seek out professional development opportunities through IPMA-HR, the Office of Diversity and Equality and other venues, including KCCD.

Photographed below: Charles Travillian with Northpoint Training Center staff at a job fair in Mercer County.



Communication's Office

Director
Lisa Lamb

Public Information Officers

Katherine Williams
Eric Lemon
Niki Barnes



Katherine Williams
Public Information Officer



Eric Lemon
Public Information Officer



Niki Barnes
Public Information Officer



Lisa Lamb
Director of Communications

Lisa Lamb serves as the director of Communications for the Department of Corrections.

A native of Harlan, Lamb brought nearly 20 years of experience working with the media to her position with the DOC. In her current role, she serves as the department's spokesperson, handling media calls and requests for information and interviews.

Lamb came to Corrections after six years with the Kentucky Press Association where she worked as the news bureau director, member services director and as director of the Kentucky High School Journalism Association. She spent nearly 10 years with the Harlan Daily Enterprise and served as the newspaper's executive editor.

She is a graduate of Southeast Community College and attended Eastern Kentucky University and the University of Kentucky, majoring in journalism.

Overview

The Communications Office is responsible for external and internal communications for the department. It is the point of contact for all media inquiries, responding to requests for interviews, hearing coverage and official statements. They provide media trainings within the department and for outside groups such as the Kentucky Jailers' Association. Press releases, including information on promotions, escapes and other newsworthy events are written and distributed through this office.

In addition to Director Lamb, there are three public information officers in this area. Katherine Williams acts as the secondary media contact and serves as the department's administrative open records coordinator. Niki Barnes manages the department's official social media accounts and works on special projects. Eric Lemon creates original and informative videos and graphics for social media and the DOC website.

This office prepares talking points and presentations for the commissioner and his staff, organizes and hosts the DOC Annual Awards Luncheon, and publishes the DOC annual report.

The Communications Office oversees the responsibilities of the Com-

missioner's Executive Leadership Program as well. Twenty-five participants graduated from the program in May 2018 and 26 were selected for the 2018-19 class. Additionally, this office coordinates efforts to revisit and implement proposed projects from previous leadership classes.

Lisa Lamb supervises the staff within the Communications Office and the Victim Services Branch.

Highlights

Multiple media trainings were conducted for executive staff in the Division of Probation and Parole and Adult Institutions. Two of three training phases were implemented in 2018, which included in-depth instruction on developing and maintaining positive media relations.

Over 10,000 likes were reached on the department's Facebook page and the number of followers for the DOC Twitter account increased 26% in 2018.

This office developed a calendar featuring dogs from several dog programs within the institutions as well as dogs from the Department of Juvenile Justice and the Kentucky State Police. The idea was developed by the Justice Cabinet as a way to highlight the diversity of the Cabinet's mission as well as the different ways K-9 programs are used in the three agencies under the Cabinet.

Victim Services Branch

Branch Manager

Vacant

Victim Advocates:

Alexis Williams
Kim Crews
Charlotte Ellis
Cristela Scanlon

Overview

The Victim Services Branch (VSB) is the arm of corrections that handles requests for information from victims pertaining to their offenders. VSB staff provides a broad range of information-related services such as explanation of inmate release dates and release type, restitution information, service referrals, victim rights services and victim parole board hearings. VSB also manages the Kentucky Victim Information Notification Everyday (VINE) system which encompasses three fully functioning victim notification services: Offender status, Court Hearings, and Protective Orders. VSB staff also travels the state to promote and train other criminal justice professionals and victim service agencies regarding the KY VINE services and VSB functions.

Highlights

VSB former Victim Advocate III Elizabeth “Niki” Barnes promoted to program coordinator for the Public Information Office. The vacant position was filled with Cristela Scanlon.

VSB staff exhibited at the following conference and training events: Kentucky Association Sexual Assault Programs, Kentucky Victim Assistance Conference, Senior Protection Summit, SAFE Summit, Attorney General Victim Assistance Confer-

ence, Attorney General Victim Rights Day, Victim Resource Luncheon hosted by Fayette County Commonwealth Attorney’s Office, DOCJT Sexual Assault training (resource table), Attorney General Skilled Prosecution training, Georgetown Police Department Resource Fair, and Mountain Comp Care in Paintsville.

Alexis and Cristela attend victims hearings on Monday to help assist victims with questions or concerns and also to be a support. After the hearings, Thinking of You Cards are sent out to let the victims know that we are still here as a support. VBS sent out over 175 cards in 2018 and have received thank you letters in return.

Since taking over the victim advocate III position in May, Cristela Scanlon has been traveling the state and visiting many of the jails to ensure that they are aware of the Emergency Override Line (EOL) process in the event of an escape at their facility. With these visits, she is setting them up an account tailored to their inmates so that the no-

tification process is easier and with advancing technology, the EOL can be activated on any phone with internet. Each accountant has had to sign a form before they receive information on how to access their account. This has been a success allowing Cristela to make contact with the jail staff as well as the jailers in the various counties around Kentucky. Cristela Scanlon now sits on the KVAA (Kentucky Victim Assistance Academy) committee. Cristela has had the opportunity to present at KVAA in September. She has also become a part of the Sexual Assault Response Team Advisory Council (SART AC).

Alexis continues to sit on the KVAA committee. She also continues to be a part of and sit on Sexual Assault Response Team Advisory Council (SART AC).

The Victim Services Branch wrote and was granted VOCA funding for the 2018-2019 award year for \$25,000 for training. VSB victim advocates are excited to become better trained advocates so, that we can be of more assistance to the victims



Pictured above from left to right victim advocates: Charlotte Ellis, Kim Crews, Alexis Williams and Cristela Scanlon.

Victim Services Branch

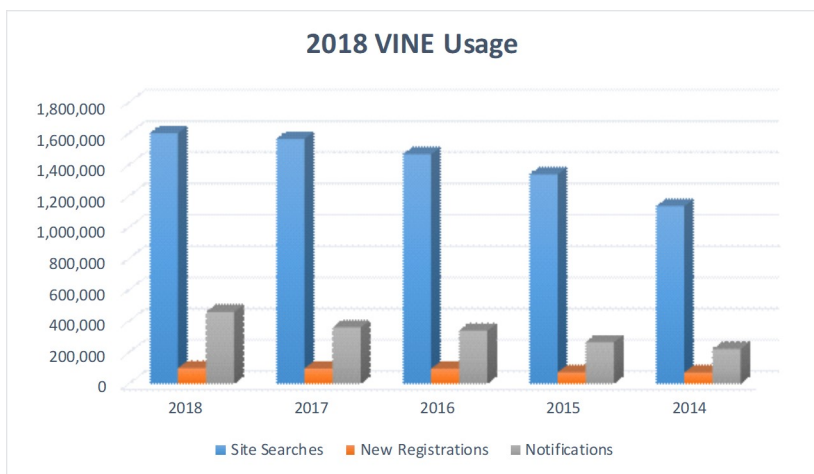
we serve now and continue to service throughout the Commonwealth of Kentucky.

Advocates Charlotte Ellis and Kim Crews coordinate all of the victim hearings with the Parole Board and attend the hearings to take notes on the impact the crimes has had on the victim/victim's family. Additionally, either in person at victim hearings or through telephone communications, they offer support and answer questions pertaining to the hearing process and what options victims have in expressing their concerns to the Parole Board. Both advocates have

received thank you cards from families expressing their appreciation for their help and support through the parole process. In addition they also make manual notifications of offender's upcoming parole hearing to victims. They mailed 3,200 initial notification letters on offender's who are serving on crimes defined in KRS 421.500. In addition to corresponding with the Commonwealth Attorney offices across the state. Of those initial letters 1,906 victims provided a response to include a victim impact statement for the Parole Board to review. Of those responses, 241 requested a victim

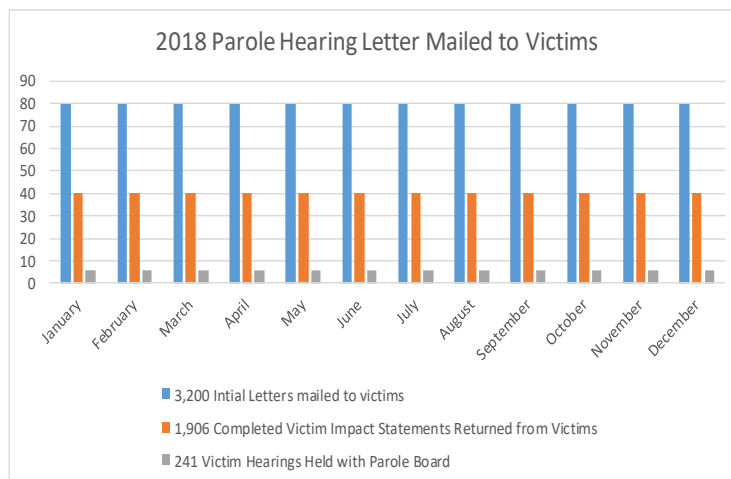
hearing with the Parole Board. These numbers do not account for the parole outcome letters that were sent to victims on the Parole Boards decision based on the victim's request.

During the year of 2018, VINE saw an increase in the number of site searches and acquired 100,753 new registrations. The total number of notifications for 2018 for all three VINE services was 459,873. Total VINE searches for the year 2018 totaled to 1,603,365. Of this total, 345,030 searches were conducted via VINE mobile apps.



Pictured above top left: Chart depicting VINE usage over the past five years. Top Right: Cristela presenting at the Apple Red Legal Aid Conference in September 2018.

Pictured bottom left: Victim Service Branch information table at the Kentucky Jailer's Association Conference, December 2018. Bottom right: number of parole notification letters mailed out per month, completed and victim hearings held.



Ombudsman Office

Ombudsman

John Dunn

Grants Oversight

Program Administrator

Danny Norris

Administrative Specialist III

Julie Bowles



*John Dunn
Executive Staff Advisor/
ACA Coordinator / Ombudsman*

John Dunn serves as the ombudsman for the Department of Corrections and oversees the grievance process throughout the state.

Dunn began his corrections career in April 1993. His first DOC position was at Northpoint Training Center as a correctional officer.

In 1999 he was promoted to a classification and treatment officer I at Northpoint, and two years later was promoted to an administrative specialist III position at the prison. In 2004 he was promoted to a classification and treatment officer II at Frankfort Career and Development Center. In January 2006 Dunn was promoted to a program administrator at Central Office, supervising the home incarceration program as well as the reentry program. He held this position until September of that year when he was named as ombudsman.

Dunn also served 21 years in the National Guard and retired in February 2006 after achieving the rank of major.

Dunn is a 1990 graduate of the University of Kentucky where he received his bachelor's degree in history.

He is a member of the American Correctional Association, Correctional Accreditation Managers' Association and the National Guard Association.

Ombudsman/Accreditation

This office oversaw 17 internal audits and six ACA audits during 2018. Each institution or department audited by ACA received a score greater than 99.4%. Two of the institutions and divisions achieved scores of 100%. These remain some of the highest scores in the country and reflects the dedication and commitment of the Department to accreditation.

In 2018, approximately 828 grievances were researched and responded to by the Commissioner's office. Among those grievances, approximately eight percent ruled in favor of offenders. This is an excellent percentage among correctional systems. The total number of grievances filed throughout the department in 2018 was 9,447. This office also processed more than 864 letters received at Central Office and distributed them across the department. The Ombudsman office continues the process of converting to an electronic grievance system.

In 2018, this office trained the staff and grievance coordinator at Lee

Adjustment Center to bring our Private Prison in line with our grievance policy and procedures. In December of 2018, this office attended training in Alexandria, Virginia at ACA headquarters to be trained in the new 5th Edition ACI standards concerning Restrictive Housing Units (RHU) and Mental health inmates. This will have a major impact on the adult institutions and how we manage our RHU inmates.

Grants Management

In 2018, the grants department managed approximately 12 federal and pass-through grants worth more than \$2,500,000. Thus far, the Department has 10 grants for 2018 totaling 2,360,075 including SCAAP, VOCA, RSAT, and Adam Walsh grants. The Grants section will continue to solicit and apply for, and manage grants in 2018. In addition, this section continues to manage the ongoing grants. The grants office continues to track grants efficiency and ensures the money is being spent in accordance with grant guidelines.



Office of Legislation and Research

Legislation and Research

Cyndi Heddleston



*Cyndi Heddleston
Legislative Liaison*

Cyndi Heddleston has served as the department's legislative liaison in Central Office since 2016. She began her career in corrections in 2003 as a probation and parole officer in Fayette County. In 2009 she was promoted to accreditation manager for the Division of Probation and Parole in Central Office, a position she held immediately prior to her current one.

Legislative Research

The Department's Legislative Liaison position serves as the department's representative on the Justice & Public Safety Cabinet's legislative team. Kentucky statute requires the Department of Corrections to provide a fiscal impact on any legislative bill that potentially impacts the number of criminal offenses or the cost of incarceration, both of which would directly affect the Department of Corrections' budget.

During the legislative session in 2018, the department completed over 90 corrections impact statements for the Legislative Research Commission and over 115 Kentucky Legisla-

tive Information and Reporting reviews. Once the legislative session is over, the department is responsible for implementing changes to law impacting the department. In 2018, the legislature passed a significant bill related to female prisoners. The Women's Dignity in the Justice System Act (SB 133) provided treatment options for pregnant females as well as provided regulations for female inmates in jails and specifications on use of restraints for pregnant female inmates. The 2018 legislative session also saw passage of legislation relating to crime victims bill of rights, gang violence, and sex offender registration.

In addition, the department received and responded to over 120 legislative inquiries in 2018. This office also assists with federal and state reporting requirements, including annual reports as required by Kentucky Revised Statute.

The Research Office also oversees research projects the department is engaged in. This area coordinated 26 research proposals and 50 requests for data during 2018. Currently the department has ongoing research projects with Murray State, University of Louisville, and University of Kentucky, as well as 2018 projects with Campbellsville University, Eastern Kentucky University, Florida State University, and Pennsylvania State University. The department is engaged in research projects in the areas of substance abuse treatment outcomes, opioid overdose, opioid use disorder for rural offenders, preventative

HIV education via social media, the aging prison population, sexual assault among incarcerated women, staff wellness and post-traumatic stress, and re-entry programming.

Grants Management

The Grants Office solicits and manages grants for the Kentucky Department of Corrections. In 2018, the Grants Office managed approximately 12 federal and pass-through grants worth more than \$2,500,000. The Department has 10 grants for 2018 totaling \$2,360,075 including the following grants for Victims of Crime Act (VOCA), Residential Substance Abuse Treatment (RSAT), Prison Rape Elimination Act (PREA), State Criminal Alien Assistance Program (SCAAP), and Adam Walsh Act (sex offender registration and notification compliance). Additionally, the Department is partnering with the University of Louisville and Spalding University in a multi-year project focused on staff wellness funded by the National Institute of Justice (NIJ).

Grant awards supplement monies the department receives from the Kentucky General Assembly and provides needed services and programs throughout the Department. The Grants Office performs a key role for the Department by tracking monies, monitoring grants for efficiency, and ensuring money is spent in accordance with grant guidelines.

Safety Division

Director
Hannah Gibson



*Hannah Gibson
Director of Safety*

Hannah Gibson began her career with the Department of Corrections in 2010 as a probation and parole officer in District 17. There she served as a safety officer, performing monthly safety inspections for the district. In 2015 Gibson promoted to a program administrator in Central Office for the Reentry Branch. In late 2016 she transferred to the Division of Probation and Parole, where she assumed the position of ACA accreditation manager and division safety coordinator. Since June 2018 she has served as the acting safety director for the department. She was named Director in October 2018.

Overview

The Kentucky Department of Corrections (KDOC) established the Safety Division in 2017. The KDOC recognizes that the correctional field presents a unique occupational safety and health challenge. All staff within corrections have the potential to face threats of workplace violence from individuals who are under su-

pervision or incarcerated. There are other hazards to be cognizant and control against as well; such as fire hazards, use of hazardous chemicals, and operations of various trades including electricians, welders, plumbers, and construction projects.

A great safety culture can be the product of increased staff morale, productivity, and reduced injuries. Therefore, it is recognized that staff are the greatest asset to the KDOC. The KDOC is committed to protecting all staff from recognized safety and health hazards, as is required by law. Safety also represents part of the KDOC's emphasis on comprehensive staff wellness.

Responsibilities of the Safety Division include composing safety policy and procedure, written compliance programs, safety training delivery, facility inspections, and fire protection coordination. The Safety Division is in the process of elevat-

ing departmental safety practices to a new level of compliance with occupational health and safety standards. Some notable 2018 achievements were the implementation of the Fentanyl Response Program, which in turn inspired the need for Basic Life Support training for all staff, an updated Respiratory Protection Program and the increased need for Naloxone. Other achievements were the effective implementation of the Safety Management System's Policy and Procedure, distribution of puncture resistant gloves, OSHA training for all maintenance staff, and a standardized vehicle safety program for all KDOC staff. The incident investigation and analysis program has proven to be very successful in initiating necessary protocols for response, strategic planning items, and statistical recordkeeping. The Safety Division is a support function of the entire KDOC which allows for open communication of safety trends, needs, and accomplishments.



Pictured above: Luther Lockett Correctional Complex Safety Training

OFFICE OF SUPPORT SERVICES



Deputy Commissioner
Hilarye Dailey

Information Services Director
Amanda Sayle

Offender Information Services
Bill Mustage, Branch Manager

Administrative Services Director
Pat Sudduth

Training Director
Bridget Gilliland

Parole and Victim Services Director
Angela Tolley

Population Management Director
Kieryn Fannin

A 15-year veteran of the agency, Dailey began her career with the Department of Corrections in 2003 at Blackburn Correctional Complex as the fiscal manager for the prison. She held that role until accepting the position as fiscal branch manager in the department's Central Office in 2007. She was promoted to assistant director of Administrative Services in 2010.

Dailey received her bachelor's degree in business administration from the University of Kentucky and her master's degree in business administration from Morehead State University. She is a 2009 graduate of the Commissioner's Executive Leadership program. As deputy commissioner of the Office of Support Services, Hilarye Dailey oversees the Administrative Services Division, Corrections Training Division, Division of Parole and Victim Services, Division of Population Management, Information and Technology Branch, and the Offender Information Services Branch. Prior to her appointment to deputy commissioner in 2018 she served as the director of Administrative Services since July 2015 and managed the department's complex budget.

Information Services

Director

Amanda Sayle

Offender Information Branch

Manger

Bill Mustage



Amanda Sayle
Director of Information Services

Sayle began her career with the department in August 1999 as an offender information specialist for the OIS Branch at Central Office. In 2001, she was promoted to offender information supervisor and then in 2003 to administrative specialist III.

In 2005, Sayle was promoted to offender information administrator. In 2008, Sayle transferred to the Information Technology Branch as a systems analyst II. In 2010 she was promoted to systems analyst III, in 2015 to an information systems supervisor, and again in 2017 to information systems manager. In November Sayle was promoted to her current position as director of Information Services, overseeing the department's Information Technology and Offender Information Services (OIS) branches.

Sayle received a Commissioner's Award in 2000 and in 2018 she was a co-recipient of the Deputy Commissioner's Award for Support Services. She is a graduate of the 2009

Commissioner's Executive Leadership Program and has been an active member of Kentucky Council on Crime and Delinquency (KCCD), including serving as president of the Capital Chapter for KCCD.

Information Technology Branch

The Information and Technology (IT) branch supports and maintains the systems that which driven by the business needs of the department.

IT Branch supports our Kentucky Offender Management System (KOMS), Websites (Justice Cabinet, Corrections, KY Correctional Industries and Parole Board), custom applications and serves as liaisons for outside applications.

The Information and Technology Branch is persistent in its efforts for continued growth in providing solutions and services to our users by using resources available and seeking additional resources from other state agencies.

The branch provides ad-hoc reporting and data analytics to users in the field and outside researchers. We work with other agencies to provide information to our users in order to support their business needs.

Highlights

In January of 2018, required enhancements were implemented in the Kentucky Offender Management System (KOMS), to accommodate the new legislation in Senate Bill 120. Phase I of the project involving the following enhancements, Supervision Compliance Credit, Work Release for Class D Inmates, and MRS were completed.

In July of 2018, we completed the W3C upgrade to make the Kentucky Offender Management System (KOMS) software compliant. This included extensive regression testing from all functional areas within KOMS.

In September of 2018, required enhancements for Women's Medical Release related to SB133 were added to the Kentucky Offender Management System, along with enhancements to the Escape Flyer and Warrant processes.

In December of 2018, recoding was completed for the VINE Resync to avoid timeout issues due to the volume of data being processed.

Offender Information Services Branch

The primary responsibility of the Offender Information Services Branch is to ensure accurate sentence calculations and sentence expiration dates for the state inmate population as well as the offender population serving on mandatory reentry supervision, parole supervision and sex offender post incarceration supervision. Additionally, Offender Information Services is responsible for fielding questions from the court system, law enforcement agencies and the public, responding to correspondence from the inmate population and processing open records requests for documents contained in inmate files.

In 2018, the state inmate population averaged just over 24,000 offenders. Additionally, Offender Information Services oversees the calculations for an additional 16,581 offenders on supervision. The Offender Information Services Branch is made up of sections that specialize in subject

Information Services

matter in order to provide services to these populations effectively. These sections include: Sentence Calculation, Sentence Credit, Parole Review, Jail Management and the Community Placement Office. The branch also has staff assigned in the phone/mail room and in the open records office.

The sentence calculation section provides quality assurance by conducting an internal audit for each inmate’s sentence calculation. This ensures all sentences are consistent with the courts sentencing order and are in compliance with Kentucky Revised Statutes.

The sentence credit section provides subject matter expertise in relation to credits that incarcerated inmates and offenders on supervision are eligible to earn. The staff in this section provide quality assurance by ensuring applied credits are compliant with Corrections Policy and Procedure and with Kentucky Revised Statutes.

The parole review section provides a variety of services that relate to supervision. These services include the preparation of discharge certificates when an offender satisfies a sentence while on supervision; the calculation and application of parole supervision credit when an offender returns to custody because of a violation of supervision; review of inmate files prior to a parole hearing, and reviewing inmates for release to mandatory reentry supervision.

The Jail Management section serves as the institutional records office for all state inmates housed in county jails and community service centers. They perform all of the routine records processes including detainer

documentation and release notifications for each of the approximately 12,000 inmates housed in county jails and halfway houses.

The Community Placement Section is responsible for bridging the gap for inmates being released from incarceration to supervision. Staff in this section work closely with the Division of Probation and Parole, Division of Substance Abuse and each institution to ensure offenders are released in a manner that supports their needs for treatment and in a position that maximizes their potential for success while on supervision.

Highlights

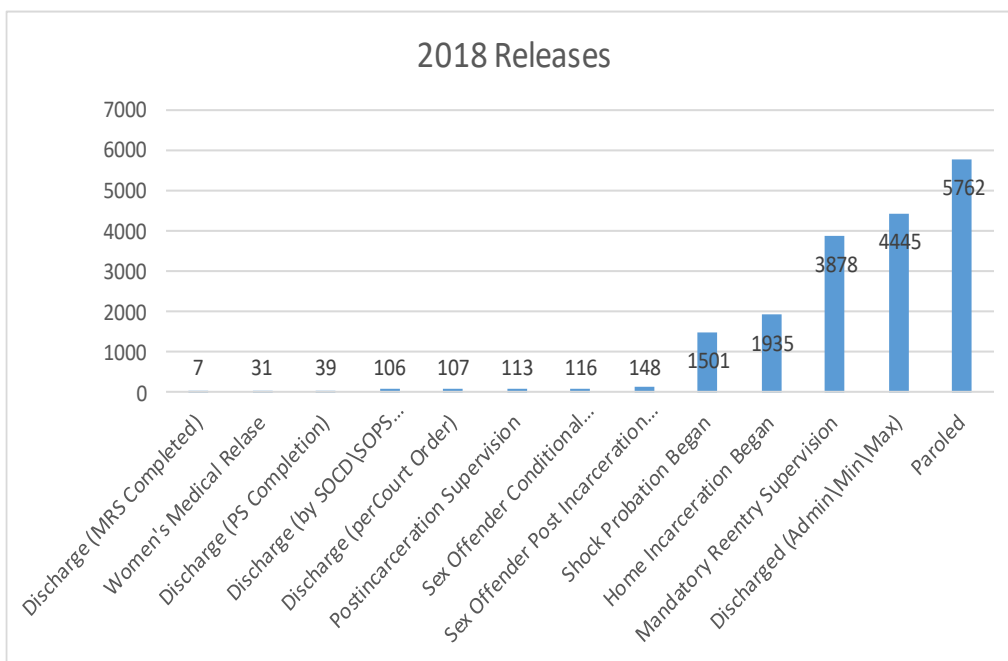
In 2018, Offender Information Services continued to streamline processes, and update daily reports seeking defined structure of the branch. The backlog of pending sentence calculations was reduced from over 6,800 to just over 5,000 by years end.



Bill Mustage
Offender Information Branch Manager

In 2018, additional organizational changes occurred with the hiring of Bill Mustage as the Branch Manager, the addition of another OIS Administrator in Jerry Sudduth to focus on training and hiring, along with a higher level management being added with the Director of Information Services, Amanda Sayle, being appointed in December.

Throughout 2018, Offender Information Services reviewed and approved over 18,000 inmate releases.



Division of Administrative Services

Director
Pat Sudduth

Assistant Director
Mike McKinney

Fiscal Branch Manager
Vacant



Pat Sudduth
Director of Administrative Services

Sudduth has served as assistant director of the division since 2016. She began her career with the Department of Corrections in 1997 as a secretary chief. In 2001 she was promoted to administrative specialist III in the fiscal branch. In 2005 Sudduth was promoted to internal policy analyst II and a year later was promoted to internal policy analyst III. In 2012 she was promoted to branch manager of the Division of Administrative Services.

Sudduth was a recipient of a 2002 Commissioner's Award for central office and the 2009 Deputy Commissioner's Award.

In October Sudduth was named director the department's Division of Administrative Services.

Overview

Administrative Services provided

support to the department and staff in a vast array of services including budget, financial management, accounting, fixed asset management, insurance, fleet, procurement and internal financial audits. The division processed accounts payable, accounts receivable and procurement documents, including travel vouchers for over 750 probation and parole staff and central office on a monthly basis. The division process over 10,000 eMARS documents by the end of December 2018; all while maintaining an average of six vacancies.

The procurement section managed and issued over 88 contacts for the department. In addition, procurement staff worked directly with the Finance Cabinet's Office of Procurement services to procure goods and services that exceed the small purchase authority or qualify as Master Agreements. The number of contracts and procurement actions managed by this section has increased by nearly 60 percent in the last several years.

The department retained its \$20,000 small purchase authority granted by the Finance and Administration Cabinet. Procurement staff completed required procurement courses in order to maintain the small purchase authority.

The Finance and Administration Cabinet launched a new accounting system in July 2018. As with any new system, there were issues that had to be resolved. This created some delays in processing documents but staff worked and got everything accomplished in a timely



Mike McKinney
Assistant Director

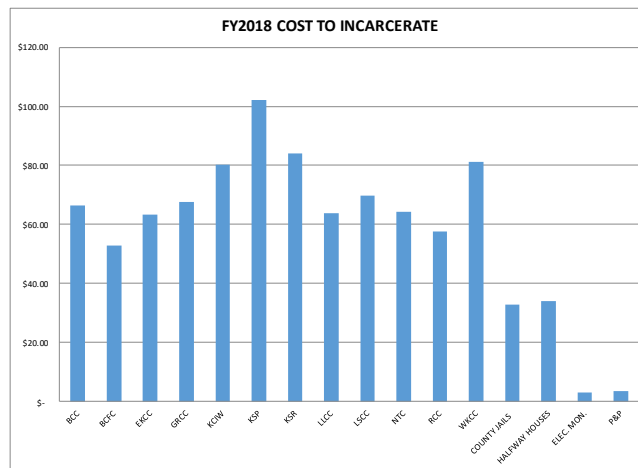
manner. With the implementation of the new accounting system, we are transitioning to paperless travel vouchers. To maintain internal controls, the majority of eMARS documents generated at the institutional level route to the Division of Administrative Services for final eMARS approval. This greatly increased the Division's workload, results in greater compliance with Finance Administration Policies.

The Division of Administrative Services successfully implemented the FY18 Budget while actively ensuring funds were available to sustain the operational requirements of the department. The Department received a \$52,132,600 Necessary Government Expense due to the growth in inmate population and overtime costs stemming from staff shortages in adult institutions.

The division conducted one Fiscal Manager's meeting in April 2018 to provide training to all Fiscal Managers. We are implementing conference calls with fiscal managers and their staff to increase continuity on documents processed department wide.

Division of Administrative Services

Pictured to the right: FY 2018 Cost to incarcerate per institution, county jails, halfway houses, electronic monitoring and probation and parole.



Pictured to the bottom: FY 2018 chart of cost to incarcerate and per diem.

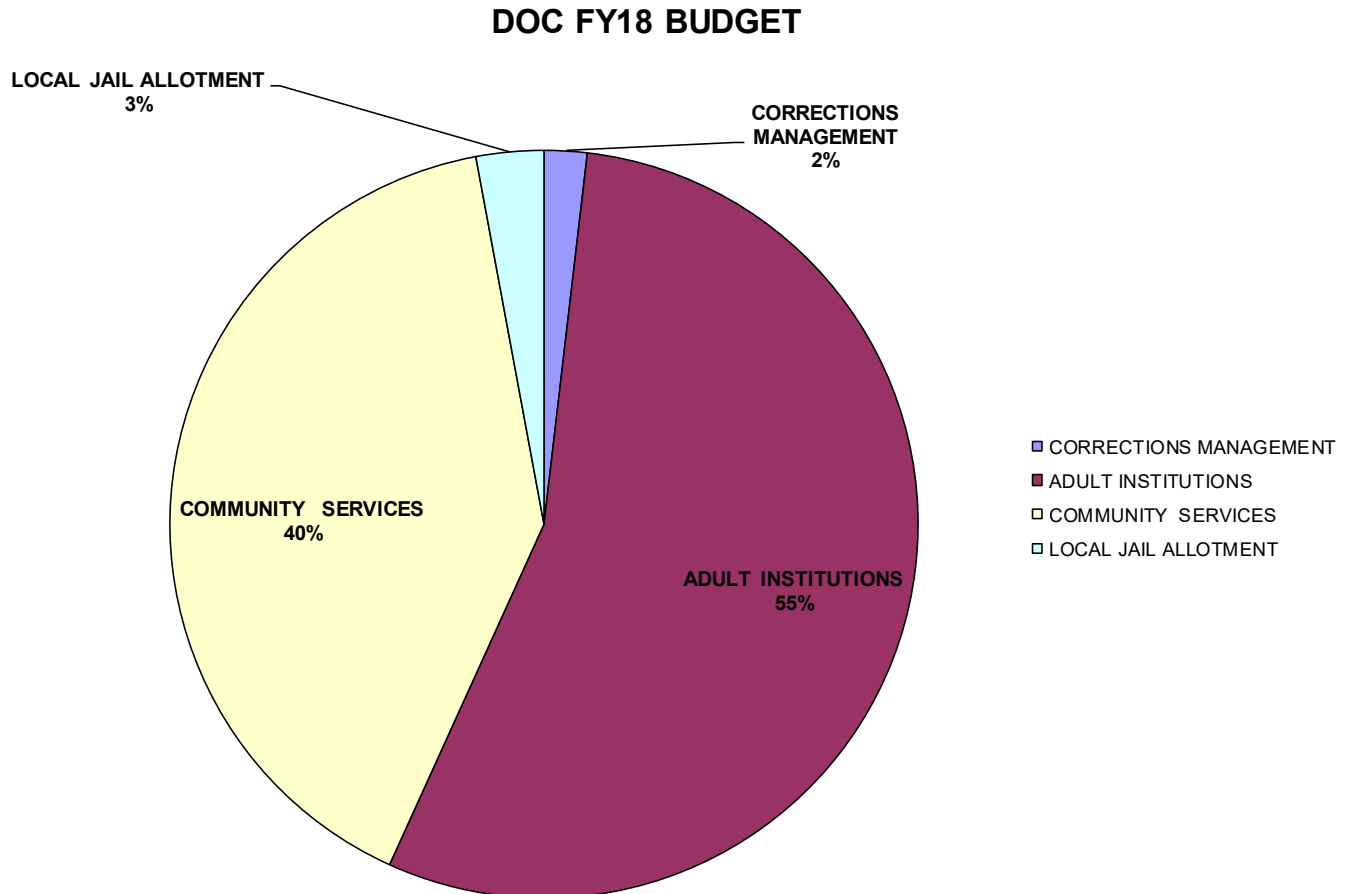
	*CTI Per Day	*CTI Per An-num	**Per Diem (Male)	**Per Diem (Female)
KY DOC Adult Institutions				
BCC	\$ 66.44	\$ 24,250.74		
BCFC	\$ 52.94	\$ 19,324.67		
EKCC	\$ 63.42	\$ 23,146.98		
GRCC	\$ 67.63	\$ 24,684.47		
KCIW	\$ 80.29	\$ 29,304.82		
KSP	\$ 102.25	\$ 37,322.43		
KSR	\$ 84.07	\$ 30,685.80		
LLCC	\$ 63.88	\$ 23,317.19		
LSCC	\$ 69.78	\$ 25,469.78		
NTC	\$ 64.30	\$ 23,468.14		
RCC	\$ 57.52	\$ 20,996.12		
WKCC	\$ 81.21	\$ 29,643.38		
Private Prisons				
MAC				
Marion Adjustment Center (Medium)				\$ -
Marion Adjustment Center (Minimum)				\$ -
Other Averages				
Total State Facility Average Cost	\$ 71.10	\$ 25,950.61		
Private Prison Average				
Total Adult Institutions Average Cost				
Total State Inmate Average Cost	\$ 51.95			
COUNTY JAILS	\$ 32.78		\$ 31.34	\$ 31.34
County Jails with SAP (State Inmates)	\$ 41.78		\$ 40.34	\$ 40.34
HALFWAY HOUSES	\$ 33.94		\$ 31.61	\$ 32.64
***Halfway Houses - Probationer/Parolee Beds & Recovery Ky.	\$ 33.29		\$27.62/ \$31.61	\$27.62/\$32.64
ELEC. MON.	\$ 3.00			
P&P	\$ 3.62			
State Institution Average Female	\$ 80.29			
State Institution Average Medium non medical (male)	\$ 64.42			
State Institution Average Medical (male and female)	\$ 76.93			
Average Medical Cost per Inmate, Adult Institutions	\$ 14.32			
Average Substance Abuse Cost per Inmate, Institutions	\$ 8.24			



Division of Administrative Services

2018 Expenditures

	CORRECTIONS MANAGEMENT	ADULT INSTITUTIONS	COMMUNITY SERVICES	LOCAL JAIL ALLOTMENT	TOTAL
PERSONNEL	\$ 9,429,415	\$ 190,299,804	\$ 52,845,249	\$ 41,700	\$ 252,616,167
OPERATING	\$ 1,307,728	\$ 56,512,616	\$ 9,939,942	\$ 440,000	\$ 68,200,287
CARE & SUPPORT		\$ 69,559,863	\$ 170,846,043	\$ 16,441,076	\$ 256,846,982
DEBT SERVICES		\$ 926,500			
CAPITAL OUTLAY		\$ 1,083,263	\$ 8,020		\$ 1,091,284
TOTAL	\$ 10,737,143	\$ 318,382,046	\$ 33,639,255	\$ 6,922,776	\$ 578,754,720



Division of Corrections Training

Director

Bridget Gilliland

Central Region Training Center

Branch Manager

Anthony Duggins

Southern Region Training Center

Branch Manager

Antony Duggins

Eastern Region Training Center

Branch Manager

Donnie McGraw

Western Region Training Center

Branch Manger

Kim Gaines

ACA Accreditation Manger

Melissa Wright

Curriculum Branch Administrator

Jessica Johnson



*Bridget Gilliland
Director of Corrections Training*

Gilliland began her career with the department in April 1998 as a correctional officer at Northpoint Training Center (NTC). She worked her way up through the ranks serving as a correctional sergeant, lieutenant, administrative captain, senior captain, and unit II administrator. She

also held the position of administrative captain at Blackburn Correctional Complex.

During her career, Gilliland has provided assistance with training in multiple areas for the department including basic academy. She has served on the NTC honor guard since 1999 and has also been a member of the Corrections Emergency Response Team (CERT) throughout her career. In 2015, she was named the statewide CERT commander.

Gilliland has received numerous awards during her career for outstanding performance, including the agency's top award, a "Commissioner's Award," in 2015. She is a graduate of the 2006 and 2011 Commissioner's Executive Leadership Program.

Gilliland maintains an office in Danville within the Southern Region Training Center (SRTC), as well as LaGrange, within the Central Region Training Center (CRTC). This office provides administrative supervision of all Department of Corrections Training (DCT) operations.

Overview

The Division of Corrections Training is charged with planning, coordinating and conducting training for adult institutions, jailers and DOC Central Office.

The DCT develops training curriculum for the correctional officers, uniformed supervisors, and non security staff of the 12 Kentucky institutions, and CoreCivic (private prison). The DCT also develops curriculum for Central Office, Kentucky

Correctional Industries and annual training for the elected Jailers and their staff within all 120 counties.

The DCT successfully went through their annual Program Security Review (PSR), in November.

Highlights

DCT was very instrumental in the training of CoreCivic training staff for the opening of the Lee Adjustment Center. The DCT provided curriculum reviews of the IPSE, and in-service training curriculum. Train the Trainer sessions were conducted for the CoreCivic staff on various training topics.

The Eastern Region Training Center (ERTC) has converted office space into additional training space for outside agencies and institutional staff to conduct training and meetings. Space has also been provided by Little Sandy Correctional Complex for the regional training center to create a mock cell for staff to utilize for hands-on training conducting searches.

The DCT has hosted a number of additional specialty courses for departmental staff, such as Less Lethal Instructor, Taser Instructor, Firearms Instructor, Train the Trainer, Basic Supervisor Workshop and MILO Range.

The Curriculum Branch implemented new training curriculum for the DoubleStar AR-15, as well as hosted a Train the Trainer session or Institutional Firearms Instructors and spearheaded the transition for the Mini-14 to the Doublestar AR-15 across the state.

Division of Corrections Training



*Antony Duggins
CRTC & SRTC
Branch Manager*



*Donnie McGraw
ERTC
Branch Manager*



*Kim Gaines
WRTC
Branch Manager*

A new search video to be utilized for both pre-service and in-service training was produced.

The DCT conducted five additional Pre-Service Academy classes in 2018 to support Adult Institutions.

They developed and provided additional training to probation and parole office support staff in an effort to assist the institutions with overtime and staffing needs.

DCT coordinated Basic Life Support Instructor for new American Health Association Instructors Training.

All four Regional Training Centers have been certified through the American Heart Association (AHA) as a certified facility, authorized to conduct AHA Instructor Recertification Training.

DCT successfully coordinated and facilitated curriculum reviews for both Pre-Service and In-Service training programs at each regional training center for institutional administrative staff within each region.

Regional Training Instructor Kevin Lewis received a Master Instructor Certification through TASER allowing the department to create Taser

Instructors.

The DCT works with other departments of the DOC in scheduling classroom space for their needs as they arise such as PREA Investigator Training, EEOC, KyRAS, Travel Voucher Training etc.

DCT revised and updated the Firearms Curriculum training to be in compliance with new departmental safety standards.

Both Regional Firearms Committee and Firearms Curriculum Committee were re-established.

New training curriculum was implemented into the Institutional Pre Service Academy to enhance training on areas such as Fentanyl, Reentry, Lockdown Procedures, and revised current training to incorporate Hex Armor Safety Gloves into search training, as well as information on critical incident stress debriefing.

The Curriculum Branch acts as the agency administrator for the My PURPOSE Learning Management System and oversees the agency coordinators for the DOC.

A three-tiered leadership training

program for the institutional supervisory staff was created.

The DCT produced RACC Belt Instructor Curriculum to move towards self-certifications with the NOVA/RACC system.

Articulate 360, a computer software program was acquired allowing staff to design and develop online training.

During 2018 the DCT staff lead had 3,737 trainees go through Central Region Training Center, 413 at Eastern Region Training Center, 1,994 at Western Region Training Center, and 968 at Southern Region Training Center.

Division of Parole and Victim Services

Director
Angela Tolley



Angela Tolley
Director of Parole and Victim Services

Tolley began her career in 1983 with the Department of Corrections as a correctional officer at Northpoint Training Center. During her time with state government she has established a repertoire of experience through positions such as a classification and treatment officer in the institution and a probation and parole officer in Lexington. She served as the deputy compact administrator for probation and parole before retiring in July 2011 after 27 years of service. Tolley returned to state government in 2014 as an administrative assistant with the Department of Corrections before transferring to the Office of Inspector General as a Medicaid fraud special investigator. In addition to her time in corrections, she has also worked with adults with disabilities and as a social worker who investigated reports of child abuse and neglect for the Cabinet for Families and Children.

While with the Department of Corrections, Tolley was instrumental in ensuring Kentucky's compliance with the newly structured Interstate

Commission for Adult Offender Supervision and organizing Kentucky's parole-to-hold process. She established a network of camaraderie with interstate compact and state prison staff across the United States, as well as staff with the Federal Bureau of Prisons. From 2012 to 2016 she was appointed to the Kentucky Council for Interstate Adult Offender Supervision.

Graduating from Eastern Kentucky University in 1980, Tolley received a bachelor's degree in rehabilitation counseling with an emphasis in adult corrections. She has received numerous awards during her career for outstanding performance, including a Commissioner's Award in 2002 and 2007.

Overview

The Division of Parole Board is responsible for completing all administrative duties of the Kentucky Parole Board as determined by policies, statutes and regulations. The division consists of three sections: Support Services, Risk Assessment, and Revocation.

The Support Services section handles incoming calls and correspondence, processes all requests for reconsideration, and ensures that open audio requests are responded to promptly. They provide clerical support during the parole eligibility and revocation hearings, enter all of the Parole Board's decisions, and provide general administrative support. They create and process the weekly docket, compile and maintain the monthly parole eligibility lists and create the monthly and weekly cal-

endars.

The Risk Assessment section is responsible for compiling Parole Guidelines Risk and Need Assessments as required by KRS 439.331. This is an objective and validated tool used by the Parole Board since 2003 when making release decisions. All offenders seen by the Parole Board on parole eligibility lists are required to have a completed assessment.

The Revocation Section consists of administrative staff is responsible for issuing and processing supervision revocation warrants. This section provides administrative support to the hearing officers, process preliminary hearing decisions, schedule hearings, and prepare parole violator packets for the Parole Board to use during the final parole revocation hearings.

This division works closely with staff in the Victim Services Branch under the Commissioner's Office who are responsible for victim and prosecutor notification regarding parole as required by statute. They notify victims of upcoming parole eligibility hearings and coordinate victim impact hearings during which victims can voice their opinions and concerns to the Parole Board members.

Highlights

The Program Director structurally reorganized parole services creating two correction program administrator positions which allowed for a more balanced and effective management of employees. In addition, a part-time person was also added to

our staff to coordinate the video recording of all parole hearings. During this reorganization, the Administrative Law Judges were placed under the Office of Legal Services.

The Program Director wrote a proposal which facilitated the placement of laptops, docking stations and dual 24 inch displays for each of the nine board members. Funding was also approved for six docking stations in the Parole hearing rooms. The purchase of this technology enhanced the Board’s ability to expedite their hearing processes.

The Program Director submitted a request for Parole Board member training and as a result of this submittal the Justice Department approved training for five Parole Board members to attend the Association of Paroling Authority International (APAI). This training was held in Baltimore, Maryland in March of 2019.

The Division of Parole Board is close to reaching its goal of having all final revocation hearings and all preliminary probable cause hearings conducted by video conferencing

equipment. The only jails that currently do not participate are jails that have not had any hearings in the past six months.

In 2018, this division processed over 21,000 Board decisions, compiled over 16,000 risk and needs assessments, issued about 6,361 revocation warrants and processed around 3,900 waiver requests. The Division of Parole Board staff continues to ensure that the Parole Board is able to fulfill their duty as part of the criminal justice system of Kentucky.

Chart below: Number of offenders reviewed for parole or a revocation hearing by the parole board per month in 2018.

Month	File reviews	Face to Face	Revocation	Monthly Grand Totals
January 2018	1321	168	370	1859
February 2018	887	176	465	1528
March 2018	1159	139	468	1766
April 2018	1336	143	245	1724
May 2018	1304	178	468	1950
June 2018	1287	150	239	1676
July 2018	1390	138	306	1834
August 2018	1190	150	491	1831
September 2018	1181	160	420	1761
October 2018	1187	128	424	1739
November 2018	1332	132	327	1791
December 2018	1217	151	274	1642
GRAND TOTALS	14,791	1813	4497	21,101



Division of Classification/ Population Management

Director
Kieryn Fannin

Program Administrators

Scott Grimes
Alan Long
Jonathan Rooney
Amanda Scott
Lisa Teague
Jennifer Tracy

Administrative Specialist

Geneva Bogue
Cindy Adams

Classification & Treatment Officer

Connie Taylor



*Kieryn Fannin
Director of Population Management*

Fannin began her career with the Department of Corrections in 2000 as a classification and treatment officer in the Assessment Center at Roederer Correctional Complex. In April 2005, she was promoted to central office as a program administrator in the Classification Branch, where she was responsible for reviewing transfers to ensure inmates were assigned to appropriate custody levels and recommended to appropriate facilities.

In February 2013, Fannin began working as a liaison with the Substance Abuse Program. She was tasked with monitoring the Sub-

stance Abuse Program waiting list and coordinating movement into available treatment beds.

In July 2013, she was named executive staff advisor for the Division of Substance Abuse Services. In this role, she was instrumental in the department’s development and implementation of Senate Bill 192, legislation pertaining to the heroin epidemic in Kentucky. During her tenure, Fannin created the Medically Assisted Treatment protocol currently utilized by the department. In addition, she developed a statistical review process that allows the division to more accurately project the need for expanded substance abuse programming.

In 2016 Fannin was promoted to director of the Division of Population Management.

Fannin is a 2007 and 2012 graduate of the Commissioner’s Executive Leadership Program as well as the recipient of many awards, including the agency’s top award, a “Commissioner’s Award,” in 2015.

Overview

The Population Management Division/Classification Branch is responsible for overseeing classification actions in all state institutions, contract facilities, and full-service county jails and for monitoring the Assessment/Classification Centers at Roederer Correctional Complex, Kentucky Correctional Institution for Women and Ross-Cash Center. This Division is also responsible for monitoring the population of these facilities for appropriate use of bed space.

Inmate custody levels are determined through an objective based risk instrument that was developed with the assistance of the National Institute of Corrections (NIC). The classification manual is reviewed and updated in accordance with recommendations from validity and reliability studies.

Division staff are responsible for reviewing all inmate transfer and furlough requests, jail disciplinary reports, jail detainees, jail additional sentences and requests for priority security admission.

Training is conducted annually for all adult institution classification staff to address any classification issues and changes in policy, procedure or statute. The Division also implements and updates policies to ensure compliance with changing statutes and system changes.

The division monitors the population of all state institutions, contract facilities and county jails. When necessary, movement is directed by division staff in order to alleviate overcrowding in county jails.

The division director oversees the operation of the Assessment Centers, currently located at the Roederer Correctional Complex, Kentucky Correctional Institution for Women, Ross-Cash Center and directs admissions / transfers statewide.

Highlights

Annual Classification Training was held at regional locations for case management staff. This one-day training was presented nine times. This year we incorporated the philosophy and practice of Unit Manage-



Division of Population Management

ment and included all affected staff. The Division also trained all Class D Coordinators at the Fall Jailer’s Conference on basic classification and related functions.

The Division of Population Management has continued to conduct all Protective Custody reviews. This has resulted in maintaining a reduced number of Protective Custody numbers at the Kentucky State Penitentiary.

To help meet the needs of our growing secure custody population, the department increased bed capacity by double bunking at the Eastern Kentucky Correctional Complex. Due to structural issues, several dorms were closed at the Kentucky State Reform-

atory resulting in a loss of over 800 secure beds. The Department contracted with CoreCivic to open the Lee Adjustment Center to absorb the loss of beds from the downsizing of the Kentucky State Reformatory. This was a collaborative effort among many departments to train staff and arrange weekly transfer of inmates over a 4-month period until the prison was at capacity.

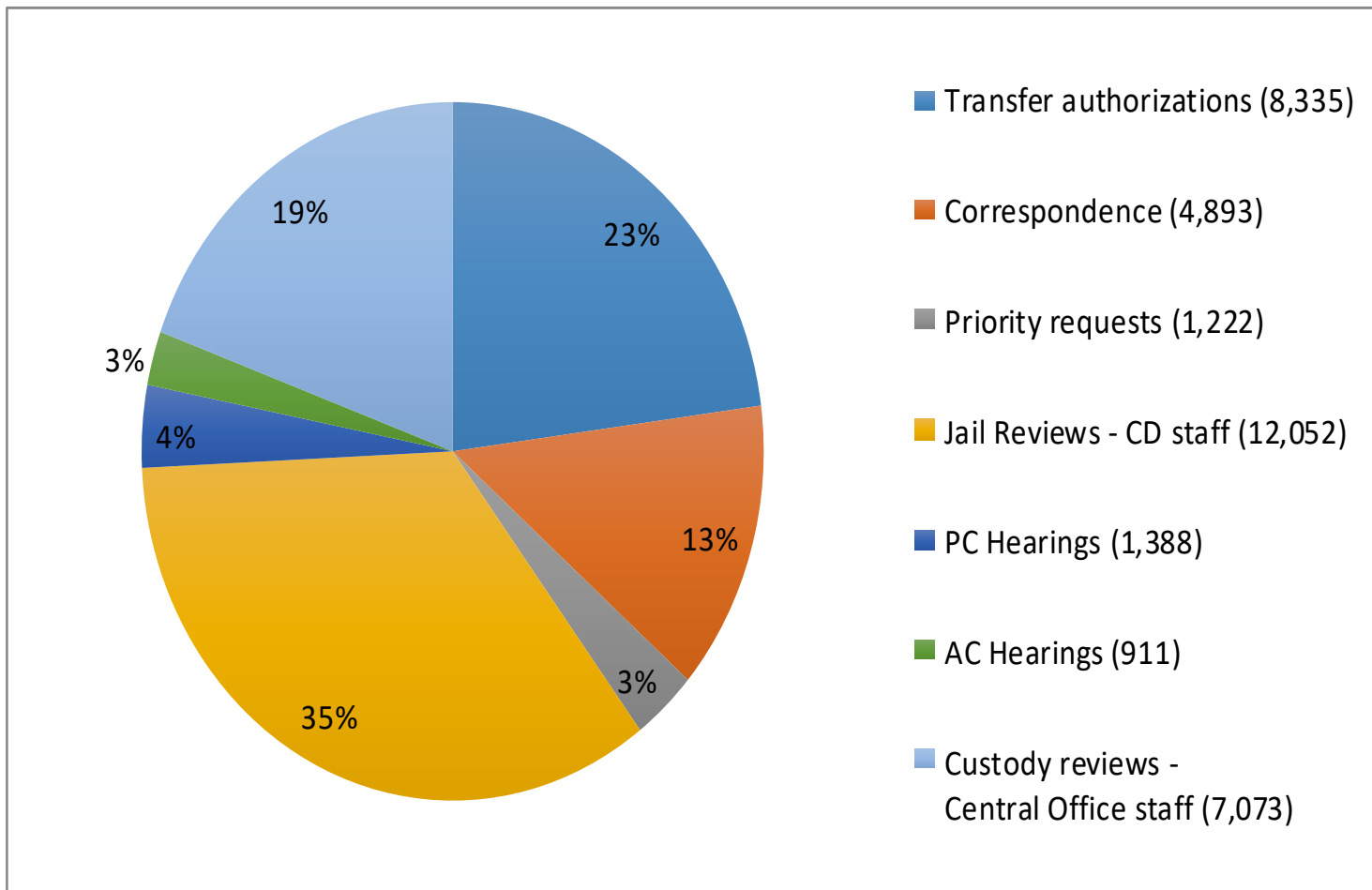
As a result of exorbitant utility costs, Dorm 5 at Blackburn Correctional Complex was closed. The affected inmates were absorbed into Dorm 4.

The department converted Dismas House Owensboro, a substance abuse treatment facility for men, to

a treatment facility for women, to meet the needs of the population.

The department was one of three states selected to work collaboratively with NIC and the Criminal Justice Institute in a field test of the classification revalidation workbook. Division of Population Management staff completed a comprehensive review of the current classification system, including a reliability assessment, under the guidance of Dr. Patricia Hardyman as part of the revalidation effort. The effort is nearing implementation phase.

Division staff processed over 8,000 transfer authorizations and performed over 19,000 classification actions in 2018.



OFFICE OF COMMUNITY SERVICES

Deputy Commissioner
Vacant

Reentry Director

Kristin Harrod

Local Facilities Director

Kirstie Willard

Probation and Parole Director

John Hall

Substance Abuse Director

Sarah Johnson

Division of Reentry Services

Director

Kristin Harrod

Assistant Director

Erica Hargis

Administrative Branch Manager

Rebecca Barker

Administrative Branch Manger

Christi Sorrell

Regional Program Administrators:

Andria Barkett

Matt Aaron

Tara Harrod

Katie Green

Michelle Chism

Pamela Bentley

Reentry Employment Program

Administrators:

Dan Goyette

Jennifer Hutchinson

Jarvis Livingston

Jack Vance

Melissa Handley

Program Administrator

Kristin Johnson

Administrative Specialist III

Julie York

*20 Community Reentry Coordinators

*14 Institutional Reentry Coordinators

Kristin Harrod started her career with the Department of Corrections in 2006 as a correctional officer at Luther Luckett Correctional Complex. Later that year, she transferred to the Division of Probation and Parole as an officer in the Louisville



*Kristin Harrod
Director of Reentry Services*

Southeast Office. In 2012, Harrod was promoted to assistant district supervisor of District 18 in Louisville.

She has served as a Mechanical Advantage Control Hold (MACH) instructor, halfway house officer, PREA investigator, PORTAL instructor and Moral Reconciliation Therapy (MRT) instructor. She served as an instructor for SAP Pre-Release classes at the institutions and served on the 2009 American Correctional Association (ACA) compliance review team for the Division of Probation and Parole. She developed and initiated the Probation and Parole Field Training Officer Program in 2014, which has been implemented in each district throughout the state. In January 2017 she was promoted to the position of program administrator in the Reentry Branch in Central Office. She was promoted again in 2018 to Director of the Division of Reentry.

Harrod is a 2006 graduate of University of Louisville, where she earned a bachelor's degree in justice administration. Harrod received the Achievement Award for District 19 in 2008, the Commissioner's Award in 2014 and the Deputy Commis-



*Erica Hargis
Assistant Director of Reentry Services*

sioner's Award for Support Services in 2017. She is a graduate of the 2011 Commissioner's Executive Leadership Program and served as a mentor for the program in 2015 and 2018.

Overview

The Division of Reentry Services strives to increase public safety and improve recidivism rates by enhancing resources available to offenders transitioning from incarceration to the community. This requires that all areas of the department collaborate to build a seamless reentry process.

The Division of Reentry Services oversees the use of the validated risk and needs assessment tool – KyRAS. KyRAS took place of the LSCMI in July 2017. The department currently uses a validated risk and needs assessment tool and a comprehensive case management plan to prepare for the individualized release of inmates. The KyRAS tool is administered to inmates in adult institutions as well as offenders on supervision in the Division of Probation and Parole. The Division of Reentry Services is also tasked with performing quality assurance audits on this tool.

The Division of Reentry Services

Division of Reentry Services

supervises the reentry coordinator positions in prison facilities and community reentry coordinators located in probation and parole offices across the state. Every adult institution in the state as well as each probation and parole district has at least one reentry coordinator to assist in the release of inmates and the community needs of clients. The reentry coordinator positions are also tasked with providing evidence based and promising practice programs to clients on supervision and incarcerated. Currently the Division of Reentry delivers all programming to community clients, and promising practice programs to inmates. The Division of Reentry Services also maintains quality assurance for programs delivered by our staff and delivered at Reentry Service Centers (formerly Halfway Houses).

The Division of Reentry Services supports the network of reentry councils across the state. Reentry Councils exist in the following areas: Paducah, Owensboro, Elizabethtown, Bowling Green, Louisville, Lexing-



Photo above was taken during a reentry simulation.

ton, Covington, London, Maysville, Ashland and Prestonsburg. Reentry staff attend the reentry council meetings routinely to advise service providers of new Department initiatives, gather information about services and develop strategies to address service gaps.

In partnership with the local reentry councils, the Division of Reentry Services developed a process for families to learn more about the criminal justice system. Families of the Incarcerated groups exist in a few areas across the state, these groups mirror the reentry councils and provide the families a monthly group meeting to allow for support and networking. The Division of Reentry Services attends periodically or upon request to hold a question and answer session for families in a less formal environment. The Families of the Incarcerated groups in Covington, Greater Louisville, Bluegrass and Central areas continue to meet monthly and grow their groups.

In 2018 the Reentry Branch was officially changed to the Division of Reentry Services and grew from a staff of 12 to a staff of 56 with the addition of two branch managers,



Rebecca Barker
Administrative Branch Manager



Christi Sorrell
Administrative Branch Manager

six employment program administrators, 14 institutional reentry coordinators, 20 community reentry coordinators, and a central office program administrator. The addition of the branch managers allows for oversight of staff regionally separated by east and west. The additional employment program administrators are located in Boyd, Pike, Estill, McCracken, Daviess, and Jefferson for a total of ten of these positions across the state. The additional program administrator located in central office will provide much needed oversight for our current grant operations and special projects that the division is tasked with overseeing.

Highlights

- Official change to Division of Reentry Services with director and other key management implementations
- Onboarding of 56 employees under the Division of Reentry Services
- Began quality assurance of KyRAS and programming
- Revamp of PORTAL New Directions program
- Introduction of Soft Skills Boot Camp program

Division of Probation and Parole

Director

Johnathan G. Hall

Assistant Director

Steve Turner

Assistant Director

Kirk Gausepohl

Regional Managers:

Central Region– Rebecca Carter

Northern Region– Dan Fountain

Western Region– Mark Stonex

Eastern Region– Mike Caudill



Johnathan Hall

Director of Probation and Parole

er Information and Information Technology branches and provided oversight for the KOMS project team. In November 2016 Hall was named director of Probation and Parole.

During his career, Hall has been recognized with numerous awards, including the agency’s top award, a “Commissioner’s Award,” in 2004 and 2008. In 2011, he was recognized for submission of an employee suggestion that resulted in annual savings of more than \$500,000 to the department. He is a 2009 graduate of the Commissioner’s Executive Leadership program. Upon graduating, he has served multiple times as a mentor and project coach for the program.

Overview

The primary function of the Division of Probation and Parole is to

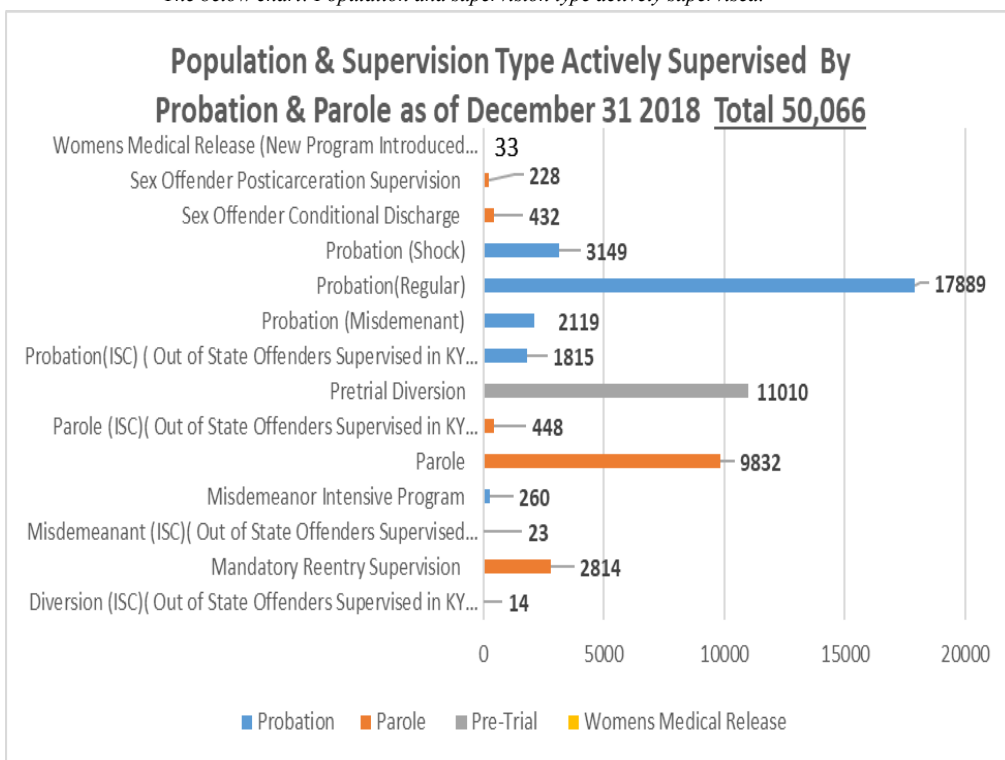
protect the citizens of the Commonwealth. This is accomplished through community supervision of offenders placed on probation by the courts or released to parole supervision by the Parole Board. Officers serve each of Kentucky’s 120 counties covering four operating regions, divided into 21 Districts and recently restructured into 58 supervision field offices spanning across Kentucky.

The Division employs 868 staff, which includes 716 sworn officers who supervise 50,066 offenders. This total represents 13,754 parolees (29%) released by the Parole Board and 36,279 (70%) offenders placed on supervision by the Court. The remaining 33 are individuals currently under the SB 133 Women’ Medical Release program. This program introduced in July 2018 created a release type specifically aimed at pregnant females assessed with a

Hall began his career with the Department of Corrections (DOC) during his junior year in high school as a temporary employee for the Offender Information Services (OIS) branch. In October 2001 he was hired as a clerk and quickly worked his way up through the ranks. He was promoted to a supervisor’s position in OIS in April 2003.

Hall continued his upward trek through the agency, receiving promotions in 2008 and again in 2010 when he was named OIS branch manager. In 2012, Hall was promoted to administrative coordinator and oversaw the Offender Information and Information Technology Branch. In this capacity, Hall led the Offend-

The below chart: Population and supervision type actively supervised.



Division of Probation and Parole

substance abuse disorder with a 218A offense. During 2018, 43 women have been released, to an approved inpatient substance abuse recovery treatment program.

The current population on community supervision has seen a slight increase in the caseload average, as of December 31, 2018 Officers' current caseload average is 88 when fully staffed which includes the assistance of administrative staff. Managing time and resources especially as the population of community supervision increases provides a constant challenge within the division. To alleviate this issue the division has implemented specialized caseloads for low risk offenders, allowing staff to focus on offenders at a higher risk to recidivate. As a result, of this 2,405 offenders are supervised, on an administrative level and 12,087 on a low risk level. The Division has completed 61,365 risk needs assessments, which accurately determines the risk level of each individual.

The supervision of offenders in the community saves the citizens of Kentucky \$48.64 per day as a state inmate based on, \$3.62 current cost of community supervision versus \$52.26 (current state inmate cost) or a saving of \$67.48 based on \$3.62 current cost of community supervision versus \$71.10 (average current state facility cost). Offenders on supervision are required to obtain and maintain full-time employment as a condition of their release; this adds intangible benefits to the economy of Kentucky. Offenders may also be required to pay towards the cost of supervision and make restitution to their victims. During 2018, offenders



Steve Turner
Assistant Director of Probation & Parole



Kirk Gausepohl
Assistant Director of Probation & Parole

paid \$2,157,185.05 in supervision fees. The Department also collected \$892,163.51 in drug testing fees to deflect the costs of drug testing. Probation and parolees also paid \$5,820,157.52 in victim restitution payments and completed over 37,861 hours of community service work in their local communities.

During 2018, the division completed over 30,125 pre-sentence investigations by 81 specialized probation and parole PSI investigators and PSI officers. In 2018, the division conducted 13,326 community parole plan investigations, 3,451 home incarceration plans, processed 28,613 in-state transfer requests and registered 3,972 through the sex offender registry.

In accordance with KRS 17.170 and in conjunction with the Kentucky State Police, Probation and Parole is required to complete DNA testing of all convicted felony offenders. Kentucky Department of Corrections submitted 15,602 completed DNA collections submitted to the Kentucky State Policy database in 2018. The division during the year processed 724 Civil Rights applications and of those 432 were granted and restored.

Specialized Programs

Sex Offender

The division has held a specific focus on sex offenders under supervision. As of December 2018, thirty-six officers statewide supervise 1,988 sex offenders with a caseload average of 55. In addition to monitoring cases from the court, the division currently monitors 432 individuals on sex offender conditional discharge and 228 individuals on sex offender post-incarceration supervision.

MIP

The population count includes 260 offenders supervised under a program known as Misdemeanor Intensive Supervision Program (MIP) a successful partnership with Jefferson County District Court.

Jail Based Inmates & Class D

The division also provides services to over 11,982 jail based inmates, including Class D programs and community custody residential centers. Additionally at the end of 2018, the Division provided supervision services to approximately 1,675 offenders in 25 halfway house facilities a name soon to be rebranded as

Division of Probation and Parole

Reentry Service Centers.

Interstate Compact

The division is also responsible for the administration of the Interstate Compact, which transfers cases between states. In 2018, the Interstate Compact processed 1,503 transfer requests from other states to Kentucky and 2,844 transfer requests from Kentucky to other states. As of December 31, Kentucky currently supervises 2,300 offenders for other states.

SMART Program

Kentucky continues to monitor eight SMART programs, (Hawaii Hope Model). A court based system providing court intervention for immediate and graduated responses to probation violations. As of December 2018, 345 individuals are active program participants located in Allen, Simpson, Campbell, Kenton, Knott, Magoffin, Spencer, Shelby, Anderson, Rockcastle, Pulaski, Lincoln and Jefferson counties

Task Force

The Division of Probation and Parole partners with the US Marshals to form a fugitive task force in Louisville, Lexington, Newport and Pulaski areas. In 2018, the fugitive Task force apprehended 617 Probation and Parole fugitives.

Significant Impacts

Recidivism is a constant challenge but the Division of Probation and Parole continuously improves services and programs to encourage and guide the offender to success. The division utilizes, when authorized by the court, graduated sanctions for

those who violate probation, an alternative measure to immediate return and may grant early termination of supervision for those who are compliant.

The division continues to use HB 463, which in 2018, granted 162 successful probationers early termination by the courts, bringing the total number of offenders released on early termination to 2,470 since its introduction.

In June 29, 2017, Senate Bill 120 facilitated significant changes to community supervision. During this report period, Corrections Policy and Procedures 27-15-03 and 27-20-03 amended to comply with legislative changes to Kentucky Revised Statutes 439.3108 and 439.250. These changes allow probation and parole officers the option to expand use of discretionary detention as well as a wider scope of graduated sanctions allowing individuals who do not pose a threat to society benefit from available programs in the community while on supervision. These changes also

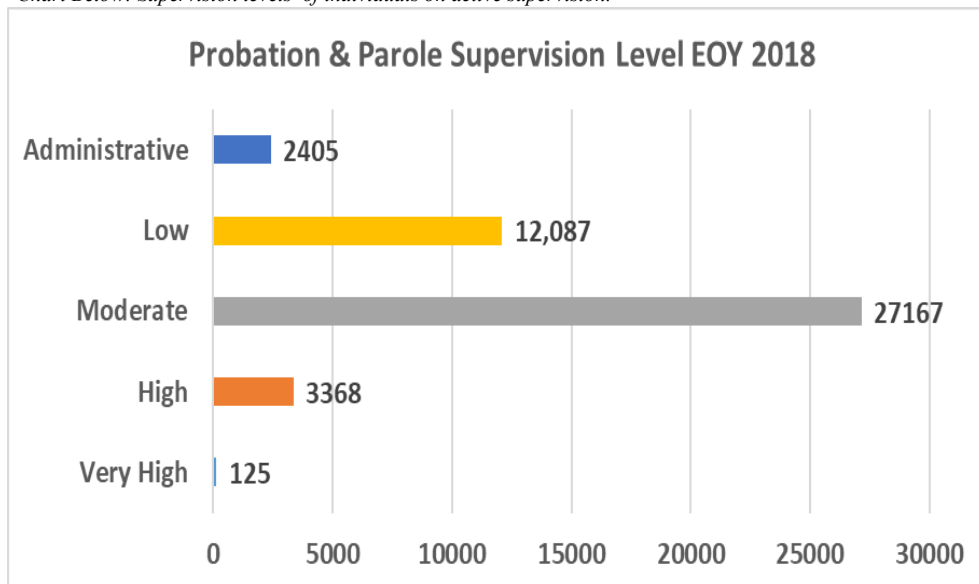
allow eligible parolees the increased incentive of supervised compliance credit.

Between Calendar year 2016 and Calendar year 2018, Parole and Mandatory Reentry Supervision returns due to revocation, decreased by 28%. The average number of revocations per month in 2016 was 514.17 and fell to 370.08 in 2018. This decrease is derived from the use of graduated sanctions and the return of absconders who, if apprehended within 90 days, may be returned to community supervision after serving an appropriate sanction and completing a course of action deemed beneficial to the individual such as an intensive treatment program.

In 2018, the Division of Probation and Parole completed many large projects making a significant impact to the overall operation and well-being of everyone.

The final phase of paperless offices and reutilizing created space completed in December 2018. The additional square footage gained, provid-

Chart Below: *Supervision levels of individuals on active supervision.*



Division of Probation and Parole



*Rebecca Carter
Central Region Branch Manager*



*Dan Fountain
Northern Region Branch Manager*



*Mark Stonex
Western Region Branch Manager*



*Mike Caudill
Western Region Branch Manager*

ed much needed office and training space for staff and reentry purposes.

The division has consistently strived to improve the quality of office locations, building structure, fixtures, furnishings and technology to effectively improve the working environment and services delivered while increasing all levels of safety.

In addition, the division has expanded to meet the demands of new legislation and a larger supervised population by adding over 216 positions since 2011.

Basic academy instruction has increased to five weeks and includes redesigned and enhanced quality of training, lesson plans, instruction and defined instructors. Staff have received additional training opportunities and encouraged participation in professional discussion forums.

Over the course of the year, the division has continued to focus on safety within the work environment increasing the knowledge, awareness, accuracy of documented safety and critical incidents while enhancing procedures to provide solutions or addi-

tional equipment to address safety issues.

Probation and parole districts continues to be involved with a number of community stakeholders statewide to provide services to offenders. Collaboration includes Reentry Councils, Community Service Treatment providers, Lexington Metro Police Gang Task Force, local Community Police Departments and Outreach programs.

Probation and parole engages in research projects and utilizes interns and community volunteers.

Additionally, Probation and Parole officers participate in offender education programs, career days, school education programs, employer trainings job fairs and many community charity events, an important aspect of trusted presence within the community.

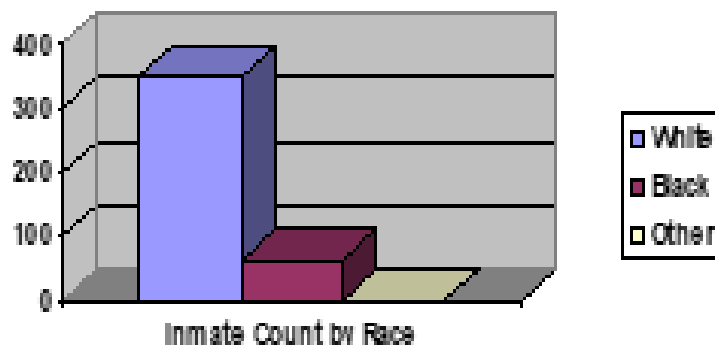
The Division of Probation and Parole is proud to remain an ACA Accredited agency receiving the current reaccreditation in January 2019.



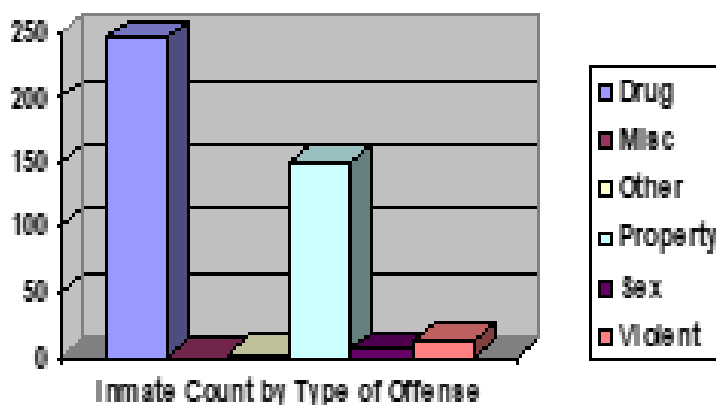
Division of Probation and Parole

Demographic Data

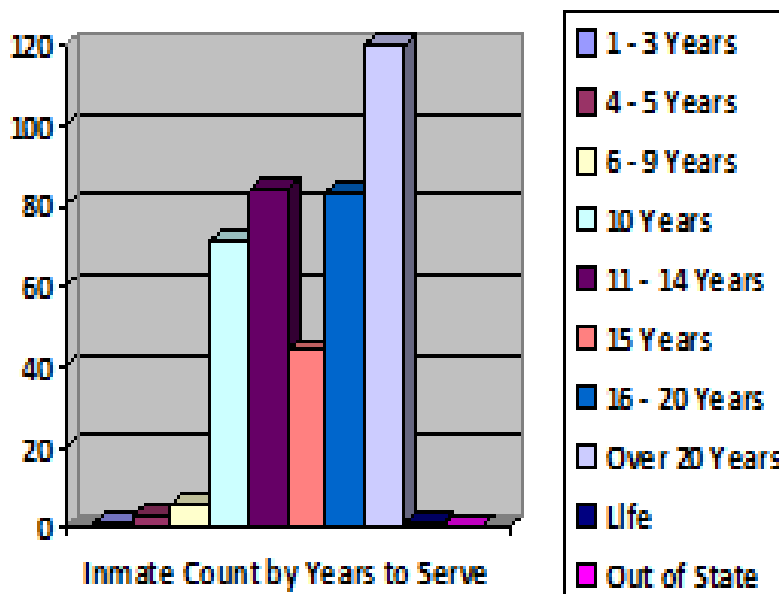
RACE	COUNT	PERCENTAGE
White	348	84.26%
Black	65	15.74%
Other	0	0.00%
Total	413	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	245	59.32%
Misc.	0	0.00%
Other	2	0.48%
Property	149	36.08%
Sex	4	0.97%
Violent	13	3.15%
Total	413	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1	0.24%
4 - 5 Years	3	0.73%
6 - 9 Years	6	1.45%
10 Years	71	17.19%
11 - 14 Years	84	20.34%
15 Years	44	10.65%
16 - 20 Years	83	20.10%
Over 20 Years	120	29.06%
Life	1	0.24%
Out of State	0	0.00%
Total	413	100.00%



Division of Local Facilities

Director
Kirstie Willard



Kirstie Willard
Director of Local Facilities

Kirstie Willard joined the department as an intern with the Division of Local Facilities in May 1999. She held the position until August 2001 while completing her bachelor's degree in correctional and juvenile justice studies at Eastern Kentucky University. Upon graduation, she worked as a graduate assistant at the University of Louisville while working on a master's degree in justice administration.

In October 2004, Willard returned to the department as a victim advocate in the Office of Victim Services. In September 2005, she was promoted to head the office, which included managing the statewide Victim Information and Notification Everyday (VINE) program.

Willard transferred to the Division of Local Facilities as a jail services specialist in March 2007. She has served as a jail inspector and a liaison to all jails across the state on the federal Prison Rape Elimination Act (PREA). Willard was promoted in February 2010 to corrections program administrator, where in addition to her role as a jail inspector and

PREA liaison, she handled the administrative duties of the Kentucky State Corrections Commission.

In 2014, she assumed supervisor duties of the Division of Local Facilities administrative staff, who are responsible for processing housing reimbursement, state inmate pay and catastrophic medical claims for the jails. Later that year, Willard was promoted to regional administrator for the Division of Local Facilities. In April 2016, she was named assistant director for the Division of Local Facilities, where she served until assuming the position of acting director in December 2017. In December 2018, she was appointed as Director.

Willard is a 2014 graduate of the Commissioner's Executive Leadership Program, a member of the American Jail Association and the Kentucky Council on Crime and Delinquency.

Overview

The Division of Local Facilities provides support and technical assistance to local jails in overall jail operations, policy and procedure development, training, construction review and consultation and ensures compliance with the Kentucky Jail Standards.

The division's administrative staff works closely with county jails to process per diem payments for state inmates housed in jails, inmate pay, and catastrophic medical invoices. In addition, they provide documentation of deficiencies and monitor corrective action requests and reviews.

The Division of Local Facilities provides funding to 120 counties to assist them in defraying the cost of care and maintenance of prisoners through the Local Jail Allotment and the Restricted Medical Fund. Additionally, the Division provides a Jailers's Allowance that provides training incentive funding for jailers and jail personnel.

State Inmate Population in County Jails

The population of state inmates in county jails decreased slightly overall in 2018. The population dropped to its lowest point in February, which was 10,850. The population peaked for the year in May at a level of 11,316.

On average, the state inmate population for 2018 accounted for 45% of the county jail prisoner populations, the same as in 2017. A majority of those state prisoners are CD and CC inmates that are eligible to be housed in the county jails and eligible to participate in various programming offered at the jails. Due to the overall increase in state inmates, CI (Controlled Intake) prisoners make up the next largest population of state prisoners housed in the county jails.

Finance

The Division of Local Facilities provided \$149,974,205 in funding to the 120 counties across Kentucky in FY 18. This represents an increase of \$14.34 million from FY17. These funds are provided through several funding programs, such as per diem payment for housing state inmates, medical allotment payments, bed allotment payments, pay for inmate

Division of Local Facilities

labor, payments for catastrophic medical claims and cost savings from the implementation of House Bill 463 which are earmarked for the Local Correctional Assistance Fund.

Housing Bills Audits and Payments

During FY18 the Department of Corrections paid Kentucky County jails a total of \$132,055,936 for housing state inmates. This amount was paid at a per diem rate of \$31.34 per inmate; \$29.43 for housing and \$1.91 for medical.

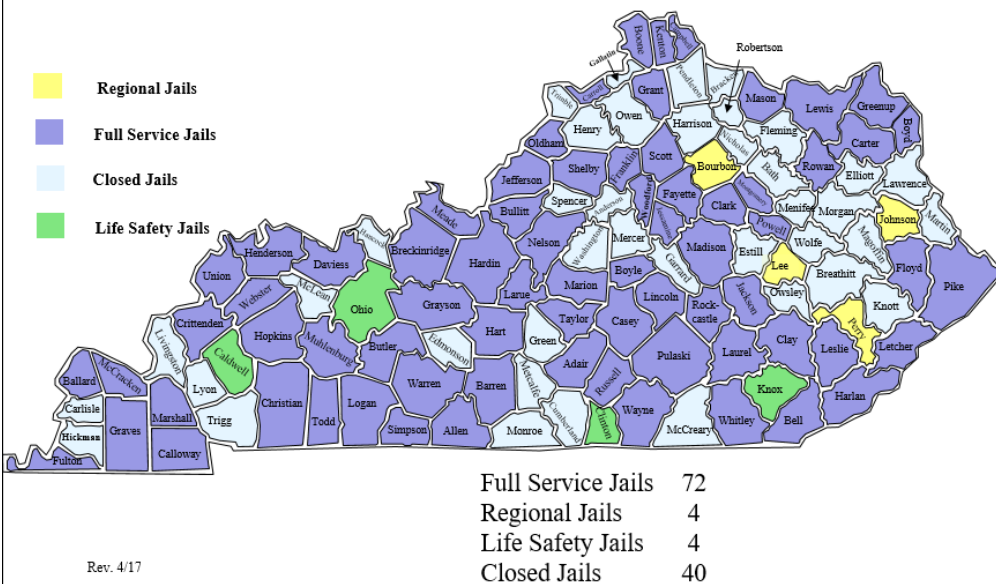
The Division of Local Facilities has the responsibility for auditing housing bills on a monthly basis and reimbursing county jails within 30 working days of receipt of their housing bills.

The Kentucky Offender Management System (KOMS) generates invoices listing all state inmates housed in jails. These invoices are compared with invoices submitted from county jails and are reconciled with assistance from jail and probation and parole staff. Once reconciled, the invoices are submitted for payment.

Inmate Labor

Local Facilities provides inmate labor for many local community projects across the Commonwealth. Local jails that house state prisoners operate the Class D /C Work Program through the direction and guidelines of the Division of Local Facilities. Inmate labor can be used by the Commonwealth or an agency of the Commonwealth, a county or agency of the county, or by a non-religious-sponsored nonprofit, charitable, or service organization. Community level inmates can be found

2018 Jail Classifications



working at local recycling centers, performing road side cleanup, collecting garbage, and working in the local animal shelters.

On average, there were 4,446 state inmates actively working in communities throughout the Commonwealth during 2018. This translates to an excess of more than 6.1 million hours of labor that was provided through Class D/C Inmate Work Program. During FY 2018, the Division of Local Facilities paid in excess of \$621,268 to prisoners participating in work programs in the county jails. The counties that utilized these prisoners in the work program potentially save in excess of \$44.4 million during FY 2018, when multiplied by the current minimum wage.

Catastrophic Medical Claims

For FY18, Local Facilities was allotted \$373,600 to reimburse local jails for catastrophic medical claims incurred by county inmates de-

clared indigent by the courts.

While the number of claims received from counties has increased, there are still very few that utilize these funds. The department continues to educate jailers, judge executives and fiscal court members about this resource, in hopes that they will begin to utilize it fully.

Inspections

Division staff conducted more than 160 routine and special jail inspections on all jail facilities across the Commonwealth. These inspections provide vital information as to the jail's physical plant condition, jail operations, prisoner program availability and compliance with the Kentucky Jail Standards. These inspections also provided the detention centers with guidance for improving overall operations.

The first inspection of each year is an announced inspection. During this inspection, every aspect of the deten-



Division of Local Facilities

tion center is reviewed and evaluated for compliance with Kentucky Jail Standards.

The inspection for a full-service facility contains 211 critical items including administrative function, information systems, personnel, physical plan, security areas, emergency procedures, sanitation, medical services, food service, classification, admission, release, prisoner programs and prisoner rights.

The second yearly inspection is an unannounced inspection. By not announcing this inspection, division staff has the ability to see how the jail operates on a standard day or night. This inspection also provides a review of any non-compliant items from the first inspection.

The division also conducts follow-up inspections, when necessary, as a result of complaints, investigations,

and documentation of corrective action.

Construction

With the implementation of HB 463 in 2012, the department developed guidelines for the local jails to follow when considering new construction and/or renovations. There were no new construction, expansion or renovation requests submitted to the Local Correctional Facility Construction Authority in 2018.

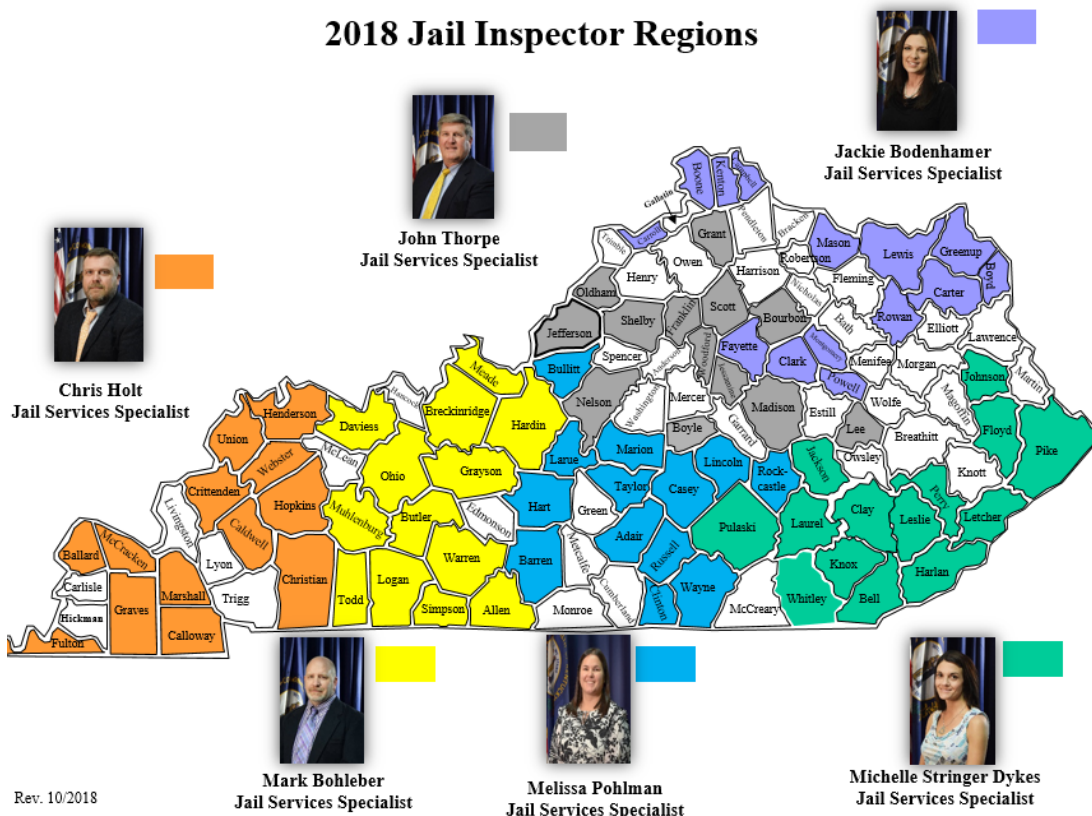
New facility construction was completed in Oldham County and Rowan County. The opening of these new facilities increased the statewide jail bed capacity by 413 beds. Construction continues on the new facilities in Knox County and Laurel County, which are scheduled to be completed in the summer of 2019.

Investigations

The division investigated over 900 written complaints during 2018. Written complaints are generally received by the Division of Local Facilities from inmates in the local detention centers and generally involve allegations that the jailer or their staff are not following the KY Jail Standards. Written complaints are assigned to the respective jail services specialist for investigation and response.

The Division of Local facilities administrative staff will also take phone complaints submitted by inmate families, friends, legislators or other state and county agencies. These complaints are also assigned to the jail inspectors for investigation and response; however, phone complaints are not included in the total number of investigations conducted by the Division of Local Facilities.

2018 Jail Inspector Regions



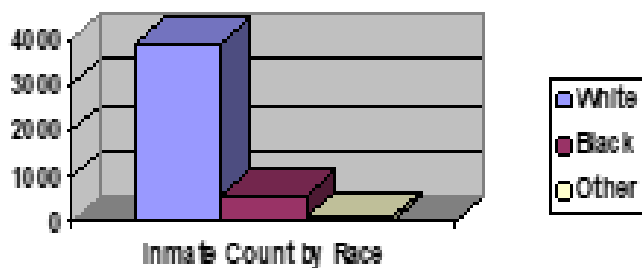
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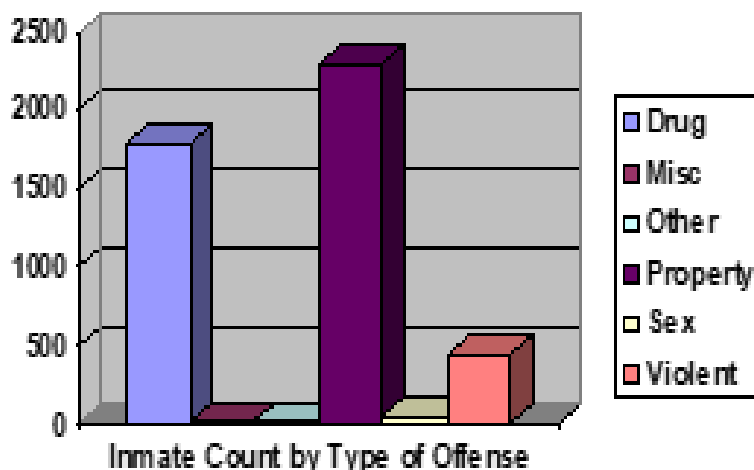
Class D Felons in County Jails

Demographic Data

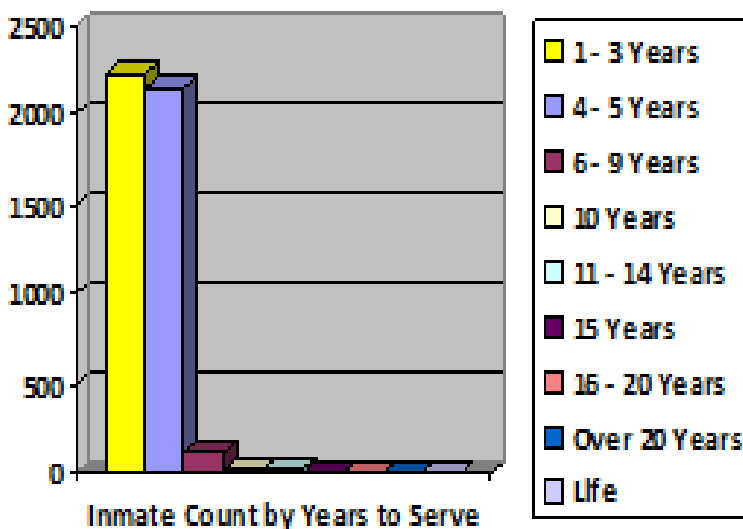
RACE	COUNT	PERCENTAGE
White	3891	85.37%
Black	568	12.46%
Other	99	2.17%
Total	4558	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	1779	39.03%
Misc.	4	0.09%
Other	5	0.11%
Property	2294	50.33%
Sex	38	0.83%
Violent	438	9.61%
Total	4558	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	2227	48.86%
4 - 5 Years	2149	47.14%
6 - 9 Years	118	2.59%
10 Years	23	0.50%
11 - 14 Years	24	0.53%
15 Years	5	0.11%
16 - 20 Years	3	0.07%
Over 20 Years	4	0.09%
Life	5	0.11%
Total	4558	100.00%



Substance Abuse Division

Director

Sarah Johnson

Executive Staff Advisor

Kort Thompson

Branch Managers:

Jay Volker

Jeannie Waldrige

Vonda Napier

Veronica Hunt

Mylea McFelea

Hillery Ohnemus

Kathy Taylor



Sarah Johnson
Director of SAP

Sarah Johnson was named director of the Division of Substance Abuse Programs in July, 2018. Most of Johnson’s career has been spent working within the criminal justice system with individuals who have substance use disorders and mental health conditions. She was one of the three original social workers hired for the Department of Public Advocacy’s (DPA) Social Work Pilot Project in 2006 and was instrumental in establishing the social work program and showing its value. In 2008, Johnson was awarded the Public Advocate Award for her work during the project. In 2011, she was the recipient of the Catalyst Award for her leadership and ability to promote

positive change. In August 2011, she was appointed to the Kentucky Parole Board and remained there until she returned to the DPA in December 2015. At that time she served as the defender services branch manager, charged with leading and overseeing the expansion of the Alternative Sentencing Worker Program.

Johnson maintains provider status with the Kentucky Board of Social Work and recently was approved as an ethics trainer. She has been invited to present on various criminal justice topics at several national trainings. Most recently, she was invited by the American Bar Association to attend the Senior Law Division Opioid Summit and was asked to stay on as a member of their opioid initiative work group.

Johnson has a master’s degree in

social work with a mental health and substance abuse concentration from the University of Kentucky and a bachelor’s degree in social work from Morehead State University. She holds a license through the Kentucky Board of Social Work as a Certified Social Worker (CSW).

Overview

The division of Substance Abuse Programs (SAP) employs 86 staff located in the community and within the prisons. The clinical staff are responsible for providing substance abuse treatment and assessments to determine appropriate level of care for all individuals under Department of Correction’s supervision that have a substance use disorder (SUD).

The Division of SAP is the largest SUD treatment provider in the state of Kentucky. Currently, the Division



Pictured above SAP branch managers (Left to right): Jay Volkert, Jeannie Waldrige, Vonda Napier, Veronica Hunt, Mylea McFelea, Hillery Ohnemus and Kathy Taylor.

Substance Abuse Division

of SAP provides 5,744 treatment opportunities across the Commonwealth. Treatment is offered to incarcerated individuals in prisons and jails. Individuals under the supervision of Probation and Parole have the opportunity to participate in treatment in the community through residential treatment options at Reentry Service Centers and Recovery KY Centers and out-patient options at Community Mental Health Centers (CMHC), who are contracted to provide 1,200 Intensive Outpatient Programming (IOP's) for those meeting the protocol for less restrictive substance abuse treatment. Substance abuse treatment programs are

available in nine prisons, 22 county jails, 14 Recovery KY Centers, 11 Reentry Service Centers, and 14 Community Mental Health Centers.

Highlights

During 2018 SAP experienced numerous changes and accomplishments. Some of the most significant included: a new director named for the SAP Division; SAP Kentucky Offender Management System (KOMS) module planning and development; program good time credits added for multiple SAP completion, Phase II completion at RKC's and six month SAMAT participation; expansion of SAMAT

services including all jail SAPs; increased collaboration between SAP, Reentry and DPA; implementation of procedural process for SB 133 women's medical release; treatment options expanded; two additional SAPs added at jails; received approval for additional positions and new mission statement and vision statement drafted.

SAP Program Type	Sum of Treatment Opportunities
CMHC (IOP) – (Community-14 Programs)	1,400
Reentry Service Centers (Community– 11 Programs)	1,131
Jail – 26 Programs (22 Jails)	1,496
Prison – 10 Programs (8 Prisons)	765
Private Prison – (1 Program)	112
Recovery KY Program (Community– 14 Programs)	840
Grand Total	5,744



OFFICE OF ADULT INSTITUTIONS

**Food Services Branch Manager**

Amanda Durrett

Deputy CommissionerRandy White**Operations Program Administrator**

Alicia Bloyd

Capital Construction Branch Manager

Guvnant Shah

Policies and Procedures Program Administrator

Ashley Short

Executive Secretary

Teresa Schell

Kentucky Correctional Industries

Aaron Smith

Internal Policy Analyst

Valerie Moore

Director of Operations

Chris Kleymeyer

Health Services Division Administrator

Cookie Crews

Education Division Director

Vacant

PREA Coordinator

C.A. Wilkerson

Private Prison Operations Director

Coleman Morrell

Programs Service Branch Manager

Debbie Kays

Emergency Management Director

Coleman Morrell

Deputy Commissioner White is in charge of all aspects of operations and administration of Kentucky's 12 state prisons and one private prison. He also oversees the department's Substance Abuse Programs Division, Operations, Health Services Division, Kentucky Correctional Industries, Private Prison Operations, and the Education Division.

White has served for the past six years as warden of the Kentucky State Penitentiary (KSP), the state's oldest and only maximum-security prison. While at KSP, he assisted in creating and implementing the Restrictive Housing Transition Program, designed to address behavioral management issues for inmates in restrictive housing. In addition, he has increased evidence-based, behavior modification programming by 60 percent at the prison. His leadership has helped show that evidence-based programming not only assists the inmate population and reentry efforts, but also improves institutional security.

A 22-year veteran of corrections, White began his career as a correctional officer at Northpoint Training Center in 1996. After a series of promotions that took him to Eastern Kentucky Correctional Complex, Kentucky Correctional Institution for Women and Luther Lockett Correctional Complex, he assumed his first wardenship at Green River Correctional Complex in 2009.

In addition to his DOC service, White served our nation as a member of the Kentucky Army National Guard. He had two stateside activations and was twice mobilized for Operation Iraqi Freedom.

Office of Adult Institutions

Overview

The Operations Division, Office of Adult Institutions, provides centralized management of the necessary daily operational functions within the twelve adult correctional institutions. The division also directly supervises the state employees and vendor staff that comprise the Capital Construction Maintenance Branch (CCMB) which provides Architectural, Engineering and maintenance/construction support to the department; the Food Service Branch and contract monitoring staff; the Programs Services Branch and providers and instructors which support the department daily.

Statewide PREA Coordinator

The Prison Rape Elimination Act (PREA) Program consists of the department's PREA coordinator, one program administrator and two probation and parole officer IV's who serve as PREA compliance and investigations administrators. The responsibilities of the program are to assist all KDOC adult institutions, Reentry Service Centers and Recovery Kentucky Centers to develop and implement policy and practice as each facility works toward compliance with the National PREA standards as set forth by the United States Department of Justice.

The Prison Rape Elimination Act was signed into law on September 4, 2003 to address the detection, prevention, reduction and prosecution of sexual harassment and abuse in all correctional facilities in the country.

Since the creation of the Department's PREA coordinator position in



Chris Kleymeyer
Director of Operations



C.A. Wilkerson
PREA Coordinator

November of 2012, we have accomplished several tasks as we move toward compliance of the PREA Standards. Some examples include: annual PREA training for all staff, contractors and volunteers. Revisions have been made to multiple policies to better guide our staff and offenders regarding incidents of sexual abuse and sexual harassment. A PREA compliance manager is established at each institution. An internal and external PREA hotline ensures multiple avenues to report incidents of sexual abuse and sexual harassment. The Department has entered into a Memorandum of Understanding with the Kentucky Association of Sexual Assault Programs (KASAP) to provide offenders with necessary victim support services. The department continually trains PREA investigators across the state to include PREA investigators within the state's county jail facilities, Reentry Service Centers and Kentucky Recovery Centers as well as all adult institutional facilities.

During 2018, PREA audits were conducted at four adult institutions. The institutions audited were East-

ern Kentucky Correctional Complex, Little Sandy Correctional Complex, Northpoint Training Center and Blackburn Correctional Complex.

In 2018, the implementation of tracking of transgender inmates and accommodations were added. Implementation of Therapeutic Level of Care (TLOC) review of transgender inmate cases, which provides an independent, objective review of inmates' diagnoses of gender dysphoria and subsequent accommodations (hormone therapy, property accommodations, etc). Training was also conducted for staff (institutional and P&P) on transgender issues and creating a culture of respect for transgender inmates.

To further reduce audit expenses, the department chose to end its personal service contract with The Geo Group and modify its current contract with the American Correctional Association to utilize their PREA auditors.

The Kentucky Department of Corrections' continuing goal through this compliance process is to prevent, detect, report and investigate in order to mandate zero tolerance toward all forms of sexual abuse and

Office of Adult Institutions

sexual harassment of offenders within the department's adult institutions, Reentry Service Centers and Kentucky Recovery Centers throughout the Commonwealth.

Capital Construction

The Capital Construction Management Branch (CCMB) manages construction and maintenance activity of 627 DOC buildings, with a total occupied area of 4.4 million square feet (building ages range from 10 years to 125 years) and oversees funds allotted for capital construction and equipment purchases appropriated by the General Assembly for the Department of Correction's twelve penal institutions. CCMB strives to provide energy efficient buildings and energy efficient equipment and appliances.

The staff consists of six employees, including a registered engineer, a registered architect, three project managers and an administrative assistant. CCMB staff has a total of 167 years of experience in the areas of construction, engineering and architecture and possess licenses in LEED, HVAC, Asbestos sampling and Lead Based Sampling. In addition, this branch is also responsible for designing small construction projects and provides assistance on OSHA & environmental matters; operational guidance on water and sewage plants; assists on lead and asbestos matters; troubleshoots engineering and maintenance problems and prepares EPA permits for boilers and emergency generators. Each member of this staff plays a vital role in each project all the way from inception through completion. CCMB also es-

tablishes and coordinates seminars on various construction and engineering practices for all DOC maintenance staff. CCMB thrives on team work, efficiency, accountability, respect, professionalism and total transparency.

CCMB staff also performs environmental audits for all 12 institutions that must comply with American Correctional Association standards.

The CCMB began calendar year 2018 with 63 projects with allotments totaling \$109,711,468.58 and ended calendar year 2018 with 59 projects with allotments totaling \$16,530,245.79. These totals represent projects carried forward from the previous biennium that are currently still active.

The Legislature appropriated \$13,987,000.00 for calendar year 2018. This amount included \$3,116,000.00 to replace perimeter fence at KSR, \$7,871,000.00 to demolish and repair tower at KSR and \$3,000,000.00 for the miscellaneous maintenance pool. In calendar year 2018, CCMB opened 16 new projects and closed 12.

Currently Energy Company-ESG is working with all 12 institutions to replace 2,430 exterior light fixtures with LED lights to improve security and enhance light levels. RCC, LLCC and KSR will have all interior lights replaced with 11,583 new LED lights. New water mains will be installed for KSR, LLCC & RCC to cut down water losses. LLCC will be converted from all electric heating system to gas heat. New energy efficient HVAC system will



*Gunvant Shah
Capital Construction Branch Manager*

be installed for all 5 dorms at LLCC. Utility savings of \$1,126,753 and Operational savings of \$145,081 is expected from above energy efficient improvements.

The energy projects for all twelve DOC facilities have been completed with a result in energy savings of \$2,940,143.00. These savings come from energy improvements in lighting, plumbing and HVAC improvements.

CCMB also prepares, coordinates and submits the six-year capital plan and the biennial capital budget request for the Department. These requests are facilitated through the effective communication and coordinated efforts with the Finance and Administration Cabinet, Facilities Management and the Governor's Office for Policy and Management.

Cost cutting, increasing work efficiency, total transparency and work ethics have been the primary goal of CCMB. Using this method has saved an estimated \$675,500.00 by in-house projects design, using inmate labor and project management control. Thus, CCMB has been able to effectively stretch the miscellaneous

Office of Adult Institutions

maintenance budget, enabling the completion of many needed projects that would not have been possible utilizing conventional contract methods.

Food Service Branch

The goal of the Food Service Branch is to provide each inmate a wholesome and nutritious diet within a set budgetary allowance. Meals are prepared and served under strict sanitary conditions according to regulations set by the Kentucky Department for Health Services, as well as, the American Corrections Association standards including food service and sanitation standards.

The branch operates with a branch manager who is a registered dietitian and is licensed in the state of Kentucky along with a corrections program administrator and a district food operations evaluator. Currently the corrections program administrator and district food operations evaluator are cross-trained to inspect all adult institutions as well as Department of Juvenile Justice (DJJ) facilities across the state. Each position is responsible for an assigned east or west region.

The Food Services Branch also provides assistance to the Department of Juvenile Justice by having the registered licensed dietitian produce and approve menus for their 23 facilities across the state; including Residential Treatment Centers, Detention Centers and Group Homes. The Department's Food Services Branch also provides annual training and any needed assistance throughout the year.

The Department of Corrections maintains its partnership with Aramark Correctional Services (ACS) who operates the 12 state-run institutional food service areas. ACS provides expertise in food service operations, as well as, volume buying power which combine to create efficient and quality food service operations. The DOC oversees the operations to ensure all regulations are met and policies are followed.

Each institution follows a "Master Menu" planned by dietitians in accordance with recommended daily allowances as recognized by the National Academy of Science and approved by the department branch manager. The menu follows a 28-day cycle with a Spring/Summer cycle and a Fall/Winter cycle. The menu provides an average of 2800 calories per day. Annual resident inmate surveys are conducted and the results of this survey, along with input from institutional personnel, are taken into consideration when revising the master menu and improving daily food service operations. Along with the menu surveys, ACS conducts waste management programs in each facility which aids not only in menu development but product specifications as well. Menu are revised mid-year and allow less favorable items to be removed and more popular items to be added.

Food service also provides one meal per shift to staff while on duty. The department feels while this benefits staff morale; it also provides additional security in the din-



*Amanda Durrett
Food Service Branch Manager*

ing rooms during the meal period.

During 2018, the Food Services Branch conducted 52 quarterly inspections to all adult institutions as well as 26 bi-annual reviews. The inspections for all adult facilities were completed by December 7, 2018.

The Department of Food Services staff provide inspections to the Department of Juvenile Justice. During 2018, the food services staff completed 37 inspections at various youth detention facilities, development centers and group homes. Our department also coordinated the Department of Juvenile Justice yearly food service training that was held in May at General Butler State Park. It was recently determined that the Department of Juvenile Justice will be changing direction and utilizing the "School Lunch Program" and our Department will not be providing training in 2019.

In 2018, 12,676,317 inmate meals were served with an additional 148,575 kosher meals and 200,145 staff meals at a cost of \$13,735,330.08. The corrections program administrator works closely

Office of Adult Institutions

with ACS to ensure accurate billing for each facility. Monthly invoices are audited based on monthly reports provided by each facility.

The Department worked with our food service vendor Aramark to implement a habitual support agreement with a contract Rabbi to ensure that our food service operations meet all tenants of the Jewish Kosher practice. This contract Rabbi is being paid for through the Aramark contract.

In early 2018, Aramark staff and food services staff along with the director of operations traveled to two Indiana prisons to review how they provide food service support to the Kosher portion of their population. This new menu is projected to realize a savings 25% less than the other product that was being utilized. This new product provided by Global Food Industries (through Aramark) was implemented in October 2018 after the koshering of the three DOC facilities that house kosher kitchens, by the contracted Rabbi.

During 2018, the Food Services Branch continued to assist the kitchens in the 70 Kentucky County Jails/Facilities by reviewing and approving menus as needed. This was facilitated through the Department of Local Facilities through the various Jail Inspectors.

During 2018, Lee Adjustment Center opened their previous closed facility. Under the new contract with Core Civic, Aramark Food Services provides inmate meals as in all other institutions. Gradually the population grew to over 800 inmates. The Food



Debbie Kays
Program Services Branch Manager



Alicia Boyd
Operations Program Administrator

Services Branch works closely with Core Civic administration as well as Aramark staff to ensure that the facility is serving the same menu according to all other adult institutions. Quarterly inspections and Bi-Annual reviews are performed as needed.

The observance of the 2018 religious menus were followed as directed. The Food Services Branch will be working with the director of operations and the program administrator for religious services and will be finalizing the religious observance dates for the upcoming year.

Aramark Food Services purchased kitchen equipment as needed for the 13 adult facilities in 2018 at the cost of \$329,672.35. This was an increase in equipment purchased for 2017 totaling \$283,737.44.

Programs Services Branch

The programs services branch manager operates under the direct supervision of the director of operations. The program services branch manager is responsible for supervising a program administrator responsible for policy and procedures, a pro-

gram administrator responsible for Religious Services and Security Threat Groups and an Internal Policy Analyst responsible for tracking incident reports and tracking trends for adult institutions.

This branch oversees evidence-based inmate programming within the twelve adult correctional institutions. These programs are operated by both Department of Corrections (DOC) staff and contract facilitators. The oversight includes providing and reviewing quality assurance measures, ensuring contract compliance and coordinating facilitator training programs.

Included in the scope of responsibility are the following staple programs: Moral Recognition Therapy (MRT), Thinking for a Change, additional components of MRT to include an Evidence Based parenting program for female offenders which are cognitive, behavioral oriented programs, along with Inside Out Dads, a parenting program for male offenders. An Evidence Based program to address the specific needs of our segregation population has been developed and implemented. MRT, Thinking for a Change and Inside Out Dads (24/7

Office of Adult Institutions

Dads) are in the process of being implemented for use in the continuum of care from the institutions to the community to include Probation and Parole. These efforts are also being monitored by this branch.

Religious Services

Additionally, the programs branch program administrator provides oversight of religious programming throughout the state’s adult institutions by monitoring compliance with DOC policies and the Departmental Religion Reference Manual. Responsibilities includes working closely with the food service branch manager regarding religious diets and meals, an annual review and update of the Religion Reference Manual and CPP, and conducting annual training with the institutional Chaplain’s.

Security Threat Group Administrator

The Security Threat Group (STG) administrator provides oversight of the departments security threat coordinators which encompasses attending training with outside agencies (KY Homeland Security, FBI, Kentucky State Police, etc.) and disseminating of information thru annual and quarterly trainings to the STG coordinators.

Adult Institutions Data Trends

The internal policy analyst reviews all incident reports submitted from adult institutions, prepares written reports including charts and graphs detailing the current and past trends. The analyst also assists in identify-



Ashley Short
Policies & Procedures
Program Administrator



Valerie Moore
Internal Policy Analyst

ing possible problematic areas.

Policies and Procedures

Adult institutions staff oversee the Department of Corrections’ policies and procedures. Staff facilitate the revisions, legal review, and LRC approval process. Additionally staff facilitates the same process for all 12 institutional policies and procedures while following ACA guidelines.

The procedures development coordinator is responsible for the development, revisions and procedural review of all DOC Policies and Procedures (CPP’s). A review of all Institutional Policies and Procedures (IPP’s) is conducted annually and feedback is given as needed. This office also provides procedural process to Legal Services and the Legislative Research Commission.

In 2018, seven new Corrections Policies and Procedures (CPP’s) were created and three are currently pending, and 151 policies were revised.

There were also 46 CPP’s (LRC, Non LRC and Secured) that were approved, became effective and were placed on the DOC Website in 2018.

Regulations that were effective in 2018 include:

- 501 KAR 6:020-Corrections Policies and Procedures
- 501 KAR 6:999-Corrections Secured Policies and Procedures
- 501 KAR 6:280-Risk and Needs Assessment

Private Prison Operations Division & Emergency Management

Director
Coleman Morrell



Coleman Morrell
Director of Private Prison Operations & Emergency Management

Coleman began his career in the Kentucky Department of Corrections in 2008 as an officer. He promoted to sergeant, and later institutional training coordinator before being assigned as the program administrator for Adult Institutions in Central Office. He served in this role until 2014 when he was promoted to assistant director of Kentucky Correctional Industries. In October of 2016 he re-assigned to the position of special assistant. In this capacity, he has responsibilities for Private Prison Operations and Departmental Emergency Management.

Private Prison Operations

Established in the fall of 2017 the Private Prison Operations Division oversaw the initial hiring, training and startup of the Lee Adjustment Center in Beattyville, Kentucky an 816-bed medium security facility owned and operated by CoreCivic.

The division worked with other directors to coordinate the transport and intake of offenders from March until June 2018. Since the facility opened, the Private Prison Operations Division has partnered with

CoreCivic to provide ongoing training to Lee Adjustment Center staff to provide the same levels of service found in Commonwealth operated prisons.

The Lee Adjustment Center maintains comparable programming, education and reentry opportunities to other medium security prisons in the Commonwealth and additionally features three evidence-based opportunities for offenders only available at the prison. FuelEducation provides cutting-edge training in technology allowing offenders to eventually obtain industry standard certifications.

The Threshold Program is a reentry program designed to grow the inmate's personal faith by addressing major life issues from the point of view of their own religious views. At its core, the Go Further initiative is intended to inspire a collaborative approach between the offender and staff where reentry planning begins early on in the offender's arrival at a CoreCivic facility and continues throughout his stay.

Emergency Management

The Division of Emergency Management was established in 2018 to expand upon the mitigation, preparedness, response and recovery capabilities of all correctional facilities and the division of probation and parole. Additionally, the division directs the use of force review process for adult institutions, manages allowable force related equipment and munitions, and administers the department's cell entry and extraction related initiatives. Division staff are responsible for the

distribution of emergency management related materials via communication and partnership with Institutional Emergency Management Teams (IEMTs).

Emergency Management staff conducted unannounced critical incident table top exercises (TTX) at all 13 adult institutions in 2018, assessing and assisting with preparedness related materials and discussions. Additionally, the division established IEMTs to facilitate internal and outward facing emergency risk assessment and comprehensive plan reviews. Staff conducted unannounced audits of cell entry training classes at all regional training centers. The division also coordinated the peer-led review process for over 1000 cell entries statewide in 2018. Most notably, they partnered with the Safety Division to create a comprehensive fentanyl response protocol and personal protective equipment program. The Kentucky Department of Corrections Fentanyl Response Program is the first of its kind on a national level. This program has already been adopted by CoreCivic, a private corrections company operating in 19 states.

To address the growing threat posed by drones to correctional facilities, Emergency Management has implemented an airspace study, installing drone detection solutions at five facilities across the Commonwealth. The results are analyzed on a monthly basis and provide departmental leadership with an accurate picture of airspace activity. The division will continue to expand in 2019, adding additional positions and responsibilities.

Educational and Vocational Programs

Director

Vacant

Assistant Director

Martha Slemp



*Martha Slemp
Assistant Director*

Overview

The Corrections Education Division complies with numerous state and federal education requirements as well as partners with several agencies to bring Kentucky's offender population diverse educational programming. When fully staffed, the Education Division has a workforce of 100, with two primary areas of programming within the Education Division: Adult Education and Vocational/Technical Education.

The first area is Adult Education, which includes three levels: literacy; adult basic education; and adult secondary education or high school equivalency (GED®). DOC completed the 2018 calendar year with 376 (361 KY DOC Adult Institutions and 15 parolees) offenders earning their high school equivalency (GED®) credentials. The Corrections Education Division remains committed to computer-based GED® testing; as well as, GED Ready™

testing. Twelve DOC Education Centers and one Private Prison Education Center are certified by Pearson VUE as official Pearson VUE GED® Testing Sites.

A second area of programming incorporated within the Education Division includes the following Vocational/Technical trade programs: Automotive Body/Collision Repair; Automotive Technology; Carpentry/Cabinet-Making; Computer Management; Introduction to Computer Science; VT Fundamentals; Electrical Technology; Horticulture & Landscaping; Heating & Air Conditioning (HVAC); Masonry; MOS/IC3 (Microsoft Office Specialist/Internet Core Competency Certification); Small Engine Repair; and Welding. These 13 trade areas equate to 32 offerings throughout the 12 adult institutions and one private prison. To ensure that vocational students are meeting business/industry needs and to keep a strong focus on safety, obtainment of the OSHA 10 Hour General Industry and the OSHA 10 Hour Construction cards are required as part of the existing VT Fundamentals curriculum. A total of 619 OSHA Cards were issued to offenders in 2018.

A third area of programming is an outreach between the Education Division and Bluegrass Career Development Center (BCDC). Three programs are offered at BCDC, which includes the following: Commercial Driver's Licensing Certification, Culinary Arts, and Recycling Materials. In 2018, offenders were awarded 22 program completions:

Two in Commercial Driver's Licensing Certification, three in Culinary Arts, and 17 in Recycling Materials.

The National Center for Construction Education and Research (NCCER) nationally recognized curricula are used in Carpentry/Cabinet-Making, Electrical Technology, HVAC, Masonry, Welding, and many components within the VT Fundamentals program. In 2018, offenders were awarded 365 NCCER program completions: 39 in Carpentry/Cabinet-Making, 17 in Electrical Technology, 23 in Masonry, and 286 in VT Fundamentals. The programs of HVAC and Welding were in transition due to staff retention and recruitment.

Another national-level certification program, the National Occupational Certification Training Institute (NOCTI), provides the exit examination for non-construction trades including Auto Body/Collision Repair, Automotive Technology, Computer Management, Horticulture, Introduction to Computer Science, and Small Engine Repair. At the close of 2018, the Education Division had 90 students pass the exit examination with most exceeding the national score average in their trade. Among these five vocational trades, completions included the following: Three in Auto Body/Collision Repair, six in Automotive Technology, 49 in Horticulture, 15 in Introduction to Computer Science, and 17 in Small Engine Technology. Computer Management is a newly created program offered by Lee Adjustment Center, a contracted private prison. Completions for Computer Management program are scheduled for 2019.

Educational and Vocational Programs

Both the Federal and State Departments of Education strive for students to be college and career ready upon completion of high school; and in the world of Corrections, re-entry into society. The National Career Readiness Certificate (NCRC) is an industry-recognized, portable, evidence-based credential that certifies essential skills needed for workplace success. Aligned with ACT®, the NCRC is emphasized as a career readiness tool that offenders participating in corrections education programs are required to pass as a component of VT Fundamentals. In calendar year 2018, 80 Platinum, 123 Gold, and 214 Silver – for a total of 417 NCRC certificates awarded. To further an incarcerated student’s academic career, Postsecondary Education is offered both on-site and via correspondence courses. Ten degrees during calendar year 2018 were obtained: four Associates of Arts, one Associate in Applied Science, and

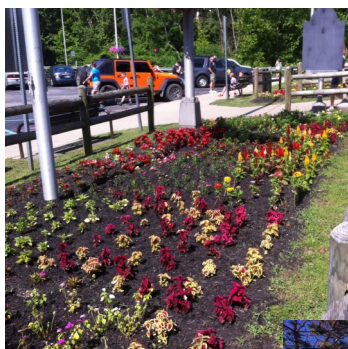
five Associates of Science. To ensure the incarcerated students have adequate technology-related skills for today’s workforce, the Microsoft Office Specialist (MOS) program has been a staple of the correctional education experience. Consisting of IC3, Microsoft Office Word, Excel, PowerPoint, and Outlook, 30 students completed the Microsoft Office Specialist throughout 2018.

The Corrections Education Division is also proud of advancements made in 2018, which include the following notable achievements: upgraded from “branch” to “division”; expansion of the corrections education division central office team; conducted annual monitoring at adult institutions; continued efforts with Justice to Journeyman Apprenticeship programs and Exceptional Education.

To summarize, the Corrections Edu-

cation Division continues to be a successful leader in Adult Education and Vocational/Technical programs throughout the state of Kentucky. Perhaps the best way to see this leadership is with the following savings to the Commonwealth taxpayer. In calendar year 2018, the 376 KY-DOC awarded GEDs® resulted in a savings of \$1,150,560, while the 507 Vocational/Technical program completions resulted in a savings of \$1,551,420. There were ten awarded college degrees that resulted in a savings of \$30,600. Additionally, there were 500 GEDs obtained in county jails which resulted in a savings of \$1,530,000 due to the educational good time awarded. In total, the Corrections Education Division, through quality and comprehensive educational instruction, produced a total savings to the Commonwealth of \$4,262,580 – or the equivalent of 125,370 days of incarceration.

The following are photos from the Educational/Vocational Programs within the institutions across the state:



Kentucky Correctional Industries

Director

Aaron Smith

Operations Branch Manager

Joseph Woods

Fiscal Branch Manager

Roger Korby

Business Development

Hershel Adkins

Farms Branch Manager

Truman Tipton



Aaron Smith

Director of Kentucky Correctional Industries

In June Aaron Smith was named director of the department's Correctional Industries Division. Smith is a 23-year veteran of DOC and he served as warden of the Kentucky State Reformatory (KSR) for four years before his most recent appointment.

Prior to coming to DOC, Smith worked for five years with the Cabinet for Human Resources (currently the Cabinet for Health and Family Services), in the Child Protective Services Department.

Smith began his career in corrections in 1993 as a probation and parole officer in Jefferson County. He transferred to KSR as a classification and

treatment officer and quickly rose through the ranks. He has held the positions of institutional parole officer at two prisons, unit administrator, deputy warden at Roederer Correctional Complex and KSR, program administrator in the Contract Management Branch, and branch manager for Adult Institutions

A native and lifelong resident of Oldham County, Smith is a graduate of the University of Louisville where he attended on an athletic scholarship.

Overview

Kentucky Correctional Industries (KCI) comprises 15 industries in eight facilities within Kentucky's prison system. There are also four farm operations. It has been producing quality goods and services for government agencies, private businesses and the citizens of the Commonwealth for over 50 years.

KCI currently employs approximately 581 inmate workers, including up to 100 inmate workers on the farms as seasonal needs dictate. Our products and services are designed and manufactured by skilled inmate craftsman. Motivated men and women are voluntarily employed in jobs that develop marketable skills, responsibility and a positive work ethic.

The job opportunities provided through Correctional Industries are highly sought after by our inmate population due to their relatively high pay and the career learning opportunities afforded.

KCI strives to provide a work envi-

ronment that matches, as closely as possible, what would be available in the community. There is an interview process, pay increases based upon performance evaluations, a time clock to punch in and out, and opportunities to work in a team environment.

KCI products and services are of high quality and competitively priced. Consequently, our prisons are most effectively managed, society is delivered significantly less repeat offenders, and the tax dollars needed from the citizens of the Commonwealth are greatly reduced.

Fiscal

KCI continually reviews and implements changes to operating processes to improve its overall financial position. These changes are to reduce operating costs as well as improved cash flow and customer service.

KCI continues to operate the service program with Old World Timber. In this program, KCI receives barn lumber that has been recovered from old structures as they are being taken down. As KCI receives the old lumber it is redeemed, reclaimed and repurposed to make the wood appear new again for other uses. Much of this wood is made into hardwood flooring, wallboard panel and rustic furniture and then distributed back to Old World Timber or the general public. This process generated thirty new inmate jobs. The partnership with Old World Timber is unique in creating jobs within the prison, teaching work skills that will eventually help the workers to be placed in jobs with Old World Timber's facto-

Kentucky Correctional Industries

ry and gain employment, becoming productive citizens upon their release.

Shipping / Warehouse

The KCI moving crew operation's transfer from the Blackburn Correctional Complex (BCC) to the central warehouse has assisted in optimizing logistics functions throughout our system. Overall, logistics have become more professional and more organized than in the past. Delivery rates were updated to more accurately account for distance/ time which has helped KCI offset losses in this area.

Farms

The farm operations at BCC, Northpoint Training Center (NTC), Roederer Correctional Complex (RCC) and Western Correctional Complex (WKCC) sold approximately \$1,000,000 in cattle during the precious fiscal year.

The farm operation at WKCC sold approximately \$500,000 in corn and soybeans.

Operations

With the improvement in operations and cost control, KCI was able to replace outdated and obsolete equipment, i.e. panel saws, paint guns, and sanders. KCI was also able to update computer and graphic arts equipment at the print shops. KCI recently acquired and updated the silk screen operation to offer clothing items for sports teams to complement our embroidery operations. A new plasma table was purchased and put into production at the Kentucky State Reformatory (KSR), which has stream-



*Joseph Woods
Operations Branch Manager*

lined many of the processes involved in typical metal fabrication while also greatly expanding the capability to produce custom metal products, many with minute detail.

Sales / Marketing

The sales department has expanded the amount of sales being made to the private sector. This includes sales to universities, police departments, local governments and churches. The increase in sales to these sectors has offset the lost sales to state agencies due to budget reductions and the shifting of business to private sector entities. An additional sales representative positions was recently created and filled and sales territories were redrawn in an effort to provide more sales coverage throughout the state. KCI continues to actively promote more partnerships for sales opportunities with state government entities pursuant to KRS 197.210. The KCI website was updated with enhanced functionality added a cart feature which is currently in production.

Prison Industry Enhancement Certification Program (PIECP)

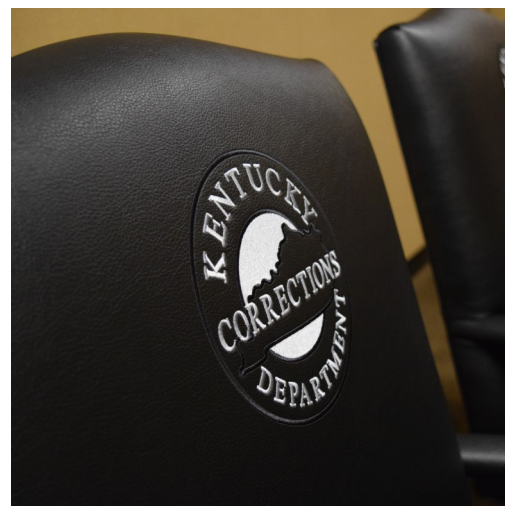
KCI applied for, received certification to participate in the PIECP, and



*Roger Korby
Fiscal Branch Manager*

has begun searching for potential private sector partners in this venture. Additionally, policies and procedures relative to this program were promulgated and are pending LRC approval. KCI has enlisted the assistance of the department of workforce and economic development, along with many statewide chambers of commerce and local business development entities in promoting the program throughout the state.

KCI Photo of DOC embroidered chairs.



Health Services Division

Health Services Administrator
Cookie Crews

Clinical Director

Denise A. Burkett, APRN

Program Administrator

Dr. A.J. Steele

Assistant Wellness Director

Ruth Staples



Cookie Crews
Health Services Administrator

After serving as warden at four prisons in Kentucky, Cookie Crews has been promoted to the position of health services administrator with the Department of Corrections in 2012.

Crews began her corrections career in January 1984 as a correctional officer at the Kentucky Correctional Institution for Women (KCIW) and in September of the following year was promoted to sergeant. She was then promoted to the position of classification and treatment officer at KSR in 1987 and served in that same position at the Roederer Correctional Complex the next year.

Crews was then promoted to correctional unit administrator I at KSR and in 1998 was promoted again to unit administrator II at the Luther Lockett Correctional Complex

(LLCC). In 2002 she was promoted to deputy warden at LLCC and her service career includes a seven-month stint as acting warden of the prison. In September 2004, she was promoted to warden of the Frankfort Career Development Center and held that position until being named warden at KCIW in 2006. She served in that position until being named warden at KSR in 2009.

Crews is a graduate of Eastern Kentucky University where she received her bachelor's degree in corrections and public relations.

Health Services Division

The Health Services Division provides administrative oversight and direction for all aspects of inmate health care, including medical, psychiatric, psychology, dental and nursing services, Pharmacy operations, sex-offender treatment program, and post-hospitalization/end-of-life care.

In addition to ensuring that all inmates receive health screenings, physical exams, primary care, specialty care referrals and emergency services, the division also provides chronic care clinics designed to monitor and treat inmates with the following conditions: asthma, dia-

betes, epilepsy, hepatitis C, high cholesterol, high blood pressure and HIV infection.

General Services

Wellpath, a Tennessee-based company, provides healthcare for the Kentucky Department of Corrections. Their services includes on-site/off-site medical care, provided by psychologists, psychiatric providers, physicians, nurse practitioners, nurses as well as support service staff.

Mental Health staff served hundreds of inmates in mental health programs. They processed several thousand mental health appraisals/screeners/brief follow up appointments on male and female intakes within their first two weeks of admission. The contact that is initiated through our assessment centers is devised to identify those inmates needing specialty intensive mental health services, sex offender treatment services, and/or substance abuse treatment services. Inmates may be referred to these intensive services or may be followed by mental health staff through General Services.

Phoenix, the co-occurring unit housed on KSR grounds, provides



Health Services Building

Health Services Division

evidence-based substance abuse programming and mental health treatment to the male offender population. This is a 33-bed unit housing male offenders with both substance abuse and mental health issues that are simultaneously treated for a minimum of six months.

General Services Mental Health staffing provides coverage in all adult institutions across the state. The general services provided by mental health staff include the completion of other psychological evaluation referrals, 30/90 day reviews in SMU, individual contacts/consultations on inmates, crisis intervention, watch initiations, and watch reviews. Cognitive behavioral evidence-based groups are offered to the general population by mental health staff.

Women's Mental Health

Seriously mentally ill services for female offenders are provided in the Challenges program at the Lonnie Watson Center, a 42-bed unit at KCIW. A licensed psychology provider assigned to this unit provides stabilization and gender-specific treatment services focusing on independent living skills, mental illness recovery and transitional living services.

There is a co-occurring unit, WILLOW, located at KCIW that provides substance abuse programming and mental health treatment to female offenders.

Mental health staff at KCIW conducted training on mental health issues for the staff assigned to the mental health unit at this institution.



Ruth Staples
Assistant Wellness Director



Denise A. Burkett, APRN
Clinical Director

Correctional Psychiatric Treatment Unit (CPTU)

Seriously mentally ill services for male offenders are provided at the Correctional Psychiatric Treatment Unit (CPTU). This 125-bed unit is located at KSR. The A-wing of CPTU serves inmates with persistent and severe mental illness who have concurrent cognitive-related disorders and/or severe deficits in activities of daily living. The B-wing program (THRIVE) serves inmates with persistent and severe mental illness and normative intellectual abilities. The C-wing is for psychologically and /or behaviorally disordered offenders. It also houses those inmates with acute stabilization needs. This wing is reserved for the most severely disturbed psychiatric/ behavioral disordered offenders, and/or those inmates with severe mental illness who are serving disciplinary segregation time. Contact with offenders in this program is daily.

Sex Offender Treatment

The Sex Offender Treatment Program (SOTP) provides treatment to approximately 850 offenders at any

given time.

The SOTP continues to utilize evidence-based practices as well as track and record recidivism data, which demonstrates significant reductions in recidivism rates for treated sexual offenders when compared with untreated sexual offenders.

Wellness

Staff wellness has added two program administrators to help all correctional staff deal with the mental and physical aspects of their daily work routine. Staff wellness is any workplace health promotion activity designed to support healthy behavior in the workplace and to improve health outcomes. The wellness team created a 4-hour block of training on Emotional Survival, which was presented to all institutional trainers and is being incorporated into Pre-Service Academy as well as staff In-Service training.

The Wellness team is also tasked with handling issues of workplace violence. Workplace violence is any act or threat of physical violence, harassment, intimidation, or other threatening disruptive behavior that occurs at the work site.

Health Services Division

Highlights

- The Critical Incident Stress Debriefing Team provided services to staff following 18 critical incidents and 140 work place violence incidents in 2018.
- Sex Offender Risk Assessment processed 447 court orders.
- Therapeutic Level of Care Committee heard 168 cases.
- Processed 649 Medical Grievances
- We had 128 early parole granted and released, preceded by 75 in 2017.
- Processed 1,020 Medicaid applications.
- Eastern Region Community Sex

Offender Treatment Program (SOTP) has had 60 clients successfully complete the program thus reducing the risk to re-offend.

- The sex-offender treatment team have 65 active SOTP inmates transferred from KSR to LLCC. The two staff working with this group-transferred also. This provided continuity of care and these inmates can continue treatment without any interruptions.
- LLCC SOTP program grew from 130 active inmates to 240.
- 69 inmates completed SOTP at KSR, 3 at KCIW, 91 at LLCC, and 15 at NTC.
- All wardens and PREA Compli-

ance employees have been trained on the management of transgender and gender dysphoria offenders.

- Wellness Clinics were set up in all institutions, P&P, and Central Office to receive hepatitis A/B and flu shots. We also offered hepatitis A to all in the A/C/ Departments KCIW and RCC. We collaborated with Walmart to accomplish this task.
- Developed policies and protocols for Audiology, reducing off-site trips by approximately 500 annually.
- Increased our on-site clinics.

The chart below depicts the major health services provided on site by the Health Services Division over the past three years.

2016		2017		2018	
Chemo	514	Chemo	834	Chemo	1515
Dialysis	2748	Dialysis	2772	Dialysis	3396
Urology	27	Urology	128	Urology	125
Cardiology	51	Cardiology	184	Cardiology	235
Sleep Study	45	Sleep Study	82	Sleep Study	84
OB	0	OB	249	OB	282
Audiology	0	Audiology	0	Audiology	140



Institutions

BELL COUNTY FORESTRY CAMP

560 Correctional Drive
Pineville, KY 40977
Warden: Brandy Harm
Secretary: Betsy Patterson
Phone: 606-337-7065
Fax #: 606-337-1312

BLACKBURN CORRECTIONAL COMPLEX

3111 Spurr Road
Lexington, KY 40511
Warden: Tiffany Ratliff
Secretary:
Phone: 859-246-2366
Fax #: 859-246-2376

EASTERN KY CORRECTIONAL COMPLEX

200 Road to Justice
West Liberty, KY 41472
Warden: James David Green
Secretary: Melissa Howard
Phone: 606-743-2800
Fax #: 606-743-2811

GREEN RIVER

CORRECTIONAL COMPLEX

1200 River Road, P.O. Box 9300
Central City, KY 42330
Warden: Kevin Mazza
Secretary: Amy Douglas
Phone: 270-754-5415
Fax #: 270-754-2732

KENTUCKY CORRECTIONAL INSTITUTION FOR WOMEN

3000 Ash Avenue
Pewee Valley, KY 40056
Warden: Janet Conover
Secretary: Donna Daily
Phone: 502-241-8454
Fax #: 502-243-0079

KENTUCKY STATE PENITENTIARY

266 Water Street
Eddyville, KY 42038
Warden: DeEdra Hart
Secretary: Tracy Jordan
Phone: 270-388-2211
Fax #: 270-388-5529

KENTUCKY STATE REFORMATORY

3001 West Highway 146
LaGrange, KY 40032
Warden: Anna Valentine
Secretary: Teresa St. Clair
Phone: 502-222-9441
Fax #: 502-222-0240

LITTLE SANDY CORRECTIONAL COMPLEX

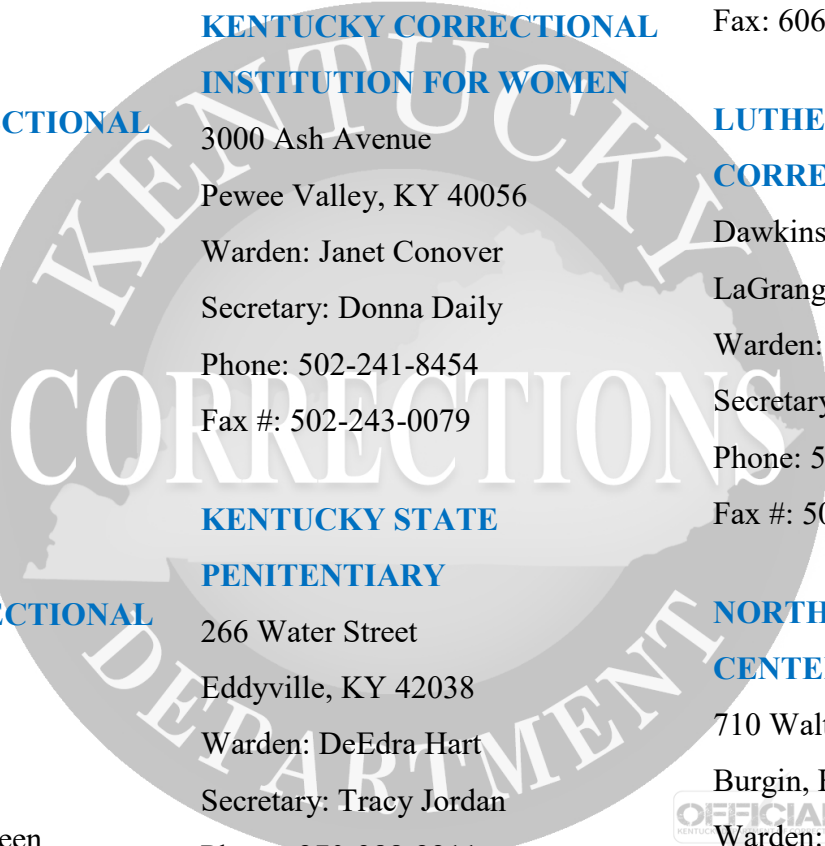
505 Prison Connector
Sandy Hook, KY 41171
Warden: Keith Helton
Secretary: Deborah Williamson
Phone: 606-738-6133
Fax: 606-738-6143

LUTHER LUCKETT CORRECTIONAL COMPLEX

Dawkins Road, Box 6
LaGrange, KY 40031
Warden: Scott Jordan
Secretary: Jennifer Fitzgerald
Phone: 502-222-0363/222-0365
Fax #: 502-222-8112

NORTHPOINT TRAINING CENTER

710 Walter Reed Road
Burgin, KY 40310
Warden: Brad Adams
Secretary: Ashley S. Kirk
Phone: 859-239-7012
Fax #: 859-239-7560



Institutions

ROEDERER CORRECTIONAL COMPLEX

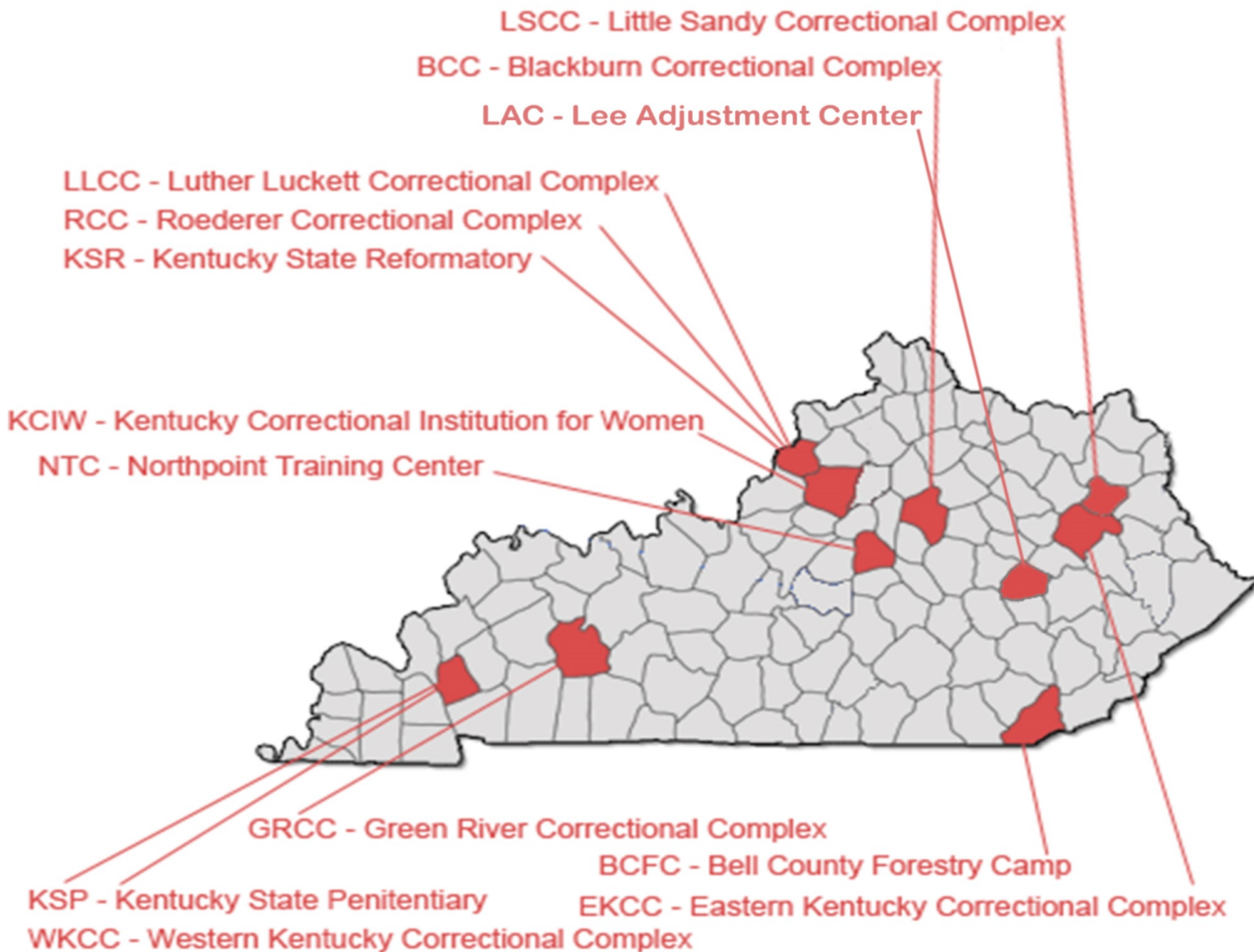
P.O. Box 69
LaGrange, KY 40031
Warden: Ravonne Sims
Secretary: Patti Ray
Phone: 502-222-0170/222-0173
Fax #: 502-225-0084

WESTERN KY CORRECTIONAL COMPLEX

374 New Bethel Church Road
Fredonia, KY 42411
Warden: Tim Lane
Secretary: Kim Odom
Phone: 270-388-9781
Fax #: 270-388-0031

LEE ADJUSTMENT CENTER (CoreCivic)

168 Lee Adjustment Center Drive
Beattyville, KY 41311
Warden: Daniel Akers
Phone: 606-464-2866
Fax #: 606-464-9654



Population Data

**All DOC Offenders
Three-Year Comparison by Gender**

MALE	BCC	BFC	ECDC	GRCC	KSP	KSR	LAC	LCC	LSCC	NTC	RCC	WKCC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL
2018	512	299	1909	982	914	1058	851	1197	998	1241	1230	476	3586	96	410	15609
2017	592	299	1673	951	858	1652	0	1199	993	1233	1221	479	0	0	0	11150
2016	591	300	1694	970	859	1755	0	993	998	1239	1145	562	0	0	0	11106

FEMALE	KCIW	RC	WKCC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL
2018	733	197	0	1190	25	3	2131
2017	721	195	0	0	0	0	916
2016	718	196	0	0	0	0	914

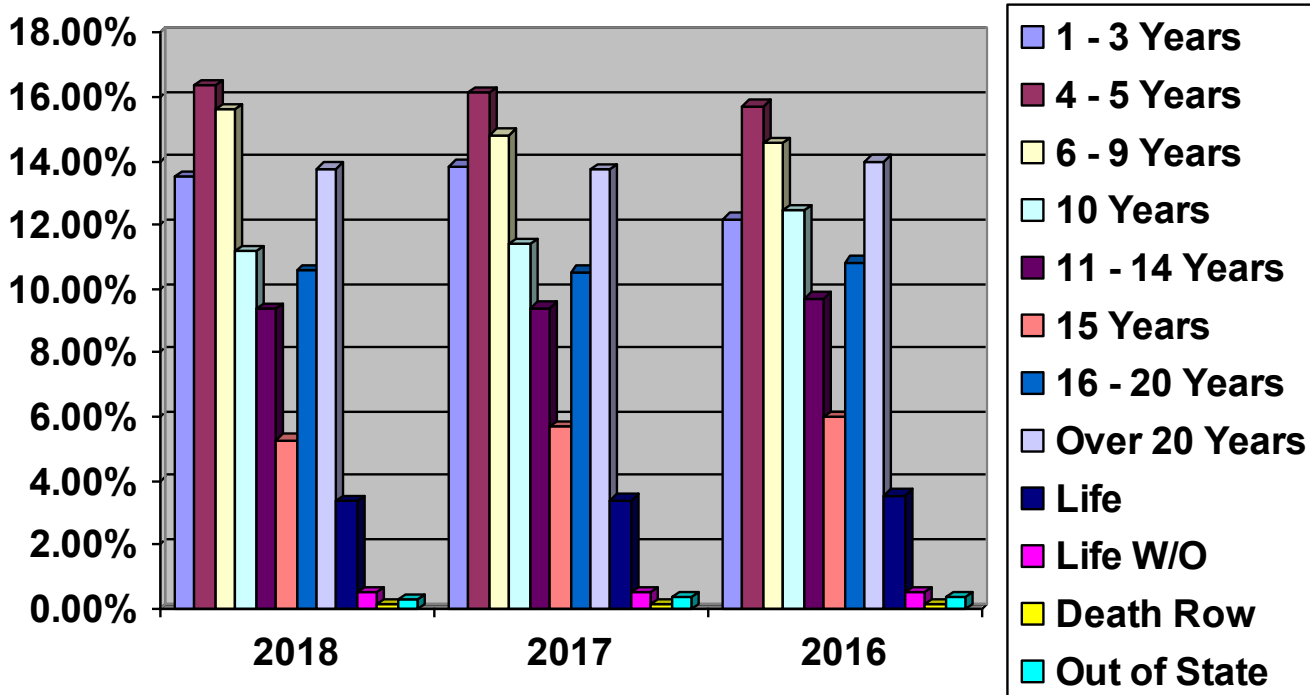
**Demographic Data for All DOC Offenders
Three-Year Comparison**

COUNTY OF CONVICTION	2018	2017	2016
Jefferson	14.47%	14.90%	14.96%
Fayette	5.69%	5.71%	5.83%
Kenton	4.18%	4.08%	4.02%

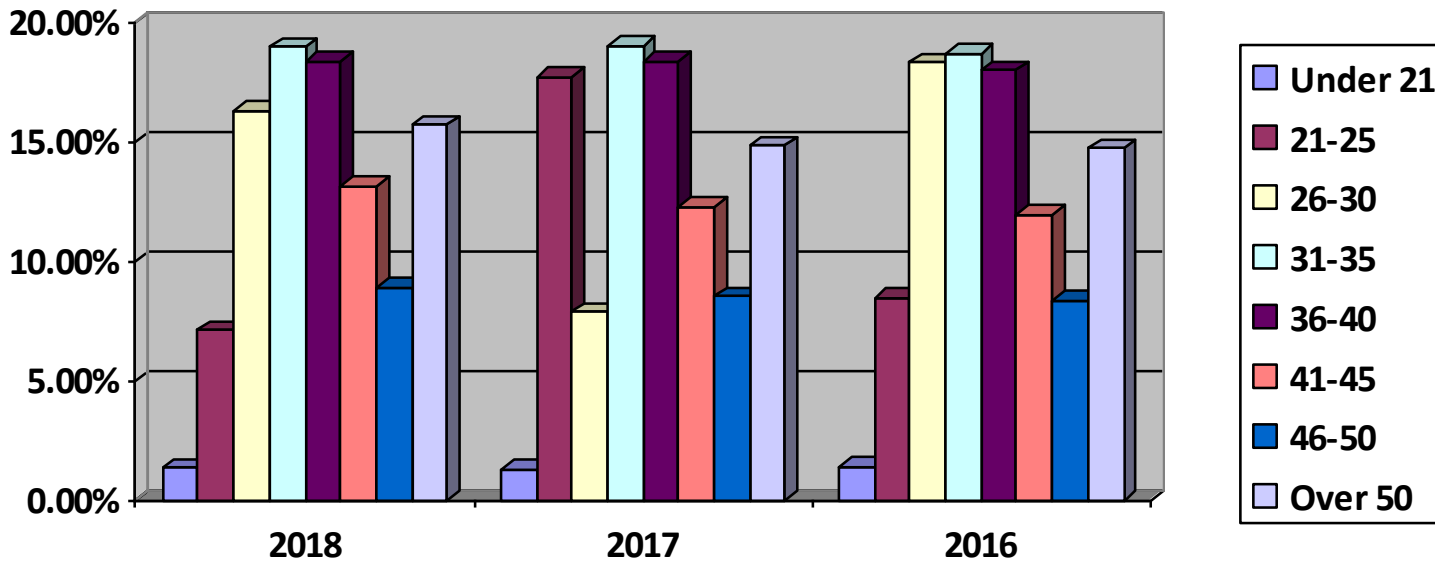


Population Data

Population by Years to Serve Three-Year Comparison



Population by Age Three-Year Comparison



Bell County Forestry Camp



Warden

Brandy Harm

Deputy Warden

Chris Patterson

Administrative Assistant

Betsy Patterson

Address

560 Correctional Drive
Pineville, KY 40977

2018 Average Daily Population

298

Total Number of Staff

51

Security Level

Minimum

mates. The Facility employs 51 staff as well as having 14 contract employees that work in food services, medical services and program facilitation.

BCFC was established in October of 1962 as a satellite of the Kentucky State Reformatory in LaGrange with a population of 27 inmates. The population has gradually increased over the years, rising to 105 by the end of 1978. The populations increased to 200 with the completion of a new inmate dormitory in November 1987.

The institution later added double bunks and the capacity increased to 300 on August 1, 2007. BCFC also operates its own water treatment plant and waste water treatment plant where inmates received training and become certified plant operators.

BCFC provides inmate labor to the Division of Forestry for fire suppression in Bell and surround counties. In addition inmate labor is also supplied to the Department of transportation, Bell County Fiscal Court, Bell County Schools, Pineville City Street Department, Middlesboro



Brandy Harm
Warden

City Departments, Bell County Solid Waste and Knox Fiscal Court. Special details are also provided from time to time to state parks in the area.

The average daily count for outside labor crews is 70 inmates. In addition to outside labor, inmates are also utilized in day-to-day operations at the institution, such as landscaping, maintenance, food services, sanitation, water plant and sewer plant operations.

The institution was accredited by the American Correctional Association in 1990 and has successfully passed re-accreditation audits, the latest being November 2016.

Highlights

BCFC has made several significant improvements within the past year.



Overview

The Bell County Forestry Camp (BCFC) is situated on approximately 15 acres in rural Bell County, Kentucky. It is approximately 14 miles southwest of the town of Pineville. It is a minimum security facility with the capacity to house 300 adult in-

Bell County Forestry Camp



Several upgrades were made to the waste-water treatment plant to include the installation of a new leach bed, new sewage pumps, along with a new control panel to the life station.

A new intercom system as well as 14 additional security cameras were installed throughout the institution to improve communication and security of the inmate population during both routine as well as emergency situations.

Programmatic and Operational Areas

A new soft-skills program has been added for the inmates. This is an 8 module/video and two bonus program modules. Soft Skills Boot Camp is designed to assist inmates in getting a job upon release and is limited to inmates releasing within one year.

A new walkway was repaired to the recreation hall. A heat pump was also installed to the recreation hall



*Chris Patterson
Deputy Warden*

BCFC has acquired three additional staff positions to include a correctional lieutenant, safety officer and a unit administration position.

The maintenance department was moved to a larger building allowing the tool room to be in the same location as the maintenance office for improved efficiency as well as tool control and supervision.

Two hot water tanks were replaced in the boiler room along with a feed water tank as well as new water supply lines. The upgrades have improved the effectiveness and efficiency of the heat loop to the boiler.

and a new pool table. The inmate barber shop was relocated to the recreation hall improving both safety and security, with continuous staff supervision.

Governmental Services Program inmates were utilized to assist the community in flood clean up efforts after the local area was heavily damaged from flood waters in February of 2018.

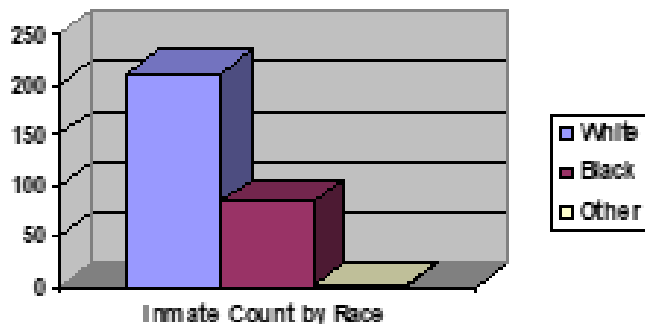
Pictured below: left is a photo of the grounds. Pictured to the right is a photo of the front gate.



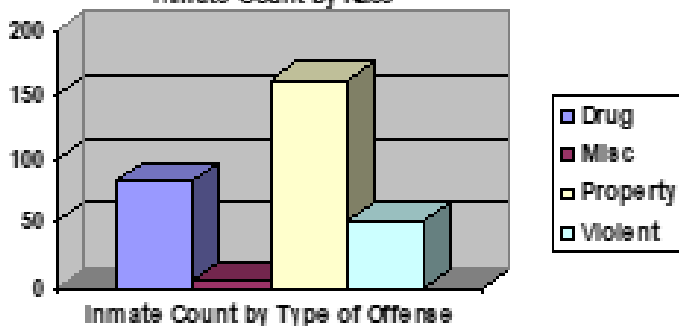
Bell County Forestry Camp

Demographic Data

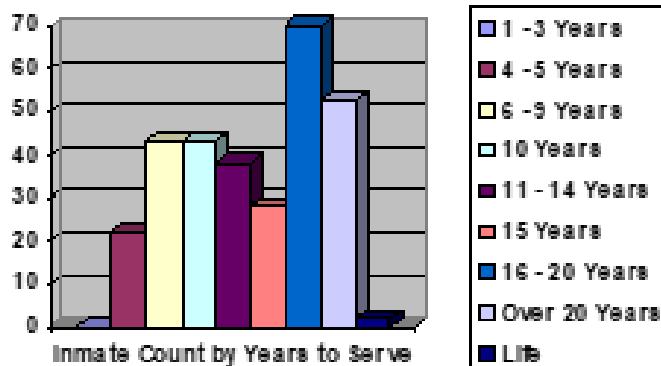
RACE	COUNT	PERCENTAGE
White	212	70.91%
Black	84	28.09%
Other	3	1.00%
Total	299	100.00%



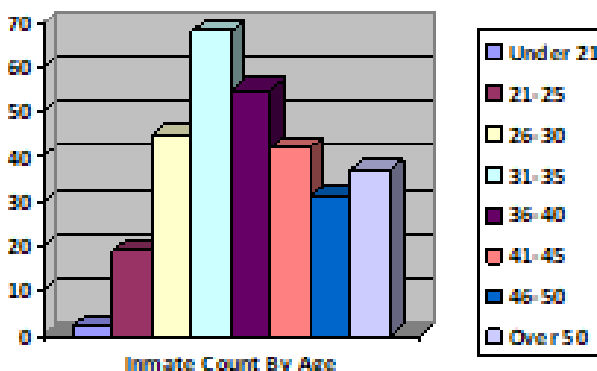
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	83	27.76%
Misc.	4	1.33%
Property	161	53.85%
Violent	51	17.06%
Total	299	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	0	0.00%
4 - 5 Years	22	7.36%
6 - 9 Years	43	14.38%
10 Years	43	14.38%
11 - 14 Years	38	12.71%
15 Years	28	9.36%
16 - 20 Years	70	23.41%
Over 20 Years	53	17.73%
Life	2	0.67%
Total	299	100.00%



AGE	COUNT	PERCENTAGE
Under 21	2	0.67%
21-25	19	6.36%
26-30	45	15.05%
31-35	68	22.74%
36-40	55	18.39%
41-45	42	14.05%
46-50	31	10.37%
Over 50	37	12.37%
Total	299	100.00%



Blackburn Correctional Complex



Warden
Tiffany Ratliff

Deputy Warden– Security
Mark D. Harvey (Doug)

Deputy Warden– Programs
Abby McIntire

Administrative Assistant
Christy Peach

Address
3111 Spurr Rd.
Lexington, KY 40511

2018 Average Daily Population
583

Total Number of Staff
134

Security Level
Minimum / Community

when it was transferred from the old Department of Child Welfare.

BCC presently operates a 522 bed adult male minimum security institution. All individuals incarcerated at BCC have been convicted of felony charges.

The physical plant consist of 32 buildings on 456 acres of land that house academic and occupational programs as well as industrial and support services. The institution also has a farming operation located on the grounds that is operated by a correctional farm manager. The institution’s cattle farm is a registered angus farm.

Highlights

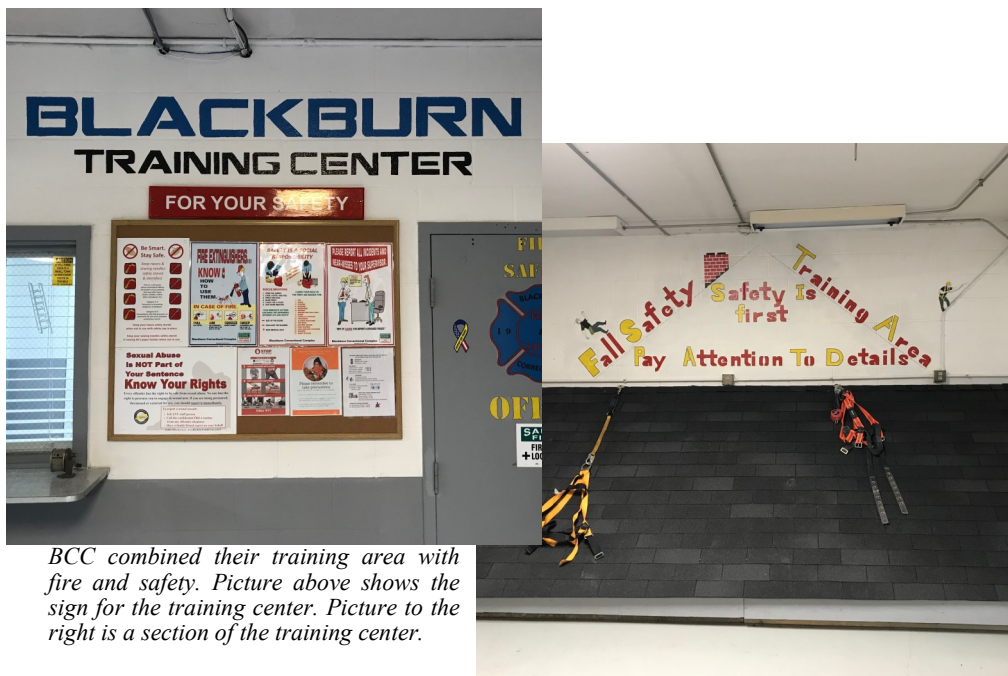
BCC reduced its inmate population in December 2018 by closing Dorm 5, which housed 72 individuals. The closure of Dorm 5 was a result of aging structures requiring continuous repairs and updates.



Tiffany Ratliff
Warden

Structural and cosmetic updates were completed in the security building in 2018 to include new flooring, paint and updated office space for security supervisors. The training room was reallocated to fire and safety and the vacant training room is now used for staff to meet prior to and following shifts. The room contains updated human resource information, the staff library and a wellness area.

During 2018, BCC continued to operate the obedience program for rescued dogs in conjunction with the Jessamine County Animal Care and Control. As a result, 64 dogs trained



BCC combined their training area with fire and safety. Picture above shows the sign for the training center. Picture to the right is a section of the training center.

Overview

Blackburn Correctional Complex (BCC) is the largest minimum security institution operated by the Kentucky Department of Corrections. BCC became a part of the Kentucky Department of Corrections in 1972



Blackburn Correctional Complex

at Blackburn and 60 adopted out to new families.

BCC awarded 15 GEDs during 2018.

Programmatic and Operational Areas

BCC houses the Thoroughbred Retirement Foundation program. The state provides a farm manager, the land, barn, and inmates to work the retired horses. The Thoroughbred Retirement Foundation provides all other needs. The inmates that participate in the program receive a Certificate of Stable Management when they have successfully completed the requirements of the program. During 2018, an article in the Thoroughbred Daily News Magazine featured Blackburn's TRF program.

Occupational programs include masonry and horticulture.

Prison industries operations include mattresses, moving services, and panels and signs.

Inside Out Dads is a parenting pro-

BCC's Security Supervisor Area received a makeover during 2018. New flooring was installed, offices were remodeled and decluttered, and the training room was relocated in order to provide staff with a quiet meeting area they could use prior to and after shift.



Mark D. Harvey (Doug)
Deputy Warden



Abby McIntire
Deputy Warden

gram that provides parent education classes and special visits for fathers and their children.

Moral Reconciliation Therapy is a cognitive behavioral program for improving inmates' moral reasoning and self image. During 2018, Blackburn added an additional MRT program entitled Seeking Safety.

New Directions is a reentry program designed to help the inmate understand the concept of reentry and how it will work during incarceration and after release.

Blackburn added a Soft Skills Boot

Camp during 2018 focusing on soft skills offenders can utilize upon release such as listening skills, reconciliation, and stress management. One hundred and fifty three inmates completed the boot camp.

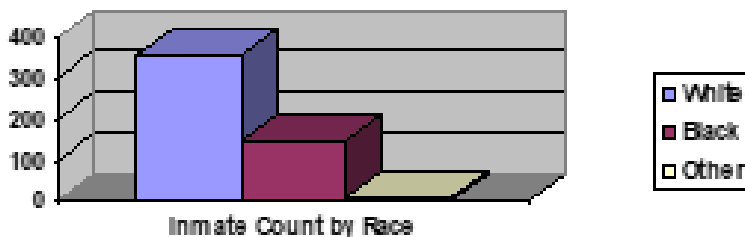
BCC continued to expand the Safety Specialist Program with 29 offenders completing the program and receiving OSHA certifications for specific job safety skills.



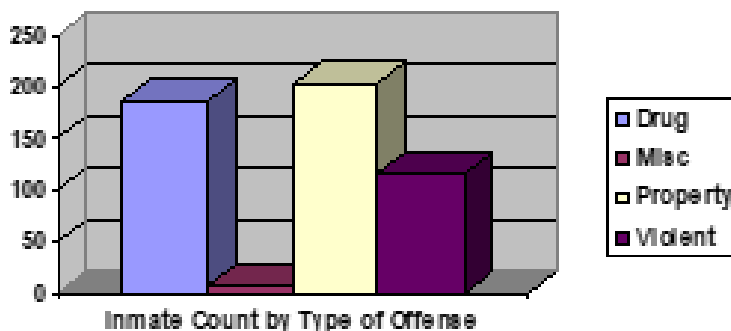
Blackburn Correctional Complex

Demographic Data

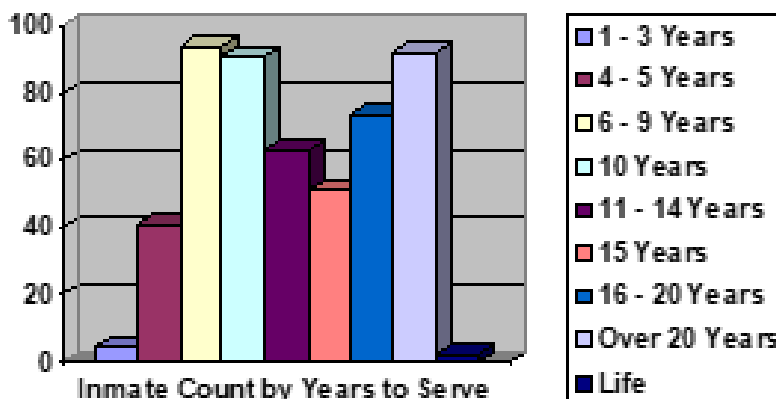
RACE	COUNT	PERCENTAGE
White	356	69.53%
Black	147	28.71%
Other	9	1.76%
Total	512	100.00%



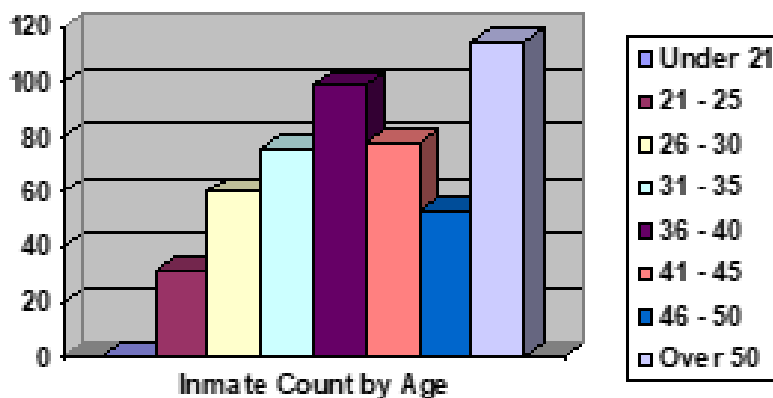
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	186	36.33%
Misc.	6	1.17%
Property	204	39.84%
Violent	116	22.66%
Total	592	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	4	0.78%
4 - 5 Years	41	8.01%
6 - 9 Years	94	18.36%
10 Years	91	17.77%
11 - 14 Years	63	12.30%
15 Years	51	9.96%
16 - 20 Years	74	14.45%
Over 20 Years	92	17.98%
Life	2	0.39%
Total	512	100.00%



AGE	COUNT	PERCENTAGE
Under 21	0	0.00%
21-25	31	6.05%
26-30	60	11.72%
31-35	76	14.85%
36-40	99	19.34%
41-45	78	15.23%
46-50	53	10.35%
Over 50	115	22.46%
Total	512	100.00%



Eastern Kentucky Correctional Complex



Warden
James David Green

Deputy Warden—Security
Terry Wallace

Deputy Warden— Programs
James Whitt

Deputy Warden— Operations
Shawn McKenzie (special detail)

Administrative Assistant
Melissa Howard

Address
200 Road to Justice
West Liberty, KY 41472

2018 Average Daily Population
1922

Total Number of Staff
354

Security Level
Medium

Overview

The Eastern Kentucky Correctional Complex (EKCC) was constructed

in two phases, housing minimum, medium, close and maximum custody inmates. The contract for construction was awarded in 1985 and officially opened in 1990. Phase II was completed in December of 1991. EKCC was designed to house 1,122 inmates but double bunking increased the institutional capacity to 1,922, making EKCC 71% over its designed capacity. EKCC’s distinction from other Kentucky institutions is the very structured inmate controlled movement. Controlled movement is the result of our institutional design, the number of inmates housed and the inmate custody level. EKCC’s best security tool is controlled movement. Inmates move to and from their dormitories to work, school, gym and yard on the hour and half hour. Movement is closely monitored providing inmates with few opportunities to pass contraband and creating a safer environment for inmates and staff. Controlled movement also allows EKCC to reduce the number of inmates moving at any given time; thus enhancing the ability to control disturbances within the inmate population.

Highlights

EKCC started a Gold Card program for retirees in December of 2018. This card allows anyone that has retired from EKCC with 20 or more years to be able to come back to the institution and take advantage of services that are offered to our staff such as car washes, haircuts, shoe-shines and eating at the staff grill.

EKCC was one of only two institutions that had the privilege to have



James David Green
Warden

guest speaker Andre Norman. Mr. Norman spent 14 years incarcerated. Once paroled, he now devotes his time to a simple mission, to motivate others to make a change.

EKCC implemented its first leadership program S.A.I.L., the program graduated 16 staff. Jack “Goose” Givens and Lt. Governor Jenean Hampton were guest speakers at the institution during this course.

In may Unit Administrator I Susan Thompson and Unit Administrator II Brad Lemaster, implemented the 4 Paws program. The program is a joint effort between EKCC and 4 Paws for Ability, a non-profit organization in Ohio. The program provides service dogs to children and veterans that are trained specifically for their disability such as autism, epilepsy, diabetes, PTSD, mobility issues, hearing loss and combat related amputations and injuries. EKCC’s program is made up of 32 inmates, two inmates are with each pup, who live in one wing of dorm three. They generally focus on puppy raising and basic training up until the age of 12 weeks. As of today, inmates have raised 12 litters with a total number of 85 puppies and have cared for nine mothers. This program has been an excellent source of pride for inmates involved and the institution. It is making a difference and giving



Eastern Kentucky Correctional Complex



Shawn McKenzie
Acting Deputy Warden



James Whitt
Deputy Warden



Terry Wallace
Deputy Warden

something back to the community.

Programmatic and Operational Areas

In March, tower one and tower two were equipped with additional resources to be utilized during a critical incident.

This past year in EKCC Restrictive Housing Unit (RHU), the institution has been able to adopt a few new practices that has helped streamline some of our past issues with RHU intakes. RHU underwent an in-depth mock audit and review of the ACA files and tour of the housing units. Some highlights of these implemented are new vapor barricade buster, six new floor restraints for intakes while awaiting cells, roll around phones for each wing so calls can now be made in the cells, dry erase boards for each wing to document and specials needs for inmates assigned to a walk, and new benches for RHU intakes with restraint loops to keep inmates secure while waiting.

The MRT classes were very active in 2018. They donated 489 cans of food to the local food pantry. They collected 404 pencils, 105 notebooks, 44 packs of notebook paper and snacks to donate to the Family Re-

source Center at local schools. MRT also decorated and donated Christmas cards to the local nursing home. Along with starting their first Veterans class.

EKCC has made numerous updates to the institution this year. The number of cameras installed has increased to 423,296 of which are digital. MSU made upgrades to house more inmates and dorm one was upgraded for RHU and transition programs. The renovations and projects including installing new mounted furniture for safety and security purposes, cost approximately \$400,000.

EKCC held a Fentanyl first responders drill and response kits on hand. The fire system has been updated well as the smoke detectors in MSU. All annual inspections have been completed. Staff attended OSHA training and then trained other staff.

In October, new security ID tag lock mechanisms were introduced into the inmate population with goals of keeping inmate's ID's, cell keys, appliance cards and dorm tags secured together to prevent inmate's from misplacing these items, as well as to deter inmates from ob-

taining and misusing other inmate's tags/keys. Items added or removed from the locking mechanism is done so by authorized staff using a magnet that interacts with the device. These specialized magnets were mounted inside the control cab of each dorm, RHU, and the institutional property room.

A play right group by the name of Voices Inside was established. Some of the plays written by the inmates have been published and even reenacted at the Lexington Theater of the Arts.

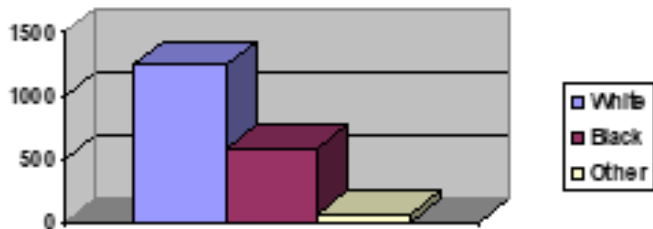
EKCC started its first newsletter in 2018. This has been a tremendous success and the newsletter provides vital information to the population.

EKCC had approximately 720 inmates who graduated (excluding educational programs), of those 547 were MRT graduates.

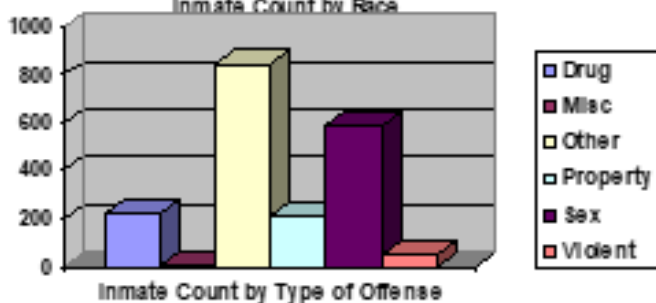
Eastern Kentucky Correctional Complex

Demographic Data

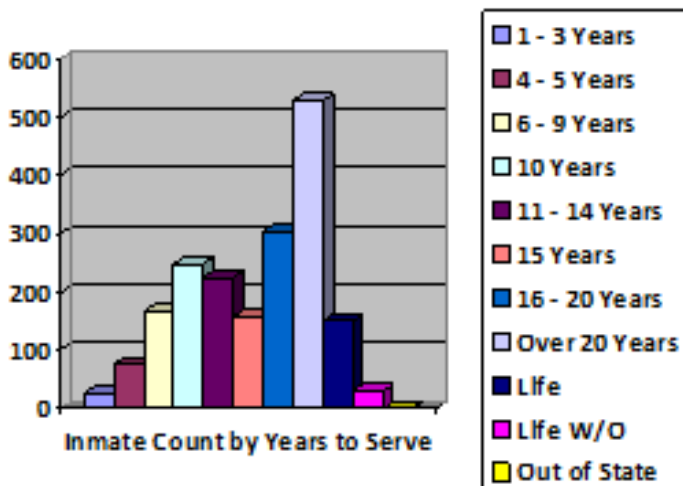
RACE	COUNT	PERCENTAGE
White	1251	65.53%
Black	591	30.96%
Other	67	3.51%
Total	1909	100.00%



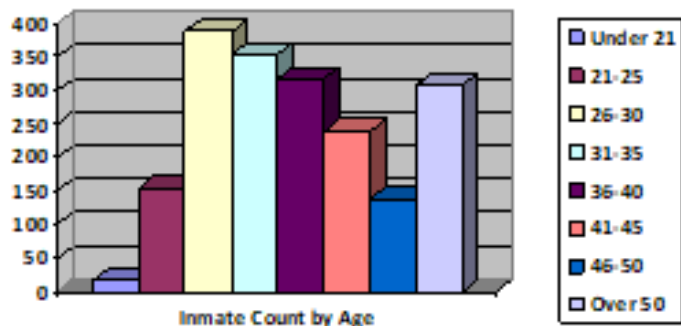
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	218	11.42%
Misc.	1	0.05%
Other	842	44.11%
Property	211	11.05%
Sex	588	30.80%
Violent	49	2.57%
Total	1909	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	26	1.36%
4 - 5 Years	74	3.88%
6 - 9 Years	166	8.70%
10 Years	249	13.04%
11 - 14 Years	226	11.84%
15 Years	158	8.28%
16 - 20 Years	304	15.92%
Over 20 Years	527	27.61%
Life	150	7.85%
Life W/O	28	1.47%
Out of State	1	0.05%
Total	1909	100.00%



AGE	COUNT	PERCENTAGE
Under 21	16	0.84%
21-25	153	8.01%
26-30	387	20.27%
31-35	353	18.49%
36-40	316	16.55%
41-45	238	12.47%
46-50	137	7.18%
Over 50	309	16.19%
Total	1909	100.00%



Green River Correctional Complex



Warden
Kevin Mazza

Deputy Warden– Security
Michael Robinson

Deputy Warden—Programs
Bobbi Jo Butts

Administrative Assistant
Mindy Masden

Address
1200 River Road
Central City, KY 42330

2018 Average Daily Population
966

Total Number of Staff
261

Security Level
Medium

Overview

Construction of the Green River Correctional Complex (GRCC) began in August 1992 and was originally designed to house 550 inmates. The first group of inmates arrived December, 1994. GRCC has since ex-

panded, building a medium security living unit, as well as a minimum security dormitory with a current inmate population capacity of 982. Since opening, GRCC has been re-accredited by the ACA seven times, most recently in 2015.

The institutional design is “direct supervision.” The dormitories have an open control center (no bars, glass or other barriers). Unit management is the means of managing and organizing the institution. In this team oriented concept, a management team of administrators, supervisors, correctional officers and classification treatment officers supervise each living unit and are responsible for the security and management of the unit and its inmate population.

GRCC does not have security gun towers. Perimeter security is dependent upon an electronic detection perimeter alert system, a microwave detection system, and constant 24 hour armed perimeter vehicle patrol(s).



Kevin Mazza
Warden

Highlights

- Installed new fencing and gates for each unit. This was initially used to keep the yard locked down and separate at all times. On August 6, the yard was successfully reopened, the fencing allows us to lockdown each unit separately in case of an emergency.
- Built a new pill call window for medical so two pill call lines may be utilized instead of one. Allowing for more efficient operations for the inmates being seen at pill call.
- The inmate canteen building was expanded, new windows were placed on the outside of the



GRCC's CNT welcomed teammates from P&P and Simpson County Detention Center to the institution for a joint training in November. GRCC staff included Lietutenant Charles Bastings, Recreation Leader Donnie Holmes, Caseworker Quinton Gordon, Human Resource Specialist II/ CNT Co Commander, Captain Angela Hernandez, Caseworker Damen Ellis and Offender Information Specialist Belinda Staples.



Green River Correctional Complex

building. This allows for more room inside the building so that the staff can have room to bag orders and use the kiosk as they were intended.

- New flooring for A Building replaced the 25-year-old carpet. This flooring was placed in the warden’s foyer, command center, and the offices of the warden, warden’s administrative assistant III, deputy warden of operations, administrative secretary, deputy warden of security and administrative secretary.
- Command center was also completely upgraded, refurbishing the chairs and conference tables.
- The crisis negotiation room was upgraded with monitors and tables.
- A new desk was built for the visitation room.
- Maintenance department placed chicken wire in RHU to prevent



Michael Robinson
Deputy Warden



Bobbi Jo Butts
Deputy Warden

pigeons from nesting and dropping feces over outside recreational areas.

- Purchased five new institutional radios.
- Purchased 30 new ballistic vest for transportation and firearm requalification.
- Institutional yard lights were upgraded with LED lighting.
- Changed seating assignments in visitation to separate minimum security unit inmates from general population.
- Graveled two additional walkways on the yard.

Programmatic and Operational Areas

- Special Education Certified Enhanced GED testing through new testing procedures allowed students to complete individual sections of the Ready and GED exams.
- The vocational carpentry program added additional equipment, planers and table saw. The institutional built a show sine chair, and OC spray rack for the control center.



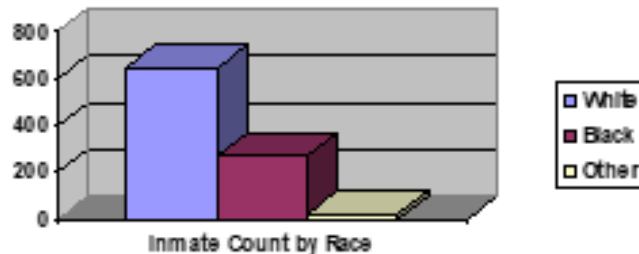
GRCC made many upgrades to the facility. Pictured in top left: new fencing around perimeter. Pictured bottom left: new fencing inside perimeter. Top right: new desk in visitation. Bottom right: new flooring in administration building.



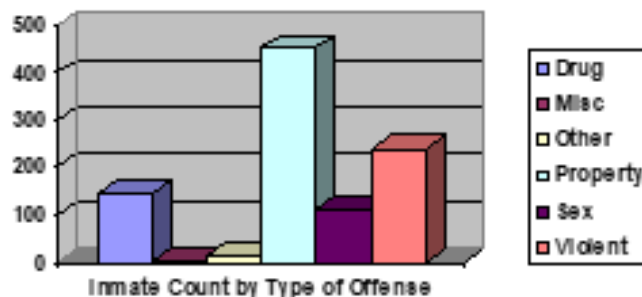
Green River Correctional Complex

Demographic Data

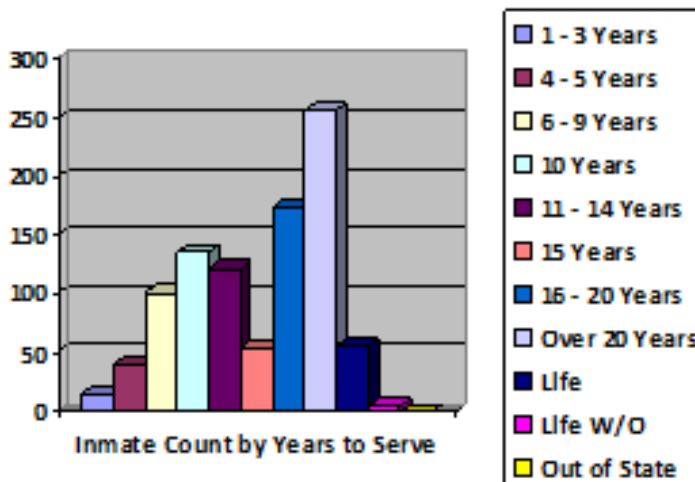
RACE	COUNT	PERCENTAGE
White	635	66.28%
Black	285	29.75%
Other	38	3.97%
Total	958	100.00%



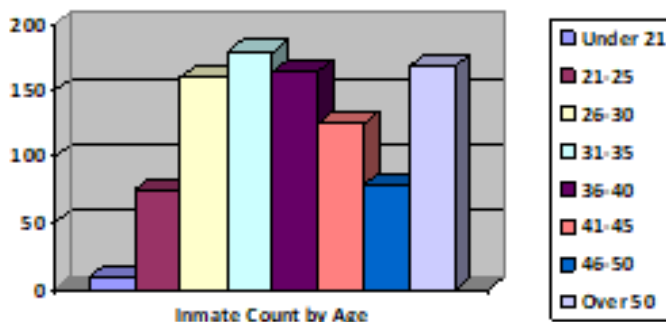
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	144	15.03%
Misc.	2	0.21%
Other	12	1.25%
Property	451	47.08%
Sex	111	11.59%
Violent	238	24.84%
Total	951	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	15	1.57%
4 - 5 Years	40	4.18%
6 - 9 Years	101	10.54%
10 Years	136	14.20%
11 - 14 Years	122	12.73%
15 Years	53	5.53%
16 - 20 Years	174	18.16%
Over 20 Years	256	26.72%
Life	56	5.85%
Life W/O	5	0.52%
Out of State	0	0.00%
Total	958	100.00%



AGE	COUNT	PERCENTAGE
Under 21	9	0.94%
21-25	74	7.72%
26-30	160	16.70%
31-35	179	18.68%
36-40	164	17.12%
41-45	125	13.05%
46-50	79	8.25%
Over 50	168	17.54%
Total	958	100.00%



Kentucky Correctional Institution for Women



Warden

Janet Conover

Deputy Warden– Security

Vanessa Kennedy

Deputy Warden– Programs

Kevin Hayes

Administrative Assistant

Ashley Madras

Address

3000 Ash Avenue
Pewee Valley, KY 40056

2018 Average Daily Population

715

Total Number of Staff

232

Security Level

Multi-custody including death row

Overview

The Kentucky Correctional Institution for Women (KCIW) received its first inmates in 1938 as an extension of the Kentucky State Reformatory at LaGrange. In 1962, KCIW was es-

tablished by the Legislature as an autonomous institution with a warden as its chief executive officer. KCIW was the only state run institution for female inmates until 2010 when the Western Kentucky Correctional Complex (WKCC) was converted to a female facility. As of November 1, 2015 WKCC was converted back to a male facility and the Ross-Cash center was established as a 200 bed minimum female facility.

KCIW is located on 270 acres in Shelby County. The facility has been ACA accredited since 1982. The institution is a campus style setting with multiple housing units, double and single bunked. KCIW is considered a multi-custody facility as differential housing and programming must meet the needs of female felons serving a range of sentences, death row, maximum, medium, minimum, community custody, first offenders, persistent offenders, the disabled and special needs inmates.

Warden Janet Conover provides fiscal responsibility and oversight as she manages a budget of approximately \$15.7 million. Positive working relationships as well as good communication between the warden, deputy wardens, staff, volunteers and outside visitors add significant value to the mission statement of the institution and the DOC.

Highlights

KCIW continues to provide outstanding public protection through a secure facility while providing the inmate population opportunities to



Janet Conover
Warden

rehabilitate and ready themselves for reentry into life outside the fence.

KCIW’s program security review took place April 10-12. KCIW’s ACA Audit took place June 19-21, and was given a score of 100%.

KCIW replaced all lights with LED lights in November. In December, AEDs were placed in all living units and in the visitation room.

KCIW’s Training Department continues to train newly hired correctional officers during the employee orientation process. The Training Department has also developed a week-long program for officers once they have completed the Corrections Basic Academy that focuses on topics covered at the academy and how they relate to KCIW specifically. KCIW’s Training Department continues to conduct annual in-service training and assists staff with meeting their annual training requirements. All security supervisors completed training in Fentanyl Response in October.

The I-LEAD Program, a six month long regional leadership training program continues to play an important part in KCIW’s quest to effectively raise the chances for professional success of its participants within the DOC. Participants receive guidance in the following areas: upward mobility, resume preparation, public speak-



Kentucky Correctional Institution for Women

ing skills, cultural diversity and networking opportunities.

Programmatic and Operational Areas

KCIW continues to partner with Paws With Purpose (PWP), a non-profit organization that provides highly skilled Assistance Dogs to children and adults with physical disabilities or other special needs. This is the only programs of its type in the region, the dog program was first launched in 2003 and has been extremely successful.

The inmates which apply to participate in the PWP program must be screened and go through an interview process to be selected to participate. The inmates selected receive training from PWP volunteers. It is the responsibility of these inmates to hold their own group training classes and to fully care for the animals. Currently, there are 12 inmates assigned as handlers, with three inmates assigned as alternate handlers in training.

PWP recently received a grant to work on creating curriculum for a certification program for the inmates to obtain prior to release to assist with employment upon release.

The education center offers inmate instruction in basic literacy, adult basic education, GED and college courses. Vocational training includes a certified program in Horticulture. Vocational training is in the process of switching from Electrical to a Carpentry program.

Treatment programs such as Parenting, Sex Offender Treatment and Substance Abuse Program are avail-



*Kevin Hayes
Deputy Warden*



*Vanessa Kennedy
Deputy Warden*

able to inmates. The Substance Abuse Program provides treatment in a Therapeutic Community, with close supervision in a structured environment. It allows inmates to learn accountability for their actions as well as learning relapse prevention tools they will need when they return to the community. The program takes approximately six months to complete.

Another therapeutic program called WILLOW (Women Invested in Learning and Loving Ourselves Well) addresses the needs of incarcerated women who suffer from both a mental illness and a co-occurring addiction.

Moral Reconciliation Therapy (MRT) is also available to the inmate population and is a behavior modification course that uses evidence-based practices to understand how decisions are made and the reasoning behind them. MRT is designed to restructure the mental processes that allow us to perform certain actions or desires. Other MRT programs offered at KCIW include a Restrictive Housing Behavior Modification program, Anger Management, Seeking Safety, Untangling Relationships, Parenting, and Getting

Started.

Portal New Directions is available to the inmate population that uses evidence-based practices in preparing offenders for upcoming release. The program modules focus on tools needed for successful reentry into the community by preparing individuals with the steps on obtaining employment, housing, medical care and transportation.

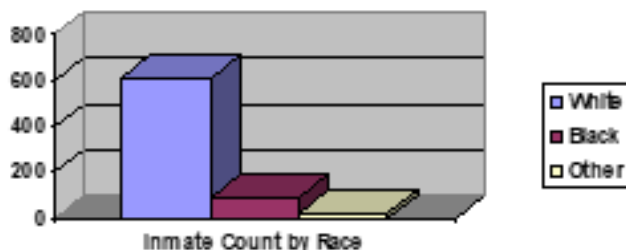
Soft Skills Boot Camp is available to the inmate population as a motivational training to work on communication skills, teamwork, leadership, creative thinking and seeing the big picture of what a business is trying to accomplish.

Kentucky Correctional Industries (KCI) has been at KCIW for 32 years. KCI provides inmates with job training that produces marketable skills and quality products. Correctional Industries currently operates four separate industries consisting of Bulk Mail Services, Braille Transcription, Warehousing and the Soap Plant and Portion Pac.

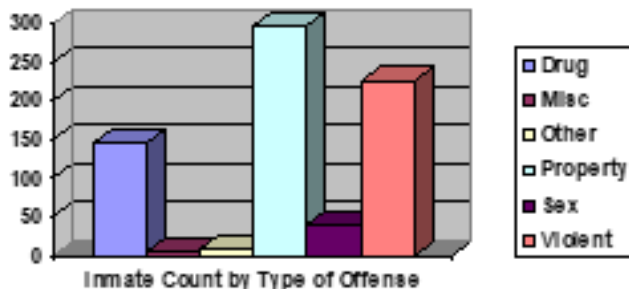
Kentucky Correctional Institution for Women

Demographic Data

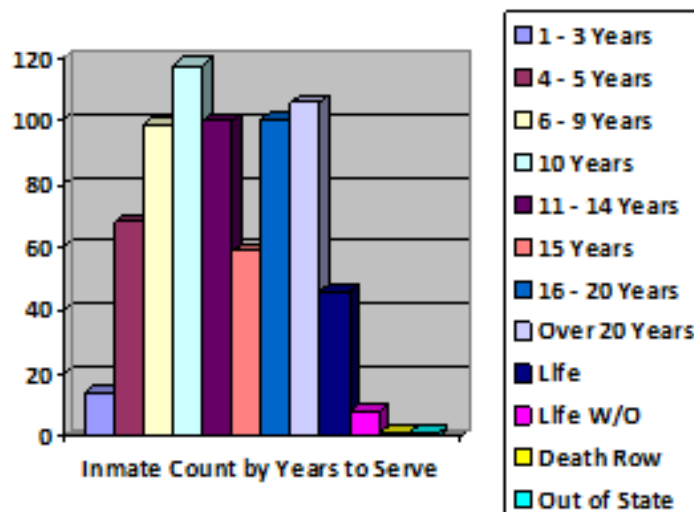
RACE	COUNT	PERCENTAGE
White	612	85.12%
Black	89	12.38%
Other	18	2.50%
Total	719	100.00%



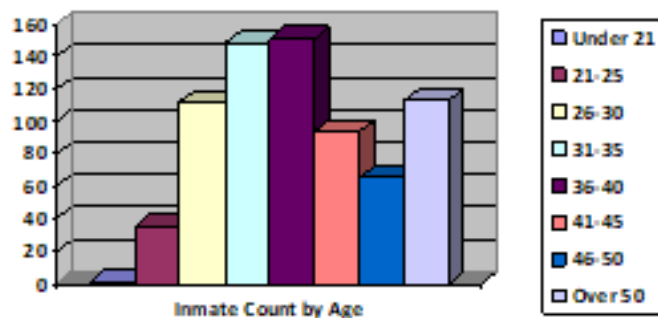
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	149	20.72%
Misc.	1	0.14%
Other	6	0.83%
Property	299	41.59%
Sex	39	5.43%
Violent	225	31.29%
Total	719	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	14	1.95%
4 - 5 Years	68	9.46%
6 - 9 Years	99	13.77%
10 Years	118	16.41%
11 - 14 Years	100	13.91%
15 Years	59	8.21%
16 - 20 Years	101	14.05%
Over 20 Years	105	14.45%
Life	46	6.40%
Life W/O	8	1.11%
Death Row	1	0.14%
Out of State	1	0.14%
Total	719	100.00%



AGE	COUNT	PERCENTAGE
Under 21	1	0.14%
21-25	35	4.87%
26-30	112	15.58%
31-35	148	20.58%
36-40	151	21.00%
41-45	94	13.07%
46-50	65	9.04%
Over 50	113	15.72%
Total	719	100.00%



Kentucky State Penitentiary



Warden

DeEdra Hart

Deputy Warden– Security

Bruce VonDwingelo

Deputy Warden– Programs

Skyla Grief

Deputy Warden– Operations

Garyth Thompson

Administrative Assistant

Donna Dunbar

Address

266 Water Street
Eddyville, KY 42038

2018 Average Daily Population

859

Total Number of Staff

345 Positions

Security Level

Maximum

Overview

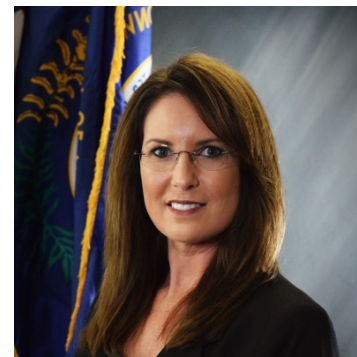
The Kentucky State Penitentiary

(KSP) serves as the department’s only maximum-security facility with an operational capacity of 914 beds. The institution has operated for over 129 years, originally opening on Christmas Eve in 1889. The facility sits on the banks of Lake Barkley and locally referred to as “The Castle of the Cumberland.” Many renovations and enhancements have occurred during the numerous years of operation, providing for the utmost stability expected within a maximum security penitentiary.

Our population includes a Protective Custody Unit, Restrictive Housing Unit (RHU), Death Row, general population, and a minimum-security dormitory located outside of the secure perimeter. Our first priority is safety and security, which requires a large portion of our fiscal and human resources. At the same time, we strive to offer an array of programs to engage the inmates in productive activity that will better their chances of returning to the community as a responsible citizen. These activities include: working in our industries, assignment to vocational or academic school, involvement in self-help and reentry programs and religious activities.

Highlights

During 2018, KSP continued to be compliant with all Prison Rape Elimination Act (PREA) standards as part of a national PREA audit process. This consist of 43 national standards that ensure compliance with all facets of this federal legislation. PREA addressed the detection, prevention, reduction and prosecution of sexual harassment and abuse



DeEdra Hart
Warden

in correctional facilities in the country.

KSP originally accredited by the Commission on Accreditation for Corrections, American Correctional Association, in 1983 and has maintained accreditation status since that time. KSP became the first institution to supply a Cap-Tel phone system for inmates who are hard of hearing. This year we also implemented an additional bed shaker to accommodate a second hearing impaired inmate. In April 2018, KSP underwent a program security review and National Institute of Corrections Audit, continuing to be ACA complaint.

Maintenance and Physical Plant

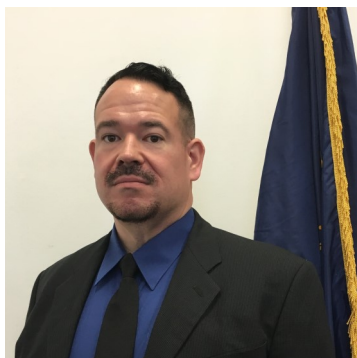
KSP made several repairs and upgrades within 2018 to include but not limited to: updated control panel, new roof for multiple buildings, gym ceiling, kitchen floor, new recreation cages, new metal detector, added additional cameras, updated water softener, and replaced electrical control module on boiler. In addition many repairs were made to the sewer and water plants.

Security

During 2018, KSP implemented a new general schedule for the general population inmates to minimize the over-crowding on the yard. In addi-



Kentucky State Penitentiary



Garyth Thompson
Deputy Warden



Skyla Grief
Deputy Warden



Bruce VonDwingelo
Deputy Warden

tion, whistles are worn by staff to alert for an immediate lockdown.

Restrictive Housing Transition Program

KSP has a Transition Unit (TU) within its RHU, established 2014. TU is able to hold a maximum of 36 offenders. Offenders who have behavioral issues resulting in excessive rule breaking requiring restrictive housing and those committing violence and presenting as a security concern with a placement in administrative control, afforded the opportunity to move into the TU and participate in programming. The TU is characterized by three phases; each phase presents different levels of security protocols, progression incentives and group programming.

In 2018, 70 offenders have participated, 21 successfully completed all requirements of the unit assignment.

KSP continues to expand the TU, by contracting with NOA Counseling to provide trained facilitators and a behavioral analyst.

Programmatic and Operational Areas

KSP was one of the top two adult prisons in Kentucky, offering greatest opportunity for inmates to enroll

in Evidence Based Programming classes. The prison population maintained a 60% saturation of its inmate population enrolled in Evidence Based Programming.

KSP offers ample job opportunities ranging from employment in one of the prison industries areas to janitorial services with AA/NA and NAACP offered. Various cognitive skill programs are also available to the inmate population, which includes Moral Reconciliation Therapy, Thinking for a Change, New Directions and In2Work.

Prison Industries

Kentucky Correctional Industries (KCI) began operating at KSP in the mid 1950's. Today, KCI employs over 100 KSP inmates.

The Garment Plant manufactures a complete line of clothing for work and institutional wear.

On the sewing line the plant produces scrub uniforms in various colors. We also make a line of coats, pants, shirts, boxer shorts, under shirts and linens.

Additionally, the Garment Plant manufactures safety vests. The vests, manufactured primarily for the Department of Transportation,

however there are sales made to schools as well as city and county governments across the Commonwealth. Overall, for the year of 2018, the Garment Plant made \$1,415,228.25 in sales.

School programs, consisting of academic and vocational classes, continued to offer inmates opportunities to further their education through pursuit of GED, working on adult basic education and literacy, pursuit of an Auto Body Collision Repair Diploma or pursuit of a National Career Readiness Certificate (NCRC). In 2018, nine completed GED, 15 received TABE test incentive awards, 16 received their completion certificates in vocational areas, and five received National Career Readiness certificates.

Expanded Faith-Based Activities

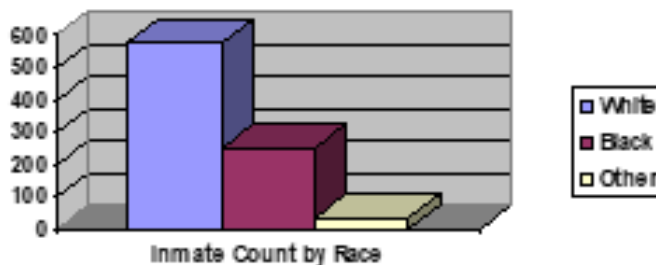
A minimum of 18 different religious services are scheduled each week for seven various faith groups. The religious center is currently operated seven days a week by Chaplain Agocha and volunteers.

During 2018, KSP continued to have the Kairos faith based prison ministry conduct a program within the facility as. In 2018, Death Row was included for the first time.

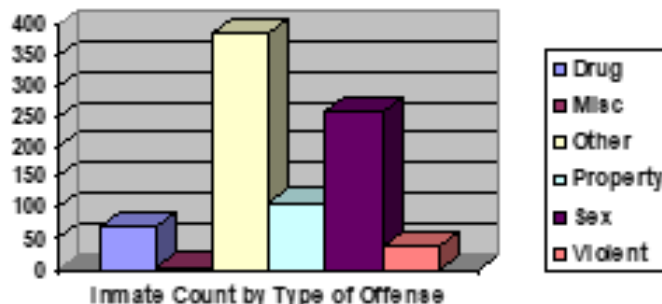
Kentucky State Penitentiary

Demographic Data

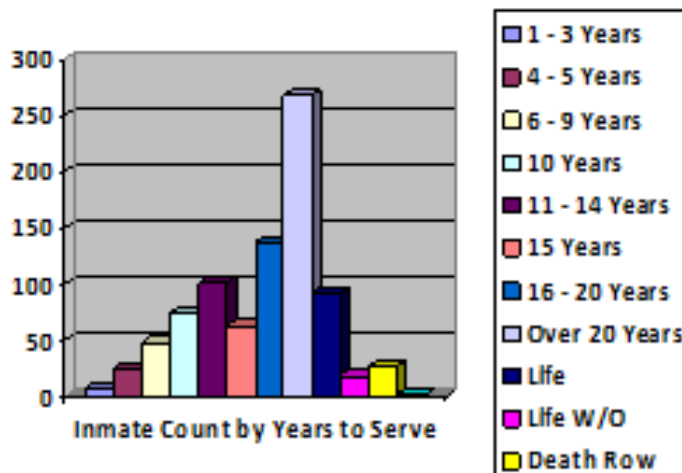
RACE	COUNT	PERCENTAGE
White	578	66.98%
Black	252	29.20%
Other	33	3.82%
Total	863	100.00%



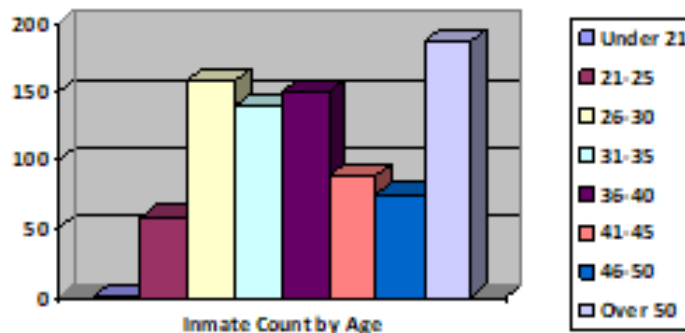
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	71	8.23%
Misc.	2	0.23%
Other	387	44.84%
Property	107	12.40%
Sex	259	30.01%
Violent	37	4.29%
Total	863	100.00%



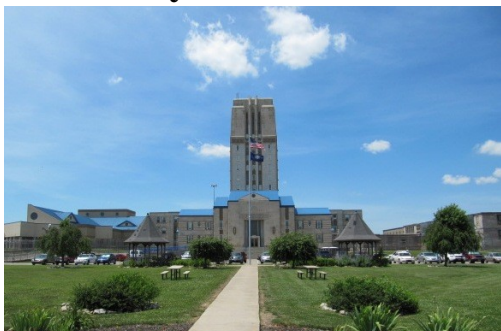
YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	7	1.16%
4 - 5 Years	25	2.33%
6 - 9 Years	48	5.83%
10 Years	74	10.84%
11 - 14 Years	102	10.02%
15 Years	63	7.23%
16 - 20 Years	137	15.04%
Over 20 Years	268	30.77%
Life	91	10.72%
Life W/O	19	2.45%
Death Row	27	3.13%
Out of State	2	0.23%
Total	863	100.00%



AGE	COUNT	PERCENTAGE
Under 21	2	0.23%
21-25	31	3.59%
26-30	148	17.15%
31-35	157	18.19%
36-40	153	17.73%
41-45	96	11.12%
46-50	86	9.97%
Over 50	190	22.02%
Total	863	100.00%



Kentucky State Reformatory



Warden

Anna Valentine

Deputy Warden– Security

Jesse Stack

Deputy Warden– Programs

Phillip Campbell

Administrative Specialist III

Kimberly Campbell

Address

3001 West Highway 146
LaGrange, KY 40031

2018 Average Daily Population

1200

Total Number of Staff

329

Security Level

Medium

Overview

The Kentucky State Reformatory (KSR) is located in LaGrange, Kentucky. In July 2017, the Kentucky Department of Corrections Admin-

istration announced that due to the KSR’s severe and continued staffing crisis and aging physical facility concerns, the Reformatory would be downsized from its current 1,969–bed capacity. This was accomplished in August 2018 and the facility is presently at a 1,048–bed capacity. KSR’s primary mission is to be a specialized institution which provides extensive mental health and medical services to inmates within the correctional system.

Up to the present day, the 80-year old physical plant has essentially retained its original configuration. The 12 story administration building was designed to house: offices, a hospital, medial offices and living quarters for correctional staff. In addition to the machinery of the elevator and a 150,000 gallon water tank. Other buildings include an academic school, gymnasium, chapel, dining facility, inmate commissary, correctional industries, visitation building, and medial services building.

Warden Anna Valentine provides fiscal oversight and watchful management of a budget of \$27,800,000. The Reformatory is American Correctional Associated accredited and scheduled for re-accreditation in 2021.

The total funded staff for KSR was reduced from 538 to 329 due to the downsizing in 2018. The reduction in staffing is being accomplished by the elimination of current vacant positions and eliminating excess filled positions through attrition and transfer to neighboring facilities.

KSR offers extensive mental health



*Anna Valentine
Warden*

services. The Correctional Psychiatric Treatment Unit (CPTU) maintains 148 beds. One hundred twenty five beds are designated as mental health beds and 23 are Restrictive Housing Unit (RHU) beds specifically for Roederer Correctional Complex Hold Ticket inmates. CPTU has two specialized programs: STAR– a therapeutic basic hygiene and life skills and THRIVE– a therapeutic community designed to assist offenders with behavioral change, emotional regulation, recovery and reentry. In addition CPTU provides 50 beds as a crisis and stabilization wing.

KSR also offers extensive medical services, maintaining a nursing care facility and a medical dormitory. In addition, there is a Daily Assisted Living Unit for inmates with medical devices or requiring them to be close to services.

Some of the services the medical department provides are: chemotherapy, dialysis treatments, eye clinic, physical therapy, x-ray, chronic care clinics and dental. In addition, ambulance services are on-site with an Emergency Medial Service crew consisting of inmates trained through KSR. All inmates must pass the state licensing requirements for emergency medical service in order to serve



Kentucky State Reformatory

as an emergency medical crew member.

KSR currently houses 180 deaf or hard of hearing inmates. A Video Relay System is located in Unit A for the deaf or hard of hearing inmates who wish to communicate with individuals on the outside by using sign language in lieu of traditional telephone services. A Video Relay Interpreter System is available for classification meetings, disciplinary hearings, etc. Translators are provided upon request for groups and parole hearings. “Shaker” units are in use in the living units to provide alerts during emergencies as well as scheduled alerts that can be programmed through an alarm clock. These systems also include strobe lights and handheld activator units for the dorm officers. An individual pager system alerts deaf or hard of hearing inmates to announcements made on the yard. Volume control phones are also located in every dorm to help assist in communication.

Programmatic and Operational Areas

Programs offered by the Reformatory include: academic, organized sports and recreation, religious services and counseling, on-the-job training and services provided by the Division of Mental Health, i.e. Psychological/Psychiatric Services, Sex Offender Treatment Program, and individual or group counseling. An outpatient substance abuse program is in place for inmates that are not able to complete a traditional substance abuse program due to medical issues. Several specific programs include New Directions and Soft Skills Boot



Jesse Stack
Deputy Warden



Phillip Campbell
Deputy Warden

Camp which are re-entry programs. Phoenix a co-occurring disorder program, THRIVE and STAR are therapeutic programs. I.T. W.O.R.K.S. (Trust, Hope, Recovery, Integrity, Values, and Empathy) is a program to help mentally ill inmates live on the institutional yard, and a Hospice Program providing end of life support in the Nursing Care Facility. Several contracted programs are also offered which include Moral Reconciliation Therapy, Anger Management, Seeking Safety and Con Game.

Kentucky Correctional Industries operates at the Reformatory and currently employs 100 inmates. Operations include production of Kentucky state automobile license plates, a powder coat facility, welding and metal fabrication.

The Reformatory works with the Humane Society of Oldham County to provide a canine rescue and training program named Camp K-9. Inmates involved with the program train dogs as service dogs, therapy dogs or as family pets to be adopted.

Highlights

KSR’s American Correctional Association re-accreditation was successfully conducted in October. The

review team was particularly impressed with the medical care provided and stated that the services provided exceeded community medical care.

An on site Cardiology and Urology clinic was established in partnership with outside medical resources that eliminated 80% of outside medical appointments in those specialties.

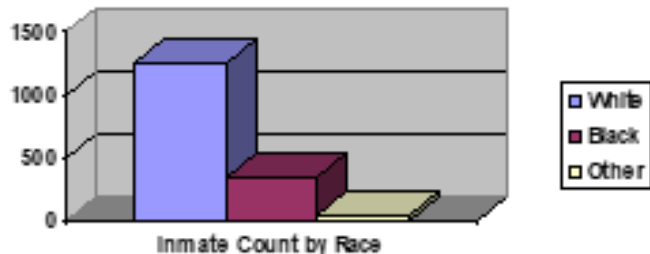
All lighting was upgraded to light emitting diode which is anticipated to have a significant energy savings for institutional operating budget.

The security department has a total of 210 allotted security positions and presently is operating on a 30% vacancy rate. Prior to June, security staffing was operating on a five days, two 12 hour shifts. Due to downsizing, the institution was able to reduce to a four day, two 12 hour shifts staffing which increased staff morale. KSR continues to utilize internal support staff, Probation and Parole and staff from other institutions in other parts of the state for additional security staffing.

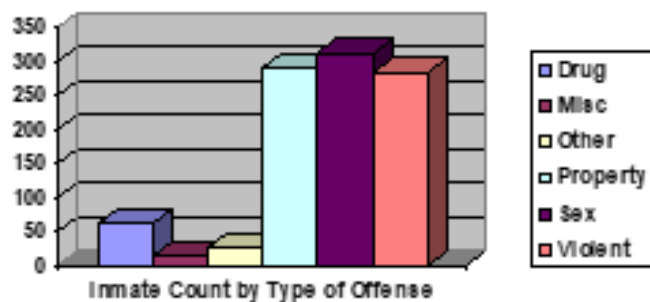
Kentucky State Reformatory

Demographic Data

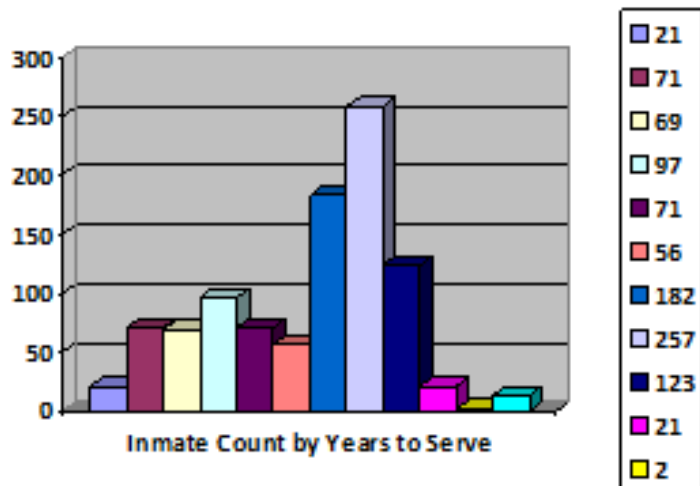
RACE	COUNT	PERCENTAGE
White	757	77.01%
Black	206	20.96%
Other	20	2.03%
Total	983	100.00%



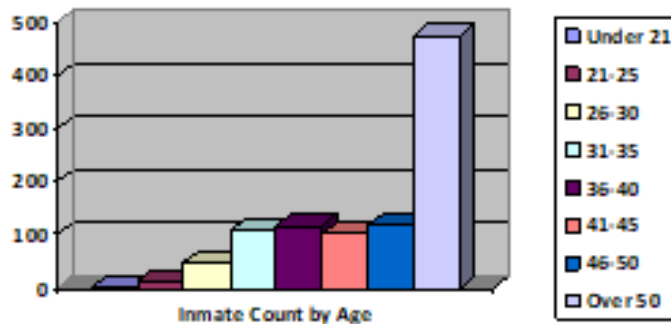
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	61	6.21%
Misc.	13	1.32%
Other	23	2.34%
Property	290	29.50%
Sex	312	31.74%
Violent	284	28.89%
Total	983	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	21	2.14%
4 - 5 Years	71	7.22%
6 - 9 Years	69	7.02%
10 Years	97	9.87%
11 - 14 Years	71	7.22%
15 Years	56	5.70%
16 - 20 Years	182	18.51%
Over 20 Years	257	26.14%
Life	123	12.51%
Life W/O	21	2.14%
Death Row	2	0.20%
Out of State	13	1.32%
Total	983	100.00%



AGE	COUNT	PERCENTAGE
Under 21	2	0.20%
21-25	13	1.32%
26-30	47	4.79%
31-35	108	10.99%
36-40	117	11.90%
41-45	101	10.27%
46-50	119	12.11%
Over 50	476	48.42%
Total	983	100.00%



Little Sandy Correctional Complex



Warden

Keith Helton

Deputy Warden– Security

Danny McGraw

Deputy Warden– Programs

Malcolm Smith

Administrative Assistant

Deborah Williamson

Address

505 Prison Connector
Sandy Hook, KY 41171

2018 Average Daily Population

989

Total Number of Staff

257

Security Level

Medium

Overview

Little Sandy Correctional Complex (LSCC) is a medium security institution located in Elliott County, Kentucky. It is the department’s newest and most technologically advanced

institution in the state.

A total of 985 inmates are currently housed at LSCC. Our housing includes two general population housing units with 416 beds in each, a 100-bed minimum security unit and a 90 bed restrictive housing unit. LSCC has a maximum capacity of 1022 beds.

There are numerous support buildings including academic and vocational schools, a medical unit, inmate canteen, Correctional Industries, gym, dining facility and maintenance area.

LSCC operates under the direct supervision model of supervision. It encourages staff and inmate interaction and allows the inmates to have direct access to staff when they have a concern.

Little Sandy Correctional Complex received its initial accreditation from the American Correctional Association (ACA) on August 13, 2007 and has maintained accredited status since.

In March of 2015, LSCC received its PREA accreditation and reaccredited in 2018.

Highlights

LSCC was approved for 10 new correctional officer positions to add to the staffing compliment. These positions were allotted to LSCC due to the increased number of transportations trips in an effort to curb increasing overtime costs at the institution.

High Mass Lighting fixtures around the perimeter of the facility were upgraded to LED fixtures. The new



Keith Helton
Warden

LED lighting is estimated to save the institution \$ 43,605 annually.

LSCC organized a quarterly Reentry Fair to prepare the inmates for re-entry into society. Various groups are invited to the institution to assist the inmates in finding jobs, healthcare, housing, skill training, and points of contact to Probation and Parole and the reentry branch. LSCC organized a Reentry Expo simulation for staff. Staff went through a process similar to what an inmate may participate in. Various groups are invited to the institution to assist the inmates in finding jobs, healthcare, housing, skill training, and points of contact to Probation and Parole and the re-entry branch.

LSCC upgraded the walk through metal detector at the Security Reception area to promote security. The new metal detectors indicate the location of the object that it is detecting.



Pictured above: LSCC aerial photo



Little Sandy Correctional Complex

LSCC installed six Geo-Vision cameras with 360-degree view, day/night capability, 30 frames per second and H264/H265 rendering software. The cameras were installed in the visitation room, GA & GB housing unit hallways, and GA & GB housing unit bullpens, KCI woodshop, and the gymnasium. The new cameras allow for an improved video monitoring experience.

LSCC updated the ACA file server to increase storage capacity and access speed.

The GA, GB, SAP Bullpen, and walking track asphalts were sealed to prolong durability.

LSCC changed movement procedures to controlled movement for “P Building” to include academics, vocational, recreation, and chapel.

LSCC made available the “Soft Skills” class for inmates. Some classes are instructed in the visitation room to accommodate a larger number of inmates thus reducing the waiting list for some classes. A total of 23 inmates graduated from the GED program.



Malcolm Smith
Deputy Warden



Danny McGraw
Deputy Warden

Programmatic and Operational Areas

LSCC has a diverse array of programming to address the needs of a wide spectrum of offenders. We provide quality programs that focus on key fundamentals such as behavior and thinking processes.

LSCC offers programs to include Active Parenting, Advanced Inmate to Work, Cage Your Rage, Canine Program, Carpentry, Gateway Community Action, GED, Horticulture, Inmate to Work, Inside Out Dads, Life Without A Crutch, MRT Anger Management, MRT Mentors, MRT Moral Recognition Therapy, MRT Reentry, MRT Successful Reentry, Narcotics Anonymous, National

Career Readiness Certificate Program, New Directions, Substance Abuse Program, Small Engines, Soft Skills, Violent Offender Program, and VT Fundamentals for Vo-Tech.

Program opportunities for inmates in the Minimum Security Unit include canine program, Inside out Dads, Morale Recognition Therapy, MRT Mentor, Narcotics Anonymous, Portal New Directions, Responsible Thinking, and Soft Skills Boot Camp.

LSCC actively seeks partnerships with community and educational entities to provide an integral programmatic menu to the inmate population.



Pictured to the left: LSCC Color guard.

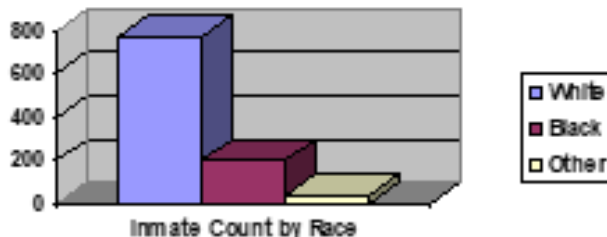


Pictured to the right: LSCC Incident Command Center.

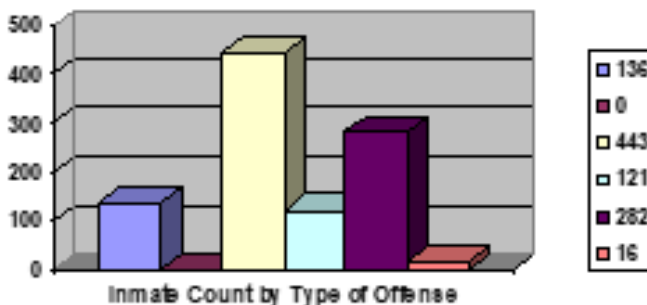
Little Sandy Correctional Complex

Demographic Data

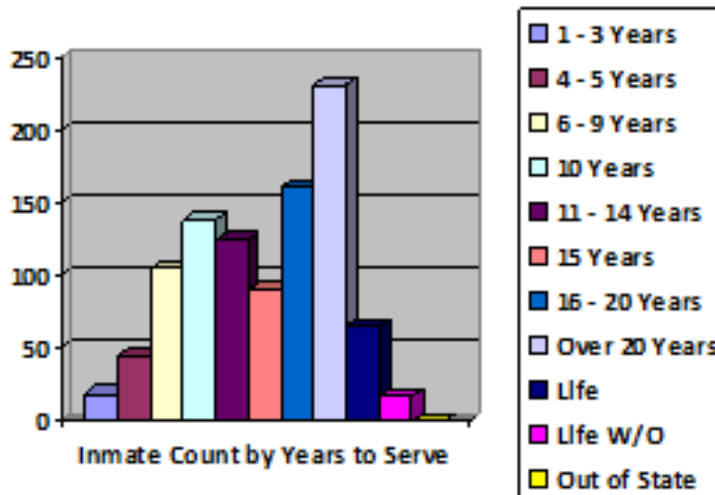
RACE	COUNT	PERCENTAGE
White	770	77.15%
Black	195	19.54%
Other	33	3.31%
Total	998	100.00%



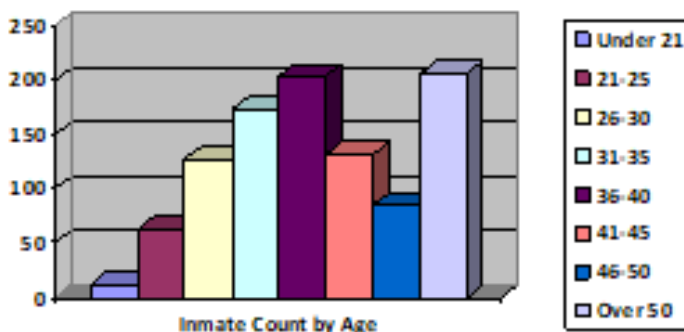
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	136	13.63%
Misc.	0	0.00%
Other	443	44.39%
Property	121	12.12%
Sex	282	28.26%
Violent	16	1.60%
Total	998	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	19	1.90%
4 - 5 Years	45	4.51%
6 - 9 Years	105	10.52%
10 Years	139	13.93%
11 - 14 Years	125	12.53%
15 Years	90	9.02%
16 - 20 Years	161	16.13%
Over 20 Years	232	23.25%
Life	65	6.51%
Life W/O	17	1.70%
Out of State	0	0.00%
Total	998	100.00%



AGE	COUNT	PERCENTAGE
Under 21	12	1.20%
21-25	62	6.21%
26-30	127	12.73%
31-35	173	17.33%
36-40	203	20.34%
41-45	131	13.13%
46-50	84	8.42%
Over 50	206	20.64%
Total	998	100.00%



Luther Luckett Correctional Complex



Warden

Scott Jordan

Deputy Warden– Security

James Coyne

Deputy Warden– Programs

Jessie Ferguson

Administrative Assistant

Suzannah Rostek

Address

1612 Dawkins Rd.
LaGrange, KY 40031

2018 Average Daily Population

1208

Total Number of Staff

187

Security Level

Medium

Overview

The Luther Luckett Correctional Complex (LLCC) was built in direct response to the 1980 Federal Consent Decree. LLCC was named in honor of Luther Luckett, an employee of the Department of Corrections

for over 22 years. It stands as a tribute to him and exemplifies his dedication and commitment to duty and excellence in correctional management.

The first inmates were received at the LLCC in March 1981. The institution was originally designed to house 486 inmates. In 1990, the institution double bunked the cells.

LLCC is unique among the other eleven correctional facilities in that the Kentucky Correctional Psychiatric Center under the Kentucky Family & Health Services Cabinet operates behind the perimeter fence. This provides the sister agency with a safe and secure environment to complete the unique mission it has been tasked with.

Highlights

LLCC continued to implement safety and security measures for the long-term benefit of the institution, the staff and the inmate population during 2018.

The staff grill runs on all shifts and is staffed with RCC minimum custody inmates. This generates money for the staff canteen account.

The K9 Training Program continues to maintain 12 dogs providing them with training, basic obedience, and placement in a forever home. The program employs 12 inmate dog handlers and 2 inmate clerks/janitors. There were 88 dogs adopted in 2018.

Staff recruitment and retention remains to be an important aspect at LLCC. The personnel administration continues to participate in a regional process for the interview



Scott Jordan
Warden

and selection process of correctional officers. Staff training has been emphasized and a retention committee has been established with strong results. We have also begun interviewing candidates in the Eastern and Western part of the state.

In 2018, LLCC completed 190 sexual abuse/harassment/consensual incident investigations. By the end of 2018, We had specifically trained staff members that can investigate sexual abuse/harassment allegations.

LLCC maintains a secure perimeter with three operational towers and an external patrol vehicle. Our fences have adequate razor wire and we maintain an operation motion detection system.

LLCC has made a marked effort to increase accountability within the security department. LLCC continued the CCTV upgrade project to create more camera coverage and eliminate blind spots. Currently the institution has 466 operational cameras.

Magnetic locks were also added to the hallways of building one in case of an active shooter or breach of security the areas could be locked and not accessed keeping the staff secured and safe until the threat has been cleared.

In 2018 in the Restrictive Housing



Luther Luckett Correctional Complex

Unit (RHU), a secondary fence was completed with nine additional strands of 24" razor wire for added security.

We have completed an LED lighting change for the institution; this includes all interior and exterior lighting.

Regarding security, Maintenance enclosed all of the second story floors of the dormitories by using steel bars and chain link fencing to help provide security and safety for staff and inmates.

In the dormitories, we have completed a water heater change out. They were converted from electric to gas powered, thus providing endless supply of hot water for the inmate population.

In Laundry, we removed all the inmate washing machines and replaced them with new units.

LLCC has been on 12-hour shifts, 5 days per week since December of 2015. Hiring and recruiting efforts in the eastern part of the state have declined due to a decreased lack of interest. This has brought us to a 50 % vacancy rate.

LLCC has developed an incident mapping system based on Incident Report tracking. These instruments provide accuracy and continue to be effective tools to monitor for areas of concern.

A new lockdown procedure was implemented to include handing out whistles to all staff to be utilized for an immediate lockdown.

CERT and armory were expanded by taking over the old locksmith office.



Jessie Ferguson
Deputy Warden



James Coyne
Deputy Warden

This allows for better organization, more room and quicker access in the event that weapons and ammunition is needed.

A wall made from expanded metal and 2 inch steel tubing was placed in the adjustment office separating the inmate from the adjustment committee chairperson. This added a layer of protection for any staff member conducting hearings.

Programmatic and Operational Areas

One additional mental health position was added due to the increase of mental health needs. We have one psychologist that is assigned to RHU, one psychologist that is assigned to general population and one psychologist assigned to the inmates that live in 7 A. Housing unit 7A is primarily where we house our inmates that have medical or mental health needs.

LLCC continues to provide numerous opportunities for inmates to advance academically to include GED, college, and vocational trades. In addition, we offer a variety of programming to include MRT, MRT relapse prevention, MRT anger management, MRT getting started, MRT successful reentry,

sex offender treatment program, inside out dads, new directions, and seeking safety. All of the listed programs and educational options provide the inmate with 90 days good time award. We also offer many religious based programs as well.

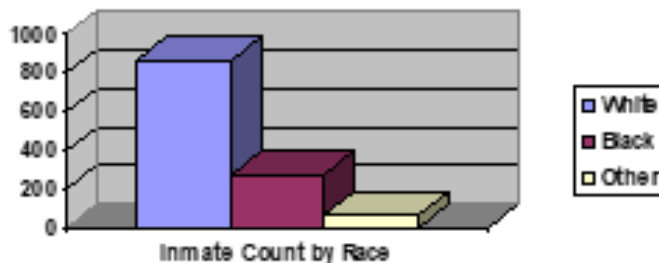
In conjunction with Adopt Me! Bluegrass Pet Rescue and the Luther Luckett Correctional Complex Paws Behind Bars Program, have a new training program for service, therapy and emotional support dogs has recently been launched. The program, called Paws for Me, will select highly qualified puppies and dogs for adoption to people with specific needs. The training of these puppies and dogs will require months of specialized training. Paws for Me will also enhance the inmates' dog handling skills. The handlers will acquire advanced levels of training skills. These skills will be an asset to the inmates as they re-enter society upon their release from prison.

We have collected resources from the reentry branch for the reentry center and started the process of developing the center in building 5C near the reentry office. LLCC has had two reentry expos and one reentry simulation.

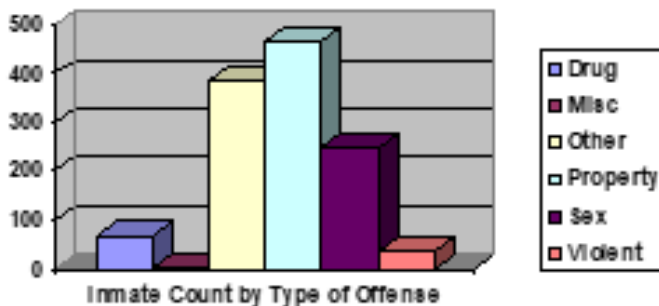
Luther Luckett Correctional Complex

Demographic Data

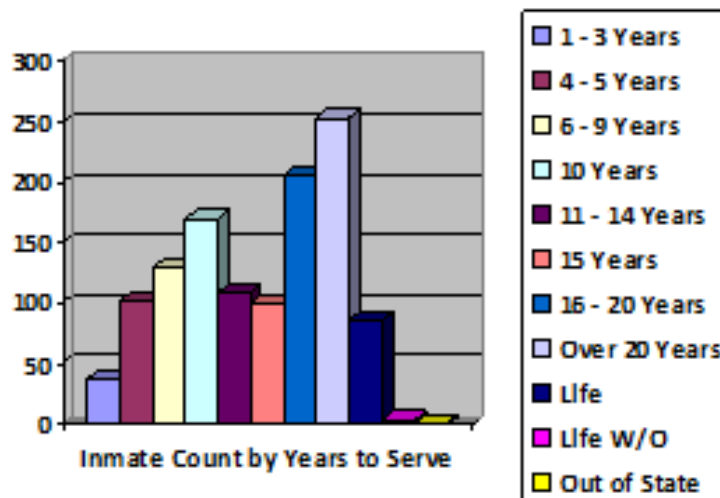
RACE	COUNT	PERCENTAGE
White	868	72.51%
Black	273	22.81%
Other	56	4.68%
Total	1197	100.00%



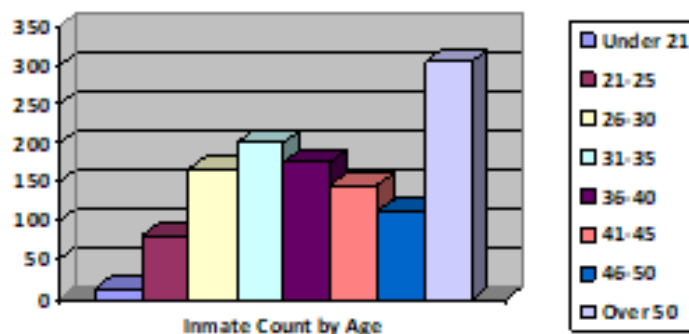
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	67	5.60%
Misc.	1	0.08%
Other	385	32.16%
Property	463	38.68%
Sex	248	20.72%
Violent	33	2.76%
Total	1197	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	37	3.09%
4 - 5 Years	102	8.52%
6 - 9 Years	129	10.78%
10 Years	170	14.20%
11 - 14 Years	109	9.11%
15 Years	100	8.35%
16 - 20 Years	206	17.21%
Over 20 Years	253	21.14%
Life	86	7.19%
Life W/O	4	0.33%
Out of State	1	0.08%
Total	1197	100.00%



AGE	COUNT	PERCENTAGE
Under 21	12	1.00%
21-25	81	6.77%
26-30	166	13.87%
31-35	200	16.71%
36-40	175	14.62%
41-45	145	12.11%
46-50	113	9.44%
Over 50	305	25.48%
Total	1197	100.00%



Northpoint Training Center



Warden

Brad Adams

Deputy Warden– Security

Mendalyn Cochran

Deputy Warden– Programs

Shea Carlson

Deputy Warden– Operations

Craig Hughes

Administrative Assistant

Kelly McGinnis

Address

710 Walter Reed Road
Danville, KY 40422

2018 Average Daily Population

1235

Total Number of Staff

294

Security Level

Medium

Overview

Northpoint Training Center (NTC) is a medium security correctional facility

for adult male offenders. The facility is located in northern Boyle County near Danville, Kentucky.

The department received control of the property in January 1983. NTC was initially conceived as minimum-security institution for fewer than 500 inmates. The mission rapidly changed to the development of a medium security institution with a proposed population of 700 inmates. The institution has expanded over the years to a bed capacity of 1,256.

NTC consists of 551 acres. General population inmates are housed in open-bay dormitories within a secure perimeter. Restrictive Housing Unit inmates are housed in single cells in a 60-bed segregated structure from the main compound. Around 40 minimum security inmates are housed in dormitories outside the secure perimeter.

The perimeter of the compound is a combination of two parallel 12-foot fences with razor wire on the bottom, middle and top. The inner perimeter fence has a sensor system that alerts the main control, four armed wall towers, and outside pa-



*Brad Adams
Warden*

trol. Over 600 state of the art security cameras have been installed to monitor inmate activities within the grounds of the facility.

Highlights

In March 2018, the institution received a 100 percent score on its PREA audit and exceeded in six standards.

The institution obtained recycling bins and dumpsters through a grant from Boyle County Waste Management, allowing the institution to implement an improved recycling program.

In June, Sharps Shuttles began to be utilized within the institution to minimize needle and homemade weapon exposure to staff.



*Pictured above: One of two transport buses acquired by NTC.
Pictured to the left: Inside of the transport bus.*



Northpoint Training Center



*Mendalyn Cochran
Deputy Warden of Security*



*Craig Hughes
Deputy Warden of Operations*



*Shea Carlson
Deputy Warden of Programs*

Renovations were completed of the Administration Building and displaced staff were able to return to the building in August 2018.

In September, the institution installed a drone detector system as well as relocated its Command Center to a larger more efficient and dedicated area.

In November a project began to replace the outside lights at the institution with energy efficient LED Bulbs. This project is expected to be completed in early 2019.

Programmatic and Operational Areas

In March 2018, new procedures concerning the interlibrary loan program

at the institution were implemented. The institution now only utilizes Kentucky public libraries for the program and removed all out-of-state libraries and all university libraries, as these entities costs were higher than those of Kentucky libraries. Since implementation, the institution has seen a drastic reduction in overdue interlibrary loan books, resulting in large cost savings to the institutions, library program.

To help strengthen security at the institution, the utilization of a black light stamp for all visitors entering or exiting the yard through the Control Center was put in place.

Additional security supervisors on

the institution’s “A” and “B” response teams began carrying a taser on their person.

The institution began to offer the MRT Seeking Safety program to the inmate population.

Additional devices and equipment were purchased to assist the hard-of-hearing populations, such as portable telephone amplifiers and CapTel Telephones.

A Reentry Simulator was held at the institution. During that time several local community stakeholders were invited and attended the event.



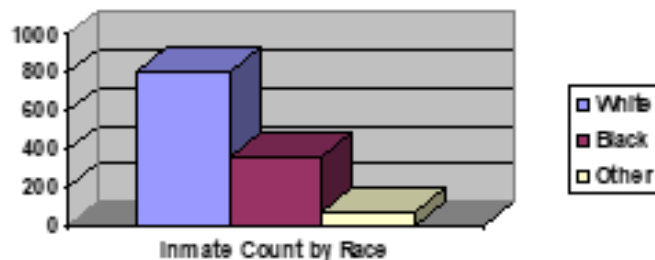
NTC established a recycling program through a grant with Boyle County Waste Management.



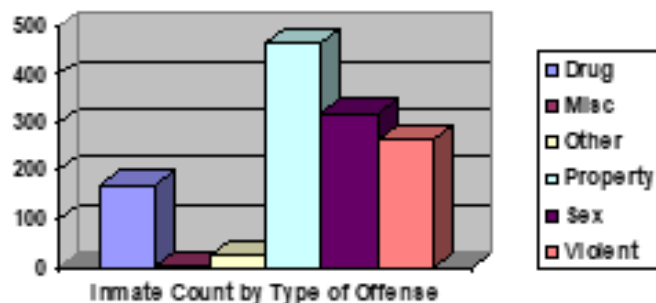
Northpoint Training Center

Demographic Data

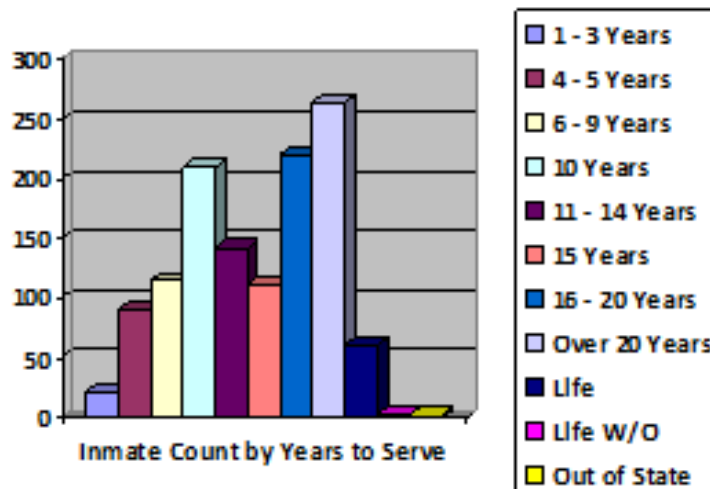
RACE	COUNT	PERCENTAGE
White	818	65.91%
Black	357	28.77%
Other	66	5.32%
Total	1241	100.00%



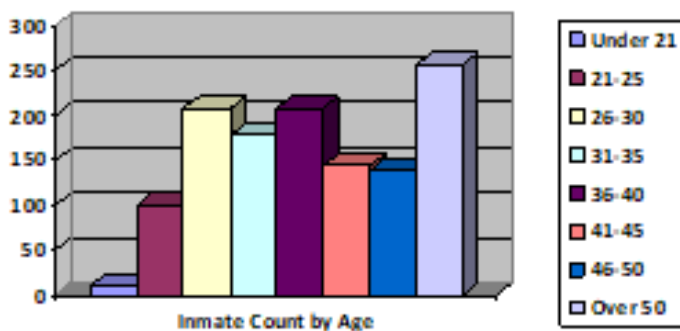
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	170	13.70%
Misc.	2	0.16%
Other	24	1.93%
Property	463	37.31%
Sex	318	25.63%
Violent	264	21.27%
Total	1241	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	22	1.77%
4 - 5 Years	91	7.33%
6 - 9 Years	115	9.27%
10 Years	210	16.92%
11 - 14 Years	143	11.52%
15 Years	111	8.94%
16 - 20 Years	220	17.73%
Over 20 Years	264	21.29%
Life	60	4.83%
Life W/O	3	0.24%
Out of State	2	0.16%
Total	1241	100.00%



AGE	COUNT	PERCENTAGE
Under 21	10	0.81%
21-25	100	8.06%
26-30	208	16.76%
31-35	177	14.26%
36-40	207	16.68%
41-45	144	11.60%
46-50	138	11.12%
Over 50	257	20.71%
Total	1241	100.00%



Roederer Correctional Complex



Warden
Ravonne Sims
Deputy Warden– Security
Arnold Chisholm
Deputy Warden– Programs
Amy Robey
Administrative Assistant
Patti M. Ray
Address
P.O. Box 69 LaGrange, KY 40031
2018 Average Daily Population
1211
Total Number of Staff
205
Security Level
Medium & Minimum

In December 1987, the first of several transitions occurred. The institution converted to a medium security facility and increased the offender population to 252. In 1989, with the transfer of the Assessment and Classification Center from the Kentucky State Reformatory, the offender population increased to 435. The double bunking of existing living areas and utilization of five trailers for additional housing options achieved this expansion. In May 2016, 36 offenders relocated from KSR to RCC Minimum Security Unit, increasing its capacity to 108 beds. In May 2017, 50 medium offenders were added to the Unit 3 Permanent housing, increasing the permanent population to 200. Currently, RCC has five separate units, with a maximum capacity of 1,238 offenders.

RCC is a dynamic facility, with three distinct areas. The Assessment and Classification Center processes all incoming male felons,



Ravonne Sims
Warden

with the exception of those sentenced to death row. Over 3,000 incoming offenders are processed each year. The Assessment Center is responsible for the classification of offenders for the Class D/Class C Program (approximately 12,000 per year).

RCC houses the Controlled Intake Records Office, which calculates all felony sentence calculations (approximately 14,000 per year). Offenders permanently assigned to RCC provide support services for the institution. RCC currently has 200 medium custody permanently assigned offenders who work in the maintenance department, kitchen,



Overview

The Roederer Correctional Complex (RCC) opened August 28, 1976, as a 150-bed minimum-security work camp responsible for the farming operation of approximately 3,000 acres.

Roederer Correctional Complex

laundry, legal office, grievance office, as well as other areas necessary for the daily operation of the facility. Additionally, minimum security units at RCC have a maximum capacity of 308 offenders and is the location for a 200-bed Substance Abuse Program (SAP) at the facility and 108 offenders that provide support for RCC, LLCC and KSR.



Amy Robey
Deputy Warden



Arnold Chisholm
Deputy Warden

Highlights

Sallyport Traffic Strobes added May 11, 2018.

In September security staff transitioned from working 8-hour shifts, 5 days a week to 12-hour shifts, alternating 5 days on/2 days off and 4 days on/3 days off schedules.

Roederer Correctional Complex Emergency Management Team was formed September 2018.

Energy conservation, new lighting and plumbing upgrades in December 2018.

Programmatic and Operational Areas

During 2018 an offender information administrator position was created to supervise and manage controlled intake records, RCC and Assessment Center records. The position was filled by June 2018.

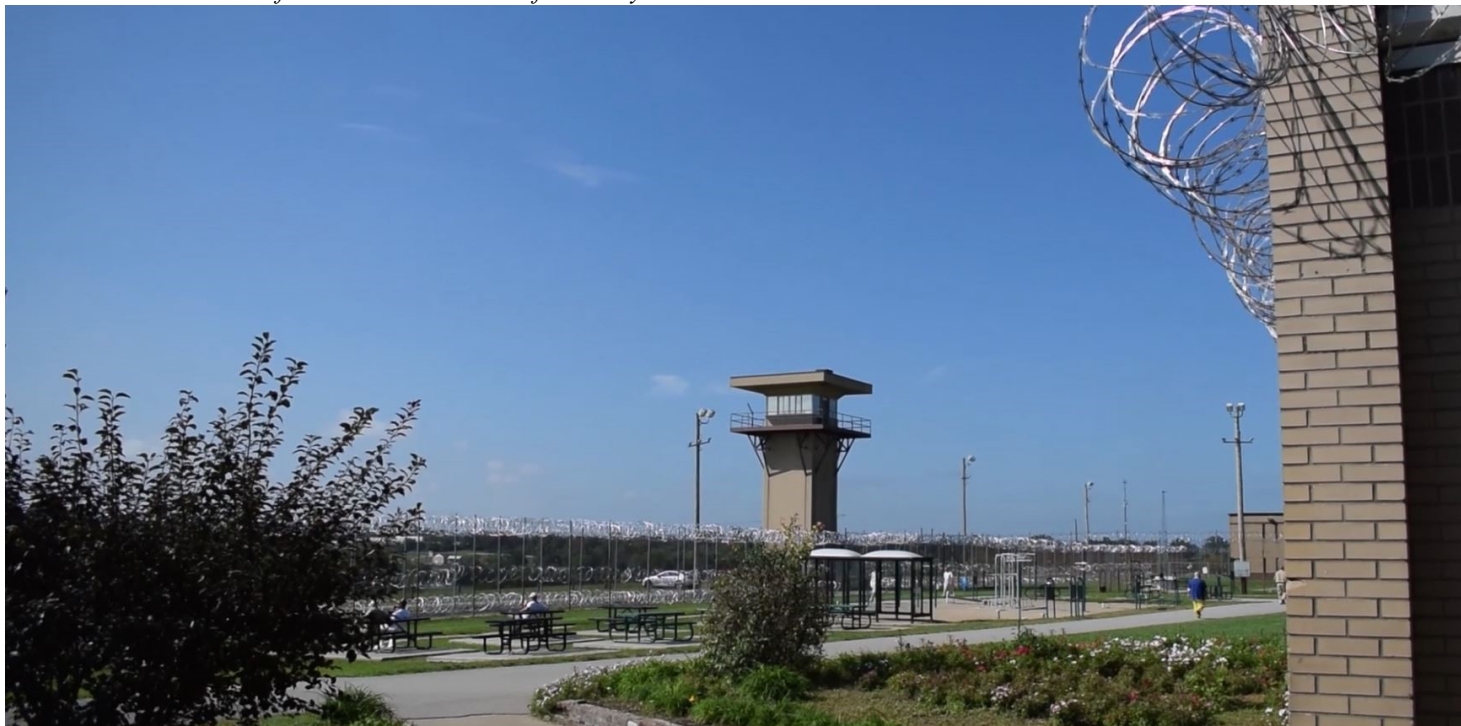
Controlled Intake/ Roederer Correctional Complex /Assessment Center Records Departments merged August 2018.

Reentry Center opened September 2018.

RCC started offering Soft Skills Boot Camp in March. Soft Skills Boot Camp focuses on soft skills offenders can utilize upon release such as listening skills, reconciliation, and stress management.

In October, RCC food service department received two new kettles and one new tilt skillet.

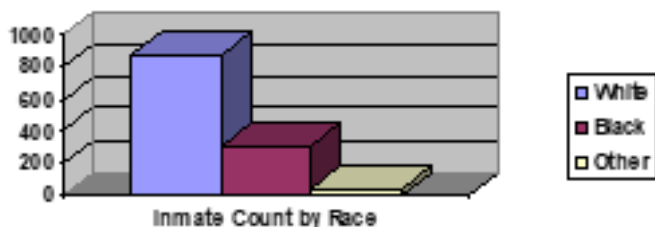
Pictured below: A view of the surveillance tower from the yard at RCC.



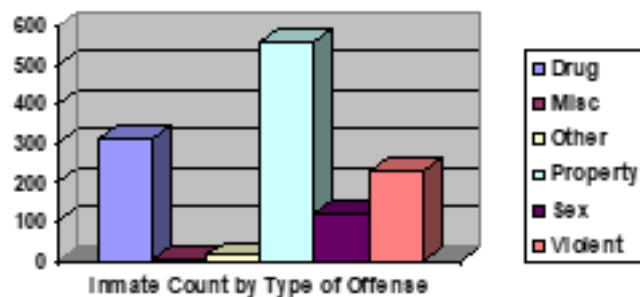
Roederer Correctional Complex

Demographic Data

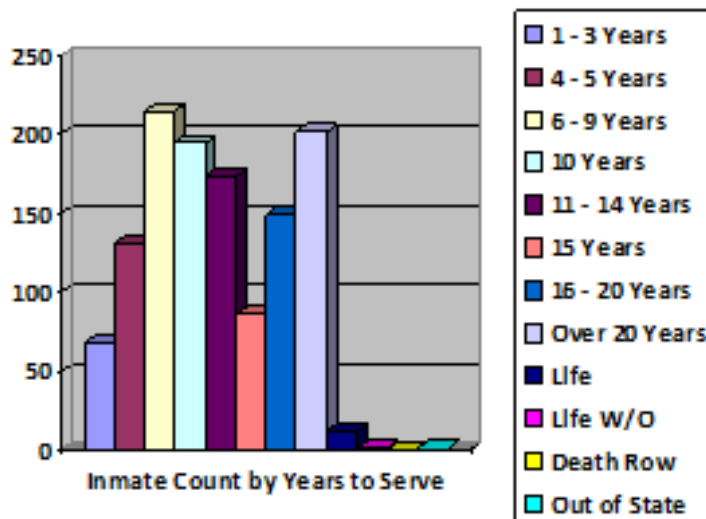
RACE	COUNT	PERCENTAGE
White	876	71.22%
Black	308	25.04%
Other	46	3.74%
Total	1230	100.00%



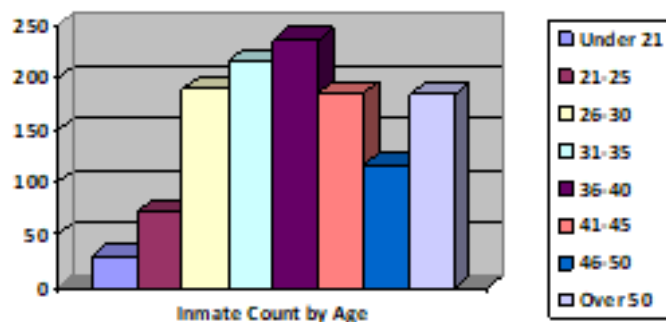
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	313	25.44%
Misc.	1	0.08%
Other	12	0.98%
Property	560	45.53%
Sex	115	9.35%
Violent	229	18.62%
Total	1230	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	67	5.45%
4 - 5 Years	131	10.65%
6 - 9 Years	214	17.40%
10 Years	194	15.77%
11 - 14 Years	173	14.07%
15 Years	86	6.99%
16 - 20 Years	149	12.11%
Over 20 Years	201	16.34%
Life	12	0.98%
Life W/O	2	0.16%
Death Row	0	0.00%
Out of State	1	0.08%
Total	1230	100.00%



AGE	COUNT	PERCENTAGE
Under 21	30	2.44%
21-25	72	5.85%
26-30	189	15.37%
31-35	216	17.56%
36-40	236	19.19%
41-45	186	15.12%
46-50	117	9.51%
Over 50	184	14.96%
Total	1230	100.00%



Western Kentucky Correctional Complex



1977, this facility became a separate minimum institution called the Western Kentucky Farm Center. In 1989, the institution was converted to a medium security facility. The name of the institution was changed to Western Kentucky Correctional Complex in July 1990. In 2010, the institution converted to a female institution. In 2015, what was formerly known as the Minimum Security Unit for WKCC became the Ross-Cash Center, housing 200 female inmates, and Western Kentucky Correctional Complex transitioned back to a male population.

In April of 2016, the Ross-Cash Center became a subordinate center, resulting in Western Kentucky Correctional Complex becoming a co-ed facility. The institution houses 693 inmates. It includes 44 cells with two additional Observation Cells in a Restrictive Housing Unit, 477 medium security male inmates are housed in three open wing dormitories within the secured perimeter, and 200 minimum security female inmates are housed in two open wing dormitories outside of the secured perimeter. Inmates are offered academic, vocational and cognitive thinking programs along with employment opportunities that prepare them to be capable of contributing to society in a positive manner upon release. The facility has been accredited by the American Correctional Association since 1988, with the most recent audit in May of 2018 when the facility scored 100%. WKCC has a farming operation, situated on 2,200 acres, with a herd of 800 beef cows, 405 acres of corn, 300 acres of



Tim Lane
Warden

wheat and 355 acres of soybeans. There is also a 15-acre garden and a 275 tree apple orchard, as well as a composting operation. WKCC also operates a Regional Recycling Center that partners with six surrounding counties that result in an average landfill savings of 500 tons per year.

Highlights

During the first week of February, changes were made to the layout of our front gate area to expedite entry to the compound.

February 11 through 16, the Medical Department completed annual TB tests for all current staff.

In March, NOA Counseling featured WKCC in their quarterly DOC newsletter. An article from MRT Instructor Stacy Dortch was included. The section entitled “Person of Excellence” included segments on CTO Tom Lester, UA II Bobbi Jo Butts, Deputy Warden Jon Tangerose, and UA II Linda Green.

On March 7, approximately 30 employees participated in a blood drive when a bloodmobile from the Regional Blood Center visited the facility.

On March 14, various items were donated to Bright Life Farms, a faith-based non-profit group home for

Warden	Tim Lane
Deputy Warden– Security	Chris Hatton
Deputy Warden– Programs	Jon Tangerose
Administrative Assistant	Regina Moore
Address	374 New Bethel Church Rd, Fredonia, KY 42411
2018 Average Daily Population	665
Total Number of Staff	231
Security Level	Medium

Overview

The Western Kentucky Correctional Complex (WKCC) was constructed in 1968 as a satellite facility of the Kentucky State Penitentiary. In



Western Kentucky Correctional Complex

mentally or developmentally challenged adults who may not live alone.

An ACA Mock Audit was conducted March 27 through 29.

In March, WKCC hosted the first Facilitating Innovative New Engaging Supervisor Training (FINEST) program in the Commonwealth of Kentucky. This is a new statewide leadership program facilitated by local institutions for the development of leadership skills with the emphasis being on turning supervisors into leaders.

On Saturday, April 7 the Princeton newspaper, The Times Leader, included an article regarding seasonal banners for Princeton's main street. One of our inmates is a seamstress who volunteered her time to work on the banners.

On April 24, Unit Administrator I Jayme French was recognized as an Outstanding Reentry Leader by Reentry Director Kristin Harrod and Warden Tim Lane.

On April 26, criminal justice students from Murray State University toured the institution.

June 6 through 9, WKCC successfully completed an audit by the American Correctional Association (ACA) and received its fifth consecutive 100 percent.

On July 1, Deputy Warden of Security Kevin Mazza promoted to Warden at Green River Correctional Complex.

On August 1, the Odinst/Asatru group, a new religious group, began meeting on the compound for male



*Jon Tangerose
Deputy Warden*



*Chris Hatton
Deputy Warden*

inmates.

August 3 through 6, Procedures Development Coordinator attended the ACA summer conference in Minneapolis, MN to receive a certificate recognizing the facility's 100% score on the ACA audit conducted in June.

On August 8, eleven criminal justice students from Daymar College of Bowling Green toured the institution.

On August 17, fourteen participants representing WKCC, GRCC, and KSP in the Western Regional LEAD program graduated. Deputy Commissioner Randy White was the guest speaker.

On September 5, Records Supervisor Wendy Dupriest and Lt. Beth Sparks received 2018 Achievement Awards at the DOC luncheon during the Kentucky Council on Crime and Delinquency's annual conference in Lexington.

On October 1, Safety Specialist J.P. Moore facilitated a tour of the institution with various local fire departments. Representatives from Fredonia Fire Department, Eddyville Fire Department, and the State Fire Marshal's Office participated.

On October 15 and 17, a mandatory use of force training was required for all staff.

On November 13, there were 18 students with Murray State University's Criminal Justice Program that toured the institution.

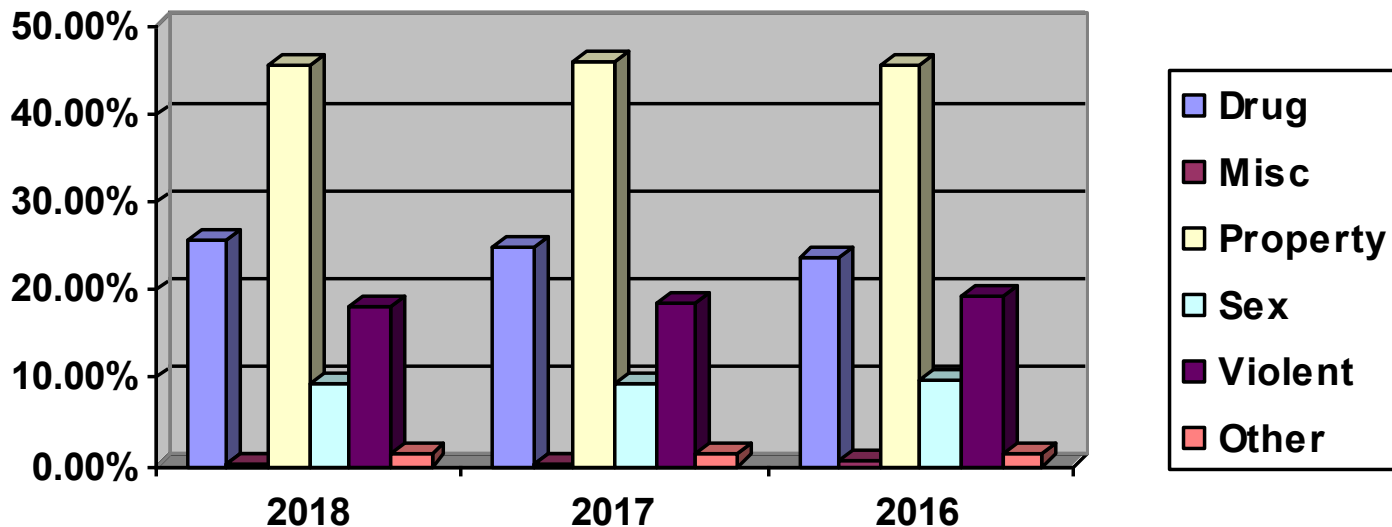
On December 7, \$1,900 was donated to the Hope Family Resource Center of Princeton, which provides services to school-aged children and their families. On the same date, a donation of \$1,900 was made to the David Scott Memorial Toy Drive that allows the Princeton Police Department to purchase toys for over 100 children in Caldwell County.

On December 14, \$1,900 was donated to the Lyon County Family Resource Center, which provides services to school-aged children and their families.

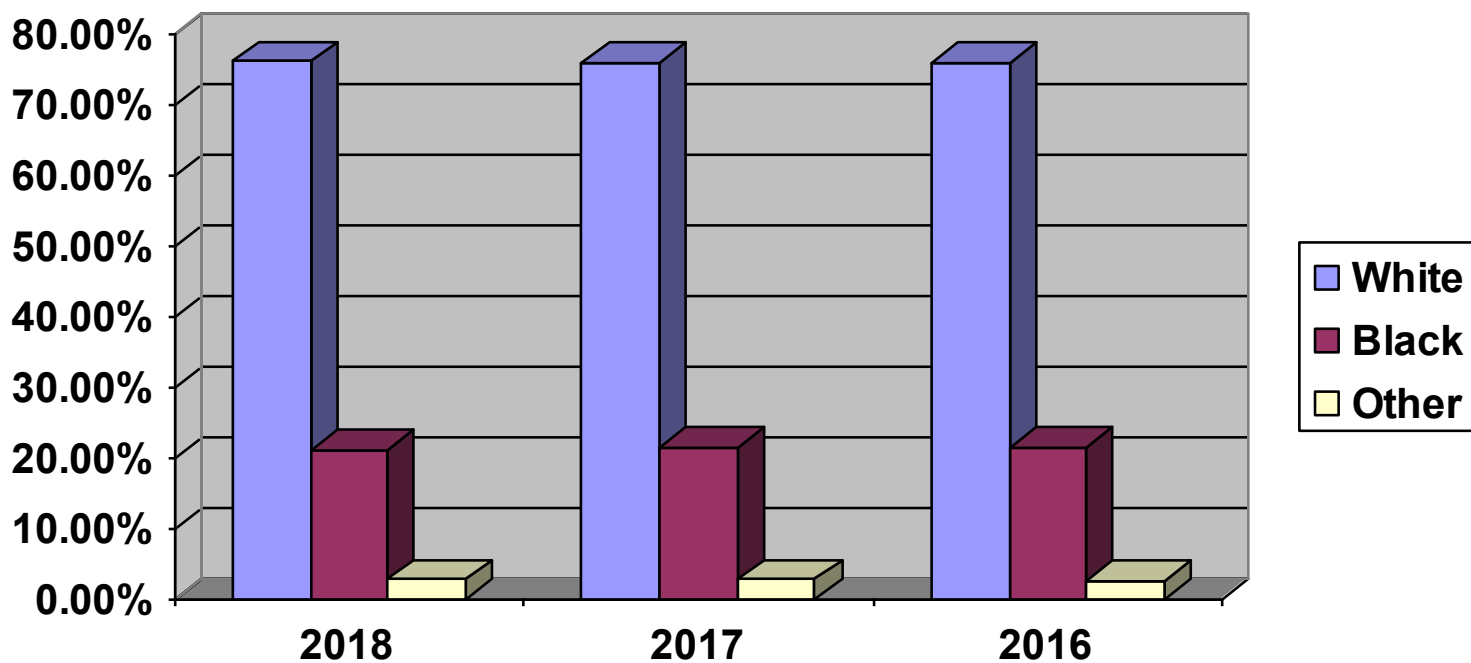
Two volunteer groups started providing new religious services to the inmates in December. Hillcrest Baptist Church is now offering services once a month, and the Agape group is providing additional services for the inmates.

Population Data

**Type of Offense All DOC Institutions
Three-Year Comparison**



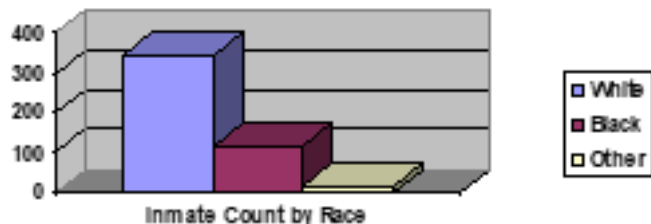
**Ethnic Data for All DOC Offenders
Three-Year Comparison**



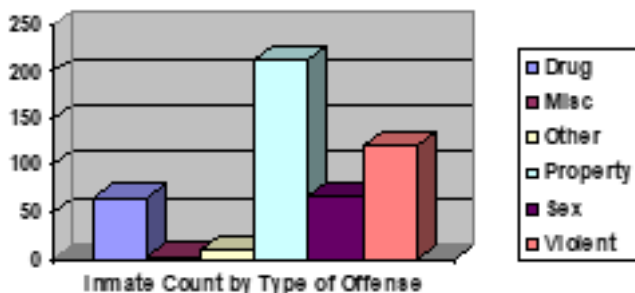
Western Kentucky Correctional Complex

Demographic Data

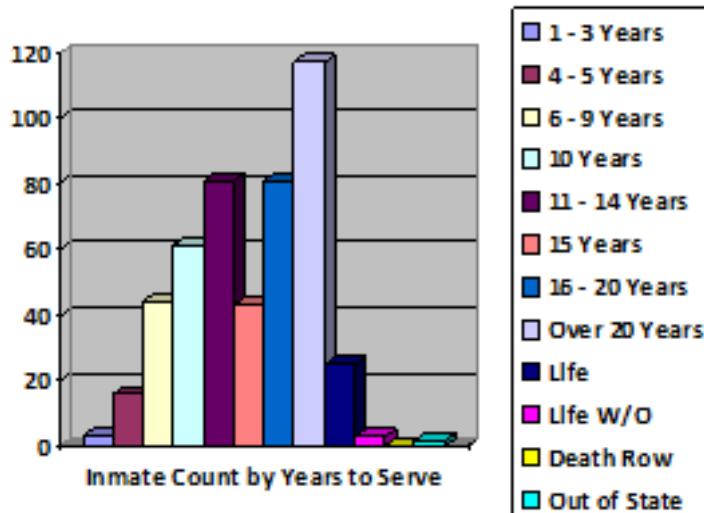
RACE	COUNT	PERCENTAGE
White	340	71.43%
Black	119	25.00%
Other	17	3.57%
Total	476	100.00%



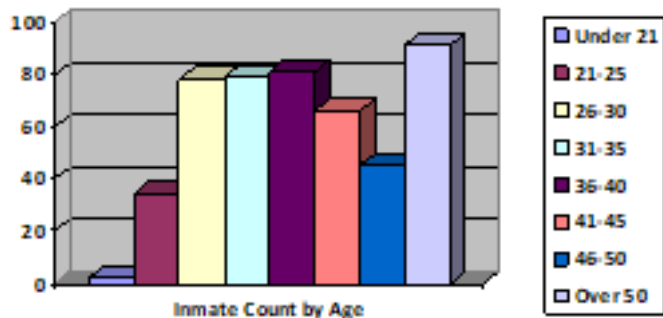
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	65	13.66%
Misc.	2	0.42%
Other	9	1.89%
Property	213	44.74%
Sex	66	13.87%
Violent	121	25.42%
Total	476	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	3	0.63%
4 - 5 Years	16	3.36%
6 - 9 Years	44	9.24%
10 Years	61	12.82%
11 - 14 Years	81	17.02%
15 Years	43	9.03%
16 - 20 Years	81	17.02%
Over 20 Years	117	24.58%
Life	25	5.25%
Life W/O	3	0.63%
Death Row	0	0.00%
Out of State	2	0.42%
Total	476	100.00%



AGE	COUNT	PERCENTAGE
Under 21	2	0.42%
21-25	34	7.14%
26-30	78	16.39%
31-35	79	16.60%
36-40	81	17.01%
41-45	66	13.87%
46-50	45	9.45%
Over 50	91	19.12%
Total	476	100.00%



“Time and time again we have demonstrated that not only will we meet any problem we face head-on, we will forge new paths in a quest for even better solutions.”

*Jonathan Grate
Acting Corrections Commissioner*

