

# KENTUCKY CORRECTIONS POLICIES AND PROCEDURES

## March 1, 2021

### Chapter 0 INTRODUCTION

#	Title	Date	Pages
0.1	Corrections Policies and Procedures Manual	1/23/20	3

### Chapter 1 ADMINISTRATION, ORGANIZATION AND MANAGEMENT

#	Title	Date	Pages
1.1	Legal Assistance for Corrections Staff	5/20/20	3
1.2*	News Media	8/1/14	7
1 Att.			2
1.2-1*	Social Media (NEW-Effective by Memo)	4/6/17	2
1.3	Corrections Publications	9/20/05	1
1.4*	The Monitoring and Operation of Private Prisons	9/5/08	2
1.5	Staff Meetings	12/16/13	2
1.6	Central Office Areas of Responsibilities	3/1/2021	2
1.7	Cooperation with Community Agencies and Educational Institutions	8/31/12	3
1.8	Reports on Institutional Operations	3/23/06	2
1.9	Institutional Duty Officer	5/20/20	4
1.10	Annual Planning Document	12/16/13	2
1.11	Population Counts and Reporting Procedures	2/28/20	3
1.12	Operation and Maintenance of Motor Vehicles by Department of Corrections Employees	12/9/19	3

### Chapter 2 FISCAL MANAGEMENT

#	Title	Date	Pages
2.1*	Inmate Canteen (Effective by Memo)	5/26/20	2
2.2	Warden's Fund	2/3/06	1
2.3	Emergency Purchases	5/7/06	2
2.4	Space Management Program	10/17/13	2
2.5	Budget Administration	4/10/19	3
2.6	Budget Revisions	4/10/19	4
2.7	Controlling Cash Items	12/16/13	3
2.8	Inventory Control of Non-Expendable Personal Property	10/17/13	6
2.9	Employee Travel	2/11/19	3
2.10	Surplus Property	5/14/13	2
1 Att.			1
2.11	Procurement	2/28/20	4
2.12*	Abandoned Inmate Funds	6/1/18	3
2.13	Internal Controls	7/11/14	5
2.14	Vendor Communications	1/23/20	2

\* denotes LRC policies

### Chapter 3 PERSONNEL

#	Title	Date	Pages
3.1* 1 Att.	Code of Ethics/Social Media Use (Effective by Memo)	3/30/17	6 1
3.2	Emergency Conditions and Inclement Weather	10/17/13	2
3.3 1 Att.	Holding of Second Jobs by Corrections' Employees	8/31/07	2 1
3.4 1 Att.	Equal Employment Opportunity Complaint Procedure	8/22/18	7 1
3.5*	Sexual Harassment and Anti-Harassment	2/3/14	4
3.6 3 Atts.	Background Investigations of Employees and Applicants for Promotion and Employment of Ex-Offenders	9/22/16	3 3
3.7	Shift, Post and Days Off Assignment	8/31/12	2
3.8	Replacement of Damaged or Destroyed Personal Property	7/16/2019	3
3.9*	Student Intern Placement Procedure	1/6/17	3
3.10*	Appearance and Dress for Non-Uniformed Staff	9/1/2020	3
3.11*	Drug Free Workplace - Employee Drug Testing (Effective by Memo)	7/2/20	10
3.12 3 Atts.	Institutional Staff Housing	10/25/19	4
3.13	Establishment of Warden as Chief Executive Officer	1/15/13	2
3.14*	Employee Time and Attendance Requirements	8/5/16	6
3.15	Annual Performance Review of Employee	2/28/20	7
3.16	Employee Health Issues	4/28/14	5
3.17*	Uniformed Employee Dress Code	6/1/18	6
3.18	Employee Insurance Coverage	3/4/08	2
3.19	Reasonable Accommodation	3/1/13	3
3.20	Communication and Recording Devices	9/11/09	1
3.21	Personnel Management	4/28/14	6
3.22*	Staff Sexual Offenses	2/3/14	6
3.23*	Internal Affairs Investigations	1/4/19	5

### Chapter 4 TRAINING AND STAFF DEVELOPMENT

#	Title	Date	Pages
4.1	Attendance at Professional Meetings	4/29/16	2
4.2	Deleted=====		
4.3	Firearms, Chemical Agents, Less Lethal Weapons and Munitions Training	4/28/14	6
4.4	Educational Assistance Program	4/28/14	7
4.5	Deleted =====		
4.6	Operation and Safety of Corrections Firing Ranges	4/29/16	5
4.7	Staff Training and Development-Adult Institutions	2/28/20	9
4.8	Staff Training and Development-Probation & Parole	12/9/19	9
4.9	Staff Training and Development-Central Office	2/11/19	7

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**Chapter 5 MANAGEMENT INFORMATION AND RESEARCH**

#	Title	Date	Pages
5.1* 4 Atts.	Research, Surveys, and Data Requests (Effective by Memo)	3/1/2021	11 5
5.2 1 Att.	Management Information Systems	9/20/05	4 1
5.3* 1 Att.	Programs Approval, Evaluation, and Measurement	9/1/2020	5

**Chapter 6 RECORDS**

#	Title	Date	Pages
6.1* 1 Att.	Open Records Law	8/31/07	5 3
6.2*	Inmate Record	1/6/17	11
6.3	Deleted =====		
6.4	Deleted =====		
6.5	E-Mail and Internet Use	3/4/08	4
6.6	Confidentiality of Information by Consultants, Contract Personnel and Volunteers	3/1/13	2

**Chapter 7 PHYSICAL PLANT**

#	Title	Date	Pages
7.1	Construction, Renovation and Expansion Guidelines	6/15/18	2
7.2 1 Att.	Asbestos Abatement	4/29/16	2 2
7.3 5 Atts.	Hazardous Waste Management	5/7/06	18 15

**Chapter 8 SAFETY AND EMERGENCY PROCEDURES**

#	Title	Date	Pages
8.1	Occupational Exposure to Bloodborne Pathogens	3/23/06	2
8.2*	Fire Safety	8/1/14	6
8.3*	Critical Incident Management SECURED POLICY	1/4/19	11
8.4	Deleted =====		
8.5*	Corrections Emergency Response Team SECURED POLICY	7/1/16	10
8.6	Incident Reporting	8/23/18	2
8.7*	Notification of Critical Incident	9/1/2020	3
8.8	Critical Incident Stress Debriefing	12/9/19	3
8.9	Safety Management System	12/9/19	3

## Chapter 9 SECURITY AND CONTROL

#	Title	Date	Pages
9.1*	Use of Force and Mechanical Restraints SECURED POLICY	1/4/19	14
9.2 1 Att.	Departmental, Program and Security Reviews	4/29/16	2 1
9.3*	Security Threat Groups SECURED POLICY (Effective by Memo)	7/15/2020	7
9.4*	Transportation of Inmates to Funerals or Bedside Visits	7/31/15	4
9.5	Execution	9/20/05	2
9.6*	Contraband	8/5/16	2
9.7*	Storage, Issue, and Use of Weapons, Including Chemical Agents SECURED POLICY	1/4/19	13
9.8* 3 Atts.	Search Policy	6/1/18	9 3
9.9*	Transportation of Inmates SECURED POLICY	1/4/19	14
9.10*	Security Inspections SECURED POLICY	11/1/13	4
9.11*	Tool Control SECURED POLICY	11/1/13	7
9.12	Allowable Property and Staff Entry to Correctional Institutions	5/14/13	3
9.13*	Transport to Court – Civil Action	8/31/07	2
9.14*	Hospital Security Protocol SECURED POLICY	1/4/19	8
9.15	Control Center Operations NON LRC SECURED POLICY	4/29/16	2
9.16*	Key Control (New) SECURED POLICY	9/22/16	7
9.17*	Cell Entry SECURED POLICY	1/4/19	6
9.18*	Informants	1/3/11	5
9.19*	Found, Lost or Abandoned Property	2/3/06	2
9.20	<del>Electronic Detection Equipment-DELETED-----</del>	2/3/06	3
9.22	Control and Use of Hazardous Chemicals	7/14/20	3

## Chapter 10 SPECIAL MANAGEMENT INMATES

#	Title	Date	Pages
10.1	Deleted =====		
10.2* 1 Att.*	Special Management Housing and Restrictive Housing (Effective by Memo)	5/11/20	27 1
10.3*	Safekeepers and Contract Prisoners	6/1/18	2
10.4	Deleted =====	8/15/01	

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**Chapter 11 FOOD SERVICES**

#	Title	Date	Pages
11.1	Staff and Visitor Meals and Special Functions	3/16/18	2
11.2*	Dietary Procedures and Compliance	6/2/17	3
11.3	Deleted =====	8/15/01	
11.4*	Alternative Dietary Patterns	6/2/17	3
1 Att.			1

**Chapter 12 SANITATION AND HYGIENE**

#	Title	Date	Pages
12.1	Sanitation and Inspection	9/22/16	2

**Chapter 13 MEDICAL AND HEALTH CARE SERVICES**

#	Title	Date	Pages
13.1*	Pharmacy Policy and Formulary	7/31/15	2
13.2*	Health Maintenance Services	8/5/16	11
1 Att.			3
13.3*	Medical Alert System	8/1/14	2
1 Att.			1
13.4	Health Program Audits	3/23/06	1
13.5*	Advance Healthcare Directives	8/5/16	4
13.6*	Sex Offender Treatment Program	1/6/17	19
13.7*	Involuntary Psychotropic Medication	2/3/06	6
13.8*	Division of Addiction Services Substance Abuse Program	9/1/2020	7
13.9*	Dental Services	2/3/06	3
13.10*	Serious Infectious Disease	8/1/14	8
13.11*	Do Not Resuscitate Order	9/20/05	4
13.12*	Suicide Prevention and Intervention Program	9/11/09	9
13.13*	Behavioral Health Services	9/1/2020	7
13.14*	Hunger Strike SECURED	3/6/15	4
13.15*	Inmate Observer Program	1/6/17	2
13.16	Clinical Supervision within the Division of Addiction Services	1/23/20	4

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## Chapter 14 INMATE RIGHTS

#	Title	Date	Pages
14.1* 1 Att.	Investigation of Missing Inmate Property	2/3/06	1 1
14.2*	Personal Hygiene Items	2/3/14	2
14.3*	Marriage of Inmates	6/2/17	3
14.4*	Legal Services Program	8/1/14	5
14.5*	Claims Commission	6/1/18	2
14.6* 5 Atts.	Inmate Grievance Procedure (Effective by Memo)	9/15/2020	21 5
14.7*	Sexual Abuse Prevention and Intervention Program (Effective by Memo)	5/20/20	16
14.8*	Lesbian, Gay, Bisexual, Transgender, and Intersex Offenders (Effective by Memo)	5/20/20	10

## Chapter 15 INMATE RULES AND DISCIPLINE

#	Title	Date	Pages
15.1*	Hair, Grooming and ID Card Standards	6/1/18	2
15.2*	Rule Violations and Penalties	1/6/17	12
15.3*	Meritorious Good Time	9/1/2020	7
15.4*	Program Credit	9/1/2020	10
15.5*	Restoration of Forfeited Good Time	9/1/2020	3
15.6* 1 Att.	Adjustment Procedures and Programs	6/1/18	13 1
15.7* 1 Att.	Inmate Accounts	9/1/2020	5 1
15.8*	Possession or Use of Unauthorized Substance and Substance Abuse Testing	6/1/18	5

## Chapter 16 COMMUNICATION, MAIL AND VISITING

#	Title	Date	Pages
16.1* Att.1	Inmate Visits	6/2/17	8 1
16.2*	Inmate Correspondence	9/1/2020	8
16.3*	Inmate Access to Telephones	2/1/13	2
16.4*	Inmate Packages	1/6/17	4
16.5*	Video Visitation	1/6/17	6

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**Chapter 17 RECEPTION AND ORIENTATION**

#	Title	Date	Pages
17.1* 1 Att.	Inmate Personal Property (Effective by Memo)	5/26/20	8 7
17.2*	Assessment Center Operations	9/1/2020	6
17.3*	Controlled Intake of Inmates	8/1/14	7
17.4* 2 Atts.	Administrative Remedies: Sentence Calculations	1/6/17	2 3

**Chapter 18 CLASSIFICATION**

#	Title	Date	Pages
18.1*	Classification of the Inmate (Effective by Memo)	5/20/20	10
18.2*	Central Office Classification Committee	6/1/18	1
18.3*	Confinement of Youthful Offenders	7/31/15	1
18.4	Deleted =====		
18.5*	Custody Level and Security	1/4/19	4
18.6	Deleted =====		
18.7*	Transfers	8/5/16	8
18.8	Deleted =====	1994	
18.9*	Out-of-State Transfers	8/5/16	4
18.11* 2 Atts.	Placement for Mental Health Treatment in CPTU or PCU	8/5/16	6 2
18.12* 2 Atts.	Referral Procedure for Inmates Adjudicated Guilty but Mentally Ill	6/2/06	1 2
18.13*	Population Categories	6/1/18	3
18.14	Special Recommendations for Parole or Release	12/9/19	1
18.15*	Protective Custody	6/1/18	3
18.16*	Information to the Parole Board	9/1/2020	1
18.17*	Interstate Agreement on Detainers	8/31/07	5
18.18*	International Transfer of Inmates	8/31/07	2

**Chapter 19 INMATE WORK PROGRAMS**

#	Title	Date	Pages
19.1* 6 Atts.	Governmental Services Program	2/1/13	6 10
19.2*	Sentence Credit for Work	8/5/16	2
19.3* 1 Att.	Inmate Wage/Time Credit Program	1/4/19	3 1
19.4* 2 Att.	Work Release for State Inmates in Jails NEW	6/1/18	4

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**Chapter 20 ACADEMIC AND VOCATIONAL EDUCATION**

#	Title	Date	Pages
20.1*	Educational Courses and Educational Good Time	9/1/2020	12
20.2*	Apprenticeship Courses	9/1/2020	6

**Chapter 21 LIBRARY SERVICES**

#	Title	Date	Pages
21.1*	Library Services	8/1/14	1

**Chapter 22 RECREATION AND INMATE ACTIVITIES**

#	Title	Date	Pages
22.1*	Privilege Trips	2/3/06	2
2 Atts.			2
22.2*	Recreation and Inmate Activities	8/1/14	2

**Chapter 23 RELIGIOUS SERVICES**

#	Title	Date	Pages
23.1*	Religious Programs (Effective by Memo)	11/16/18	8

**Chapter 24 SOCIAL SERVICES (None)****Chapter 25 RELEASE PREPARATION AND TEMPORARY RELEASE**

#	Title	Date	Pages
25.1	Deleted=====	8/31/07	
25.2*	Public Official Notification of Release of an Inmate	2/3/06	3
1 Att.			
25.3*	Pre-Release Program	3/9/07	2
25.4*	Inmate Furloughs	9/1/2020	9
25.5	Deleted	2/3/06	
25.6*	Community Service Center Program and Jail Placement	1/4/19	12
25.7	Deleted =====	8/15/01	
25.8*	<del>Extended Furlough DELETED</del>	5/26/05	6
25.9	Deleted =====	4/15/97	
25.10*	Administrative Release of Inmates	9/1/2020	2
25.11*	Victim Services	2/5/10	3
25.12*	Home Incarceration Program	9/1/2020	8
25.13*	Women's Medical Release: Pregnancy	1/4/19	13
25.14*	Reentry Center Program	1/4/19	11
2 Att.			



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**Chapter 26 CITIZEN INVOLVEMENT AND VOLUNTEERS**

#	Title	Date	Pages
26.1* 2 Att.	Citizen Involvement, Volunteer, and Reentry Mentor and Service Programs	9/1/2020	7 2

**Chapter 29 Re-Entry Initiatives**

#	Title	Date	Pages
29.1*	Risk and Needs Assessment	8/4/2020	8
29.2*	Case Planning	8/4/2020	6
29.3* 1 Att.	Risk and Needs Assessment and Reentry Programming Training and Quality Assurance	8/4/2020	4

**Chapter 30 INMATE AND OFFENDER PROGRAMS**

#	Title	Date	Pages
30.4*	Probation Program Credit (New - Effective by Memo)	7/15/2020	6
30.7*	Substance Abuse Program Good Time Credit (New – Effective by Memo)	7/15/2020	7

\*denotes LRC policies