

2017

Annual Report

Kentucky Department of Corrections



James Erwin
Commissioner

Letter From The Commissioner



JUSTICE AND PUBLIC SAFETY CABINET

Matthew G. Bevin
Governor

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John C. Tilley
Secretary

James Erwin, Interim
Commissioner

Dear Fellow Readers:

The year of 2017 was a time filled with transitions and transformations within the Kentucky Department of Corrections. The department never fails to experience more than its fair share of challenges. However, we've demonstrated time and time again that not only will we meet any problem we face head-on, we will forge new paths in a quest for even better solutions. We face staff shortages at a time when the offender population is at its highest. The demand for substance abuse treatment is also the greatest it's ever been. And, more than ever, the department must focus on what it takes for offenders to be successful when they reenter their communities.

Though there will always be obstacles, we have had many triumphs in 2017 that illustrate the significant strides we've made to fulfill our mission to public safety and successful reentry. In May the Education Branch was reorganized and expanded, as was the Substance Abuse Division the following August. In October the Reentry Branch became a separate division, creating both eastern and western branches in the state. We enhanced the tools we use to help our offenders by developing a new risk and needs assessment specifically designed for Kentucky. All of these actions are markers of a paradigm-shift in corrections, showing how strong and comprehensive our commitment is and will continue to be to reentry.

Every day I think about how best I can lead this department of almost 4,000 employees. As we look to the future, I know one thing for certain: we are up to the challenge. No matter what our jobs throw at us, we will rise to the occasion. Thank you for your hard work, your dedication and your service. It does not go unnoticed.

Looking back on the past year and all of our many accomplishments, it makes me look forward to seeing all that we'll do in 2018.

Sincerely,

James Erwin, Interim
Commissioner
Kentucky Department of Corrections



Table of Contents

Message from the Commissioner	2
Table of Contents	3
Office of the Commissioner	5
Division of Personnel Services	6
Personnel Management	6
Payroll	7
Recruitment and Staff Development	7
Communications Office	9
Victim Services Branch	10
Ombudsman Office	12
Office of Support Services	13
Information and Technology Branch	13
Offender Information Services Branch	14
Reentry Branch	16
Division of Administrative Services	18
FY 2017 Cost to Incarcerate	19
FY 2017 Budget	20
Division of Parole and Victim Services	21
Division of Corrections Training	22
Division of Probation and Parole	23
Halfway Houses Population Data	27
Office of Adult Institutions	28
Operations and Program Services	28
PREA Coordinator	28
Capital Construction	29
Food Services	30
Programs and Security	31
Policy and Procedures	32
Education	33
Safety Division	35
Private Prison Operations	35
Kentucky Correctional Industries	36
Health Services Division	38
Substance Abuse Program Division	40

Table of Contents

Institutions

41

Adult Institutions Population Data	43
Bell County Forestry Camp	46
Blackburn Correctional Complex	49
Eastern Kentucky Correctional Complex	52
Green River Correctional Complex	55
Kentucky Correctional Institution for Women	58
Kentucky State Penitentiary	61
Kentucky State Reformatory	64
Little Sandy Correctional Complex	68
Luther Lockett Correctional Complex	71
Northpoint Training Center	74
Roederer Correctional Complex	77
Western Kentucky Correctional Complex & Ross Cash Center	80

Classification/Population Management	84
Local Facilities	86
State Inmate Population in County Jails	86
Finance	87
Housing Bills Audits and Payments	87
Inmate Labor	87
Catastrophic Medical Claims	87
Inspections	87
Construction	88
Investigations	88
2017 Jail Classifications Map	88
Local Facilities Population Data	99
2017 Jail Inspector Regions	89
Class D Felons in County Jails	90



Office of the Commissioner

Commissioner
James Erwin, Interim

Commissioner's Staff

Executive Secretary
Vacant

Personnel Director
Rodney Moore

Communications Director
Lisa Lamb

Executive Staff Advisor/ ACA
Coordinator / Ombudsman
John Dunn

General Counsel
Brenn Combs

Local Facilities Director
Kirstie Willard

Deputy Commissioners

Office of Support Services
Ravonne Sims, Acting

Office of Adult Institutions
James Erwin



The 2016 - 2017 Commissioner's Executive Leadership Program participants inside the Kentucky State Capital. The class graduated June of 2017.

Departmental Oversight

Commissioner Erwin is the chief administrator of the agency, overseeing a \$530M budget and almost 4,000 employees. The Commissioner directly supervises:

◆ **Personnel Division**

Comprised of three branches: Personnel Management, Payroll, and Recruitment and Staff Development - DOC personnel staff serve our employees in the administration of personnel, payroll and recruitment programs throughout the Department.

◆ **Communications Office**

Public Information Officers are responsible for all internal and external communications. They respond to media and open records requests, maintain the DOC social media accounts, produce the annual report and facilitate other special projects.

Victim advocates work with victims providing information about their offender such as explanation of inmate release dates and release type; parole eligibility and hearings; and restitution information.

◆ **Ombudsman's Office**

This office is responsible for responding to all inmate grievances appealed to the Commissioner's Office. They also coordinate all accreditation efforts within the agency.

Legislative Research and Planning focuses on all aspects related to Kentucky's General Assembly including the drafting of statute mandated Corrections Impact Statements.

In addition, all Corrections-related research projects and federal reporting requirements are coordinated through this office.



*James Erwin
Commissioner*



Division of Personnel Services

Director Rodney Moore

Personnel Branch Manager
Diana Eads

Payroll Branch Manager
Ashley Thomas

Recruitment and Staff
Development Branch Manager
Tammy Lou Wright



*Rodney Moore, Director
Division of Personnel Services*

Overview

The Division of Personnel Services is comprised of three branches: Personnel Management, Payroll, and Recruitment and Staff Development. These three branches serve over 4,400 employees in the administration of personnel, payroll and recruitment programs throughout the Department.

The mission of the Division of Personnel Services is three-fold: serve as a catalyst for effective and efficient personnel, payroll, and recruitment services; protect the integrity of the merit system through the proper enforcement and application of the statutes and regulations; and stay informed of the latest personnel

management strategies to establish positive change for the betterment of the Department.

Personnel Management Branch

The Personnel Management Branch provides assistance to executive staff, department managers and personnel liaisons by implementing personnel programs and initiatives, providing guidance on complex personnel issues and represents the Department at meetings related to Human Resources. Division staff also sit on interview panels when requested.

In 2017, the Personnel Management Branch processed over 12,000 personnel actions and requested 1,310 job postings for the Department. They also successfully audited over 3,000 employee evaluations to ensure compliance with state regulations, with the assistance of the other branches within the Division of Personnel.

During 2017, the Personnel Branch abolished a Human Resource Specialist III position to assist with Corrections Management budget constraints. The branch now consists of Diana Eads, Human Resource Branch Manager and two Human Resource Administrators, Alisonde Whittaker and Ashlee Skillern.

The Department experienced several changes throughout the year that involved many challenges for this office. On May 1, 2017, a structure reorganization of the Education Branch was completed. With this reorganization the

Education Branch became the Division of Education, creating the General Education Branch, Special Education Branch and the Vocational Training Branch. On August 16, 2017, a structure reorganization of the Division of Substance Abuse Programming was completed creating seven branches across the state, which required the establishment of seven Administrative Branch Managers. On October 31, 2017 a structure reorganization of the Reentry Branch was completed. With this reorganization the Reentry Branch became the Division of Reentry also creating the Eastern and Western Branches.

The Personnel Management Branch continues to pursue human resource trainings and is actively involved with the Kentucky Chapter of IPMA-HR, KHRIS user group. Ashlee Skillern is currently in the Commissioners Executive Leadership Program. The Branch also participates as part of the Personnel Council, a group of HR representatives from all agencies in the state who come together to discuss personnel issues.

Diana Eads continued to assist Director Moore with the branch



*Diana Eads,
Personnel Branch Manager*



Division of Personnel Services



*Ashley Thomas,
Payroll Branch Manager*

and provide assistance and guidance to her staff, as well as departmental staff. She attends the Probation and Parole District Supervisor meeting to address issues and questions regarding personnel such as evaluations and required and requested trainings. She has attended numerous Personnel Cabinet meetings regarding personnel issues and various areas within the department in the interviewing and hiring process.

Payroll Branch

The Payroll Branch is responsible for employee pay and benefit administration, including monitoring and processing pay, retirement, workers compensation, employee safety programs, family medical leave, W-2 information and unemployment benefits.

During 2017, the Payroll Branch abolished a Human Resource Specialist III position to assist with Corrections Management budget constraints. The branch now consists of Ashley Thomas, Human Resource Branch Manager and two Human Resource Administrators, Faye West and one vacant position at the end of 2017.

Ashley Thomas attended several IPMA-HR meetings during 2017 and helped plan the Southern Region IPMA conference held in Louisville. During the annual human resource liaison meeting held in September, the Payroll Branch prepared and presented on process changes in payroll.

During 2017, the Payroll Branch faced a 75 % turnover rate. Faye West was detailed into a Human Resource Administrator position from Kentucky State Reformatory to assist with the workload.

Recruitment and Staff Development Branch

The Recruitment and Staff Development Branch underwent management changes in 2018. Long time Branch Manager, Teresa Harris, left DOC to pursue other opportunities in the Commonwealth. The Division selected Tammy Lou Wright to fill the position of Administrative Branch Manager in August. While new branch management was in place, the Department continued to experience significant staff shortages. This branch battled the staff shortages by posting vacancies on websites, attending job fairs and assisting applicants with applying for positions in COS. This branch attempted to recruit 'thinking differently' about where to find our potential workforce by recruiting at events other than job fairs.

This branch focused on retention and increasing attention to EEO and sexual harassment complaints. A new case tracking system was established to monitor

cases and capture pertinent information, such as who was the complainant, the alleged harasser and the nature of the complaint. This will allow visibility to areas of concern and provide the opportunity to resolve employee or systematic problems.

The branch continued to oversee the recruitment process, work with veteran employees regarding on-the-job training benefits, monitor the exit interview process, conduct education verifications, approve outside employment requests, create employee badges for staff, review policies and procedures for revisions, monitor staff drug testing and enter training into KELMS. This branch also tracks disciplinary actions reflecting 341 disciplinary actions were issued.

In September, this branch started to monitor I-9 forms to ensure DOC compliance with the federal regulations regarding the timely signature of the form; also confirming all 225 I-9s were completed in a timely manner. During the last quarter of 2017, this branch started monitoring problems employees had applying to the current Career Opportunity System (COS). COS is



*Tammy Lou Wright,
Recruitment Branch Manager*

Division of Personnel Services

the Commonwealth's employment application service. This branch determined that potential employees experienced difficulties applying.

This branch approved 322 volunteer forms; reviewed and approved 130 outside employment forms; created close to 268 employee I.D. badges for Central Office staff and for the Division of Probation and Parole; monitored over 2,400 employee drug screens; and processed 970 education verifications on new employees or promoted staff.

This branch employees Kaycee Kincaid, Human Resource Specialist II and Jesse Kirk, Administrative Assistant. Kaycee assisted in job fairs and posted jobs vacancies each week on various websites. She also sent out two weekly job announcements, one

with promotional jobs and another with competitive listings. She is the KELMS training liaison for the Division of Personnel, she is a member of IMPA and has completed the Standards of Professional Development.

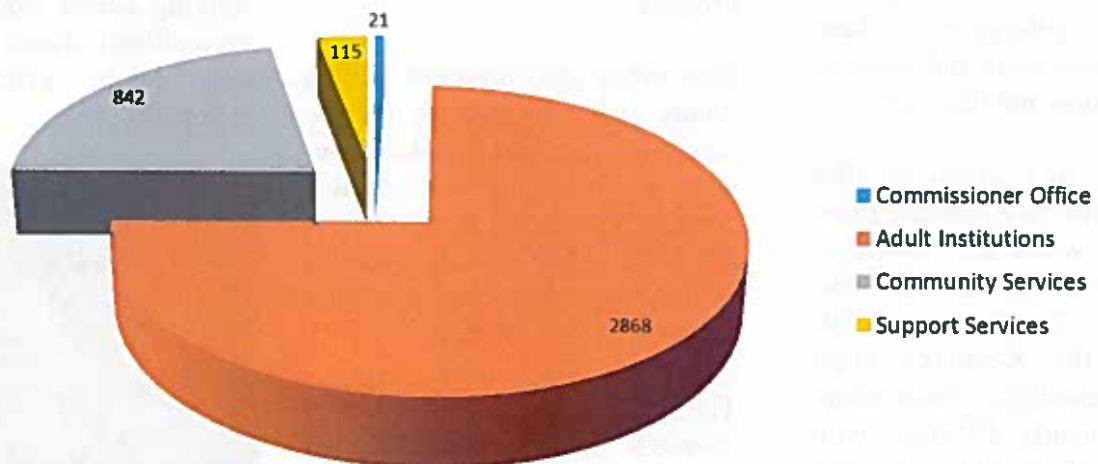
Jesse Kirk, Administrative Assistant, is very instrumental in the day-to-day operations of the Personnel office. She does work for all branches within the Division by maintaining employee files, incoming and outgoing mail, faxes, entering data into numerous spreadsheets, and answering phones for the building. Jesse provided support to the institutional human resources staff on Intellivue, which is the Department's electronic employee file system. Jesse attended the Performance Matters evaluation training to assist the Human Resources Branch with employee evaluations.

Highlights

In 2017, the Division of Personnel provided key services to the Department and continued to provide oversight and guidance in the areas of personnel, payroll, benefits, disciplinary and leadership. The Division continues to conduct trainings for managers and supervisors throughout the state.

The Division expanded the Central Office electronic personnel file system to the entire Department. All staff within the Division continued to seek out professional development opportunities through IPMA-HR, the Office of Diversity and Equality and other venues, including KCCD. All non-merit employees were scheduled for Sexual Harassment Prevention training by the Division of Personnel.

Personnel DOC Total Filled Positions - 3846



Communications Office

Director
Lisa Lamb

Public Information Officers
Katherine Williams
Eric Lemon
Briney King



*Lisa Lamb,
Director of Communications*

Lisa Lamb serves as the Department of Corrections' Director of Communications.

A native of Harlan, Lamb brought nearly 20 years of experience working with the media to her position with the DOC. In her current role, she serves as the Department's spokesperson, handling all media calls and requests for information and interviews.

Lamb came to Corrections after six years with the Kentucky Press Association where she worked as the News Bureau Director, Member Services Director and as Director of the Kentucky High School Journalism Association. She spent nearly 10 years with the Harlan Daily Enterprise and served as the newspaper's executive editor.

She is a graduate of Southeast Community College and attended

Eastern Kentucky University and the University of Kentucky, majoring in journalism.

Overview

The Communications Office functions as the voice of the Department of Corrections. They are responsible for getting the department's message out to the public.

Lisa Lamb and Katherine Williams respond to all media requests, be it a simple inquiry or more in-depth requests involving interviews of inmates, reporting on parole hearings or tours of facilities. They prepare press releases on newsworthy events that happen within the department and distribute them to media outlets as well as Justice Cabinet personnel.

Briney King manages the department's official social media accounts. Eric Lemon provides assistance with any graphic design, photography and videography projects.

This office also prepares talking points and presentations for the Commissioner and his staff, prepares and hosts the DOC Annual Awards Luncheon, handles Central Office open records requests, as well as prepares and publishes the DOC Annual Report.

The Communications Office oversees the responsibilities of the Commissioner's Executive Leadership Program. Twenty-five participants from various areas within the department are in the 2017 class, which started in Octo-

ber and will conclude in the spring of 2018. Additionally, this office coordinates efforts to revisit and/or implement proposed projects from previous leadership classes.

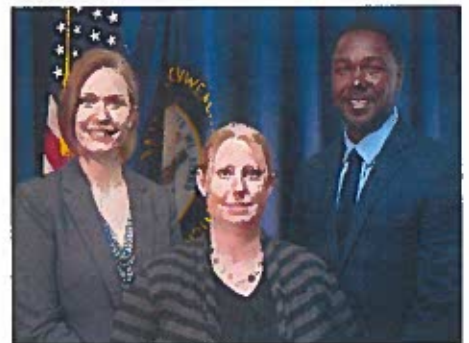
Lisa Lamb supervises the staff within the Communications Office and the Victim Services Branch.

Highlights

The Communications Office prepared and hosted the DOC 2017 Annual Awards Luncheon, which was held in conjunction with the 2017 KCCD conference in Lexington.

In November this office conducted an annual media training in Frankfort for the designated public information officers in our 12 institutions and each Probation and Parole district.

This year the first-ever recruitment videos were released, highlighting career opportunities for correctional officer and probation and parole officer positions statewide.



Left to right: Public Information Officers Katherine Williams, Briney King and Eric Lemon

Victim Services Branch

Branch Manager Vacant

Victim Advocates
Alexis Williams-Hall
Elizabeth "Niki" Barnes
Charlotte Ellis
Kim Crews

Overview

The Victim Services Branch (VSB) is the arm of Corrections that handles requests for information from victims pertaining to their offenders. VSB staff provide a broad range of information-related services such as explanation of inmate release dates and release type; restitution information; service referrals; victim rights services and victim parole board hearings. VSB also manages the Kentucky VINE system which encompasses three fully functioning victim notification services: Offender status, Court Hearings, and Protective Orders. VSB staff also travel the state to promote and train other criminal justice professionals and victim service agencies regarding the KY VINE Services and VSB functions.

Highlights

VSB former Branch Manager, Tammy Lou Wright, promoted to Branch Manager over Recruitment. VSB former advocate Myles Young promoted out to the private sector as Director of Communications of Stewart

Homes. The vacant advocate position was filled with Elizabeth "Niki" Barnes.

VSB staff exhibited at the following conference and training events: Kentucky Association Sexual Assault Programs, Kentucky Victim Assistance Conference, Senior Protection Summit, SAFE Summit, Attorney General Victim Assistance Conference, Attorney General Victim Rights Day, Victim Resource Luncheon hosted by Fayette County Commonwealth Attorney's Office, DOCJT Sexual Assault training (resource table), Attorney General Skilled Prosecution training, Georgetown Police Department Resource Fair, and Mountain Comp Care in Paintsville.

VSB staff joined forces with Local Facilities, Classification, Offender Information Services and State Police to present infor-

mation to the Class D Coordinators within the county jails throughout the state of Kentucky. This training was conducted at the request of the Class D Coordinators to get a better understanding of their role with state inmates.

Alexis and Niki attend victim hearings on Monday to help assist victims with questions or concerns and also to be a support. After the hearings they send out Thinking of You cards to let the victims know that they are still here as a support. They have sent out over 150 cards and have received thank you letters in return.

Niki Barnes has been traveling the state and visiting many of the jails to ensure that they are aware of the EOL process in the event of an escape at their facility. With these visits, she is setting them up an account tailored to



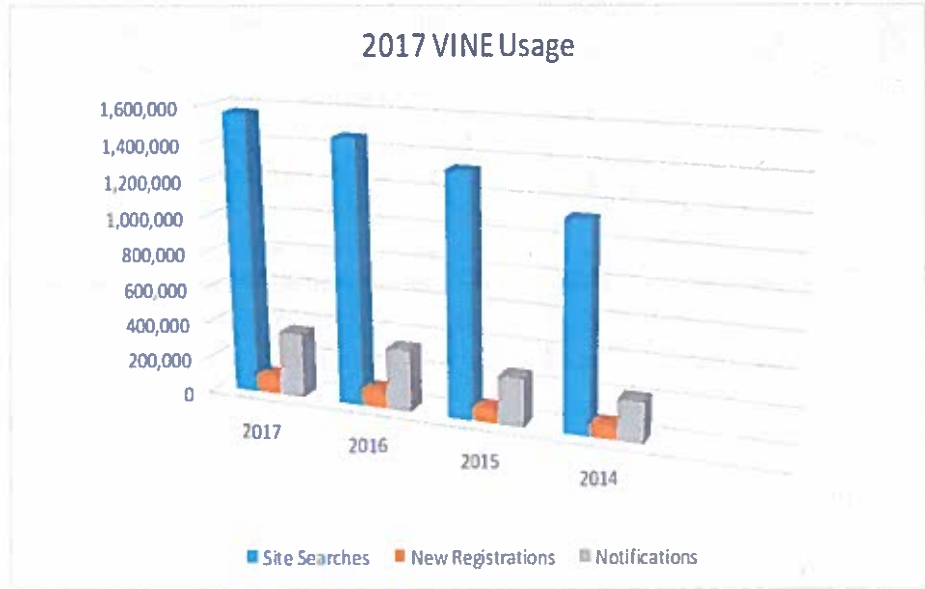
Victim Services Branch (L-R): Charlotte Ellis, Alexis Williams-Hall, Niki Barnes and Kim Crews

Victim Services Branch

their inmates so that the notification process is easier and with advancing technology, the EOL can be activated on any phone with internet. Each account holder has had to sign a form before they receive information on how to access their account. This has had a good amount of success since this was started in April. Niki Barnes sits on the KVAA (Kentucky Victim Assistance Academy) committee.

Alexis Williams-Hall was invited to be a part of the VAWA Stop Fund implementation committee. She also sits on the KVAA committee. Alexis has stepped in to sit on the Sexual Assault Response Team Advisory Council (SARTAC). She attended her first NIC Post Incarceration Network Meeting in Colorado in August.

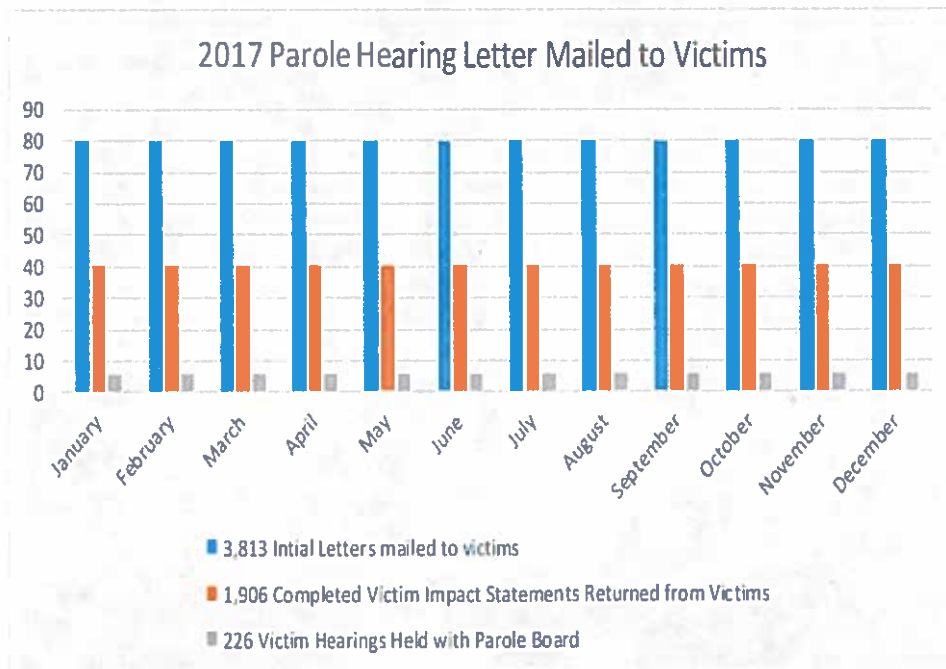
Advocates Charlotte Ellis and Kim Crews continued their work of making manual notifications of of-



fender's upcoming parole hearing to victims. They mailed 3,813 initial notification letters on offenders who are serving on crimes defined in KRS 421.500. Of those initial letters 1,906 victims provided a response to include a victim impact statement for the Parole Board to review. Of those responses, 226 request-

ed a victim hearing with the Parole Board. These numbers do not account for the letters that were sent to victims notifying them of the results of parole hearings.

During the year of 2017, VINE saw an increase in the number of site searches and acquired 98,894 new registrations. The total number of notifications for 2017 for all three VINE services was 361,454. Total VINE searches for the year 2017 were 1,567,126. Of this total, 390,170 searches were conducted via VINE mobile apps. The amount of searches via the mobile app has had a significant increase from prior years.



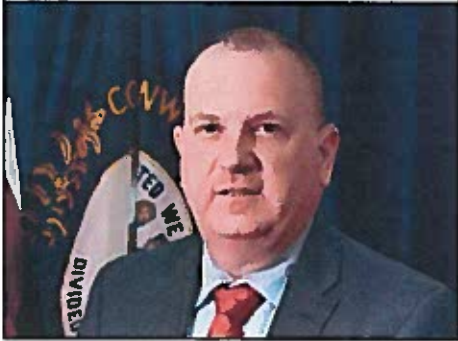
Ombudsman Office

Ombudsman John Dunn

Research & Legislation
Executive Staff Advisor
Cyndi Heddleston

Grants Oversight
Program Administrator
Danny Norris

Administrative Specialist III
Julie Bowles



*John Dunn,
Executive Staff Advisor /
ACA Coordinator / Ombudsman*

Overview

The office of the Ombudsman consists of five main areas: Accreditation, Inmate Grievance process, Commissioner's correspondence, Grants and Research/Legislative areas.

Ombudsman/Accreditation

This office oversaw sixteen internal audits and four ACA audits during 2017. Each institution or division audited by ACA received a score greater than 99.4%. Three of the institutions and divisions achieved scores of 100%. These are some of the highest scores in the country and reflect the dedication and commitment of the Department to

accreditation. In 2017, approximately 829 grievances were researched and responded to, with approximately 8 % ruled in favor of offenders. This is an excellent percentage among correctional systems. This office also processed more than 1,028 letters received at Central Office and distributed them across the Department. This office also continues the process of converting to an electronic grievance system. In 2017, this office took the initiative to request an upgrade to the position grade of the Accreditation Managers at the prison facilities. They were upgraded in pay grade from an Administrative Specialist III to a Procedures Development Coordinator due to importance of their job duties at the facilities.

Office of Research & Grants

The Research/Legislative Executive staff advisor position serves as the Department's representative on the Justice & Public Safety Cabinet's legislative team and assists with federal reporting requirements. Kentucky statute requires the Department of Corrections to provide a fiscal impact on any legislative bill that potentially impacts the number of criminal offenses or the cost of incarceration, both of which would directly affect the Department of Corrections' budget. During the legislative session in 2017, the Department completed over 75 Corrections Impact Statements and submitted to the Legislative Research Commission and over 115 Kentucky Legislative Information and Reporting reviews. Once the leg-



*Ombudsman staff left to right:
Cyndi Heddleston, John Dunn,
Danny Norris and Julie Bowles.*

islative session is over, the Department is responsible for implementing changes to law impacting the Department. In 2017, the legislature passed a landmark criminal justice reform bill. Senate Bill 120 focused on offender reentry and included several initiatives for the Department, including new reentry programs for offenders, expanded sentence credits for parole offenders, and provided additional inmate work opportunities. In addition, over 160 legislative inquiries were responded to in 2017. This area also coordinated over 20 research proposals and 30 data requests during 2017.

Grants Management

In 2017, the grants section managed approximately 11 federal and pass-through grants worth more than \$1,365,832. Thus far, the Department has 10 grants for 2018 totaling 1,223,046 to include a Wellness grant for 677,000. The grants section will continue to solicit and apply for grants in 2018. In addition, this area continues to manage the ongoing grants. The grants office continues to track grants efficiency and ensures the money is being spent in accordance with grant guidelines.

Office of Support Services

Deputy Commissioner Ravonne Sims, Acting

Information Systems Manager
Amanda Sayle

Offender Information Services
Briney King, Branch Manager

Reentry Branch
Kristin Smith, Branch Manager

Administrative Services Director
Hilarye Dailey

Parole & Victim Services Director
Vacant

Training Director
Bridget Gilliland

Population Management Director
Kiernyn Fannin

Probation & Parole Director
Johnathan G. Hall



*Ravonne Sims,
Acting Deputy Commissioner
Office of Support Services*

Sims returned to the Department of Corrections in 2001. In 2003, she was promoted to Unit Administrator I at Luther Lockett Correctional Complex (LLCC). Two years later she was promoted to Unit Administrator II and assigned to the Kentucky State Reformatory where she supervised the prison's segregation unit. In 2007 Sims transitioned to Unit A at the Reformatory.

Sims served on the Corrections Emergency Response Team at RCC and LLCC from 2002-2005 and has received training in hostage negotiations. In 2009 she was appointed to coordinate classification issues at Northpoint Training Center.

In 2011, Sims was promoted to Deputy Warden of Operations at the Reformatory and supervised the institution's support services including the medical department, mental health, food service, Correctional Industries, religious and recreation services. In 2012 she was named the Deputy Warden of Security. In 2014 Sims was promoted to Warden at RCC. She is also 2006 graduate of the

Commissioner's Executive Leadership Development Program.

Information and Technology Branch

The Information and Technology (IT) Branch supports and maintains the systems that are driven by the business needs of the Department.

IT Branch supports our Kentucky Offender Management System (KOMS), websites (Justice Cabinet, Corrections, KY Correctional Industries and Parole Board), custom applications and serves as liaisons for outside applications.

The Information and Technology Branch is persistent in its efforts for continued growth in providing solutions and services to our users by using resources available and seeking additional resources from other state agencies.

The Branch provides ad-hoc reporting and data analytics to users in the field and outside researchers. They work with other agencies to provide information to the department's users in order to support their business needs.

Highlights

In April of 2017, Governor Bevin signed Senate Bill 120; as a result, KOMS required enhancements to accommodate the new legislation. This project has multiple phases and is an ongoing project into 2018. Key functional areas included in this project are as follows: Discretionary Detention, Supervision Compliance Credit, Work Release for Class D

Office of Support Services

As acting Deputy Commissioner of Support Services, Ravonne Sims is responsible for the Administrative Services Division, Corrections Training Division, Division of Probation and Parole, Division of Parole and Victim Services, Information and Technology Branch, Offender Information Services Branch, Contract Management Branch and the Reentry Branch.

Sims began her career in 1999 as a Classification and Treatment Officer at the Roederer Assessment Center. In 2000 she received a promotion outside of the Department of Corrections as a Microbiologist I with the Department of Public Health.



Office of Support Services

Inmates, Reentry Drug Supervision, and Jail Reentry Centers.

In May of 2017, enhancements to KOMS included Inmate Grievance functionality. This functionality will allow inmates to file a grievance on the kiosks or through a tablet. This enhancement also included the ability to allow inmates to access the response to inmate correspondence through the inmate kiosk for inmates housed in the Kentucky Department of Corrections Prisons. The grievance functionality remains inactive until a contract is procured for the tablets.

In May 2017, the Information Technology Branch traveled to St. Louis, Missouri to attend the Corrections Technology Association conference. Information Technology professionals from all over the United States and Canada attended trainings and seminars on various topics and technical enhancements related to Corrections technology. This branch provided a presentation on Restrictive Housing enhancements highlighting changes implemented in late 2016 for Restrictive Housing functionality.

In June 2017, Information and Technology began a complete overhaul of the current website for the Department of Corrections. This new website will contain a more user-friendly format with options to highlight and display current happenings within the Department of Corrections. Each area within the department has been very hands on in determining the information included for their division and how it is



*Briney King, Branch Manager
Offender Information Services*

displayed. Due to the large amount of data conversion, this project is ongoing and should be complete fall of 2018.

In July of 2017, a new risk assessment tool was implemented to replace the Level of Service/Case Management Inventory (LS-CMI). The Kentucky Risk Assessment (KyRAS) was designed after the Ohio Risk Assessment (ORAS). KyRAS is a dynamic risk/needs assessment system for all adult offenders at any level within the criminal justice system. KyRAS utilizes four instruments to assess offenders at the following levels: Prison Intake, Community Supervision, Long-Term Reentry, and Short-Term Reentry. KyRAS was promoted to KOMS in late July.

In August 2017, the final phase for the Prison Rape Elimination Act (PREA) work order was implemented into KOMS. This phase completed a 5-step process automating the entire PREA process from assessment to reporting incidents into KOMS. The final phase linked PREA incidents to related disciplinary actions, incident reports, and internal affairs investigations.

In October 2017, functionality needed for the Americans with Disabilities Act (ADA) was implemented into KOMS. This addition allows the department to track ADA functional needs for the inmate population including precautions and alerts for ADA Coordinators within the facilities.

Also in October the department completed statewide training on KOMS for jail and Local Facilities staff. This regional training occurred throughout 2017 involving Offender Information Services, Classification, Information and Technology, and Local Facilities.

Offender Information Services Branch

The primary responsibility of the Offender Information Services Branch is to ensure accurate sentence calculations and sentence expiration dates for the state inmate population as well as the offender population serving on mandatory reentry supervision, parole supervision and sex offender post incarceration supervision. Additionally, Offender Information Services is responsible for fielding questions from the court system, law enforcement agencies and the public, responding to correspondence from the inmate population and processing open records requests for documents contained in inmate files.

In 2017, the state inmate population averaged 24,042 offenders. Offender Information Services oversees the calculations for an additional 16,581 offenders on



Office of Support Services

supervision. The Offender Information Services Branch is made up of sections that specialize in subject matter in order to provide services to these populations effectively. These sections include Sentence Calculation, Sentence Credit, Parole Review, Jail Management and the Community Placement Office. The branch also has staff assigned in the phone/mail room and in an open records office.

The Sentence Calculation section provides quality assurance by conducting an internal audit for each inmate's sentence calculation. This ensures all sentences are consistent with the court's sentencing order and are in compliance with Kentucky Revised Statutes.

The Sentence Credit section provides subject matter expertise in relation to credits that incarcerated inmates and offenders on supervision are eligible to earn. The staff in this section provide quality assurance by ensuring applied credits are compliant with Corrections Policy and Procedure and with Kentucky Revised Statutes.

The Parole Review section provides a variety of services that relate to supervision. These services include the preparation of discharge certificates when an offender satisfies a sentence while on supervision; the calculation and application of parole supervision credit when an offender returns to custody as a result of a violation of supervision; review of inmate files prior to a parole

hearing, and reviewing inmates for release to mandatory reentry supervision.

The Jail Management section serves as the institutional records office for all state inmates housed in county jails and community service centers. They perform all of the routine records processes including detainer documentation and release notifications for each of the approximately 12,000 inmates housed in county jails and halfway houses.

The Community Placement section is responsible for bridging the gap for inmates being released from incarceration to supervision. Staff in this section work closely with the Division of Probation and Parole, Division of Substance Abuse and each institution to ensure offenders are released in a manner that supports their needs for treatment and in a position that maximizes their potential for success while on supervision.

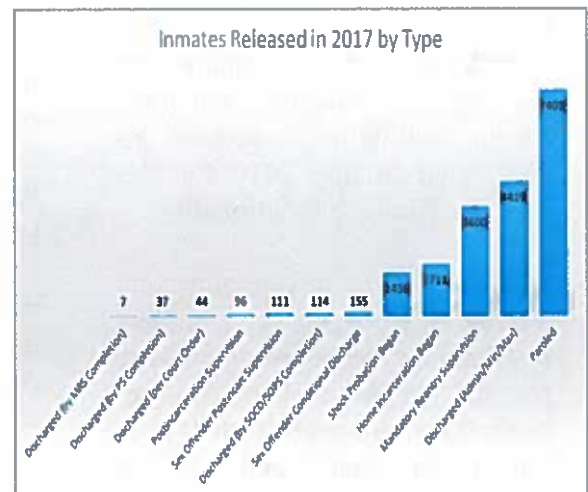
Highlights

In 2017, Offender Information Services underwent an internal assessment. The results of the assessment yielded streamlined processes, an update to daily reports and a defined structure of the branch.

An organizational chart was established for Offender Information Services due to the large volume of positions that are held within this branch. The 42

staff members include one branch manager, three administrators, four supervisors, 22 offender information specialists, two administrative specialist IIIs, two administrative specialist IIs, six office support staff and two contract staff.

The Offender Information Services branch also serves as the central hub for all incoming mail for Central Office. On average 1,200 pieces of mail are delivered to this branch each month. The mail is routed and distributed to the appropriate division or branch. On a monthly average, approximately 900 pieces of inmate correspondence are distributed among each section for a response. In 2017, a correspondence tracking log was created in order to maintain and track each piece of incoming and outgoing inmate correspondence assigned to this branch.



Offender Information Services reviewed and approved over 19,000 inmate releases in 2017.



Office of Support Services

Reentry Branch Manager Kristin Smith

Regional Program Administrators:

Kerry Mears
Kristin Harrod
Andria Barkett
Tara Harrod
Christi Sorrell
Pamela Bentley

Reentry Employment Program Administrators:

Erica Hargis
Jennifer Hutchinson
Jarvis Livingston
Nick Sloan

Administrative Specialist III

Melissa Moore



*Kristin Smith,
Reentry Branch Manager*

Overview

The Reentry Branch strives to increase public safety and improve recidivism rates by enhancing resources available to offenders transitioning from incarceration to the community. This requires that all branches of the Department collaborate to build a seamless reentry process.

The Reentry Branch oversees the use of the new validated risk and needs assessment tool – KyRAS.

KyRAS took place of the LSCMI in July 2017. The Department currently uses a validated risk and needs assessment tool, the KyRAS, and a comprehensive case management plan to prepare for the release of offenders. The KyRAS tool is applied to inmates in adult institutions as well as offenders on supervision in the Division of Probation and Parole.

The Reentry Branch supports the reentry coordinator positions in prison facilities and probation and parole offices across the state. Every adult institution in the state as well as each Probation and Parole District has a Reentry Coordinator to assist in the release of inmates and the community needs of offenders. The Reentry Branch helps coordinate facilitation of evidence based program by probation & parole staff.

The Reentry Branch supports the network of reentry councils across the state. Reentry Councils exist in the following areas: Paducah, Owensboro, Elizabethtown, Bowling Green, Louisville, Lexington, Covington, London, Maysville, Ashland and Prestonsburg. Reentry staff attend the reentry council meetings routinely to advise service providers of new department initiatives, gather information about services and develop strategies to address service gaps.

In partnership with the local reentry councils, the Reentry Branch developed a process for families to learn more about the criminal justice system. The first Family Engagement Session was

held in Bowling Green in partnership with the Southern Kentucky Reentry Council in February, 2013. During 2014, a new focus was formed from the previous Family Engagement Sessions and Families of the Incarcerated groups were started. These groups mirror the Reentry councils across the state and provide the families a monthly group meeting to allow for support and networking. The Reentry Branch attends periodically or upon request to hold a question and answer session for families in a less formal environment. The Families of the Incarcerated groups in Covington, Greater Louisville, Bluegrass and Central areas continue to meet monthly and grow their groups. The other areas of the state have had less success with families attending and are focusing on using both the Kentucky Reentry website and Facebook page along with creating a Kentucky Families of the Incarcerated Facebook page to keep families informed and able to ask questions without attending in person meetings.

In 2017 the Reentry Branch grew from a staff of three to a staff of twelve with the addition of Regional Program Administrators and Employment Program Administrators. The addition of Regional Program Administrators allowed for six staff to oversee Reentry throughout the state as a whole. Adding four Employment Program Administrators in Jefferson, Boone, Fayette and Pulaski Counties, allows for one on one employment assistance for offenders in the community to receive job readiness training, schooling or obtaining employment.

Office of Support Services

Highlights

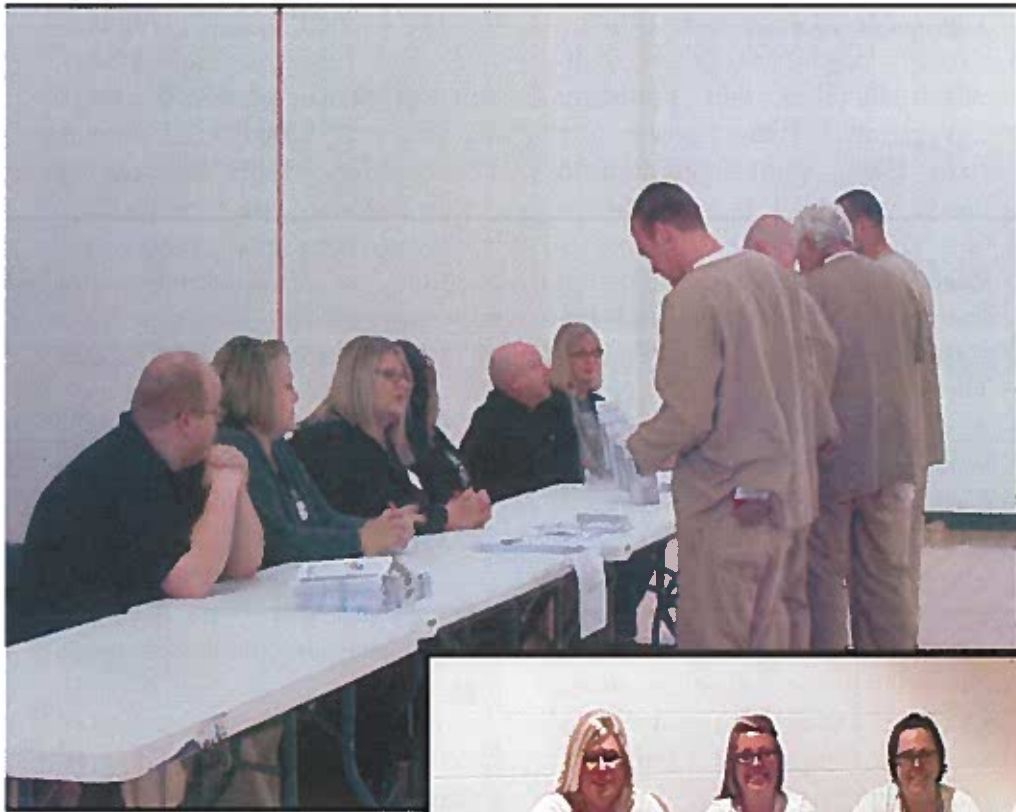
Train new DOC case management staff on the Case Management Plan in conjunction with training on our new validated risk and needs assessment tool. Training focuses on effective case management planning, including

developing goals, tasks and time-lines based on identified criminogenic needs.

The release of a quarterly newsletter called the Tool Box to provide information to staff about reentry initiatives throughout the state as well as to provide information to offenders in prisons, jails, halfway

houses and the community.

Conduct meetings as necessary with institutional reentry coordinators and reentry parole officers to share information on resources, develop strategies to assist high risk offenders and determine needs that may be addressed through collaboration with local reentry task forces.



*Pictured to the left:
Reentry Release Expo conducted at EKCC.*



*Pictured to the right:
Reentry staff at a Community Expungement event in Lexington*

Division of Administrative Services

Director
Hilarye Dailey

Assistant Director
Pat Sudduth

Managers – Fiscal Branch,
Vacant



*Hilarye Dailey, Director
Administrative Services Division*

Administrative Services provided resource support to the department and staff in a vast array of services including budget, financial management, accounting, fixed asset management, insurance, fleet, procurement and internal financial audits. The division processed accounts payable, accounts receivable and procurement documents, including travel vouchers for over 700 probation and parole staff and central office on a monthly basis. The Division processed 9,513 eMARS documents versus 8,131 from the previous year; all while maintain an average of six (6) vacancies.

The procurement section managed and issued over seventy (70) contracts for the Department. In addition, procurement staff worked directly with the Finance Cabinet's Office of Procurement Services to procure goods and

services that exceed the small purchase authority or qualify as Master Agreements. The number of contracts and procurement actions managed by this section has increased by nearly sixty (60) percent in the last several years.

The Department retained its \$20,000 small purchase authority granted by the Finance and Administration Cabinet. Procurement staff completed required procurement courses in order to maintain the small purchase authority.

To increase internal controls, the majority of eMARS documents generated at the institution level route to the Division of Administrative Services for final eMARS approval. This greatly increased the Division's workload, but has resulted in greater compliance with Finance Administration Policies.

The Division of Administrative Services successfully implemented the FY17 Budget while actively ensuring funds were available



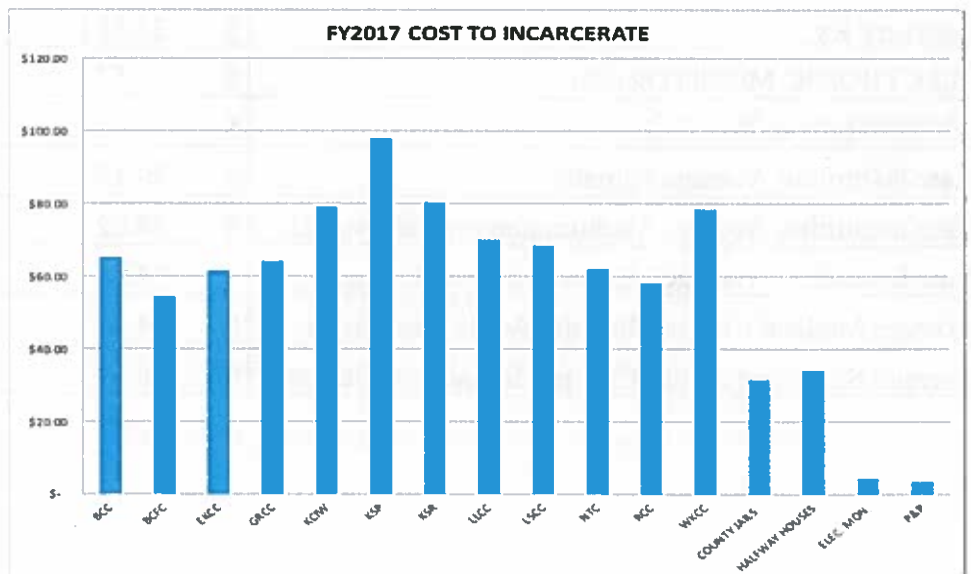
*Pat Sudduth, Assistant Director
Administrative Services Division*

to sustain the operational requirements of the department.

The Department received a \$42.7M Necessary Government Expense due to the growth in inmate population and overtime costs stemming from the staff shortages in Adult Institutions.

The Department's 2018-2020 Biennial Budget request was submitted to the Office of State Budget Director and the Legislative Research Commission on November 1, 2017.

The Division conducted one Fiscal Manager's meeting in March 2017 to provide training to all Fiscal Managers.



FY 2017 Cost to Incarcerate & Per Diems

KY DOC Adult Institutions	*CTI Per Day	*CTI Per Annum	**Per Diem (Male)	**Per Diem (Female)
BCC	\$ 64.45	\$ 23,888.54		
BCFC	\$ 54.50	\$ 19,893.31		
EKCC	\$ 61.55	\$ 22,465.81		
GRCC	\$ 64.09	\$ 23,393.18		
KCIW	\$ 79.17	\$ 28,897.06		
KSP	\$ 97.99	\$ 35,764.60		
KSR	\$ 80.31	\$ 29,314.41		
LLCC	\$ 69.98	\$ 25,541.93		
LSCC	\$ 68.45	\$ 24,984.63		
NTC	\$ 61.95	\$ 22,610.24		
RCC	\$ 58.10	\$ 21,207.05		
WKCC	\$ 78.35	\$ 28,599.54		
Other Averages				
Total State Facility Average Cost	\$ 70.12	\$ 25,594.44		
Total State Inmate Average Cost	\$ 50.83			
COUNTY JAILS	\$ 31.45		\$ 31.34	\$ 31.34
County Jails with SAP (State Inmates)	\$ 40.45		\$ 40.34	\$ 40.34
HALFWAY HOUSES	\$ 34.09		\$ 31.61	\$ 32.64
*Halfway Houses - Probationer/Parolee Beds & Recovery Ky.	\$ 33.41		\$ 27.62/ \$ 31.61	\$ 27.62/ \$ 32.64
ELECTRONIC MONITORING	\$ 4.57			
PROBATION & PAROLE	\$ 3.62			
State Institution Average Female	\$ 79.17			
State Institution Average Medium non medical (male)	\$ 64.02			
State Institution Average Medical (male and female)	\$ 74.98			
Average Medical Cost per Inmate, Adult Institutions	\$ 14.20			
Average Substance Abuse Cost per Inmate, Institutions	\$ 6.67			

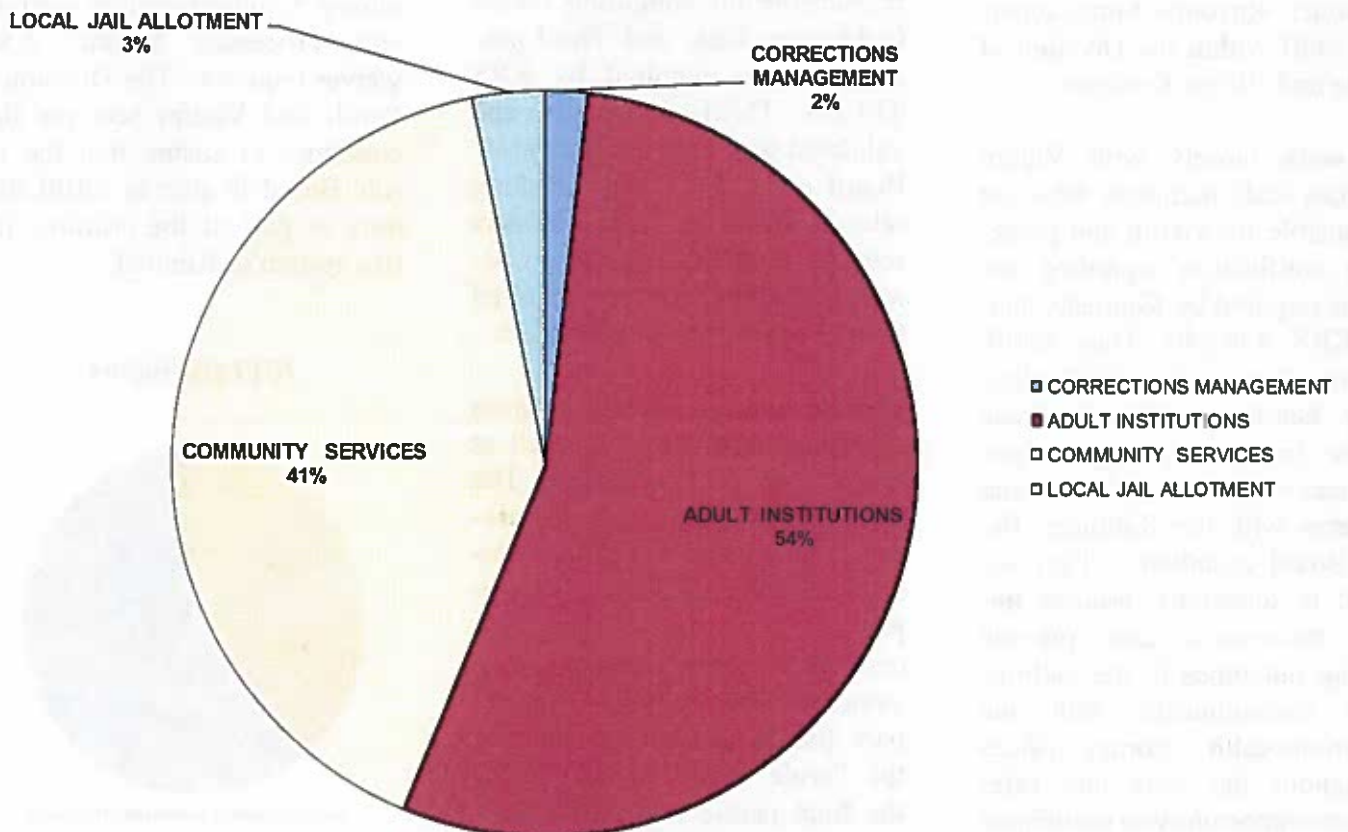


Division of Administrative Services

2017 Expenditures

	CORRECTIONS MANAGEMENT	ADULT INSTITUTIONS	COMMUNITY SERVICES	LOCAL JAIL ALLOTMENT	TOTAL
PERSONNEL	\$ 9,467,008	\$ 190,073,280	\$ 51,941,392	\$ 41,700	\$ 251,523,381
OPERATING	\$ 1,052,166	\$ 56,380,645	\$ 8,522,257	\$ 440,000	\$ 66,395,068
CARE & SUPPORT		\$ 62,598,348	\$ 71,348,050	\$ 17,458,790	\$ 251,405,188
DEBT SERVICES		\$ 252,500			
CAPITAL OUTLAY		\$ 1,092,064			\$ 1,092,064
TOTAL	\$ 10,519,174	\$ 310,396,837	\$ 231,811,700	\$ 17,940,490	\$ 570,415,701

DOC FY17 BUDGET



Division of Parole and Victim Services

Division of Parole and Victim Services Director Vacant

Program Administrator
Amber Ingram

Overview

The Division of Parole and Victim Services is responsible for completing all administrative duties of the Kentucky Parole Board as determined by policies, statutes and regulations. The division consists of three sections: Support Services, Risk Assessment, and Revocation.

The Department's Deputy Commissioner, Ravonne Sims, supervises staff within the Division of Parole and Victim Services.

We work closely with Victim Services staff members who are responsible for victim and prosecutor notification regarding parole as required by Kentucky statute KRS 439.340. They notify victims of upcoming parole eligibility hearings and coordinate victim impact hearings where they can voice their opinions and concerns with the Kentucky Parole Board members. They respond to questions, process impact statements, and provide hearing outcomes to the victims. They communicate with the Commonwealth Attorney offices throughout the state and offer them an opportunity to participate in the victim hearing process, whether they wish to represent the state at a victim impact hear-

ing, or they encourage unregistered victims to have a voice in the process.

The Support Services section handles incoming calls and correspondence, processes all requests for reconsideration, and ensures that open audio requests are responded to promptly. They provide clerical support during the parole eligibility and revocation hearings, enter all of the Parole Board's decisions, and provide general administrative support. They create and process the weekly docket, compile and maintain the monthly parole eligibility lists and create the monthly and weekly calendars.

The Risk Assessment section is responsible for compiling Parole Guidelines Risk and Need Assessments as required by KRS 439.331. This is an objective and validated tool used by the Parole Board since 2003 when making release decisions. All offenders seen by the Parole Board on parole eligibility lists are required to have a completed assessment.

The Revocation Section consists of administrative staff as well as Administrative Law Judges. The administrative staff issue and process supervision revocation warrants, provide administrative support to the hearing officers, process preliminary hearing decisions, schedule hearings, and prepare parole violator packets for the Parole Board to use during the final parole revocation hearings. Administrative Law Judges conduct preliminary revocation hearings, which are proceedings to determine if probable cause

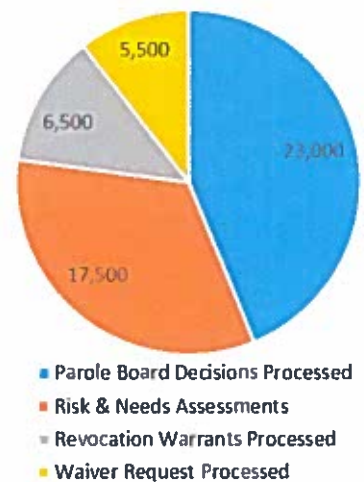
exists that a parolee has possibly violated the terms and conditions of their parole supervision.

In 2017, the Division of Parole and Victims Services employed three full-time Administrative Law Judges, one Corrections Program Administrator, three Internal Policy Analyst II's, three Administrative Specialist III's, two Parole Board Specialist II's, up to five Parole Board Specialist I's and a part-time Office Support staff member.

Highlights

In 2017, this division processed over 23,000 Parole Board decisions, compiled over 17,500 risk and needs assessments, issued almost 6,500 revocation warrants and processed around 5,500 waiver requests. The Division of Parole and Victim Services staff continues to ensure that the Parole Board is able to fulfill their duty as part of the criminal justice system of Kentucky.

2017 Highlights



Division of Corrections Training

Director Bridget Gilliland

Harold E. Black Training Center
Antony Duggins

John D. Rees Training Facility
Anthony Duggins

S.T. Wright Training Facility
Vacant

Bill Cunningham Training Facility
Kim Gaines



*Bridget Gilliland, Director
Division of Corrections Training*

Overview

The Division of Corrections Training (DCT) is charged with planning, coordinating and conducting training for adult institutions, probation and parole, jailers and DOC Central Office.

The Division has four regional training centers on institutional grounds and conducts training on a regional basis.

The Harold E. Black Training Facility provides staff instruction to four adult correctional institutions, nine probation and parole districts, and the elected jailers and their staff within twenty-

five (25) counties of the Commonwealth.

In 2017, the Harold E. Black Training Center offered 47 classes with 2,832 hours of instruction for 1,102 students.

The John D. Rees Training Facility provides staff instruction to three adult correctional institutions; three probation and parole districts, and the elected jailers and their staff within thirty-seven (37) counties of the Commonwealth.

In 2017, the John D. Rees Training Facility taught 532 students and offered 58 classes.

The S.T. Wright Training Facility provides staff instruction to two adult correctional institutions; Little Sandy Correctional Complex (LSCC), Eastern Kentucky Correctional Complex (EKCC); three probation and parole districts; and the elected jailers and their staff within twenty-two (22) counties of the Commonwealth.

In 2017, the S.T. Wright Training Facilities offered 33 classes and taught 338 trainees.

The Bill Cunningham Training Facility provides staff instruction to three adult correctional institutions; Western Kentucky Correctional Complex (WKCC), Kentucky State Penitentiary (KSP) and Green River Correc-

tional Complex (GRCC); five (5) probation and parole districts; and the elected jailers and their staff within thirty-six (36) counties of the Commonwealth.

In 2017, the Bill Cunningham Training Facility offered over 50 classes and approximately 719 students attended trainings.

Curriculum Development Branch

The Curriculum Development Branch is located within The Harold E. Black Training Facility. They are responsible for management of the curriculum development process for adult institutions, probation and parole, central office, Kentucky Correctional Industries, jails and computer based training modules.

2017 Curriculum Development Branch highlights:

- The division successfully went through the ACA re-accreditation process, passing with 100% compliance
- Conducted Annual Institutional In-Service Review
- Members attended the 2017 Jailers Conference
- The Curriculum Branch, with the assistance of training instructor, reviewed and revised all lesson plans and the majority of the computer based training modules for institutional pre service academy, institutional in-service, Central Office and Jailer's in-service



Division of Probation and Parole

Director

Johnathan G. Hall

Assistant Director

Robert Taylor

Branch Managers

Central Region - Becky Carter

Eastern Region - Michael Caudill

Western Region - Mark Stonex

Northern Region - Dan Fountain



*Johnathan Hall, Director
Division of Probation and Parole*

sentence Investigations. The Division completed 29,874 Presentence Investigations in 2017. The Division also provides services to over 11,982 jail based inmates, including Class D programs and community custody residential centers. Additionally, at the end of 2017, the Division provided supervision services to approximately 1,675 offenders in 25 halfway house facilities. The Division also utilizes the services of 14 Recovery Kentucky treatment programs.

In addition to supervision, Probation and Parole Officers provide investigative services to the courts and Parole Board, rehabilitation services to offenders, and assistance in employment and home placement. Various duties include court appearances and testimony, reports to the releasing authorities, field visits, drug and alcohol testing, transportation of offenders, referral of offenders to appropriate resources, and monitoring payment of fees, restitution, and community service.

The Public Safety and Accountability Act of 2011 (HB 463) authorized the release of offenders under post-incarceration supervi-

sion and mandatory reentry supervision. Throughout 2017, 7,417 offenders were released on parole, 4,229, offenders were released to mandatory re-entry supervision, 89 offenders were released on post incarceration supervision and, 1,724 inmates were released to Home Incarceration in the community. Probation & Parole conducted 15,386 community parole plan investigations and 2,615 home incarceration plans in 2017. The Division currently supervises 2,436 offenders on mandatory re-entry supervision, 727 offenders under sex offender post-incarceration supervision, and 83 offenders on post-incarceration supervision. In partnership with Jefferson County District Court, 264 offenders are currently supervised under a Misdemeanor Intensive Supervision Program.

The Division utilizes, when authorized by the court, graduated sanctions for those who violate probation and early termination of supervision for those who are compliant. In 2017, 250 probationers were granted early termination by the court under HB463, bringing the total number of offenders released on early termination to 1,824.

Probation and Parole continuously makes strides to improve the use of graduated sanctions for supervised individuals in lieu of revocation, for those who do not pose a threat to the community, such as making referrals to treatment and discretionary detention. From January 2017 to December 2017, parole and mandatory reentry supervision returns due to

Overview

The primary function of the Division of Probation and Parole is to protect the citizens of the Commonwealth through the supervision of offenders who have been placed on probation by the courts or released to parole supervision by the Parole Board. Officers serve each of Kentucky's 120 counties which are organized into four operating regions, 20 supervision Districts structured into 57 field offices spanning across the State of Kentucky.

The Division employs 860 staff, including 672 sworn officers, who currently supervise 47,801 offenders. At the end of 2017, the Division supervised 14,079 parolees (29%) released by the Parole Board and 33,722 (70%) offenders placed on supervision by the Court. Officers' current caseload average, when fully staffed and including the assistance of administrative staff, is 82. There are 81 Probation and Parole staff dedicated to conducting Pre-

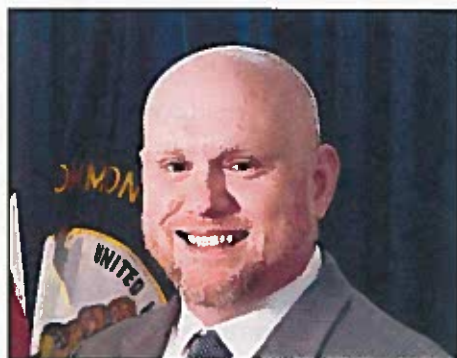
Division of Probation and Parole

revocation, decreased by 38%.

The Division is also responsible for the administration of the Interstate Compact which transfers cases between states. In 2017, the Interstate Compact processed 1,672 transfer requests from other states to Kentucky and 2,879 transfer requests from Kentucky to other states. Kentucky currently supervises 2,196 offenders for other states.

In accordance with KRS 17.170 and in conjunction with the Kentucky State Police, Probation and Parole is required to complete DNA testing of all convicted felony offenders. Kentucky Department of Corrections submitted 14,948 completed DNA collections submitted to the Kentucky State Policy database in 2017. The Division also processed 185 Civil Rights Restoration requests submitted by ex-offenders. In 2017, the Division received 988 applications and 701 applicants were granted restoration.

With the number of offenders increasing, the Department continues its focus on reentry efforts, including assessment and case planning for offenders under supervision. In 2017, the Division completed 42,282 risk/needs assessments. The LSCMI risk assessment tool used in prior years' was phased out in July 2017 and replaced with the current new KyRAS tool, derived from the Ohio Risk Assessment. With additional staffing and the use of risk level supervision, the regular caseload average has decreased from 88:1 in December 2011, to the current caseload average of



*Robert Taylor, Assistant Director
Division of Probation and Parole*

82:1, even with an increase of over 6,357 offenders on supervision.

The Division has held a specific focus on sex offenders under supervision. As of December 2017, thirty eight officers statewide supervise 1,863 sex offenders with a caseload average of 49. In addition to monitoring cases from the court, the Division currently monitors 471 individuals on sex offender conditional discharge and 156 individuals on sex offender post-incarceration supervision.

Kentucky has eight SMART programs, a court intervention for immediate and graduated responses to probation violations based on the Hawaii Hope model. As of December 2017, there are 418 total participants located in Allen/Simpson, Campbell/Kenton, Knott/Magoffin, Spencer/Shelby/Anderson, Rockcastle/Pulaski/Lincoln and Jefferson counties.

To effectively manage time and resources, the Division has also implemented specialized caseloads for low risk offenders, allowing staff to focus on offenders at a higher risk to recidivate. As

of the end of 2017, the Division supervises 2,342 administrative offenders and 11,338 low risk offenders. In an effort to more adequately address offender needs, the Division also continues to seek other sentencing alternatives such as electronic monitoring, home incarceration and placements in residential treatment programs and drug courts.

The supervision of offenders in the community saves the citizens of Kentucky \$47.21 per offender, per day (\$3.62 cost of community supervision vs. \$50.83 average cost of incarceration). Offenders on supervision are required to obtain and maintain full-time employment as a condition of their release, thus adding intangible benefits to the economy of Kentucky. Offenders may also be required to pay towards the cost of supervision and make restitution to their victims. During 2017 offenders paid \$2,132,055.23 in supervision fees. The Department also collected \$1,033,503 in drug testing fees to deflect the costs of drug testing. Probationers and parolees also paid \$6,066,075.65 in restitution payments and completed over 30,458.12 hours of community service work.

The Division of Probation & Parole partners with U.S. Marshals to form Fugitive Task Forces in the Louisville, Lexington, Newport, and Pulaski areas. In 2017, the Fugitive Task Force apprehended 327 probation and parole fugitives.

The Division continues to work toward the Department's goal of successful re-entry of offenders.



Division of Probation and Parole Population Data

Statewide, supervision districts facilitate the PORTAL New Direction barriers program that addresses issues surrounding housing, employment, transportation, money management, and parenting. In FY2017, 338 offenders successfully completed the program.

The Division of Probation and Parole continues to implement evidence based programs. In FY2017, 25 offenders successfully completed a parenting program for fathers called 24/7 Dads. Currently 15 districts offer this fatherhood program. Additionally, 21 offenders completed Thinking for a Change, a cognitive behavioral therapy program that restructures an individual's way of thinking, and 290 offenders successfully completed Moral Reconciliation Therapy, a cognitive behavioral program that facilitates moral reasoning. Thinking for a Change is offered in eighteen supervision districts across the state and Moral Reconciliation Therapy is offered in nineteen districts across the state.

In order to offer more opportunities for programming to individuals on supervision, Probation and Parole designated a Reentry Officer for each district in 2017. At the end of 2017, the number of program completions more than doubled for Moral Reconciliation Therapy and PORTAL New Direction.

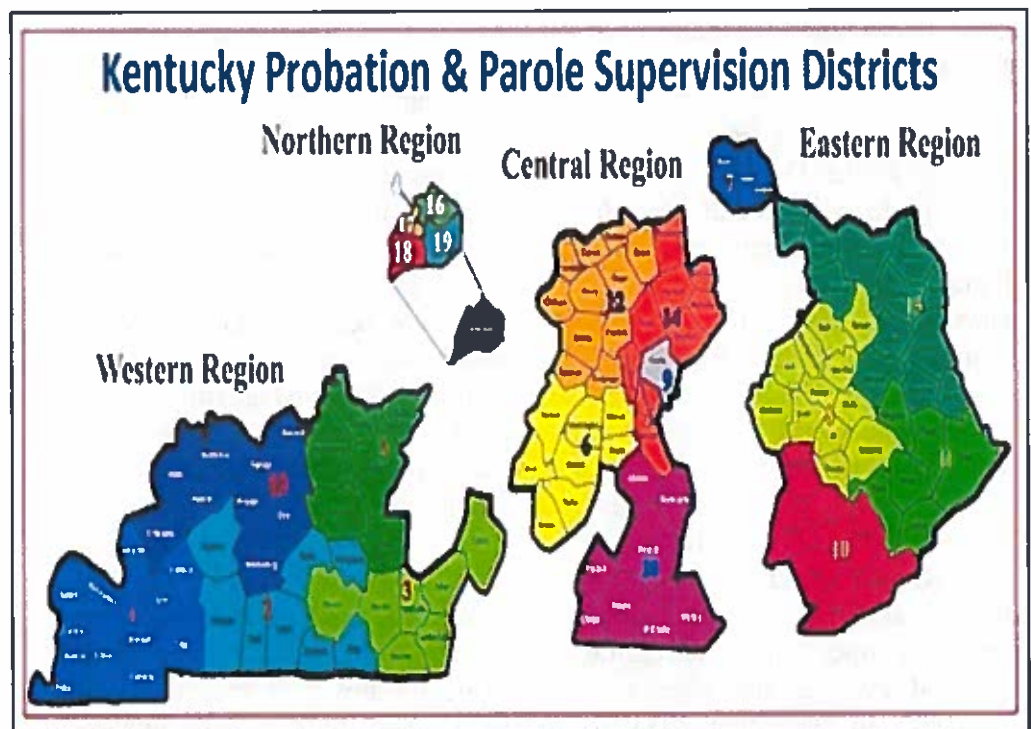
Probation and Parole has taken great strides to improve the initiatives and scope of the Districts'

Safety Officers. These Officers are dedicated to the mission of implementing new safety practices and encouraging a positive safety culture.

Effective June 29, 2017, Senate Bill 120 facilitated significant changes to community supervision. During this report period, Corrections Policy and Procedures 27-15-03 and 27-20-03 were amended to comply with legislative changes to Kentucky Revised Statutes 439.3108 and 439.250. These changes allow Probation and Parole Officers the option to use an expanded use of discretionary detention for a wider scope of graduated sanctions in order to continue those individuals who do not pose a threat to society and would better benefit from programs in the community, on supervision. These changes also allow eligible parolees the

increased incentive of supervised compliance credit. Probation and Parole has made valiant efforts to communicate these changes to staff and to the community, by presenting the information at Reentry Task Force meetings, providing a PowerPoint for display on newsletters, posting flyers in the Probation and Parole office lobbies, discussing the changes in District staff meetings and Supervisory staff meetings.

Probation & Parole Districts are involved with a number of community stakeholders statewide to provide services to offenders. Probation & Parole engages in research projects and utilizes interns and community volunteers. Additionally, Probation & Parole officers participate in offender education programs, career days and school education programs, employer trainings, and job fairs.



Division of Probation and Parole



Becky Carter, Central Region Branch Manager



Mike Caudill, Eastern Region Branch Manager



Mark Stonex, Western Region Branch Manager



Dan Fountain, Northern Region Branch Manager

Here are just a few examples of Probation and Parole's community involvement and established partnerships:

- Probation & Parole works with ten community Re-Entry councils Statewide:
- Across Kentucky, the Department partners with community service providers to provide outpatient substance abuse services to offenders, as well as residential treatment programs including Recovery Kentucky Centers, Dismas Charities, The Healing Place, The Hope Center, and the Salvation Army.
- District 14 conducted a food drive in October that raised 570 of non-perishable items and a winter item drive which provided 4.5 boxes of winter clothing and coats for donation.
- District 9 works with Lexington Metro's Violent Crimes Task Force, Bluegrass Families of the Incarcerated, the Salvation Army, the Substance Abuse Violence Intervention Task Force, and the Bluegrass Domestic Violence Prevention Board.
- Nelson County now has a Mental Health Court, which works closely with the local Probation and Parole office. Nelson County also offers support to persons and their families affected by mental illness.
- The Taylor County office utilizes Green River Ministries and the Taylor County Minis-

terial Association as a resource to help persons with an immediate need for food/shelter.

- Referrals are made to the local career center which offers career counseling to persons who need job skills training.
- The Lake Cumberland District Health Department is offering case management to persons with Opioid Addiction called the Rural Health Opioid Program and is designed to educate and provide resources to persons with opioid use disorder in order to decrease overdose and to provide additional education.

The Division has expanded to meet the demands of new legislation and a larger supervised population, adding over 216 positions since 2011. Throughout 2017, 114 new staff members attended Probation & Parole Pre-Service Academy.

Currently, the Division concluded the second year of the ACA PSR audit in October 2017, moving the Kentucky Probation & Parole Agency into the third year of the final reaccreditation process with the audit due to take place in September 2018.

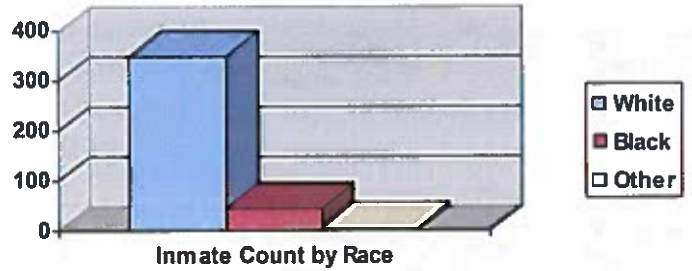
In addition to their service to the Commonwealth, many employees within the Division of Probation and Parole lend their talents to volunteer and provide support for organizations and charities in their respective communities.



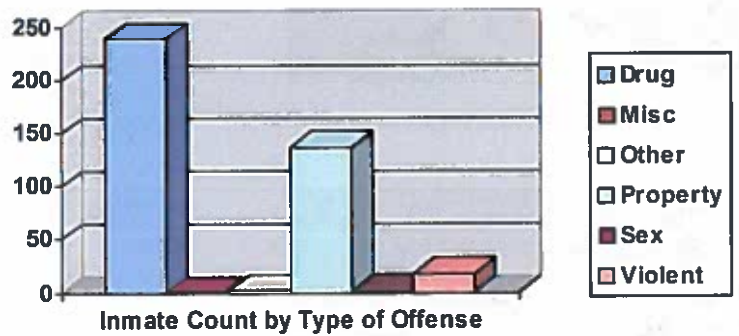
Halfway Houses

2017 Demographic Data

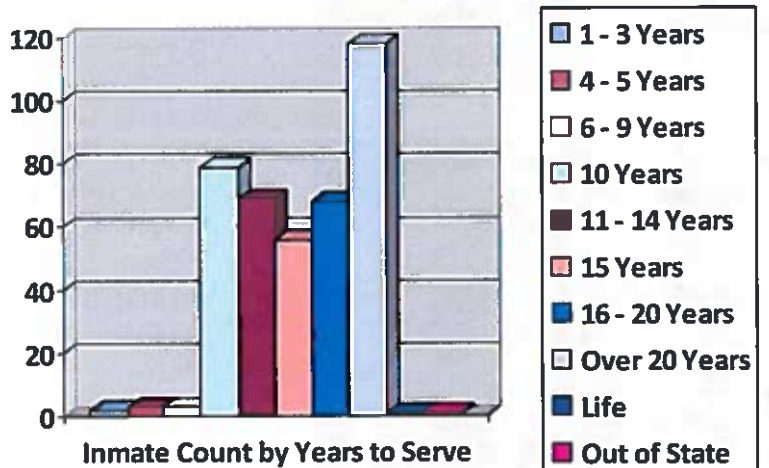
RACE	COUNT	PERCENTAGE
White	350	87.50%
Black	45	11.25%
Other	5	1.25%
Total	400	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	240	60.00%
Miscellaneous	1	0.25%
Other	3	0.75%
Property	137	34.25%
Sex	2	0.50%
Violent	17	4.25%
Total	400	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	2	0.50%
4 - 5 Years	3	0.75%
6 - 9 Years	3	0.75%
10 Years	79	19.75%
11 - 14 Years	69	17.25%
15 Years	56	14.00%
16 - 20 Years	68	17.00%
Over 20 Years	118	29.50%
Life	1	0.25%
Out of State	1	0.25%
Total	400	100.00%



Office of Adult Institutions

Deputy Commissioner James Erwin

Executive Secretary
Valerie Moore

Director of Operations
Chris Kleymeyer

PREA Coordinator
C.A. Wilkerson

Capital Construction Branch
Manager
Gunvant Shah

Food Services Branch Manger
Amanda Durrett

Program Administrator
Mark Douglas Harvey

Program Coordinator
Rebecca Williams

Policy Analyst-Programs
Debbie Kays

Policies and Procedures
Ashley Short



*James Erwin,
Deputy Commissioner
Office of Adult Institutions*

al Branch; the Food Service Contract monitoring staff, the Cognitive Program providers and instructors which support the Department daily.

Statewide PREA Coordinator

The Prison Rape Elimination Act (PREA) Program consists of The Department's PREA Coordinator, one Program Coordinator and two Sr. PREA Investigators. The responsibilities of the program are to assist all KYDOC adult institutions, Half Way Houses and Recovery Kentucky Centers to develop and implement policy and practice as each facility works toward compliance with the National PREA standards as set forth by the United States Department of Justice.

The Prison Rape Elimination Act was signed into law on September 4, 2003 to address the detection, prevention, reduction and prosecution of sexual harassment and abuse in all correctional facilities in the country.

Since the creation of the Department's PREA Coordinator position in November of 2012, we have accomplished several tasks

as we move toward compliance of the PREA Standards. Some examples include: annual PREA training for all staff, contractors and volunteers. Revisions have been made to multiple policies to better guide our staff and offenders regarding incidents of sexual abuse and sexual harassment. A PREA Compliance Manager is established at each institution. An Internal and External PREA Hotline ensures multiple avenues to report incidents of sexual abuse and sexual harassment. The Department has entered into a Memorandum of Understanding with the Kentucky Association of Sexual Assault Programs (KASAP) to provide offenders with necessary victim support services. The Department continually trains PREA investigators across the state to include PREA Investigators within the state's county jail facilities, half way houses and Kentucky Recovery Centers as well as all adult institutional facilities.

During 2017, PREA audits were conducted at four adult institutions. The institutions audited were Kentucky Correctional Institution for Women, Luther Lockett Correctional Complex,



*Chris Kleymeyer,
Director of Operations*

Office of Adult Institutions

The Operations Division, Office of Adult Institutions, provides centralized management of the necessary daily operational functions within the twelve adult correctional institutions. The Division also directly supervises the state employees and vendor staff that comprise the Capital Construction Maintenance Branch (CCMB) which provides - Architectural, Engineering and maintenance/construction support to the Department; the Inmate Education and Vocational Education staff that comprise the Education-



Operations and Program Services



*C.A. Wilkerson,
Statewide PREA Coordinator*

Western Kentucky Correctional Complex and Green River Correctional Complex.

To further reduce audit expenses, the Department has chosen to end its personal service contract with The Geo Group and modify its current contract with the American Correctional Association to utilize their PREA auditors.

PREA next phase requirements within the Kentucky Offender Management System (KOMS) now provide PREA Alerts to include movements, jobs, programs and demographics; PREA Allegation & Investigation and Reporting; PREA Investigations to Internal Affairs Investigation; and PREA Statistical Reporting. These KOMS upgrades were completed during third quarter, 2017. Furthermore, PREA compliance reviews at Half Way Houses and Kentucky Recovery Centers were ongoing, as well as internal reviews at other adult institutional facilities.

The Kentucky Department of Corrections' continuing goal

through this compliance process is to prevent, detect, report and investigate in order to mandate zero tolerance toward all forms of sexual abuse and sexual harassment of offenders within the Department's adult institutions, half way houses and Kentucky Recovery Centers throughout the Commonwealth.

Capital Construction

The Capital Construction Management Branch (CCMB) manages construction and maintenance activity of 627 DOC buildings, with a total occupied area of 4.4 million square feet (building ages range from 10 years to 125 years) and oversees funds allotted for capital construction and equipment purchases appropriated by the General Assembly for the Department of Correction's twelve penal institutions. CCMB strives to provide energy efficient buildings and energy efficient equipment and appliances.

The staff consists of six employees, including a registered engineer, a registered architect, three project managers and an administrative assistant. CCMB staff has a total of 140 years of experience in the areas of construction, engineering and architecture and possess licenses in LEED, HVAC, Asbestos sampling and Lead Based Sampling.

In addition, this branch is also responsible for designing small construction projects and provides assistance on OSHA & environmental matters; operational guidance on water and sewage plants; assists on lead and asbes-

tos matters; troubleshoots engineering and maintenance problems and prepares EPA permits for boilers and emergency generators. Each member of this staff plays a vital role in each project all the way from inception through completion. CCMB also establishes and coordinates seminars on various construction and engineering practices for all DOC maintenance staff. CCMB thrives on team work, efficiency, accountability, respect, professionalism and total transparency.

The CCMB began calendar year 2016 with 100 projects with allotments totaling \$137,348,248.06 and ended calendar year 2016 with 61 projects with allotments totaling \$126,228,484.84. These totals represent projects carried forward from the previous biennium that are currently still active.

The Legislature appropriated \$13,124,500.00 for calendar year 2016. This amount included \$4,155,000.00 for the stabilization of Dorm 8 at KSR, \$3,797,000.00 to repair & stabilize the tower at KSR, \$2,560,000.00 to connect the sewer plant at KCIW to the OCEA regional connect and \$2,612,500.00 for the Misc. Maintenance Pool. In calendar year 2016, CCMB opened 17 new projects and closed 60.

The energy projects for all twelve DOC facilities have been completed with a result in energy savings of \$2,940,143.00. These savings come from energy improvements in lighting, plumbing and HVAC improvements.

Operations and Program Services



*Gunvant Shah,
Capital Construction Branch*

CCMB also prepares, coordinates and submits the six-year capital plan and the biennial capital budget request for the Department. These requests are facilitated through the effective communication and coordinated efforts with the Finance and Administration Cabinet, Facilities Management and the Governor's Office for Policy and Management.

Cost cutting, increasing work efficiency, total transparency and work ethics have been the primary goal of CCMB. Using this method has saved an estimated \$441,703.00 by (1) in-house projects design, (2) using inmate labor and (3) project management control. Thus, CCMB has been able to effectively stretch the Miscellaneous Maintenance budget, enabling the completion of many needed projects that would not have been possible utilizing conventional contract methods.

Food Service Branch

The goal of the Food Service Branch is to provide each inmate a wholesome and nutritious diet within a set budgetary allowance. Meals are prepared and served

under strict sanitary conditions according to regulations set by the Kentucky Department for Health Services, as well as, the American Corrections Association standards including food service and sanitation standards.

The Branch operates with a Branch Manager who is a registered dietitian and is licensed in the state of Kentucky along with a Corrections Program Administrator and a District Food Operations Evaluator. Currently the Corrections Program Administrator and District Food Operations Evaluator are cross-trained to inspect all Adult Institutions as well as Department of Juvenile Justice (DJJ) facilities across the state. Each position is responsible for an assigned east or west region.

The Food Services Branch also provides assistance to the Department of Juvenile Justice by having the Registered Licensed Dietitian produce and approve menus for their 23 facilities across the state; including Residential Treatment Centers, Detention Centers and Group Homes. The Department's Food Services Branch also provides annual training and any needed assistance throughout the year.

The Department of Corrections maintains its partnership with Aramark Correctional Services (ACS) who operates the 12 state-run institutional food service areas. ACS provides expertise in food service operations, as well as, volume buying power which combine to create efficient and quality food service operations.

The DOC oversees the operations to ensure all regulations are met and policies are followed.

Each institution follows a "Master Menu" planned by dietitians in accordance with Recommended Daily Allowances as recognized by the National Academy of Science and approved by the Department Branch Manager. The menu follows a 28-day cycle with a Spring/Summer cycle and a Fall/Winter cycle. The menu provides an average of 2,800 calories per day. Annual resident inmate surveys are conducted and the results of this survey, along with input from institutional personnel, are taken into consideration when revising the Master Menu and improving daily food service operations. Along with the menu surveys, ACS conducts waste management programs in each facility which aids not only in menu development but product specifications as well. Menus are revised mid-year and allow less favorable items to be removed and more popular items to be added.

Menu items are prepared for the main line with limited or no salt, limited seasonings, reduced frying with vegetable oils, and restricted fat or meat based seasonings. It is the goal of the Department to improve diet through healthy cooking on the main line. The long-term benefit being reduced medical costs for the inmate population.

Food Service also feeds one meal per shift to staff while on duty. The Department feels while this benefits staff morale; it also provides additional security in the



Operations and Program Services



*Amanda Durrett,
Food Service Branch Manager*

dining rooms during the meal period.

In 2017, 12,961,458 inmate meals were served with an additional 48,310 kosher meals and 219,719 staff meals at a cost of \$13,680,838.10. The Corrections Program Administrator works closely with ACS to ensure accurate billing for each facility. Monthly invoices are audited based on monthly reports provided by each facility.

The Food Services Branch continues to monitor all facilities closely by conducting quarterly inspections, bi-annual documentation reviews and monitoring the food service contract. Over 50 sanitation inspections and 26 reviews including follow-up inspections were conducted throughout the year. The Food Services Branch maintains constant contact with the facilities through email and phone calls. The closer contract monitoring has made the vendor more responsive to the Department and has resulted in improved food service operations. The Food Services Branch also provides over 28 bi-annual inspections for the Department of Juvenile Justices'

Residential Treatment and Juvenile Detention Centers as well as 9 annual inspections for their Group Homes. Information collected during the reviews of DJJ facilities are reported to the Juvenile Justice Branch Deputy Commissioner, Division Director and Quality Assurance Branch and maintained in the DOC database.

The Food Services Branch continues its partnership with the Capital Construction Branch to improve food service equipment efficiency and maintenance with reviews of equipment issues before decisions are made to repair or replace. This partnership has not only maintained costs in this area but saved the Commonwealth significant dollars by repairing equipment instead of purchasing new, whenever cost effective.

Under this partnership, equipment inventories were updated at each food service facility. In addition, specifications for equipment are being standardized so that when possible, similar equipment will be purchased for all facilities making repairs easier and eventually develop a parts inventory.

The inmate food service training program, In2Work (I2W), conducted by Aramark continues to grow and be successful. This program is an institutional inmate food service training program that provides inmates with food service instruction and practical work experience. The Advanced I2W program which develops

leadership skills and job-ready skills continues to progress with the top qualified inmates.

During 2017, the Food Services Branch continues to work with all branches of the Department. The Branch Manager will approve menus for Local Facilities as requested. The Branch Manager also has worked closely with the Medical Services Branch by ensuring that policy is adhered to regarding medical diets and by providing documented verification to the Institution Food Service Directors as needed. This information is reviewed and verified at each bi-annual review for each institution.

Programs and Security Branch

The Operations Division, Office of Adult Institutions, provides centralized management of the necessary daily operational functions within the twelve adult correctional institutions. The Division also directly supervises the state employees and vendor staff that comprise the Capital Construction Maintenance Branch (CCMB) which provide - Architectural, Engineering and maintenance/construction support to the Department; the Inmate Education and Vocational Education staff that comprise the Educational Branch; the Food Service Contract monitoring staff, the Cognitive Program providers and instructors which support the Department daily.

The Branch operates under the direct supervision of the Director of Operations & Programs and is

Operations and Program Services

staffed with one Program Administrator, a Procedures Development Coordinator, a Program Coordinator and an Internal Policy Analyst.

This Branch oversees evidence-based inmate programming within the twelve adult correctional institutions. These programs are operated by both Department of Corrections (DOC) staff and contract facilitators. The oversight includes providing and reviewing quality assurance measures, ensuring contract compliance and coordinating facilitator training programs.

Included in the scope of responsibility are the following staple programs: Moral Recognition Therapy (MRT), Thinking for a Change, additional components of MRT to include an Evidence Based parenting program for female offenders which are cognitive, behavioral oriented programs, along with Inside Out Dads, a parenting program for male offenders. An Evidence Based program to address the specific needs of our segregation population has been developed and implemented. MRT, Thinking for a Change and Inside Out Dads (24/7 Dads) are in the process of being implemented for use in the continuum of care from the institutions to the community to include Probation and Parole. These efforts are also being monitored by this Branch.

Additionally, the Operations and Programs Branch provides oversight of religious programming throughout the state's adult institutions by monitoring compliance

with DOC policies and the Departmental Religious Manual.

Another major responsibility of this branch is to provide oversight relating to the day to day security operations and critical incidents within the Department's 12 institutions. The Director is responsible to the Deputy Commissioner of Adult Institutions for the continual update of the departmental critical incident manuals and is responsible for the operation and maintenance of Central Office's Command Center. These operations are vital to a successful outcome in the event of a critical incident. A main function of this division is to train staff in Central Office and around the state how to respond should a critical incident occur. This office holds drills to prepare staff for these instances in the event they occur. Each Incident reported by the institutions is reviewed by staff within this division, as well as the Deputy Commissioner of Adult Institutions. The mission of this division is to provide support for each of the Department's 12 institutions.

Plans began to open contract prisons within the state to take population pressures off of the county jails and the state prisons.

Adult Institutions staff oversee the Department of Corrections' policies and procedures. Staff facilitate the revisions, legal review, and LRC approval process. Additionally staff facilitates the same process for all 12 institutional policies and procedures while following ACA guidelines.



*Debbie Kays,
Internal Policy Analyst,
Programs*

Policies and Procedures

The Procedures Development Coordinator is responsible for the development, revisions and procedural review of all DOC Policies and Procedures (CPP's). A review of all Institutional Policies and Procedures (IPP's) is conducted annually and feedback is given as needed. This office also provides procedural process to Legal Services and the Legislative Research Commission.

In 2017, 6 new Corrections Policies and Procedures (CPP's) were created and four are currently pending, and 78 policies were revised.

There were also 102 CPP's (LRC, Non LRC and Secured) that were approved, became effective and were placed on the DOC Website in 2017.

BCFC, LSCC, EKCC, KSR, GRCC, NTC and RCC IPP's were successfully approved through the LRC in 2017.

KCIW, WKCC, BCC, NTC and RCC were reviewed in 2017 but

Education and Vocational Programs

Director

Assistant Director
Martha Slemp



Martha Slemp, Educational and Vocational Programs, Assistant Director

Overview

The Corrections Education Division complies with numerous State and Federal education requirements as well as partners with several agencies to bring Kentucky's offender population diverse educational programming. When fully staffed, the Education Division has a workforce of 120. Two primary areas of programming within the Division: Adult Education and Vocational/Technical Education.

The first area is Adult Education, which includes three levels: literacy; adult basic education; and adult secondary education or high school equivalency (GED®). DOC completed the 2017 calendar year with 266 (258 KY-DOC and 8 Adult Education) offenders in Adult Institutions earning their high school equivalency (GED®) credentials. The Corrections Education Division remains committed to computer-based GED® testing; as well as,

GED Ready™ testing. All 12 DOC Education Centers remain certified by Pearson VUE as official Pearson VUE GED® Testing Sites.

A second area of programming incorporated within the Education Division includes the following Vocational/Technical trade programs: *Automotive Body/Collision Repair*; *Automotive Technology*; *Carpentry/Cabinet-Making*; *VT Fundamentals*; *Electrical Technology*; *Horticulture & Landscaping*; *Heating & Air Conditioning (HVAC)*; *Masonry*; *MOS/IC3* (Microsoft Office Specialist/Internet Core Competency Certification); *Small Engine Repair*; and *Welding*. These 11 trade areas equate to 30 offerings throughout the 12 Adult Institutions. To ensure that Vocational students are meeting business/industry needs and to keep a strong focus on safety, attainment of the OSHA 10 Hour *General Industry* and the OSHA 10 Hour *Construction* cards are required as part of the existing *VT Fundamentals* curriculum.

The National Center for Construction Education and Research (NCCER) nationally recognized curricula are used in *Carpentry/Cabinet-Making*, *Electrical Technology*, *HVAC*, *Masonry*, *Welding*, and many components within the *VT Fundamentals* program. In 2017, offenders were awarded 355 NCCER program completions: 31 in *Carpentry/Cabinet-Making*, 13 in *Electrical Technology*, 38 in *Masonry*, and 273 in *VT Fundamentals*. The programs of HVAC and Welding

were in transition, and therefore, had no graduates.

Another national-level certification program, the National Occupational Certification Training Institute (NOCTI), provides the exit examination for non-construction trades including *Auto Body/Collision Repair*, *Automotive Technology*, *Horticulture*, and *Small Engine Repair*. At the close of 2017, the Education Division had 78 students pass the exit examination with most exceeding the national score average in their trade. Among these four vocational trades, completions included the following: 7 in *Auto Body/Collision Repair*, 10 in *Automotive Technology*, 47 in *Horticulture*, and 14 in *Small Engine Technology*.

Both the Federal and State Departments of Education strive for students to be college and career ready upon completion of high school; and in the world of Corrections, re-entry into society. The *National Career Readiness Certificate* (NCRC) is an industry-recognized, portable, evidence-based credential that certifies essential skills needed for workplace success. Aligned with ACT®, the NCRC is emphasized as a career readiness tool that offenders participating in corrections education programs are required to pass as a component of *VT Fundamentals*. To further an incarcerated students' academic career, Postsecondary Education is offered both on-site and via correspondence courses: three degrees during calendar year 2017 were obtained (one

Education and Vocational Programs

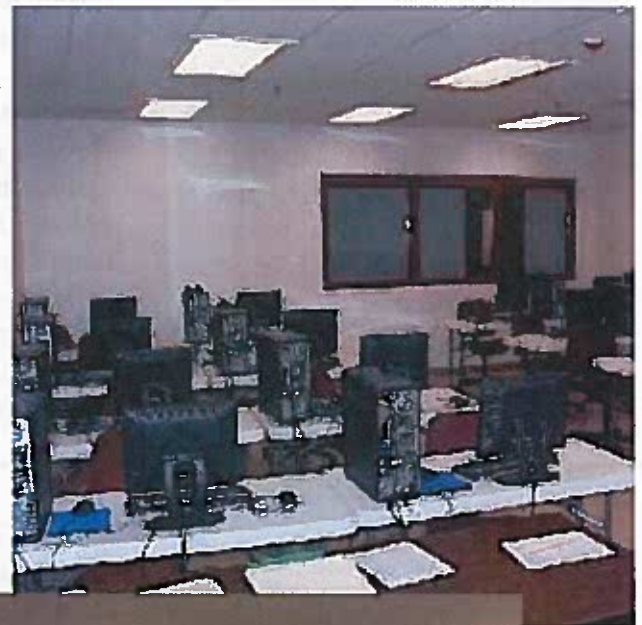
Associates of Arts, one Associate in Applied Science, and one Bachelor of Science). To ensure the incarcerated students have adequate technology-related skills for today's workforce, the *Microsoft Office Specialist* (MOS) program has been a staple of the correctional education experience. Consisting of IC³, Microsoft Office Word, Excel, PowerPoint, and Outlook, 19 students completed the *Microsoft Office Specialist* throughout 2017.

To summarize, the Corrections Education Division continues to be a successful leader in Adult Education and Vocational/Technical programs throughout the state of Kentucky. Perhaps the best way to see this leadership is with the following savings to the Commonwealth taxpayer; in calendar year 2017: the 266 KY-DOC awarded GED's[®] resulted in a total savings of \$1,268,820, while the 452 Voca-

tional/Technical program completions combined for a total savings of \$2,156,040 and lastly, the three awarded college degrees resulted in an additional \$14,310. In total, the Corrections Education Division, through quality and comprehensive educational instruction, produced a total savings to the Commonwealth of \$3,439,170 – or the equivalent of 64,890 days of incarceration.



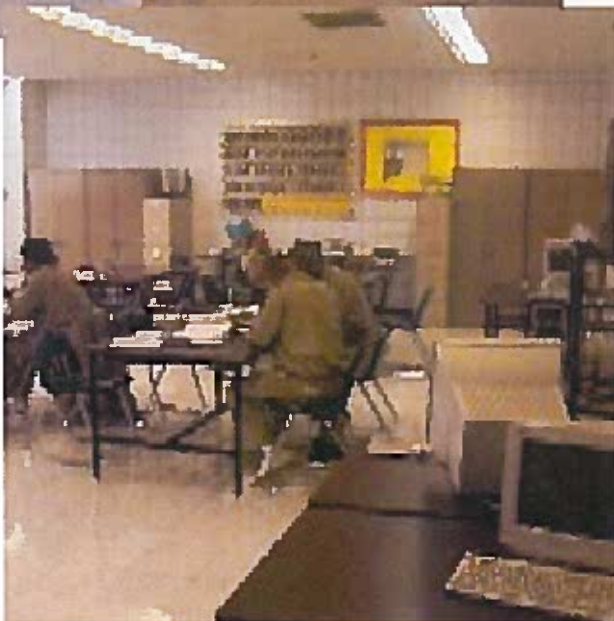
Top left; Green River Correctional Complex.



Top right; Little Sandy Correctional Complex.

Bottom left; Eastern Kentucky Correctional Complex.

Bottom Right; Blackburn Correctional Complex.



Safety Division and Private Prison Operations Division

Safety Director Webb Strang



Webb Strang, Safety Director

Overview

The Kentucky Department of Corrections (KDOC) established the Safety Division in 2017. The KDOC recognizes that prison facilities present a unique occupational safety and health challenge. Our staff face threats of workplace violence from our inmates, there are dangers from fire and explosive hazards, we

have dangerous chemicals in use, we operate with various trades from electricians to welders to plumbers, and we routinely engage in varying levels of construction projects, to name a few.

The men and women of the Division of Probation and Parole also face significant hazards in their work. We recognize that our staff are our greatest asset and are committed to protecting them from all recognized safety and health hazards, as is required by law. Safety also represents part of the KDOC's emphasis on comprehensive staff wellness. Responsibilities of the Safety Division include composing safety policy and procedure, written compliance programs, safety training delivery, facility inspections, and fire protection coordination. The Safety Division is in the process of elevating depart-

mental safety practices to a new level of compliance with occupational health and safety standards. Some notable 2017 achievements were the establishment of dedicated Safety Coordinators at most prison facilities, the creation of a new Corrections Policy and Procedure detailing the KDOC's Safety Management System, and the delivery of a new Powered Industrial Truck training program to all institutions. We also initiated a new accident investigation and analysis program that includes action planning and statistical record-keeping. One of the most notable achievements has been the establishment of buy-in from the Wardens, P&P Supervisors, and departmental executive staff. The KDOC is truly setting a tone for safety from the top down.

Private Prison Director Coleman Morrell



Coleman Morrell, Director Private Prison Operations

Overview

The Private Prisons Operations Division was established in the

Fall of 2017. In November of 2017, the Department of Corrections entered into a contract with CoreCivic to operate an 816 bed medium security correctional facility in Beattyville, KY. The Private Prison Operations division managed DOC, CoreCivic and individual vendor stakeholders to ensure a smooth ramp process.

The Lee Adjustment Center is on schedule to open on or prior to the specified deadline of March 19, 2018. Interviews for a Private Prison Operations Inspector are scheduled for January 2017. This inspector will ensure

the terms of the master agreement are adhered to during daily operations at LAC. In addition to monitoring the contract, the inspector will advise on matters of KDOC policy and prison best practices. With this in mind, the inspector will utilize the Lee Adjustment Center Contract Management plan, a document breaking the contract down into measurable standards for observation.

The Private Prison Operations Director will work with the Inspector and other KDOC Subject Matter Experts to ensure that LAC operates to the same standards as a KDOC facility.



Kentucky Correctional Industries

Director
Fred Siegelman

Joseph Woods
Operations Branch Manager

Fiscal Branch Manager
Roger Korby

Business Development
Hershel Adkins

Farms Branch Manager
Truman Tipton



*Joseph Woods,
Administrative Branch Manager
Kentucky Correctional Industries*

skilled inmate craftsmen. Motivated men and women are voluntarily employed in jobs that develop marketable skills, responsibility and a positive work ethic.

The job opportunities provided through Correctional Industries are highly sought after by our inmate population due to their relatively high pay and learning opportunities.

KCI strives to provide a work environment that as closely as possible matches what would be available on the “outside”. There is an interview process, pay increases based upon performance evaluations, a time clock to punch in and out and opportunities to work in a team environment.

As a result, Kentucky Correctional Industry products and services offered are of high quality and competitively priced. Consequently, our prisons are more effectively managed, society is delivered significantly less repeat offenders, and the tax dollars needed from the citizens of the Commonwealth are greatly reduced.

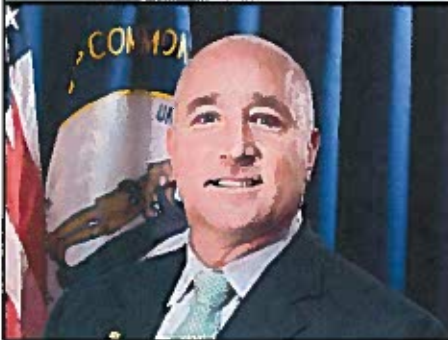
Fiscal

KCI continually review and implement changes to operating processes to improve its overall financial positions. These changes reduced operating costs as well as improved cash flow and customer service.

KCI continues to operate the service program with Old World Timber. In this program we receive old barn lumber that has been taken from high end areas such as the Hershey’s, Chocolate factory, Coney Island. As KCI receives the old lumber it is redeemed, reclaimed and repurposed to make the wood new again for other uses. Much of this wood is made into hardwood flooring, wallboard panel and rustic furniture and then distributed back to Old World Timber or the general public. This process has already generated thirty new inmate jobs with another thirty to come in the very near future. The partnership with Old World Timber is unique in creating jobs within the prison, teaching work skills that will eventually, on inmate’s release, help the workers to be placed in jobs within Old World Timbers factory and gain employment, becoming productive citizens upon their release.

Shipping / Warehouse

The recent transfer of the KCI moving crew operation from BCC to the central warehouse has assisted in optimizing logistics functions throughout our system. Overall, logistics have become more professional and more organized than in the past.



*Fred Siegelman, Director
Kentucky Correctional Industries*

Overview

Kentucky Correctional Industries (KCI) comprises 15 industries in eight facilities within Kentucky’s prison system in addition to four farm operations. It has been producing quality goods and services for government agencies, private businesses and the citizens of the Commonwealth for over 50 years.

KCI currently employs approximately 589 inmate workers within the above mentioned 15 industries including up to 100 inmate workers on the farms as seasonal needs dictate.

Our products and services are designed and manufactured by



Kentucky Correctional Industries



*Roger Korby, Fiscal Branch
Kentucky Correctional Industries*



*Hershel Adkins,
Business Development
Kentucky Correctional Industries*



*Truman Tipton, Farms Branch
Kentucky Correctional Industries*

Farms

The farm operations at BCC, NTC, RCC and WKCC sold approximately \$1,000,000 in cattle. The farm operation at WKCC sold approximately \$400,000 in corn and beans.

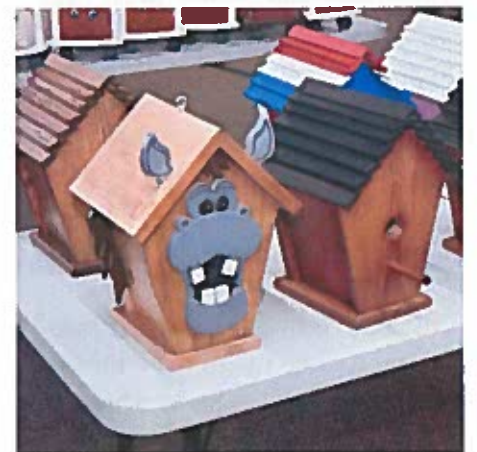
Operations

With the improvement in operations and cost control, KCI was able to replace out dated and obsolete equipment, i.e. panel saws, paint guns, sanders. KCI was also able to update computer and

graphic arts equipment at the Print Shops. KCI recently acquired and updated the Silk screen operation to offer clothing items for sports teams to complement our embroidery operations.

Sales / Marketing

Sales Department has expanded the amount of sales being made to the private sector. This includes sales to universities, police department, local governments and churches. The increase in sales to these sectors has offset the lost sales to state agencies due to budget reductions.



Health Services Division

Health Services Administrator Cookie Crews

Clinical Director
Denise A. Burkett, APRN

Program Administrator
Dr. A.J. Steele

Wellness Assistant Director
Ruth Staples



*Cookie Crews,
Health Services Administrator*

Overview

The Health Services Division provides administrative oversight and direction for all aspects of inmate health care, including sex offender treatment program, pharmacy operations, medical, psychiatric, psychology, dental and nursing services, post-hospitalization and end of life care.

In addition to ensuring that all inmates receive health screenings, physical exams, primary care, specialty care referrals and emergency services, the Division also provides for chronic care clinics designed to monitor and treat inmates with the following

conditions: Asthma, Diabetes, Epilepsy, Hepatitis C, High Cholesterol, High Blood Pressure and HIV infection.

General Services

Mental Health staff served 874 inmates in Mental Health programs. They processed several thousand mental health appraisals / screeners / brief follow up appointments on male and female intakes within their first two weeks of admission. The contact that is initiated through our Assessment Centers is devised to identify those inmates needing specialty intensive mental health services, sex offender treatment services, and/or substance abuse treatment services. Inmates may be referred to these intensive services or may be followed by mental health staff through General Services.

Phoenix, the Co-occurring Unit housed on KSR grounds, provides Evidence-Based substance abuse programming and mental health treatment to the male offender population. This is a 33-bed unit in which male offenders with both substance abuse issues and mental health issues are simultaneously treated for a minimum of six months.

General Services Mental Health staffing provides coverage in all adult institutions across the state. The General Services provided by Mental Health staff include the completion of other psychological evaluation referrals, 30/90 day reviews in SMU, individual contacts/consultations on in-



*Dr. Denise Burkett,
Clinical Director*

mates, crisis intervention, watch initiations, and watch reviews. Cognitive-behavioral Evidence-Based groups are offered to the General Population by Mental Health staff.

Women's Mental Health

Seriously Mentally Ill services for female offenders are provided in the Challenges program at the Lonnie Watson Center, a 42-bed unit at KCIW. A licensed Psychologist and Social Service Clinician assigned to this unit provide stabilization and gender-specific treatment services focusing on independent living skills, mental illness recovery and transitional living services.

There is a Co-occurring Unit, WILLOW, housed at KCIW which provides substance abuse programming and mental health treatment to female offenders. This is a 42-bed unit which opened in May 2012.

Mental Health staff at KCIW conducted training on mental health issues for the staff assigned to the mental health unit at this institution.



Health Services Division

Correctional Psychiatric Treatment Unit (CPTU)

Seriously Mentally Ill services for male offenders are provided at the Correctional Psychiatric Treatment Unit (CPTU), which is a 150-bed unit located at KSR. The A-wing of CPTU serves inmates with persistent and severe mental illness who have concurrent cognitive related disorders and/or severe deficits in activities of daily living. The B-wing program (THRIVE) serves inmates with persistent and severe mental illness and normative intellectual abilities. C-Wing is an acute stabilization wing reserved for the most severely disturbed psychiatric behavioral disordered offenders and/or those inmates with Severe Mental Illness who are serving disciplinary segregation time. Contact with offenders in this program is daily.

Sex Offender Treatment

The Sex Offender Treatment Program (SOTP) provides treatment to approximately 850 offenders at any given time.

The SOTP continues to utilize evidence-based practices as well as track and record recidivism data, which demonstrates significant reductions in recidivism rates for treated sexual offenders when compared with untreated sexual offenders.

Highlights

- The Critical Incident Stress Debriefing Team provided services to staff following 7 critical incidents.
- DOC in partnership with UL and Spaulding received a grant from NIJJ.
- Sex Offender Risk Assessment processed 374 court orders.
- Sex Offender Treatment Program (Community) graduated 248 inmates and Sex Offender Treatment Program (Institution) graduated 154 inmates.
- Therapeutic Level of Care Committee heard 154 case.
- Processed 489 Medical Grievances.
- 20 Inmates were submitted for early parole with 11 being granted and released
- Processed 1012 Medicaid applications.
- We saved 644 offsite trips by doing Chemotherapy on site
- We had 320 referrals for Medical Assistance Therapy with 75 released and still participating.



Health Services building located in LaGrange, Kentucky

Substance Abuse Programs Division

**Director
Kevin Pangburn**



*Kevin Pangburn, Director
Substance Abuse Programs
Division*

The Division of Substance Abuse Services is tasked by the Department of Corrections with the planning, development, implementation and oversight of the evidence based substance abuse programming services available to inmates, parolees, probationers, and through SB 192, some non-state inmates. The Department of Corrections and the Division of Substance Abuse Services are the largest providers of substance abuse services in the commonwealth.

As a result of continued growth, the department now provides, 5, 901 treatment slots in prisons, jails, halfway houses and Recovery Kentucky Centers. The department also contracts with agencies in Louisville, Lexington and Northern Kentucky to provide Intensive Outpatient substance abuse treatment. Through a contract with the statewide Community Mental Health Center network, 1,925 Intensive Outpatient Program options are offered for those meeting the protocol for less restrictive substance

abuse treatment programming.

Substance Abuse treatment programs are available in 8 prisons, 24 county detention centers, 13 Recovery Kentucky Centers, 11 halfway houses and 14 Community Mental Health Centers. By comparison, in 2005, the department operated programs in four prisons and two county detention centers with a total of 475 beds.

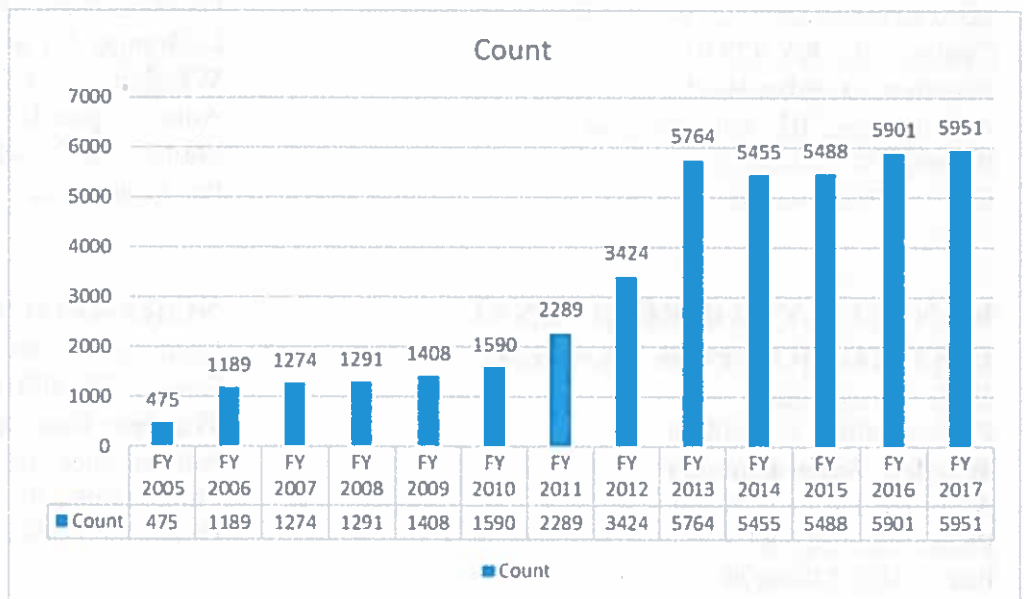
Clinically trained Social Service Clinicians provide vital assessment, recommendations, referral and treatment services in each probation and parole district. Through diversion options, the Social Service Clinicians may also assist in diverting certain high risk probationers and parolees toward treatment services rather than incarceration.

In March 2015, the department initiated SAMAT, a medically assisted treatment protocol designed to assist those individuals at high risk for opioid/ heroin use. Three million dollars in funding through SB 192 provides

the opportunity for those at most risk to utilize Naltrexone (Visitor) injections prior to release from prison or jail. Upon release, individuals meet with the Social Service Clinician to assist in Medicaid funding and ongoing injections.

All programs are audited by the University of Kentucky Center for Alcohol and Drug research to determine treatment program outcome results. The University of Cincinnati Center for Criminal Justice Research reviews our programs to ensure evidence based compliance. All prison and jail programs are licensed through the state office of the Inspector General.

Through evidence based substance abuse treatment programming and intervention services, inmates, probationers and parolees are afforded the opportunity to confront and alter a debilitating disease, resulting in the chance for a more productive lifestyle, healthier families, and safer communities.



Institutions

BELL COUNTY FORESTRY CAMP

560 Correctional Drive
Pineville, KY 40977
Warden: Keith Helton
Admin. Spec. III: Betsy Patterson
Phone: 606-337-7065
Fax #: 606-337-1312

BLACKBURN CORRECTIONAL COMPLEX

3111 Spurr Road
Lexington, KY 40511
Warden: Tiffany Ratliff
Admin. Spec. III: Christy L. Peach
Phone: 859-246-2366
Fax #: 859-246-2376

EASTERN KY CORRECTIONAL COMPLEX

200 Road to Justice
West Liberty, KY 41472
Warden: Kathy Litteral
Admin. Spec. III: Melissa Howard
Phone: 606-743-2800
Fax #: 606-743-2811

GREEN RIVER CORRECTIONAL COMPLEX

1200 River Road, P.O. Box 9300
Central City, KY 42330
Warden: DeEdra Hart
Admin. Spec. III: Amy Douglas
Phone: 270-754-5415
Fax #: 270-754-2732

KENTUCKY CORRECTIONAL INSTITUTION FOR WOMEN

3000 Ash Avenue
Pewee Valley, KY 40056
Warden: Janet Conover
Admin. Spec. III: Vacant
Phone: 502-241-8454
Fax #: 502-243-0079

KENTUCKY STATE PENITENTIARY

266 Water Street
Eddyville, KY 42038
Warden: Randy White
Admin. Spec. III: Tracey Jordan
Phone: 270-388-2211
Fax #: 270-388-5529

KENTUCKY STATE REFORMATORY

3001 West Highway 146
LaGrange, KY 40032
Warden: Aaron Smith
Admin. Spec. III: Donna Dailey
Phone: 502-222-9441
Fax #: 502-222-0240

LITTLE SANDY CORRECTIONAL COMPLEX

505 Prison Connector
Sandy Hook, KY 41171
Warden: James David Green
Admin. Spec. III: Deborah Williamson
Phone: 606-738-6133
Fax: 606-738-6143

LUTHER LUCKETT CORRECTIONAL COMPLEX

Dawkins Road, Box 6
LaGrange, KY 40031
Warden: Scott Jordan
Admin. Spec. III: Suzannah Rostek
Phone: 502-222-0363/222-0365
Fax #: 502-222-8112

NORTHPOINT TRAINING CENTER

Highway 33, Box 479
Burgin, KY 40310
Warden: Don Bottom
Admin. Spec. III: Kelly McGinnis
Phone: 859-239-7012
Fax #: 859-239-7560



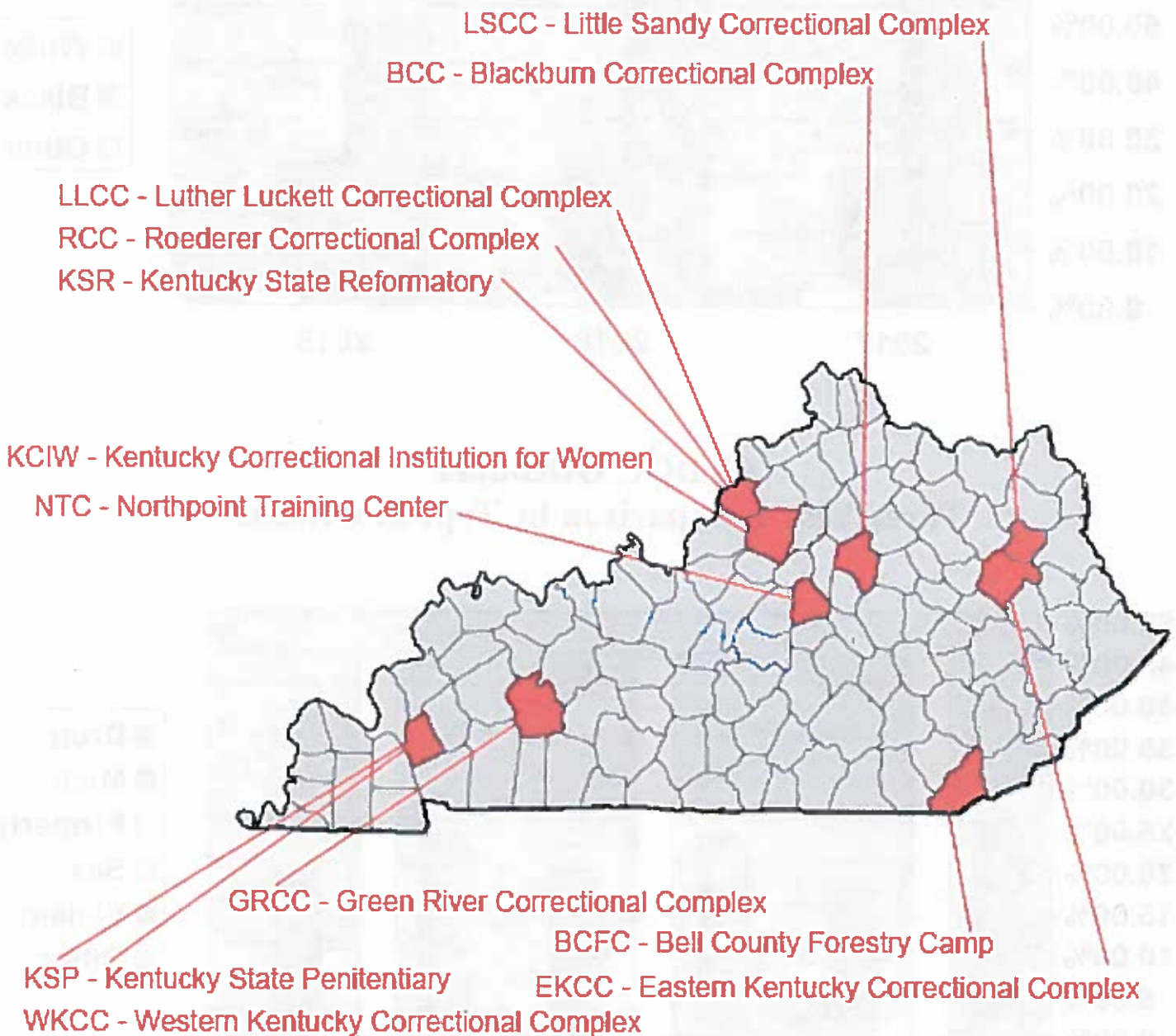
Institutions

ROEDERER CORRECTIONAL COMPLEX

P.O. Box 69
LaGrange, KY 40031
Warden: Ravonne Sims
Admin. Spec. III: Patti Ray
Phone: 502-222-0170/222-0173
Fax #: 502-222-9746

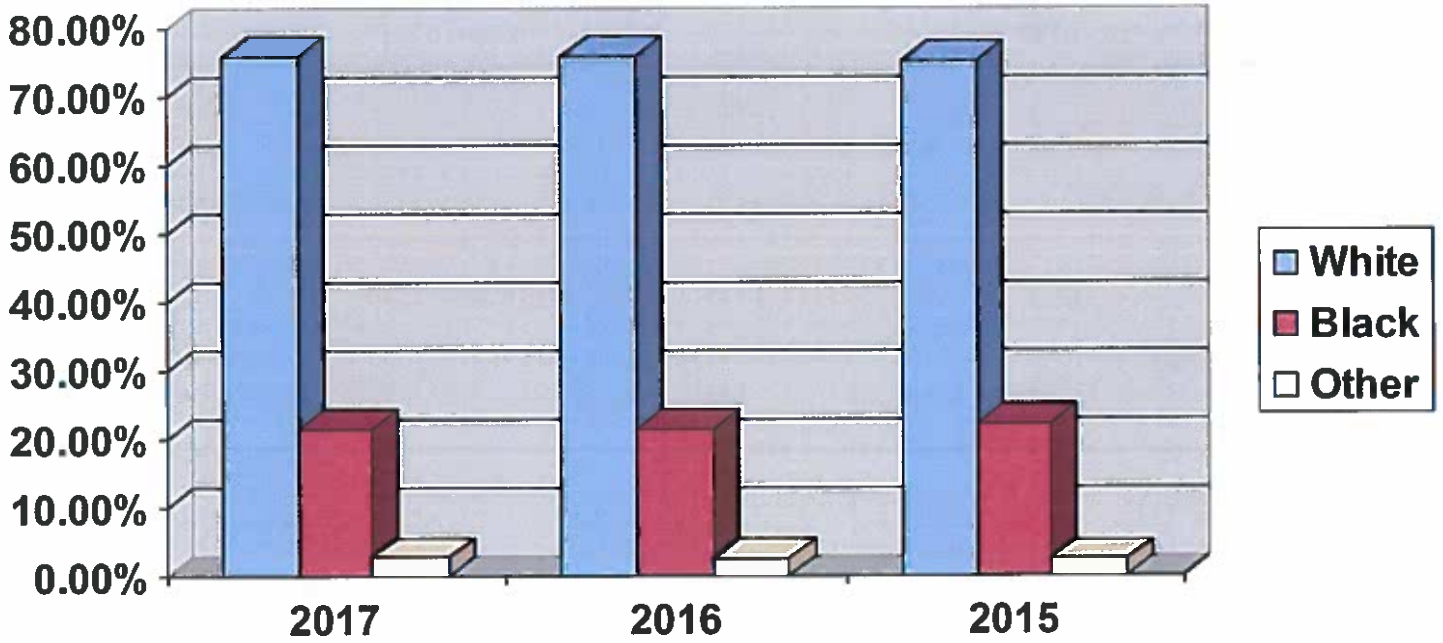
WESTERN KY CORRECTIONAL COMPLEX

374 New Bethel Church Road
Fredonia, KY 42411
Warden: Tim Lane
Admin. Spec. III: Regina Moore
Phone: 270-388-9781
Fax #: 270-388-0031

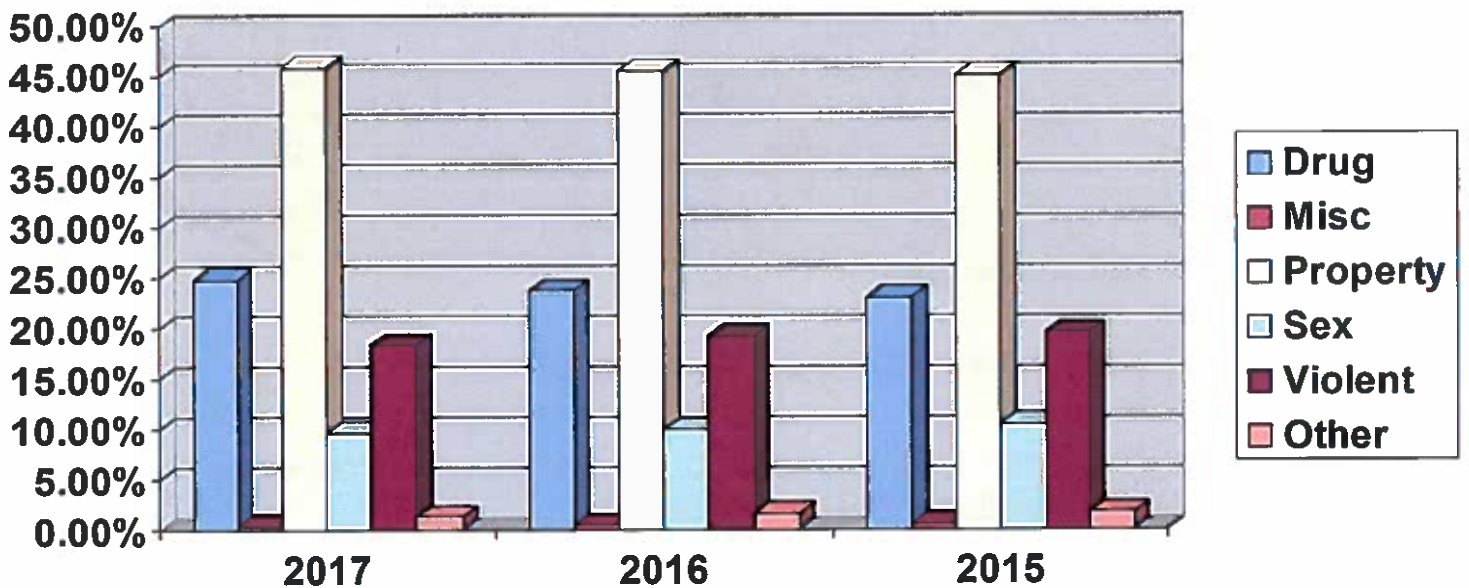


Population Data

All DOC Offenders Three Year Comparison By Race



All DOC Offenders Three Year Comparison by Type of Offense



Population Data

All DOC Offenders Three Year Comparison By Gender

MALE	BCC	BCFC	EKCC	GRCC	KSF	KSF	LLCC	LSCC	MAG	NTC	OCCC	RCC	WKCC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL
2017	592	299	167	951	858	2	119	993	0	3	0	1	479	0	0	0	50
2016	591	300	169	970	859	5	993	998	0	9	0	5	562	0	0	0	06
2015	583	298	169	954	832	1	100	967	0	6	0	4	426	0	0	0	03
FEMALE	KCIW	RC	WKCC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL										
2017	721	195	0	0	0	0	916										
2016	718	196	0	0	0	0	914										
2015	718	172	0	0	0	0	890										

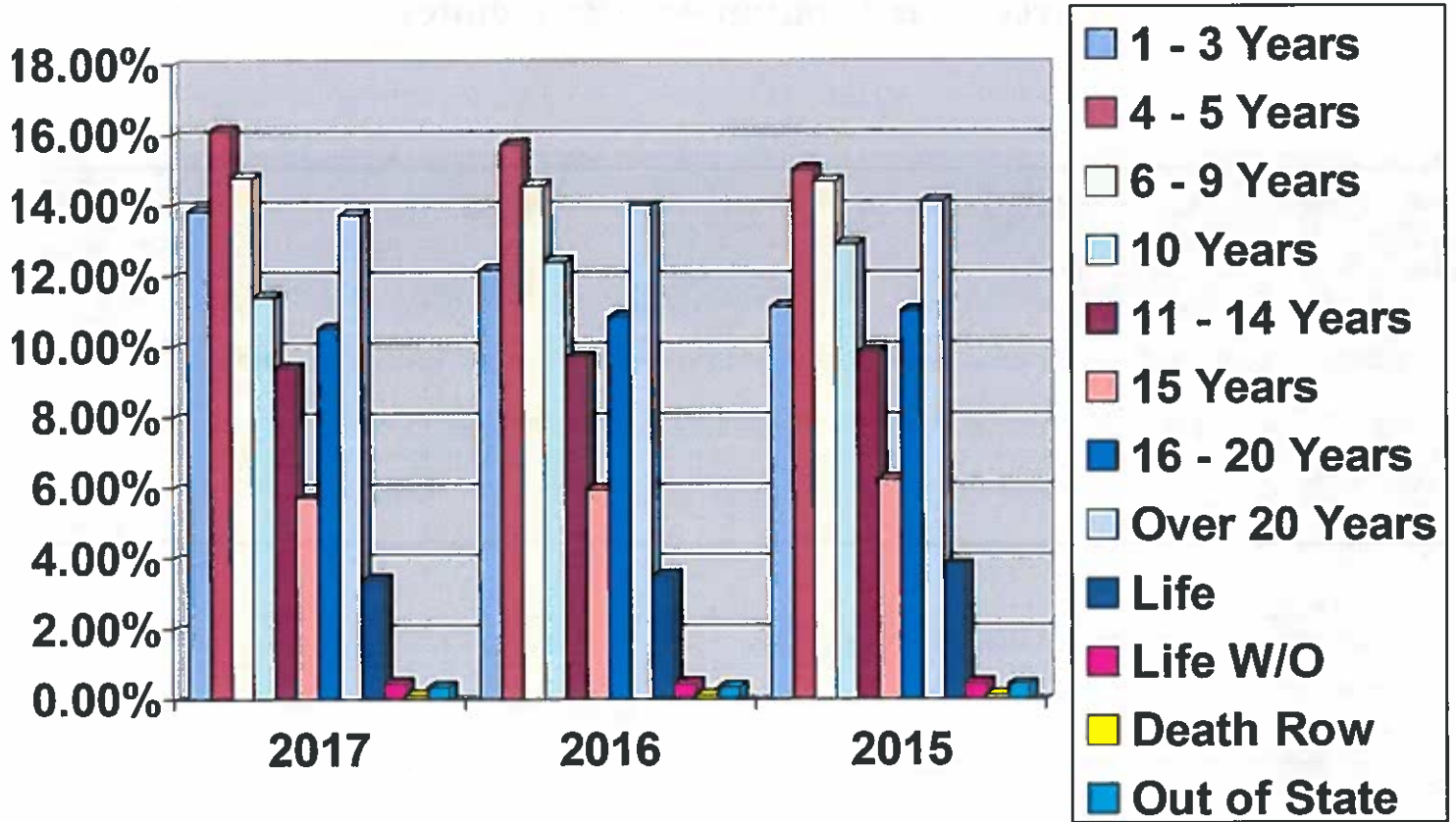
Top 3 Admissions by County

COUNTY OF CONVICTION	2017	2016	2015
Jefferson	14.90%	14.96%	16.03%
Fayette	5.71%	5.83%	5.97%
Kenton	4.08%	4.02%	4.02%

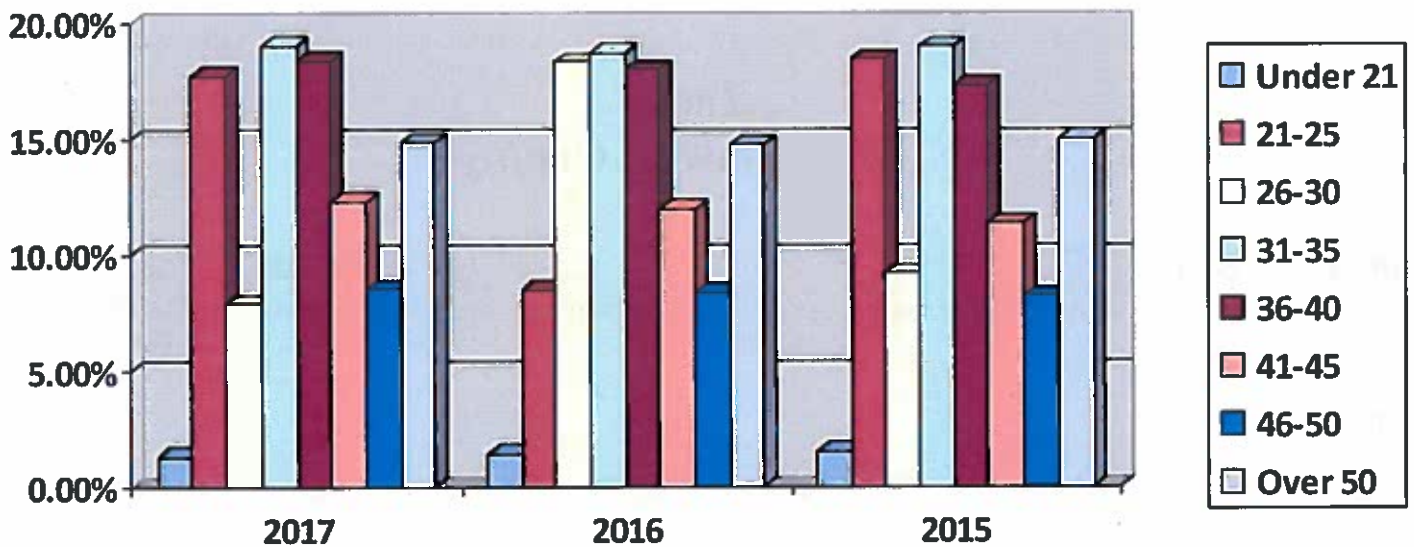


Population Data

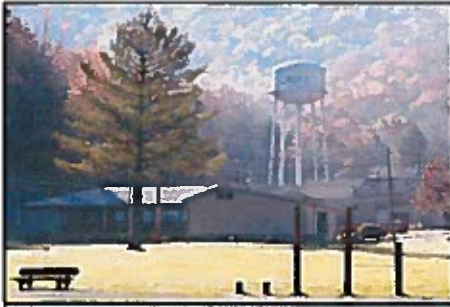
Population by Years to Serve / Three Year Comparison



Population by Age / Three Year Comparison



Bell County Forestry Camp



Warden Keith Helton

Deputy Warden - Security
Chris Patterson

Deputy Warden— Programs
Chris Patterson

Administrative Assistant
Betsy Patterson

Address
560 Correctional Drive
Pineville, KY 40977

2017 Average Daily Population
294

Total Number of Staff
50

Security Level
Minimum

Overview

The Bell County Forestry Camp (BCFC) is situated on approximately 15 acres in rural Bell County, Kentucky. It is approximately 14 miles southwest of the town of Pineville. It is a Minimum Security Facility with the capacity to house 300 adult male inmates. The facility employs 50 staff as well as having 14 contract employees that work in Food Service, Medical Services, and Program Facilitation etc.

The Bell County Forestry Camp was established in October of 1962 as a satellite of the Kentucky State Reformatory in La-Grange with a population of 27 inmates. The population has gradually increased over the years, rising to 105 by the end of 1978. The population increased to 200 with the completion of a new dormitory in November 1987.

The institution later added double bunks and the capacity increased to 300 on August 1, 2007. BCFC also operates its own Water Treatment Plant and Waste Water Treatment Plant where inmates receive training and become certified plant operators.

BCFC provides inmate labor to the Division of Forestry for fire suppression in Bell and surrounding counties during fire season. In addition to forest fire suppression, inmate labor is also supplied to the Department of Transportation (2 road crews), Bell County Fiscal Court, Knox County Fiscal Court, Bell County Schools, Pineville Schools, Pineville City and Middlesboro City. Special details are also provided from time to time to State Parks in the areas.

The average daily count for outside labor crews is 70 inmates. Inmate labor is also utilized in various areas for day-to-day operations such as landscaping, maintenance, food service, sanitation, Water Plant and Sewer Plant operations etc.



Keith Helton, Warden

The Institution was accredited by the American Correctional Association in 1990 and has successfully passed re-accreditation audits through November 2016.

Highlights

The Bell County Forestry Camp has made the following significant improvements; newly expanded and resurfaced staff and visitor parking, along with a newly constructed inmate visitation building and inmate canteen. Once the new visitation was opened a new search procedures was implemented for the GSP inmates that allows the inmates to be searched prior to stepping foot on the yard. BCFC has also acquired two new staff positions to include a Correctional Lieutenant and Safety Officer.

The Supervisor's office was relocated to be centralized on the institutional grounds for quick response as well as easy access. The Receiving and Discharge building was relocated for easier inmate supply distribution as well as supply storage.

A staff wellness area as well as emergency staff sleeping quarters were established.



Bell County Forestry Camp

The Bell County Forestry Camp purchased a new lake pump and constructed a new dock for the lake pump in order to continue to pump, purify, and supply the institutions' own water.

The Institutional Armory was also re-organized and new procedures were implemented in order to reduce the number of staff entering the area and have a more accountable storage and checkout system.

Programmatic and Operational Areas

BCFC has implemented the following new programs; Knox-Whitley Humane Association (K.W.A.S.) Second Chance Paws: Knox-Whitley Humane

Association from Corbin, KY is very proud to announce their affiliation with Bell County Forestry Camp in creating the second chance paws program. This program is placing a select few dogs from the crowded shelter life with handlers to learn basic socialization and obedience skills so that they will get a second chance at life. The program is an 8 week program. During this time the dogs learn basic obedience skills, including without aggression how to coexist with many different people, proper leash walking skills, and socialization, all of which are highly adoptable traits. Not only does this program offer such high value knowledge for the dogs, it offers the inmates a chance to learn life skills and what second chances are truly about. Moral Recognition Re-

entry in order to help inmates focus on successful and positive re-entry back into the community.



Pictured above is the inmate canteen.



Receiving and Discharge



Interior of Inmate Visitation

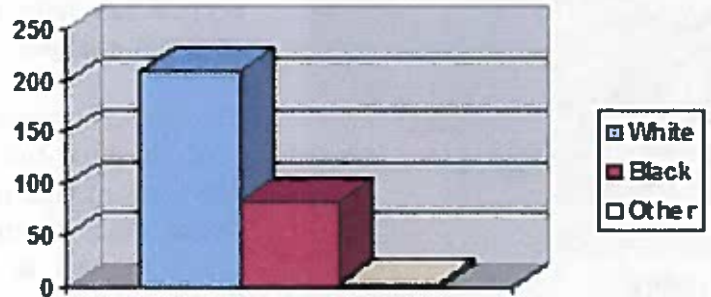
Pictured to the far left top is receiving and discharge. Pictured to the bottom left is inmate visitation. Pictured to the right is the interior of inmate visitation.



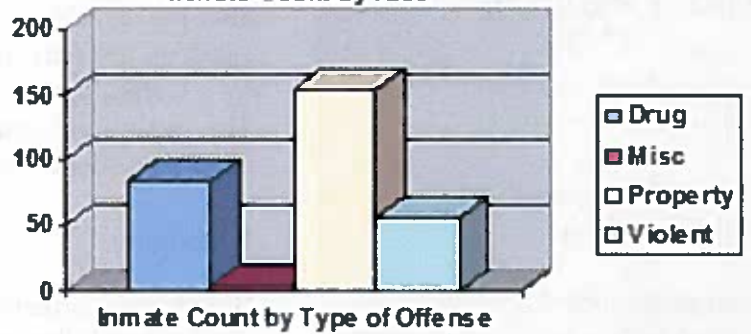
Bell County Forestry Camp

2017 Demographic Data

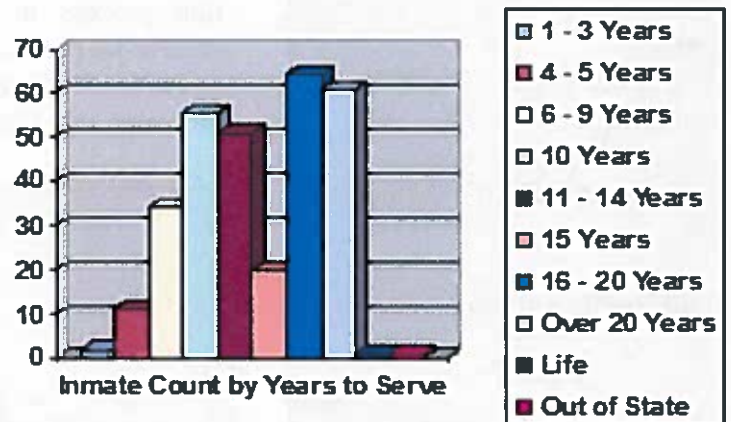
RACE	COUNT	PERCENTAGE
White	210	70.23%
Black	84	28.09%
Other	5	1.68%
Total	299	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	84	28.09%
Miscellaneous	4	1.34%
Property	154	51.51%
Violent	57	19.06%
Total	299	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	2	0.66%
4 - 5 Years	11	3.67%
6 - 9 Years	34	11.36%
10 Years	55	18.38%
11 - 14 Years	51	17.05%
15 Years	20	6.69%
16 - 20 Years	64	21.40%
Over 20 Years	61	20.40%
Life	1	0.33%
Total	299	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.99%
21-25	17	5.69%
26-30	32	10.70%
31-35	58	19.40%
36-40	67	22.41%
41-45	58	19.40%
46-50	35	11.71%
Over 50	29	9.70%
Total	299	100.00%



Blackburn Correctional Complex



Warden Tiffany Ratliff

Deputy Warden - Security
Alicia Boyd

Deputy Warden – Programs
Abigail McIntire

Administrative Assistant
Christina Peach

Address
3111 Spurr Road
Lexington, KY 40511

2017 Average Daily Population
584

Total Number of Staff
131

Security Level
Minimum

Overview

Blackburn Correctional Complex (BCC) is the largest minimum-security institution operated by the Kentucky Department of Corrections. BCC became a part of the Kentucky Department of Corrections in 1972 when it was transferred from the old Department of Child Welfare.

BCC presently operates as a 594 bed adult male minimum security institution. All individuals incarcerated here have been convicted of felony charges.

The physical plant consists of a total of thirty-two buildings on 456 acres of land that house Academic and Occupational Programs as well as Industrial and Support Services. The institution also has a farming operation located on grounds that is operated by a Correctional Farm Manager. The institution's cattle farm is a registered Angus farm.

Highlights

Blackburn Correctional Complex went through the ACA accreditation process in September and received a perfect score of 100%. Areas highlighted during the audit were the Maintenance Shop/



Tiffany Ratliff, Warden

Tool Room/Caustic Toxic Area and Sanitation.

Post Two became a permanent non-pull post early in the year and an electronic arm was installed. An electronic arm was also added to Post One at the exit.

Restructuring the dog program resulted in 45 dogs graduating the program and being adopted during 2017. The program was re-named to Barkburn – A New Leash on Life.



Thoroughbred Retirement Foundation Barn at BCC

Blackburn Correctional Complex

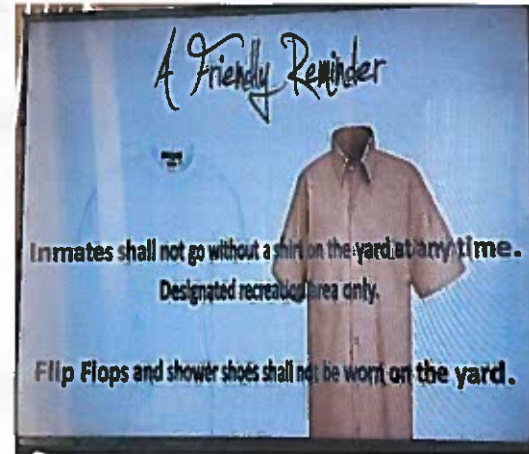
Blackburn Correctional Complex awarded twelve GED's for 2017.

A new Safety Coordinator position was established in conjunction with the inmate Safety Specialist Program.

A television channel was introduced to the inmate population providing continuous information and updates regarding the department and the institution.

Moral Recognition Therapy is a cognitive-behavioral program for improving inmates' moral reasoning and self-image.

New Directions is a reentry program designed to help the inmate understand the concept of re-entry and how it will work during incarceration and after release.



Pictured above—inmate T.V. communication highlighting Blackburn's new Safety Program.

Programmatic and Operational Areas

BCC houses The Thoroughbred Retirement Foundation program. The state provides a farm manager, the land, barn, and inmates to work with the retired horses. The Thoroughbred Retirement Foundation provides all other needs. The inmates that participate in this program receive a Certificate of Stable Management when they have successfully completed the requirements of the program. During 2017, the program held an open house to celebrate the program as it was featured on local news channels twice during the year.

Occupational programs include Masonry and Horticulture.

Prison Industries operations include mattresses, moving services, panels and signs, and digital t-shirt printing.

Inside Out Dads is a parenting program that provides parent education classes and special visits for fathers and their children.



Pictured above — An electronic gate arm was installed at the main entrance of the institution.



Pictured left is the maintenance tool room.

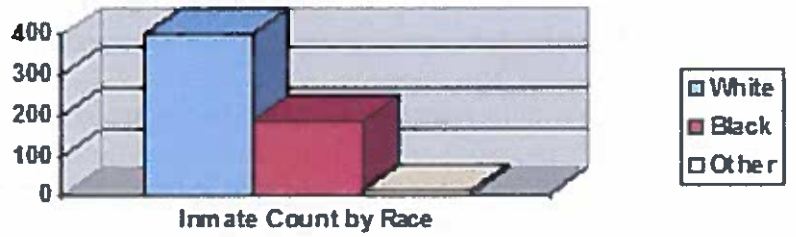


Pictured at the bottom left is the Caustic Toxic Room that was noted during the ACA Audit.

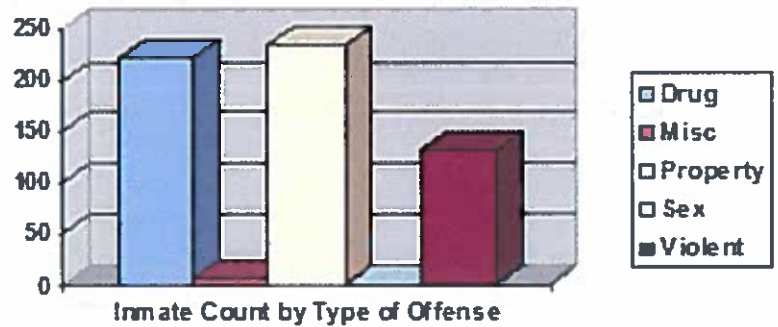
Blackburn Correctional Complex

2017 Demographic Data

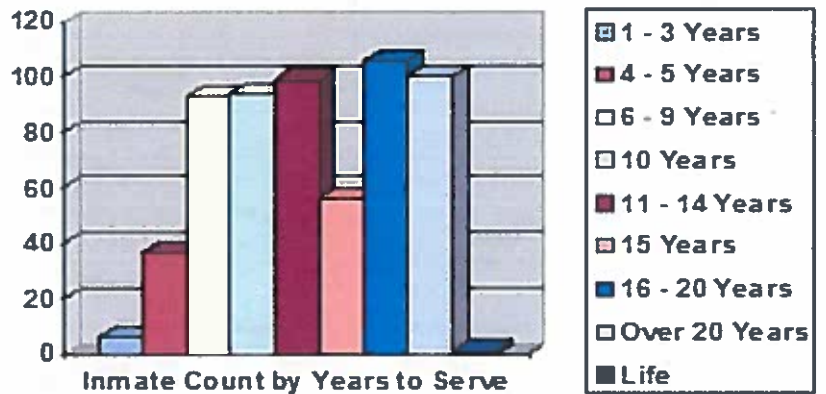
RACE	COUNT	PERCENTAGE
White	398	67.23%
Black	183	30.91%
Other	11	1.86%
Total	592	100.00%



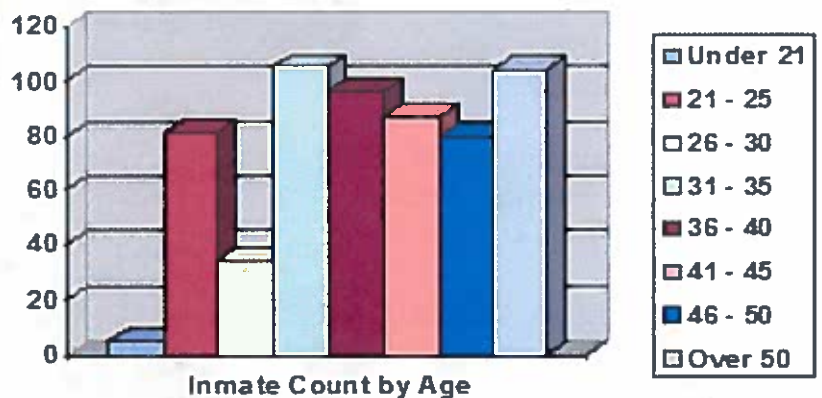
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	221	37.33%
Miscellaneous	6	1.01%
Property	233	39.36%
Sex	0	0.00%
Violent	132	22.30%
Total	592	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	6	1.01%
4 - 5 Years	37	6.25%
6 - 9 Years	93	15.71%
10 Years	94	15.88%
11 - 14 Years	99	16.72%
15 Years	56	9.46%
16 - 20 Years	106	17.91%
Over 20 Years	100	16.89%
Life	1	0.17%
Total	592	100.00%



AGE	COUNT	PERCENTAGE
Under 21	5	0.84%
21-25	81	13.68%
26-30	34	5.74%
31-35	105	17.74%
36-40	96	16.22%
41-45	87	14.70%
46-50	80	13.51%
Over 50	104	17.57%
Total	592	100.00%



Eastern Kentucky Correctional Complex



Warden Kathy Litteral

Deputy Warden - Security
Brad Adams

Deputy Warden - Programs
James Whitt

Deputy Warden - Operations
Michael McKinney (special detailed into this position of November 1, 2017)

Administrative Assistant
Melissa Howard

Address
200 Road to Justice
West Liberty, KY 41472

2017 Average Daily Population
1,678

Total Number of Staff
375

Security Level
Medium

awarded in August of 1985 and officially opened in 1990. The first inmates were received February 14, 1990. Phase II construction was completed in December of 1991. EKCC was designed to house 1,122 inmates but double bunking increased the institutional capacity to 1,722 making EKCC 53% over its designed capacity. EKCC's distinction from other Kentucky institutions is the very structured inmate controlled movement. Controlled movement is the result of our institutional design, the number of inmates housed and the inmate custody level. EKCC's best security tool is the controlled movement. Inmates move to and from their dormitory to work, school, gym and yard on the hour and half hour. Movement is closely monitored providing inmates with fewer opportunities to pass contraband and creating a safer environment for inmates and staff. Controlled movement also allows EKCC to reduce the number of inmates moving at any given time; thus enhancing EKCC's ability to control disturbances within the inmate population.

Highlights

Eastern Kentucky Correctional Complex's last PREA Accreditation Audit was held on March 1-3, 2016. The 2017 PREA Accreditation Audit was postponed and the next Audit is tentatively scheduled for April 23, 2018.



Kathy Litteral, Warden

Eastern Kentucky Correctional Complex received a near-perfect audit score on its recent Re-Accreditation Audit with the American Correctional Association (ACA) for July 18-20, 2016. The prison received a total score of 99.8 percent. EKCC received its initial accreditation in 1992, and this audit marks the 9th in the history of the institution. In announcing the score, Audit Chairman Barbara King, a retired deputy superintendent from the Ohio Department of Rehabilitation and Correction, was very complimentary of the staff at EKCC and their job performance.

On August 20, 2017 our C.E.R.T. team was activated to the Boyd County Jail where they assisted other Law enforcement agencies due to fire being set to the facility. Thirty-two (32) Boyd County Jail inmates were transported by C.E.R.T. to E.K.C.C. to be housed at our facility until the Boyd County Jail was repaired and reopened, approximately 2 weeks later.

Overview

The Eastern Kentucky Correctional Complex (EKCC) was constructed in two (2) phases and houses minimum, medium, close and maximum custody inmates. The contract for construction was



Eastern Kentucky Correctional Complex

Programmatic and Operational Areas

EKCC is still focusing on needed repairs and upgrades required after its current 28 years in operation. Institutional physical upgrades were set into motion during 2015 and have continued on through 2018. 2017 upgrades include metal detectors installed on both sides of the Yard, new Staff Roll Call Room and new Inmate Property Storage Room, material added to the fence between Yards to reduce communication between inmates on opposite sides of the Yard, and material added to Minimum Security Unit fence to lessen contraband introduction.

EKCC started an institutional-wide cell renovation in 2017 and is continuing on through 2018. Projected at \$3 million dollars, the project is currently at 50% completion as we continue to finish one dorm at a time. The cell renovation includes installing newly mounted furniture for safety and security purposes.

Several new procedures were implemented to increase security measures during 2017. These new implementations include new Chapel out-counts, 9:30PM Lockdowns to lessen incidents, mandatory three officer coverage for Dorms 1, 2, 3, 4, and 8 and an extra basement officer and property room officer on the 8-4 shift, Tasers and MK-4's being carried by Yard staff, Housing Unit Sergeants being assigned to the 4-12 shift, and Count sheets were updated to reflect every wing count as well as counts being taken by

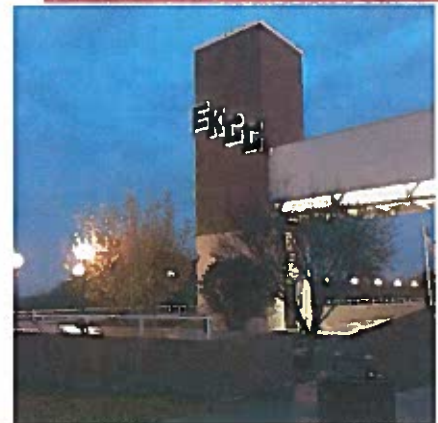
wing and a new out-count sheet implemented to ensure accuracy.

New clear plastic tote bags stamped with the KY Department of Corrections logo were distributed to all staff with a two-fold reasoning: to reduce possible contraband induction and speed up the entry search process for front lobby security staff.

On September 26, 2017, implemented per memo, whistles were distributed to all staff with instructions that in the event of a disturbance (fight, assault, etc.) inside of any institutional building, the whistle is to be blown to alarm all inmates to get face down on the ground. Any inmate who does not comply with this directive will be considered part of the disturbance. This procedure is meant to decrease chaos during an incident and increase the safety and security of the Institution.

In 2017, EKCC installed a new Institutional Camera System. This new camera system boasts a much higher definition video quality to ensure a higher safety monitoring system. Costing at

approximately \$400,000, at least 150 cameras have been installed to date. Exterior cameras, which had not been installed previously, were also added that have visibility up to a mile, greatly broadening the security of the Institution. In November 2017, EKCC's Maintenance Department fabricated and affixed new "EKCC" letters with LED backdrop lighting on the entrance elevator tower. This project has an estimated



Pictured at the top is the new clear plastic tote bags distributed to staff.

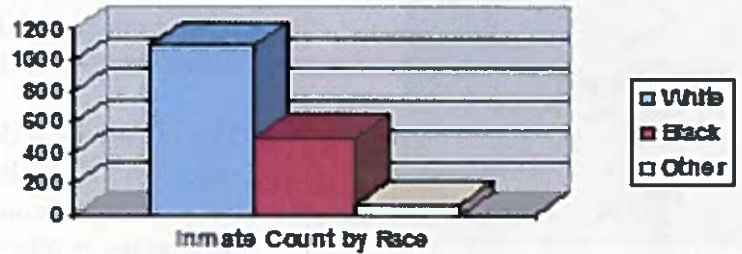
Pictured directly above is the new EKCC sign.

Pictured to the left is the new fixed furniture.

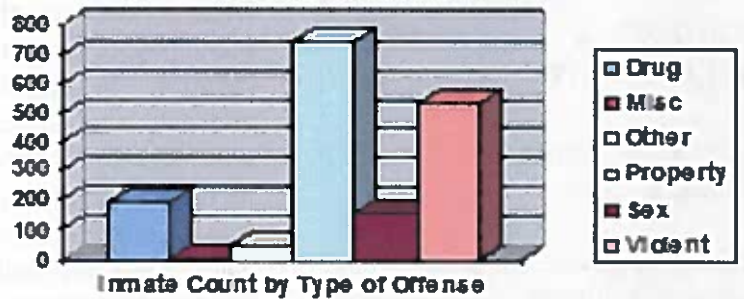
Eastern Kentucky Correctional Complex

2017 Demographic Data

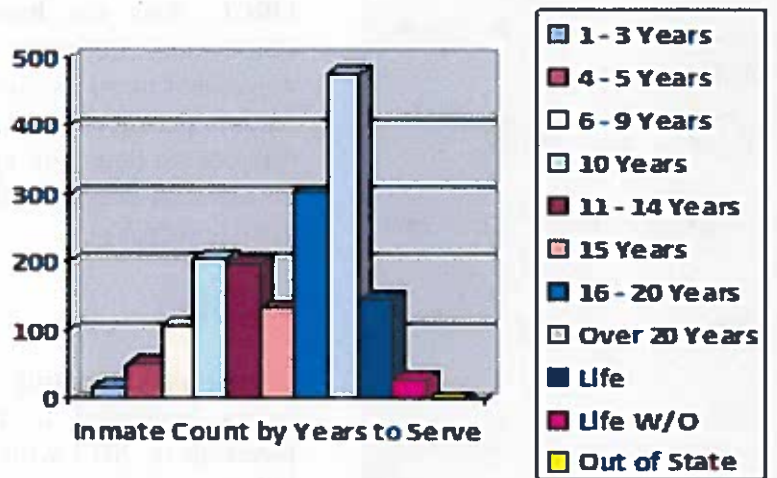
RACE	COUNT	PERCENTAGE
White	1107	66.17%
Black	505	30.19%
Other	61	3.65%
Total	1673	100.00%



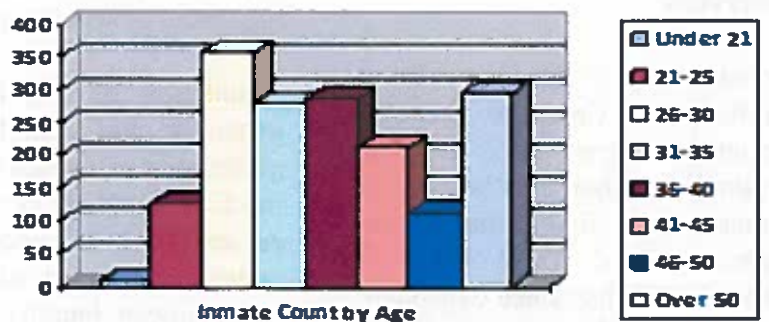
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	192	11.48%
Miscellaneous	1	0.06%
Other	45	2.69%
Property	742	44.35%
Sex	162	9.68%
Violent	531	31.74%
Total	1673	100.00%



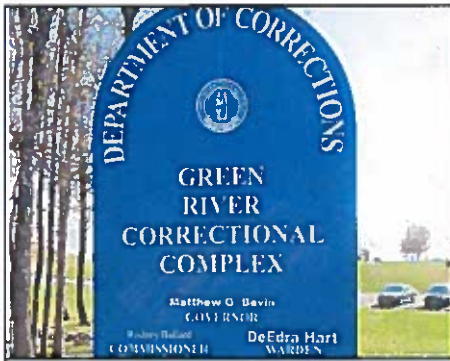
YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	18	1.07%
4 - 5 Years	52	3.11%
6 - 9 Years	109	6.52%
10 Years	207	12.37%
11 - 14 Years	198	11.84%
15 Years	152	7.89%
16 - 20 Years	301	17.99%
Over 20 Years	477	28.51%
Life	148	8.85%
Life W/O	30	1.79%
Out of State	1	0.06%
Total	1673	100.00%



AGE	COUNT	PERCENTAGE
Under 21	7	0.42%
21-25	126	7.53%
26-30	357	21.34%
31-35	279	16.68%
36-40	285	17.04%
41-45	211	12.61%
46-50	113	6.75%
Over 50	295	17.63%
Total	1673	100.00%



Green River Correctional Complex



Warden DeEdra Hart

Deputy Warden - Security
Ronald E. Beck

Deputy Warden – Programs
Michael Robinson

Administrative Assistant
Mindy Masden

Address
1200 River Road
P.O. Box 9300
Central City, KY 42330

2017 Average Daily Population
966

Total Number of Staff
264

Security Level
Medium

Overview

Construction of the Green River Correctional Complex (GRCC) began in August 1992 and was originally designed to house 550 inmates. The first group of inmates arrived December 16, 1994. GRCC has since expanded, building a medium security living unit, as well as a minimum secu-

rity dormitory with a current inmate population capacity of 982. Since opening, GRCC has been re-accredited by the ACA seven times, most recently in 2015.

The institutional design is “direct supervision.” The dormitories have an open control center (no bars, glass or other barriers). Unit Management is the means of managing and organizing the institution. In this team oriented concept, a management team of administrators, supervisors, correctional officers and classification treatment officers supervise each living unit and are responsible for the security and management of the inmate living unit and its inmate population.

GRCC does not have security gun towers. Perimeter security is dependent upon an electronic detection perimeter alert system, a microwave detection system, and constant 24-hour armed perimeter vehicle patrol(s).

Highlights

Institutional security enhancements continued to be on the forefront in 2017 with the installation of a new walk through metal detector for visitors and staff to be processed in security reception.

Installation of the Building G generator was completed. The generator was moved from Building K to Building G which houses essential services such as maintenance, medical, food service, canteen, laundry and correctional industries.



DeEdra Hart, Warden

The radio repeater that was located at the county’s communication center was found to have suffered storm damage. Due to the extensive damage and the aging equipment, a new antennae and repeater were installed at the GRCC Warehouse. All communication equipment is currently located on institutional property.

The construction of a new firing range was completed. Firearms requalifications were completed on the new range in September. The reduction of overtime that was previously gained by staff being required to travel to the TVA range site has significantly offset the cost of the new range construction.

The lower shower in Dorm 1 was remodeled to accommodate compliance with ADA, i.e. wheelchair ramp, shower bench, rails, etc.

The religious services department implemented the following Faith Based Programs at the beginning of the year: The Gospel Redemption Study, Going for the Gold and Purpose for Life.

In March 2017, it was made man-



Green River Correctional Complex

datory that all staff, including contract staff, who enter the secured perimeter must carry OC. Those who had not been trained to use and carry OC were enrolled in a training course to become compliant. All training was completed by April 15, 2017.

In order to reduce overserving and stealing in the food service department, maintenance staff designed a wall for blind feeding in the dining hall. The wall was constructed through the use of inmate labor as a project for the students of the vocational masonry class.

Programmatic and Operational Areas

During 2017, the Green River Correctional Complex has contin-

ued to offer a variety of evidence based life skill programs, academic and vocational classes, and on-the-job-training to its inmate population.

Educational opportunities included Adult Literacy and Adult Basic Education classes as well as General Education Diploma (GED) classes. In 2017, there were 24 inmates awarded a GED. GRCC was recognized as one of the top 25 Kentucky Adult Education Programs. The vocational programs consisted of VT Fundamentals, Carpentry, and Masonry awarding 132 inmates vocational and OSHA certifications. There were 71 inmates who earned a National Career Readiness Certificate (NCRC) of which eight was platinum.

In 2017, evidence based program enhancements included the addition of four Moral Recognition Therapy (MRT) classes. Relapse Prevention, Successful Reentry into Community and Parenting for Men MRT's were added for general population inmates.



Pictured above—Repainted lockers in dorms 2 and 3.

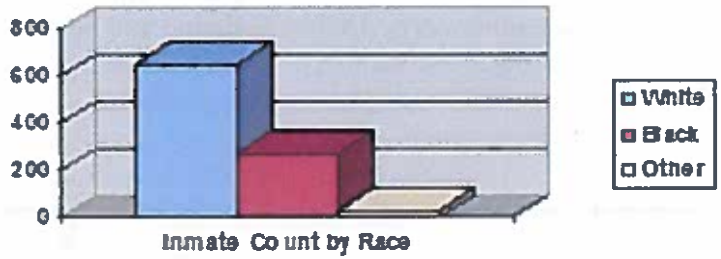
Pictured to the immediate right—New walk through metal detector.

Pictured far left—Institutional gun range completed.

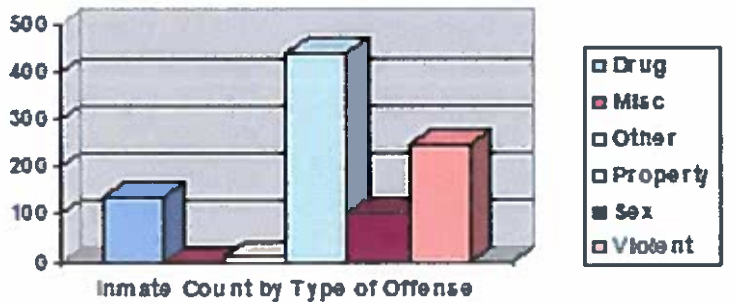
Green River Correctional Complex

2017 Demographic Data

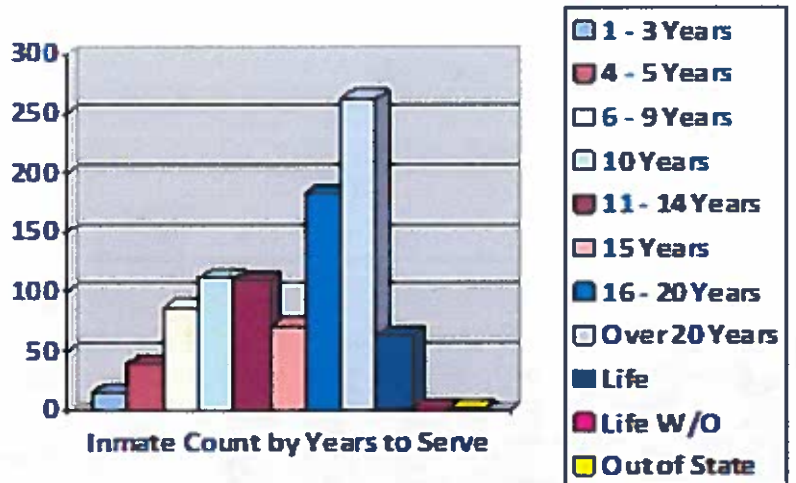
RACE	COUNT	PERCENTAGE
White	653	68.66%
Black	271	28.50%
Other	27	2.84%
Total	951	100.00%



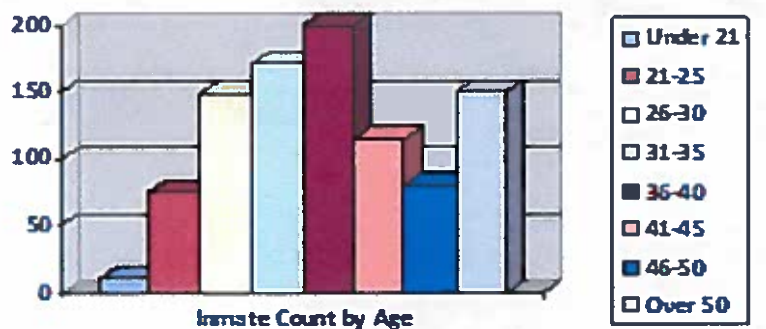
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	138	14.51%
Miscellaneous	1	0.11%
Other	14	1.47%
Property	441	46.37%
Sex	107	11.25%
Violent	250	26.29%
Total	951	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	16	1.67%
4 - 5 Years	40	4.20%
6 - 9 Years	87	9.14%
10 Years	112	11.78%
11 - 14 Years	110	11.57%
15 Years	71	7.47%
16 - 20 Years	184	19.35%
Over 20 Years	263	27.66%
Life	64	6.73%
Life W/O	3	0.32%
Out of State	1	0.11%
Total	951	100.00%



AGE	COUNT	PERCENTAGE
Under 21	10	1.06%
21-25	76	7.99%
26-30	148	15.56%
31-35	171	17.98%
36-40	200	21.03%
41-45	115	12.09%
46-50	81	8.52%
Over 50	150	15.77%
Total	951	100.00%



Kentucky Correctional Institution for Women



Warden Janet Conover

Deputy Warden - Security
Vanessa Kennedy

Deputy Warden - Programs
Kevin Hayes

Administrative Assistant
Ashley Norris

Address
3000 Ash Avenue
PO Box 337
Pewee Valley, KY 40056

2017 Average Daily Population
716

Total Number of Staff
232

Security Level
Multi-custody including
death row

Overview

The Kentucky Correctional Institution for Women (KCIW) received its first inmates in 1938 as an extension of the Kentucky State Reformatory at LaGrange. In 1962, KCIW was established by the Legislature as an autonomous institution with a Warden as its Chief Executive Officer. KCIW was the only state run institution for female inmates until 2010 when the Western Kentucky

Correctional Complex was converted to a female facility. As of November 1, 2015 the Western Kentucky Correctional Complex was converted back to a male facility and the Ross-Cash Center was established as a 200 bed minimum female facility.

Near the town of Pewee Valley, KCIW is located on 270 acres in Shelby County. The facility has been ACA accredited since 1982 and is tobacco free. The institution is a campus style setting with multiple housing units, double and single bunked. KCIW is considered a multi-custody facility as differential housing and programming must meet the needs of female felons serving one year to life, death row, maximum, medium, minimum, community custody, first offenders, persistent offenders, the disabled and special needs inmates.

Warden Janet Conover provides fiscal responsibility and oversight as she manages a budget of approximately \$12 million. Positive working relationships as well as good communication between the Warden, Deputy Wardens, staff, volunteers and outside visitors add significant value to the Mission Statement of the institution and the DOC.

Highlights

The Kentucky Correctional Institution for Women (KCIW) continues to provide outstanding public protection through a secure facility while providing the inmate population opportunities to rehabilitate and ready themselves for re-entry into life out-



Janet Conover, Warden

side the fence.

KCIW's PREA Internal Review took place January 24-25, 2017. KCIW's PREA Audit took place April 17-18, 2017 and a Program Security Review April 25-27, 2017.

Effective April 2017 Security Staff went to working four twelve hour days from five twelve hour days due to a drop in vacancy rate.

KCIW's Training Department continues to train newly hired Correctional Officers during the employee orientation process. The Training Department has also developed a week-long program for officers once they have completed the Corrections Basic Academy that focuses on topics covered at the academy and how they relate to KCIW specifically. KCIW's Training Department continues to conduct annual in-service training and assists staff with meeting their annual training requirements.

The I-LEAD Program, a six-month long regional leadership training program with participants from KCIW, KSR, LLCC and RCC continues to play an



Kentucky Correctional Institution for Women

important part in KCIW's quest to effectively raise the chances for professional success of its participants within the DOC. Participants receive guidance in the following areas: upward mobility, resume preparation, public speaking skills, cultural diversity and networking opportunities.

TASER trained staff carry the TASER on the walk in the Restrictive Housing Unit. One TASER is kept in the Lonnie Watson Control Center for cell entries. The additional TASER weapons are utilized on transportation trips where high-risk inmates and / or multiple inmates are being transported.

KCIW added additional cameras in various blind spots throughout the institution.

Oleoresin Capsicum (OC) Spray has been placed in Operations and in the Administration Building Central Control for all trained staff to be able to check out and carry. All staff were OC qualified by the end of 2017.

Programmatic and Operational Areas

The Kentucky Correctional Institution For Women (KCIW) continues to partner with Paws With Purpose (PWP), a non-profit organization that provides highly skilled Assistance Dogs to children and adults with physical disabilities or other special needs. These dogs provide comfort and companionship. One of the only programs of its type in the region, the dog program was first

launched in 2003 and has been extremely successful.

The Education Center offers inmate instruction in Basic Literacy, Adult Basic Education, GED and college courses. Some of the college courses offered include Biology, English, Psychology, Sociology, Women's History, Developmental Math, English and Microsoft Office. Vocational training includes a certified program in Horticulture. In April 2017, the Justice to Journeymen program was initiated for the electrical program. This program is the beginning apprenticeship for electrical workers in conjunction with the Department of Labor.

Treatment programs such as Parenting, Sex Offender Treatment and Substance Abuse Program are available to inmates. The Substance Abuse Program provides treatment in a Therapeutic Community, with close supervision in a structured environment. It allows inmates to learn accountability for their actions as well as learning relapse prevention tools they will need when they return to the community. The program takes approximately six months to complete.

Another therapeutic program called WILLOW (Women Invested in Learning and Loving Ourselves Well) addresses the needs of incarcerated women who suffer from both a mental illness and a co-occurring addiction.

Moral Recognition Therapy (MRT) is also available to the

inmate population and is a behavior modification course that uses evidence based practices to understand how decisions are made and the reasoning behind them. The definition of *conation* is "the mental faculty of purpose, desire, or will to perform an action." MRT is designed to restructure the mental processes that allow us to perform certain actions or desires. Other MRT programs offered at KCIW include, a Restrictive Housing Behavior Modification program, Anger Management, Seeking Safety, Untangling Relationships, Parenting, and Trauma.

Portal New Directions is available to the inmate population that uses evidence based practices on preparing offenders for upcoming release. The program modules focus on tools needed for successful reentry into the community by preparing a person with steps on obtaining employment, housing, medical care, and transportation.

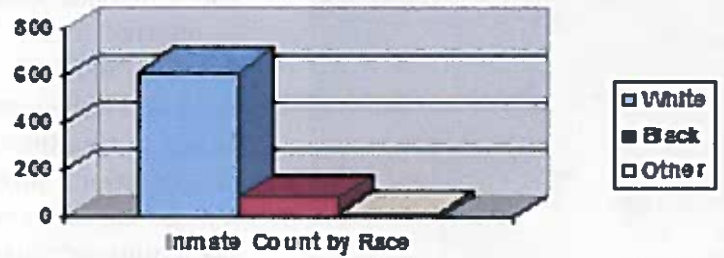
Kentucky Correctional Industries (KCI) has been at KCIW for 31 years. Industries provides inmates with job training that produces marketable skills and quality products. Correctional Industries currently operates four separate industries consisting of Bulk Mail Services, Braille Transcription, Warehousing and the Soap Plant and Portion Pac.



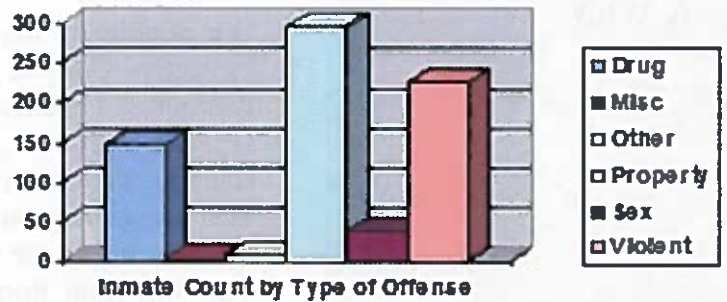
Kentucky Correctional Institution for Women

2017 Demographic Data

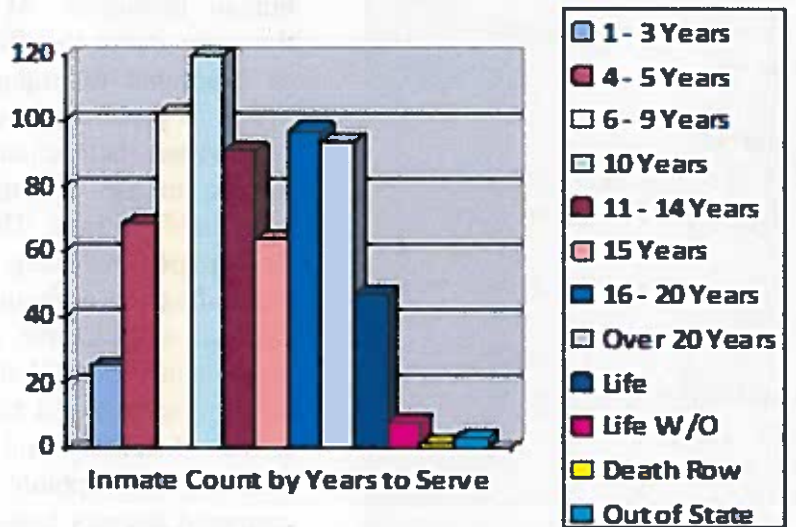
RACE	COUNT	PERCENTAGE
White	621	86.13%
Black	86	11.93%
Other	14	1.94%
Total	721	100.00%



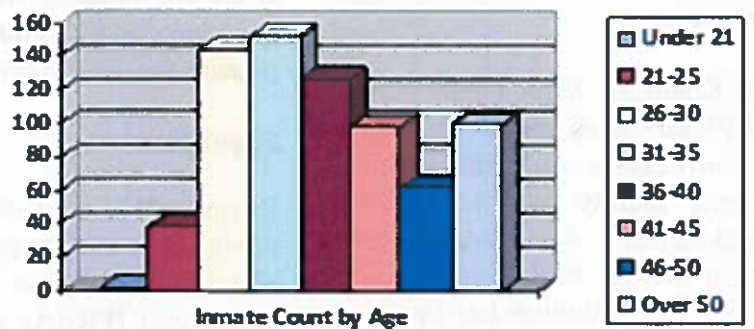
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	146	20.25%
Miscellaneous	4	0.55%
Other	8	1.11%
Property	297	41.19%
Sex	39	5.42%
Violent	227	31.48%
Total	721	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	25	3.46%
4 - 5 Years	69	9.57%
6 - 9 Years	102	14.15%
10 Years	120	16.64%
11 - 14 Years	91	12.62%
15 Years	64	8.88%
16 - 20 Years	97	13.45%
Over 20 Years	94	13.04%
Life	47	6.52%
Life W/O	8	1.11%
Death Row	1	0.14%
Out of State	3	0.42%
Total	721	100.00%



AGE	COUNT	PERCENTAGE
Under 21	1	0.15%
21-25	38	5.27%
26-30	144	19.97%
31-35	152	21.08%
36-40	127	17.61%
41-45	98	13.59%
46-50	62	8.60%
Over 50	99	13.73%
Total	721	100.00%



Kentucky State Penitentiary



Warden

Randy White

Deputy Warden - Security

Steve Ford

Deputy Warden – Programs

Skyla Grief

Deputy Warden - Operations

Bruce VonDwingelo

Administrative Assistant

Vacant

Address

266 Water Street
Eddyville, KY 42038

2017 Average Daily Population

859

Total Number of Staff

342– Positions

34– Contract Staff

Security Level

Maximum

Overview

The Kentucky State Penitentiary (KSP) serves as the Department of Corrections’ only maximum-security facility with an operational capacity of 914 beds, housing an average of 859 inmates in 2017. The institution has operated for over 128 years, originally opening on Christmas Eve in

1889. The facility sits on the banks of Lake Barkley and locally referred to as; “The Castle on the Cumberland”. Many renovations and enhancements have occurred during the numerous years of operation, providing for the utmost stability expected within a maximum-security penitentiary.

Our population includes a small Protective Custody Unit, a large Restrictive Housing Unit in two separate cellblocks, Death Row, General Population, and a 30-man minimum-security dormitory located outside the secure perimeter. Our first priority is safety and security, which requires a large portion of our fiscal and human resources. At the same time, we strive to offer an array of programs to engage the inmates in productive activity that will better their chances of returning to the community as a responsible citizen. These activities include; working in two of our industries, assignment to vocational or academic school, involvement in self-help and re-entry programs and religious activities. Inactivity and poor conduct is not acceptable and is discouraged at every level.

Ultimately, we realize the majority of our inmates will return to the streets and it is our mission to prepare the inmate for his return.

Highlights

During 2017, the Kentucky State Penitentiary continued to be compliant with all Prison Rape Elimination Act (PREA) standards as part of a national PREA audit process. PREA addresses the de-



Randy White, Warden

tection, prevention, reduction and prosecution of sexual harassment and abuse in correctional facilities in the country.

Maintenance and Physical Plant

Kentucky State Penitentiary made several repairs and upgrades within 2017 to include:

- Remodeled State House #207
- Replaced Roofs 2W/S, 3W/S, 7W/S, & 8W/S
- Constructed Fence behind Yard Office
- Updated camera system (approximately \$15,000) so more cameras may be added
- Added handrails across the aerators
- Constructed outside workbench
- A minimum of 18 different religious services are scheduled each week
- Maintains ADA institutional compliance for inmates with minor disabilities

Security

Two members of the CERT team attended HTN Basic in September. These members are trained in both CERT and Hostage Negotia-



Kentucky State Penitentiary

tions; these members will serve as liaison between the two teams in the event of a hostage incident.

During 2017, Utilization of whistles worn on the uniform alerted staff of incidents, which required the institution to be placed on lock down immediately. This is to alert staff, because at times during emergencies, radio traffic becomes congested and the whistles allow for the lock down notification to be made without using the radio.

Restrictive Housing Transition Program

Kentucky State Penitentiary has a Transition Unit within its Restrictive Housing Unit which was established August 2014. The Transition Unit (TU) is able to hold a maximum of 36 offenders. Offenders who have behavioral issues resulting in excessive rule-breaking requiring restrictive housing and those committing violence and presenting as a security concern with a placement in administrative control, are afforded the opportunity to move into the Transition Unit and participate in programming.

From inception to July 2017, 110 offenders have participated, 55 have completed, and only 5 have had disciplinary behavior resulting in a return to KSP. Several graduates have had disciplinary infractions, but are manageable at the other facilities and do not continue to demonstrate behaviors at the level prior to partici-

pating in programming.

As of July 2017, KSP has expanded the Transition Unit once again by contracting with NOA Counseling to provide trained facilitators and a behavioral analyst. This contractor will provide quality assurance auditing, data collection and out-come summaries in an effort to establish a base for the potential of validation as an evidence-based practice.

Additionally, the process of participant selection, conducted through classification reviews with all facilities, now has the ability to recommend this living unit and its programming through the administrative control process.

The Transition Unit is characterized by three phases. Each phase presents different levels of security protocols, progression incentives, and group programming. The final phase allows the offender to reside for a period of time, as a general population inmate with all privileges and yard time, but with controlled movement. A collaboration of unit staff, NOA facilitators, deputy warden(s), and mental health. Who meet weekly to discuss offender progress and determine individual goals if applicable.

During the latter half of 2017, fifteen (15) offenders have completed all Transition Unit programming. These offenders have a choice to transfer to an appropriate facility and a summary

plan entered into KOMS indicates what NOA programming at the receiving facility is best for the offender.

Programmatic and Operational Areas

Kentucky State Penitentiary was one of the top two adult prisons in Kentucky, offering the greatest opportunity for inmates to enroll in Evidence Based Programing classes. The prison Population maintained a sixty percent saturation of its inmate population enrolled in Evidence Based Programing, one on the highest in the state.

Prison Industries

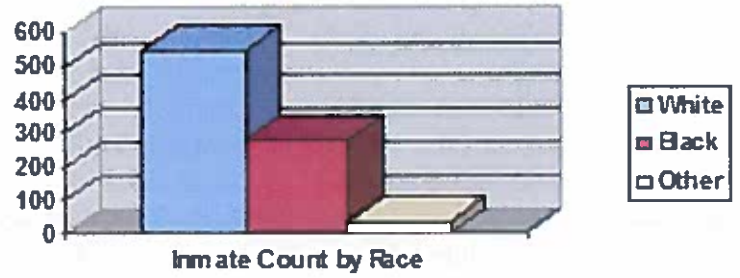
Kentucky Correctional Industries (KCI) at KSP began operating in the mid 1950's with a mandate to provide training and employment to state inmates. Today, Industries at KSP employs over 100+ state inmates. By giving inmates the opportunity to learn marketable skills and develop good work habits, KCI has contributed to the reduction of recidivism, improved prison safety, and lowered the cost of incarceration.

The Garment Plant manufactures a complete line of clothing for work and institutional wear. Special attention given to detailing institutional wear makes it functional, durable, and comfortable to wear. All apparel is of the finest grade material manufactured.

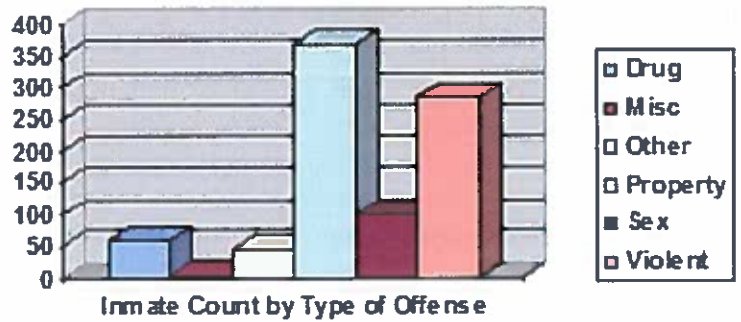
Kentucky State Penitentiary

2017 Demographic Data

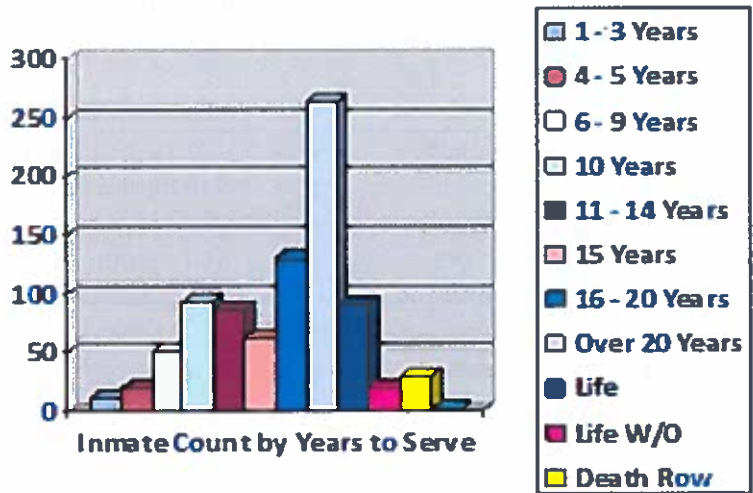
RACE	COUNT	PERCENTAGE
White	545	63.52%
Black	281	32.75%
Other	32	3.73%
Total	858	100.00%



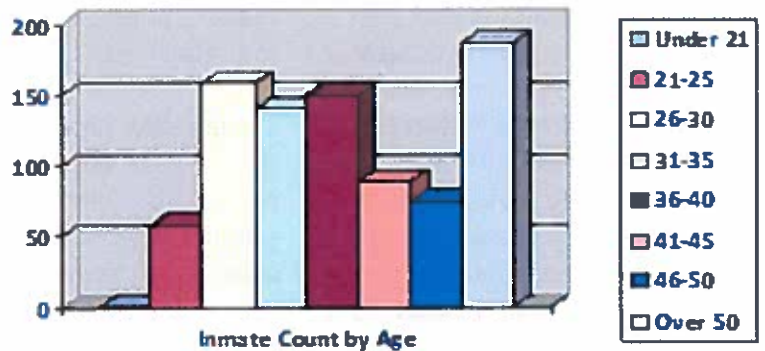
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	59	6.88%
Miscellaneous	2	0.23%
Other	44	5.13%
Property	369	43.00%
Sex	99	11.54%
Violent	285	33.22%
Total	858	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	10	1.16%
4 - 5 Years	20	2.33%
6 - 9 Years	50	5.83%
10 Years	93	10.84%
11 - 14 Years	86	10.02%
15 Years	62	7.23%
16 - 20 Years	129	15.04%
Over 20 Years	264	30.77%
Life	92	10.72%
Life W/O	21	2.45%
Death Row	29	3.38%
Out of State	2	0.23%
Total	858	100.00%



AGE	COUNT	PERCENTAGE
Under 21	1	0.12%
21-25	58	6.77%
26-30	158	18.41%
31-35	140	16.32%
36-40	150	17.48%
41-45	89	10.37%
46-50	75	8.74%
Over 50	187	21.79%
Total	858	100.00%



Kentucky State Reformatory



Warden Aaron B. Smith

Deputy Warden - Security
James Coyne

Deputy Warden - Programs
Anna Valentine

Administrative Section Supervisor
Philip Campbell

Administrative Specialist III
Donna Dailey

Address
3001 West Hwy. 146
LaGrange, KY. 40032

2017 Average Daily Population
1,686

Total Number of Staff
538 Funded Positions
155 Agency/ Contract Staff

Security Level
Medium

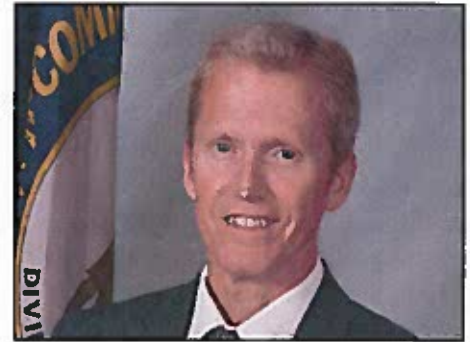
Overview

The Kentucky State Reformatory (KSR) is located in LaGrange, Kentucky, Oldham County, which is approximately thirty (30) miles northeast of Louisville. It is the state's largest institution in terms of inmate population with a 1,969-bed capacity. It is a medium security facility un-

der the administration of the Justice and Public Safety Cabinet, Department of Corrections.

Up to the present day, the 80-year old physical plant has essentially retained its original configuration. The 12-story administration building was designed to house the offices of key staff members, a hospital, medical offices and living quarters for correctional staff. The 11th floor houses the machinery of the elevator; the 12th floor houses a now nonfunctional one-hundred and fifty thousand (150,000) gallon water tank. Warden Aaron Smith provides fiscal oversight and watchful management of a budget of \$35,089,000. Positive working relationships as well as good communication between the Warden, Deputy Wardens, staff and the community promotes the mission statement of the institution as well as the mission statement and values of the Kentucky Department of Corrections. KSR is ACA accredited and scheduled for re-accreditation in 2018.

In July 2017, the Kentucky Department of Corrections Administration announced due to the Kentucky State Reformatory's severe and continued staffing crisis and with the aging physical facility concerns, that the facility will be downsized. Housing units 4, 5, 6, 8, and 11 along with the Restrictive Housing Unit will close and 924 inmates will be moved to contracted prisons and other facilities within the Department of Corrections. The total staff complement for KSR would reduce from 529 to 307. The reduction in staffing would be implemented by eliminating current



Aaron Smith, Warden

vacant positions and eliminating excess filled positions through attrition and transfer to neighboring facilities. Determination on inmate transfers will be made on a case-by-case basis through Central Office Classification staff. The original 120-day time frame for implementation of the reorganization has been pushed back into 2018.

The Reformatory currently offers extensive mental health and medical services to its residents. KSR maintains a one-hundred-fifty (150) bed correctional psychiatric treatment unit (CPTU) divided into three (3) 50 bed wings. A-wing provides forty-two (42) programming beds entitled Striving Towards A Recovery (STAR) - a therapeutic community designed to assist lower functioning offenders with understanding of their illness, basic hygiene and life skills. A new twenty-one (21) bed program housed on A-wing is in the development stage for inmates who do not qualify for C-wing placement, but are in need of behavioral management skills. B-wing provides programming entitled THRIVE - a therapeutic community designed to assist offenders with behavioral change, emotional regulation, recovery, and reentry. C-wing is a stabiliza-



Kentucky State Reformatory

tion wing, housing individuals in need of intensive counseling and therapy. The Reformatory also maintains a sixty-seven (67) bed Nursing Care Facility (NCF) and provides dialysis and chemotherapy treatments on-site. A twenty (20) bed open wing is currently maintained in the Medical Service building. In addition, there is a two (2) story Restricted Housing Unit (RHU) with one-hundred and thirty (130) cells, and a fifty (50) bed Daily Assisted Living Unit (DAL).

Twenty-three (23) minimum custody inmates from Roederer Correctional Complex (RCC) man the outside detail jobs including an Emergency Medical Service (EMS) crew, in which qualified EMS inmates are rotated by schedule 24/7 to be on-site for emergency transport purposes. RCC staff provides all services for these inmates.

A Video Relay System is located in Unit A for the Deaf/Hard of Hearing inmates who wish to communicate with individuals on the outside by using sign language in lieu of traditional telephone services. A Video Relay Interpreter System is available for classification meetings, disciplinary hearings, etc. Translators are provided upon request for groups and parole hearings. "Shaker" units are in use in the living units to provide alerts during emergencies as well as scheduled alerts that can be programmed through an alarm clock. These systems also include strobe lights and handheld activator units for the dorm officers. An individual pager system alerts Deaf/Hard of Hearing inmates to announce-

ments made on the yard from Box 4. Volume control phones assist Hard of Hearing inmates and are located in every dorm. A resource sheet listing these accommodations is available for all Deaf/Hard of Hearing inmates.

Highlights

KSR's Program Security Review was held October 3-5, 2017. The review team reported a clean facility with a professional atmosphere and a willingness to do what it takes to continue to operate in a safe and secure manner. The team found staff morale high with a desire to work together to accomplish a difficult mission despite the age of the physical plant and staffing pressures. Warden Smith and staff have taken a proactive approach within the bounds of the DOC and continued to prepare for the next ACA audit in the upcoming year.

Improvements at the facility, in the past year, include added generator power to Dorms 10 and 12 and to the Pump House. New roofs were placed on Dorm 12, the Salt Shed, and the Mower Storage Building. Roof repairs due to storm damage were completed on the Turpin Building, the Visiting Room, the Chemical Storage Building, and the Minimum Security Unit. Electrical panels for Dorms 1 and 9 were upgraded and a new chiller was installed for all of the Correctional Psychiatric Treatment Unit (CPTU). The Administration Building and the

Kitchen were repainted and the Staff Canteen was repainted and the floor retiled.

The "Tower Grill" which was staffed by inmates under the oversight of the Business Office and served the KSR staff, was closed during 2017. The area was renovated and turned into a staff lounge with enhanced vending services.

The Security Department has a total of 386 allotted security staff positions. Due to severe security staff shortages, security staffing continues to be two 12-hour shifts for all security staff, with consideration being given for a 4-day, 12-hour workweek after the reorganization. Due to the staffing pressures, KSR continues to utilize internal support staff, Probation and Parole and staff from other institutions in other parts of the state. KSR still continues to use the "Roll Call" program with a few modifications to support staff retention. Training for security staff includes various SOP's, Cell Entry Training, PREA/ADA, Common Core and Duty Officer.

KSR discontinued the regional security staff interview process with the other area institutions, Roederer Correctional Complex (RCC), Luther Lockett Correctional Complex (LLCC) and Kentucky Correctional Institution for Women (KCIW). KSR, RCC, and LLCC now rotate with each institution running their own 10-day register on their selected week. KCIW is no longer part of the interview process with the institutions in the Oldham County area. Selected KSR staff representatives travel to the



Kentucky State Reformatory

eastern and western parts of the state to recruit potential correctional officers.

Programmatic and Operational Areas

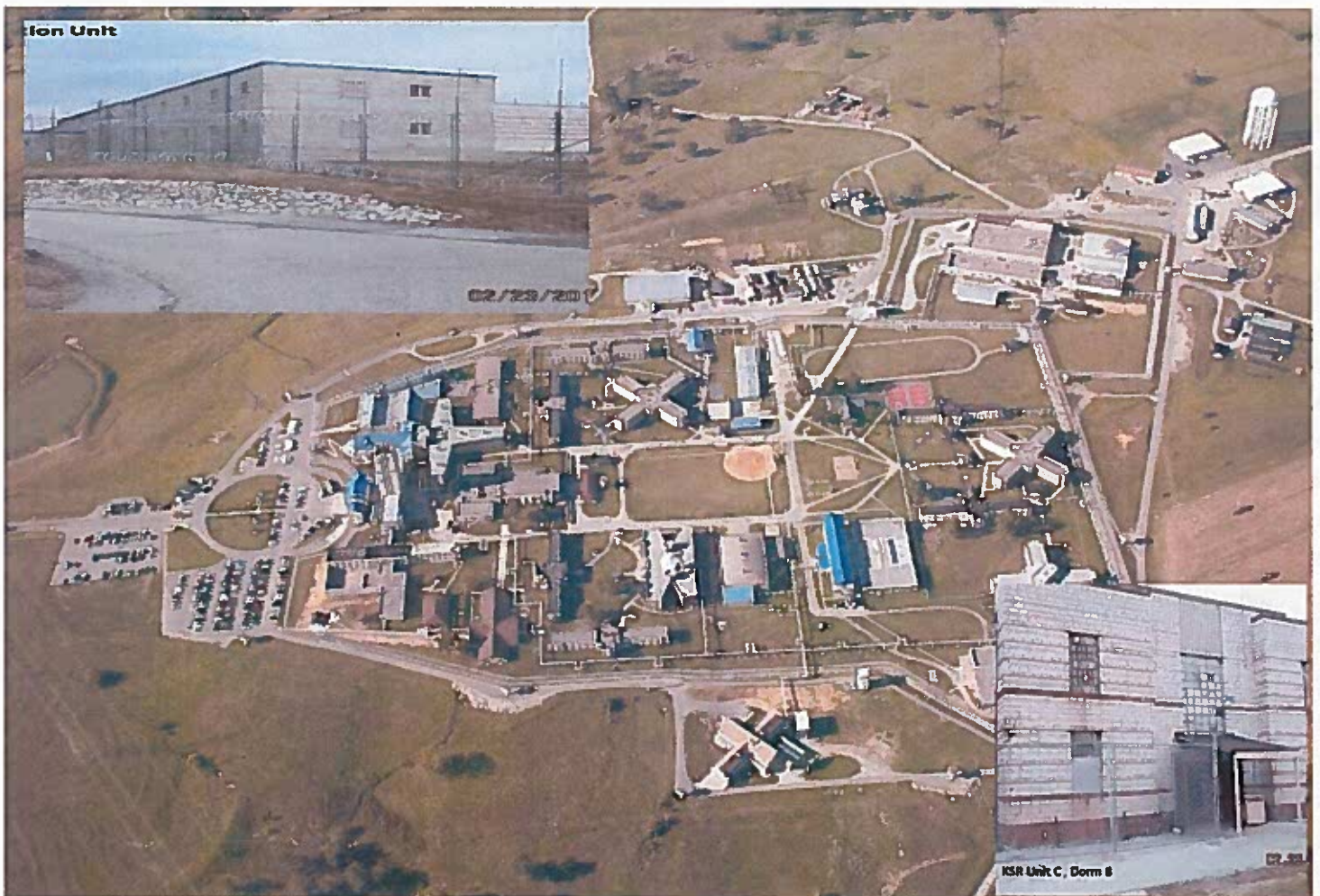
Programs offered by the Reformatory include: Academic education in CPTU, life management, organized sports and recreation, religious services and counseling, on-the-job training, correctional industries and services provided by the Division of Mental Health, i.e. Psychological/Psychiatric Services, Sex Offender Treatment Program (SOTP), and individual

or group counseling. Vocational Programs were moved to Kentucky Correctional Institution for Women (KCIW) and Luther Lockett Correctional Complex (LLCC) in preparation for the planned re-organization.

Several specific programs include New Directions, Co-Occurring Disorder (Phoenix program), Hospice, Striving Towards A Recovery program (STAR), Individualized Treatment Working on Reintegration, Out Patient Substance Abuse (OPSA) Program and Keeping Stable (I.T.

W.O.R.K.S.), Trust, Hope, Recovery, Integrity, Values, and Empathy (THRIVE) program. Contract programming includes Anger Management, Moral Reconciliation Therapy and Administrative Behavioral Modification.

The Reformatory is also very proud of Camp K-9, housed in Unit A Dorm 3. Working with the Humane Society of Oldham County, staff and inmates involved with the program rescue, groom, and train dogs to be adopted back out into the community.

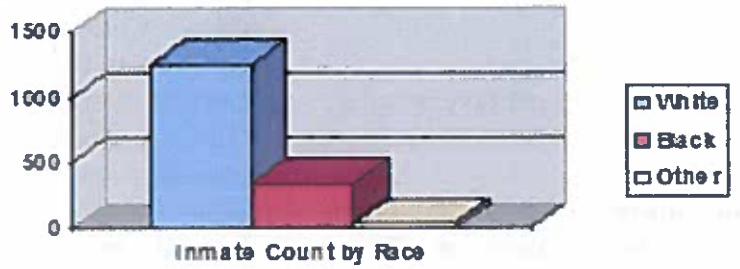


Pictured above is an aerial view of KSR. Top left corner shows the RHU unit. Bottom Right is a picture of Unit C, Dorm 8.

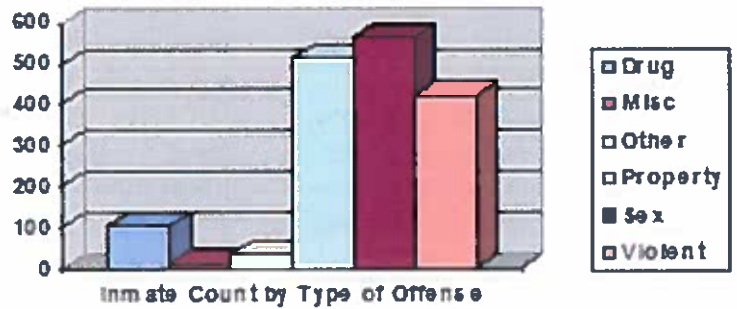
Kentucky State Reformatory

2017 Demographic Data

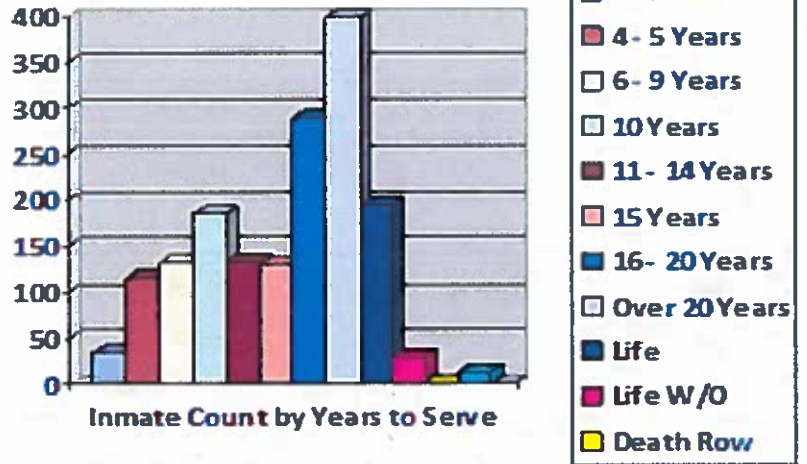
RACE	COUNT	PERCENTAGE
White	1258	76.10%
Black	350	21.18%
Other	45	2.72%
Total	1653	100.00%



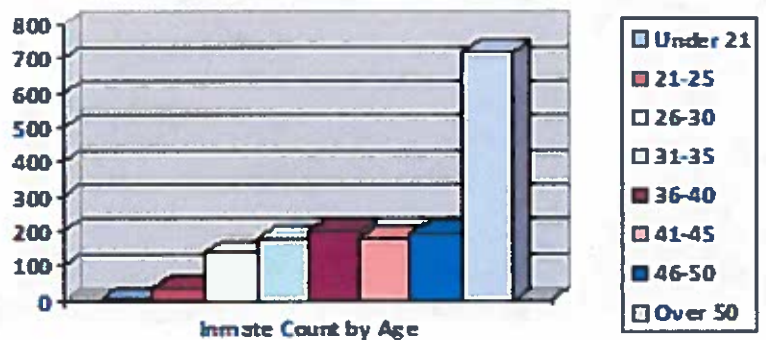
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	102	6.17%
Miscellaneous	13	0.79%
Other	35	2.12%
Property	513	31.03%
Sex	566	34.24%
Violent	424	25.65%
Total	1653	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	35	2.12%
4 - 5 Years	114	6.90%
6 - 9 Years	131	7.93%
10 Years	185	11.19%
11 - 14 Years	134	8.11%
15 Years	130	7.86%
16 - 20 Years	290	17.54%
Over 20 Years	398	24.07%
Life	193	11.68%
Life W/O	29	1.75%
Death Row	2	0.12%
Out of State	12	0.73%
Total	1653	100.00%



AGE	COUNT	PERCENTAGE
Under 21	4	0.24%
21-25	38	2.30%
26-30	139	8.41%
31-35	179	10.83%
36-40	202	12.22%
41-45	177	10.70%
46-50	195	11.80%
Over 50	719	43.50%
Total	1653	100.00%



Little Sandy Correctional Complex



Warden
James D. Green

Deputy Warden - Security
Danny McGraw

Deputy Warden – Programs
Malcolm Smith

Administrative Assistant
Deborah Williamson

Address
505 Prison Connector
Sandy Hook, KY 41171

2017 Average Daily Population
988

Total Number of Staff
248

Security Level
Medium

Overview

Little Sandy Correctional Complex (LSCC) is a medium security institution located in Elliott County Kentucky. It is the department's newest and most technologically advanced institution in the state.

A total of 985 inmates are currently housed at LSCC. Our

housing includes two general population-housing units with 416 beds in each, a 100-bed minimum-security unit and a 90-bed restrictive housing unit. Little Sandy Correctional Complex has a maximum capacity of 1022 beds.

There are numerous support buildings including academic and vocational schools, a medical unit, inmate canteen, Correctional Industries, gym, dining facility and maintenance area.

Little Sandy Correctional Complex operates under the direct supervision model of supervision. It encourages staff and inmate interaction and allows the inmates to have direct access to staff when they have a concern.

Little Sandy Correctional Complex received its initial accreditation from the American Correctional Association (ACA) on August 13, 2007 and was reaccredited on June 11, 2010, July 07, 2013, and January 23, 2017 receiving a score of 100% on both mandatory and non-mandatory standards.

In February of 2015, LSCC received its PREA accreditation with a score of 100%.

Highlights

LSCC added two new staff positions in 2017 consisting of a Safety Coordinator and a second Institutional Training Coordinator. These two positions have reduced staffing stress previously put on the security complement



James D. Green, Warden

who assisted in these areas in addition to their security assignments.

In October of 2017, LSCC held its first Re-entry expo for inmates within three months of parole eligibility, serve out, or release on MRS. Institutional staff as well as representatives from Gateway, Probation and Parole, and the Division of Mental Health were present to assist inmates with questions and concerns.

LSCC entered into a Memorandum of Agreement with the Division of Forestry in 2017. Division of Forestry staff instructed a selection of inmates housed in the Minimum Security Unit on basic wildland fire management techniques to enable them to aid the Forestry staff when called upon.

Several upgrades were made in 2017 to increase the efficiency of healthcare services. Two underutilized rooms in the main Medical Department were converted into two additional examination rooms. Also, a room in the Restrictive Housing Unit was fully equipped as an examination room allowing medical staff to come to the unit rather than require security staff to transport restricted housing inmates to the



Little Sandy Correctional Complex



Aerial view of Little Sandy Correctional Complex.

Reentry, MRT Forward Thinking, MRT Successful Re-Entry, New Directions, Peaceful Solutions, Pulling Punches, Responsible Thinking, Substance Abuse Program, Small Engines, and Violent Offender Program.

New program opportunities for inmates in the Minimum Security Unit have been added including Narcotics Anonymous, New Directions, and a Dog program.

LSCC actively seeks partnerships with community and educational entities to provide an integral programmatic menu to the inmate population

Medical Department. The Restricted Housing Unit also reallocated a room to be used for Telepsychiatry services.

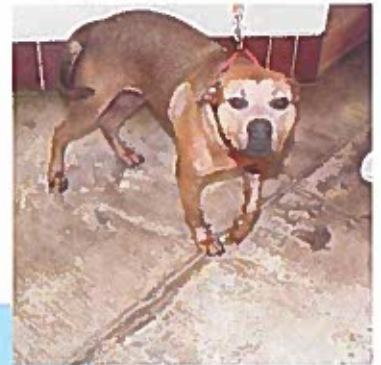
The sally port entrance to the Restrictive Housing Unit was upgraded to prevent the increasingly likely failure of the previously installed operating mechanism.

Gateway Community Action, Inside Out Dads, Life Without A Crutch, MRT Anger Management, MRT Behavioral Modification, MRT Mentors, MRT Moral Recognition Therapy, MRT

Programmatic and Operational Areas

LSCC has a diverse array of programming to address the needs of a wide spectrum of offenders. We provide quality programs that focus on key fundamentals such as behavior and thinking processes.

LSCC offers programs to include Advanced Inmate to Work, Alcoholics Anonymous, Cage Your Rage, Canine Program, Carpentry, Corrective Action, Domestic Violence, Financial Peace, Horticulture, Inmate to Work,



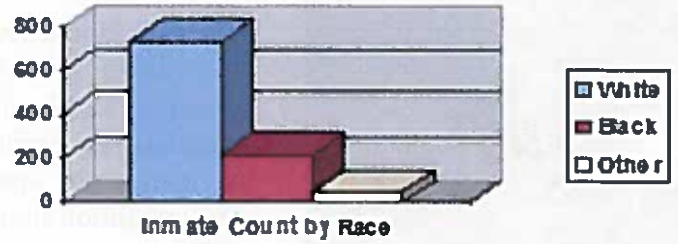
Pictured above in the middle— LSCC Honor Guard raising flag during Memorial Day ceremony. Above left— Red was one of the first two K-9 residents in the dog program. Above right— Diablo is the other of the first 2 dogs in the program.



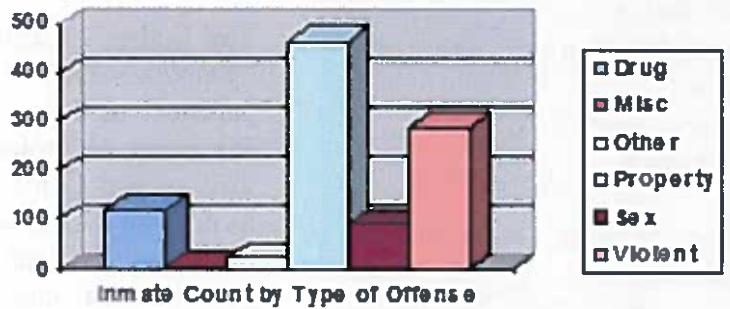
Little Sandy Correctional Complex

2017 Demographic Data

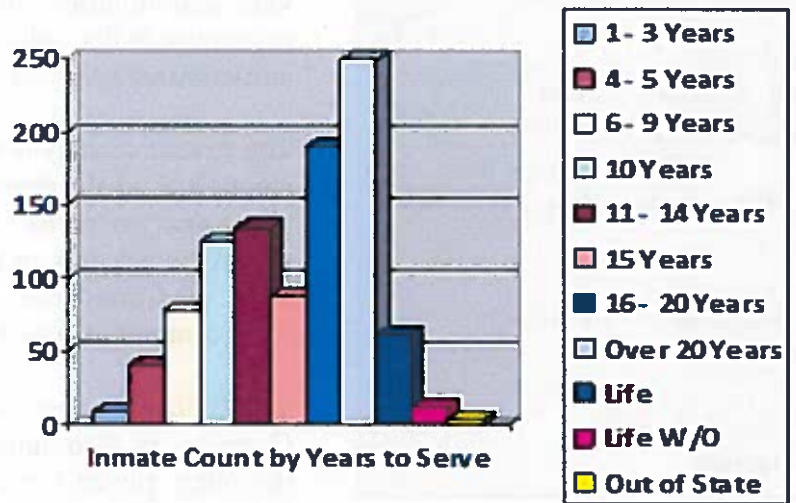
RACE	COUNT	PERCENTAGE
White	736	74.12%
Black	215	21.65%
Other	42	4.23%
Total	993	100.00%



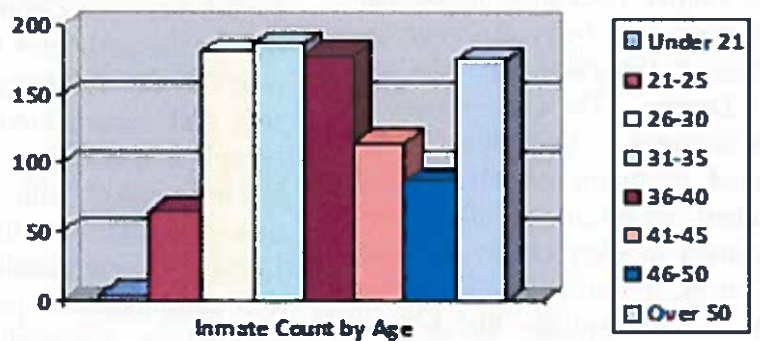
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	122	12.29%
Miscellaneous	4	0.40%
Other	21	2.11%
Property	465	46.83%
Sex	93	9.37%
Violent	288	29.00%
Total	993	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	9	0.91%
4 - 5 Years	41	4.13%
6 - 9 Years	78	7.85%
10 Years	125	12.59%
11 - 14 Years	135	13.60%
15 Years	88	8.86%
16 - 20 Years	190	19.13%
Over 20 Years	249	25.08%
Life	62	6.24%
Life W/O	12	1.21%
Out of State	4	0.40%
Total	993	100.00%



AGE	COUNT	PERCENTAGE
Under 21	4	0.40%
21-25	65	6.55%
26-30	181	18.23%
31-35	187	18.83%
36-40	179	18.03%
41-45	115	11.58%
46-50	87	8.76%
Over 50	175	17.62%
Total	993	100.00%



Luther Luckett Correctional Complex



**Warden
Scott Jordan**

Deputy Warden - Security
Jesse Stack

Deputy Warden – Programs
Jessie Ferguson

Administrative Assistant
Suzannah Rostek

Address
1612 Dawkins Road
Lagrange, KY 40031

2017 Average Daily Population
1193

Total Number of Staff
187

Security Level
Medium

Overview

The Luther Luckett Correctional Complex was built in direct response to the 1980 Federal Consent Decree. The Luther Luckett Correctional Complex was named in honor of Mr. Luther Luckett, an employee of the Department of Corrections for over 22 years. It stands as a tribute to him and exemplifies his dedication and commitment to duty and excellence in correctional man-

agement.

The first inmates were received at the Luther Luckett Correctional Complex in March 1981. The institution was originally designed to house 486 inmates. In 1990, the institution double bunked the cells.

The Luther Luckett Correctional Complex is committed to the Department of Corrections Mission Statement of protecting the citizens of the Commonwealth and to provide a safe, secure and humane environment for staff and offenders in carrying out the mandates of the legislative and judicial processes; and, to provide opportunities for offenders to acquire skills, which facilitate non-criminal behavior.

The executive staff is also highly committed to developing the institutional personnel into leadership roles not only in the Department of Corrections but in the local community as well.

The Luther Luckett Correctional Complex is also unique among the other eleven correctional facilities in that the Kentucky Correctional Psychiatric Center under the Kentucky Family & Health Services Cabinet operates behind the perimeter fence. This provides the sister agency with a safe and secure environment to complete the unique mission it has been tasked with. The memo of understanding with the Luther Luckett Correctional Complex and administrative protocols has ensured a successful ongoing partnership.



Scott Jordan, Warden

Highlights

The Luther Luckett Correctional Complex continued to implement safety and security measures for the long-term benefit of the institution, the staff and the inmate population during 2017, while adding an additional 196 beds to their facility.

In October 2017 each wing in the housing units were provided with two sharps containers for the inmates to dispose of items that have sharp edges (razors, lids etc).

Administrative Areas

Staff Grill

The grill runs on all shifts and is staffed with RCC minimum custody inmates. This generates money for the staff canteen account. This is a means to feed staff during critical incidents that may occur.

Bears Behind Bars

The Bears from Behind Bars program continues to generate bears on a daily basis. The program employs 10 inmate bear makers that generate roughly 30 bears per week. We recently began collaborating with the Cabinet for Health and Family Services to



Luther Luckett Correctional Complex

deploy bears to numerous Child Protective Services workers throughout the state.

LLCC Inmate K9 Training Program

The program continues to maintain 12 dogs providing them with training, basic obedience, and placement in a forever home. The program employs 12 inmate dog handlers and 2 inmate clerks/janitors. There were 113 dogs adopted in 2017.

Staff Recruitment Development and Retention

The personnel administration continues to participate in a regional process for the interview and selection process of Correctional Officers. Staff training has been emphasized and a retention committee has been established with strong results. We have also begun interviewing candidates in the Eastern and Western part of the state.

PREA Compliance

In 2017, Luther Luckett Correctional Complex sent nine (9) security staff members and eleven (11) non-uniformed staff/program staff through KY-DOC training on conducting PREA investigations. By the end of 2017, LLCC had 30 staff members trained to complete PREA investigations. Luther Luckett Correctional Complex also passed a PREA Audit conducted in April 2017 with three standards exceed and 40 standards met. During 2017, LLCC also completed 195 PREA/Consensual incident investigations.

Security Areas

These areas include perimeter security, internal security and the front desk area. In early 2017, the front desk entry area was re-designed as well as its check in procedures. The area was secured by a steel tube barrier that consisted of an entry door on one side and exit door on the other to provide control for staff entering and exiting the complex. Other improvements to the area include: upright metal detector, hand held metal detectors, staff searching all property after the use of the x-ray machine, limiting the amount of property inside the institution, pat searching staff upon entrance, magnetic door locks and cameras to monitor traffic flow in and out of the facility.

Programmatic and Operational Areas

Recreation Department

The recreation department has added over 20 new leagues and

tournaments in various formats including Wii, pool, chess and handball. They began the process of scheduling quarterly band concerts for the yard providing more entertainment times for inmates. They have also changed the schedule to afford more time for meritorious inmates to spend in the gym.

Psychology Department

The psychology department moved to 5C in July 2017 to provide a spacious area for mental health groups and a more convenient location to meet the needs of the inmate population.

Inmate Orientation

In May 2017, we improved our inmate orientation by developing a power point presentation that provides information to all inmates arriving at LLCC. This weekly presentation went from being held in 7A to the pathfinder's room.

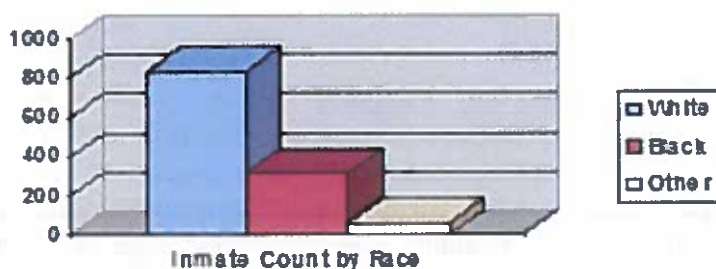


Steel cage controlling access in and out of the institution was added.

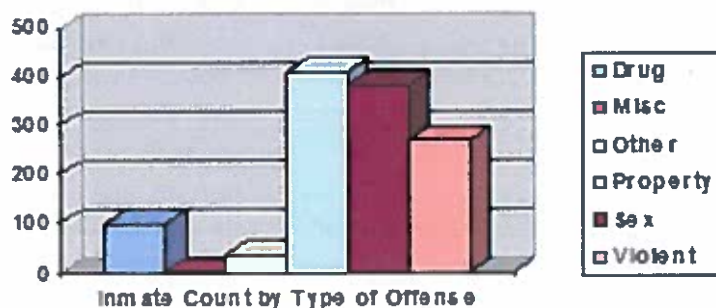
Luther Luckett Correctional Complex

2017 Demographic Data

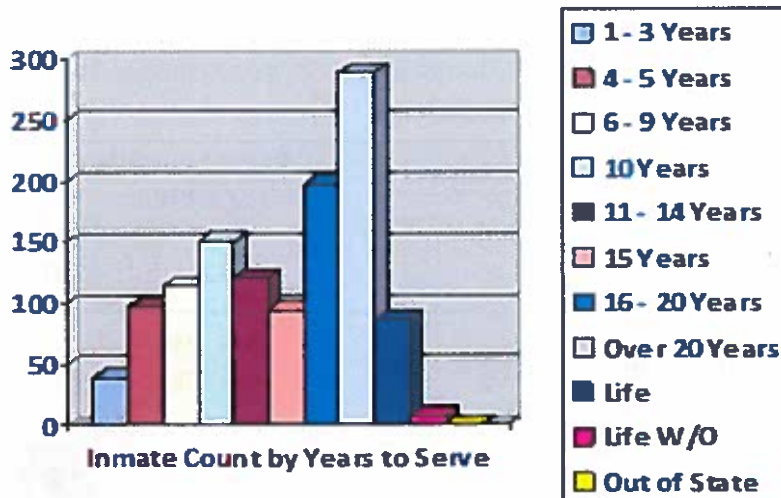
RACE	COUNT	PERCENTAGE
White	856	69.72%
Black	312	26.03%
Other	51	4.25%
Total	1199	100.00%



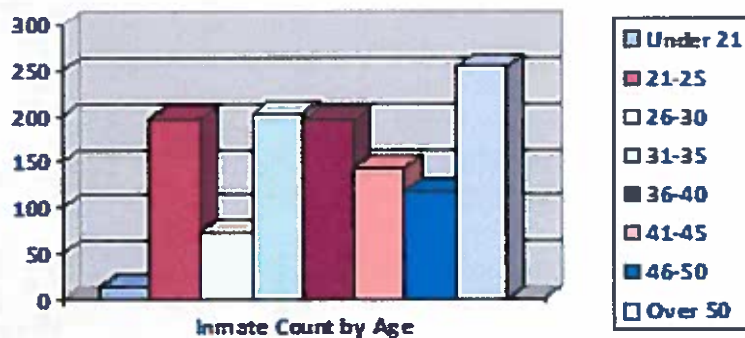
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	99	8.26%
Miscellaneous	1	0.08%
Other	34	2.84%
Property	409	34.11%
Sex	385	32.11%
Violent	271	22.60%
Total	1199	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	39	3.25%
4 - 5 Years	97	8.09%
6 - 9 Years	114	9.51%
10 Years	151	12.59%
11 - 14 Years	121	10.09%
15 Years	95	7.92%
16 - 20 Years	197	16.43%
Over 20 Years	289	24.11%
Life	87	7.26%
Life W/O	8	0.67%
Out of State	1	0.08%
Total	1199	100.00%



AGE	COUNT	PERCENTAGE
Under 21	13	1.08%
21-25	198	16.51%
26-30	74	6.17%
31-35	202	16.85%
36-40	196	16.35%
41-45	144	12.02%
46-50	118	9.84%
Over 50	254	21.18%
Total	1199	100.00%



Northpoint Training Center



Warden Don Bottom

Deputy Warden - Security
Mendalyn Cochran

Deputy Warden - Programs
Shea Carlson

Deputy Warden - Operations
Craig Hughes

Administrative Assistant
Kelly McGinnis

Address
710 Walter Reed Road
Danville, KY 40422

2017 Average Daily Population
1235

Total Number of Staff
287

Security Level
Medium

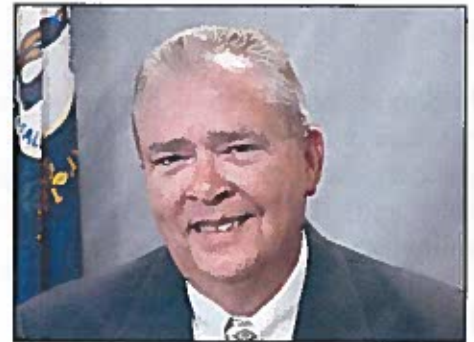
of the property in January 1983. NTC was initially conceived as a minimum security institution for fewer than 500 inmates. The mission rapidly changed to the development of a medium security institution with a proposed population of 700 inmates. The institution has expanded over the years to a bed capacity of 1,256.

NTC consists of 551 acres. General population inmates are housed in open-bay dormitories within a secure perimeter. Restrictive Housing Unit inmates are housed in single cells in a 60-bed segregated structure separated from the main compound. Around 40 minimum security inmates are housed in dormitories outside the secure perimeter.

The perimeter of the compound is a combination of two parallel 12-foot fences with razor wire on the bottom, middle, and top. The inner perimeter fence has a sensor system that alerts the main control, four armed wall towers, an outside patrol, control center, and over 600 state of the art security cameras have been installed to monitor inmate activities.

Highlights

- The institution received a 100 percent score on its ACA re-accreditation audit in October 2017.
- The institution successfully raised \$5,000 to donate to the Correctional Peace Officers Foundation making Northpoint Training Center a life-long member.



Don Bottom, Warden

- In March 2017, the institution was awarded grant funding to purchase recycling containers for the institution.
- The Restrictive Housing Unit's individual recreation modules were installed in March 2017.
- Veterans Program inmates complete a mural project in the program's dormitory which contains the program's mission statement.
- In May 2017, an Offender Resume Database for public viewing began.
- An AED was placed within all dormitories.
- The annual Correct Care Solutions Health Services Skills Fair held in July 2017 at the institution.

Programmatic and Operational Areas

All sprinkler heads replaced in the Restrictive Housing Unit in February 2017.

A lockdown/safety whistle protocol was established at the institu-

Overview

Northpoint Training Center (NTC) is a medium security correctional facility for adult male offenders. The facility is located in northern Boyle County near Danville, Kentucky.

The department received control



Northpoint Training Center

tion.

In September, all mini-14s were replaced with AR-15's. In November, staff trained on the AR-15's and the weapons placed online.

Eight additional 40mm launchers purchased to be utilized for shield team operations.

All security supervisors ranging from Deputy Warden to Sergeant certified in ICS courses 100, 200, 300, 400, 700 and 800.

New carpet was installed in the Institutional Religious Center on the stage, office, and library area. Fiber tiles on the walls and ceil-

ings were replaced. Tables in the area were replaced with plastic, foldable tables.

Access was given to the Institutional Chaplain to be able to review security cameras located within the Chapel area.

Replaced fencing around the pool tables in the Recreation Department. Barbershop chairs were replaced. New fencing and scoreboard installed on the softball field. In August, a Big Ass Fan™ was installed in the gym.

The physical plant of the Health Services Department was redesigned allowing an increase in patient-provider confidentiality and provide more functional are-

as.

Upgrades to the institutional yard paging/intercom system were made to include speakers within each dormitory.

Additional small bedside lockers were purchased for Unit B.

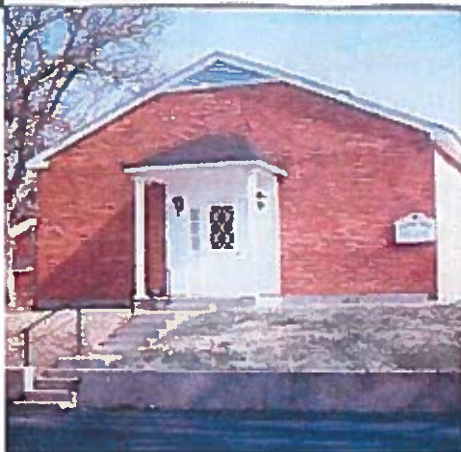
Two additional Moral Reconciliation Therapy programs were added for the inmate population.

An upgraded panic system for the Health Services Department building was installed to include a siren.

The warehouse loading dock renovated.



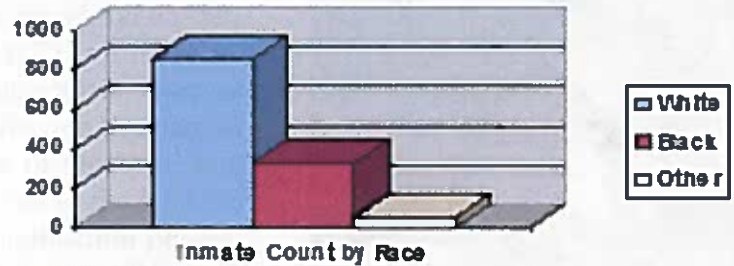
*Pictured to the top left: Northpoint sign
Bottom left: administration building
Top right: housing unit
Bottom right: visitation building*



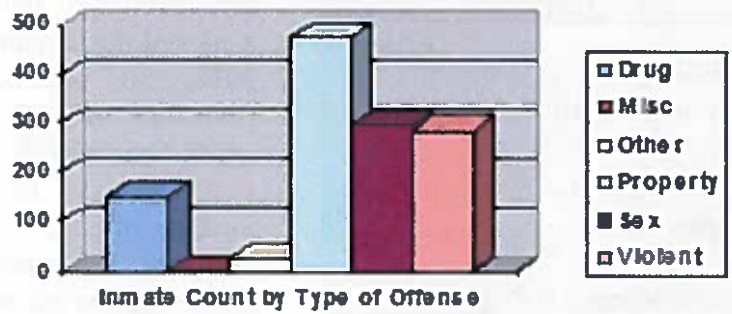
Northpoint Training Center

2017 Demographic Data

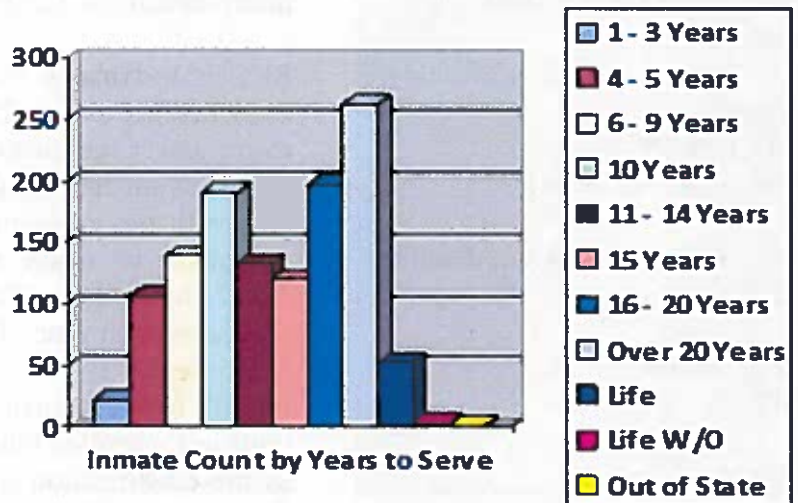
RACE	COUNT	PERCENTAGE
White	792	64.24%
Black	394	31.95%
Other	47	3.81%
Total	1233	100.00%



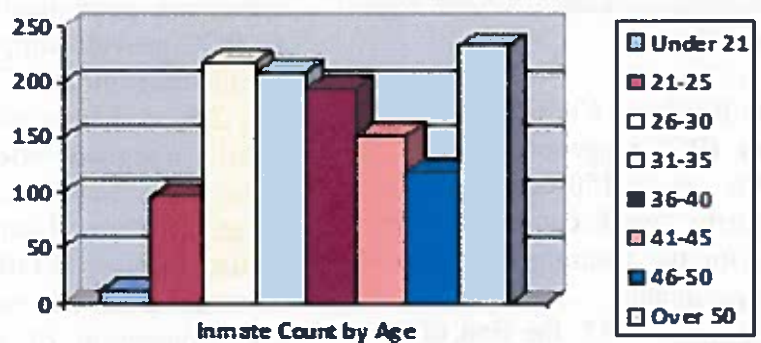
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	151	12.24%
Miscellaneous	2	0.16%
Other	25	1.87%
Property	476	38.60%
Sex	295	23.93%
Violent	286	23.20%
Total	1233	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	25	1.87%
4 - 5 Years	107	8.68%
6 - 9 Years	139	11.27%
10 Years	191	15.49%
11 - 14 Years	133	10.79%
15 Years	121	9.81%
16 - 20 Years	197	15.98%
Over 20 Years	263	21.33%
Life	53	4.30%
Life W/O	4	0.32%
Out of State	2	0.16%
Total	1233	100.00%



AGE	COUNT	PERCENTAGE
Under 21	11	0.89%
21-25	96	7.79%
26-30	218	17.68%
31-35	209	16.95%
36-40	194	15.73%
41-45	152	12.33%
46-50	120	9.73%
Over 50	233	18.90%
Total	1233	100.00%



Roederer Correctional Complex



Warden

Ravonne Sims

Deputy Warden - Security
Sharon Veech

Deputy Warden – Programs
Amy Robey

Administrative Assistant
Patti M. Ray

Address
P.O. Box 69
LaGrange, KY 40031

2017 Average Daily Population
1203

Total Number of Staff
217

Security Level
Close/Medium and Minimum/
Community

Overview

The Roederer Correctional Complex (RCC) opened August 28, 1976, as a 150-bed minimum-security “work camp”, responsible for the farming operation of approximately 3,000 acres. In December 1987, the first of several transitions occurred. The institution converted to a medium

security facility and increased the offender population to 252. In 1989, with the transfer of the Assessment and Classification Center from the Kentucky State Reformatory, the offender population increased to 435. The double bunking of existing living areas and utilization of five trailers for additional housing options achieved this expansion. In May 2016, 36 offenders relocated from KSR to RCC Minimum Security Unit, increasing its capacity to 108 beds. In May 2017, 50 medium offenders were added to the Unit 3 Permanent housing, increasing the permanent population to 200. Currently, RCC has five separate units, with a maximum capacity of 1,238 offenders.

RCC is a dynamic facility, with three distinct areas. The Assessment and Classification Center processes all incoming male felons, with the exception of those sentenced to death row. Over 3,000 incoming offenders are processed each year. The Assessment Center is also responsible for all felony sentence calculations in Controlled Intake, as well as the classification of offenders for the Class D/Class C Program (approximately 12,000 per year).

Offenders permanently assigned to RCC provide support services for the institution. RCC currently has 200 medium custody permanently assigned offenders who work in the Maintenance Department, Kitchen, Laundry, Legal Office, Grievance Office, as well as other areas necessary for the daily operation of the facility. Additionally, minimum security



Ravonne Sims, Warden

units at RCC have a maximum capacity of 308 offenders and is the location for the Substance Abuse Program (SAP) at the facility and provide support for RCC, LLCC and KSR.

Highlights

- Unit 2 wing doors were upgraded with security doors with magnetic locking system.
- Security bars were added to the windows and control centers.
- New Lockdown procedures were implemented utilizing whistles.
- 88 IP cameras were installed, to replace older cameras and increase coverage.
- Unit 3 added 50 offenders, increasing the permanent population to 200 offenders.
- Installation of new circuit to enhance IT functionality.
- Installation of staff internet phone system.



Roederer Correctional Complex

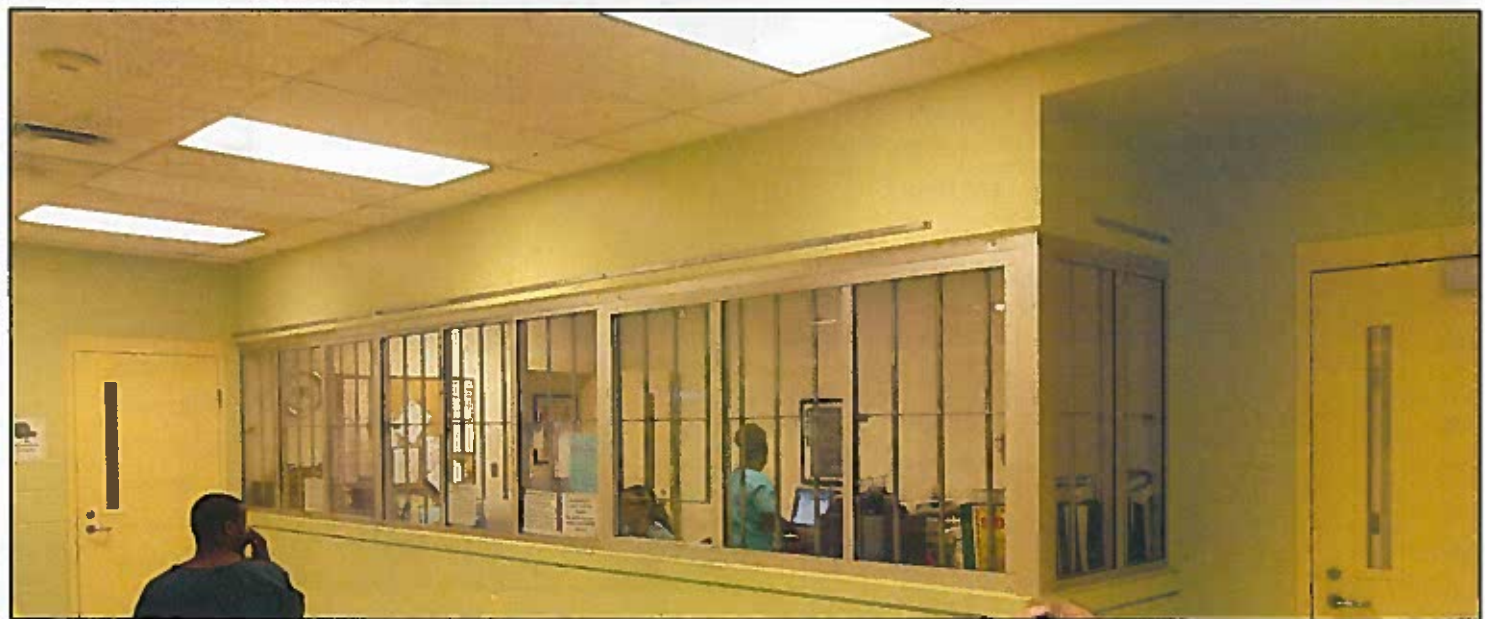
Programmatic and Operational Areas

The institutional GED numbers have increased from 30 in 2016 to 64 in 2017.

RCC started a Dog Program

named Roederer Canine Companions that focuses on training service dogs. The program has 14 dog handlers and 7 dogs.

An honor program was implemented for the Unit 3 permanent population.

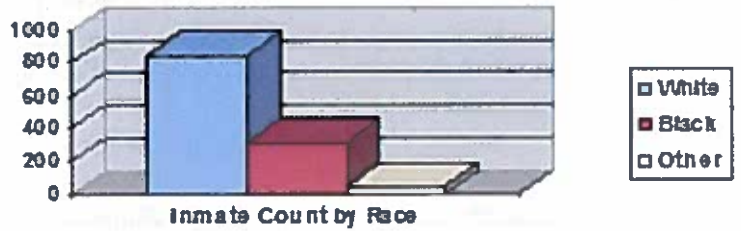


Pictured top right: Unit 2 bars were added. Middle Photo: Control Center bars were added for increased security. Bottom Photo: Bars were added to the nurses station.

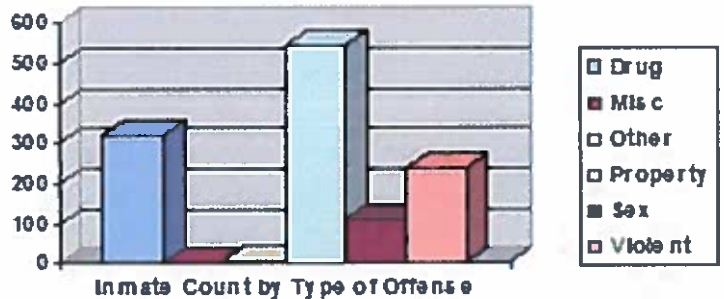
Roederer Correctional Complex

2017 Demographic Data

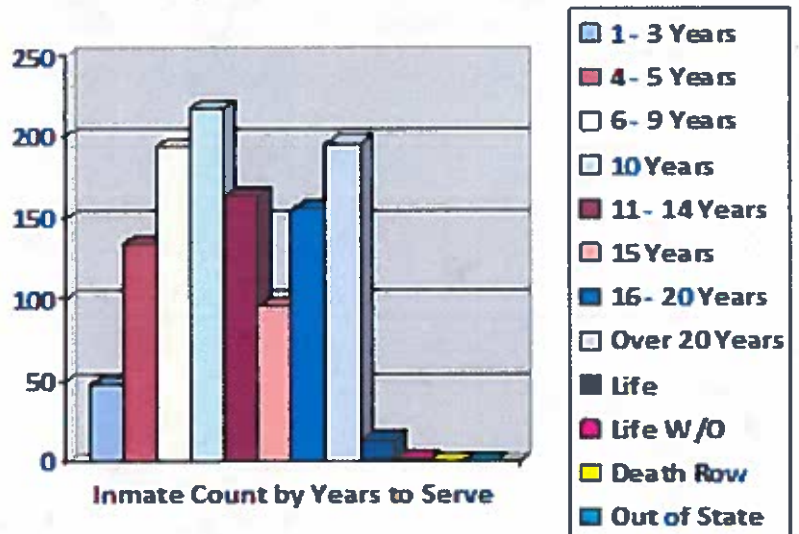
RACE	COUNT	PERCENTAGE
White	854	69.94%
Black	320	26.21%
Other	47	3.85%
Total	1221	100.00%



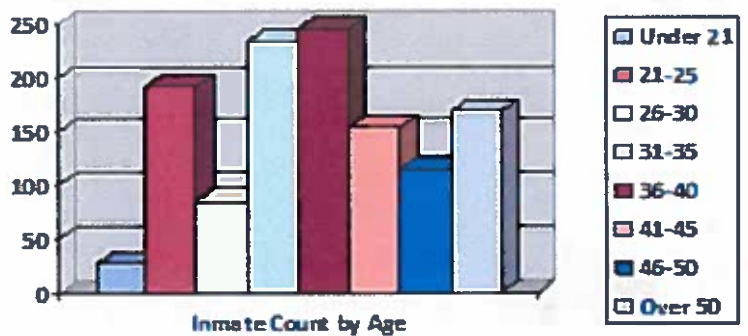
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	320	26.21%
Miscellaneous	1	0.08%
Other	9	0.73%
Property	546	44.72%
Sex	108	8.85%
Violent	237	19.41%
Total	1221	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	47	3.85%
4 - 5 Years	134	10.97%
6 - 9 Years	194	15.89%
10 Years	218	17.85%
11 - 14 Years	164	13.43%
15 Years	96	7.87%
16 - 20 Years	156	12.78%
Over 20 Years	196	16.05%
Life	14	1.15%
Life W/O	2	0.16%
Death Row	0	0.00%
Out of State	0	0.00%
Total	1221	100.00%



AGE	COUNT	PERCENTAGE
Under 21	27	2.21%
21-25	193	15.81%
26-30	85	6.96%
31-35	234	19.16%
36-40	246	20.15%
41-45	154	12.61%
46-50	114	9.34%
Over 50	168	13.76%
Total	1221	100.00%



Western Kentucky Correctional Complex



**Warden
Tim Lane**

Deputy Warden-Security
Kevin Mazza

Deputy Warden-Programs
Chris Hatton

Administrative Assistant
Regina Moore

Address
374 New Bethel Road
Fredonia, KY 42411

2017 Average Daily Population
667

Total Number of Staff
214; 35 Contract

Security Level
Medium

Overview

The Western Kentucky Correctional Complex (WKCC) was constructed in 1968 as a satellite facility of the Kentucky State Penitentiary. In 1977, this facility became a separate minimum institution called the Western Kentucky Farm Center. In 1989, the institution was converted to a medium security facility. The name of the institution was changed to Western Kentucky Correctional Complex in July 1990.

In 2010, the institution converted to a female institution. In 2015, what was formerly known as the Minimum Security Unit for WKCC became the Ross-Cash Center, housing 200 female inmates, and Western Kentucky Correctional Complex transitioned back to a male population. In April of 2016, the Ross-Cash Center became a subordinate center, resulting in Western Kentucky Correctional Complex becoming a co-ed facility.

The institution houses 693 inmates. It includes 44 cells with two additional Observation Cells in a Restrictive Housing Unit, 477 medium security male inmates are housed in three open wing dormitories within the secured perimeter, and 200 minimum security female inmates are housed in two open wing dormitories outside of the secured perimeter.

Inmates are offered academic, vocational and cognitive thinking programs along with employment opportunities that prepare them to be capable of contributing to society in a positive manner upon release.

The facility has been accredited by the American Correction Association since 1988, with the most recent audit in May of 2015 when the facility scored 100%.

WKCC has a farming operation, situated on 2,200 acres, with a herd of 800 beef cows, 405 acres of corn, 300 acres of wheat and 355 acres of soybeans. There is also a 15 acre garden and a 275 tree apple orchard, as well as a



Tim Lane, Warden

composting operation. WKCC also operates a Regional Recycling Center that partners with six surrounding counties that result in an average landfill savings of 500 tons per year.

Highlights

- On January 7, a yoga class was implemented at Ross-Cash for the female inmates.
- On January 17, an electrical class was implemented for the male inmates.
- On February 2, the Lyon County 4-H Leadership Group toured the facility.
- On March 9, the Caldwell and Lyon County Leadership Team toured the institution. The attached photo is from the Recycling Center, and participants are seated on 1,200 pound blocks of aluminum cans.
- On March 10, the Compound's Assessment Center was reactivated in order to receive 24 inmates from Fulton County Jail due to severe weather damages at the jail.
- April 17 through April 20,



Western Kentucky Correctional Complex

Substance Abuse Program Coordinator Amy Turner represented WKCC at the National Rx Drug Abuse & Heroin Summit in Atlanta, GA.

- On April 19, WKCC hosted the Regional HNT Training.
- On May 5, inmates from Ross-Cash assisted at Lyon County High School with clean up after prom.
- On May 8, several items that were handcrafted by the inmates at Ross-Cash were donated to Bright Life Farms, Princeton Police Department, and Pennyroyal Mental Health Center. Bright Life Farms received handcrafted blanket, baskets, scarves, purse, and other miscellaneous items. Princeton Police Department and Pennyroyal Mental Health Center received hand crocheted and knitted stuffed animals to provide comfort to children encountered in traumatic situations.
- On May 9, 2 bags of popcorn were donated to North Livingston Elementary for their K-PRED testing celebration.
- On May 19, a bag of popcorn was donated to the 4-H Youth Camp.
- On June 6, a bag of popcorn was donated to the American Legion Post #68 baseball team.
- A PREA Compliance Review was conducted June 12

through 14. The facility was found to be in compliance with all standards, and one particular standard was exceeded.

- On June 23, 100 bags of ice were donated to the Faith Missionary Baptist Church Faith In Action Camp.
- A donation of \$500 was made to Nonnie's Place, a local organization that supports women raising children under difficult circumstances.
- On July 21, Warden Steve Woodward announced his retirement effective July 31.
- On August 1, Warden Tim Lane assumed the Warden's post at WKCC.
- On September 15 and 19, Procedures Officer Lauren Henderson and Sgt. Johanna Chandler escorted a female inmate to the Lyon County High School to participate in

the Truth & Consequences event for local students.

- On October 17, criminal justice students from the University of Southern Indiana toured the facility.
- In November, the Education Center was recognized as a top 25 KY Adult Education Program in the state, including all of the Adult Education schools, jail programs, and adult institutions. Performance is based on overall enrollment goals, TABE Level Gains, GEDs, and NCRCs earned.
- On November 27, donations of \$2,400 each were made to Caldwell County and Lyon County School Systems' Family Resource Centers.
- On November 28, a donation of \$2,400 was made to the Princeton Police Department for the David Scott Memorial Toy Drive.

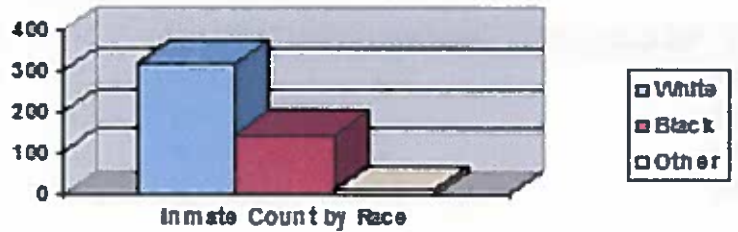


Pictured left: Caldwell and Lyon Leadership tour

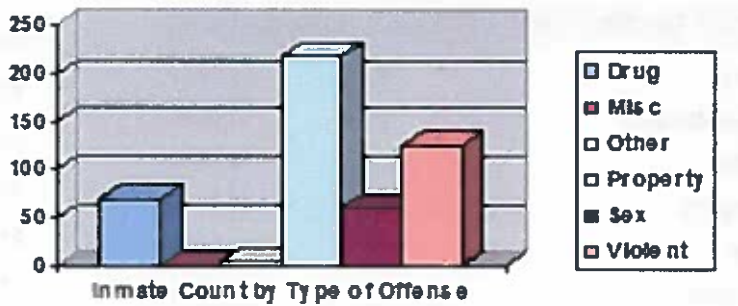
Western Kentucky Correctional Complex

2017 Demographic Data

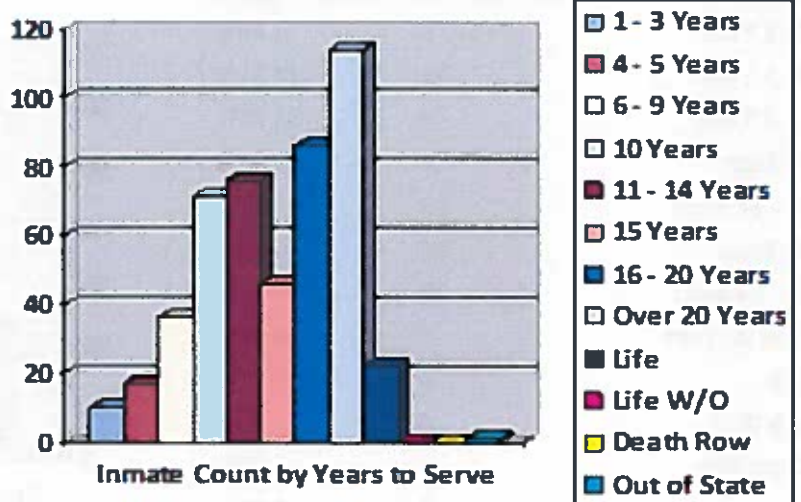
RACE	COUNT	PERCENTAGE
White	317	66.18%
Black	147	30.69%
Other	15	3.13%
Total	479	100.00%



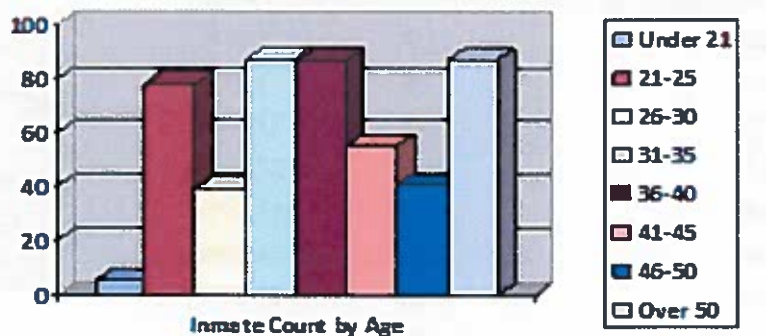
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	69	14.41%
Miscellaneous	1	0.21%
Other	5	1.04%
Property	218	45.51%
Sex	60	12.53%
Violent	126	26.30%
Total	479	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	10	2.09%
4 - 5 Years	17	3.55%
6 - 9 Years	36	7.52%
10 Years	71	14.82%
11 - 14 Years	76	15.87%
15 Years	46	9.60%
16 - 20 Years	86	17.95%
Over 20 Years	114	23.80%
Life	22	4.59%
Life W/O	0	0.00%
Death Row	0	0.00%
Out of State	1	0.21%
Total	479	100.00%



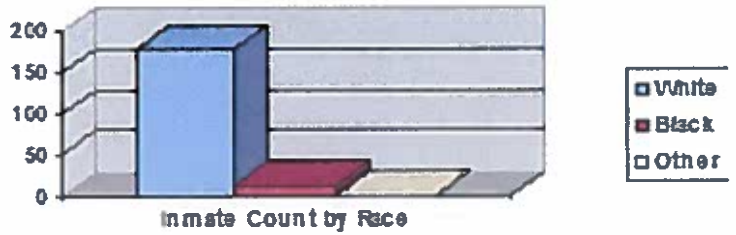
AGE	COUNT	PERCENTAGE
Under 21	5	1.04%
21-25	78	16.28%
26-30	39	8.14%
31-35	87	18.16%
36-40	87	18.17%
41-45	55	11.49%
46-50	41	8.56%
Over 50	87	18.16%
Total	479	100.00%



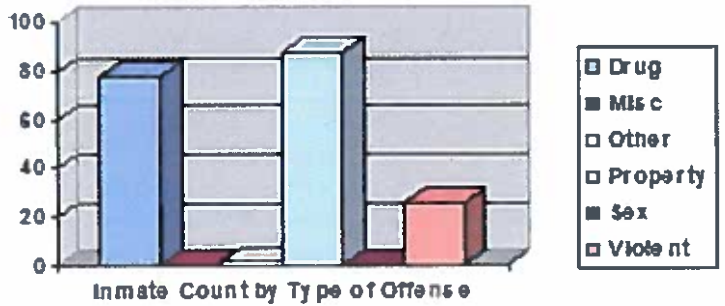
Ross-Cash Center

2017 Demographic Data

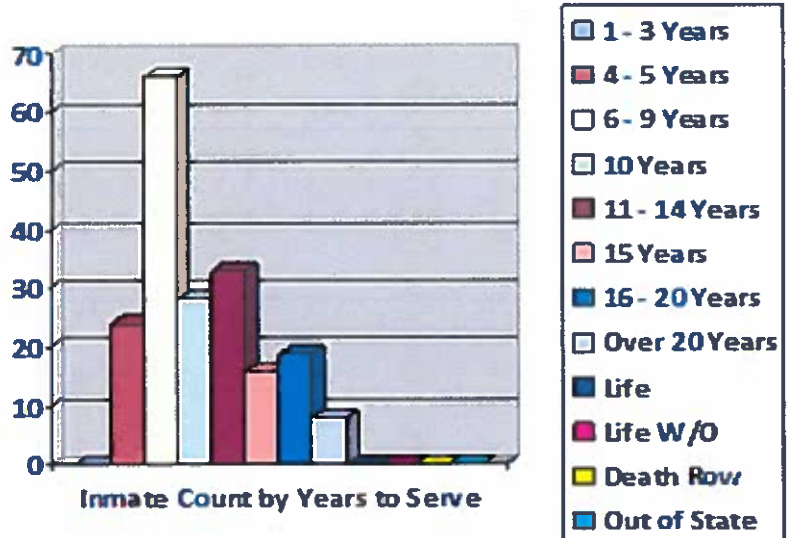
RACE	COUNT	PERCENTAGE
White	179	92.27%
Black	13	6.70%
Other	2	1.03%
Total	194	100.00%



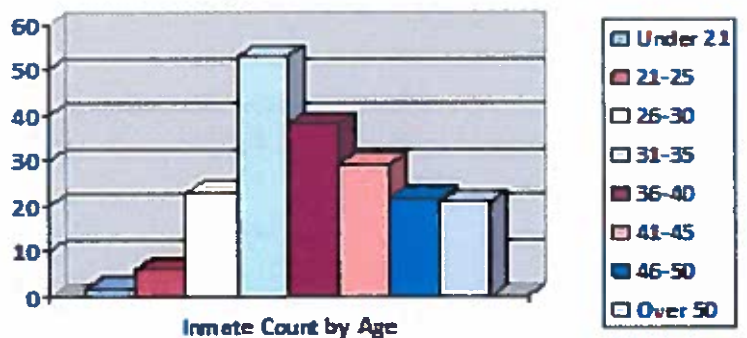
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	78	40.21%
Miscellaneous	0	0.00%
Other	2	1.03%
Property	88	45.36%
Sex	0	0.00%
Violent	26	13.40%
Total	194	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	0	0.00%
4 - 5 Years	24	12.37%
6 - 9 Years	66	34.02%
10 Years	28	14.43%
11 - 14 Years	33	17.01%
15 Years	16	8.26%
16 - 20 Years	19	9.79%
Over 20 Years	8	4.12%
Life	0	0.00%
Life W/O	0	0.00%
Death Row	0	0.00%
Out of State	0	0.00%
Total	194	100.00%



AGE	COUNT	PERCENTAGE
Under 21	2	1.03%
21-25	6	3.09%
26-30	23	11.86%
31-35	53	27.32%
36-40	38	19.59%
41-45	29	14.95%
46-50	22	11.34%
Over 50	21	10.82%
Total	194	100.00%



Division of Population Management

Classification/Population Division Director Kiernyn Fannin

Program Administrators

Scott Grimes
Alan Long
Amanda Scott
Lisa Teague
Jennifer Tracy

Administrative Specialists

Geneva Bogue
Cindy Adams

Justice Program Specialist

Sheila Trotter

Overview

The Population Management Division/Classification Branch is responsible for overseeing classification actions in all state institutions, contract facilities, and full-service county jails and for monitoring the Assessment/Classification Centers at Roederer Correctional Complex, Kentucky Correctional Institution for Women and Ross Cash Center. This Division is also responsible for monitoring the population of these facilities for appropriate use of bed space.

Inmate custody levels are determined through an objective based risk instrument that was developed with the assistance of the National Institute of Corrections (NIC). The classification manual is reviewed and updated in accordance with recommendations from validity and reliability studies.

Division staff are responsible for reviewing all inmate transfer and furlough requests, jail discipli-

nary reports, jail detainers, jail additional sentences and requests for priority security admission.

Training is conducted annually for all adult institution classification staff to address any classification issues and changes in policy, procedure or statute. The Division also implements and updates policies to ensure compliance with changing statutes and system changes.

The Division monitors the population of all state institutions, contract facilities and county jails. When necessary, movement is directed by division staff in order to alleviate overcrowding in county jails.

The Division Director oversees the operation of the Assessment Centers, currently located at the Roederer Correctional Complex, Kentucky Correctional Institution for Women, Ross-Cash Center and directs admissions / transfers statewide.

Highlights

Annual Classification Training was held at a central location for Case Management staff. This one-day training was presented 2 times. The Classification Branch partnered with the Division of Local Facilities, the Victim Services Branch, Offender Information Services and Information and Technology to provide training to Class D Coordinators. This training was presented 10 times between February and October across the state from Greenup County to McCracken County



*Kiernyn Fannin, Division Director
Population Management*

The Division of Population Management has continued to conduct all Protective Custody reviews. This has resulted in maintaining a reduced number of Protective Custody numbers at the Kentucky State Penitentiary.

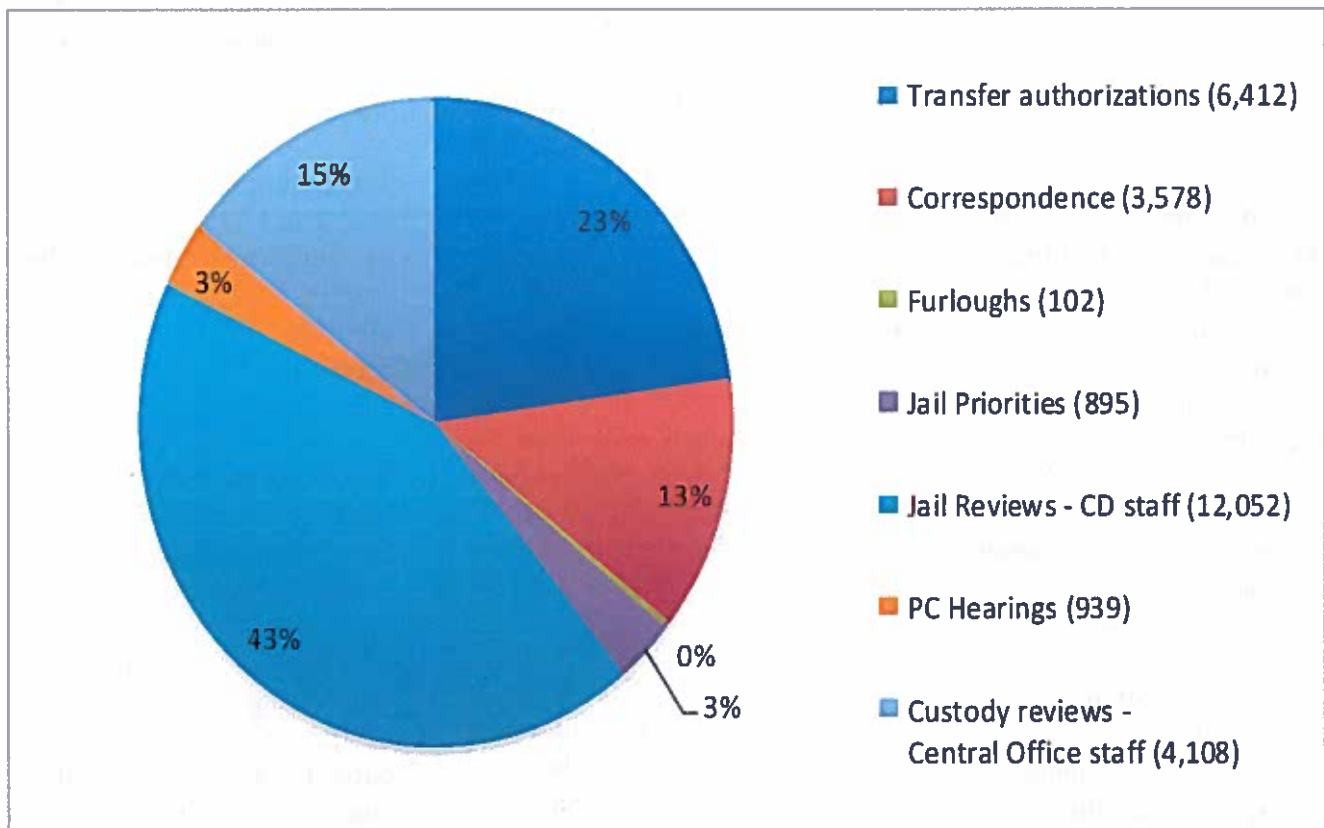
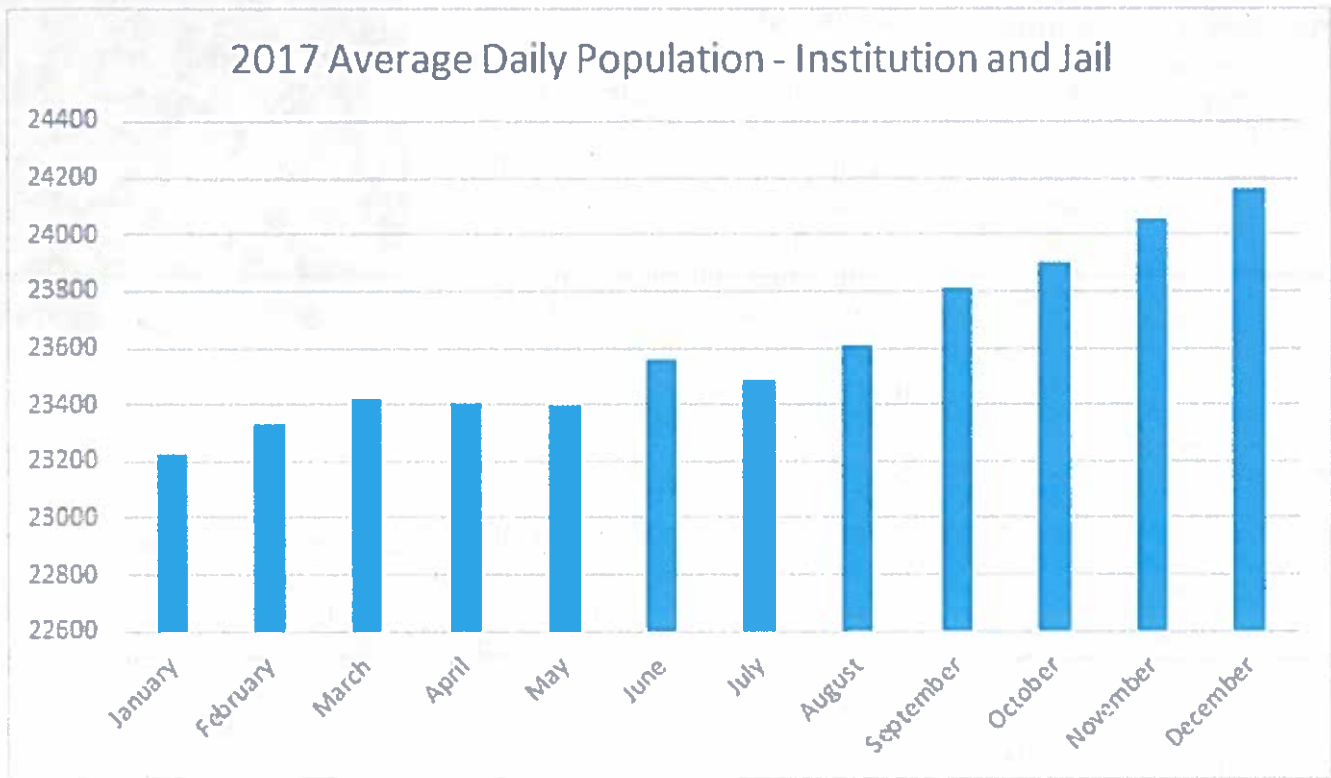
Dorm 3 at Blackburn Correctional Complex was evacuated and closed due to structural damage. The 88 affected inmates were absorbed into Dorm 2 and Dorm 4.

The Department merged Classification and Assessment operations to eliminate bilateral decision making and streamline duties to eliminate redundancy. Classification moved to a twice a year classification schedule.

The Department was one of three states selected to work collaboratively with NIC and the Criminal Justice Institute in a field test of the classification revalidation workbook. Division of Population Management staff completed a comprehensive review of the current classification system, including a reliability assessment, under the guidance of Dr. Patricia Hardyman as part of the revalidation effort.



Division of Population Management



Division staff processed over 6,000 transfer authorizations and performed over 12,000 classification actions in 2017



Division of Local Facilities

Division of Local Facilities Assistant Director Kirstie Willard

Regional Administrator
Desi D. Brooks

Division of Local Facilities

Kirstie Willard joined the department as an intern with the Division of Local Facilities in May 1999. She held the position until August 2001 while completing her bachelor's degree in correctional and juvenile justice studies at Eastern Kentucky University. Upon graduation, she worked as a graduate assistant at the University of Louisville while working on a master's degree in justice administration.

In October 2004, Willard returned to the department as a victim advocate in the Office of Victim Services. In September 2005, she was promoted to head the office, which included managing the statewide Victim Information and Notification Everyday (VINE) program.

Willard transferred to the Division of Local Facilities as a jail services specialist in March 2007. She has served as a jail inspector and a liaison to all jails across the state on the federal Prison Rape Elimination Act (PREA). Willard was promoted in February 2010 to corrections program administrator, where in addition

to her role as a jail inspector and PREA liaison, she handled the administrative duties of the Kentucky State Corrections Commission.

In 2014, she assumed supervisor duties of the Division of Local Facilities administrative staff, who are responsible for processing housing reimbursement, state inmate pay and catastrophic medical claims for the jails. Later that year, Willard was promoted to regional administrator for the Division of Local Facilities. In April 2016, she was named assistant director for the Division of Local Facilities, where she served until assuming her current position as acting director in December 2017.

Willard is a 2014 graduate of the Commissioner's Executive Leadership Program, a member of the American Jail Association and the Kentucky Council on Crime and Delinquency.

The Division of Local Facilities provides support and technical assistance to local jails in overall jail operations, policy and procedure development, training, construction review and consultation and ensures compliance with the Kentucky Jail Standards.

The Division's administrative staff works closely with county jails to process per diem payments for state inmates housed in jails, inmate pay, and catastrophic medical invoices. In addition, they provide documentation of



*Kirstie Willard,
Assistant Director
Division of Local Facilities*

deficiencies and monitor corrective action requests and reviews.

The Division of Local Facilities provides funding to 120 counties to assist them in defraying the cost of care and maintenance of prisoners through the Local Jail Allotment and the Restricted Medical Fund. Additionally, the Division provides a Jailer's Allowance that provides training incentive funding for jailers and jail personnel.

State Inmate Population in County Jails

The population of state inmates in county jails increased significantly in 2016. The lowest population was 11,287 in January 2017, with the highest being 12,251 in December of 2017.

On average, the state inmate population for 2017 accounted for 45% of the county jail prisoner populations, 4% below that of 2016. A majority of those state prisoners are CD and CC inmates that are eligible to be housed in



Division of Local Facilities



*Desi D. Brooks,
Regional Administrator*

the county jails and eligible to participate in various programming offered at the jails.

Due to the overall increase in state inmates, CI (Controlled Intake) prisoners make up the next largest population of state prisoners housed in the county jails. The average number of controlled intake prisoners in the county jails continually increased during 2016.

Finance

The Division of Local Facilities provided \$135,628,147 in funding to the 120 counties across Kentucky in FY 17. This represents an increase of \$2.54 million from FY16.

These funds are provided through several funding programs, such as per diem payment for housing state inmates, medical allotment payments, bed allotment payments, pay for inmate labor, payments for catastrophic medical claims and cost savings from the implementation of House Bill 463 which are earmarked for the Local Correctional Assistance Fund.

Housing Bills Audits and Payments

During FY17 the Department of Corrections paid Kentucky County jails a total of \$117,527,843.46 for housing state inmates. This amount was paid at a per diem rate of \$31.34 per inmate; \$29.43 for housing and \$1.91 for medical.

The Division of Local Facilities has the responsibility for auditing housing bills on a monthly basis and reimbursing county jails within 30 working days of receipt of their housing bills.

The Kentucky Offender Management System (KOMS) generates invoices listing all state inmates housed in jails. These invoices are compared with invoices submitted from county jails and are reconciled with assistance from jail and probation and parole staff. Once reconciled, the invoices are submitted for payment.

Inmate Labor

Local Facilities provides inmate labor for many local community projects across the Commonwealth. Local jails that house state prisoners operate the Class D /C Work Program through the direction and guidelines of the Division of Local Facilities. Inmate labor can be used by the Commonwealth or an agency of the Commonwealth, a county or agency of the county, or by a nonreligious-sponsored nonprofit, charitable, or service organization. Community level inmates can be found working at local

recycling centers, performing road side cleanup, collecting garbage, and working in the local animal shelters.

On average, there were 4,338 state inmates actively working in communities throughout the Commonwealth during 2017. This translates to an excess of more than 6 million hours of labor that was provided through Class D/C Inmate Work Program. During FY 2017, the Division of Local Facilities paid in excess of \$537,667 to prisoners participating in work programs in the county jails. The counties that utilized these prisoners in the work program potentially save in excess of \$44.1 million during FY 2017, when multiplied by the current minimum wage.

Catastrophic Medical Claims

For FY17, Local Facilities was allotted \$535,568 to reimburse local jails for catastrophic medical claims incurred by county inmates declared indigent by the courts.

While the number of claims received from counties has increased, there are still very few that utilize these funds. The Department continues to educate jailers, judge executives and fiscal court members about this resource, in hopes that they will begin to utilize it fully.

Inspections

Division staff conducted more than 206 routine and special jail

Division of Local Facilities

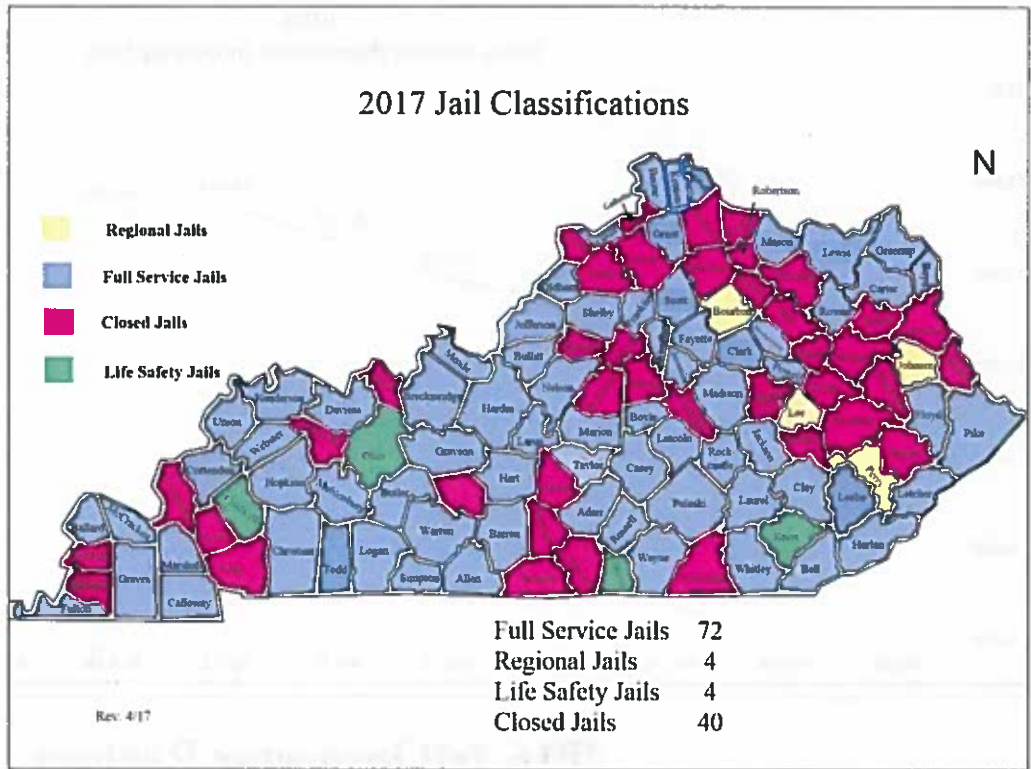
inspections on all jail facilities across the Commonwealth. These inspections provide vital information as to the jail's physical plant condition, jail operations, prisoner program availability and compliance with the Kentucky Jail Standards. These inspections also provided the detention centers with guidance for improving overall operations.

The first inspection of each year is an announced inspection. During this inspection, every aspect of the detention center is reviewed and evaluated for compliance with Kentucky Jail Standards.

The inspection for a full-Service facility contains 211 critical items including administrative function, information systems, personnel, physical plan, security areas, emergency procedures, sanitation, medical services, food service, classification, admission, release, prisoner programs and prisoner rights.

The second yearly inspection is an unannounced inspection. By not announcing this inspection, Division staff has the ability to see how the jail operates on a standard day or night. This inspection also provides a review of any non-compliant items from the first inspection.

The Division also conducts follow-up inspections, when necessary, as a result of complaints, investigations, and documentation of corrective action.



Construction

With the implementation of HB 463 in 2012, the Department developed guidelines for the local jails to follow when considering new construction and/or renovations. There was one new renovation request submitted to the Local Correctional Facility Construction Authority in 2017. This request came from the Grayson County Detention Center and they were approved for an addition to their existing facility.

Work was completed on the additions to Campbell County, Fulton County, Powell County, and Bourbon County. New facilities are under construction in Oldham County, Rowan County, Knox County and Laurel County. Work on Jessamine County's expansion has stalled awaiting fiscal court action.

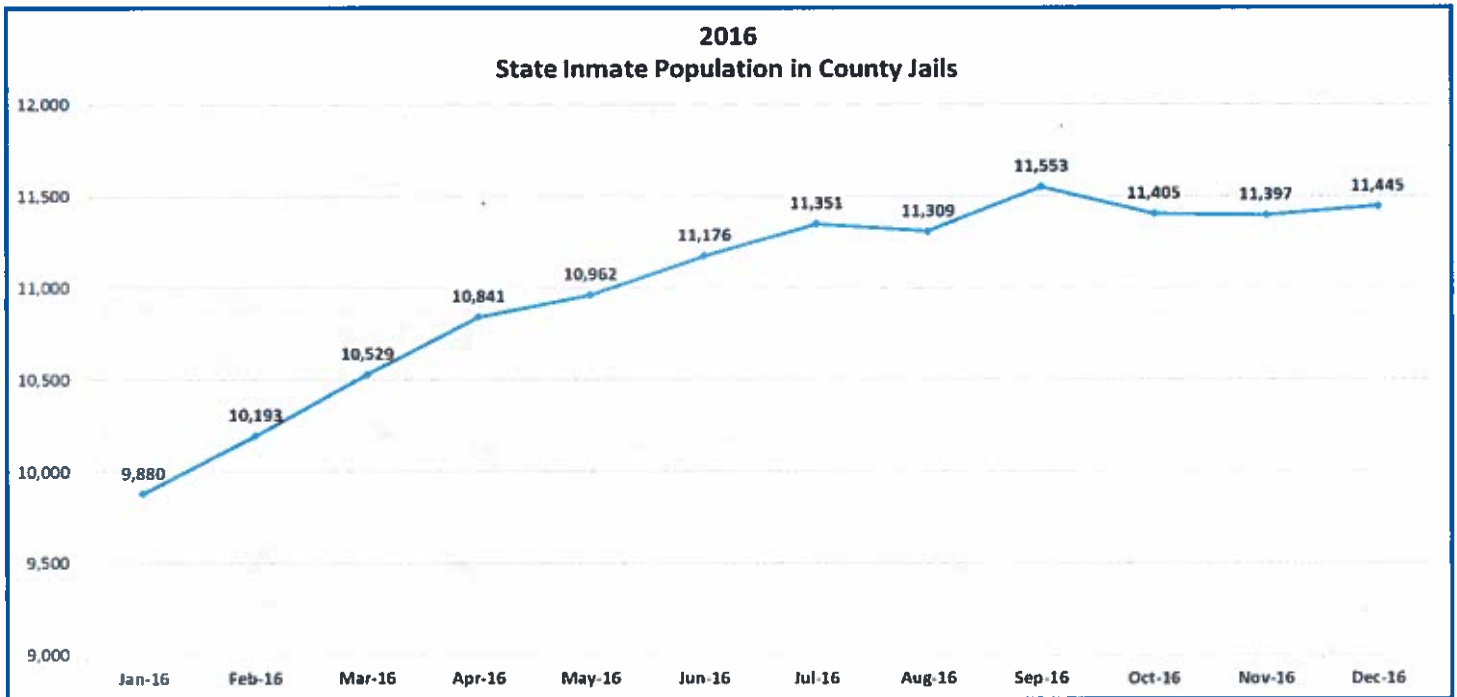
Investigations

The Division investigated over 1,017 written complaints during 2017. Written complaints are generally received by the Division of Local Facilities from inmates in the local detention centers and generally involve allegations that the jailer or their staff are not following the KY Jail Standards.

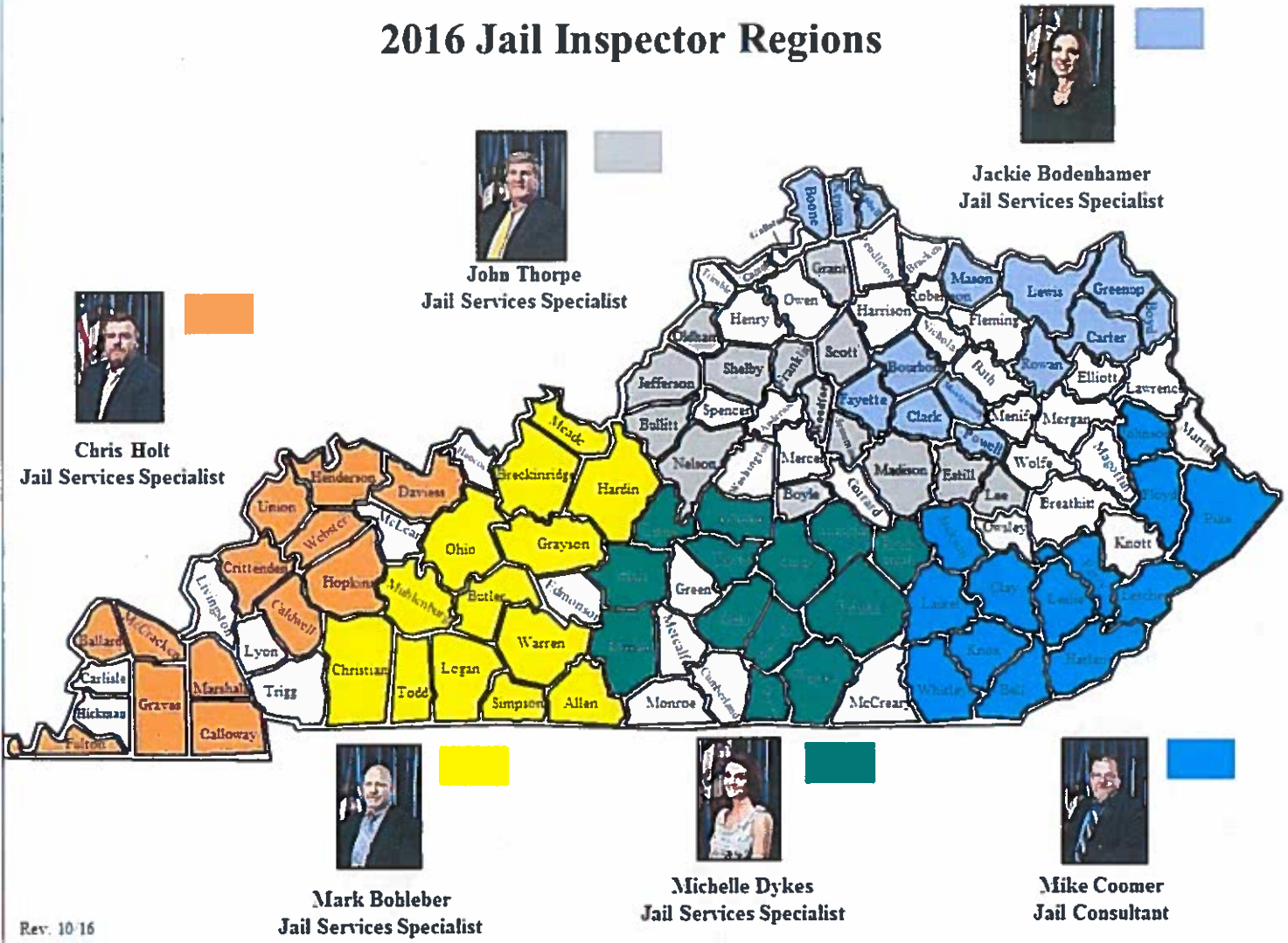
The Division of Local facilities administrative staff will also take phone complaints submitted by inmate families, friends, legislators or other state and county agencies. These complaints are also assigned to the jail inspectors for investigation and response; however, phone complaints are not included in the total number of investigations conducted by the Division of Local Facilities.



Division of Local Facilities



2016 Jail Inspector Regions



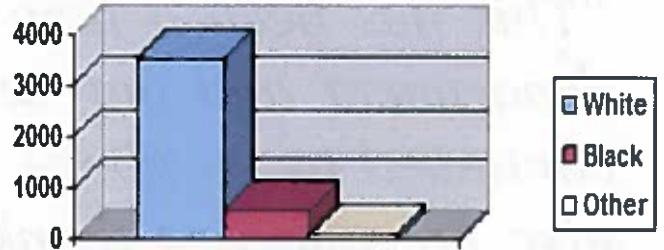
Rev. 10-16



Class D Felons in County Jails

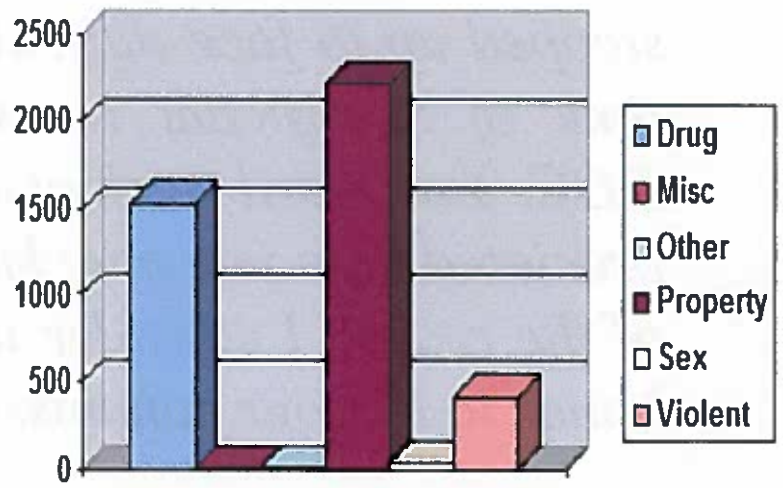
2017 Demographic Data

RACE	COUNT	PERCENTAGE
White	3518	83.60%
Black	589	14.00%
Other	101	2.40%
Total	4208	100.00%



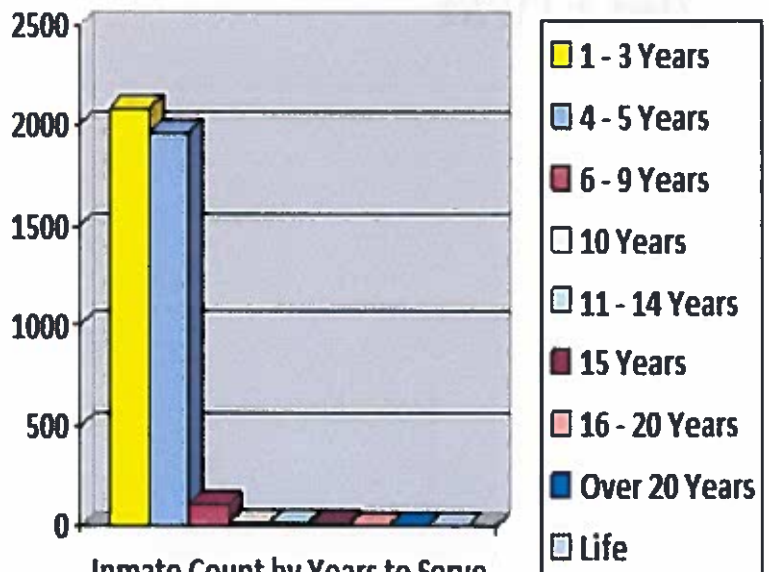
Inmate Count by Race

TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	1534	36.45%
Miscellaneous	2	0.05%
Other	7	0.17%
Property	2214	52.62%
Sex	38	0.90%
Violent	413	9.81%
Total	4208	100.00%



Inmate Count by Type of Offense

YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	2089	49.64%
4 - 5 Years	1966	46.72%
6 - 9 Years	112	2.66%
10 Years	16	0.39%
11 - 14 Years	11	0.26%
15 Years	7	0.17%
16 - 20 Years	5	0.12%
Over 20 Years	1	0.02%
Life	1	0.02%
Total	4208	100.00%



Inmate Count by Years to Serve



“This has been a time of great change in the department and our amazing employees have continued to go above and beyond. I’d like to give my sincerest thanks to everyone who has worked overtime, filled in for others and stepped up to face difficult challenges during a time of significant transition throughout the DOC. Your hard work and sacrifice is more appreciated than you may know and it’s a big part of the reason I consider it such a privilege and honor to be your commissioner.”

Thank you for your commitment and service!

Jim Erwin

