

2011 Annual Report



Steven L. Beshear
Governor

J. Michael Brown
Justice & Public Safety Cabinet Secretary

LaDonna Thompson
Commissioner

Letter From The Commissioner



*Commissioner
LaDonna Thompson*

Our primary mission at the Kentucky Department of Corrections is “To protect the citizens of the Commonwealth and to provide a safe, secure and humane environment for staff and offenders in carrying out the mandates of the legislative and judicial processes; and, to provide opportunities for offenders to acquire skills which facilitate non-criminal behavior.”

As I am sure you are all aware, 2011 was an extremely busy year. The passage of House Bill 463 brought about many changes that effected the Department. This landmark justice reform bill was designed to decrease the state’s prison population, reduce incarceration costs, reduce crime and increase public safety. This legislation, the result of a task force involving members of the executive, legislative and judicial branches, is estimated to save the Commonwealth of Kentucky \$422 million over the next decade.

Also, for the first time in our history, due to declining prison populations and a reduced recidivism rate, we were able to close a prison. The Frankfort Career Development Center (FCDC) was closed at the end of the fiscal year and the keys turned over to the Kentucky State Police to be used as their new training academy.

The amount of work that went into these two endeavors only is staggering, but the implementation of House Bill 463 and the closing of FCDC could not have gone smoother. There is no doubt that this was due to all the hard work that was completed on the front end of these projects by a great many DOC employees from all over the Department. I am so proud of all of you. Your undying commitment continues to bring great credit upon not only yourselves, but the Department of Corrections as a whole.

All this, not to mention the enormous amount of “routine” work that you all perform on a daily basis. I thank you for all your hard work and dedication in 2011 and look forward to tackling the challenges that lie ahead in 2012.

Sincerely,

A handwritten signature in cursive script that reads "LaDonna Thompson".

LaDonna Thompson
Commissioner

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Office of the Commissioner

Commissioner LaDonna Thompson

Commissioner's Staff

Executive Secretary
Mary Ann Sullivan

Executive Staff Advisor/ ACA
Coordinator / Ombudsman
John Dunn

Director of Communications
Lisa Lamb

Personnel Director
Stephanie Appel

Internal Affairs
Jon Collett

General Counsel
Brenn Combs

Deputy Commissioners

Office of Support Services
Kimberly Potter-Blair

Office of Community Services
and Local Facilities
Rodney Ballard

Office of Adult Institutions
James Erwin

Office of the Commissioner LaDonna Thompson

In January 2008, Governor Steve Beshear and Justice & Public Safety Cabinet Secretary J. Michael Brown appointed the first female state Corrections chief in Kentucky and named LaDonna Thompson to the Commissioner's post of the Department.

Thompson had spent the previous two and half years as a Deputy Commissioner for DOC and began her career as one of the original correctional officers at the Eastern Kentucky Correctional Complex when it first opened. She also worked as a correctional officer at the Kentucky State Reformatory and Luther Lockett Correctional Complex in La-Grange. Upon transferring to the Department's Central Office in 1991, Thompson worked in several areas including Offender Records, VINE and Classification. She quickly began moving up the ladder into management positions.

In 1999, she was promoted to Branch Manager of the Classification Branch and during her stint there led the validation study of DOC's inmate classification system. She has also served as a visiting staff member for the National Institute of Corrections to develop curriculum and provide classification training to other state correctional agencies. In 2004, Thompson was promoted to the position of Assistant Director of Operations where her primary duty was inmate population management.

In August 2005, Thompson was promoted to the position of Deputy Commissioner of Support Services.

During her career in Corrections, Thompson has received numerous awards, including three honors for distinguished service. In 2005 she was a member of the inaugural class of the Commissioner's Executive Leadership



For the first time in our history the DOC was able to close one of its prisons. On June 30, 2011, FCDC was turned over to the Kentucky State Police for use as their new training academy. Above: Commissioner LaDonna Thompson officially turns the keys over to KSP Commissioner Rodney Brewer.

Program, and in 2006 she was selected to attend the National Institute of Corrections Executive Leadership for Women training.

Thompson is a graduate of the Leadership Kentucky class of 2007, and is a 2007 recipient of the "Breaking the Glass Ceiling" Award presented by the National Center for Women and Policing.

A graduate of Morehead State University, Thompson received her degree in psychology and sociology.

The Commissioner's Staff

Executive Staff Advisor / Ombudsman

John Dunn serves as the Ombudsman for the Department of Corrections and oversees the grievance process throughout the state.

Office of the Commissioner



*John Dunn
Executive Staff Advisor / ACA
Coordinator / Ombudsman*

Dunn began his Corrections career in April 1993. His first DOC position was at Northpoint Training Center as a Correctional Officer.

In 1999, he was promoted to classification and treatment officer I at Northpoint, and two years later was promoted to an administrative specialist III position at the prison. In 2004, he was promoted to a classification and treatment officer II at Frankfort Career and Development Center. In January 2006 Dunn was promoted to program administrator at Central Office supervising the home incarceration and re-entry programs, a position he held until September that year when he was named as Ombudsman.

Dunn also served 21 years in the National Guard and retired in February 2006 after achieving the rank of major.

Dunn is a 1990 graduate of the University of Kentucky where he received his bachelor's degree in history. He is a member of the American Correctional Association, Correctional Accreditation

Managers' Association and the National Guard Association.

Director of Communications

Lisa Lamb serves as the Department of Corrections' Director of Communications. In this position, she oversees the Office of Victim Services. A native of Harlan, Lamb brought nearly 20 years of experience working with the media to her position with the DOC. In her current role, she serves as the Department's spokesperson, handling all media calls and requests for information and interviews. She also serves as a member of the Commissioner's Executive Staff.



*Lisa Lamb
Director of Communications*

She was the 2003 recipient of the "Jennifer Schaaf Award," presented by the Society of Professional Journalists (SPJ) to an outstanding government communicator. Lamb came to corrections after six years with the Kentucky Press Association where she worked as the News Bureau Director, Member Services Director and as Director of the Kentucky High School Journalism Associa-

tion. She spent nearly 10 years with the Harlan Daily Enterprise and just prior to joining KPA, was the newspaper's executive editor.

She is a graduate of Southeast Community College. In addition, she attended Eastern Kentucky University and the University of Kentucky, majoring in journalism.

Personnel Director

Stephanie Appel serves as the Director of Human Resources for the Justice Cabinet and the Director of Personnel Services for the Department of Corrections.

Appel began her career with Finance and Administration in 1995, before coming to work for the Department of Corrections in 2000.

Since her appointment with the Department of Corrections, she has been instrumental in the implementation of several new personnel systems, assisted with the opening of Little Sandy Correctional Complex, has helped



*Stephanie Appel, Director
Division of Personnel Services*

Office of the Commissioner

streamline personnel processes throughout the Cabinet and has regionalized the personnel services in Oldham and Shelby Counties.

Appel is a long-time member of the International Public Management Association for Human Resources (IPMA-HR). She has held many elected offices in both Kentucky and the southern region of IPMA-HR. She was sworn in as President of the southern region in July 2011.

She is also a member of the Society for Human Resources Management (SHRM) and was nominated for a Governors Ambassador Award in 2009 for her dedication with human resources professional organizations.

Internal Affairs



*Jon Collett,
Internal Affairs Supervisor*

Jon Collett serves as the Internal Affairs Supervisor for the Department of Corrections.

Collett began his career with the Kentucky Department of Correc-

tions in 2000 at Frankfort Career Development Center (FCDC). During his time at FCDC he conducted numerous investigations with the Governmental Services Program.

Collett was promoted to Internal Affairs investigator in 2004. In 2008 he was again promoted to Internal Affairs Supervisor.

Collett attended Morehead State University and has completed numerous investigation courses. He is also a graduate of the Commissioner's first Executive Leadership Program, which began in May 2005. Collett now resides in Midway with his wife, Shannon, and their daughter Mackenzie.

Collett was a member of the Kentucky Army National Guard which was activated after 9/11 and served in Operation Nobel Eagle II.

Deputy Commissioners

Office of Support Services

As Deputy Commissioner of Support Services, Kimberly Potter-Blair is responsible for the Administrative Services Division, Classification and Population Division, Corrections Training Division, Office of Parole and Victim Services, Information and Technology Branch, Offender Information Services Branch and the Re-entry Branch.

Potter-Blair began her career with the Department of Corrections in 1998 as a Corrections Officer at Blackburn Correctional Complex.



*Kimberly Potter-Blair
Deputy Commissioner
Office of Support Services*

She was promoted to caseworker at Blackburn before transferring to the Division of Probation & Parole in District 9 in 1999. After supervising a caseload of offenders for approximately four years, she was promoted to Assistant District Supervisor for District 9 in 2003, and then to District Supervisor in 2005.

While working in District 9, which encompasses Fayette County, she was instrumental in the efficient flow of court work in the Fayette Circuit Court system. She was also active in helping to create the Fayette County Re-Entry Program for offenders on probation and parole, as well as coordinating efforts with the CJ-DATS research project with the University of Kentucky.

During her career, Potter-Blair has been a member of the Kentucky State Parole Officers' Association and the Kentucky Council on Crime and Delinquency, where she previously served as President of the Blue-

Office of the Commissioner

grass Chapter of KCCD. She is also a graduate of the inaugural Commissioner's Executive Leadership Program, which began in May 2005.

Office of Community Services and Local Facilities



*Rodney Ballard
Deputy Commissioner
Offices of Community Services
and Local Facilities*

As Deputy Commissioner of Community Services and Local Facilities, Rodney Ballard oversees the Division of Probation and Parole and the Division of Local Facilities.

Ballard has over 30 years of experience in the field of law enforcement and corrections. He most recently served as Chief Deputy at the Kenton County Detention Center. Ballard began his career as a police officer, first serving in Taylor Mill and Kenton County. In 1982 he joined the Kentucky State Police for what would be a decorated 17-year career that included stints as a member of the agency's Spe-

cial Response Team, detective, and member of the Executive Security detail. Ballard received numerous awards and commendations during his career and he is a guest instructor in the field of criminal justice for several organizations including the FBI, KSP, Office of the Attorney General and Kentucky Jailers' Association.

In 1999 Ballard was promoted to Major and named the Commander of Internal Affairs and Training at the Kenton County Detention Center. Soon afterward, he was promoted to Chief Deputy at the facility, a position in which he oversaw the facility's \$1.5 million renovation. He was second in command at the detention center, a jail that houses an average of 500 inmates, has 95 employees and a budget of more than \$7 million.

Ballard is a graduate of Northern Kentucky University where he received his bachelor's degree in criminal justice.

Office of Adult Institutions

As Deputy Commissioner of Adult Institutions, Jim Erwin oversees the Operations and Program Services Division, Correctional Industries Division, Medical Services Division, Mental Health Division, the Office of Research and Grants, as well as overseeing Kentucky's 12 state-run institutions.

Erwin began his career in August of 1985 as a Correctional Officer with Jefferson County. In 1987 he began work with the state of



*James Erwin
Deputy Commissioner
Office of Adult Institutions*

Kentucky as a Classification and Treatment Officer at the Kentucky State Reformatory (KSR). Erwin continued his career at KSR as a Unit Administrator until 1996 when he went to work for the Ohio Department of Corrections as an inspector of institutional services. He continued his career in Ohio as a Unit Management Administrator, Deputy Warden, acting Warden and Warden at several Ohio Prisons, until returning to Kentucky as Director in September 2007.

Erwin is a 1984 graduate of Centre College in Danville and received his masters in Administrative Justice from the University of Louisville in 1987. He is a member of several professional organizations including the American Correctional Association, North American Association of Wardens and Superintendents, Ohio Wardens and Superintendents Association and International Association of Reentry.

Division of Personnel Services

Director

Stephanie Appel

Personnel Branch Managers

Bobbie Underwood
Amanda Coulter

Payroll Branch Manager

Leslie Tindall

Recruitment and Staff Development Branch Manager

Teresa Harris



*Stephanie Appel, Director
Division of Personnel Services*

Overview

The Division of Personnel Services is comprised of three branches: Personnel Management, Payroll and Recruitment and Staff Development. They serve over 4,000 employees in the administration of personnel, payroll and recruitment programs throughout the Department.

The mission of the Division of Personnel Services is three-fold: serve as a catalyst to effective and efficient personnel, payroll and recruitment services; protect

the integrity of the Merit System through the proper enforcement and application of the statutes and regulations; and review global personnel and management strategies to establish positive change for the betterment of the Department.

In 2011, the Personnel Division prepared for the go-live implementation of the Kentucky Human Resources Information System (KHRIS). This is a statewide effort to transform the way human resource services are delivered by modernizing and standardizing key business processes which will significantly improve both efficiency and effectiveness. In 2011, three DOC staff members, Leslie Tindall, Bobbie Underwood and Amanda Coulter, continued to serve as lead KHRIS trainers for all of state government in the areas of personnel and payroll. They were featured in numerous newsletters and announcements throughout state government due to their commitment to the Department of Corrections and the KHRIS project.

The division continued to assist other agencies within the Justice Cabinet by providing lead support on KHRIS issues, furlough guidance, block 50 reporting, security access, reduction of personnel positions and reorganization for the DOC.

Highlights

Personnel Management Branch

The Personnel Management Branch provides assistance to department managers and personnel



Amanda Coulter and Bobbie Underwood, Personnel Branch

liaisons, interprets personnel issues/programs for DOC staff and represent the Department at meetings related to Human Resources including the Career Opportunities System (COS) User Group, Personnel Council and Kentucky Human Resource Information System (KHRIS) meetings.

In 2011, the Personnel Management Branch processed over 15,000 personnel actions and nearly 500 job postings for the Department. This branch is also responsible for auditing nearly 3,000 employee evaluations to ensure compliance with state regulations.

During 2011, Amanda Coulter and Bobbie Underwood served as KHRIS lead trainers for HR professionals across state government in preparation for the April 2011 KHRIS implementation. Throughout the implementation, they worked to ensure their current job duties were met while they assisted with this project. They assisted with the testing process on nights and weekends in preparation for the go-live date. Furthermore, due to KHRIS, the entire branch had to revamp all internal procedures

Division of Personnel Services

and assist with training field staff to ensure all employees personnel actions were processed in a timely and accurate manner. Coulter and Underwood also created the Justice KHRIS Helpdesk email account to ensure all KHRIS inquiries are addressed and our Cabinet is aware of the updates regarding the KHRIS system.

In addition to these functions, they maintain security access to all personnel systems for the Justice Cabinet and conduct trainings with other agencies within the Cabinet on various HR initiatives.

Recruitment and Staff Development Branch



Teresa Harris, Recruitment and Staff Development Branch

In 2011, the Recruitment and Staff Development Branch assisted with the expansion of various veteran programs throughout the Department. They worked with KCTCS to promote an on-the-job training program offered through the “Post 9/11 GI Bill” in which veterans may use their education benefits. Therefore, if a job is approved, they can earn both their DOC paycheck and, for a limited time, their GI Bill bene-

fits check. Additionally, Teresa Harris worked with the DOC Information and Technology Branch to design and implement the DOC Deployed Veterans Blog. This allows DOC employees and family members to leave messages and comments for our employees serving overseas.

This branch continued to oversee the drug testing policy and processed over 1,900 drug test results. They also continued to develop and expand the internship program by working with colleges and universities to place 76 interns with the Department. Additionally, with the change in requirements regarding volunteers, this branch started maintaining a spreadsheet to keep track of volunteer applications and processed over 350 volunteers for the Department.

In 2011, the branch attended 11 job fairs, continued to promote job postings through Twitter and Facebook and used various areas of the National Guard and Reserve and the Employer Partnership of the Armed Forces.

Payroll Branch

The Payroll Branch experienced a major transition in 2011 due to the implementation of KHRIS. This branch continues to be responsible for employee pay and benefit administration, including monitoring and processing pay, retirement, workers compensation, FMLA, life and health insurance, W-2 information and unemployment benefits.

During 2011, Leslie Tindall



Leslie Tindall, Payroll Branch

served as the KHRIS lead trainer for HR professionals across state government in preparation for the April 2011 KHRIS implementation. Throughout this implementation, she worked to ensure her own job duties were met while she was assisting with this project.

She also served as the co-lead for Employee Self Service and Management Self Service for the DOC’s secondary user trainings. Additionally, staff members from the payroll branch assisted with the testing process on nights and weekend in preparation for the go-live date. Furthermore, due to KHRIS, the entire branch had to revamp all internal procedures and assist with training field staff to ensure all employees were paid in a timely and accurate manner.

In September 2011, the payroll branch worked diligently towards a “go-green” initiative by encouraging employees to stop receiving paper pay stubs. The branch worked with employees to ensure the proper use of the Employee Self Service and Manager Self Service to make changes to their payroll information as a way to conserve paper.

Communications Office

Director

Lisa Lamb

Public Information Officer
Todd Henson

Administrative Specialist
Julie Bowles

Vine Program Administrator
Kristin Smith

Victim Advocate
Tammy Lou Johnson

Victim Advocate
Alexis Williams



Lisa Lamb
Director of Communications

Communications Office

Overview

The Communications Office functions as the voice of the Department of Corrections.

They are responsible for getting the DOC's message out to the public. Lisa Lamb serves as the Director of Communications and Todd Henson serves as the Public Information Officer. Together

they respond to all media requests, be it a simple inquiry or more in depth requests involving interviews, inmates or tours of facilities.

The Communications Office prepares press releases on newsworthy events that happen within the department and distributes them to all media outlets as well as Justice Cabinet personnel.

The Communications Office also prepares talking points and presentations for the commissioner and her staff, prepares and publishes the quarterly newsletter "Inside Corrections," prepares and hosts the DOC Annual Awards Luncheon, handles all Central Office open records requests, as well as prepares and publishes the DOC Annual Report.

In addition to directing all the communications aspects of the department, Lisa Lamb also supervises the Office of Victim Services (OVS) and the victim services staff within the Office of Parole and Victim Services.

Highlights

The Communications Office stayed very busy during 2011 as there was a great deal of media attention focused on the department during this period.

In March, Governor Steve Beshear signed into law House Bill 463, a landmark criminal justice reform bill designed to decrease the state's prison population, reduce incarceration costs, reduce crime and increase public safety.



Todd Henson
Public Information Officer

This legislation brought about sweeping changes to the penal code and the Department of Corrections, not to mention a great deal of media attention. It was the result of a multi-year effort involving members of the executive, legislative and judicial branches, and offers real-world solutions to a burgeoning and costly prison population. It is estimated to save the Commonwealth \$422 million over the next decade.

Also, for the first time in our history, due to declining prison populations and a reduced recidivism rate, we were able to close a prison. The Frankfort Career Development Center was closed at the end of the fiscal year and the keys turned over to the Kentucky State Police to be used as their new training academy.

As mentioned above, the Communications Office also prepared and hosted the DOC's 2011 Awards Luncheon, which took place in conjunction with KCCD's annual conference in Lexington.

Office of Victim Services



Kristin Smith
Program Administrator

Office of Victim Services

Overview

The Office of Victim Services is the arm of Corrections that handles requests for information from victims pertaining to their offenders. Kristin Smith is the Program Administrator of OVS and Tammy Lou Wright and Alexis Williams-Hall serve as Victim Advocates. OVS staff provide a broad range of information-related services such as: explanation of inmate release dates and release type; restitution information; service referrals; and victim rights services. OVS also manages the Kentucky VINE line which now encompasses three fully-functioning victim notification services: Offender status (VINE), VINE Courts, and VINE Protective Order. OVS staff also travel the state to promote and train other criminal justice professionals and victim service agencies regarding the KY VINE Services and OVS functions.

Highlights

During 2011 OVS presented to the following groups: Circle of Hope (Northern Kentucky),

VINE User's Conference (Louisville), CLIME: Current Leadership Issues for Mid-Level Executives, (Glasgow and Richmond), PECC: Police Executive Commander Course (Glasgow and Richmond), Attorney General's Victim's Conference (Frankfort), JailTracker Conference (Glasgow), Parents of Murdered Children (Grayson), Probation and Parole (Lexington), Focusing on Treatment and Care Conference (Somerset), Sheriff's Conference (Louisville), and KA-SAP (Lexington).



Tammy Lou Johnson
Victim Advocate

In addition to presenting, OVS exhibited the KY VINE Services at the following: Kentucky Association of Chiefs of Police (Lexington), Sheriff's Conference (Louisville), Adanta Focusing on Treatment and Care Conference (Somerset), KASAP (Lexington), and the JailTracker conference (Glasgow).

OVS staff also assisted with Parole Board victim hearings during the months of July through November. Staff also assisted in obtaining and scheduling Domestic Violence training for the Parole Board members.



Alexis Williams
Victim Advocate

Tammy Lou Wright, Victim Advocate participated on the Penal Code Task Force.

Program Administrator, Kristin Smith, attended the national SAVIN conference in Washington, DC and was also selected to attend a VINE Protective Order focus group held in Baltimore, MD.

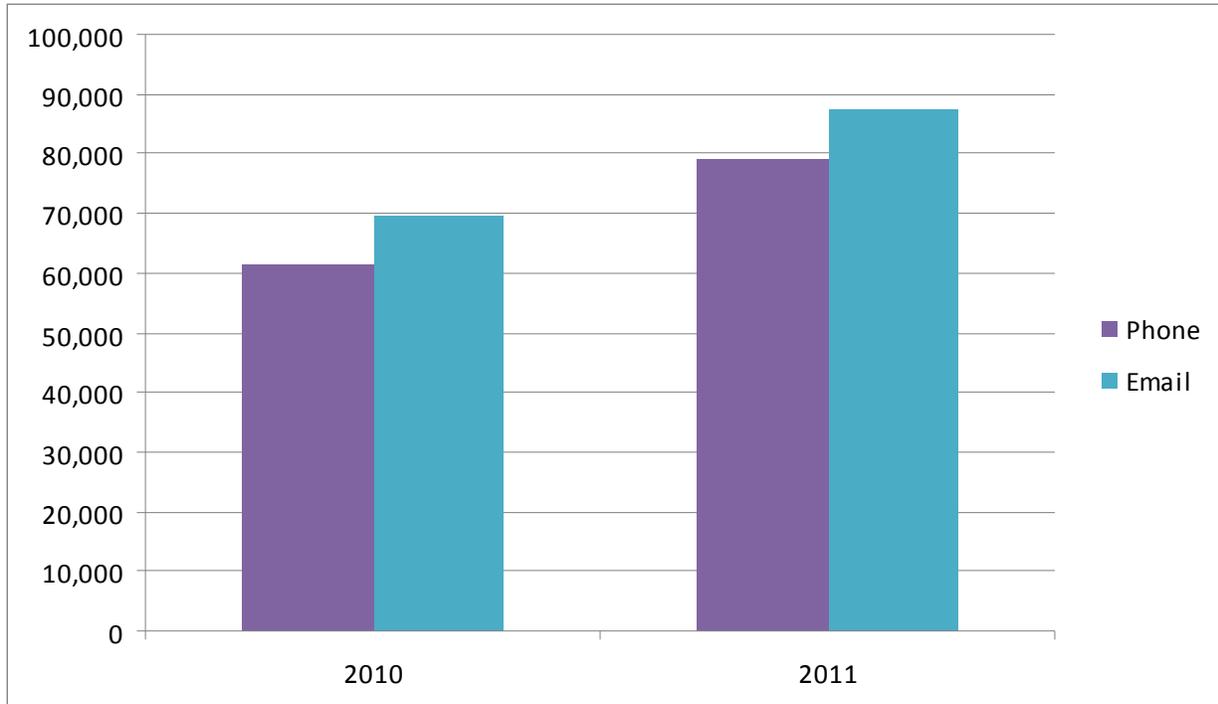
OVS added three new notifications to the KY VINE services to accommodate House Bill 463: Post Incarceration Supervision, Sex Offender Post Incarceration Supervision, and Mandatory Reentry Supervision. OVS made advanced notices on upcoming Mandatory Reentry supervision releases November 15th through November 17th. These early notifications totaled 2, 724.

During 2011, VINE and VINE Courts saw a 27% increase in notifications and acquired 53,167 new registrations. Total VINE searches for the year of 2011 totaled to 1,487,044. The total number of notifications for 2011 for all three VINE services was 172,769. VINE Protective Order also had a 26% increase in notifications.

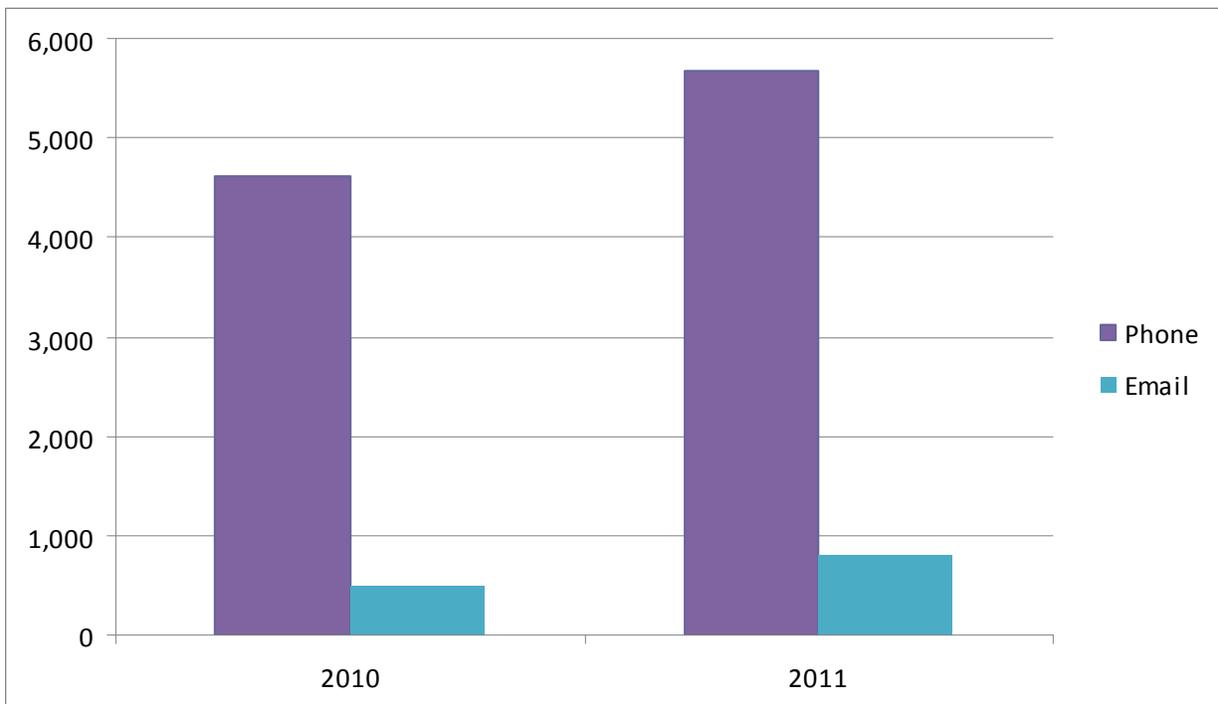
Office of Victim Services

Kentucky VINE Services Statistics

VINE Offender Status and Court Hearing Notification Comparison



VINE Protective Order Notification Comparison



Office of Support Services

Deputy Commissioner Kimberly Potter-Blair

- Executive Secretary
Sharon Brassfield
- Information and Technology
Branch Manager
Craig Thatcher
- Offender Information Services
Branch Manager
John Hall
- Re-Entry
Branch Manager
Brigid Adams
- Administrative Services
Division Director
Steve Castle
- Contract Management
Branch Manager
Eric Buckley
- Classification/Population
Division Director
Paula Holden
- Division of Parole and Victim
Services
- Corrections Training
Division Director
Chris Kleymeyer



*Kimberly Potter-Blair
Deputy Commissioner
Office of Support Services*

infrastructure, offender management systems, and custom applications/reporting.

The Branch includes two sections: Applications and Networking. The Applications department is tasked with supporting our Kentucky Offender Management System, Corrections Website, and other custom applications. The Networking department is tasked with maintaining the Wide Area Network, PC/Server maintenance, and phone systems.

In 2011, the Application section developed a new intranet site for the Department, developed a veteran's blog page, initiated and

administered social media accounts for Facebook, Twitter and YouTube, implemented a new inmate transfer process and implemented phase I of House Bill 463.

In 2011, the Network section began the network modernization project, implemented offsite backup for all file servers at all DOC facilities, implemented equipment leasing for Dell laptops, desktops and servers, and upgraded surveillance systems at three DOC facilities and began surveillance upgrade projects at four other facilities.

Craig Thatcher left the Department of Corrections to pursue a career in the private sector. Terry Terrell was appointed as the Information and Technology Branch Manager on December 1, 2011. He transferred to the DOC from the Cabinet for Health and Family Services.

The Information and Technology Branch is in a constant state of change delivering solutions and services to our end users by utilizing available resources and taking advantage of shared resources from other state agencies while impacting the end-user as little as possible.

Information and Technology Branch

The Information and Technology branch is charged with supporting and maintaining the systems and infrastructure that are driven by the business needs of the department. This includes the management and oversight of network



*Craig Thatcher, Branch Manager
Information and Technology*



*Terry Terrell; appointed as the
new IT branch manager Nov. 1.*

Office of Support Services

Offender Information Services Branch

The Offender Information Services Branch is charged with the responsibility of calculating the sentences of the entire inmate population in accordance with all court orders, laws, policies and regulations pertaining to inmate sentence calculations as well as maintaining an inmate file on all offenders currently in the custody of the Department. During 2011, a total of 14,210 inmates were released by shock probation, parole or upon completion of their sentence and this office serves as the last line of defense in ensuring that each of those offenders was released on the exact day they were eligible for release. Additionally, Offender Information Services is responsible for fielding questions from the court system, law enforcement agencies and the general public; responding to correspondence from the inmate population; and processing open records requests for documents contained in inmate files. This tremendous responsibility is shared among the 26 staff members of the Branch which include a Branch Manager, two administrators, four supervisors, thirteen Offender Information Specialists and six office support staff.

Offender Information Services is divided into four sections which are each responsible for various duties that contribute to ensuring that every inmate's sentence calculation is completed accurately and that all inmates are considered for parole at the appropriate time.

The first of the four sections is "Initial Calculations". These staff members are responsible for verifying the sentence calculations for offenders newly committed to the custody of the Department of Corrections. During 2011 the average number of sentence calculations processed by the initial calculation section was nearly 1000 per month.

After verifying the parole eligibility for all inmates considered for parole, the "Parole Board/File Management" section oversees the release on parole of approximately 400 inmates each month. In addition, staff from this section maintains the electronic inmate files for every offender who is currently incarcerated by scanning and uploading more than 2500 documents each month.

The third section within the Branch is the "Jail Management" section. This section serves as the institutional records office for all state inmates housed in the county jails and community service centers. They perform all of the routine records processes for each of the approximately 6,000 inmates housed in county jails and halfway houses. This includes calculating additional sentences, lodging and releasing detainees and approving releases by shock probation or upon completion of sentence.

The fourth section handles what is referred to as "Good Time", which equates to time an inmate can earn that is then deducted from his or her prison sentence. As a result of disciplinary action, previously awarded good time



*John Hall, Branch Manager
Offender Information Services*

may be forfeited. Staff in this section process between 6,000 and 7,000 actions a month, including awards of meritorious good time, educational good time, program credits, good time loss, good time restoration, and work for time credit. This section is also responsible for reviewing the sentence calculations and approving the release of every inmate who is released from an institution.

The Offender Information Services Branch has also successfully implemented the application of Parole Compliance Credit, a monthly credit awarded to parolee's for compliance with the conditions of their supervision, as mandated by The Public Safety and Offender Accountability act of 2011. Lastly, in a coordinated effort with staff throughout the Department, Offender Information staff reviewed and made preparations for the release of nearly 1000 additional inmates on Mandatory Reentry Supervision as 2011 came to a close. Mandatory Reentry Supervision is a form of supervised release for the final six months of an inmate's sentence, which was also mandated by the aforementioned legislation.

Office of Support Services



*Brigid Adams, Branch Manager
Re-Entry / HIP Branch*

Reentry / Home Incarceration (HIP) Branch

The Reentry Branch strives to increase public safety and improve recidivism rates by enhancing resources available to offenders' transitioning from incarceration to the community. This requires that all branches of the Department collaborate to build a seamless reentry process.

The Reentry Branch oversees the Home Incarceration Program (HIP). HIP enables Class C and D offenders with non-violent and non-sexual crimes the opportunity to serve the last nine months of their sentence on home incarceration. The program provides the offender with the ability to secure a job, reestablish family ties, and participate in organized pro-social events like church or volunteering. The offender may be an active participant in the community while being monitored. The HIP staff includes nine Classification and Treatment Officers, who are responsible to work with offenders in local jail

facilities and halfway houses to determine eligibility for the program, and develop home placements.

In 2011, a total of 1,173 inmates transferred to the HIP program compared to 953 in 2010. Also, the number of successful HIP completions increased from 719 in 2010 to 998 in 2011. Of those transferred to home incarceration in 2011, 404 were still actively participating in the program on January 1, 2012. The Department continues to utilize Leimac Contracting LLS, as its electronic monitoring vendor.

The Reentry Branch, located in Central Office, includes Reentry Branch Manager Brigid Adams, Program Administrator Cheryl Million, Program Administrator Mavis McCowan, Administrative Specialist III's Melissa Moore and Tanya Stevens.

Highlights

House Bill 463 expanded the amount of time that individuals were eligible for the Home Incarceration Program. The HIP program had been a six month program and on June 8, 2011 became a nine month program. This change was significant and required HIP staff to review many more offenders for participation in the program. Staff was able to begin the reviews in May to determine eligibility based on the expanded timeline, with many HIP releases under the new guidelines occurring as soon as House Bill 463 was enacted.

The Reentry Branch oversees the



*Cheryl Million, Reentry
Program Administrator*

Second Chance Act Demonstration Grant that increases supports for offenders returning to Jefferson County through the following initiatives: the validated risk and needs assessment tool (Level of Services / Case Management Inventory); dedicated reentry coordinator positions in six prison facilities; dedicated reentry parole officer positions in the Jefferson County supervision districts; funding to support implementation of evidence-based programs throughout the state; services in Jefferson County to support job readiness and employment placement; a Safe Location program to enable offenders in a troubling situation the opportunity to stay at a halfway house, and receive counseling, meals and overnight accommodations; programs to engage the families of offenders through mentoring children of incarcerated parents and providing art therapy to offenders and their families; and support for the network of reentry councils across the state.

The Reentry Branch participated in trainings with Adult Institutions and Probation and Parole to discuss House Bill 463, the risk

Office of Support Services

and needs assessment tool and the development of programs and reentry efforts. Trainings were held at prisons in April and May, 2011, and Probation and Parole from May to August, 2011. They were well-received and provided an opportunity for staff to discuss initiatives, including the LS/CMI.

The Reentry Branch began closely monitoring the LS/CMI and its “opportunities” when information was entered incorrectly. In April, 2011, DOC had completed approximately 5,000 assessments, but there were approximately 1,500 errors in the data. Staff was not entering the correct identification numbers, entering last names in the first name section or was not completing the assessment. As of December 31, 2011, DOC staff has completed approximately 18,700 assessments with only 20 identification number errors. This is a significant improvement that must be credited to the hard working staff of the DOC and their attention to detail.

In September 2011, the Reentry Branch created a newsletter, The Tool Kit, to provide information to staff about reentry initiatives throughout the state. The monthly newsletter has articles about activities at central office, resources in the community, and the efforts of reentry staff in prisons and parole offices. Each edition highlights a success story from a reentry coordinator or parole officer.

In November 2011, a monthly newsletter, Homeward Bound, was created to provide information to offenders in prisons, jails,



Home Incarceration Program (left to right): Administrative Specialist III's Melissa Moore, and Tanya Stevens and Program Administrator Mavis McCowan

halfway houses and the community. This newsletter works to provide information about resources available throughout the state and to educate offenders about myths and facts related to reentry. The Reentry Branch has seen an increase in the letters requesting information about resources since the initial newsletter was released. Future newsletters will include a “Frequently Asked Questions” area where staff will be able to address those questions that offenders typically ask so that offenders get the same message.

The Reentry Branch continues to provide staff support to the Governor’s Reentry Task Force. The Task Force was created by Executive Order in April, 2009 to encourage state agencies and community partners to work together to address reentry barriers. In 2010, the Task Force identified the top three reentry barriers, and discussed the root causes of those barriers. In 2011, the committee has worked to identify solutions to address the root causes. The

Steering Committee looked at solutions that required increased collaboration as well as solutions that required legislative changes. The Steering Committee then created legislative proposals to eliminate reentry barriers. These proposals were presented to the Executive Committee of the Task Force in October. The Steering Committee will review and prioritize the legislative recommendations in January, 2012 for consideration during the legislative session.

The DOC was selected as a Transition from Prison to the Community model site in 2009. This has enabled a significant amount of strategic planning to address reentry needs at all levels of the corrections system. This award of technical assistance has been vital to moving forward with the reentry initiatives in 2011. It is expected that the DOC will begin working on a closeout plan for 2012 that will review the accomplishments and develop prospective initiatives for reentry.

The Reentry Branch holds monthly meetings with institutional reentry coordinators and reentry parole officers to share information on resources, develop strategies to assist high risk offenders and determine needs that may be addressed through collaboration with local reentry task forces. The Reentry Branch has expanded its reach to additional stakeholders through the network of local reentry taskforces. Staff from institutions and probation and parole typically attend reentry taskforce meetings throughout the state.

Division of Administrative Services

Director

Steve Castle

Assistant Director

Hilarye Dailey

Contract Management Branch

Eric Buckley

Fiscal Branch

Vacant



*Steve Castle, Director
Administrative Services Division*

Overview

The Division of Administrative Services is responsible for an array of resource and operation supportive functions including contract management, budget, accounting, procurement, property and insurance, financial analysis, and auditing.

The Division's Fiscal Branch is responsible for budgeting, accounting, financial analysis, auditing, and procurement functions for the Department. For FY 2011, the procurement section managed and administered over 55 contracts for the Department, including the Jail Substance Abuse Program contracts. The Department's \$475 million budget is managed through the Director's Office in conjunction with the

Fiscal Branch. In addition, Fiscal Branch and Administrative Services staff process and oversee thousands of payments through the state's eMARS accounting system. Annual institutional cash and inmate account audits, as well as special financial investigations, are also handled through the Fiscal Branch.

The Contract Management Branch is responsible for managing and monitoring all private prison and halfway house contracts in the Commonwealth. These contracts provide the Department with over 3,700 inmate and community beds.

The Department's property, assets and insurance are handled through the Property Section. Once again, property insurance policies were reviewed and updated to insure that all institutions were covered with replacement cost coverage.

Highlights

The Division of Administrative Services successfully implemented the FY11 Budget while actively insuring funds were available to sustain the operational requirements of the Department.

The Division fully executed the Department's FY11 \$475 million budget while managing budget reductions. Administrative Services continued to provide resource support related to the Northpoint Training Center disturbance in areas of insurance, property, claim filing and collection.

During FY11, the Division increased internal controls and initiated a more stringent review of inmate medical claims and services. The Division continues to play a significant role in the management of the medical services contract with the University of Kentucky.

The Division completed the 2012-14 Biennial Budget request for the Department, including all special reporting requirements related to HB463.

The Corrections Management appropriation absorbed a budget reduction while still funding all operational requirements. Inventory reviews were completed and property insurance was updated. On behalf of the Department, fiscal staff filed claims and collected \$5,840,591.96 in insurance proceeds from the NTC disturbance for FY11.

The Community Services and Local Facilities appropriation successfully funded all operational requirements for FY11. Staff insured the Department was able to make full payment to the county jails just as it had in FY09 and FY10. Jail Substance Abuse contracts were continued, enabling the Department to further advance substance abuse recovery initiatives and services to the inmate population. Fiscal staff initiated Jail Substance Abuse contract compliance reviews.

Fiscal staff deposited drug testing receipts in the amount of \$1,498,824.26 and worked with the Division of Probation and Parole to improve internal controls

Division of Administrative Services

to mitigate receipt of outdated money orders. Staff also renewed 64 copier contracts, worked with Probation and Parole to standardize equipment and assisted in the ACA accreditation process by utilizing a state contract for fire extinguisher inspections.

The Community Corrections program received three years of completed audits from the Auditor of Public Accounts. As a result of the audit findings, Administrative Services and Local Facilities staff overhauled the application and compliance process. A comprehensive training was held for grantees with an additional training to be held in 2012.

The Adult Institutions appropriation sustained operational man-

dates while funding numerous rate increases such as hazardous duty retirement contributions, employee insurance, worker's compensation, technology charges and rising utility costs.

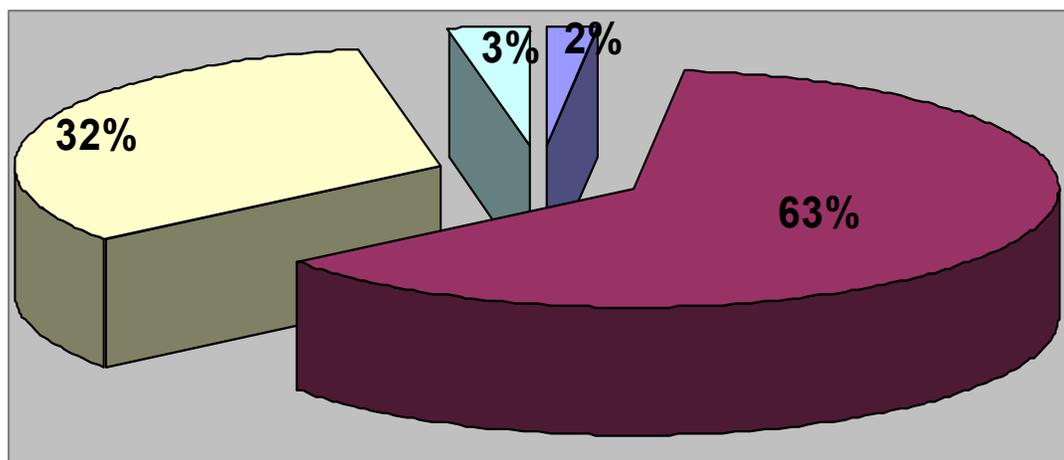
The Contract Management Branch continued to manage private prisons, halfway houses and Recovery Kentucky Centers. Staff members spent numerous hours reviewing and issuing reports, investigating complaints, interviewing inmates and staff, and providing recommendations to Department leadership in addition to recommending and drafting intent to fine notices to contracted facilities. In addition, staff of the branch completed timely and comprehensive inspections of all of these facilities.

The Division conducted two fiscal managers meetings and constructed a comprehensive resource guide for fiscal managers and their staff. The next meeting is scheduled for March 29-30, 2012 at General Butler State Resort Park.

The Division issued two solicitations on behalf of the Kentucky Centralized Inmate Commissary, Inc. Contracts were subsequently awarded for accounting services and annual audit services.

The Division was also instrumental in assisting with the closure of the Frankfort Career Development Center regarding the inmate canteen, contracts, medical, fixed assets, insurance and property.

2011 Budget	CORRECTIONS MANAGEMENT	ADULT INSTITUTIONS	COMMUNITY SERVICES	LOCAL JAIL ALLOTMENT	TOTAL
PERSONNEL	\$ 8,113,251.00	\$ 186,839,544.59	\$ 34,044,604.95	\$ 33,945.79	\$ 229,031,346.33
OPERATING	\$ 1,267,837.24	\$ 44,544,904.99	\$ 4,644,651.12	\$ 444,199.14	\$ 50,901,592.49
CARE/SUPPORT		\$ 62,741,208.75	\$ 115,517,761.71	\$ 13,624,700.00	\$ 191,883,670.46
CAPITAL OUTLAY		\$ 3,316,870.95			\$ 3,316,870.95
TOTAL	\$ 9,381,088.24	\$ 297,442,529.28	\$ 154,207,017.78	\$ 14,102,844.93	\$ 475,133,480.23



■ CORRECTIONS MANAGEMENT
 ■ ADULT INSTITUTIONS
 ■ COMMUNITY SERVICES
 ■ LOCAL JAIL ALLOTMENT

Division of Administrative Services

FISCAL YEAR 2011 COST TO INCARCERATE					
	TOTAL FY11	ADP	DAILY COST	FY10	DIFFERENCE
BCC	\$ 11,959,045	585	\$ 56.01	\$ 55.46	\$0.55
BCFC	\$ 4,341,292	295	\$ 40.32	\$ 37.98	\$2.34
EKCC	\$ 28,662,026	1,703	\$ 46.11	\$ 44.32	\$1.79
FCDC	\$ 3,384,212	191	\$ 48.54	\$ 46.07	\$2.47
GRCC	\$ 18,360,720	967	\$ 52.02	\$ 51.05	\$0.97
KCIW	\$ 19,002,904	657	\$ 79.24	\$ 73.90	\$5.34
KSP	\$ 23,149,245	854	\$ 74.27	\$ 68.68	\$5.59
KSR	\$ 56,719,074	1,999	\$ 77.74	\$ 79.46	(\$1.72)
LLCC	\$ 20,170,759	1,083	\$ 51.03	\$ 50.63	\$0.40
LSCC	\$ 17,959,222	1,009	\$ 48.76	\$ 47.19	\$1.57
NTC	\$ 19,712,081	650	\$ 83.09	\$ 86.50	(\$3.41)
RCC	\$ 21,296,268	1,085	\$ 53.78	\$ 60.03	(\$6.25)
WKCC	\$ 15,611,679	617	\$ 69.32	\$ 72.05	(\$2.73)
MAC	\$ 14,077,161	817	\$ 47.21	\$ 46.03	\$1.18
OCCC	\$ 10,279,252	638	\$ 44.14	\$ 54.82	(\$10.68)
	\$ 284,684,942	13,150	\$ 59.31	\$ 59.42	\$ (0.11)
JAILS	\$ 93,529,170	7,341	\$ 34.91	\$ 35.94	\$ (1.03)
HALFWAY HOUSES	\$ 8,658,627	683	\$ 34.73	\$ 33.43	\$ 1.30
	\$ 102,187,797	8,024	\$ 34.82	\$ 34.69	\$ 0.13



Division of Classification/Population Management

Director

Paula F. Holden

Program Administrators

Brad Adams
Kiern Fannin
Amanda Scott
Lisa Teague
Jennifer Tracy

Administrative Specialist
Annyette Fleming



*Paula Holden, Division Director
Classification/Population*

Overview

The Population Management Division/Classification Branch is responsible for oversight of classification actions in all state institutions, contract facilities, and full-service county jails.

Inmate custody levels for Kentucky felons are determined through an objective based risk instrument that was developed through the assistance of the National Institute of Corrections

(NIC). The classification manual is reviewed and updated in accordance with recommendations from validity and reliability studies, also conducted with the assistance of the National Institute of Corrections.

The Division conducts annual training for all classification staff addressing any classification issues and changes in policy, procedure, and statute.

The Division is responsible for implementing and updating policies to ensure compliance with changing statutes and system changes.

The Division reviews all inmate transfer and furlough requests, jail disciplinary reports, jail detainees, jail additional sentences and security priority admission requests.

The Division monitors the population of all state institutions, contract facilities and county jails. When necessary, movement is directed by division staff in order to alleviate overcrowding in county jails.

The Division Director oversees the operation of the Assessment Centers currently located at the Roederer Correctional Complex, and Kentucky Correctional Institution for Women and directs admissions/transfers statewide.

Highlights

In February 2011, Director Holden, along with a team from Kentucky DOC visited the Missouri Department of Corrections to ob-



Standing Left to Right: Brad Adams, Lisa Teague, Kiern Fannin, Amanda Scott and Jennifer Tracy.

serve two reentry facilities as well as their overall reentry initiatives. Director Holden also attended a reentry conference in Nevada in April.

The Division assisted in the closure of the Frankfort Career Development Center. Division staff spoke with each inmate individually about their transfers. Division staff then reviewed all transfer authorizations. The Division Director coordinated all movement out of FCDC. All inmates were transferred by June 2, 2011.

Program administrators Brad Adams and Kiern Fannin participated in the Commissioner's Executive Leadership Program.



*Annyette Fleming
Administrative Specialist*

Division of Parole and Victim Services

Division of Parole and Victim Services

Internal Policy Analyst IV
Melissa Clark

Administrative Law Judges
Nancy Barber
Eric Bates
Kimberly Morris



Melissa Clark
Internal Policy Analyst

Overview

The Division of Parole and Victim Services is responsible for completing all administrative duties of the Parole Board as determined by policies, statutes and regulations. The division consists of four sections: Victim Services, Support Services, Risk Assessment, and Revocation.

Victim Services is responsible for victim and prosecutor notification as required by statute. The Support Services section handles incoming calls and correspondence, performs administrative duties during parole hearings, enters the Parole Board's decisions and maintains the parole eligibility lists. The Risk Assessment section is responsible for compiling Parole Guidelines Risk Assessments for all hearings conducted by the Board with the exception of parole violation hearings. The Revocation Section issues and processes parole revocation warrants. They also prepare parole violator packets and perform administrative duties for final revocation hearings.

This Division along with the entire Department had the task of

implementing several provisions of HB 463. One of the first effects on the Parole Board was considering offenders 60 days prior to their parole eligibility. This doubled their caseload for the first three months and required several administrative processes, including victim notification, to be reevaluated in order to make implementation successful.

The Kentucky Parole Board now has more stringent deferment limits. For Class C and Class D offenses the maximum deferment that can be given at one time is 24 months. For all other cases, a two-member panel can order up to 60 months. Beyond that the case must be referred to the full board which can order up to a 120 month deferment with the exception of offenders serving on life sentences. In cases such as these, the full board can still order an offender to serve the remainder their life sentence.

In order to apply the deferment limits to all offenders considered by the Parole Board, the bill requires reconsideration of offenders that previously received more than 60 months without a full

board vote. Once these offenders have served 60 months of their previously ordered deferment they will receive a reconsideration hearing.

The bill also establishes two new types of supervision, Mandatory Reentry Supervision (MRS) and Postincarceration Supervision (PS).

MRS must be ordered by the Parole Board the last six months of a sentence for offenders serving more than a two-year term, those who are eligible for parole by statute, are not convicted of a Capital or Class A offense, have a custody level of medium or lower, are not subject to a period of Postincarceration supervision, have six months or more to serve after their most recent admission to the custody of the Department, and have not been released on MRS during the same incarceration.

PS must be ordered on offenders convicted on or after June 8, 2011 for a period of one-year when they are discharged from either their sentence or parole supervision. To qualify for PS, the offenders must be convicted of a Capital or Class A felony, have a custody level of maximum or close custody, or are not eligible for parole by statute. The Parole Board will set standard conditions for MRS and PS and have the responsibility to conduct revocation proceedings much like parole violators.

Victim Services staff in this Division are supervised by the Department's Communications Di-

Division of Parole and Victim Services



Eric Bates
Administrative Law Judges

rector, Lisa Lamb. These staff members are responsible for victim and prosecutor notification regarding parole as required by statute. Over 3,400 letters are sent out annually requesting their input in the parole process. Approximately 1,700 victim impact statements are received annually. There is also a dedicated toll-free line for victims. Victim impact hearings provide an educational opportunity for both victims and Parole Board members. The hearings help to personalize each crime and allow the Board to better understand the effects of the crime on the victims and their families. Information gleaned from victims in their victim impact statements is confidential. Victim impact hearings may be open or closed to the public, depending on the wishes of the victim. When a hearing is closed, only the Parole Board has access to the victim's testimony.

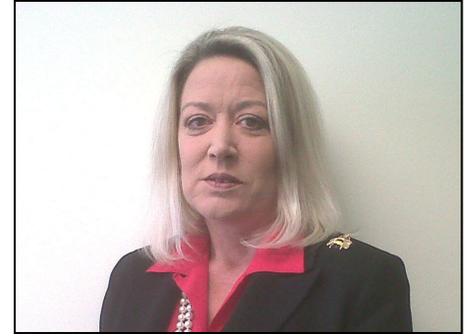
The Support Services section handles incoming calls and correspondence, performs administrative duties during parole hearings, enters the Parole Board's decisions and maintains the parole eligibility lists. Approximately 25,000 pieces of incoming mail are received annually. These



Nancy Barber
Administrative Law Judges

are processed, scanned into the Kentucky Offender Management System (KOMS), and some require further response or action. Support Services processed approximately 1,000 reconsideration requests in 2010. They also perform administrative duties such as maintaining the parole eligibility list, arranging the Board's monthly hearing schedule, handling their travel requests, processing "back to board" requests and completing the minutes for all weekly meetings conducted by the Board. They also record parole board decisions in KOMS and audio tape parole hearings.

The Risk Assessment section is responsible for compiling Parole Guidelines Risk Assessments for all hearings conducted by the Board with the exception of parole violation hearings. The Parole Guidelines Risk Assessment is an objective tool used by the Parole Board when making release decisions. These guidelines contain an offense severity index along with a risk assessment score based on static and dynamic risk factors that provide the Board with information as to the inmate's likelihood of success under parole supervision. How-



Kimberly Morris
Administrative Law Judges

ever, parole remains discretionary. These guidelines enhance the Board's credibility and accountability and make the parole decision-making process more consistent.

The Revocation Section consists of an administrative staff as well as the Administrative Law Judges. The administrative staff issue and process parole revocation warrants. They also prepare parole violator packets and perform administrative duties for final revocation hearings. Administrative Law Judges conduct preliminary revocation hearings, which are proceedings to determine if there is probable cause to believe that a parolee has violated the terms and conditions of their parole supervision. If the Administrative Law Judge makes a finding of no probable cause, the case is dismissed and the offender is returned to supervision. If the Administrative Law Judge finds probable cause, the offender is scheduled for a final hearing with the Parole Board.

In 2011, the Division of Parole and Victims Services employed three full-time Administrative Law Judges: Nancy Barber, Eric A. Bates and Kimberly Morris.

Division of Corrections Training

Director

Chris Kleymeyer

Regional Branch Managers

Central Region

Steve Faulkner

Eastern Region

Glen Hance

Western Region

Donnie Youngblood



*Chris Kleymeyer, Director
Division of Corrections Training*

Corrections Training Division

The Division of Corrections Training (DCT) is charged with planning, coordinating and conducting training for three diverse populations of correctional professionals within the Commonwealth of Kentucky.

The DCT develops the majority of the new employee and continuing education curriculum for Correctional Officers and staff of Kentucky's twelve adult correctional institutions, Probation and

Parole Officers and staff of the nineteen Probation and Parole Districts and annual training for elected Jailers and their staff within the 120 counties of Kentucky.

Training Methodology for Correctional Officers

Currently, a new corrections officer will complete the Corrections Officer Basic Course (COBC) by attending New Employee Orientation (NEO) training at the institution in which they were hired. The Institutional Training Coordinator (ITC) oversees the NEO instruction and the Phase I portion of the COBC. The Phase I portion of COBC is equivalent to 40 hours of classroom training delivered by Computer Based Training (CBT) within the institution's computer lab. After completing the NEO and Phase I of COBC at the hiring institution, the trainee will complete Phase II of the COBC at one of the three training centers. Upon completing Phase II of COBC, the new correctional officer returns to



Corrections Officer Basic Course (COBC) Training

their hiring institution for the remainder of their eight month probation period. Upon return to their institution the newly trained correctional officer will receive an additional 40 hours of Officer Common Core and Officer In-Service training annually.

Training Methodology for Probation and Parole Officers

Currently, a new probation and parole officer completes the Probation and Parole Officer Basic Course (P&POBC) by attending New Employee Orientation (NEO) at the Probation and Parole Office in which they were hired. The Assistant District Supervisor oversees the NEO and Phase I of the P&POBC. The Phase I portion of the P&POBC is equivalent to 40 hours of classroom instruction delivered by Computer Based Training (CBT) on the new probation and parole officer's assigned laptop computer. After completing the NEO and Phase I of the P&POBC, the new officer completes Phase II of the P&POBC at the Central Region Training Center. Upon completing Phase II of the P&POBC, the new officer returns to their assigned probation and parole office for the remainder of their six month probation period. Upon return to the district, the newly trained probation and parole officer will receive an additional 40 hours of Probation and Parole In-Service training annually.

Division of Corrections Training



New Employee Orientation (NEO) training

Elected County Jailers and their Deputies

Currently, Kentucky Revised Statutes require the Department of Corrections to provide, at no cost to the jailers, a minimum of 40 hours of annual training for elected jailers and 16 hours of annual training for their staff.

The DCT develops an annual curriculum in cooperation with a curriculum committee appointed by the Kentucky Jailers' Association. The DCT provides the deputy jailer curriculum to county jails for delivery by members of the jailer's staff, who have been trained by the DCT, to act as adjunct instructors.

DCT staff from the three regional training centers also conducts numerous iterations of the Annual Deputy Jailer Training throughout the Commonwealth at locations requested by the Kentucky jailers.

The deputy jailer CBT curriculum is available for any jail staff that needs the training in addition too, or in lieu of, the classroom training. The elected/appointed jailers meet the majority of their 40 hour annual training requirement by attending one or both of the annual Kentucky Jailers' Association Conferences.

Regional Branches

During 2011 the Department continued to progress on an initiative to establish the three regional training centers on institutional grounds and conduct training on a regional basis. While implementing regionalization, the Department realized an initial annual savings of fixed costs in excess of \$288,429.16.

Prior to the regionalization initiative, all departmental training occurred at the Louisville Corrections Training Center. During the last year of operation, the Louisville facility was billing the Department \$177,753 for annual rental, \$9,356 for utilities and \$4,320 for janitorial/maintenance services.

The Department also incurred an annual cost of \$97,000 for dormitory space at the University of Louisville. Trainees would also typically incurred \$375 in meal costs while attending the Correctional Officers Basic Course at the Louisville Corrections Training Center in addition to their

mileage and overtime costs associated with their travel.

After implementation of regionalization, the Department was able to provide meals to the trainees within the correctional facilities, which are adjacent to the regional training centers, at a cost of \$0.826 per meal. Typical meal cost, per trainee, while attending the Correctional Officers Basic Course, is currently \$37.17, a savings of \$337.83 in meal costs per trainee.

Efforts to regionalize training have resulted in the elimination of real property rental costs, the associated maintenance and janitorial costs for rental properties, reduced meal costs and significantly reduced the number of departmental employees who require overnight accommodations to attend training. Since January 2005, the DCT has constructed four buildings with a total of 27,298 square feet of space for training (an increase of 9,990 square feet more than the 17,308 square feet in the old Louisville facility) at a cost of \$1,452,857. Three of the four buildings were constructed primarily with inmate labor on institutional grounds. The ERTC building was commercially built on institutional grounds. As of January 2012, the fixed costs savings of \$2,307,443 exceeds the amount of money spent on the construction of the four new buildings.

Office of Adult Institutions

Deputy Commissioner James Erwin

Professional Standards Unit
Kimberly Whitley

Program Administrator
Jeff Hulker

Operations Branch Managers
Gunvant Shah
Martha Slemph
Susan Williams
Mary Godfrey

Policies and Procedures
Meredith Sanford

Kentucky Correctional Industries
Director
Tom Cannady

Medical Services Division
Director
Dr. Scott A. Haas

Mental Health Division
Director
Kevin Pangburn

Office of Research and Grants
Ruth Edwards
Melissa Harrod



*James Erwin
Deputy Commissioner
Office of Adult Institutions*

Professional Standards Unit

The newly formed Professional Standards Unit, comprised of three staff, is responsible for coordinating, overseeing, conducting and monitoring matters involving Internal Affairs, the Prison Rape Elimination Act (PREA), and Security Threat Group (STG) matters within the divisions of Adult Institutions, Probation & Parole, Local Facilities and Community Service Centers. The unit also collaborates

with other federal, state and local law enforcement agencies providing intelligence, investigative services and support to their investigations. Additionally, the unit provides training in their main areas of responsibility.

Program Administrator Jeff Hulker, serves as the PREA liaison and maintains the central repository of all related reports. He also coordinates the STG program to include providing notification and information to other agencies. He is also responsible for the review, coding and data management of all Extraordinary Occurrence Reports (EOR) generated within adult institutions, serves as liaison with the Fusion Center, is the point of contact for agencies granted access to Kentucky Offender Management System (KOMS) and is the agency representative on the local Joint Terrorism Task Force.

Internal Affairs Supervisor Jonathan Collett serves as the primary central office investigator. He performs all criminal background and driving history checks for central office and Kentucky Correctional Industries. He also



*Kimberly Whitley,
Professional Standards Unit*



*Jeff Hulker
Program Administrator*



*Jonathan Collett
Internal Affairs Supervisor*

Operations and Program Services

monitors and oversees the inmate phone systems, the urinalysis process and is the criminal prosecution liaison for the Northpoint Training Center riot criminal cases.

Operations and Program Services

The Division of Operations/Program Services is responsible for providing operational and programming support to the state's 12 adult correctional facilities. Additionally, the division is responsible for capital construction, inmate education and vocational programs and inmate food services.

Capital Construction

The Capital Construction Management Branch (CCMB) manages construction and maintenance activity and oversees funds allotted for capital construction and equipment purchases appropriated by the General Assembly for the Department of Correction's 12 penal institutions.

The staff consists of seven employees, including a registered engineer, a registered architect, three project managers, an internal policy analyst and an administrative assistant. Each member of this staff plays a vital role in each project all the way from inception through completion. CCMB also established and coordinated seminars for Arc Flash training, Housing Building and Construction training as well as Heating-Ventilation and Air Conditioning regulation training for all DOC maintenance staff.



*Gunvant Shah
Capital Construction Branch*

The CCMB began FY11 with 79 projects with allotments totaling \$121,843,484 and ended FY11 with 87 projects with allotments totaling \$132,675,940. These totals also represent projects carried forward from previous bienniums that are currently still active. The FY10-12 appropriations totaled \$5,500,000 which includes both investment income and bond funds appropriated by the General Assembly. In FY11, the CCMB opened 17 new projects and closed 16. Two energy savings projects were completed in FY11 at KSP and WKCC.

CCMB is currently managing energy projects for seven DOC facilities that will result in energy savings of \$1,997,018 per year.

In addition to maintenance activities, this branch is responsible for designing small construction projects and provides assistance on OSHA & environmental matters, operational guidance on water and sewage plants and troubleshoots engineering and maintenance problems.

CCMB also prepares, coordinates

and submits the six-year capital plan and the biennial capital budget request for the Department. These requests are facilitated through the effective communication and coordinated efforts with the Finance and Administration Cabinet, Facilities Management and the Governor's Office for Policy and Management.

In FY11, \$105,000 was saved by doing major repairs rather than replacement of kitchen equipment and \$11,000 was saved by using in-house designs. CCMB also prepares EPA air permits and reviews the annual report on air emissions; handles underground storage tank installation and removal; and performs asbestos sampling for the 12 institutions, thus resulting in substantial savings to the DOC. Routine inspections of steam traps has resulted in reduced steam loss. The CCMB has made a major effort to utilize the available resources of the Department, especially in the area of inmate labor. The Department has been able to effectively stretch the Miscellaneous Maintenance budget, enabling the completion of many needed projects that would not have possible utilizing conventional contract methods.

Educational and Vocational Programs

June 30, 2011 concluded the first year following the passage of House Bill 164, which transitioned Corrections Education from the Kentucky Community & Technical College System (KCTCS) to the Kentucky De-

Operations and Program Services



Martha Slemp, Educational and Vocational Programs Branch

partment of Corrections. The Bill permitted DOC to emphasize and award “industry-recognized certifications/credentials” throughout the vocational and technical trades. Theoretically, the transition would eliminate the general education classes required for trade completion; however, the Department permitted vocational and technical students six months to complete their trade program previously initiated through the Community & Technical College System. The Department maintains its relationship with KCTCS through the evening college program, which was designed for offenders.

The Corrections Education Centers continue to provide both traditional and non-traditional vocational/technical programs to offenders. The Department’s leadership, education faculty and offender population have embraced the transition and support future enhancements on the horizon. Each Correctional Education Center developed a Comprehensive Education Plan (CEP) as well as a PowerPoint presentation emphasizing the key goals and

objectives of the individual education centers throughout Kentucky.

The Department’s Adult and Vocational Education Branch completed the 2011 school year with significant academic and vocational education accomplishments. The academic opportunities include literacy essentials, adult basic education, and GED preparatory classes and testing. A total of 575 GED’s were awarded in FY 2011. The 12 state-owned adult correctional institutions awarded 453 of the 575 GED’s, which was a 24.7% increase from FY 2010. There were also 1,539 certifications that were awarded in FY 2011; an increase of 20.9% from FY 2010. Additionally, 35 offenders completed their Associate’s Degree through KCTCS in FY 2011 and 634 offenders enrolled in the evening college program.

In FY11, the Department more aggressively emphasized the study and completion of the National Career Readiness Certificate (NCRC), previously referred to as the Kentucky Employability Certificate. The NCRC is a division of ACT® and affiliated with Kentucky’s Education and Workforce Development Cabinet. The portable credential is powered by Workkeys®, an assessment tool of ACT®. Throughout Kentucky’s adult institutions (state and private), the Correctional Education Centers awarded 427 NCRC’s in FY 2011. This is a 7% increase from FY 2010 and a 63% increase since FY 2009.

The Department maintained a

Corrections Education partnership with Eastern Kentucky University’s Training Resource Center (EKU/TRC). The EKU/TRC’s educational enhancement programs included seven Microsoft Office programs and seven Cabling/Networking programs (introduction, copper-based and fiber-optic). At the close of FY 2011, an additional 744 “industry-recognized certifications” were awarded to offenders from participation in these programs; a 44% increase from FY 2010.

Corrections Education significantly enhanced partnerships with various state agencies, Post-secondary Education Institutions and Industries, specifically working with Kentucky Correctional Industries (KCI) to provide education program credits for the Braille Transcription and Xerox Career Training at the Kentucky Correctional Institution for Women and the Luther Lockett Correctional Complex respectively. In addition, the DOC Education Branch cooperated with the Energy and Environment Cabinet to award Wastewater Treatment Operator I and II certifications upon completion of the training program and state examination.

Food Services

The goal of the Food Service Branch is to provide each inmate a wholesome and nutritious diet within a set budgetary allowance. Meals are prepared and served under strict sanitary conditions according to regulations set by the Kentucky Department for

Operations and Program Services



Susan Williams
Food Service Branch

Health Services as well as the American Corrections Association food service and sanitation standards.

The Department maintains its partnership with Aramark Correctional Services (ACS) who operates the 12 state-run institutional food service areas. ACS provides expertise in food service operations as well as volume buying power which combine to create efficient and quality food service operations. The DOC oversees the operations to ensure all regulations are met and policies are followed.

Each institution follows a "Master Menu" planned by ACS dietitians in accordance with recommended daily allowances as recognized by the National Academy of Science and approved by the Department dietitian. Annual resident inmate surveys are conducted and the results of this survey, along with input from institutional personnel, are taken into consideration when revising the Master Menu and improving daily food service operations.

Menu items are prepared for the main line with limited or no salt, limited seasonings, reduced frying with vegetable oils, and restricted fat or meat based seasonings. It is the goal of the Department to improve diet through healthy cooking on the main line. The long-term benefit being reduced medical costs for the inmate population.

Food Service also feeds one meal per shift to staff while on duty. The Department feels while this benefits staff morale; it also provides additional security in the dining rooms during the meal period.

During 2011, an additional position, District Food Operations Evaluator, was established to conduct inspections and assist in monitoring the food service contract. This position has been valuable to the Department as closer contract monitoring has made the vendor more responsive to the Department and has resulted in improved food service operations.

In 2011, the Food Services Branch partnered with the Capital Construction Branch to improve food service equipment efficiency and maintenance with reviews of equipment issues before decisions are made to repair or replace. This partnership has not only maintained costs in this area but saved the Commonwealth significant dollars by repairing equipment, whenever cost effective, instead of purchasing new.

There has been increased participation in the I2W program con-

ducted by Aramark. This inmate food service training program provides inmates with practical work experience in the food service field. It also includes certification from Serv-Safe, a nationally recognized food safety and sanitation course. Throughout the course, inmates learn not only practical knowledge and its application but are taught interview skills, how to present themselves professionally and how to maintain a job. This increased participation benefits both the inmates and the institutional food service operations by developing better trained inmate workers and improving the attitude of these workers in their assigned job roles.

Programs Branch



Mary Godfrey
Programs Branch

The Program Branch was developed in the Spring of 2011 and consists of the Branch Manager and an Internal Policy Analyst III. This Branch researches, develops and implements institutional inmate programs to meet the needs of the inmate population as identified by a risk needs assessment tool. The Branch also provides information and input to

Operations and Program Services

Probation and Parole in their initiative to provide evidence supported programs for probationers and parolees, allowing a continuum of care from institutions to the community.

In 2011, as part of the Reentry KARE initiative, a subcommittee on Programs was formed. This committee consisted of a program staff person from each institution and is chaired by the Programs Branch Manager. The committee identified programs and activities/clubs already in place in the institutions, researching gaps that needed to be addressed to provide inmates with evidence based programs to meet their criminogenic needs according to the risk needs assessment.

Research was conducted on a national level to identify programs that were evidence based and that met the eight criminogenic needs. Criteria was developed that included, evidence based programs supported by fidelity studies in like populations, cognitive behavioral approach, meeting the eight criminogenic needs and the ability to include as many inmates as possible.

The following programs were identified as meeting the criteria: Moral Reconciliation Therapy (MRT) as the base line program, to be implemented along with a similar program, Thinking for a Change; Pathfinders, a living skills program; and InsideOut Dads a parenting program for male inmates.

A female parenting program was identified at KCIW as a promis-

ing practice. Lesson plans are being produced to allow the program to be expanded to WKCC.

MRT, Thinking for a Change and InsideOut Dads (24/7 Dads) will be used in the continuum of care from the institutions to the community to include Probation and Parole.

In the Spring of 2011, "The Road Ahead", an informational presentation, was developed and delivered at each institution for program staff. This presentation included information about the LS/CMI, HB-463, Program requirements and statistical data provided by the LS/CMI.

A "Program Manual" is in the process of being developed.

The Programs Branch Manager also deals with issues involving religion. A contract was signed in April 2011 renewing the Islamic Imam's involvement with the DOC for one year. The contract requires review on an annual basis. During 2011 volunteer involvement was researched and policy changes now provide a procedure for maintaining volunteer identification at institutions; addresses training necessary to become a certified volunteer and provides a master list of volunteers and banned volunteers at each institution.

The Programs Branch Manager also has the following responsibilities: is a member of the KARE committee; is a member of the Reentry Steering Committee; is a member of the Governor's Executive Reentry Commit-

tee; and attends community meetings concerning Faith Based re-entry initiatives.

Policies and Procedures



Meredith Sanford
Policies and Procedures

The Procedures Development Coordinator is responsible for the development, revisions and procedural review of all DOC Policies and Procedures. A review of all Institutional Policies and Procedures (IPP's) is conducted annually and feedback is given as needed. This office also provides procedural process to Legal Services and the Legislative Research Commission.

In 2011 several new Corrections Policies and Procedures (CPP's) were created to address specific guidelines required by HB 463. They are as follows: CPP 12.1 - Risk-Needs Assessment; CPP 12.2 - Case Planning; CPP 25.12 - Home Incarceration Program; CPP 5.3 - Program Evaluation and Measurement; and revised CPP 15.4 - Program Credit.

Northpoint Training Center's IPP's were the only successfully approved policies that were processed through the LRC in 2011.

Kentucky Correctional Industries

Director

Tom Cannady

Assistant Director

Charles Wilkerson

Operations Branch

Neil Hille

Fiscal Branch

Roger Korby

Sales / Marketing Branch

Yvonne Board

Farms Branch

Truman Tipton

Print Operations

Vacant



*Tom Cannady, Director
Kentucky Correctional Industries*

Overview

Kentucky Correctional Industries (KCI) comprises 23 industries and four farms operating at 11 locations within Kentucky's prison system. It has been producing quality goods and services for government agencies, private businesses and the citizens of the

Commonwealth for over 50 years. KCI Currently employs approximately 770 inmate workers within those industries and 30 to 100 inmate workers on the farms as seasonal needs dictate.

Our products and services are designed and manufactured by skilled inmate craftsmen. Motivated men and women are voluntarily employed in jobs that develop marketable skills, responsibility and a positive work ethic. The job opportunities provided through KCI are highly sought after by our inmate population due to their relatively high pay and learning opportunities.

KCI strives to provide a work environment that as closely as possible matches what would be available on the "outside". There is an interview process, pay increases based upon performance evaluations, a time clock to punch in and out and opportunities to work in a team environment. As a result the KCI products and services offered are of high quality and competitively priced. Consequently, our prisons are more effectively managed, society is delivered significantly less repeat offenders, and the tax dollars needed from the citizens of the Commonwealth are greatly reduced.

Highlights

A new Branch Manager position has been created over print operations for KCI. This role will manage all print and mail services including Braille transcription and printing. We expect this position to be filled in the next 30-45



*Charles Wilkerson, Asst. Director
Kentucky Correctional Industries*

days. We are looking to expand digital print operations to BCC and WKCC as resources allow. This will enable us to better serve customers in these geographic markets.

Lexington Fayette Urban County government has expressed interest in KCI providing a single source print provider much like the arrangement we have with Louisville Metro Government. However they are requesting that the work occur in Fayette County. That will require expanding our operation to the BCC facility.



*Neil Hille, Operations Branch
Kentucky Correctional Industries*

Kentucky Correctional Industries



*Roger Korby, Fiscal Branch
Kentucky Correctional Industries*

KCI continues to evaluate the profitability and demand for particular products and services. We have discontinued screen printing at KCIW. We are considering relocating this operation to KSP where it would be used for purposes not available to the general public.

Institutional mattress production has moved from LSCC to BCC freeing up space at LSCC for the wood shop.

Embroidery has ceased production for all but DOC needs and is operated by one to two inmates at the LLCC facility.

Proctor & Gamble approached KCI about expanding the volume of coupon scanning services we perform for them. This is a low overhead operation that has been very profitable for us the last several years. It is yet to be determined if the entire expansion will be contained within the EKCC facility or require additional operations to be set up at other institutions. Initial estimates at this time indicate a four fold increase in the volume of coupons to be scanned.

Our partnership with Louisville Metro Government and Xerox continues to grow. We have renegotiated the service level agreement with Xerox resulting in a 17% reduction in monthly costs and the addition of a 4-color off set press to augment our production capacity and available print services. This additional equipment will give the print shop the ability to meet nearly all of Louisville Metro's printing needs. With this anticipated sales boost from the new equipment, a large percentage our expense costs will be reduced.

Our first class of 6 inmates to complete the "Corrections to Career" certification process from Xerox is expected to graduate in February 2012. They have completed the class room study portion and are now working hands-on with the Xerox i-Gen equipment. These graduates will be certified by Xerox in the operation of i-Gen equipment, which will enhance their ability to gain meaningful employment upon release from incarceration.

The farms enjoyed their best year since reopening nineteen years



*Truman Tipton, Farms Branch
Kentucky Correctional Industries*

ago, due in part to the record prices for cattle, corn and beans. All farm positions are currently filled and the recent hires show promise of staying in the system and advancing in the future.

Several small pieces of farm equipment have been replaced along with two trucks. It appears that we will also be able to replace two tractors this spring after the crops are planted with profits generated from last years crops and cattle.



*Yvonne Board, Sales/Marketing,
Kentucky Correctional Industries*

Six months into the current fiscal year our sales are running approximately \$1 million ahead of last year. With that good news however is the reality that our operational expenses have increased dramatically. Lease costs associated with the Xerox service level agreement are the biggest factor in those increases. New revenue streams associated with the off set printing equipment mentioned above along with the potential increases from Proctor & Gamble and a new sales representative developing the Louisville regional markets will help us approach breaking even for the fiscal year.

Medical Services Division



*Dr. Doug Crall, Director
Medical Services Division*

Medical Services Division

The Division of Medical Services provides administrative oversight and direction for all aspects of inmate health care, including pharmacy operations, medical, psychiatric, dental and nursing services, post-hospitalization and end of life care. In addition to ensuring that all inmates receive health screenings, physical exams, primary care, specialty care referrals and emergency service, the Division also provides for chronic care clinics designed to monitor and treat inmates with the following chronic care conditions: Asthma, Diabetes, Epilepsy, Hepatitis C, High Cholesterol, High Blood Pressure and HIV infection.

Chronic care services focus on prevention in order to prevent deterioration and hopefully reduce the need for higher levels of care. All care is provided in accordance with state and federal law, state administrative regulations, American Correctional Association standards and the standard of care for the practice of correctional medicine. In addition, a peer review process is in place in which the practice and documentation of health care by

each provider is bi-annually reviewed by another provider within the Department of Corrections (DOC).

Hospitalization services are provided by a number of hospitals through an established network of providers. The Kentucky Corrections Health Services Network (KCHSN) is a system providing negotiated rates with hospitals and specialty providers across the state. This network is the result of a collaborative effort between the DOC and the University of Kentucky.

The implementation of the KCHSN has resulted in consistent savings of nearly 40% for all secondary care services. In addition, claims processing and verification of bills has resulted in millions of dollars in savings by eliminating payments for errant, duplicate and non-authorized charges.

Pharmacy services are provided through a contract with Diamond Pharmacy in Indianapolis, PA. Through regularly scheduled pharmacy and therapeutics committee meetings and aggressive formulary management, pharmacy cost control has been more successful in Kentucky than most other correctional systems.

Dialysis is provided at the Kentucky State Reformatory through a contract with University of Kentucky nephrologists. In 2011, the contract was amended to provide care for up to 20 inmates requiring dialysis.

Expansion of the medical unit at

Roederer Correctional Complex is nearly complete. It will add a new infirmary with five observation beds. This addition will decrease the need for transferring inmates requiring medical monitoring. The addition of Certified Medical Technicians at the Kentucky Correctional Institution for Women has allowed more efficient use of existing nursing staff.

The Division has successfully implemented a secure Electronic Health Record in all of its correctional facilities. The system is web-based, allowing HIPAA compliant access to all inmate medical records from any location with internet accessibility. In 2011, an electronic Medication Administration Record was implemented in four facilities with statewide implementation anticipated soon. This allows for real time monitoring of medication compliance resulting in higher quality and more cost effective care.

Psychiatric services were enhanced this past year by the utilization of psychiatric nurse practitioners and by implementation of tele-psychiatry at seven institutions. The new tele-medicine equipment has also allowed statewide implementation of tele-medicine HIV clinics, thus eliminating transportation costs and enhancing public safety.

This office also coordinates all movement of county jail inmates with catastrophic medical conditions as well as inmates who are pregnant. In 2011 there were over 100 pregnant inmates brought into the DOC from county jails.

Mental Health Division



*Kevin Pangburn, Director
Mental Health Division*

Overview

The Division of Mental Health and Substance Abuse provides administrative and clinical oversight for all psychological, sex offender and substance abuse services provided to the inmate population. Services may also be provided to offenders supervised on probation and parole. All offenders receive a comprehensive appraisal upon admission to the Department of Corrections. This evaluative process assists in determining necessary clinical programs and services.

Sex Offender Services

The Department of Mental Health Sex Offender Services consists of three components: Sex offender risk Assessment Advisory Board (SORAAB), Sex Offender Risk Assessment unit (SORA) and the Sex Offender Treatment Program (SOTP).

2012 accomplishments for these areas include: SORAAB conducted a complete audit of all approved providers statewide resulting in an accurate listing of all clinicians qualified to provide sex

offender assessment and treatment. This list assists the courts in selecting qualified professionals. The board also re-wrote the treatment regulations to address the differing risks/needs of different types of sexual offenders. SORA instituted video-conferencing which saved 1,592 travel miles for a cost savings of \$748.30 over two months. They also developed a research database containing recidivism data on approximately 1,200 former inmates for research projects to be completed in 2012. SOTP presented a coordinated training with Probation and Parole to address issues related to community-based sex offender services, as well as, organized and implemented a three day statewide training on the topics of Computer-Based Sex Crimes and Treatment of Special Needs Sexual Offenders. SOTP provides Sex Offender treatment Services to approximately 850 inmates at any given point in time.

Psychological Services

The Mental Health staff contacted nearly 50,000 inmates in DOC facilities. Presently, participants in four of our programs receive a 90 day good time credit including: Phoenix Program at KSR, CHANGES in the CPTU at KSR, STAR in the CPTU at KSR and Challenges in the Psychiatric Care Unit at KCIW.

Two additional Second Chance Act grants have been secured to aid in the expansion of programming. One would allow for an additional co-occurring mental health and substance abuse pro-

gram at KCIW and the other will provide family-based interventions via tele-health services for inmates in substance abuse treatment. All the programs currently in place assist in the effective re-entry of inmates and preliminary data shows all programs have had an impact on reducing recidivism.

Substance Abuse Programs

We continue to expand the number of male and female substance abuse programs in jails, prisons and in the community to meet the increasing needs of inmates, parolees and probationers with chemical dependency problems. By comparison, in 2005 the DOC had a total of 475 substance abuse beds statewide. Today, the department has over 3,100 beds. Along with our Social Service Clinicians offering assessment and treatment services in each Probation and Parole Districts, the Kentucky Department of Corrections has become the largest provider of substance abuse services in the Commonwealth.

Programs are now available in eight prisons. There are also seventeen programs available in 15 of Kentucky's jails, 10 Recovery Kentucky facilities and 11 community programs.

The Division has developed an additional treatment option for those individuals who meet the criteria for a less restrictive form of substance abuse intervention. The Living in Balance Program is an evidence based program designed to be completed in approximately 90 days.

Office of Research and Grants



Ruth Edwards, Research

Research

The Research Internal Policy Analyst serves as the Department's representative on the Justice & Public Safety Cabinet's legislative team, assists with federal reporting requirements, coordinates corrections-related research projects, and serves as the central point of contact for the Office of Adult Institutions strategic plan.

2011 Accomplishments

Accomplishments during the 2011 General Assembly thirty-day session include the following:

Drafted three department bill proposals for consideration by the Justice Cabinet and sixty-three corrections impact statements to be submitted to the Legislative Research Commission (LRC);

Entered twenty-nine bill reviews into the Kentucky Legislative Review (KLIR) System;

Attended eleven Justice and Public Safety Cabinet legislative team meetings;

Attended fourteen legislative

committee meetings;

Coordinated the attendance of three groups of Department of Corrections employees at committee and full chamber meetings as part of their Commissioner's Executive Leadership Program experiential; and

Wrote and disseminated a summary of House Bill 463, the Public Safety and Offender Accountability Act.

The Research Internal Policy Analyst also conducted nine presentations for Classification and Treatment Officers.

During the interim period between legislative sessions the Research Internal Policy Analyst also does the following:

Participates in legislative planning meetings;

Attends interim joint legislative committee meetings;

Meets with legislators and others regarding the Department's legislative concerns; and

Addresses constituent requests submitted by legislators and the LRC Office of Constituent Services. There were 129 responses to such requests in 2011.

In addition to legislative activities, the Research function involves federal reporting and the coordination of surveys and research studies.

In 2011 there were nineteen federal reports submitted; twenty-

one surveys, polls, and requests for data addressed; 116 inmates letters were answered; and eighteen cooperative research projects approved.

The Research Internal Policy Analyst sponsored a Capstone project related to the DOC website in 2010. That work resulted in the DOC's new website being launched in mid-2011.

The Research & Statistics web page went live in mid-November. Enhancements include a new monthly inmate profile, a guide to cooperative research projects, and links to a variety research reports and other data.

In addition to coordinating strategic plans for Adult Institutions, the Research Internal Policy Analyst re-designed the annual planning documents for all adult institutions.

The new design is streamlined while still providing all the information required by CPP 1.10. In addition, it gives institutions the ability to use data from other sources, such as budget documents, to reduce duplication of effort.

Grants

The Grants Internal Policy Analyst is responsible for exploring grant opportunities with federal agencies, state agencies, and private foundations.

Additionally, the analyst is responsible for complete grant management from development of grant proposals, application

Office of Research and Grants



Melissa Harrod, Grants

submission, to ongoing financial and programmatic reporting, including necessary departmental grant coordination.

The analyst reviews potential grants in a creative and optimistic manner and coordinates grant plans with various outside agencies including non-profit service organizations, research institutions and other interested parties.

2011 Accomplishments

The Department of Corrections received a number of large grant opportunities that began implementation in 2011.

The Department received an additional \$1,000,000 for the Second Chance Act Demonstration Grant award for a total of \$1.75 million to continue to provide for additional reentry staff, training, community service contracts in Jefferson County, creation of local reentry taskforces throughout Kentucky and the implementation of an evidence-based, validated risk and needs assessment tool.

The DOC was selected to participate in the National Institute of

Corrections' Transition from Prison to the Community Initiative in 2009. This project continues to be a driving force for strategic planning for reentry. It also enables the Department of Corrections to have a consultant provide monthly technical assistance as we move forward in implementation of the Transition from Prison to the Community model for reentry.

The VINE Protective Order Project Grant award of \$1 million continues to provide for the notification of victims and other stakeholders when an individual has been served with a domestic violence protection order.

The Second Chance Act Co-Occurring Integrated Treatment Grant award of \$600,000 will support implementation of a co-occurring treatment unit at the Kentucky Correctional Institution for Women, as well as support integrated treatment for offenders returning to Louisville, Lexington or northern Kentucky.

The Second Chance Act Family-Based Substance Abuse Treatment Grant award of \$300,000 will support family-based treatment with offenders completing the substance abuse treatment programs in prison facilities and returning to the Louisville, Lexington or northern Kentucky area. Each of these treatment grants will be facilitated by video conference equipment in the probation and parole offices in the target communities.

The DOC receives an annual award for the Residential Sub-

stance Abuse Treatment program which supports the costs associated with providing therapeutic treatment communities within the prisons and jails. The award of more than \$580,000 is significantly higher than in the past five years because of the U.S. Congress' emphasis on drug treatment for state prisoners.

The DOC also received an award of nearly \$270,000 to support the education and vocational training of offenders under 35 years of age that meet specific eligibility criteria as defined by the Workforce and Community Transition Training for Incarcerated Individuals program through the U.S. Department of Education.

The DOC received a Comprehensive Approaches to Sex Offender Management grant in 2009 for \$192,200 which has provided training conferences focused on computer-based sex offenders and special needs sex offenders, and will provide additional infrastructure support for sex offender management in 2012.

The DOC has received over \$175,000 to support an ongoing partnership with Chrysalis House, Inc. to provide re-entry services for female offenders that have completed the substance abuse program.

The DOC received a \$150,000 Justice Assistance Grant to support new radios for the Division of Probation and Parole, which will enable probation and parole officers to communicate with local law enforcement during emergency situations.

Institutions

BELL COUNTY FORESTRY CAMP

560 Correctional Drive
Pineville, KY 40977

Warden: Gregory S. Howard

Secretary: Betsy Patterson

Phone: 606-337-7065

Fax #: 606-337-1312

BLACKBURN CORRECTIONAL COMPLEX

3111 Spurr Road
Lexington, KY 40511

Warden: Don Bottom

Secretary: Rose Sewell

Phone: 859-246-2366

Fax #: 859-246-2376

EASTERN KY CORRECTIONAL COMPLEX

200 Road to Justice
West Liberty, KY 41472

Warden: Gary Beckstrom

Secretary: Mariah F. Lacy

Phone: 606-743-2800

Fax #: 606-743-2811

FRANKFORT CAREER DEVELOPMENT CENTER (Closed effective July 1, 2011)

380 Coffee Tree Road
Frankfort, KY 40602

Warden: Kimberly Whitley

Secretary: Geneva Bogue

Phone: 502-564-2120

Fax #: 502-564-4968

GREEN RIVER CORRECTIONAL COMPLEX

1200 River Road, P.O. Box 9300
Central City, KY 42330

Warden: Randy White

Secretary: Amy Douglas

Phone: 270-754-5415

Fax #: 270-754-2732

KY CORRECTIONAL INSTITUTION FOR WOMEN

3000 Ash Avenue
Pewee Valley, KY 40056

Warden: Janet Conover

Secretary: Donna Dailey

Phone: 502-241-8454

Fax #: 502-243-0079

KENTUCKY STATE PENITENTIARY

266 Water Street
Eddyville, KY 42038

Warden: Philip Parker

Secretary: Teresa Hughes

Phone: 270-388-2211

Fax #: 270-388-5529

KENTUCKY STATE REFORMATORY

3001 West Highway 146
LaGrange, KY 40032

Warden: Cookie Crews

Secretary: Teresa St. Clair

Phone: 502-222-9441

Fax #: 502-222-0240

LITTLE SANDY CORRECTIONAL COMPLEX

505 Prison Connector
Sandy Hook, KY 41171

Warden: Joseph P. Meko

Secretary: Deborah Williamson

Phone: 606-738-6133

Fax: 606-738-6143

LUTHER LUCKETT CORRECTIONAL COMPLEX

Dawkins Road, Box 6
LaGrange, KY 40031

Warden: Clark Taylor

Secretary: Jennifer Fitzgerald

Phone: 502-222-0363/222-0365

Fax #: 502-222-8112

Institutions

NORTHPOINT TRAINING CENTER

P.O. Box 479, Highway 33
710 Walter Reed Road
Burgin, KY 40310

Warden: Steve Haney
Secretary: Sandy Mitchell
Phone: 859-239-7012
Fax #: 859-239-7560

WESTERN KY CORRECTIONAL COMPLEX

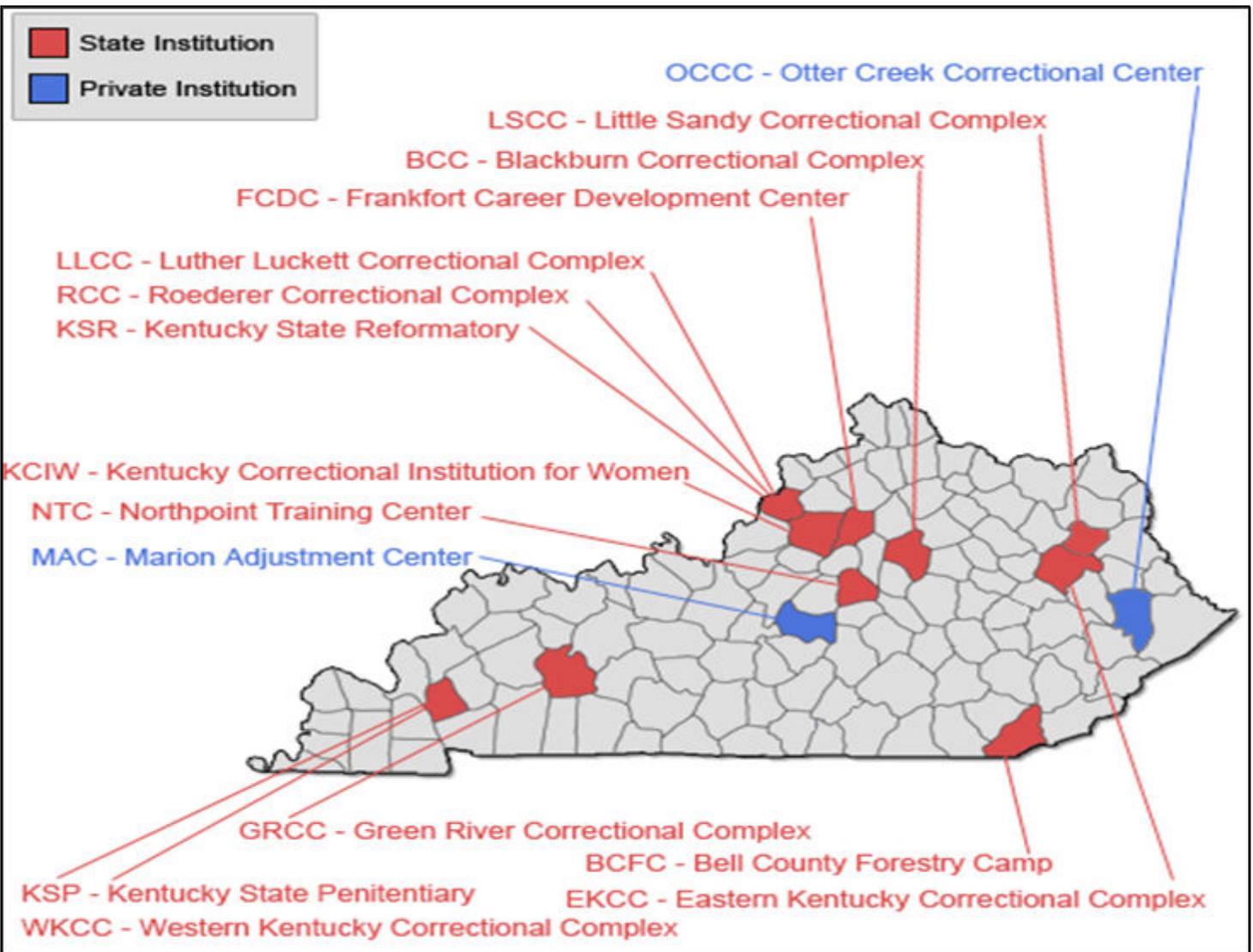
374 New Bethel Church Road
Fredonia, KY 42411

Warden: Bryan Henson
Secretary: Kim Ashley
Phone: 270-388-9781
Fax #: 270-388-0031

ROEDERER CORRECTIONAL COMPLEX

P.O. Box 69
LaGrange, KY 40031

Warden: James Sweatt
Secretary: Criettia Foree
Phone: 502-222-0170/222-0173
Fax #: 502-225-0084

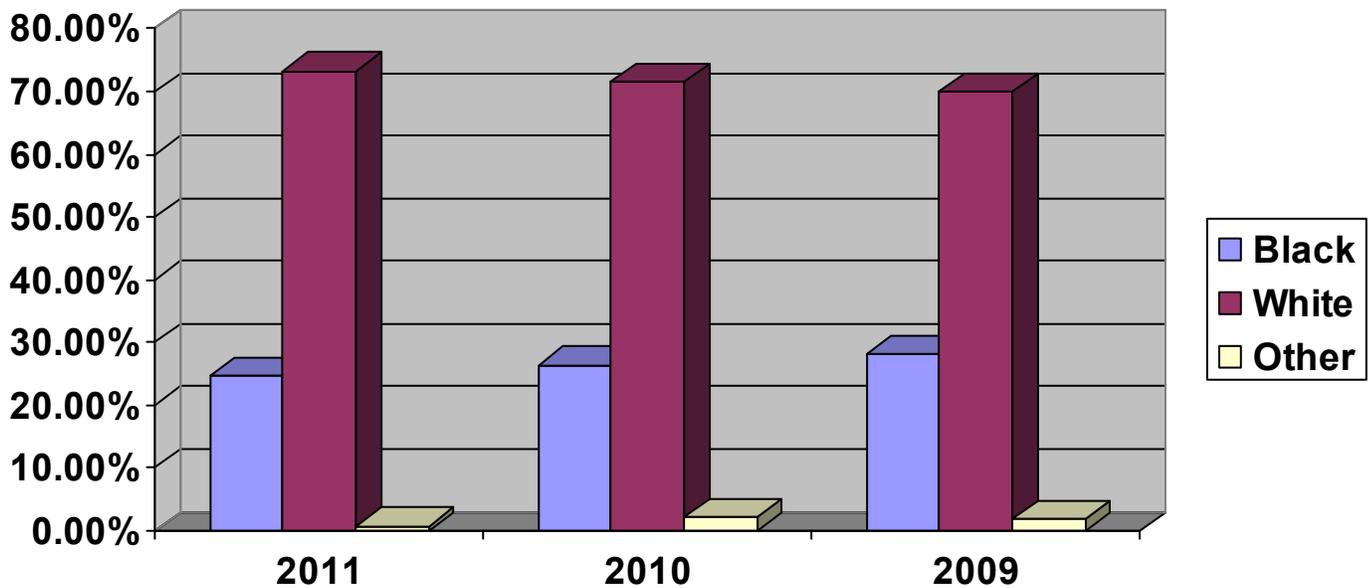


Population Data

All DOC Offenders Three Year Comparison By Demographic Data

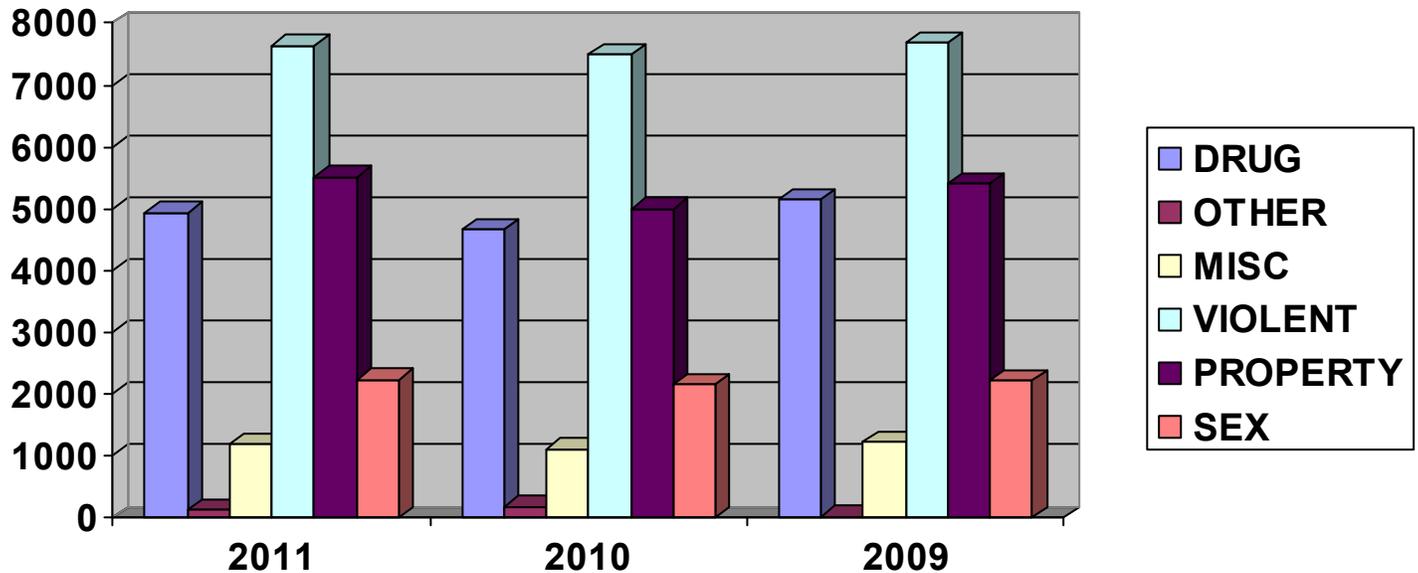
<u>Race</u>	<u>2011</u>	<u>2010</u>	<u>2009</u>
Black	24.7%	26.5%	28.1%
White	73.3%	71.5%	69.9%
Other	0.7%	2.07%	1.9%
Total	100%	100.0%	100.0%
<u>Type of Offense</u>			
Drug	22.9%	22.7%	23.79%
Other	.00%	0.82%	0.0%
Miscellaneous	5.5%	5.4%	5.6%
Violent	35.3%	36.4%	35.5%
Property	25.5%	24.3%	24.9%
Sex	10.3%	10.5%	10.2%
Total	100	100.0%	100.0%
<u>County Of Conviction (Top 3)</u>			
Jefferson	17.7%	18.7%	18.5%
Fayette	5.8%	6.1%	6.3%
Kenton	4.0%	3.9%	3.9%

All DOC Offenders Three Year Comparison By Race



Population Data

All DOC Offenders Three Year Comparison by Type of Offense



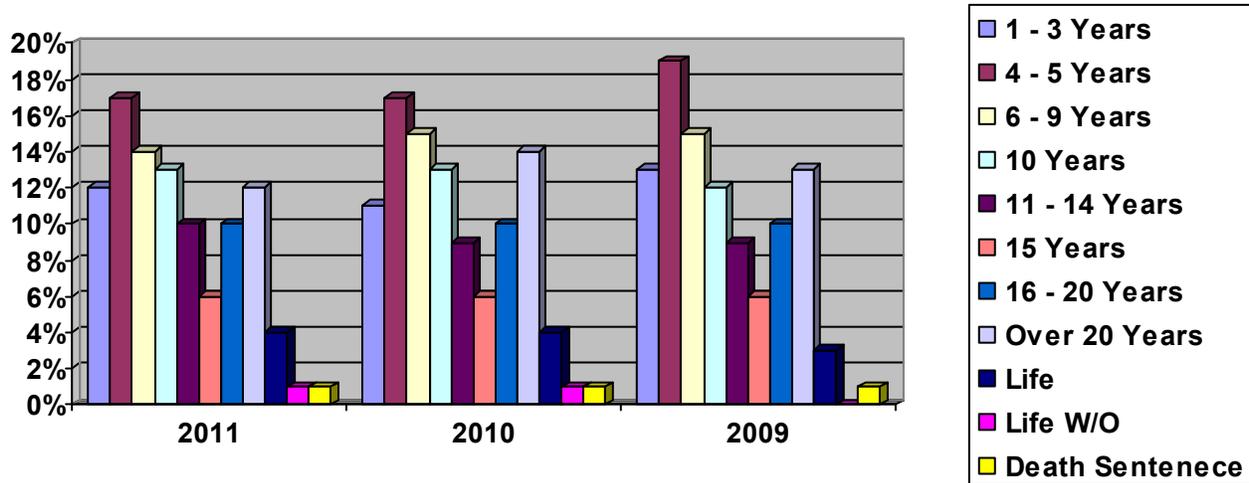
All DOC Offenders Three Year Comparison By Gender

	Female			Male			Total		
	2011	2010	2009	2011	2010	2009	2011	2010	2009
BCC				590	591	590	590	591	590
BCFC				288	299	299	288	299	299
EKCC				1711	1703	1703	1711	1703	1703
FCDC				0	205	203	0	205	203
GRCC				964	958	967	964	958	967
KCIW	579	543	567				579	543	567
KSP				870	810	885	870	810	885
KSR				1900	1984	1939	1900	1984	1939
LAC						249	0	0	249
LLCC				1074	1066	1079	1074	1066	1079
LSCC				1008	1005	1007	1008	1005	1007
MAC				817	823	822	817	823	822
OCCC			422		626		0	626	422
NTC				650	646	478	650	646	478
RCC				340	368	365	340	368	365
WKCC	681	634				682	681	634	682
CLASS D	783	623	713	5860	5004	5700	6643	5627	6413
OUT-OF-STATE	5	5	10	3	11	8	8	16	18
HALFWAY HOUSE	1465	635	713	1143	1741	1217	2608	2376	1930
TOTALS	3513	2440	2425	17218	17840	18193	20731	20280	20618

Population Data

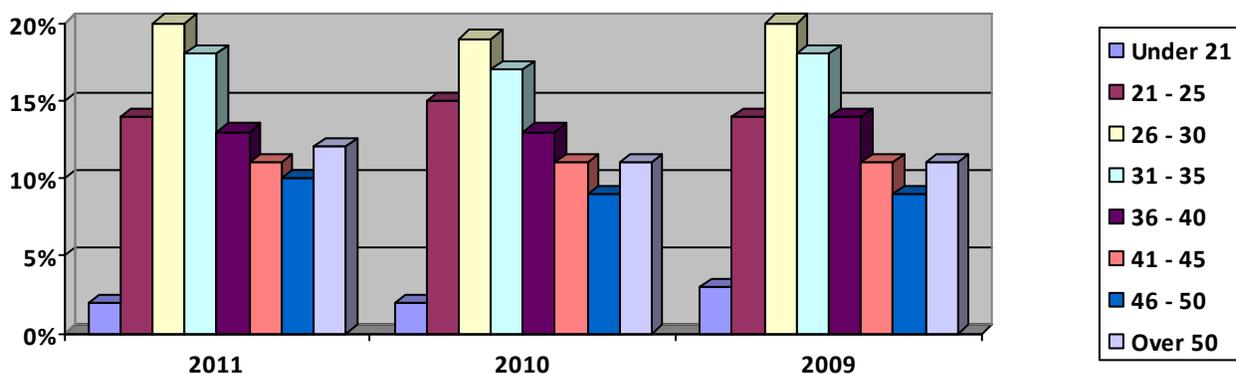
Population by Years to Serve / Three Years Comparison

<u>Years To Serve</u>	<u>2011</u>	<u>2010</u>	<u>2009</u>
1 - 3 Years	12%	11%	13%
4 - 5 Years	17%	17%	19%
6 - 9 Years	14%	15%	15%
10 Years	13%	13%	12%
11 - 14 Years	10%	9%	9%
15 Years	6%	6%	6%
16 - 20 Years	10%	10%	10%
Over 20 Years	12%	14%	13%
Life	4%	4%	3%
Life w/o	1%	1%	0%
Death	1%	1%	1%
Total	100%	100%	100%



Population by Age / Three Years Comparison

<u>Age</u>	<u>2011</u>	<u>2010</u>	<u>2009</u>
Under 21	2%	2%	3%
21 - 25	14%	15%	14%
26 - 30	20%	19%	20%
31 - 35	18%	17%	18%
36 - 40	13%	13%	14%
41 - 45	11%	11%	11%
46 - 50	10%	9%	9%
Over 50	12%	11%	11%
TOTAL	100%	100%	100%



Population Data

Admissions by County

County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Adair	10	1	91	23	0	0	131
Allen	2	1	57	7	0	0	68
Anderson	3	0	51	4	0	0	58
Ballard	7	1	65	17	2	0	93
Barren	23	2	148	20	2	0	199
Bath	2	0	43	7	0	0	52
Bell	14	2	235	52	1	2	306
Boone	41	3	285	54	3	0	397
Bourbon	10	0	55	10	0	0	76
Boyd	19	1	218	44	1	0	283
Boyle	29	3	84	12	1	2	132
Bracken	0	0	27	4	0	0	31
Breathitt	0	0	73	19	0	0	92
Breckinridge	10	1	95	8	1	0	115
Bullitt	18	1	254	21	1	0	299
Butler	1	0	82	15	1	0	99
Caldwell	23	1	46	13	0	0	83
Calloway	21	1	65	18	0	0	107
Campbell	89	6	345	47	1	2	498
Carlisle	2	0	33	4	0	0	39
Carroll	5	0	59	13	0	0	77
Carter	1	0	92	11	0	1	106
Casey	0	0	102	17	0	0	122
Christian	215	8	130	25	2	0	389
Clark	26	3	98	13	0	1	142
Clay	0	0	53	9	0	0	62
Clinton	0	0	72	10	0	0	84
Crittenden	1	0	39	7	0	0	47
Cumberland	2	0	23	7	0	0	32
Daviess	103	7	284	30	5	2	441

Population Data

Admissions by County

County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Edmonson	1	0	52	7	1	0	61
Elliott	0	0	24	3	0	0	27
Estill	0	0	77	21	0	0	98
Fayette	573	28	551	71	8	2	1261
Fleming	3	0	65	17	1	0	87
Floyd	2	1	134	15	0	0	152
Franklin	49	1	105	10	1	0	166
Fulton	51	2	45	7	0	2	110
Gallatin	1	0	42	0	0	0	43
Garrard	9	0	49	7	0	0	65
Grant	4	0	120	16	0	0	142
Graves	53	2	87	12	1	0	159
Grayson	2	0	145	27	0	0	174
Green	1	0	21	1	0	0	23
Greenup	2	0	127	30	0	0	160
Hancock	2	0	29	1	0	0	32
Hardin	146	15	366	61	5	1	604
Harlan	10	1	100	24	1	0	136
Harrison	11	0	50	9	2	0	75
Hart	15	1	64	12	1	0	93
Henderson	67	3	196	29	1	0	299
Henry	2	0	45	4	0	0	54
Hickman	21	5	22	3	0	0	51
Hopkins	40	3	152	10	2	0	209
Jackson	0	0	36	6	0	0	42
Jefferson	2091	100	1410	153	21	1	3833
Jessamine	22	1	132	22	3	0	181
Johnson	0	0	81	11	0	0	92
Kenton	221	5	528	77	13	0	853
Knott	0	0	46	13	1	0	60

Population Data

Admissions by County

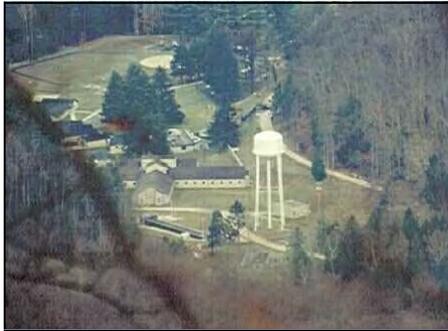
County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Knox	7	0	153	33	0	0	193
Larue	9	0	37	6	0	0	53
Laurel	5	1	351	53	0	1	412
Lawrence	0	0	56	13	0	0	69
Lee	0	0	36	5	0	0	41
Leslie	0	0	15	3	0	0	18
Letcher	2	0	92	17	0	1	112
Lewis	1	0	67	11	0	0	80
Lincoln	7	1	67	15	1	1	92
Livingston	0	0	44	13	0	0	57
Logan	32	2	111	12	1	0	161
Lyon	5	2	21	8	0	0	36
Madison	41	3	214	43	6	0	309
Magoffin	0	0	32	5	0	0	37
Marion	15	1	37	4	0	0	57
Marshall	0	0	104	19	0	0	124
Martin	1	0	50	4	0	0	55
Mason	40	3	122	14	3	0	187
McCracken	289	19	371	46	5	1	743
McCreary	0	0	100	26	0	0	126
McLean	0	0	36	3	0	0	39
Meade	6	0	99	11	0	1	117
Menifee	0	0	21	2	0	0	23
Mercer	9	1	71	10	1	0	92
Metcalf	1	0	33	3	0	0	37
Monroe	12	1	37	13	0	0	64
Montgomery	12	0	135	25	1	0	175
Morgan	0	0	34	12	0	0	46
Muhlenberg	19	2	173	39	1	1	236
Nelson	33	2	176	24	3	0	240
Nicholas	1	0	24	4	0	0	30

Population Data

Admissions by County

County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Ohio	2	0	132	14	1	0	152
Oldham	6	1	43	1	0	0	52
Owen	1	0	51	5	0	0	58
Owsley	1	0	35	7	0	0	43
Pendleton	1	0	59	4	0	0	64
Perry	6	0	132	30	0	0	168
Pike	4	1	197	27	0	0	229
Powell	0	0	84	14	0	0	98
Pulaski	7	0	270	72	2	0	355
Robertson	0	0	11	1	0	0	12
Rockcastle	0	0	83	20	0	0	103
Rowan	3	0	120	16	1	1	142
Russell	0	0	62	11	0	0	75
Scott	21	1	73	9	2	0	112
Shelby	49	1	39	7	1	0	103
Simpson	30	1	55	3	0	0	92
Spencer	0	0	29	1	0	0	30
Taylor	14	0	50	10	0	1	76
Todd	13	1	48	6	0	0	68
Trigg	14	0	26	3	1	0	44
Trimble	1	0	24	1	0	0	27
Union	16	0	63	7	0	0	86
Unknown	14	5	72	32	0	0	127
Warren	199	14	395	60	10	0	710
Washington	9	1	11	2	0	0	25
Wayne	5	0	111	29	0	0	145
Webster	8	2	41	2	0	0	53
Whitley	0	0	183	24	0	0	207
Wolfe	0	0	27	4	0	0	31
Woodford	13	0	32	9	0	0	58

Bell County Forestry Camp



Warden

Gregory S. Howard

Deputy Warden - Security / Programs

Lenn Neal

Administrative Assistant

Kimberly Stanaford

Address

560 Correctional Drive
Pineville, KY 40977

2011 Average Daily Population
255

Total Number of Staff
50

Security Level
Minimum

Overview

The Bell County Forestry Camp (BCFC) is a minimum-security institution established in 1962 as a satellite of the Kentucky State Reformatory at LaGrange, Kentucky.

BCFC is situated approximately 14 miles southwest of Pineville, Kentucky. BCFC grounds cover an area of approximately 15 acres in rural Bell County. There are 25 buildings on the compound that

include a dormitory that currently houses 300 inmates, divided into six wings.

The dormitory contains three caseworker offices and two temporary holding cells. In addition to the dormitory, there are other buildings, which consist of an academic school, administration building, procedures and training center, recreation hall, food service facility, library, inmate canteen, medical facility, caustic-toxic/maintenance building and a number of storage, utility and support buildings.

BCFC houses 300 inmates and employs a staff of 50 employees along with community volunteers in the areas of religion, substance abuse, and literacy training.

The primary program thrust is targeted at developing the living, learning, and working skills of the inmate to assist him in securing and maintaining adequate employment upon release. These opportunities include academic and technical education, constructive and relevant work assignments, recreational activities and inmate self help groups.

BCFC operates a water treatment plant to supply the institution with drinking water as well as a wastewater treatment plant.

Highlights

Recent Improvements and Accomplishments

BCFC decided to expand the number of inmate canteen items such as bread, fresh vegetables,



Warden Gregory S. Howard

eggs and meats. This expansion produced an increase in inmate canteen sales.

We purchased a new dishwasher for the inmate kitchen in order to meet health requirements. The dishwasher was obtained with the help of Central Office staff member Gunvant Shah at no cost, a savings of approximately \$15,000.

Security cameras were added to our Temporary Segregation Unit in order to provide more protection.

The inmate laundry received a new washer and dryer to replace the ones that were no longer operational.

BCFC also started a Spill Response Team consisting of staff and of inmates that will respond to Hazardous Spill Emergencies.

Classification Treatment Officer Terry Partin was named as our Re-Entry Coordinator. Partin will be organizing programs beneficial to inmates during their incarceration and upon release.

Bell County Forestry Camp

Bell County Forestry Camp continues to conduct yearly K-9 seminars to include all state facilities with K-9 Units.

A new addition to the Academic Building increased the amount of space available for classes such as MRT, cabling and Microsoft classes.

We are in the process of building a new Visiting/Inmate Canteen Building.

Golf carts were added to lower fuel costs by restricting certain motorized vehicles on the compound.

Outdoor covered shelters for the chow line areas and pill call were added to help protect inmates from inclement weather. A new PA system was added to the entire compound.



New outdoor covered shelters were installed to help protect inmates from inclement weather

A new UTV (Utility Train Vehicle) was purchased for Canine and CERT response to Critical Incidents.

The inmate library was restored with new paint, carpet and shelves.

Programmatic and Operational Areas

Bell County Forestry Camp is a well programmed facility that focuses on providing quality programming to offenders to prepare them for successful re-entry into society. Educational opportunities are plentiful at Bell County Forestry Camp. Evening GED courses are available and have been effective due to allowing inmates to work day jobs and attend GED classes in the evening. College courses, offered through Southeast Community College, as well as Microsoft computer classes are also available in the evening hours.

Inmates are encouraged to participate in self help courses such as AODA (Life Without a Crutch), AA/NA Classes and MRT (Moral Recognition Therapy) which deals with cognitive behavior and decision making processes.

BCFC is actively involved with a Step-Up Program which consists of inmates that educate middle to high school students on the impact that crime can have on their lives.

Governmental services program work assignments include the cities of Pineville and Middlesboro;



BCFC Inmates participate in the Step-Up Program to educate students

Bell County fiscal court; Knox County fiscal court; Laurel County Department of Transportation weigh station; Pineville Independent School System; Division of Forestry for both fire suppression and support services; and four road crew work details for the Department of Transportation. BCFC also assists local communities through the community service program.

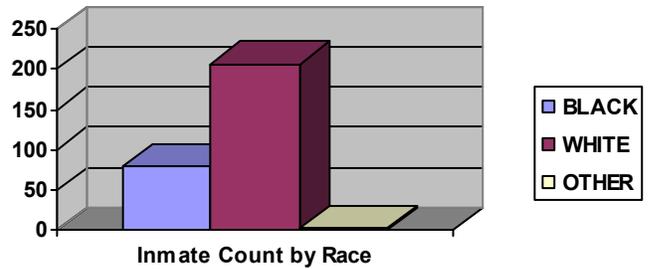
In addition to regular work and program assignments, the Division of Forestry trains in forest fire suppression techniques for each staff and inmate. During the peak fire seasons of fall and spring, BCFC provides fire crews for a 10-county area in southeastern Kentucky. Each fire crew consists of one officer and eight inmates.

Bell County Forestry Camp offers a wide variety of recreational activities for the inmates to participate in such as: softball, flag football, basketball, handball, chuckhole games, weight lifting, pool tables, ping pong, volley ball and fishing. Inmates are also taken twice a week to the Clear Creek Baptist College Gymnasium for indoor basketball.

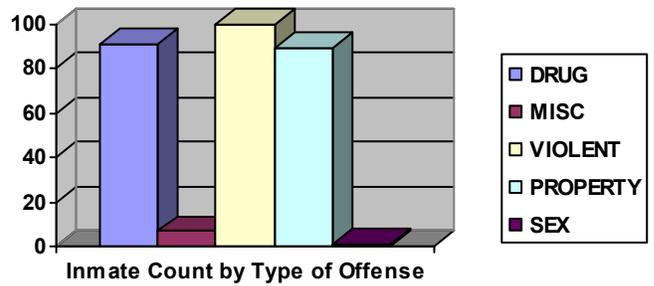
Bell County Forestry Camp

Demographic Data

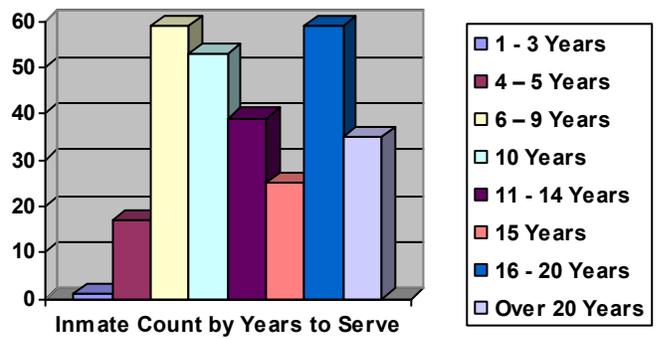
RACE	COUNT	PERCENTAGE
BLACK	79	27.43%
WHITE	206	71.53%
OTHER	3	1.04%
TOTAL	288	100.00%



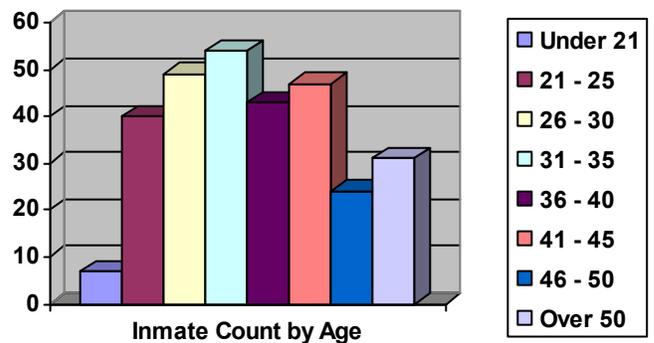
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	91	31.60%
MISC	7	2.43%
VIOLENT	100	34.72%
PROPERTY	89	30.90%
SEX	1	0.35%
TOTAL	288	100.00%



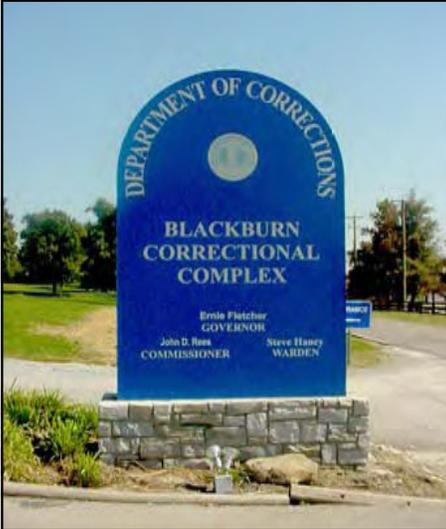
YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1	0.35%
4 - 5 Years	17	5.90%
6 - 9 Years	59	20.49%
10 Years	53	18.40%
11 - 14 Years	39	13.54%
15 Years	25	8.68%
16 - 20 Years	59	20.49%
Over 20 Years	35	12.15%
TOTAL	288	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	7	3.43%
21 - 25	40	14.54%
26 - 30	49	17.67%
31 - 35	54	18.83%
36 - 40	43	14.58%
41 - 45	47	15.97%
46 - 50	24	7.99%
Over 50	31	10.42%
TOTAL	288	100.00%



Blackburn Correctional Complex



Warden
Don Bottom

Deputy Warden - Security
 Dale Martin

Deputy Warden – Programs
 Brandy Harm

Administrative Assistant
 Rose Sewell

Address
 3111 Spurr Road
 Lexington, KY 40511

2011 Average Daily Population
 583

Total Number of Staff
 128

Security Level
 Minimum

Overview

Blackburn Correctional Complex (BCC) is the largest minimum-security institution operated by the Kentucky Department of Corrections.

Blackburn Correctional Complex was made a part of the Kentucky Department of Corrections in 1972 when it was transferred from the old Department of Child Welfare. Prior to becoming part of the Department of Corrections and given the name Blackburn Correctional Complex, the institution was Kentucky Village.

Blackburn Correctional Complex presently operates as a 594 bed adult male institution. All individuals incarcerated here have been convicted of felony charges. Blackburn Correctional Complex has two living units located in five buildings.

The physical plant consists of thirty-two buildings on 456 acres of land that house Academic and Technical programs as well as industrial and support services.



Warden Don Bottom

The institution also has a farming operation located on the grounds that is operated by the Agriculture and Dietary Branch of the Department of Corrections and a Thoroughbred Retirement Foun-



Blackburn Correctional Complex located in Lexington, Kentucky

Blackburn Correctional Complex

dation program (TRF).

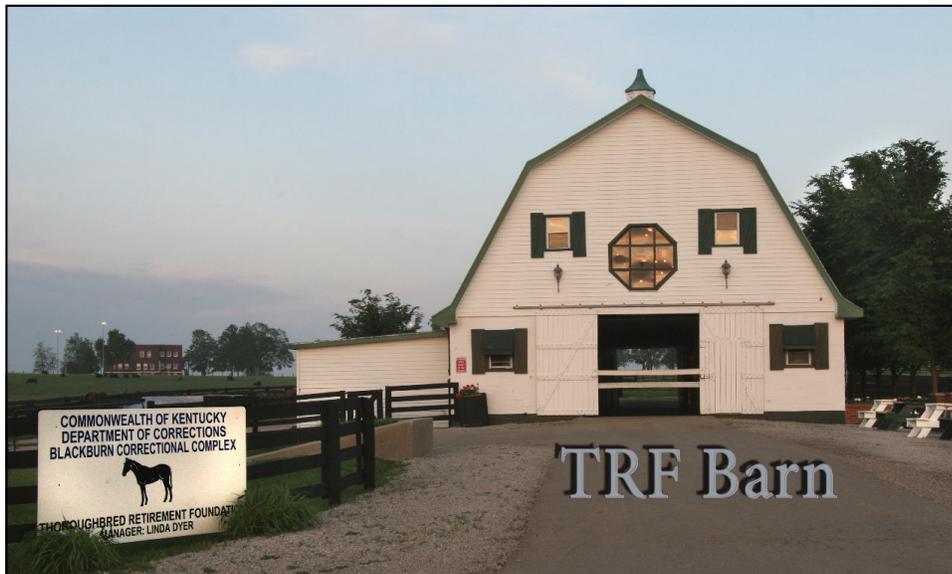
Highlights

Blackburn partnered with EDGE Outreach in May 2011. Edge Outreach collects any and all unwanted shoes. It doesn't matter if they are new, used, old or worn out. After collecting the shoes, they then sell them to an exporter and use the money to provide water purification units to Haiti and other countries. Just one purification unit is able to provide 10,000 gallons of clean water per day.

In June 2011, a Microwave Wireless Perimeter System was installed.

The new Visitation Building became operational in 2011.

Programmatic and Operational



Thoroughbred Retirement Foundation Barn at BCC

Areas

Technical programs include Masonry, Carpentry, and Horticulture. Prison Industries operations include office panel and computer work zone systems, stackable chairs, folding tables and a mattress factory.

Blackburn Correctional Complex, through a partnership with Eastern Kentucky University's Training Resource Center, proudly offers an opportunity to gain industry recognized certifications in IC³ Digital Literacy and Microsoft Office 2007.



BCC's new Visitation Building

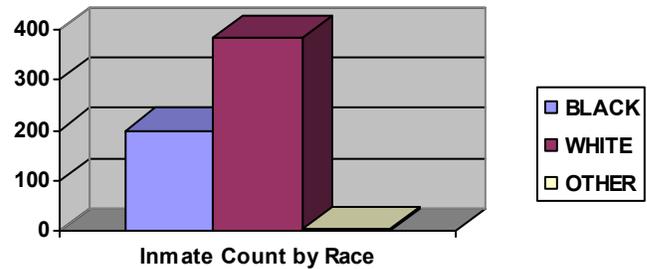


Horticulture Building and Greenhouse located at BCC

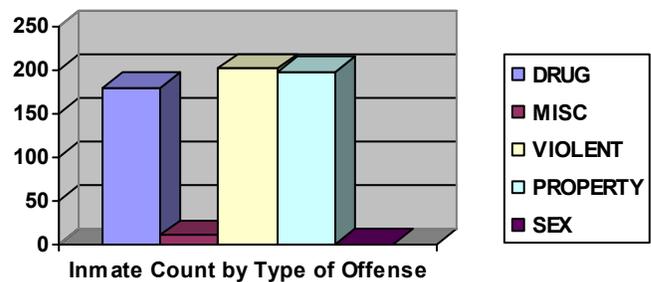
Blackburn Correctional Complex

Demographic Data

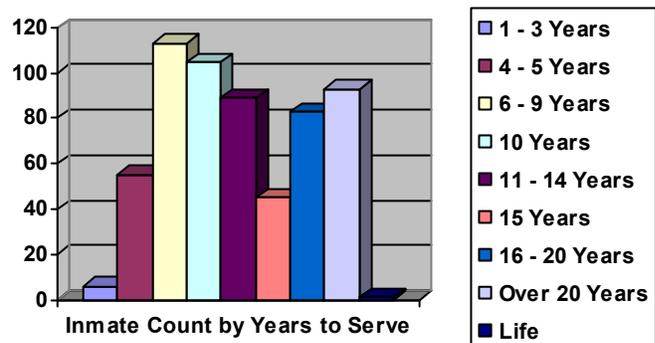
RACE	COUNT	PERCENTAGE
BLACK	200	33.90%
WHITE	384	65.08%
OTHER	6	1.02%
TOTAL	590	100.00%



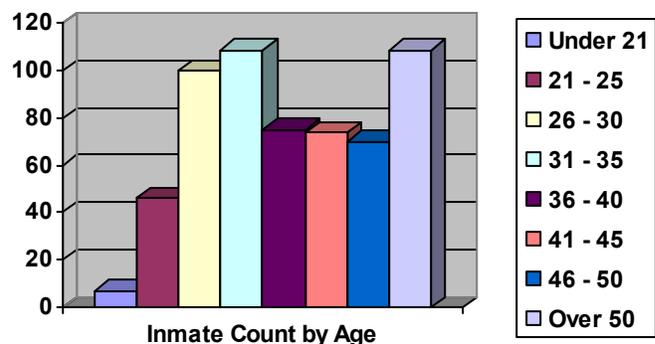
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	180	30.51%
MISC	11	1.86%
VIOLENT	201	34.07%
PROPERTY	197	33.39%
SEX	1	0.17%
TOTAL	590	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	6	1.53%
4 - 5 Years	55	9.32%
6 - 9 Years	113	19.15%
10 Years	105	17.63%
11 - 14 Years	89	14.92%
15 Years	45	7.63%
16 - 20 Years	83	13.90%
Over 20 Years	93	15.75%
Life	1	0.17%
TOTAL	590	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	7	1.20%
21 - 25	46	7.80%
26 - 30	100	16.95%
31 - 35	109	18.47%
36 - 40	75	12.71%
41 - 45	74	12.54%
46 - 50	70	11.86%
Over 50	109	18.47%
TOTAL	590	100.00%



Eastern Kentucky Correctional Complex



Warden

Gary Beckstrom

Deputy Warden - Security
Kathy Litteral

Deputy Warden - Programs
John Holloway

Deputy Warden - Operations
Jerry Lindon

Administrative Assistant
Mariah "Francis" Lacy

Address
200 Road to Justice
West Liberty, KY 41472

2011 Average Daily Population
1705

Total Number of Staff
410

Security Level
Medium

Overview

The Eastern Kentucky Correctional Complex was constructed in two phases and houses minimum, medium, close and maximum custody inmates. The contract for construction was awarded in August of 1985 and officially opened in 1990. The first inmates were received February 14, 1990. Phase II construc-

tion was completed in December of 1991. EKCC was designed to house 1122 inmates but double bunking increased the institutional capacity to 1722 making EKCC 53% over its designed capacity. The average daily inmate count for 2011 was 1705. EKCC's distinction from other Kentucky institutions is the very structured inmate controlled movement. Controlled movement is the result of our institutional design, the number of inmates housed and the inmate custody level. EKCC's best security tool is the controlled movement. Inmates move from one place to another on the hour and half hour and are closely monitored. This provides inmates with fewer opportunities to pass contraband thus creating a safer environment. Controlled movement allows EKCC to reduce the number of inmates moving at any given time; thus enhancing the control staff has over the inmate population.

Highlights

In May 2011 a Smoking Cessation Committee was developed to suggest methods to assist with the transition to a Smoke-free facility on March 1, 2012. The inmates were offered discounts on the purchase of items designed to assist in the endeavor to quit smoking. Hard candy was purchased and made available to staff and inmates.

A new addition to "programs" is the EKCC Dog program. The EKCC Dog program is a collaborative effort between the Morgan County Dog Shelter and the East-



Warden Gary Beckstrom

ern Kentucky Correctional Complex. The intent of the program is two-fold: first, to provide training, care and socialization skills to dogs for adoption purposes and secondly, to offer an inmate program that teaches life skills, vocation skills, self-esteem and trust. This endeavor will help the community as well as hopefully have a therapeutic effect on the inmates involved. The program is typically 8-10 weeks. Training is a combination of instruction and hands-on work. The basic training includes housebreaking, crate training, feeding schedules,



One of the participants in the new EKCC Dog Training Program

Eastern Kentucky Correctional Complex

grooming, obedience and tricks.

Another EKCC/community endeavor was “The Fountain of Our Youth” Community Wall. Minimum Security inmates played a vital role in installing 2,000 tiles which was painted by Morgan County students to create a tile mosaic. The wall was made possible by a beautification grant.

Programmatic and Operational Areas

EKCC utilizes resources designed to reduce the likelihood of recidivism. These resources include a structured work environment, academic and vocational education, religious programs and self-help programs. The self-help programs include seven programs moderated by programs staff, 16 moderated by chapel/chapel volunteer staff and one moderated by psychology staff. The chapel continues to receive donations of books and tapes from various ministries throughout the country and continues to receive donations of popular publications such as Guideposts, Decision Magazine, and In Touch which are made available to the inmate population.

EKCC offers a variety of educational opportunities for inmates. There are four vocational programs offered including carpentry, masonry, heating and air conditioning, and small engine repair. Our Adult Basic Education programming offers a high school equivalency diploma (GED), literacy level skills and life skills to students who have not earned a traditional high school di-

ploma. Vocational and adult education classes are offered at the main facility Monday through Friday from 8:15 a.m. to 2:30 p.m. Adult education classes are also offered two evenings a week for inmates who work day jobs. The Minimum Security Unit also offers adult education classes two evenings per week. Additional programming within the school consists of evening college courses offered on the traditional semester basis and a National Career Readiness Certificate (NCRC) open to all inmates that qualify for enrollment.

EKCC houses three Kentucky Correctional Industry divisions; furniture refinishing and customized wood building, metal plant and coupon sorting. These divisions employ 135 inmates who are paid hourly wages with the potential for pay increases. The structured work environment allows inmates to experience the competitive atmosphere very similar to working for a business in the private sector.

Security Enhancements

During 2011 security upgrades were a priority as many systems are obsolete or in need of major repairs. The main upgrade would be the overhaul of the outdated Simplex Fire Alarm Systems in Dorms 5, 6, 7, 8 and 9 as well as the Boiler Room, Warehouse and Minimum Security. Other upgrades include the placement of additional security cameras in strategic areas and adding lighting to emergency circuits in places where the safety and security of staff and inmates would

benefit most. The EKCC Maintenance Department, in conjunction with KCI, is installing food tray slots in Dorm #1. Food tray slots will be installed in other dormitories, but Dorm #1 houses several maximum custody inmates and was top priority.

To insure inmate control in the segregation units, shower rails were installed and inmates are handcuffed to the rail thus restricting their movement.



New Handrails installed in the segregation unit showers

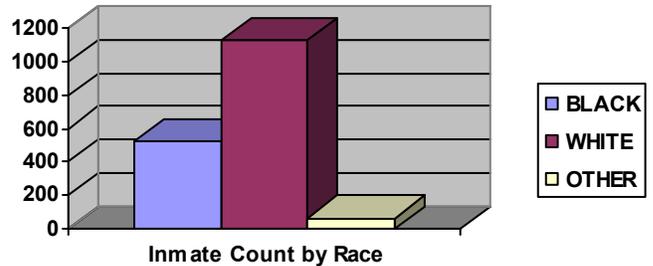
In October 2011, the institution conducted the first NIMS county wide drill. The institution conducted a mock natural disaster drill and invited representatives from agencies in the community. The group toured the institution and was escorted to the command center to discuss the disaster scenario and what resources would be available throughout the county. This was an informative exercise and well received by the group.

New communication cables were upgraded in several areas to expand computer systems. This will insure that valuable information is distributed to staff throughout the facility.

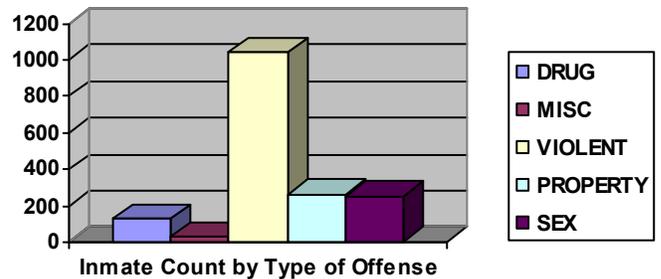
Eastern Kentucky Correctional Complex

Demographic Data

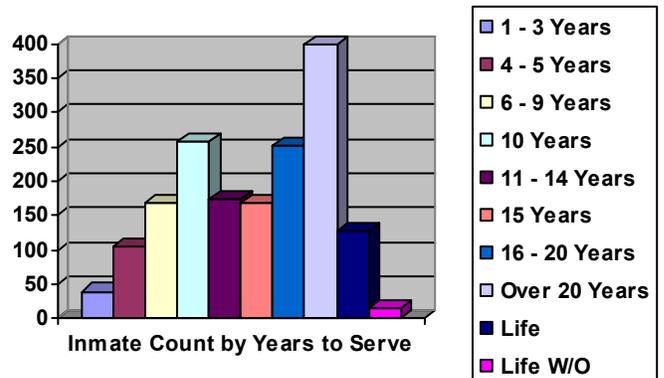
RACE	COUNT	PERCENTAGE
BLACK	519	30.33%
WHITE	1130	66.04%
OTHER	62	3.63%
TOTAL	1711	100.00%



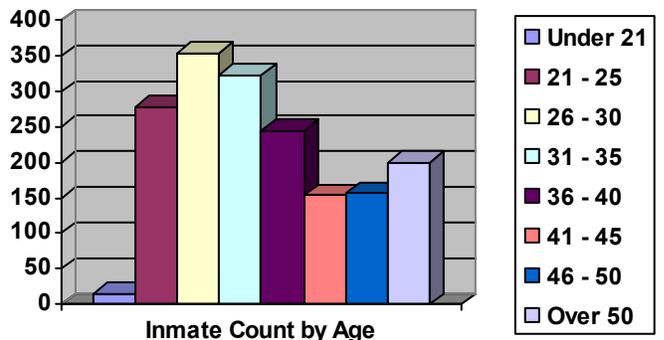
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	127	7.42%
MISC	29	1.70%
VIOLENT	1040	60.78%
PROPERTY	265	15.49%
SEX	250	14.61%
TOTAL	1711	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	40	3.04%
4 - 5 Years	105	5.84%
6 - 9 Years	169	9.59%
10 Years	258	15.79%
11 - 14 Years	175	10.16%
15 Years	168	9.53%
16 - 20 Years	253	14.49%
Over 20 Years	398	23.26%
Life	129	7.36%
Life W/O	16	0.94%
TOTAL	1711	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	15	0.88%
21 - 25	279	16.88%
26 - 30	354	20.41%
31 - 35	324	18.64%
36 - 40	245	14.32%
41 - 45	153	8.94%
46 - 50	156	9.12%
Over 50	200	11.69%
TOTAL	1711	100.00%



Frankfort Career Development Center



Warden

Kimberly Whitley

Deputy Warden

Gary Prestigiacomio

Administrative Assistant

Geneva Bogue

Address

380 Coffee Tree Road
Frankfort, KY 40602

2011 Average Daily Population

191

Total Number of Staff

48

Security Level

Minimum

Overview

The Frankfort Career Development Center (FCDC) is a 205-adult male minimum security facility located on 80 acres in Frankfort, Kentucky. The facility was opened in 1976.

The majority of the inmate population is assigned to the Governmental Services work program to provide a supplemental workforce for governmental agencies.

In addition to the mission of promoting the safety of the citizens of the Commonwealth the purpose of the Frankfort Career Development Center shall be to provide a safe, secure, humane environment for the inmate population as well as to provide an atmosphere and opportunities for a successful reintegration back into society.

The main program focus shall be to assist inmates in the progression to a Community Service Center and to the community as a responsible citizen after positive behavior, program participation, and work performance have been demonstrated.

Highlights

On July 1, 2011, the Frankfort Career Development Center was officially closed. This is the first time in the history of the Department of Corrections that a prison was able to be closed. This closure was the result of a declining prison population in conjunction with a reduced recidivism rate.

The facility was turned over to the Kentucky State Police to be used as their new training academy.



A farewell luncheon was held for the staff at FCDC



Warden Kimberly Whitley

A farewell luncheon was held for the staff of FCDC to give them one last chance to visit with their co-workers. At the luncheon each staff member was also presented with an FCDC commemorative pin to be worn on their uniform.

Programmatic and Operational Areas

The Governmental Services Program that provided a supplemental labor force to various state government agencies was relocated to the Franklin County Regional Jail. This program employed approximately 130 inmates and provided real work



Gary Prestigiacomio and Kim Whitley say goodbye to FCDC

Frankfort Career Development Center

opportunities for each inmate in a variety of job areas to include; janitorial, clerical, mechanical landscaping, maintenance, food service and other general labor with a work day approximating that of the community.

The three phase IN2Work program offered by ARAMARK provided participants work skills in every aspect of the food service industry to include kitchen basics, retail and sales basics and ServSafe certification.

The Microsoft Office Specialist Certification program in partnership with Eastern Kentucky University provided participants certification in the Microsoft Office Suite. Upon successful completion, participants received certification and 90 days of Educational Good Time.



Microsoft Certification program

The Kentucky Employability Certification program which was operated through both the day and evening divisions of the Academic school is another program that provided the participants with marketable certification. This certification provided skill based credentials to participants that help them secure employment. It also provided employers



Governor Steve Beshear points out the future use of the facility at a press conference announcing the closing of FCDC

with certified applicants that had documented skills proficiencies that they could hire with confidence.

The University of Kentucky Cooperative Extension Service-Frankfort Office provided two life skills programs that focused on self-development and positive reintegration into society. The Money Smart program was a 7-week financial program that provided awareness in money management. The Nurturing Father's Program was a 13-week group-based parenting program for developing attitudes and skills for male nurturance.

The institution also had an extraordinary religious outreach program through the Faith Singer Choir. The Choir produced four compact discs which they sold when they went out to area churches to share their testimony through song. As a result of this program a number of churches have joined together and established a Faith Based Aftercare program that assisted those housed at FCDC that desired to remain in the Franklin County area.



DOC Commissioner LaDonna Thompson hands over the keys of FCDC to Kentucky State Police Commissioner Rodney Brewer

Health education was also an important component at FCDC. The medical department sponsored an annual Men's Health fair which provided the inmate population personal health and disease awareness. The recreational department assisted in this endeavor by providing new weightlifting and cardiovascular equipment.

Additionally, the outreach program, Corrections Having a More Positive Impact on Society (CHAMPIONS) helped at risk youth understand how today's decisions would affect their lives tomorrow.

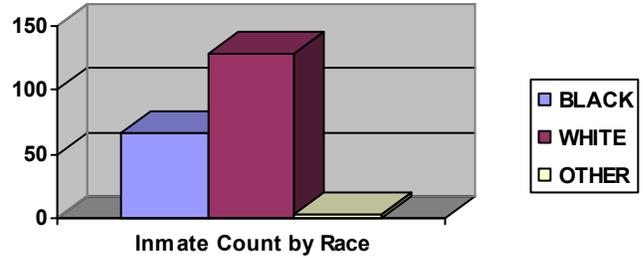


Each staff member of the Frankfort Career Development Center received a commemorative pin to wear on their uniform

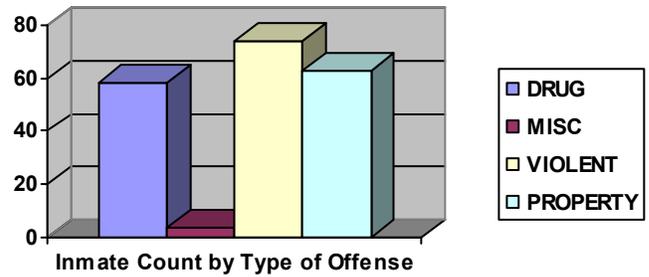
Frankfort Career Development Center

Demographic Data

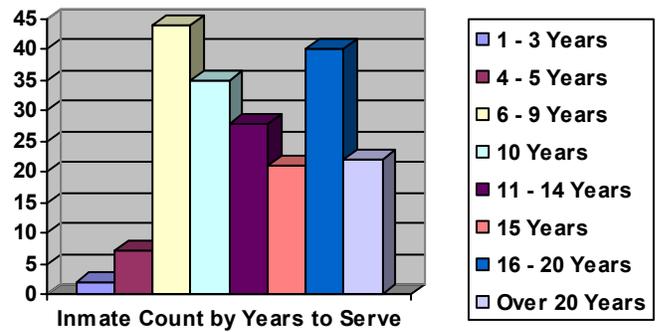
RACE	COUNT	PERCENTAGE
BLACK	67	33.67%
WHITE	128	64.32%
OTHER	4	2.01%
TOTAL	199	100.00%



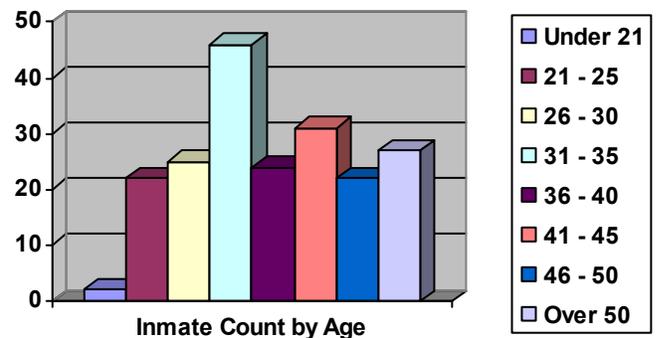
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	58	29.15%
MISC	4	2.00%
VIOLENT	74	37.19%
PROPERTY	63	31.66%
TOTAL	199	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	2	1.00%
4 - 5 Years	7	3.52%
6 - 9 Years	44	22.11%
10 Years	35	17.59%
11 - 14 Years	28	14.07%
15 Years	21	10.55%
16 - 20 Years	40	20.10%
Over 20 Years	22	11.06%
TOTAL	199	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	2	1.01%
21 - 25	22	11.06%
26 - 30	25	12.56%
31 - 35	46	23.10%
36 - 40	24	12.06%
41 - 45	31	15.58%
46 - 50	22	11.06%
Over 50	27	13.57%
TOTAL	199	100.00%



Green River Correctional Complex



Warden

Randy White

Deputy Warden - Security
Ron Beck

Deputy Warden – Programs
Rickie Williams

Administrative Assistant
Amy Douglas

Address
1200 River Road
P.O. Box 9300
Central City, KY 42330

2011 Average Daily Population
968

Total Number of Staff
285

Security Level
Medium

Overview

Construction of the Green River Correctional Complex (GRCC) began in August 1992 and was originally designed to house 550 inmates. The first group of inmates arrived December 12, 1994. Since then GRCC has been re-accredited by the ACA five times, most recently in 2009. GRCC has since expanded, build-

ing a medium security living unit, as well as a minimum security dormitory. The current inmate population capacity is 982.

The institutional design is “direct supervision.” The dormitories have an open control center (no bars, glass or other barriers). Unit Management is the means of managing and organizing the institution. In this team oriented concept, a management team of administrators, supervisors, correctional officers and classification/treatment officers supervise each living unit and are responsible for the security and management of the Inmate Living Unit and its inmate population.

GRCC does not have security gun towers. Perimeter security is dependent upon an electronic detection perimeter alert system, a microwave detection system, and constant 24-hour armed perimeter vehicle patrol(s).

Highlights

In December it was announced that the GRCC’s Therapeutic Community would be changing from a six month to a 90 day treatment program called Living in Balance. Living in Balance is an evidence-based program proven effective for the offender population. The primary difference between the Substance Abuse Program (SAP) and Living in Balance is program length. This program will be available to offenders with a documented substance abuse history that have not been involved in substance abuse treatment programming in the past five years. This level of



Warden Randy White

treatment will not require an intervention as intensive as SAP.

The Living in Balance Curriculum is a comprehensive and practical guide for conducting group and individual treatment sessions for people who have substance abuse problems. Core Sessions include 1) Definitions, Terms, and Self-Diagnosis, 2) Alcohol and Other Drug Education, 3) Triggers, Cravings and Avoiding Relapse, 4) Planning for Sobriety, 5) Alcohol and Tobacco, 6) Spirituality, 7) Sex, Drugs and Alcohol, 8) Stress and Emotional Well-Being, 9) Skills for Reducing Stress, 10) Negative Emotions, 11) Anger and Communication, and 12) Relapse Prevention and other supplemental core work sheets. The GRCC Therapeutic Community staff is fully prepared to conduct dual SAP and LIB class curriculums if needed.

The evidence based program, Inside Out Dad, began at GRCC on July 14, 2011 with 15 inmates involved. It was developed for incarcerated fathers and also has been adapted to accommodate those dads re-entering their com-

Green River Correctional Complex

munities. The curriculum bridges the gap between inmate/ex-offender and his children. The program achieves this goal by equipping facilitators with the tools they need to help fathers increase their involvement, responsibility and commitment to their children and the mothers of the children. The program helps create “Inside Out Dads” who enhance the well-being of their children by being an integral part of their lives physically, emotionally, spiritually and intellectually.

The GRCC Death Row Dog program, operated in conjunction with Jack’s Place Animal Rescue, initiated a new chapter of the program in May 2011 with an emphasis on pairing dogs with wounded combat soldiers. A partnership was established thru Army Sergeant Debra Lamere stationed at Fort Campbell, Kentucky who was the catalyst that established the Dogs 2 Vets project. Jack’s Place, a no-kill shelter located in White Plains, Kentucky, collaborated with the Army Sergeant and fostered her idea of training dogs for wounded soldiers. The first graduating class supplied 7 specially trained dogs for wounded soldiers. Justice and Public Safety Secretary J. Michael Brown attended that graduation ceremony and spoke of his excitement about the opportunity to help these men and women in our armed forces by utilizing this program. Sergeant Lamere later transitioned from the Army and Jack’s Place changed the name of the project to “Hounds 2 Heroes” continuing to pair service dogs with injured soldiers. Jack’s Place has auton-



Hounds to Heroes graduate “Carlee” takes immediately to her new owner Private 1st Class Michael Waskom

omy of this project, they recruit soldiers in which to pair dogs and they stay in close communication with the soldiers prior to and after they get the dogs. To date a total of 21 dogs have gone to soldiers through the Hounds to Heroes program.

During 2011, GRCC enhanced and implemented additional security measures to further ensure perimeters are not breached from within.

In January 2011, the GRCC Warehouse staff began making all deliveries inside of the secure prison compound preventing arbitrary civilian vendor entries. This security enhancement significantly reduced the risk associated with possible escape. An additional security enhancement involved increasing positive person identification prior to exiting the secure compound. All staff and visitors must be positively

identified several times prior to being permitted to exit the secure yard.

A yard fence was also installed with remote controlled gates separating the inmate housing unit from the programs and service area of the yard. The additional yard fence affords instantaneous yard area separation, enhanced yard control capabilities during any emergencies.

Programmatic and Operational Areas

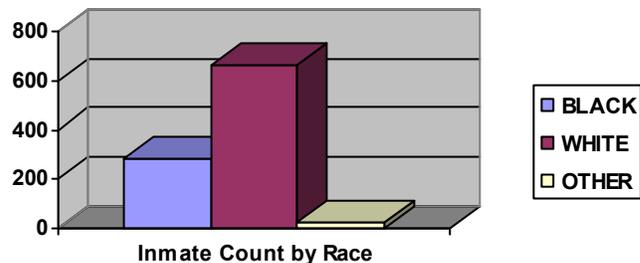
During 2011 GRCC offered various life skills and formal vocation/educational classes for inmate self improvement. Adult literacy was offered both day and night in addition to General Education Diploma classes. The vocational programs consist of a Masonry and Carpentry curriculum where an inmate may obtain a diploma. College level classes are also offered. The educational/vocational programs are made possible through a contractual partnership with Kentucky Community Technical College System. During 2011, 52 GED’s were awarded as well as 11 vocational diplomas, 51 National Career Readiness Certificates, 12 Microsoft Office and 16 C-Tech certificates.

A new gym floor surface was installed in September 2011 and the painting was completed in October. The new flooring has the look of traditional wood but is constructed of taraflex, which is a rubber surface supported by foam padding. The flooring is estimated to last 20 years.

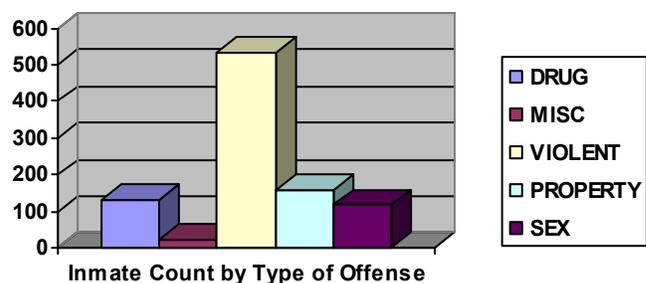
Green River Correctional Complex

Demographic Data

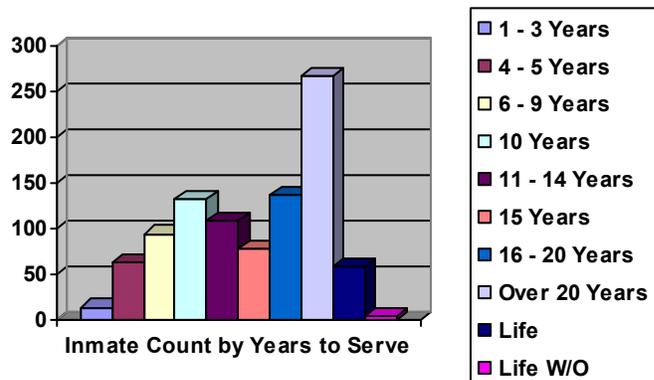
RACE	COUNT	PERCENTAGE
BLACK	280	29.05%
WHITE	661	68.57%
OTHER	23	2.38%
TOTAL	964	100.00%



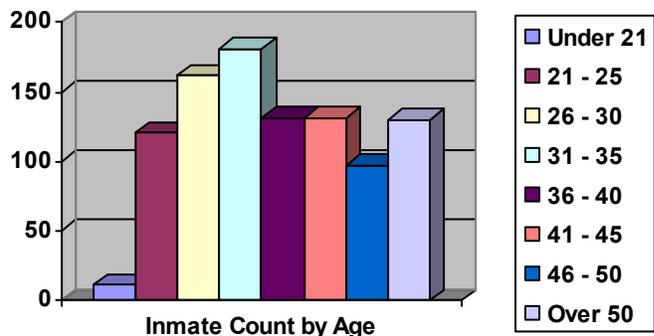
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	131	13.59%
MISC	23	2.38%
VIOLENT	534	55.40%
PROPERTY	158	16.39%
SEX	118	12.24%
TOTAL	964	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	15	.63%
4 - 5 Years	63	5.11%
6 - 9 Years	95	11.48%
10 Years	133	14.41%
11 - 14 Years	109	9.92%
15 Years	79	7.62%
16 - 20 Years	138	16.18%
Over 20 Years	267	25.16%
Life	60	7.30%
Life W/O	5	2.19%
TOTAL	964	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	11	1.13%
21 - 25	121	12.55%
26 - 30	162	16.81%
31 - 35	181	18.78%
36 - 40	131	13.59%
41 - 45	131	13.59%
46 - 50	97	10.06%
Over 50	130	13.49%
TOTAL	964	100.00%



Kentucky Correctional Institution for Women



Warden

Janet Conover

Deputy Warden - Security
John "Wes" Dawson

Deputy Warden – Programs
Paige McGuire

Administrative Assistant
Donna Dailey

Address
3000 Ash Avenue
PO Box 337
Pewee Valley, KY 40056

2011 Average Daily Population
682

Total Number of Staff
226

Security Level
Medium

Overview

The Kentucky Correctional Institution for Women (KCIW) is an adult prison housing female felons from all 120 counties in Kentucky. KCIW opened in 1938 and is one of two female institutions in the Commonwealth.

KCIW is located on 270 acres in Shelby County near the town of Pewee Valley. The facility has

been ACA accredited since 1982. The institution is a campus style setting with multiple housing units, double and single bunked.

KCIW is considered a multi-custody facility as differential housing and programming must meet the needs of female felons serving one year to life, death row, maximum, medium, minimum, community custody, first offenders, persistent offenders, the disabled and special needs inmates.

KCIW continues to be a well run facility due to the positive relationships between the Warden, Deputy Wardens, staff, volunteers and contractors. Everyone has a stake in the success of the institution.

Highlights

KCIW is always working to provide outstanding public protection through a secure institution while also providing inmates opportunities to facilitate non-criminal behavior in a correctional setting that is safe, clean and secure. Pride is taken in doing the best job in the business, day in and day out.

Warden Janet Conover oversees a budget of approximately \$11 million, providing fiscal responsibility for management of the various departments within the institution. Warden Conover communicates with a variety of staff in order to gain insightful institutional perspectives to grasp the "big picture" in making decisions.



Warden Janet Conover

KCIW's Training Department has been innovative in the area of staff development with the implementation of the Staff Training and Retention program (STAR). This is a comprehensive reevaluation of the hiring and training process for correctional officers. The turnover rate at KCIW is unacceptable. It adversely affects all security staff, particularly in the area of staff morale. A high turnover rate leads to more mandatory overtime, lack of trust and rapport among staff and costs the institution thousands of dollars every year.

The STAR program addresses the problem of high staff turnover by overhauling the procedure used for the selection of new Correc-



STAR Program participants

Kentucky Correctional Institution for Women

tional Officers. Further, the STAR program proposes a structured, measurable agenda for on-the-job training, drawing from the knowledge of experienced staff. This program is designed to increase the effectiveness of the hiring process and raise a new correctional officer's chances for professional success.

The Training Department has also assisted with the I-LEAD program that was originally developed at KSR. I-LEAD's role is to develop and empower the future leaders of the Department by providing education and direction in the following areas: professional development; administrative strategies and tactics; leading and coaching to a high performance objective. Participants receive guidance in the following areas: upward mobility, resume preparation, public speaking skills, cultural diversity and networking opportunities.

Security upgrades include added surveillance cameras in the Chapel area and the use of tobacco, cell phone and drug sniffing dogs searching the living units on a monthly basis. Also, enhanced security coverage of medicine lines, requiring two officers, is also utilized.

New hand-held metal detectors were purchased to replace old malfunctioning units.

Programmatic and Operational Areas

KCIW continues to partner with the non-profit organization Paws With Purpose (PWP) to bring



Paws with Purpose Program

trained service dogs to children and adults with physical disabilities. Inmates begin the training program with puppies approximately two months old. The program continues until the dog is about a year and half. This program increases the inmate's self-esteem and gives an opportunity for the inmates in the program to give back to the community. The program was first launched in 2003 and has been extremely successful.

KCIW's educational and vocational programs provide inmates with education and job skills. When inmates learn job skills, or earn a GED or secondary degree, they are better equipped to be financially self-sufficient when they return to the community, thus reducing recidivism.



Education Center at KCIW

KCIW offers college and vocational classes through the Kentucky Community & Technical College System (KCTCS).

The Education Center offers inmate instruction in Basic Literacy, Adult Basic Education, GED and college courses. Some of the college courses offered include Biology, English, Psychology, Sociology, Women's History, Developmental Math, and English. Vocational training includes two certified programs in either Horticulture or Construction Technology. There are also plans to add two new programs, C-Tech Cabling and Microsoft Office Specialist.

Treatment programs available to inmates include such programs as Parenting, Sex Offender Treatment and the Substance Abuse Program.

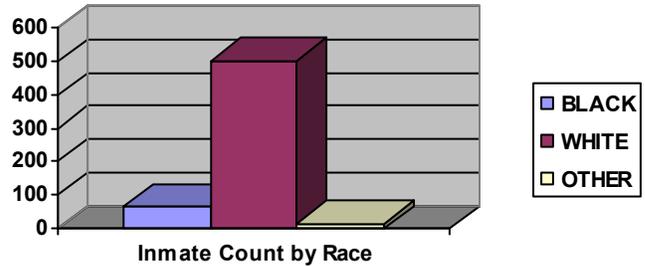
The Substance Abuse Program provides treatment in a Therapeutic Community, with close supervision in a structured environment. It allows inmates to learn accountability for their actions as well as relapse prevention tools they will need when they return to the community. The program takes approximately six to nine months to complete.

Kentucky Correctional Industries (KCI) has been at KCIW for 26 years. They provide inmates with job training that produces marketable skills and quality products. Correctional Industries currently operates three separate industries consisting of Printing, Bulk Mail Services and Braille Transcribing.

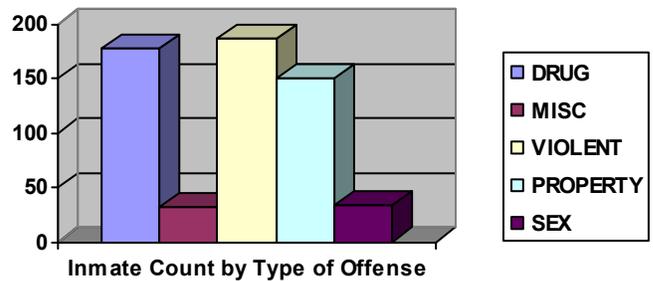
Kentucky Correctional Institution for Women

Demographic Data

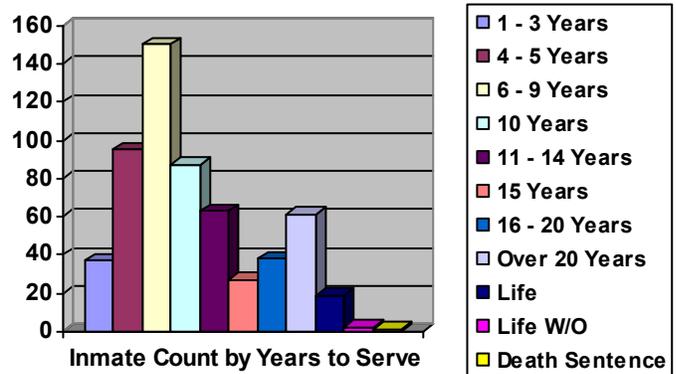
RACE	COUNT	PERCENTAGE
BLACK	63	10.88%
WHITE	503	86.87%
OTHER	13	2.25%
TOTAL	579	100.00%



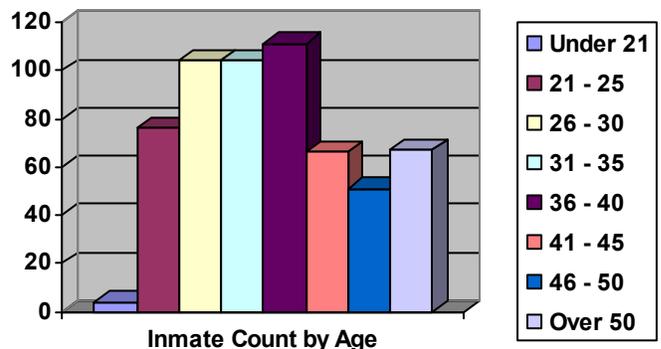
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	177	30.57%
MISC	32	5.53%
VIOLENT	186	32.12%
PROPERTY	150	25.91%
SEX	34	5.87%
TOTAL	579	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	37	6.39%
4 - 5 Years	95	16.41%
6 - 9 Years	150	25.91%
10 Years	87	15.03%
11 - 14 Years	63	10.88%
15 Years	27	4.66%
16 - 20 Years	38	6.56%
Over 20 Years	61	10.54%
Life	19	3.28%
Life W/O	2	0.34%
Death Sentence	1	0.18%
TOTAL	579	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	4	0.69%
21 - 25	76	13.13%
26 - 30	104	17.96%
31 - 35	104	17.96%
36 - 40	111	19.17%
41 - 45	66	11.40%
46 - 50	51	8.81%
Over 50	67	11.57%
TOTAL	579	100.00%



Kentucky State Penitentiary



Warden

Philip W. Parker

Deputy Warden - Security
Ernie E. Williams

Deputy Warden – Programs
Alan D. Brown

Deputy Warden - Support Services
Joel W. Dunlap

Administrative Assistant
Teresa M. Hughes

Address
266 Water Street
Eddyville, KY 42038

2011 Average Daily Population
861

Total Number of Staff
345

Security Level
Maximum

Overview

The Kentucky State Penitentiary serves as the Department of Corrections’ only maximum security facility, housing an average of 861 inmates in 2011. The institution has operated for over 120

years, originally opening on Christmas Eve in 1889. The facility sits on the banks of Lake Barkley and is often referred to locally as, “The Castle on the Cumberland”. Many renovations and enhancements have occurred during the numerous years of operation, providing for the utmost stability expected within a maximum security penitentiary.

The expressed mission of Kentucky State Penitentiary is managing an adult, male maximum security penitentiary for approximately 900 convicted felons. Informally we often say with a great deal of pride that our mission is to manage a difficult population that has failed or cannot be managed at one of the other prisons in Kentucky.

Our population includes a large Protective Custody Unit, a large Segregation Unit in two separate cell blocks, Death Row and General Population. Our first priority is safety and security which requires a large portion of our fiscal and human resources. At the same time, we strive to offer an array of programs to engage the inmates in productive activity that will better their chances of returning to the community as a responsible taxpaying citizen. These activities include work in one of our industries, assignment to vocational or academic school, involvement in self help and re-entry programs and religious activity. Inactivity and poor conduct is not acceptable and is discouraged at every level.

Ultimately we realize the majority of our inmates will return to



Warden Philip Parker

the streets and it is our mission to prepare the inmate for his return.

Highlights

There have been numerous amounts of security enhancements at Kentucky State Penitentiary for the safety and protection of staff, visitors, and inmates. We are continuously looking for ways to enhance our security. A Key Control System was installed to provide controlled access and accurate logging of keys. New electronic locks were installed in 5-Cellhouse, which is controlled by the cellhouse officer. This



New Key Control System

Kentucky State Penitentiary

provides better response time in case of an emergency.

New generators were installed in the Wall Stands and Sewer Plant to continue normal operations in case of power outages. A new water filtering system was installed in the Infirmary and a 1,000 gallon water tank was replaced for the use of 5-Cellhouse and food service.



Historical State House #202 was converted into office space for the Fiscal Department and also houses the new KSP museum

One of the historical houses (State House #202) was totally renovated by inmate labor and now is utilized by our Fiscal Department. Also located in a portion of the house is small museum and gift shop. The museum features the electric chair, old photographs, a contraband display, the old Fox double barrel shotgun used in the early 1980's on the chain gang, a virtual tour using our new digital camera system and other artifacts from our past. The gift shop has T-shirts, coffee mugs, and novelties for sale. Money raised in the gift shop will be used for employee benefits.

Programmatic and Operational Areas

A formalized Dog Program began June 1, 2011 in conjunction with the Mary Hall Rudiman Canine Shelter located in Crittenden County. The primary purpose of this program is for inmates to provide training to dogs awaiting adoption. Inmates in the dog training program will achieve rehabilitation through canine education and enhanced job skills in working with animals. The goal of this project is to establish a work program that will provide specialized training and skills that inmates can take with them once they leave the institution and return to society.

The Kentucky State Penitentiary offers re-entry style programs which are intended to assist offenders to successfully return to mainstream society. These programs include a structured Pre-Release Program called Prison to the Streets and the Family Bonding Program. The Prison to the Streets Programs deals with family issues, housing, employment, education, aftercare treatment and other areas that typically impact the probability of recidivism. The Family Bonding Program allows inmates to be video taped reading a children's book to their child, then the book and video are mailed to the inmate's family. Another evidenced-based re-entry program, Inside Out Dad, which is designed to assist the inmate in parenting skills once released from custody, is currently being reviewed for implementation in early 2012.

Work, religious and self help programs have continued to provide high numbers of inmate involvement. Kentucky State Penitentiary offers ample job opportunities ranging from employment in one of the Prison Industries areas to janitorial services. AA/NA and NAACP are offered. Various cognitive skills programs are available to the inmate population which includes Moral Recognition Therapy, Thinking for a Change, Life Without a Crutch and In2Work.

Throughout 2011, the school program which consists of academic and vocational classes, continued to offer inmates opportunities to further their education. A total of 24 GEDs and 16 Vocational Diplomas were awarded.

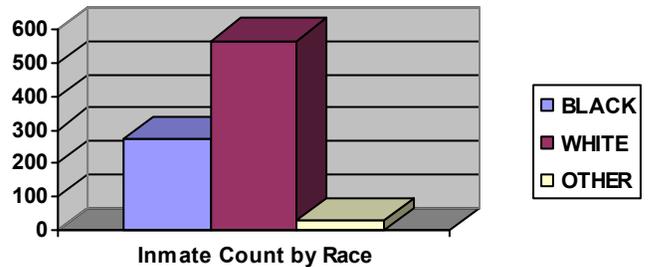
There are various religious choices. A minimum of 15 different services are scheduled each week for various faiths and many more activities are scheduled as a result of volunteer participation.

The "Scared Straight" Program (established in 1994) continues at Kentucky State Penitentiary. This is a court ordered program for offenders over 18 years of age as a condition of probation. The individual spends a day in our segregation unit as a convict where he is strip searched and dressed in inmate clothing, given a military type haircut, drug tested, placed in a cell for approximately 2 hours, fed an inmate meal, and concludes in the Warden's Office viewing video tapes of murders, assaults and riots that have occurred at KSP.

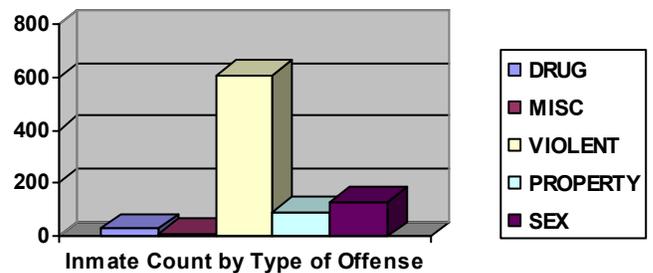
Kentucky State Penitentiary

Demographic Data

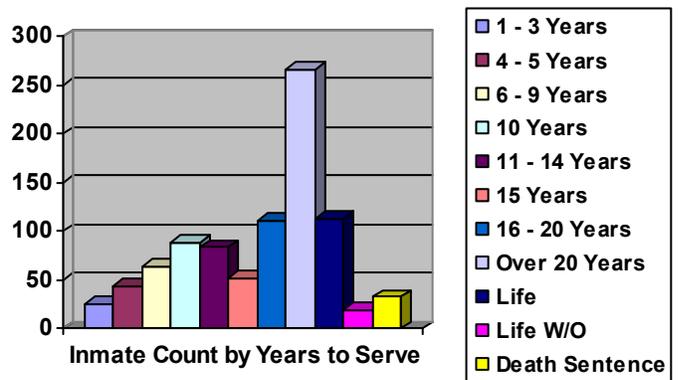
RACE	COUNT	PERCENTAGE
BLACK	274	31.49%
WHITE	568	65.29%
OTHER	28	3.22%
TOTAL	870	100.00%



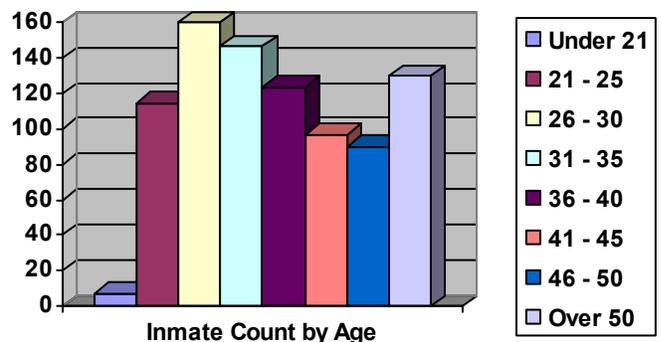
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	30	3.45%
MISC	10	1.15%
VIOLENT	609	70.00%
PROPERTY	92	10.57%
SEX	129	14.83%
TOTAL	870	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	26	2.99%
4 - 5 Years	44	5.06%
6 - 9 Years	64	7.36%
10 Years	89	10.23%
11 - 14 Years	84	9.66%
15 Years	52	5.98%
16 - 20 Years	111	12.76%
Over 20 Years	266	26.86%
Life	114	13.10%
Life W/O	20	2.30%
Death Sentence	33	3.70%
TOTAL	870	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	7	0.80%
21 - 25	115	13.22%
26 - 30	160	18.39%
31 - 35	147	16.90%
36 - 40	124	14.25%
41 - 45	97	11.15%
46 - 50	90	10.34%
Over 50	130	14.95%
TOTAL	870	100.00%



Kentucky State Reformatory



Warden

Cookie Crews

Deputy Warden - Security
Troy Pollock

Deputy Warden - Programs
James Coyne

Deputy Warden - Operations
Ravonne Simms

Administrative Assistant
Teresa St.Clair

Address
3001 West Hwy. 146
LaGrange, KY. 40032

2011 Average Daily Population
1947

Total Number of Staff
663

Security Level
Medium

Overview

The Kentucky State Reformatory (KSR) is located in LaGrange, Kentucky, which is approximately 30 miles northeast of Louisville. It is a medium security facility under the administration of the Justice and Public Safety Cabinet, Department of Correc-

tions. It is the state's largest institution in terms of inmate population with a 2005 bed capacity.

Up to the present day, the physical plant has essentially retained its original configuration. The 12 story administration building was designed to house the offices of key staff members, a hospital, medical offices and living quarters for correctional staff. The 11th floor houses the machinery of the elevator; the 12th floor houses a now defunct 150,000 gallon water tank.

Today there are 11 dormitories made up of individual rooms, 150 bed Correctional Psychiatric Treatment Unit, and a 58 bed Nursing Care Facility. In addition, there is a two story cellblock with 130 segregation cells and a 40 bed Daily Assisted Living Unit (DAL).

The Kentucky State Reformatory operates under a unit management concept with five separate living units. The Unit Management Program is designed to foster personal relationships in smaller groups and assures inmate access to staff that are familiar with their needs. An inmate may seek personal counseling from any member of the Unit Team, which consists of a unit administrator, an assistant unit administrator if designated for the unit, classification and treatment officers, a secretary, correctional officers and engineering staff. The unit team assists inmates with institutional programming, case preparation for the Parole Board, classification reviews, de-



Warden Cookie Crews

veloping release plans and problem solving.

Highlights

Significant accomplishments the Kentucky State Reformatory has achieved for the Fiscal year 2011 include the replacement of the Chiller in dormitories 3, 4, 5, 6, 7 and 11.

Two major construction projects that began in 2010, and continued in 2011, were the completion of the Segregation renovation project and the Tunnel project.



Completed renovation project on the Segregation Unit

Kentucky State Reformatory



Newly renovated Segregation cell

KSR revamped the third floor of the administration building to provide office space for central office medical staff and the Sex Offender Risk Assessment Program (SORA). We also renovated the handicap ramp outside of the Daily Assisted Living Unit (DAL) to enhance the safety for staff and inmates entering and exiting the building.

The Reformatory had its first program security review using the new electronic program. There were some minor glitches but overall the review was successful. The institution is now preparing for our upcoming 2012 ACA re-accreditation audit.

Due to the age and condition of dorm two, all inmates that were housed there were moved out and placed in other dormitories. Since the building was beyond repair, dorm two was closed off and secured in preparation for demolition to begin in the New Year.

In addition to the above high-

lights, the front steps leading into the administration building were resurfaced, the new Metro Ethernet line coming in the institution was installed to alleviate network downtime, the electric fence was upgraded, and Phase 1 of the electrical upgrade (Electrical Duct Bank) was completed.



The newly resurfaced front steps of the Administration building

Programmatic and Operational Areas

Programs offered include: Academic and Vocational Education, College Classes, Life Management, organized sports and recreation, religious services and counseling, On-the-Job Training, Correctional Industries and services provided by the Division of Mental Health, i.e. Psychological/Psychiatric Services, Sex Offender Treatment Program, and individual or group counseling. Specific programs include the FACT (Fathers and Children Together) Program and the Co-Occurring Disorder Phoenix Program.

The CHANGES Program (Compassion, Hope Altruism, Now Good –Will, Empathy, Sobriety) was initiated in 2010. It was developed to meet the cur-

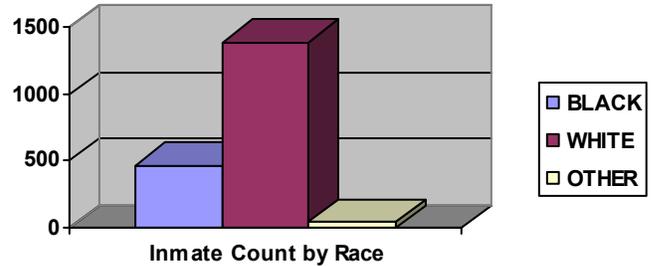
rent treatment needs of inmates within the Kentucky Department of Corrections. The treatment program is a minimum of six months in duration and is structured in a group format. It includes five distinct treatment levels, with each level building upon the information and skills mastered during the previous levels. Program participants learn and practice pro-social behaviors, which is further reinforced in the program's fifth level, a six week internship known as Advanced Application of Treatment Principles. The treatment program is structured around a core curriculum, designed to challenge criminal thinking and behaviors. Additional "elective" treatment groups are also included: Anger Management; Inside Out Dad or Positive Parenting; Art Therapy, Communicate with Confidence; Depressed Anonymous; Anxiety Management; Mindfulness Based Stress Management; Pet Therapy; Therapeutic Recreation; Socialization; Relapse Prevention; Release and Reintegration to the Community; Living in Balance; Living an Ethical Life; Compassion and Forgiveness; and Living Life Substance Free.

Re-entry plays a crucial part in an inmate's success upon release. The program is designed to prepare inmates to re-enter society and reduce the rate of recidivism. The program offers classes referred to as "Prison in the Streets" which provides the inmate with information and resources to better prepare them for release. The programs assist with home placement and other resources needed upon release.

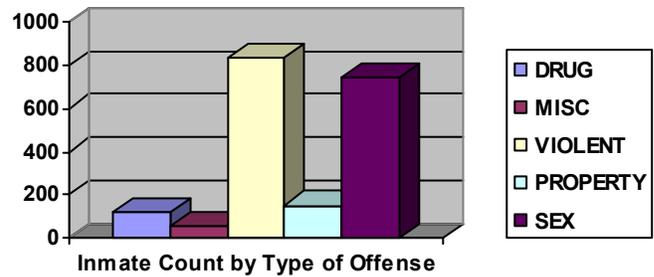
Kentucky State Reformatory

Demographic Data

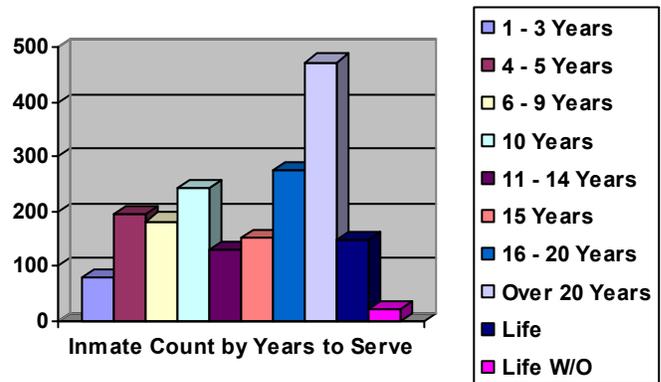
RACE	COUNT	PERCENTAGE
BLACK	466	24.53%
WHITE	1392	73.20%
OTHER	43	2.27%
TOTAL	1901	100.00%



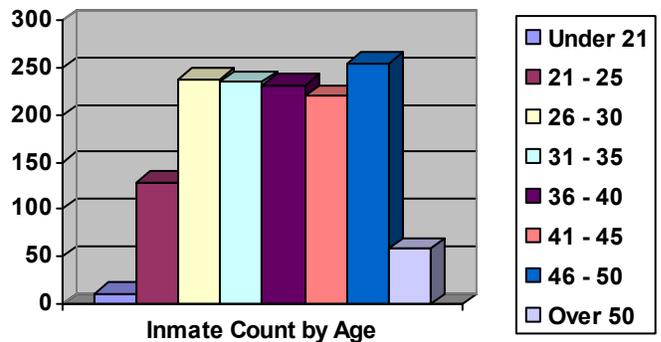
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	117	6.16%
MISC	53	2.74%
VIOLENT	838	44.10%
PROPERTY	151	7.95%
SEX	742	39.05%
TOTAL	1901	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	78	4.11%
4 - 5 Years	196	10.32%
6 - 9 Years	183	9.63%
10 Years	243	12.79%
11 - 14 Years	131	6.89%
15 Years	153	8.05%
16 - 20 Years	274	14.42%
Over 20 Years	473	24.85%
Life	150	7.89%
Life W/O	20	1.05%
TOTAL	1901	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	11	0.58%
21 - 25	129	6.79%
26 - 30	238	12.53%
31 - 35	235	12.37%
36 - 40	232	12.21%
41 - 45	220	11.58%
46 - 50	255	13.42%
Over 50	58	3.10%
TOTAL	1901	100.00%



Little Sandy Correctional Complex



Warden
Joseph P. Meko

Deputy Warden - Security
 David Green

Deputy Warden – Programs
 Paul Holbrook

Administrative Assistant
 Deborah Williamson

Address
 505 Prison Connector
 Sandy Hook, KY 41171

2011 Average Daily Population
 1011

Total Number of Staff
 240

Security Level
 Medium

Overview

Little Sandy Correctional Complex (LSCC) is a medium security institution located in Elliott County Kentucky. It is the department’s newest and most technologically advanced institution.

A total of 1006 inmates are currently housed at LSCC. Our housing includes two General Population housing units with

416 beds in each, a 100 bed minimum security unit and a 90 bed special management unit. LSCC can facilitate up to 1022 offenders total.

There are numerous support buildings including academic and vocational schools, a medical unit, inmate canteen, Correctional Industries, a gym, dining facility and maintenance area.

Little Sandy Correctional Complex operates under the Direct Supervision model of supervision. It encourages staff and inmate interaction and allows the inmates to have direct access to staff when they have a concern.

LSCC received its initial accreditation on August 13, 2007 by the American Correctional Association (ACA) with 100% compliance. The institution was reaccredited on June 11, 2010 and once again earned 100% compliance.

LSCC implemented one of the first Electronic ACA programs in the state in 2011. During the Program Security Review conducted August 29-31 the staff was commended for creating and implementing a program that was so user-friendly and adaptable.

Highlights

In 2011, LSCC implemented new procedures for vehicular traffic entering and exiting the secured perimeter of the institution. Previously, all deliveries were made directly into the secured perimeter. Now, almost all deliveries are made to the warehouse which is



Warden Joseph Meko

outside the secured perimeter and deliveries are made into the secured perimeter by security staff. LSCC received two additional correctional officer positions to facilitate a new sally port operation.

LSCC has also increased security measures at the entry point for pedestrians into the facility. We have changed procedures and implemented new equipment to accomplish this.

LSCC made OC Pepper Spray available to all security staff that are trained in its use. We also added an additional dog to the K-9 unit, bring the total to three dogs.

LSCC conducted its annual critical incident training in October 2011. We included EKCC in that training as well as the Kentucky State Police and local emergency response entities. LSCC’s K-9 unit and the Man Tracker team were deployed. They utilized the newly acquired GPS system for tracking critical incident responders while searching for the “mock” escapees.

Little Sandy Correctional Complex

LSCC has expanded its construction through the development of new sidewalks and recreation tables. We also replaced the gravel in front of the two housing units with a tarmac surface. This will deter inmates from hiding contraband in those areas and provide a more sanitary living condition.

The LSCC Honor Guard was reconstructed and better equipped. They received much training in 2011 and were utilized in presenting the colors at the 2011 KCCD Conference.

LSCC developed and implemented a plan to address the upcoming tobacco free initiative. This included partnering with several entities to offer inmates and staff information on how to quit tobacco, programs to assist in cessation, nicotine replacement products at a reduced rate, and a schedule for reduction in sales as well as new security measures to deter tobacco contraband after March 1, 2012.

Programmatic and Operational Areas

LSCC has very diverse programming to address the needs of a wide spectrum of offenders. We provide quality programs that focus on key fundamentals such as behavior and thinking processes. When further developed, these fundamentals lead to a more structured and self sufficient individual who will have an increased chance of succeeding and a decreased chance of becoming another statistic of recidivism.

Some of the programs offered at LSCC are Alcoholics Anonymous, Cage Your Rage, Domestic Violence, Inmate to Workmate, Tools for Success and Social Skills for Survival.

These programs, as well as others offered at LSCC, assist the inmate in changing their thought process thus changing behaviors. These programs also provide basic interpersonal skills to allow the inmates to better communicate with others when released.

LSCC implemented the program "Inside/Out Dads", a program for inmates who have children. Central Office has directed that all state institutions offer this program. It is an evidence based program and offers 90 days meritorious good time upon completion.

In 2011, LSCC added a second full-time Occupational Technical program to their daytime academic programming. Small Engine Repair is a performance and theory based program that provides training to students on the construction, operation, and maintenance of two and four stroke engines. The Small Engine Repair field offers career opportunities in three different areas: manufacturing, sales, and service. When fully operational the program will enroll an average of 15 students year-round and will offer NOCTI certification upon successful completion.

In 2011, LSCC also added a second part-time Network Cabling (C-Tech) program to the night programming schedule. C-Tech is an industry-recognized per-

formance and theory based program that provides training to students in the field of telecommunications featuring copper based and fiber optic cabling. Ten students are enrolled year-round and successful completers will earn four nationally recognized certifications from C-Tech Associates, Inc. and Levitron Voice & Data.

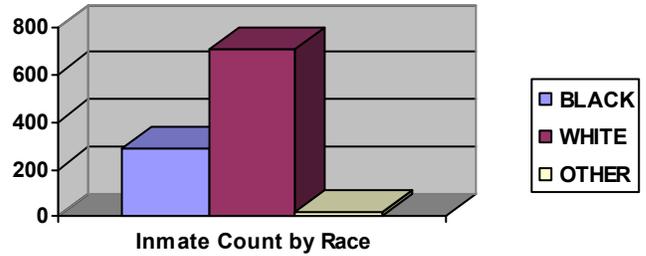
Paws with a Purpose is a canine program that places homeless shelter dogs with carefully selected inmate handlers to be trained in obedience and socialization. This is an intensive six to eight week program. The dogs arrive spayed or neutered, have been checked for all injury and illness, and vaccinated. While here, they receive the greatest measure of care, love, and instruction. Upon graduation these dogs are house-trained, crate trained, leash trained, and respond to voice and hand commands. They possess obedience skills and are highly adoptable. A total of 154 dogs graduated the Paws with a Purpose program in 2011.

LSCC actively seeks partnerships with community and educational entities to provide a more integral programmatic menu to the inmate population. In 2011 LSCC continued its partnership with Eastern Kentucky University to maintain a Cardio-Vascular Study. Inmates that participate in this program receive incentives and also help others understand more about how the heart reacts to different types of exercise and exertion. This program has been a huge success.

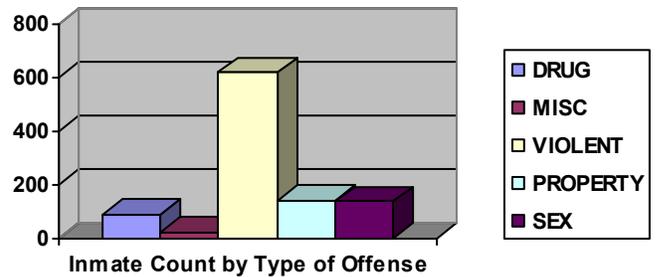
Little Sandy Correctional Complex

Demographic Data

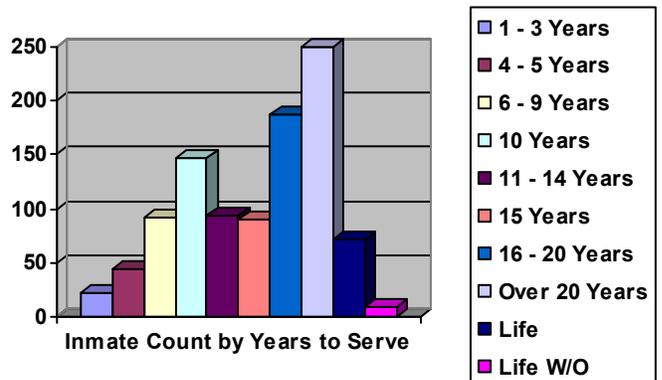
RACE	COUNT	PERCENTAGE
BLACK	285	28.27%
WHITE	704	69.84%
OTHER	19	1.89%
TOTAL	1008	100.00%



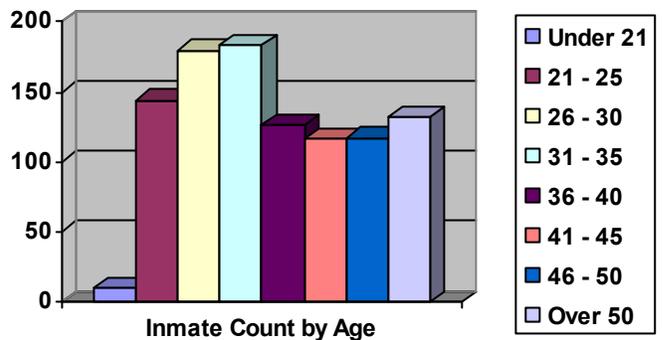
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	91	9.03%
MISC	22	2.18%
VIOLENT	617	61.21%
PROPERTY	141	13.99%
SEX	137	13.59%
TOTAL	1008	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	22	2.17%
4 - 5 Years	44	4.37%
6 - 9 Years	92	9.13%
10 Years	147	14.58%
11 - 14 Years	94	9.33%
15 Years	91	9.03%
16 - 20 Years	187	18.55%
Over 20 Years	249	24.71%
Life	72	7.14%
Life W/O	10	0.99%
TOTAL	1008	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	10	0.99%
21 - 25	144	14.29%
26 - 30	180	17.86%
31 - 35	183	18.15%
36 - 40	126	12.50%
41 - 45	116	11.51%
46 - 50	117	11.61%
Over 50	132	13.09%
TOTAL	1008	100.00%



Luther Lockett Correctional Complex



Warden

Clark Taylor

Deputy Warden - Security
Phillip Bramblett

Deputy Warden – Programs
Tiffany Ratliff

Administrative Assistant
Jennifer Fitzgerald

Address
1612 Dawkins Road
Lagrange, KY 40031

2011 Average Daily Population
1084

Total Number of Staff
260

Security Level
Medium

complex was named in honor of Mr. Luther Lockett, an employee of the Department of Corrections for some 22 years. It stands as a tribute to him and exemplifies his dedication and commitment to duty and excellence in correctional management.

LLCC is unique in that it actually houses two separate institutions. LLCC and the Kentucky Correctional Psychiatric Center (KCPC) operated by the Kentucky Family & Health Services. The two facilities share a number of resources and operate under a written shared services agreement.

The first inmates were received at LLCC in March 1981. The institution was originally designed to house 486 inmates. In 1990, the institution double bunked the cells. In addition, LLCC currently has 102 daybeds in units 7A, 7B and 7C. This brings the present operational capacity to 1097.

Luther Lockett operates under a unit concept that stresses management of inmates at the unit level. Sanitation and security are a constant priority and despite the facility being nearly 30 years old, it is well maintained and provides a safe secure living environment for inmates.

Highlights

2011 was a productive year at Luther Lockett Correctional Complex with no serious assaults against staff and a low number of serious incidents.

Highlights for the year include the opening of a new Critical In-



Warden Clark Taylor

cident Command Center. Tower 10 located behind KCPC had not been manned for a number of years and was converted into the institution's command center. The space was completely renovated and network computers, televisions and additional phone lines were installed. A hostage negotiation office was also placed in the tower in a room located halfway up the structure. This new command center allowed us to close the old command center which was located in the Parole Board Room within the secure perimeter.

The wooden shelving in the inmate library was completely replaced with metal shelving to improve fire safety and to make the area safer and more aesthetically pleasing.

Cameras were installed in the institutional laundry with a monitor placed in the clothing/hygiene room to enable the laundry officer to remotely observe all areas of the laundry while working in the issue room.

A system was put in place to continuously rotate all padlocks located on the yard to avoid prob-

Overview

The Luther Lockett Correctional Complex (LLCC) was the first secure institution to be built in Kentucky since the Kentucky State Reformatory in 1937. This complex was built in direct response to the Federal Consent Decree involving the Kentucky Department of Corrections. The

Luther Lockett Correctional Complex

lems resulting from exposure to adverse weather.

Inmate hard files were eliminated from Offender Information Services with all remaining files being scanned into KOMS.

A large shelter was constructed on the yard outside the dining hall to provide protection from inclement weather for inmates staged for feeding.



LLCC's newly constructed shelter outside the Dining Hall

The exercise and training yard for Camp Canine was expanded to allow separation of dogs and to rotate yards when needed due to mud. A shelter was also added to this area.

Programmatic and Operational Areas

LLCC is a well programmed facility that focuses on providing quality programming to offenders to prepare them for successful re-entry into society. Inmates are expected to be engaged in recommended programs as well as the work program.

Prominent programs include a 192 bed Substance Abuse Pro-

gram (SAP) that operates under a therapeutic community model. The SAP program requires 6 to 8 months to complete. This program serves as a model for other programs in the department and often serves as a training ground for staff from other facilities and jails.

Sex offenders are offered Sex offender treatment at LLCC. This program is designed to provide inmates accountability and tools to keep from re-offending.

Educational opportunities are plentiful at LLCC. Based on individual needs, inmates are encouraged to pursue basic education, GED and College Courses offered through Jefferson Community College. Vocational Courses include Automotive Technology, Carpentry and Masonry. Night-time adult education courses are offered through Oldham County Adult Education. This allows inmates who work full time jobs during the day to pursue their education in the evening.

Inmates can also take advantage of self help courses like Pathfinders, Servsafe, Boundaries, Thinking for a Change and Prison to the Streets. Unique among Kentucky Facilities, Luther Lockett has a Shakespeare group that performs a different work each spring. Shakespeare Behind Bars has been in existence for over 16 years and several of the original members are still in the program.

LLCC continues to partner with the Oldham County Animal Shelter and the Humane Society of Oldham County to rescue dogs



"Camp Canine" Dog Program

and place them within the facility in Camp Canine located in Dormitory 7 Adam. The program maintains 12 dogs at all times and employs trained inmates to teach the dogs basic obedience. With the third anniversary of the program's inception approaching, we have had over 200 successful adoptions.

Kentucky Correctional Industries operates a print shop at LLCC employing approximately 50 inmates. This allows inmates to earn money and learn legitimate work skills in an environment very similar to that in the business world.

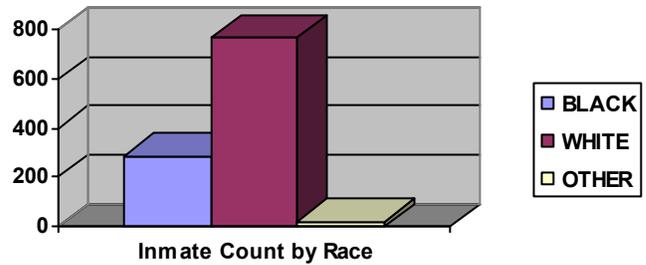


LLCC Print Shop

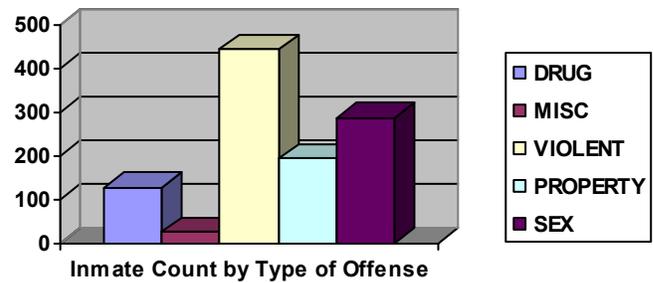
Luther Lockett Correctional Complex

Demographic Data

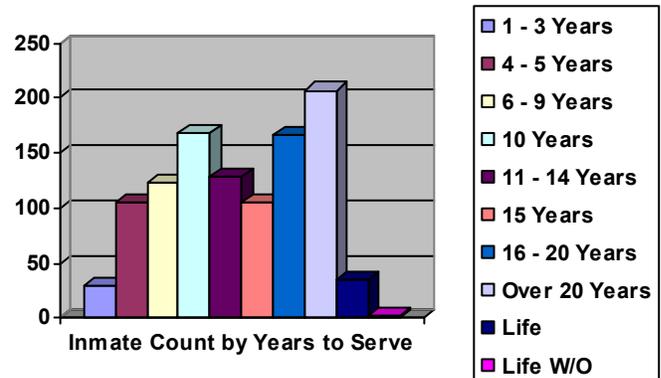
RACE	COUNT	PERCENTAGE
BLACK	286	26.63%
WHITE	769	71.60%
OTHER	19	1.77%
TOTAL	1074	100.00%



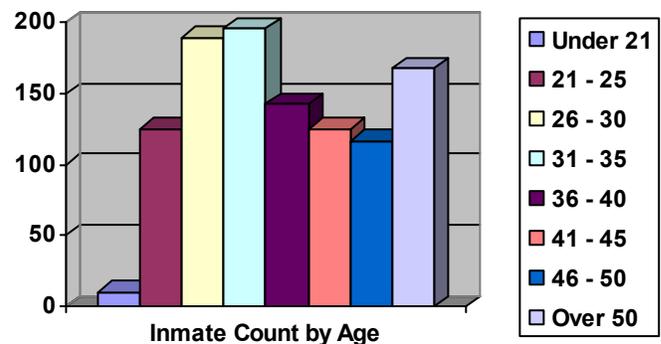
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	128	11.92%
MISC	26	2.42%
VIOLENT	442	41.15%
PROPERTY	193	17.97%
SEX	285	26.54%
TOTAL	1074	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	30	2.79%
4 - 5 Years	105	9.78%
6 - 9 Years	123	11.45%
10 Years	169	15.74%
11 - 14 Years	129	12.01%
15 Years	106	9.87%
16 - 20 Years	167	15.55%
Over 20 Years	207	3.26%
Life	35	0.28%
Life W/O	3	19.27%
TOTAL	1074	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	10	0.93%
21 - 25	125	11.64%
26 - 30	190	17.69%
31 - 35	196	18.25%
36 - 40	143	13.31%
41 - 45	125	11.64%
46 - 50	117	10.89%
Over 50	168	15.65%
TOTAL	1074	100.00%



Northpoint Training Center



Warden

Steve Haney

Deputy Warden - Security
David Gilpin

Deputy Warden – Programs
Julie W. Thomas

Deputy Warden – Operations
Gary Prestigiacomo

Administrative Assistant
Sandy Mitchell

Address
710 Walter Reed Road
Danville, KY 40422

2011 Average Daily Population
653

Total Number of Staff
265

Security Level
Medium

The Department of Corrections received control of the property in January 1983.

NTC was initially conceived as a minimum security institution for fewer than 500 inmates. The mission rapidly changed to the development of a medium security institution with a proposed population of approximately 700 inmates.

The institution has expanded over the years to a bed capacity of 1,256.

Northpoint Training Center consists of 551 acres and approximately 44 structures. General population inmates are housed in open-bay dormitories within a secure perimeter. Special Management Unit inmates are housed in single cells in a 60-bed segregated structure separated from the main compound. Approximately 40 minimum security inmates are housed in dormitories outside the secure perimeter.

The perimeter of the secure compound is a combination of two parallel 12-foot fences with razor wire on the bottom, middle, and top. The inner perimeter fence has a sensor system that alerts the main control, four armed wall towers, an outside patrol and a control center.



Warden Steve Haney

Restoration of Dormitories 3 and 6 was completed by an outside company.

In April 2010, 192 inmates from across the Department were transferred to Northpoint to the newly restored Dormitory 6, raising the capacity of the institution to 698.

In 2011, Dormitories 1, 2, and 3 still house health services, Academic classrooms, Inmate Canteen, and Fire/ Safety and Sanitation while construction of the new 40,000 square-foot multi-purpose building continued. The staff of Northpoint was very creative and resourceful in developing and transforming these areas



Ongoing construction of the new multi-purpose building

Overview

Northpoint Training Center (NTC) is a medium security correctional facility for adult male offenders. The facility is located in northern Boyle County near Danville, Kentucky.

Highlights

As a result of the disturbance on August 21, 2009, staff at Northpoint Training Center has spent much of 2010 and 2011 renovating damaged areas of the institution.

Northpoint Training Center

from housing units to office and program areas in order to provide necessary services to the inmate population.

The new facility, scheduled to be completed in May 2012, will be a single structure that will contain Health Services, Inmate Canteen, Inmate Library, Academic Classes, Program Classes, and Food Services.

In addition, a visitation building is being constructed in close proximity to the Control Center to allow for such events as inmate visits, parole board open hearings, and attorney visits.

Several security advancements were made following the disturbance. All dormitory exit doors were enclosed with security cages on the outside to prevent inmates from gaining access to the yard in the event of a disturbance.

In 2011 work began to install approximately 497 security cameras to monitor inmate activity throughout the institution.

In addition, new interior fencing was added to divide the yard into sections for release of inmates by dormitory in emergency situations as needed. The fencing also enabled the yard to be completely open for the general population by placing barriers between the inmates and any construction areas.

Programmatic and Operational Areas

Through an ongoing federal grant from the National Endowment of the Arts, Northpoint Training

2011 Annual Report



Construction continues on the new Visitation Building at NTC

Center continues to partner with Pioneer Playhouse to present plays and writing classes to the inmate population.

In May 2010, the Sex Offender Treatment Program (SOTP) began at Northpoint. This is a very intensive program that educates sex offenders about appropriate sexual behavior, social interactions, and family history. Through group therapy, the SOTP increases their insight into why they offended, provides sup-



New interior fencing at Northpoint separates the inmates from the construction site

port to offenders as they take responsibility for their offenses, and decreases the likelihood that program graduates will offend again. By the end of 2011, the program had 65 participants.

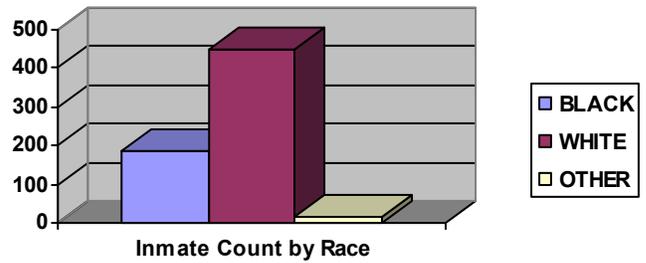
Northpoint continues to offer the Thinking for a Change program. This program involves teaching offenders how faulty thinking patterns contribute to their criminal behavior as well as teaching them how to change these faulty patterns and adopt more appropriate behaviors.

Both of the above programs have greatly enhanced the rehabilitation opportunities for the inmate population. Other programs provided include: Alcoholics/Narcotics Anonymous, Pre-Release, Northpoint Trained Canines, Positive Mental Attitude, Life Without a Crutch, Jaycees, and Veterans Organization.

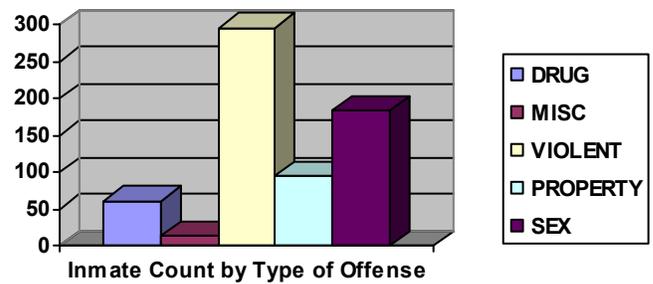
Northpoint Training Center

Demographic Data

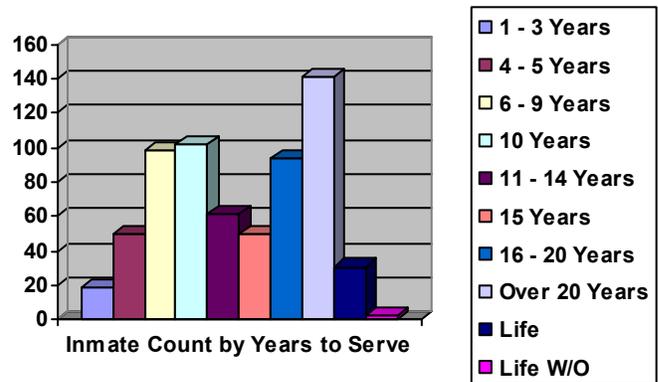
RACE	COUNT	PERCENTAGE
BLACK	188	28.92%
WHITE	445	68.46%
OTHER	17	2.62%
TOTAL	650	100.00%



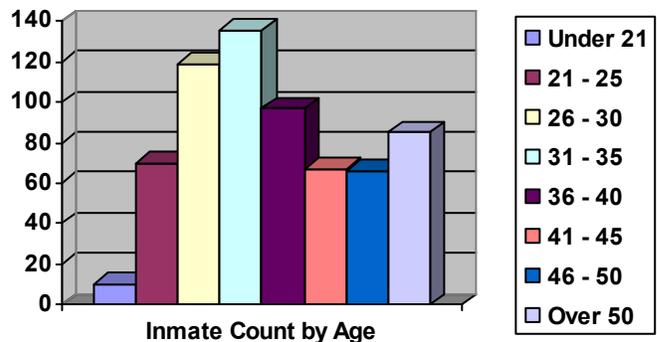
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	61	9.38%
MISC	13	2.00%
VIOLENT	297	45.69%
PROPERTY	95	14.62%
SEX	184	28.31%
TOTAL	650	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	19	2.92%
4 - 5 Years	50	7.69%
6 - 9 Years	98	15.08%
10 Years	102	15.69%
11 - 14 Years	62	9.54%
15 Years	50	7.69%
16 - 20 Years	94	14.46%
Over 20 Years	141	21.69%
Life	31	4.77%
Life W/O	3	0.47%
TOTAL	650	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	10	1.54%
21 - 25	70	10.77%
26 - 30	119	18.31%
31 - 35	136	20.92%
36 - 40	97	14.92%
41 - 45	67	10.31%
46 - 50	66	10.15%
Over 50	85	13.08%
TOTAL	650	100.00%



Roederer Correctional Complex



Warden

James B. Sweatt II

Deputy Warden - Security
Martin "Dino" Granado

Deputy Warden – Operations
Sharon Veech

Administrative Specialist III
Amanda Dees

Administrative Secretary
April Hall

Address
P.O. Box 69
LaGrange, KY 40031

2011 Average Daily Population
1090

Total Number of Staff
244

Security Level
Medium

Overview

The Roederer Correctional Complex was first opened August 28, 1976 as a 150 bed minimum security "work camp" to operate a 3,000 acre prison farm. In December of 1987, the facility was converted to a medium security

facility and in 1989 the Assessment and Classification Center was transferred from the Kentucky State Reformatory to RCC.

There are currently five living units at RCC with a total rated capacity of 1002 inmates, plus 100 temporary beds accommodating inmates while the Northpoint Training Center is under repair.

The Roederer Correctional Complex currently has three missions: 1) The Assessment and Classification Center processes all incoming male felons with the exception of those sentenced to death row; 2) RCC inmates provide support services for the institution including 100 inmates who work in the maintenance department, kitchen, laundry, legal office and grievance office; and 3) The Substance Abuse Treatment Program which is a 200-bed intensive in-patient treatment unit.

Highlights

RCC has continued to face the challenge of filling minimum custody beds in our system. A CUA II was added to oversee the class C and D classification area due to the continual increase in workload .

The re-accreditation audit was conducted April 11- 13, 2011 by the American Correctional Association (ACA). Roederer was the first institution in Kentucky to go through an ACA Audit with all of the files being totally electronic. The ACA Visiting Committee was very complimentary of the



Warden James Sweatt

ACA electronic process as well as the staff work ethic at this institution. Roederer received a 100% compliance score on the audit, which is the highest score ever achieved by this institution.

Construction is currently underway to renovate a prior recreation area into a medical housing unit. The new medical housing unit will include four open-wing beds and one negative pressure isolation room.



Construction on the new Medical Housing Unit

Programmatic and Operational Areas

Roederer's GED and college programs are offered to all permanent residents. During 2011, 17 GED's were awarded and three inmates that earned Associate of

Roederer Correctional Complex

Arts degrees. In addition, we had one inmate receive his 2nd level Waste Water Management Certification.

The Anger Management Program is a 12-week course offered to permanent inmates. To be eligible for the course, inmates must be within one year of their parole eligibility date or serve out date.

The Substance Abuse Program (SAP) is a nine month program that offers many unique re-entry benefits for the inmates including “The Bridge Aftercare Ministries” and an Offender Re-entry Help Line. The Bridge Aftercare Ministries is a faith-based, Christian program for inmates within six months of their release date. The Offender Re-entry Help Line is staffed by offenders who assist ex-offenders that are seeking resource information in their communities such as social services, financial services, substance abuse treatment and emotional support. Selected offenders who participate in SAP are often invited to speak to “at risk” youth throughout Oldham, Jefferson, Shelby, Henry and other surrounding counties in Kentucky.

The Incarcerated Veterans Transitional Program is a project funded by the Kentucky Department of Veteran’s Affairs in cooperation with the DOC. Eligibility for the program is based on 180 days of active military service with a separation that is not a “bad conduct” or “dishonorable discharge” and incarceration in a Kentucky state prison after April 1, 2004.

The veterans who are enrolled in this transitional program are offered the following services: assistance with transitional housing, job training assistance, job search assistance, job placement services, periodic follow-up contacts and referrals to other service providers. In 2011 the RCC Veterans Club partnered with the RCC AA/NA Club in donating to Toys for Tots. The Clubs donated a check to the United States Marines in the amount of \$350 so toys could be purchased for needy children in the community.



Horse program at RCC

The Farm Operation continues to utilize a horse program in which inmates use horses to check cattle, fences and perform various other duties. Inmates are also instructed in the proper procedures for feeding, grooming and caring for the horses. The farm manager developed a curriculum based on Clinton Anderson’s Horsemanship philosophy to teach inmates proper horse training methods. After successful completion of the program each inmate receives a certificate of completion. The program is in the final stages of receiving final approval through central office as an approved DOC inmate program. Once this program receives final approval, inmates will receive 90 days

meritorious good time upon successful completion of the program.

Residents operate a staff car wash fundraiser during the summer months with the proceeds going to various charitable organizations. A contribution was given to KCCD’s annual children’s Halloween party. Donations were also given to the following organizations – Stewarts Staff, The Healing Place and the Kentucky Employees’ Charitable Campaign. Donations were also made by the Bridges club and AA/NA club to the Crusade for Children. The total amount of charitable giving by the inmate-ran clubs during 2011 was \$4,500.

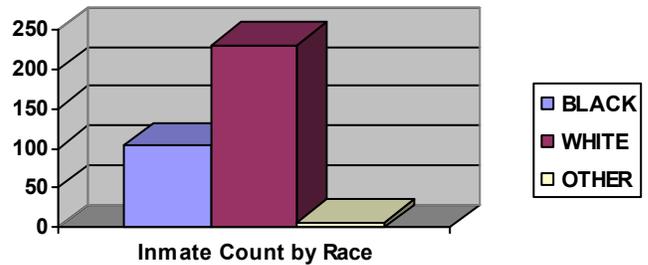
In 2011, Roederer Correctional Complex began offering the “Inside Out Dad” Program. This is a six month program that is offered to permanent inmates and is designated to bridge the gap between the inmate father and his children. To be eligible for enrollment in this program, inmates must have more than 6 months before they see the Parole Board. Upon successful completion of the program, inmates are awarded 90 days meritorious good time.

On August 12, RCC provided a public performance by the “Kentucky 31 Fescue” inmate bluegrass band that is led by the RCC Chaplain. This performance was in downtown LaGrange and was the most successful performance of the summer concert series. The merchants and other LaGrange officials were so pleased that they have requested the band to perform at other city events.

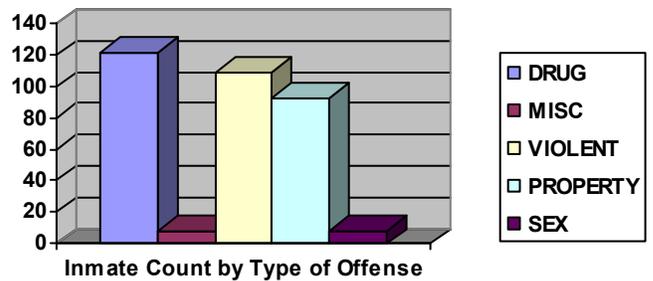
Roederer Correctional Complex

Demographic Data

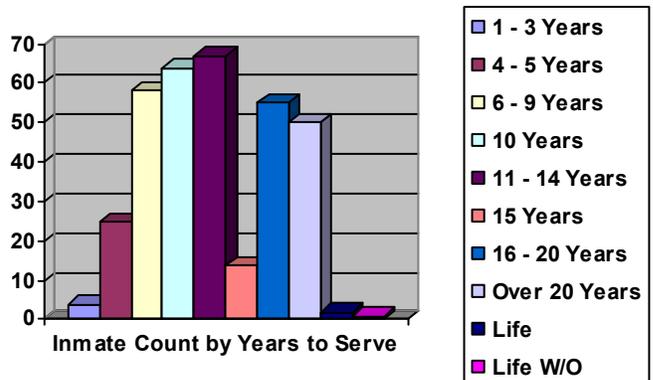
RACE	COUNT	PERCENTAGE
BLACK	103	30.29%
WHITE	231	67.94%
OTHER	6	1.77%
TOTAL	340	100.00%



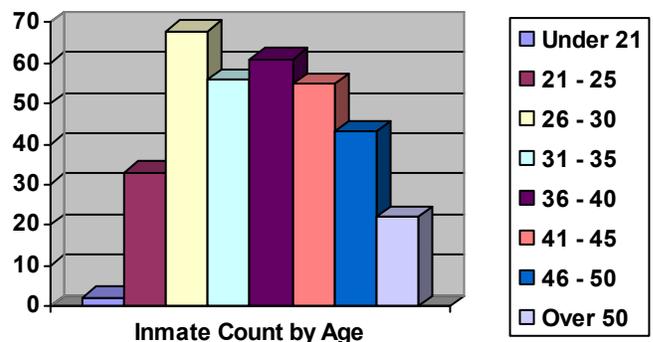
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	122	35.88%
MISC	8	2.35%
VIOLENT	109	32.07%
PROPERTY	93	27.35%
SEX	8	2.35%
TOTAL	340	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	4	1.18%
4 - 5 Years	25	7.35%
6 - 9 Years	58	17.06%
10 Years	64	18.82%
11 - 14 Years	67	19.71%
15 Years	14	4.12%
16 - 20 Years	55	16.18%
Over 20 Years	50	14.70%
Life	2	0.59%
Life W/O	1	0.29%
TOTAL	340	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	2	0.58%
21 - 25	33	9.71%
26 - 30	68	20.00%
31 - 35	56	16.47%
36 - 40	61	17.94%
41 - 45	55	16.18%
46 - 50	43	12.65%
Over 50	22	6.47%
TOTAL	340	100.00%



Western Kentucky Correctional Complex



Warden

Bryan K. Henson

Deputy Warden-Security
Vanessa Kennedy

Deputy Warden-Programs
Steve Woodward

Administrative Assistant
Kim Odom

Address
374 New Bethel Road
Fredonia, KY 42411

2011 Average Daily Population
670

Total Number of Staff
239

Security Level
Medium

Overview

The Western Kentucky Correctional Complex (WKCC) was constructed in 1968 as a satellite facility of the Kentucky State Penitentiary. In 1977 this facility became a separate minimum security institution called the Western Kentucky Farm Center. In 1989 the institution was converted to a medium security facility and the name changed to Western Kentucky Correctional

Complex. The institution houses 693 inmates and includes a 200 bed minimum security unit, 44 cells (with two additional Observation Cells) in a Special Management Unit and 477 medium security beds that house inmates in three open wing dormitories.

Inmates are offered academic and vocational programs along with employment opportunities that prepare them to contribute to society in a positive manner upon release. The facility has been accredited by the American Correction Association since 1988. The institution has successfully completed re-accreditation eight times, with the most recent audit in May 2009, achieving our second consecutive 100% compliance, a first ever in Kentucky for a medium security institution.

WKCC has a farming operation, situated on 2,200 acres, with a herd of 800 beef cattle, 405 acres of corn, 300 acres wheat and 355 acres of soybeans. There is also a 15 acre garden and a 275 tree apple orchard, as well as a composting operation.

WKCC also operates a regional recycling center that partners with six surrounding counties that results in an average landfill saving of 500 tons per year.

Highlights

In 2011, WKCC made a concerted effort to initiate areas to help increase staff morale and communications at WKCC. We implemented a new "Info System" in both staff break areas. There are two monitors, one lo-



Warden Bryan K. Henson

cated in each break room, which will broadcast items such as classified ads, short funny videos, important policy changes, pertinent memos and job opportunities. In April, we opened our new staff canteen that allows all shifts to have a hot meal at a very reasonable price. Also, a coupon board was placed in the staff break rooms to help staff with the current difficult economic times.

In January 2011 WKCC partnered with Volunteers from the Caldwell County Animal Rescue to begin our new Dog Program called "Saving Animals From Euthanasia" (SAFE). This includes a training program with inmate handlers to train dogs for the purpose of adoption. In May 2011, we celebrated our first graduating class from SAFE.



Secretary J. Michael Brown speaks at the S.A.F.E. graduation

Western Kentucky Correctional Complex

WKCC employees participated in the 2011 Polar Plunge for Special Olympics at Kentucky Dam on February 19th. The team name was Frigid Felons and their slogan was "I busted my ice at the polar plunge!" The 11 member team raised \$2,008.72 for Special Olympics.

In March 2011, WKCC staff and inmates raised \$3,049.72 for the March of Dimes campaign. WKCC was the top fundraiser within the Department of Corrections for this charity.

April 5, 2011 marked the one year anniversary for WKCC receiving its first female inmates after the conversion to a female facility.



Inmates fill sand bags to help the community when they experienced local flooding issues

In April 2011, WKCC assisted the local community by providing inmate detail crews to fill sand bags to assist with local flooding.

In May 2011, WKCC staff participated in a statewide Earthquake Awareness exercise.

On June 8, 2011, House Bill 463 became effective and brought about many changes to the Records Department regarding sen-

tencing, jail credit, SOCD and adding post incarceration supervision.

In August 2011, the WKCC CERT assisted the Caldwell County Jail in conducting searches of the jail.

In September 2011, construction of the Minimum Security Unit addition began. This 3400 square foot addition will provide additional space for medical and programming needs.



Minimum security unit - medical edition (under construction)

The 2nd Annual Celebrate Life Event was held on October 1st. This event included over 130 children, 70 inmates and 300 volunteers.

In October 2011, WKCC presented the Caldwell County Animal Rescue with a check for \$2,129.88 with funds it raised from an inmate Hygiene Sale.

Programmatic and Operational Areas

In February 2011, WKCC obtained approval to purchase and install a video surveillance system for the WKCC Minimum Security Unit. It will be a standard analog system and adds about 25 cameras in predetermined areas throughout the unit.



Minimum security unit security camera enhancements

In February 2011, the equipment for Tele-psych conferencing was successfully implemented. This allows better service to inmates that take medications and allows us to conduct interviews without moving the inmates outside the unit.

In March 2011, A Microsoft Office Technology class and Cable Technology class was approved and will be offered to both the minimum and compound units.



Cable Technology class taught by Deputy Warden Steve Woodward

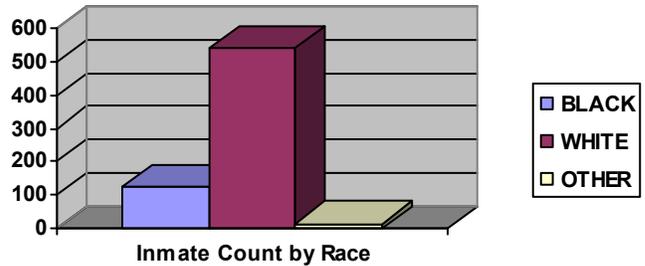
In June 2011, the WKCC Substance Abuse Program (SAP) received licensure.

The following inmate classes began in January: Bible College, Parenting with a Bonding visit component, C-Tech and Bridges to Freedom.

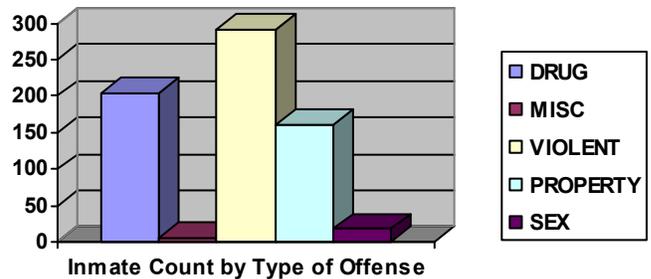
Western Kentucky Correctional Complex

Demographic Data

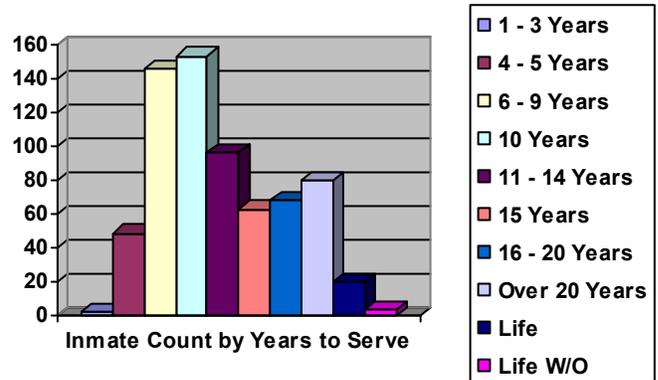
RACE	COUNT	PERCENTAGE
BLACK	126	18.50%
WHITE	542	79.59%
OTHER	13	1.91%
TOTAL	681	100.00%



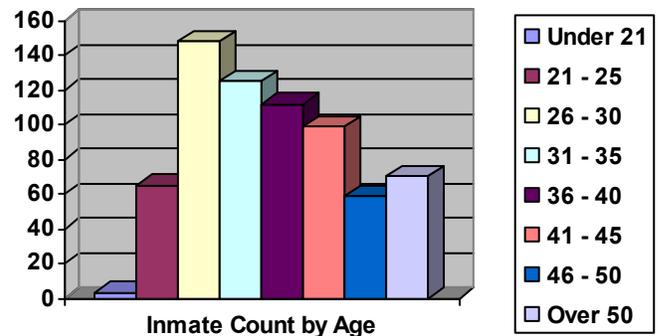
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	204	29.96%
MISC	6	0.88%
VIOLENT	292	42.88%
PROPERTY	161	23.64%
SEX	18	2.64%
TOTAL	681	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	3	0.44%
4 - 5 Years	49	7.20%
6 - 9 Years	145	21.29%
10 Years	153	22.47%
11 - 14 Years	96	14.10%
15 Years	63	9.25%
16 - 20 Years	68	9.99%
Over 20 Years	80	11.75%
Life	20	2.94%
Life W/O	4	0.57%
TOTAL	681	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	3	0.44%
21 - 25	65	9.54%
26 - 30	148	21.73%
31 - 35	125	18.36%
36 - 40	112	16.45%
41 - 45	99	14.54%
46 - 50	59	8.66%
Over 50	70	10.28%
TOTAL	681	100.00%



Marion Adjustment Center



Warden

Daniel Akers

Assistant Warden

Harrell Gray

Chief of Security

Cabrina Gadberry

Chief of Unit Management

Ralph Clifton

Address

95 Raywick Road
St. Mary, KY 40063

2011 Average Daily Population

812

Total Number of Staff

200

Security Level

Medium

Overview

Marion Adjustment Center (MAC) was established through U.S. Corrections Corporation in 1986. It was the first privately owned and operated adult male correctional facility in America.

The facility is located in St.

Mary, Kentucky five miles from Lebanon in Marion County. The building and grounds were once home to a catholic college in 1821 and St. Mary's Catholic Seminary in 1929.

The facility sits on 120 acres and has the capacity to house 862 inmates. It is comprised of three housing units, two minimum and one medium, along with various other buildings that are utilized for recreation, food service, medical and academics as well as substance abuse and other programs.

In 1998, MAC was purchased by Corrections Corporation of America who still operates the facility today.

Highlights

During 2011, Marion Adjustment Community Service inmates participated in the rebuilding and expansion of the Marion County Fagan Branch trail. The inmates rebuilt miles of trails and bridges for the county.

The Human Kindness Organization (HKO) held its annual fall festival where 87 minimum security inmates interacted with over 100 physically and/or mentally handicapped individuals from three surrounding counties. Some of the activities during the festival included dancing, basketball, softball, bean bag toss, bowling and jump rope. A picnic lunch was provided for the inmates and participants. HKO inmates sponsor various fundraisers throughout the year to cover the expense of the yearly festival.



Warden Daniel Akers

Programmatic and Operational Areas

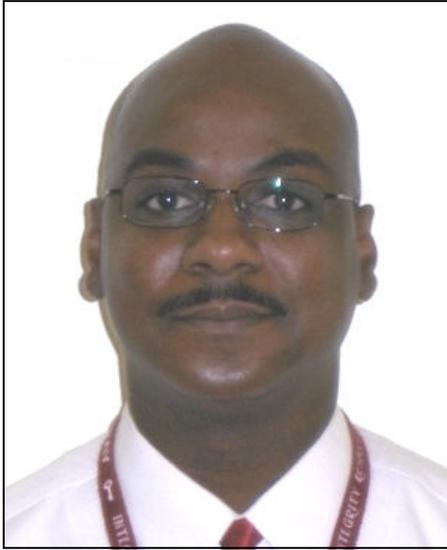
The goal of the facility is to prepare the inmates to return to their communities as responsible and law abiding citizens. Through programming offered at MAC, it is our hope that upon release, the inmates will become responsible, productive members of society. The programs currently offered at MAC are:

MAC provides a comprehensive educational program ranging from literacy to GED preparation. Optional College courses are offered and coordinated with Campbellsville University. In 2011, MAC had a total of 76 inmates complete their GED.

MAC also offers three Vocational classes - Construction Technology, Horticulture, and Microsoft Office Specialist.

Construction Technology is offered through the National Center for Construction Education and

Marion Adjustment Center



Assistant Warden Harrell Gray

Research (NCCER) and teaches the inmate construction techniques for building houses. In 2011, a total of 12 inmates completed the Construction Technology class.

Horticulture, the study of plants and flowers, is offered through the National Occupational Competency Testing Institute (NOCTI). A total of 13 inmates completed the Horticulture class in 2011.

Microsoft Office Specialist is offered through Certiport. This program enables inmates to be certified in Microsoft Office Word, PowerPoint, Excel and Access. Four inmates were certified as Microsoft office specialists this year.

We also offer the National Career Readiness Certificate (NCRC) to the Vocational students.

Inmate work programs allow inmates to work both inside and outside the institution in areas

such as food service, laundry, maintenance and other jobs throughout the facility. Inmates gain experience and develop work habits that will be beneficial when returning to mainstream society.

Inmates with level-one custody may participate in the community service programs outside the institution. Outside work programs include such things as set-up and clean-up for the annual Marion County Country Ham Days festival, "adopt-a-highway" program and working with the Transportation Cabinet to clear brush and debris off of the highways.

MAC's Second Chance Canine Program has proven to be very successful. This program saves dogs from the local animal shelter that would have otherwise been euthanized. The inmates assigned to this program crate train and teach the dogs proper social and interaction skills before adopting them out to loving families. Since the program's inception, over 100 dogs have been trained and adopted through the program.

The Residential Drug Abuse Program (RDAP) assists inmates in dealing with substance abuse problems. This behavior modification program provides participants with the necessary tools to return to the community, remain sober and make a positive contribution to their families. This is achieved through physiological detoxification, behavior modification and spiritual evolution. Life Line offers its residents the opportunity to develop pro-social

behavior and values by learning to live together in a highly structured therapeutic community environment. MAC has the capacity to house 188 minimum and 88 medium security inmates in substance abuse beds. In 2011, a total of 219 minimum and 64 medium security inmates graduated from the RDAP.

Narcotics Anonymous and Alcoholics Anonymous are on-going support groups with the purpose of giving encouragement to recovering drug addicts and alcoholics.

Thinking for a Change (T4C) is offered in the medium security unit. This program focuses on cognitive restructuring and social skills. It teaches problem solving and helps offenders identify thinking errors that will assist them in taking charge of their life.

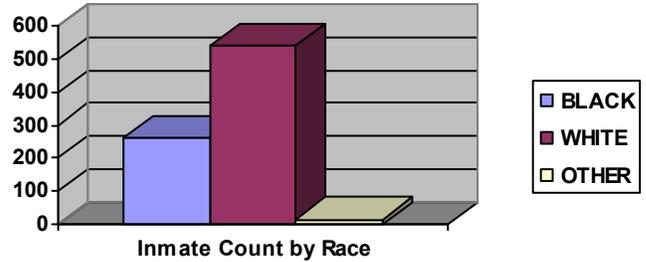
MAC offers a variety of religious programming to the inmate population in order to meet their many diverse needs. There are numerous programs offered throughout the week. Special services are also scheduled and many times include outside religious authority figures that visit the chapel and hold services.

Inmates are provided opportunities to participate in both indoor and outdoor recreational activities every day of the week. Special recreational activities are periodically offered under the direct supervision of the Recreation Supervisor and their staff. Inmate workers are also utilized to assist with the recreational programs.

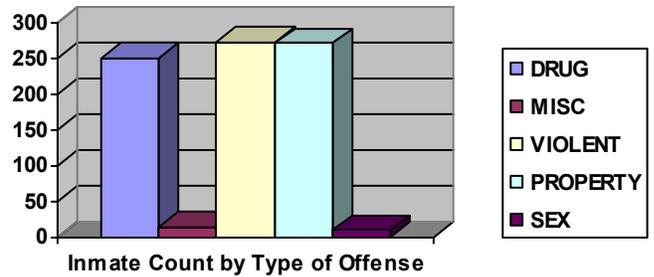
Marion Adjustment Center

Demographic Data

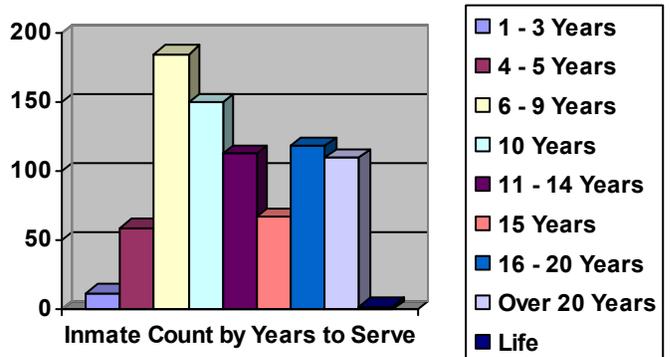
RACE	COUNT	PERCENTAGE
BLACK	261	31.95%
WHITE	543	66.46%
OTHER	13	1.59%
TOTAL	817	100.00%



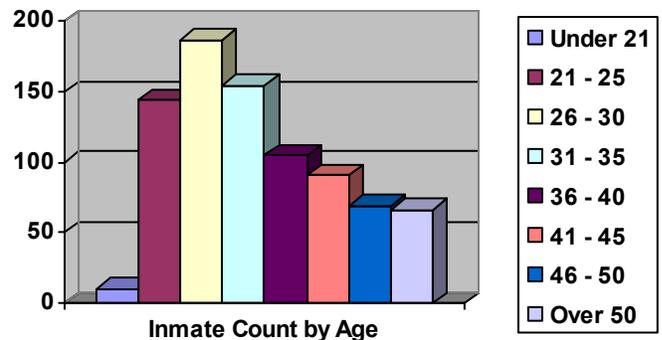
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	250	30.60%
MISC	15	1.84%
VIOLENT	272	33.29%
PROPERTY	270	33.05%
SEX	10	1.22%
TOTAL	817	100.00%



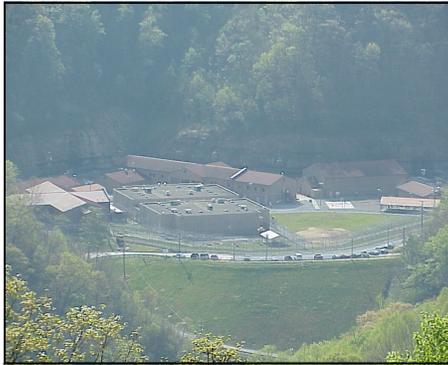
YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	12	1.47%
4 - 5 Years	59	7.22%
6 - 9 Years	185	22.64%
10 Years	150	18.36%
11 - 14 Years	113	13.83%
15 Years	67	8.20%
16 - 20 Years	119	14.57%
Over 20 Years	110	13.46%
Life	2	0.25%
TOTAL	817	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	10	1.22%
21 - 25	144	17.63%
26 - 30	187	22.89%
31 - 35	155	18.97%
36 - 40	105	12.85%
41 - 45	91	11.14%
46 - 50	69	8.45%
Over 50	66	8.07%
TOTAL	817	100.00%



Otter Creek Correctional Center



Warden
Grady Perry

Assistant Warden
Danny Christmas

Administrative Assistant
Linda Little

Address
P.O. Box 500
Wheelwright KY, 41669

2011 Average Daily Population
638

Total Number of Staff
160

Security Level
Medium

Overview

Construction began on Otter Creek Correctional Center (OCCC) in 1993. Otter Creek was originally built by U.S. Corrections Corporation of Louisville. In April 1998 USCC was purchased by Corrections Corporation of America. In August 2005 the facility began accepting female inmates from the states of Kentucky and Hawaii.

The facility began a transition from housing female inmates to housing Kentucky DOC male inmates in April 2010. The America Correctional Association first accredited this institution in 1996. The facility has been reaccredited five times with the most recent occurring in March 2011.

Leadership of OCCC is under Grady Perry, who was named warden in March 2011. He most recently served as assistant warden at CCA's Silverdale Detention Facilities. Perry started his career with CCA in 1992 as a correctional officer at South Central Correctional Center, where he also served as senior correctional officer, shift supervisor and assistant chief of security. He also spent 13 years serving in the Tennessee National Guard.

Highlights

There was an average of 638 male inmates housed through out 2011. Throughout 2011 OCCC utilized the Unit Management concept. The unit teams actively work with the inmates. They conduct investigations on each incident that occurs in the unit. The unit teams' schedules have been altered to cover more evening



Warden Grady Perry

hours. They also monitor the chow hall, dorms and all hallways. There was an increase in the frequency and involvement of the team on a "one on one" basis. To increase the effectiveness of the unit teams, permanent officers are assigned to each of the housing units, thus allowing them to play an active role in the units they are running.

Security enhancement at Otter Creek during this period involved the installation of a non-lethal stun fence. OCCC then implemented the PELCO/Fence camera system that allows for three cameras to view an area in which a fence alarm is sounded. The new system allows for the warden, assistant warden, chief of security, chief of unit management, shift supervisors and central control to access the cameras from their computer. Otter Creek also added a management fence to assist in the control of inmate movement. This fence also allows for control of inmates during an emergency situation.



Otter Creek Correctional Center

Otter Creek Correctional Center

Programmatic and Operational Areas

OCCC offers many different programs for an offender to learn new skills and training that will help them to prepare for integration back into society.

The facility offers the "Ideas for Better Communication" program. This program includes a collection of materials for leading counseling sessions on ways to improve relationships through communication. There were 187 graduates of the programs last class. The facility continues to offer the program "Thinking For a Change". In 2011, there were 55 inmates who successfully completed this program. Other

programs offered include: AA/NA with 79 attendees, Parenting with 67 attendees, Anger Management with 92 attendees, Life without a Crutch with 25 attendees and Prison to the Streets with 81 attendees.

In 2011, OCCC's education department had a daily average attendance of 125 inmates. The facility has eight teachers, one clerk and one education supervisor. During 2011 a total of 45 inmates earned a GED certificate and 114 completed the vocational programming.

Otter Creek's Residential Drug Abuse Program (RDAP) is a six month program. These programs are specifically customized for

particular facilities and their inmate population. They provide a therapeutic community environment, discussion and counseling groups, and post release follow-up support to transitioning offenders. The program is voluntary and offenders choose to enter a "community" within the facility where everyone is sharing a common goal of breaking their addictions.

Otter Creek Correctional Center staff knows that a safe and secure institution is the most important aspect in corrections and facility management. Otter Creek will continue to strive to implement new programs and make operational changes to enhance our customer satisfaction.

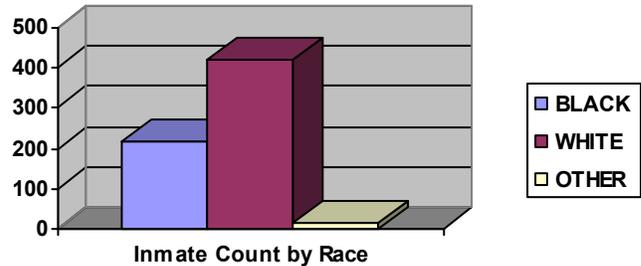


Housing Unit at Otter Creek Correctional Center

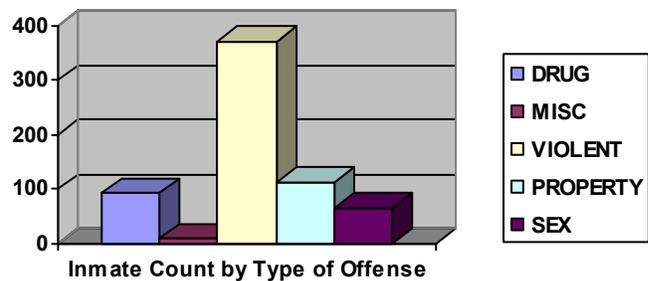
Otter Creek Correctional Center

Demographic Data

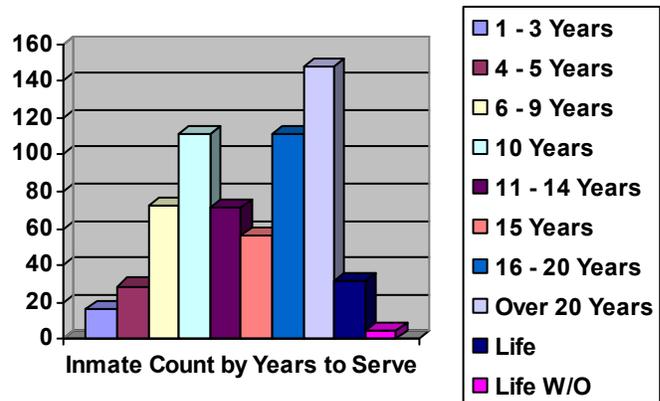
RACE	COUNT	PERCENTAGE
BLACK	219	33.59%
WHITE	419	64.26%
OTHER	14	2.15%
TOTAL	652	100.00%



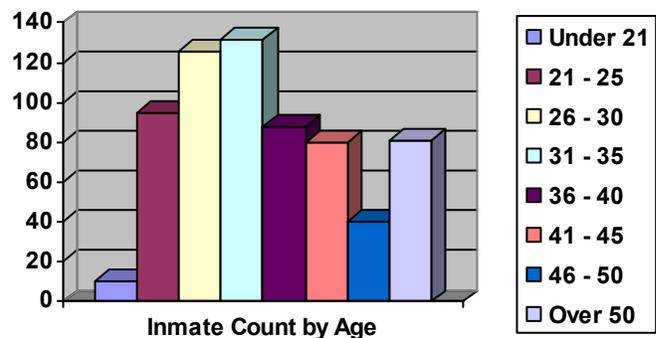
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	93	14.26%
MISC	10	1.53%
VIOLENT	370	56.76%
PROPERTY	113	17.33%
SEX	66	10.12%
TOTAL	652	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	17	2.61%
4 - 5 Years	29	4.45%
6 - 9 Years	72	11.04%
10 Years	111	17.02%
11 - 14 Years	71	10.89%
15 Years	56	8.59%
16 - 20 Years	111	17.02%
Over 20 Years	148	22.70%
Life	32	4.91%
Life W/O	5	0.77%
TOTAL	652	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	10	1.52%
21 - 25	95	14.57%
26 - 30	126	19.33%
31 - 35	132	20.25%
36 - 40	88	13.50%
41 - 45	80	12.27%
46 - 50	40	6.14%
Over 50	81	12.42%
TOTAL	652	100.00%



Office of Community Services and Local Facilities

Deputy Commissioner Rodney Ballard

Division of Local Facilities
Director
Jeff Burton

Division of Probation and Parole
Director
Tim Carman



*Rodney Ballard
Deputy Commissioner
Offices of Community Services
and Local Facilities*

Division of Local Facilities

The Division of Local Facilities is charged with overseeing community-based programs for inmates, enforcement of minimum jail standards, guidance with construction and renovation matters, complaints and investigations.

The Division of Local Facilities provided funding to the 120 Counties across the Commonwealth. These funds were provided through many funding programs, such as direct payment for housing state inmates, medical allotment payments, bed allotment payments, pay for inmate labor, and payments for catastrophic medical claims.

Local Facilities provided inmate labor for many local community projects. This labor provides free labor for community-service-related projects. Inmate labor can be used by the Commonwealth or an agency of the Commonwealth, a county or agency of the county, or by a nonreligious-sponsored nonprofit, charitable, or service organization.

Local Facilities provides technical assistance in overall jail op-

erations, policy and procedures, and staff development training. In addition, Local Facilities works with county governments to provide guidance during the architectural drawing phase for jail renovation and new construction projects. The Division also monitors the construction process from the planning stages through the opening of the new facility.

The Local Facilities Division conducted jail inspections to all jail facilities across the Commonwealth. These inspections pro-



*Jeff Burton, Director
Local Facilities*

vided vital information of the operations of the local detention centers. These inspections also provided the detention centers with guidance for providing safer housing of the inmates, and ways to keep the communities that they serve safer.

The Local Facilities Division acts as the agent for the Community Corrections Grants Program. This program provides funding to community based agencies for alternatives to incarceration. These programs include home incarceration, community service projects, drug testing, home visits, counseling, and many other types of services.

State Inmate Population in County Jails

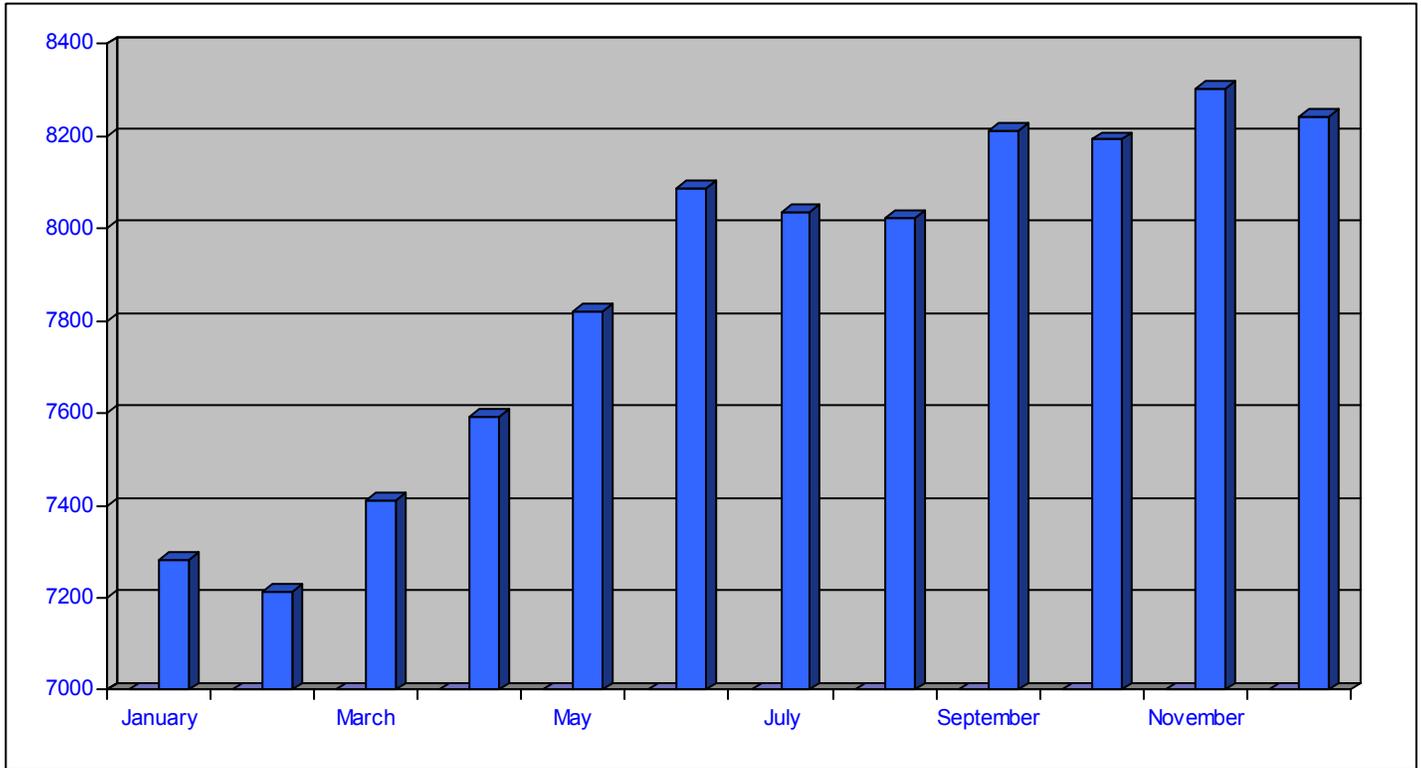
In most years, the state inmate population has rhythmic cycles throughout the year but this has not been the case in the last three years. The nation's weak economy continues to increase the burden on the state and counties budgets to fund prison and jail operations. The Department has been slowly forced through legislation (HB 463) and other means to try to reduce the number of state inmates in prisons and county jails.

In 2010, the average population of state inmates in county jails was 7,549. In 2011, the average increased to 7,868. The average number of state inmates in county jails declined steadily through the second half of 2010 but as we moved through 2011 this trend reversed itself and we nearly reached a new record average

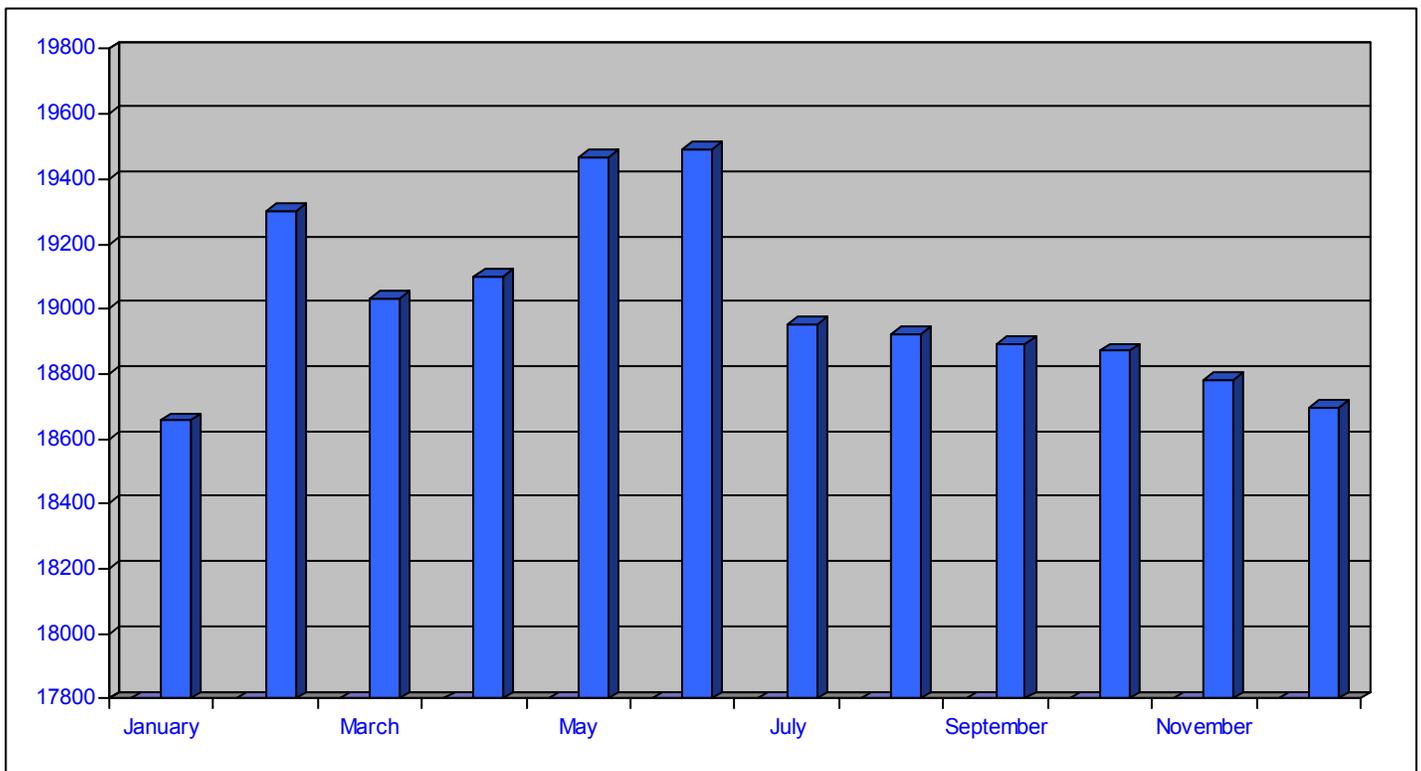
Division of Local Facilities

Average State Inmate Population Housed in County Jails as reported for January - December 2011

The numbers in this chart reflect all classes of state inmates housed in county jails; Including Controlled Intake, Class D & C, Budget D, SB4 and Alternative Sentence Inmates.

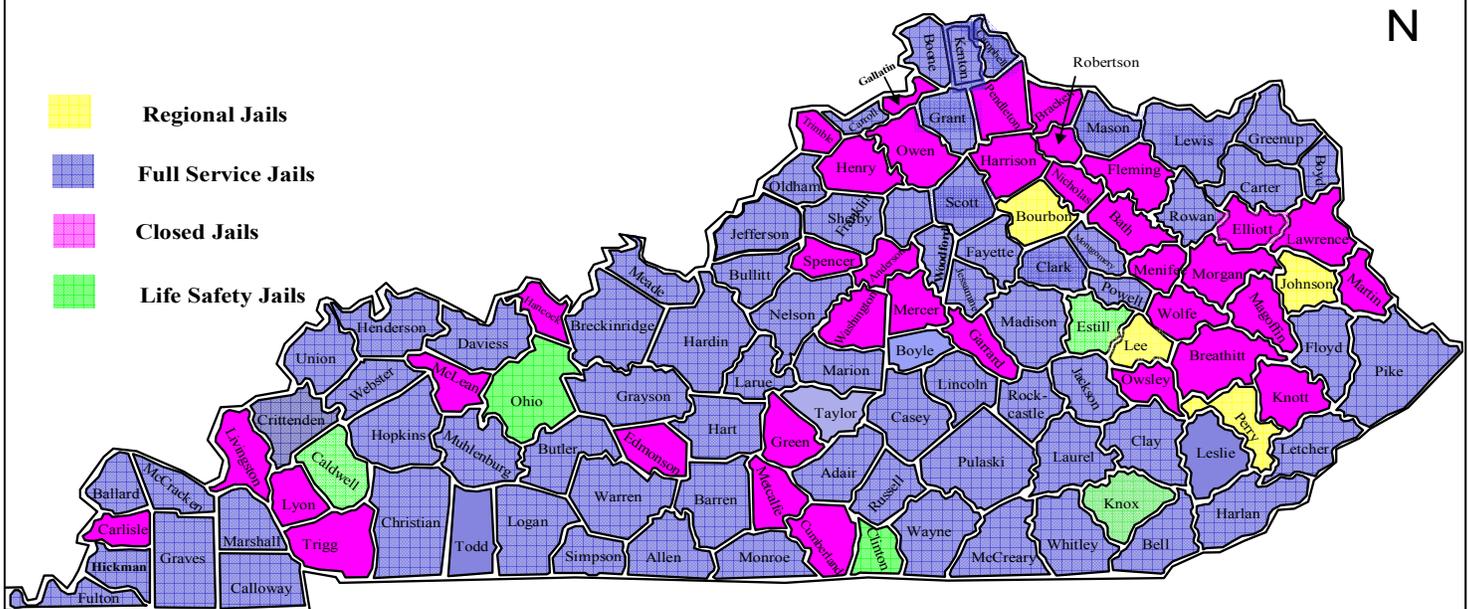


Total Average Inmate Population in County Jails as reported for January - December 2011



Division of Local Facilities

2011 Jail Classifications



Currently the state has 75 Full Service Jails, 4 Regional Jails, 5 Life Safety Jails, 36 Closed Jails

number of state inmates being housed in County jails (8,314 in November 2009 vs. 8,303 in November 2011). This is attributed to a combination of factors including but not limited to: continued expansion of the Budget D inmate program, closure of the Frankfort Career Development Center in June 2011, inmates no longer being housed at the Lee Adjustment Center, inmates being held for parole upon completion (PUC) in the SAP Program and the overall increase in the jail and inmate population.

However, this upward trend is expected to be short lived with the full implementation of HB 463. In January 2012, the Department released 916 state inmates from prisons and county

jails on mandatory reentry supervision (MRS). Each month thereafter, it is expected that an average of 292 inmates will be released by the Department on MRS or serve out. The releases from jails and halfway houses are expected to average 96 inmates per month. State inmates housed in county jails will be further reduced as inmates are rolled back into the adult institutions to fill vacant beds and controlled intake inmates are brought into the assessment center in a timelier manner.

In November 2010, the Department expanded the Class D program (Special Session House Bill 1) to allow inmates who are serving more than five years on a Class D felony, but who have less

than five years remaining on their sentence, to be housed in county jails under certain conditions. This was done in an effort to help county jails fill their empty beds. This program initially began with 60 inmates in five different jails. Currently there are 282 of these inmates in several county jails. A total of 497 inmates have been classified and transferred to the county jails since the program began in November 2010.

In June 2011 the Frankfort Career & Development Center (FCDC) closed and reduced the total prison bed population by 205 beds. The Governmental Services Program located there was moved to the Franklin County Jail along with the 71 inmates that were in the program.

Division of Local Facilities

The average number of county inmates in local jails grew at a steady pace through September 2010, topping out at just over 12,000 inmates; however, since that time the average number has been steadily declining and reached a low of 9,220 in December 2011. Although the population trend of inmates (county vs. state) housed in county jails has temporarily reversed, the overall population of all inmates in county jails has increased by only 2.2% from 2009-2011. The implementation of House Bill 463 appears to be directly related to the decline in the number of county inmates housed in jails.

The county jails in eastern Kentucky remain the most overcrowded with county inmates. The Department continues its policy of moving state inmates from overcrowded jails to jails where beds are available. When a jail's inmate population exceeds the rated bed capacity by more than 25% the Department will remove state inmates from that jail.

Finance

The Division of Local Facilities provided more than \$105,000,000 funding to the 120 counties across Kentucky in fiscal year 2011. These funds were provided through many funding programs, such as direct payment for housing state inmates, medical allotment payments, bed allotment payments, pay for inmate labor, and payments for catastrophic medical claims.

House Bill 463 requires the Department to measure and document any cost savings resulting from the bill, and that these savings be reinvested or distributed as specified in the statute. As a result, the department shall determine the average cost of incarceration for local jails, including health care costs, transportation costs and other related costs for fiscal year 2011. The department calculated this baseline cost for jails using the population data that is reported to the Department each week along with financial data that was reported to the De-

partment for Local Government (DLG) for FY 2011. The mean daily cost for housing a prisoner in a county jail was calculated to be \$36.12 per day.

During Fiscal Year 2011 the Department of Corrections paid Kentucky county jails a total of 84,662,979.83. This amount was paid at a per diem rate of \$31.34 dollars per inmate; \$29.43 for housing and \$1.91 for medical.

The Division of Local Facilities has the responsibility of auditing housing bills on a monthly basis and reimbursing county jails within 30 working days of receipt of their housing bills.

Currently KOMS generates invoices that are compared with submitted invoices from county jails. These invoices are thoroughly audited by Local Facilities staff for accuracy prior to amounts being submitted for payment. Changes made to housing invoices are clearly documented for reference and submitted for final payment within 30 days.

2011 Department of Corrections Funding to Kentucky Counties

State Inmates	\$ 84,662,979.83
Bed Allotment	\$ 13,543,900.00
Medical	\$ 3,791,817.60
Inmate Pay	\$ 476,951.28
Other (Jailer Training Allowance)	\$ 478,144.93
Catastrophic Medical	\$ 262,728.82
JSAP	\$ <u>2,122,156.53</u>
Total	\$105,338,678.99

Division of Local Facilities

Commonwealth. These inspections provide vital information pertaining to the jail's physical plant condition, jail operations, prisoner program availability and compliance with the Kentucky Jail Standards. These inspections also provided the detention centers with guidance for providing safer housing for the inmates, and ways to keep the communities that they serve safer.

The first inspection of each year is an announced inspection. During this inspection every aspect of the detention center is reviewed and evaluated to see if the facility is in compliance with the Kentucky Jail Standards.

The inspection for a Full-Service facility contains 190 critical items including administrative functions, information systems, personnel, physical plant, security areas, emergency procedures, sanitation, medical services, food service, classification, admission, release, prisoner programs and prisoner rights.

The second yearly inspection is an unannounced inspection. By not announcing this inspection, the Kentucky Department of Corrections staff has the ability to see how the jail operates on a standard day or night. This inspection also provides a review of any non-compliance items from the first inspection.

Construction

In the calendar year 2011 the Department of Corrections continued to monitor three ongoing jail construction projects in addition

to one new construction project that began in 2011. These construction projects are as follows:

Jackson County Jail - Construction was completed on a new 79 bed facility in February 2011. The project came in with a final cost of \$4 million dollars or \$50,632.91 per bed.

Russell County Jail - Construction was completed on a new 82 bed facility in February 2011. The project came in with a final cost of \$3.8 million dollars or \$46,341.46 per bed.

Barren County Jail - Construction was completed on a new 178 bed facility in May 2011, four months ahead of the projected completion date of September 2011. The project came in with a final cost of \$7.4 million dollars or \$41,573.03 per bed.

McCracken County Jail - Construction began on a 100 bed low risk restricted custody center in July 2011 with a projected cost of \$2.3 million.

By the end of 2011 there was a total net gain of 264 new jail beds by way of bed adjustments, renovations, construction and the closing of the Russell and Jackson County Life Safety Jails.

Investigations

During 2011 the Division of Local Facilities investigated 652 complaints concerning local detention centers. These complaints consisted of medical issues, physical plant issues, condition of the jail, inmate treatment issues,

inappropriate staff/inmate relationships, food services issues and others.

Community Corrections

The Kentucky State Corrections Commission was implemented by KRS Chapter 196 in 1992. The Commission currently consists of 23 members and its primary function is to award grants to community corrections programs.

The purpose of these grants is to reduce jail/prison populations, reduce revocations of probationers and provide treatment, rehabilitation, vocational training and other needs of offenders. As a result, the programs funded through these grants target individuals who have been convicted of misdemeanors or felonies that are eligible for probation or suspended sentences under the law.

The Commission's current budget is \$1,056,000. All grant recipients must submit quarterly reports to the Department of Corrections. These reports contain information concerning program statistics and expenditures for the quarter. All reports are kept on file in the Department's central office in Frankfort, in accordance with the current retention schedule.

There were a total of 16 programs funded for the 2010 – 2011 grant period.

Note: Please refer to list of currently funded Community Corrections Programs on the following page.

Division of Local Facilities

Currently Funded Community Corrections Programs

1st Judicial District

Counties: Ballard, Carlisle, Fulton & Hickman
 Grant Award: \$50,125
 Programs Offered: Electronic Monitoring; Community Service

3rd Judicial District

Counties: Christian
 Grant Award: \$30,000
 Programs Offered: Home Incarceration, Drug/Rehab Classes, Transportation

9th Judicial Circuit

Counties: Hardin
 Grant Award: \$75,000
 Programs Offered: Mental Health Court

15th Judicial Circuit

Counties: Carroll, Grant & Owen
 Grant Award: \$22,370
 Programs Offered: Supervision; Drug Testing; Electronic Monitoring; Job Assistance/Educational; Alcohol/Drug/Mental Health Treatment

16th Judicial District

Counties: Kenton
 Grant Award: \$168,684
 Programs Offered: Electronic Monitoring

17th Judicial Circuit

Counties: Campbell
 Grant Award: \$50,285
 Programs Offered: Electronic Monitoring; GED/Technical School; Drug/Alcohol testing & treatment; Life skills training; Scheduling child support payments

18th Judicial Circuit

Counties: Harrison, Nicholas, Pendleton & Robertson
 Grant Award: \$29,258
 Programs Offered: Employment referral, Community Service Monitoring; Provide intensive supervision, home incarceration, monitor and coordinate payments, treatment referrals

21st Judicial Circuit

Counties: Bath, Menifee, Montgomery & Rowan
 Grant Award: \$29,718
 Programs Offered: Community Service, Shocked Straight, GED/Adult Learning, Counseling Referrals, Drug Treatment Referrals

24th Judicial District

Counties: Johnson, Lawrence & Martin
 Grant Award: \$70,255
 Programs Offered: Electronic Monitoring, Community Service; Drug Testing; GED

28th Judicial Circuit

Counties: Lincoln, Pulaski & Rockcastle
 Grant Award: \$49,846
 Programs Offered: Electronic Monitoring; Community Service; Restitution Monitoring; COPE

36th Judicial District

Counties: Knott & Magoffin
 Grant Award: \$65,000
 Programs Offered: Case Management; Drug Screening; Educational Groups; Substance Abuse Counseling; GED; AA/NA

49th Judicial District

Counties: Allen & Simpson
 Grant Award: \$32,325
 Programs Offered: Electronic Monitoring for Drug Court Participants

51st Judicial Circuit

Counties: Henderson
 Grant Award: \$16,525
 Programs Offered: Electronic Monitoring; Restitution; Drug Court; Drug Testing; Community Service

56th Judicial District

Counties: Caldwell, Livingston, Lyon & Trigg
 Grant Award: \$45,000
 Programs Offered: Drug Court Monitoring; Community Service Coordination; Electronic Monitoring

Chrysalis House

Counties: All 120 counties in Kentucky are now eligible for funding under this Community Corrections program
 Grant Award: \$4,500
 Programs Offered: Alcohol & Drug Screening

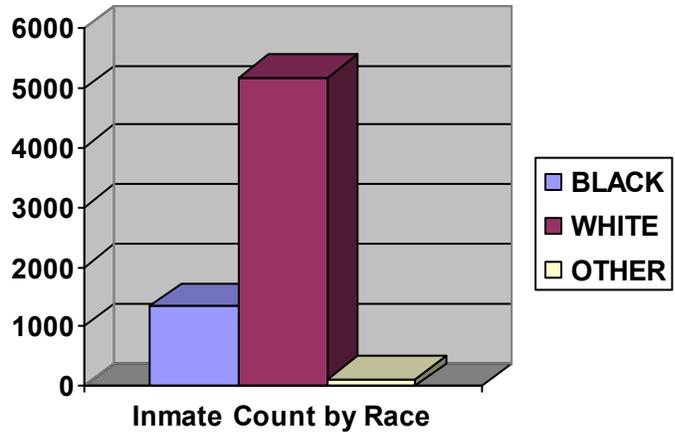
Northern Kentucky Mental Health Court

Counties: Boone, Campbell & Kenton
 Grant Award: \$176,432
 Programs Offered: Mental Health Court

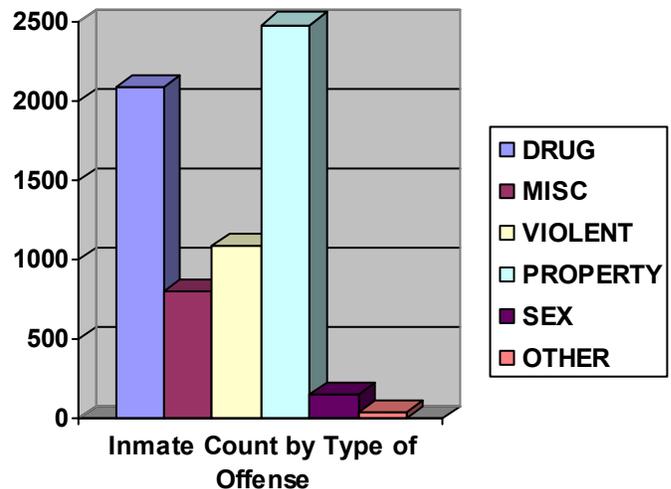
Division of Local Facilities

Demographic Data - Class D Felons in County Jails

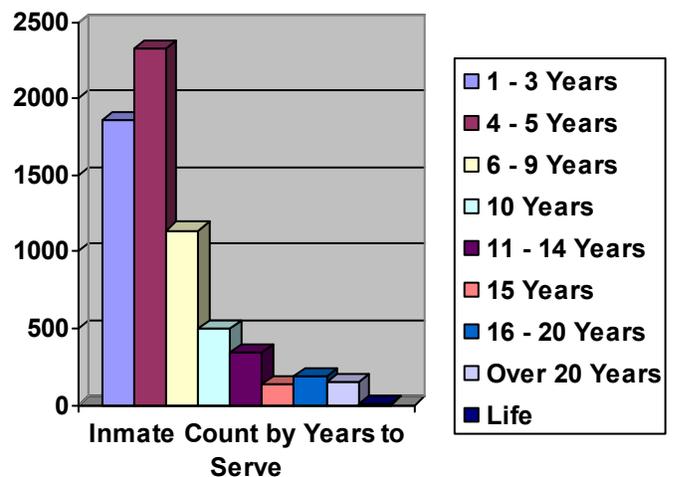
RACE	COUNT	PERCENTAGE
BLACK	1345	20.25%
WHITE	5183	78.04%
OTHER	114	1.71%
TOTAL	6642	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	2086	31.41%
MISC	800	12.04%
VIOLENT	1090	16.41%
PROPERTY	2485	37.41%
SEX	145	2.18%
OTHER	36	0.55%
TOTAL	6642	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1859	28.00%
4 - 5 Years	2324	35.00%
6 - 9 Years	1139	18.00%
10 Years	501	7.00%
11 - 14 Years	347	5.00%
15 Years	137	2.00%
16 - 20 Years	183	2.85%
Over 20 Years	149	2.10%
Life	3	0.05%
TOTAL	6642	100.00%



Division of Probation and Parole

Director

Tim Carman

Assistant Director

Michael Bolcas

Central Region Branch Manager

Becky Carter

Eastern Region Branch Manager

Mark Davidson

Western Region Branch Manager

Renee Maness

Northern Region Branch Manager

Gary Barnes

Overview

The primary function of the Division of Probation and Parole is to protect the citizens of the Commonwealth through the supervision of offenders who have been placed on probation by the courts or released to parole supervision by the Parole Board. Officers serve each of Kentucky's 120 counties which are organized into four operating regions and 20 supervision districts.

The Division of Probation and Parole employs 700 staff, including 525 sworn officers and supervisors, who supervised 41,444 offenders. With the assistance of 46 dedicated Pre-Sentence Investigators, the Division completed over 22,000 pre-sentence investigations in 2011.

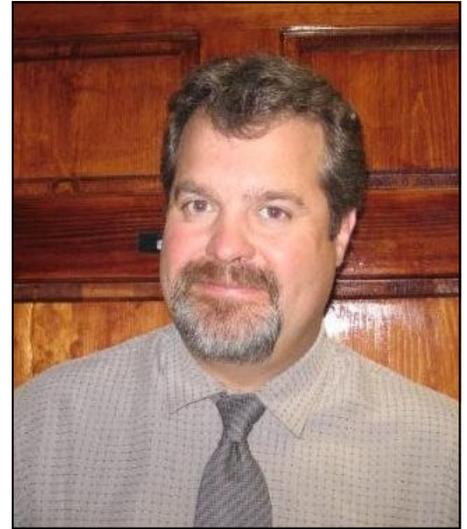
The Division also provides services to approximately 7,000 jail based inmates, including Class D

programs and community custody programs. Additionally, the Division provides supervision services to over 450 offenders in Recovery Kentucky treatment programs and 1,500 offenders in contract half way house facilities.

In addition to the supervision of probationers and parolees, Probation and Parole Officers provide investigative services to the courts and Parole Board, rehabilitation services to offenders, and assistance in employment and home placement. Various duties include court appearances and testimony, reports to the releasing authorities, home visits, drug and alcohol testing, transportation of prisoners, referral of offenders to appropriate resources, and monitoring payment of fees, restitution and community service work.

The Division of Probation and Parole is also responsible for the administration of the Interstate Compact which transfers cases between states and the placement office which assists incarcerated offenders with proper home placements. In 2011 the Interstate Compact processed 1,810 transfer requests from other states to Kentucky and 2,095 transfer requests from Kentucky to other states. Kentucky currently supervises 1,400 offenders for other states.

In accordance with KRS 17.170 and in conjunction with the Kentucky State Police, Probation and Parole is completing DNA testing of all convicted felony offenders. The Department of Corrections submitted 12,322 completed DNA kits to the Kentucky State Police database in 2011. The Di-



*Tim Carman, Director
Division of Probation and Parole*

vision assists in both the distribution and collection of those samples. The Division also processes Civil Rights Restoration requests submitted by ex-offenders. In 2011, the Division received 1,292 applications and 1,216 applicants were granted restoration. The Placement Office processed 10,313 parole plan investigations in 2011.

With the number of offenders increasing, the Department continues its focus on re-entry ef-



*Michael Bolcas, Asst. Director
Division of Probation and Parole*

Division of Probation and Parole



*Becky Carter, Branch Manager
Central Region*



*Mark Davidson, Branch Manager
Eastern Region*



*Renee Maness, Branch Manager
Western Region*



*Gary Barnes, Branch Manager
Northern Region*

forts. Focused supervision has had a direct affect on caseload numbers, with a regular caseload average of 88.14, a five point decrease from the previous year. The regular caseload average does not include specialized caseloads such as sex offenders, absconders, low risk offenders, or offender in specialized halfway houses or treatment programs.

The Division has held a specific focus on the increasing number of sex offenders coming under supervision. Thirty-one officers

statewide specialize in sex offender supervision. As of December 2011, the Division supervised 1,595 sex offenders, with a caseload average of 51.45.

To effectively manage time and resources, the Division has also implemented specialized caseloads for low risk offenders, allowing staff to focus on offenders at a higher risk to recidivate. As of the end of 2011, the Division supervised 4,526 administrative offenders and 9,116 low risk offenders. In an effort to more

adequately address offender needs, the Division also continues to seek other sentencing alternatives such as electronic monitoring, home incarceration and placements in residential treatment programs and drug courts.

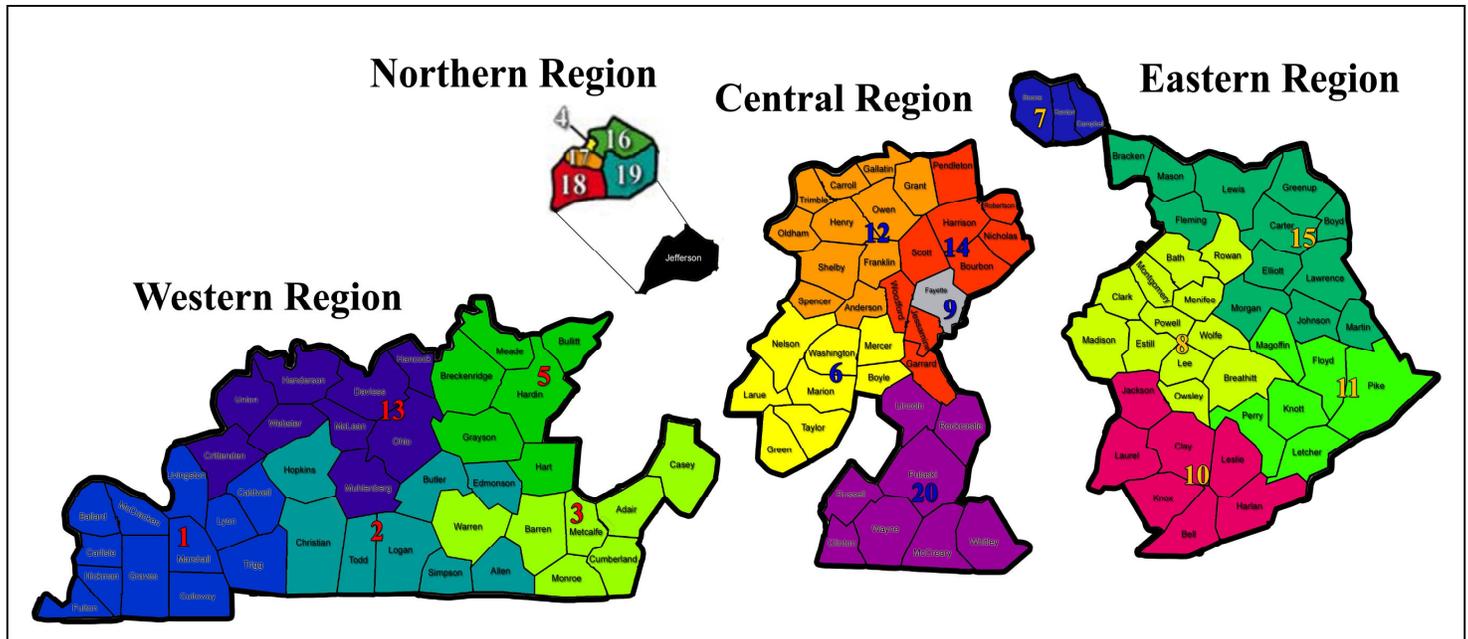
The Division is working towards the department's goal of increasing the successful re-entry of offenders. The Re-Entry Branch has established four Re-Entry Coordinator positions in Jefferson County to work with high risk offenders upon release. The Division also works with ten community Re-Entry Councils statewide: Louisville, Lexington, London, Elizabethtown, Bowling Green, Morehead, Pikeville, Paducah, Owensboro, and Northern Kentucky.

The Division participates in offender education programs, employer trainings, and job fairs. Statewide, supervision districts conduct a parolee PORTAL to Success re-entry program (Parolee Orientation, Rehabilitation, Training, Assimilation Lesson Plan to Success). The PORTAL program confronts the prob-



*PORTAL to Success class taught
in P&P District 1.*

Division of Probation and Parole



Division of Probation and Parole Supervision Regions and Supervision Districts

lem of offender re-entry through a continuation of institutional programming by augmenting community services. PORTAL includes instruction in the areas of parenting, financial issues, health and wellness, relationship issues, housing issues, employment/career workshops, as well as stress and anger management. To date, 361 parolees have graduated from the program and 339 parolees are currently enrolled in 16 PORTAL programs across the state.

Probation & Parole Districts are involved with a number of community stakeholders statewide to provide services to offenders. In partnership with Jefferson County District Court, 350 offenders are supervised under a Misdemeanor Intensive Supervision Program. The Newburg and Shawnee Justice Reinvestment Project in Louisville is a data driven strategy to increase public safety and reduce crime by en-

gaging local agencies and neighborhood residents in facilitating the successful reintegration of individuals returning to their home communities following release from jail or prison. Weed and Seed, a community-based strategy sponsored by the U.S. Department of Justice, is a comprehensive multi-agency approach to law enforcement, crime prevention, and community revitalization. Bell County Probation and Parole works with the Lighthouse Career Training Center, a program offering vocational classes to at-risk youth and adults. Employment classes are held for Lexington offenders by a local volunteer in cooperation with the Lexington Rescue Mission. In the London area, sex offender officers work with the Cybercrime Task Force, a collaborative committee comprised of the Office of the Commonwealth's Attorney, the FBI, the US Attorney's Office, Kentucky State Police, and the local Sheriff's De-

partment to examine internet crimes.

The Division utilizes interns and volunteers to assist in community programs and probation and parole officers work with various school programs for career days and educational programs. The Division is engaged in research projects with the University of Kentucky and the University of Louisville. Additionally, Murray State University Psychological Center partners with Probation & Parole to provide group and individual counseling to offenders. Northern Kentucky and Hardin County are the first in the state to hold mental health courts.

In 2011, Kentucky also began five pilot Kentucky HOPE programs, a court intervention for immediate and graduated responses to probation violations. Pilot programs are located in Pike, Pulaski, Anderson, Simpson, & Jefferson counties.

Division of Probation and Parole

Highlights

The supervision of offenders provided by the Division of Probation and Parole save the citizens of Kentucky \$56.61 per offender, per day. Offenders on supervision are required to obtain and maintain full-time employment as a condition of their release, thus adding intangible benefits to the economy of the Commonwealth. Offenders may also be required to pay towards the cost of supervision and make restitution to their victims. During 2011 offenders paid in excess of \$3 million in supervision fees. The Department also collected over \$1 million in drug testing fees to deflect the costs of drug testing. Probationers and paroles also paid over \$5.8 million in restitution in addition to completing over 30,223 hours of community service work.

In March 2011, landmark legislation brought several challenges to the Division. The Public Safety and Accountability Act, HB 463, authorized the release of offenders under post-incarceration supervision and Mandatory Re-entry Supervision. The legislation also structures graduated sanctions for probation violators and early termination from supervision for compliant probationers. Additionally, the legislation provided incentives for good behavior on parole by creating parole compliance credit and program credits for education and treatment completion. The end of 2011 brought preparation for 939 offenders to be released to the community in January 2012.



Above: (left to right) Aaron Smith, Tim Carman, Jeff Burton and Chris Copenhagen work the Central Office Command Center to insure that all the Mandatory Reentry Supervision releases go smoothly.

The Division of Probation and Parole continues to implement evidence based practices for the field of community corrections. To date, Probation & Parole staff members have completed over 10,655 LS/CMI risk/needs assessments. In addition to the LS/CMI, the Division implemented a motivational interviewing training component for new employees at the basic academy and at annual in-service training. Training topics also focused on offender re-entry, supervision planning, and offender behavior change. Throughout 2011, 119 new staff members attended Probation & Parole Basic Academy.

The Division earned their first American Correctional Associa-

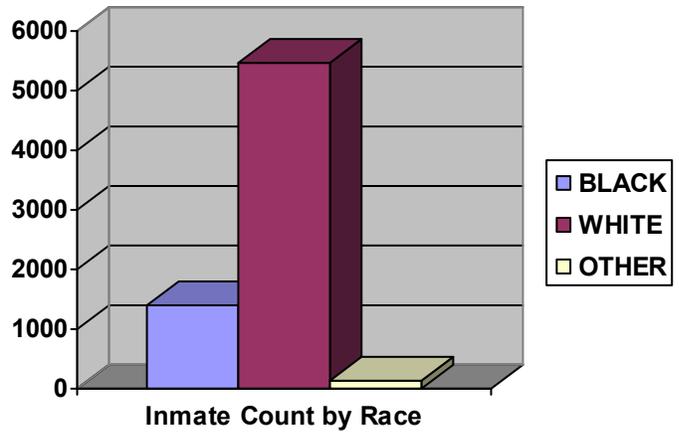
tion re-accreditation in January 2010, with a 99% compliance score. The Division continues to implement national best practices standards with a move toward evidence based practices and outcome measures.

In addition to their service to the Commonwealth, many employees within the Division of Probation and Parole lend their talents to volunteer organizations in their respective communities. Probation and Parole employees are active supporters of Kentucky Special Olympics, Susan G. Komen Race for a Cure, American Cancer Society Relay for Life, Shop with a Cop, the Children's Advocacy Center, and Crusade for Children, to name just a few.

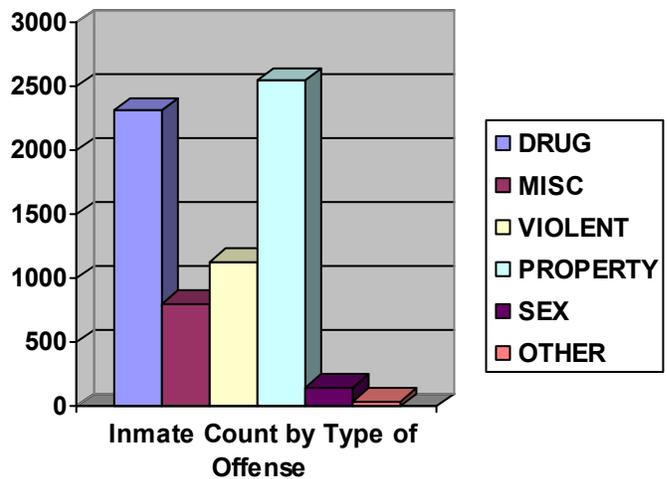
Division of Probation and Parole Population Data

Demographic Data (Halfway Houses)

RACE	COUNT	PERCENTAGE
BLACK	1401	20.06%
WHITE	5468	78.31%
OTHER	116	1.63%
TOTAL	6985	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	2314	33.13%
MISC	803	11.50%
VIOLENT	1134	16.23%
PROPERTY	2551	36.52%
SEX	145	2.08%
OTHER	38	0.54%
TOTAL	6985	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1859	27.00%
4 - 5 Years	2326	34.00%
6 - 9 Years	1146	17.00%
10 Years	593	9.00%
11 - 14 Years	419	6.00%
15 Years	186	2.00%
16 - 20 Years	243	3.00%
Over 20 Years	210	2.00%
Life	3	0.00%
TOTAL	6985	100.00%

