



Kentucky Department of Corrections

2014 Annual Report



Steven L. Beshear
Governor

J. Michael Brown
Secretary
Justice & Public Safety Cabinet

LaDonna H. Thompson
Commissioner
Department of Corrections

Letter From The Commissioner



*Commissioner
LaDonna Thompson*

Our primary mission at the Kentucky Department of Corrections is “To protect the citizens of the Commonwealth and to provide a safe, secure and humane environment for staff and offenders in carrying out the mandates of the legislative and judicial processes; and, to provide opportunities for offenders to acquire skills which facilitate non-criminal behavior.”

Within the Department of Corrections, we are diligently working to embrace re-entry throughout our agency – to erase the connotation of corrections only with razor wire and gun towers. People usually think of the Department of Corrections as confinement and few associate our agency with “recovery” or “re-entry.”

This report highlights the devotion and dedication of the more than 4,000 employees that are committed to the core mission of the agency - public safety, offender supervision, rehabilitation and reentry. Due to on-going budgetary concerns, we are continually asked to do “more” with “less”, and the Department of Corrections has not let the Commonwealth down. DOC employees have always been willing to do whatever necessary to get the job done. During 2014 the implementation of the Prison Rape Elimination Act has proven

successful with the initial audits of three institutions scoring 100%. The commitment of the Department of Corrections continues to bring great credit upon not only the individual staff, but the Department as a whole. I am very proud of the staff.

We pride ourselves in promoting public safety through service, integrity and professionalism while carrying out our sworn duty to protect the citizens of this great Commonwealth. The successes you will read about in this report did not happen by chance and I thank the Department of Corrections staff for their hard work in 2014 and look forward to tackling the challenges that lie ahead in 2015 .

Sincerely,

LaDonna Thompson
Commissioner

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Office of the Commissioner

Commissioner LaDonna Thompson

Commissioner's Staff

Executive Secretary
Mary Ann Sullivan

Personnel Assistant Director/
Acting Director
Bobbie Underwood

Director of Communications
Lisa Lamb

Internal Affairs Branch Manager
Mark Wasson

Executive Staff Advisor/ ACA
Coordinator / Ombudsman
John Dunn

General Counsel
Brenn Combs

Deputy Commissioners

Office of Support Services
Kimberly Potter-Blair

Office of Community Services
and Local Facilities
Paula Holden

Office of Adult Institutions
James Erwin

Departmental Oversight

Commissioner Thompson is the chief administrator of the agency, overseeing a \$493 M budget and almost 4,000 employees. The Commissioner directly supervises:



The 2013-2014 Commissioner's Executive Leadership Program participants outside the KACo building in Frankfort, Kentucky. The class graduated May of 2014.

◆ Personnel Division

Comprised of three branches: Personnel Management, Payroll and Recruitment and Staff Development Branches - DOC personnel staff serve our employees in the administration of Personnel, Payroll and Recruitment programs throughout the Department.

◆ Communications Office

Public Information Officers are responsible for all internal and external communications. They respond to all media and open records requests, and produce the annual report and quarterly newsletter, "Inside Corrections."

Victim advocates work with victims daily as they attempt to locate information about their offender such as: explanation of inmate release dates and release type; parole eligibility and hearings; and restitution information.

◆ Internal Affairs Branch

The Internal Affairs Branch pro-

vides departmental investigative services while offering expertise, accurate and timely intelligence and produces analysis that provides insight, warning and the opportunity to detect developing trends within the prison population and department. This Branch also acts as a liaison with Federal, state and local law enforcement agencies.

◆ Ombudsman's Office

This office is responsible for responding to all inmate grievances appealed to the Commissioner's Office. They also coordinate all accreditation efforts within the agency.

Legislative Research and Planning focuses on all aspects related to Kentucky's General Assembly including the drafting of bills and review of legislation that has an impact on the agency. In addition, all corrections-related research projects and federal reporting requirements are coordinated through this office.

Division of Personnel Services

Assistant Director/Acting Director

Bobbie Underwood

Personnel Branch Manager
Diana Eads

Payroll Branch Manager
Rodney Moore

Recruitment and Staff Development Branch Manager
Teresa Harris



*Bobbie Underwood
Acting Director
Division of Personnel Services*

Personnel Director

Stephanie Appel served as the Director of Personnel Services for the Department of Corrections.

During her appointment with the Department of Corrections, she was instrumental in the implementation of several new personnel systems, assisted with the opening of Little Sandy Correctional Complex, has helped streamline personnel processes and has regionalized the personnel services in Oldham and Shel-

by Counties.

Bobbie Underwood was promoted to Assistant Director/Acting Director of Personnel Services in November 2014.

Underwood began her career with the Department of Corrections in 2005 at Blackburn Correctional Complex as a personnel management specialist II. Ten months later she joined the department’s Division of Personnel Services as a personnel management specialist III. She was promoted to personnel administrator in 2007 and promoted to human resources branch manager in 2011. In 2012 Underwood obtained the IPMA-CP designation, declaring her a “certified professional” in human resources and public management.

Underwood is a 2002 graduate of the University of Kentucky where she earned a bachelor of science degree in family studies. She also attended the Commissioner’s Executive Leadership Program in 2009 and received the department’s Commissioner’s Award in 2011.

Overview

The Division of Personnel Services is comprised of three branches: Personnel Management, Payroll, and Recruitment and Staff Development. These three branches serve over 4,400 employees in the administration of Personnel, Payroll and Recruitment programs throughout the Department.

The mission of the Division of Personnel Services is three-fold: serve as a catalyst to effective and efficient personnel, payroll, and recruitment services; protect the integrity of the Merit System through the proper enforcement and application of the statutes and regulations; and stay informed of the latest personnel management strategies to establish positive change for the betterment of the Department.

Personnel Management Branch

The Personnel Management Branch provides assistance to executive staff, department managers and personnel liaisons by implementing personnel programs and initiatives, providing guidance on complex personnel issues and represents the Department at meetings related to Human Resources.

In 2014, the Personnel Management Branch processed over 11,000 personnel actions and over 966 job postings for the Department. They also successfully audited over 3,000 employee evaluations to ensure compliance with state regulations, with the



*Diana Eads,
Personnel Branch Manager*

Division of Personnel Services



Rodney Moore, Payroll Branch Manager

assistance of the other branches within the Division of Personnel.

The Personnel Management Branch continues to pursue human resource trainings and is actively involved with the Kentucky Chapter of IPMA-HR, KHRIS user group, Career Opportunities System (COS) user group. The Branch also participates as part of the Personnel Council, a group of HR representatives from all agencies in the state who come together to discuss personnel issues and which Bobbie Underwood is the Chair. The Personnel Office has continued to revamp internal processes and procedures in an effort to streamline workflow to better serve our field HR liaisons and employees.

During 2014, Diana Eads was promoted to the position of Human Resource Branch Manager from her position as a Human Resource Administrator.

Eads was selected to pilot a new HR training class for the Personnel Cabinet and based on their feedback, this new training will

be forthcoming and required for HR Staff across State Government.

Payroll Branch

The Payroll Branch is responsible for employee pay and benefit administration, including monitoring and processing pay, retirement, workers compensation, employee safety programs, family medical leave, W-2 information and unemployment benefits. Effective January 2014 and due to legislative changes, the Payroll Branch began the process of handling notices of pension spiking from the Kentucky Retirement System. Throughout 2014, the Payroll Branch continued to assist other state agencies to provide guidance and expertise on KRONOS and other payroll systems.

In 2014, Rodney Moore, Payroll Branch Manager completed the Commissioner's Executive Leadership Program. Rodney also serves as the Division of Personnel's ACA liaison and ensures the Division of Personnel's ACA files are up to date and accurate.



Teresa Harris, Recruitment Branch Manager

Recruitment and Staff Development Branch

The Recruitment & Staff Development Branch continued to oversee the recruitment process, assist applicants with applying for positions in COS, provide oversight over the exit interview process, conduct education verifications, conduct and participate in EEO investigations, create employee badges for staff, and review policies and procedures for revisions.

For the first eight months of 2014, the Branch continued to manage the drug testing program and processed close to 2000 drug tests.

With staffing changes in the office, Harris assumed the responsibility of approving outside employments.

The Branch was very active working with colleges and universities to place interns at Institutions and P&P offices across the state. The Branch placed 80 interns in 2014 as well as processed 259 Volunteer forms.

The Branch continues to work with KCTCS in getting DOC jobs approved for on-the-job training which allows hired veterans to draw an additional paycheck through their GI Bill. The branch hired a veteran into an Office Support position and was able to get that job approved for the OJT program.

Teresa Harris was asked to speak to the Governor's Minority Management Training Class about

Division of Personnel Services

Corrections and the opportunities in the Department. The class was very receptive and had many questions! She also served on a panel at the Hispanic & Immigrant Networking Summit held in Shelby County. She spoke with the Hispanic population regarding careers in Corrections and handed out DOC brochures and information on applying. This summit had over 150 participants.

Harris was provided the opportunity to speak about the array of Corrections' careers available at the University of Kentucky job club, a group that helps students, recent grads, and alumni network and find positions.

The Branch attended 27 job fairs and set-up 17 times in unemployment offices to recruit officers and looked for every opportunity to recruit and promote careers within the KYDOC.

Highlights

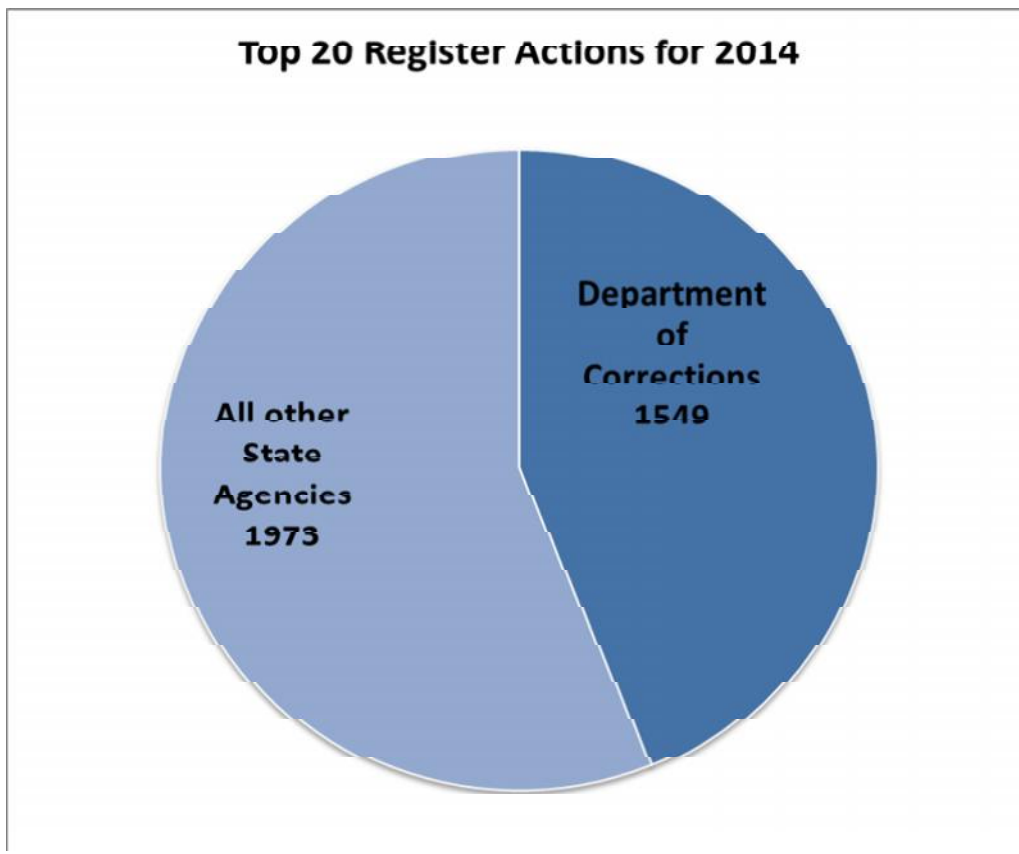
In 2014, the Division of Personnel provided key services to the Department and continued to provide oversight and guidance in the areas of personnel, payroll, benefits, disciplinary and leadership.

The Division also developed and conducted training for managers and supervisors throughout the state. All branches of the Division developed a personnel training that was conducted for the

Commissioner's Executive Leadership Program class. This training has now been requested for several of the institutional leadership groups.

Professional Development continued to be a priority for the Division in 2014.

All staff within the division continued to seek out professional development opportunities through IPMA-HR and other venues, including KCCD. Bobbie Underwood also served on the KY Chapter IPMA-HR board for the second year. Bobbie also attended the NIC-HR Director's Forum in August 2014 as a representative for KYDOC.



*DOC's Correctional Officer led all state agency register actions in 2014 with a total of 931

Communications Office

Director
Lisa Lamb

Public Information Officer
Todd Henson



Lisa Lamb,
Director of Communications

Lisa Lamb serves as the Department of Corrections' Director of Communications.

A native of Harlan, Lamb brought nearly 20 years of experience working with the media to her position with the DOC. In her current role, she serves as the Department's spokesperson, handling all media calls and requests for information and interviews. She also serves as a member of the Commissioner's Executive Staff.

She was the 2003 recipient of the "Jennifer Schaaf Award," presented by the Society of Professional Journalists (SPJ) to an outstanding government communicator. Lamb came to corrections after six years with the Kentucky Press Association where she worked as the News Bureau Director, Member Services Director and as Director of the Kentucky High School Journalism Association. She spent nearly 10 years with the Harlan Daily Enterprise

and served as the newspaper's executive editor.

She is a graduate of Southeast Community College. In addition, she attended Eastern Kentucky University and the University of Kentucky, majoring in journalism.

Overview

The Communications Office functions as the voice of the Department of Corrections.

They are responsible for getting the DOC's message out to the public. Todd Henson serves as the Public Information Officer. Together, Lamb and Henson respond to all media requests, be it a simple inquiry or more in depth requests involving interviews, inmates or tours of facilities.

The Communications Office prepares press releases on newsworthy events that happen within the department and distributes them to all media outlets as well as Justice Cabinet personnel.

The Communications Office also prepares talking points and presentations for the commissioner and her staff, prepares and publishes the quarterly newsletter "Inside Corrections," prepares and hosts the DOC Annual Awards Luncheon, handles all Central Office open records requests, as well as prepares and publishes the DOC Annual Report.

The Communications Office oversees the responsibilities of the Commissioner's Executive Leadership Program. Forty-four



Todd Henson,
Public Information Officer

applicants were selected for the 2014 class which started in September and concluded in May 2015. The Communications Office also coordinated an effort to re-visit and/or implement proposed projects from previous leadership classes.

Lisa Lamb also supervises the Victim Services Branch and the victim services staff within the Office of Parole and Victim Services.

Highlights

In June the Communications Office was instrumental in scheduling the June Judiciary Committee meeting that was held at Northpoint Training Center. At the meeting, topics on the agenda were key initiatives of the Department of Corrections.

The Communications Office prepared and hosted the department's 2014 Awards Luncheon, which was held in conjunction with the 2014 KCCD conference in Louisville.

In December the one-year project in conjunction with the Kentucky Oral History Commission to document and preserve the history of the Department of Corrections was concluded.

Victim Services Branch

Internal Policy Analyst III Tammy Lou Wright

Alexis Williams-Hall
Mike Caudill
Charlotte Ellis

Program Coordinator
Kim Crews

Overview

The Victim Services Branch (VSB) is the arm of Corrections that handles requests for information from victims pertaining to their offenders. VSB staff provides a broad range of information-related services such as: explanation of inmate release dates and release type; restitution information; service referrals; victim rights services and victim parole board hearings. VSB also manages the Kentucky VINE system which encompasses three fully functioning victim notification services: Offender status, Court Hearings, and Protective Orders. VSB staff also travel the state to promote and train other criminal justice professionals and victim service agencies regarding the KY VINE Services and VSB functions.

Highlights

Kristin Smith left the office to serve as Re-entry Branch Manager. Tammy Lou Wright was designated as manager of VSB. Mike Caudill was hired to fill the vacancy.

This year a need was identified for VSB to take over the manual

notification process of written notifications to victims who request per KRS 197.170. The transition took effect on September 1, 2014.

In addition, the VSB added the Brady Bill “attempt to purchase a firearm” notification to Kentucky VINE Protective Order to make petitioners of an EPO/DVO aware that the respondent has attempted to purchase a firearm. Just as VPO makes a notification on the status of an order, reminders about upcoming court hearings, and expiration date of the order, it will also notify if a respondent attempts to purchase a firearm. Kentucky law allows victims with Emergency Protective Orders (EPO)/Domestic Violence Orders (DVO) to be notified if the person their protective order is against (respondent) tries to buy a gun or similar weapon.

Another major achievement of VSB was the review and update of all VINE notifications. This was a 6 month project that was

completed in half the project time to update the messages that victims are receiving. This goal of this project was to remove redundant language to reduce notification call time so victims (and offender families) will listen completely to the VINE notification.

VSB staff presented to the following groups: Attorney General Victim Assistance Conference (Lexington), Kentucky Council on Crime and Delinquency Conference (Lexington), Domestic Violence and Sexual Assault Symposium (Louisville), KY River Elder Abuse Law Enforcement Training (Hazard), Jail-Tracker Conference (Glasgow), iLead (Louisville), KY Jailer’s Conference (Louisville and Bowling), and the Kentucky National Guard Sexual Assault Response Unit (Frankfort).

In addition to presenting, VSB exhibited at the following conference and training events: Kentucky Association of Chief’s of Police (Lexington), Adanta Fo-



Victim Services Branch exhibiting at the Kentucky Association of Sexual Assault Program training conference in Lexington, Kentucky

Victim Services Branch

cusing on Treatment and Care Conference (Somerset), KASAP (Lexington), JailTracker conference (Glasgow), the Kentucky Jailer's Conference (Bowling Green), Kentucky Council on Crime and Delinquency Conference (Louisville), and the Kentucky Sheriffs Association (Bowling Green).

Wright and Alexis Williams-Hall served on the Kentucky Victim Assistance Academy (KVAA) steering committee. Wright, Williams-Hall and Caudill attended the KVAA training academy that was held in May at the Barren River State Park. Wright provided curriculum at the academy for VSB and VINE Services. Wright and Caudill also attended the VINE user's conference in Louisville, KY.

Wright served on the Governor's Re-Entry Task Force Committee; Graduated from the Commissioner's Executive Leadership Program, attended the Working

Women in Corrections and Juvenile Justice training Conference (Pittsburgh), and participated in NIC's inaugural meeting of – Post-incarceration Victim Services network meeting in Aurora, Colorado. She was also selected to participate in the Certificate of Supervisory Essentials Program through the GSC Program.

Advocates Williams-Hall and Caudill were selected to participate in the Commissioner's Executive Leadership Program. Williams-Hall and Caudill also reviewed grants on behalf of the Justice Cabinet's Grant Management Branch for Violence Against Women Act (VAWA) and Victims of Crime Act (VOCA) funding.

Advocates Charlotte Ellis and Kim Crews continued their work of making manual notifications of offender's upcoming parole hearing to victims. They mailed 4,046 initial notification letters on offender's who are serving on

crimes defined in KRS 421.500. Of those initial letters 2,213 victims provided a response to include a victim impact statement for the Parole Board to review. Of those responses, 222 requested a victim hearing with the Parole Board. These numbers do not account for the parole outcome letters that were sent to victims on the Parole Boards decision based on the victims request.

This was the first full year VSB made available the new "VINEmobile" app for smartphones and tablets. During the year of 2014, VINE saw an 19% increase in notifications for VINE services and acquired 77,547 new registrations. Total VINE searches for the year 2014 totaled to 1,183,463. Of this total, 100,818 searches were conducted on the new VINE mobile app. The total number of notifications for 2014 for all three VINE services was 282,764.

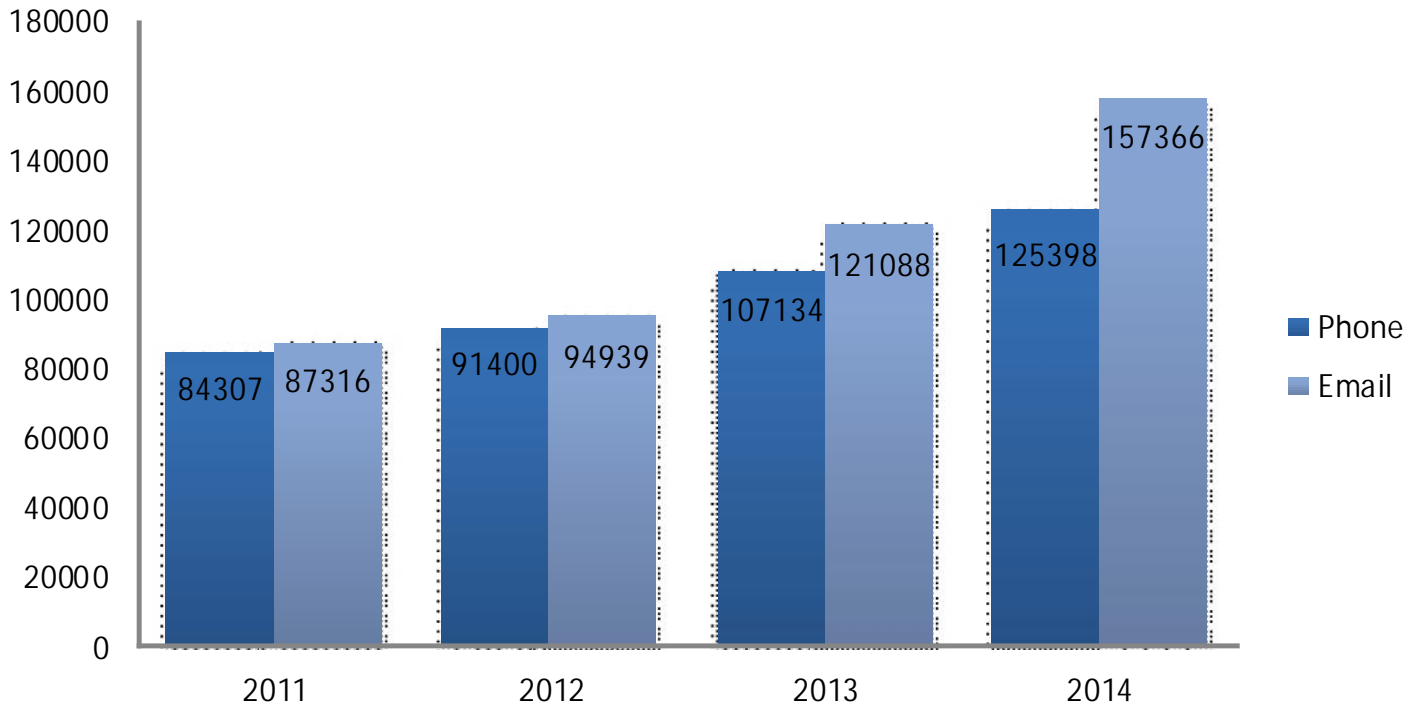


Victim Services Branch (L-R): Kim Crews, Alexis Williams-Hall, Charlotte Ellis, Tammy Lou Wright and Mike Caudill.

Victim Services Branch

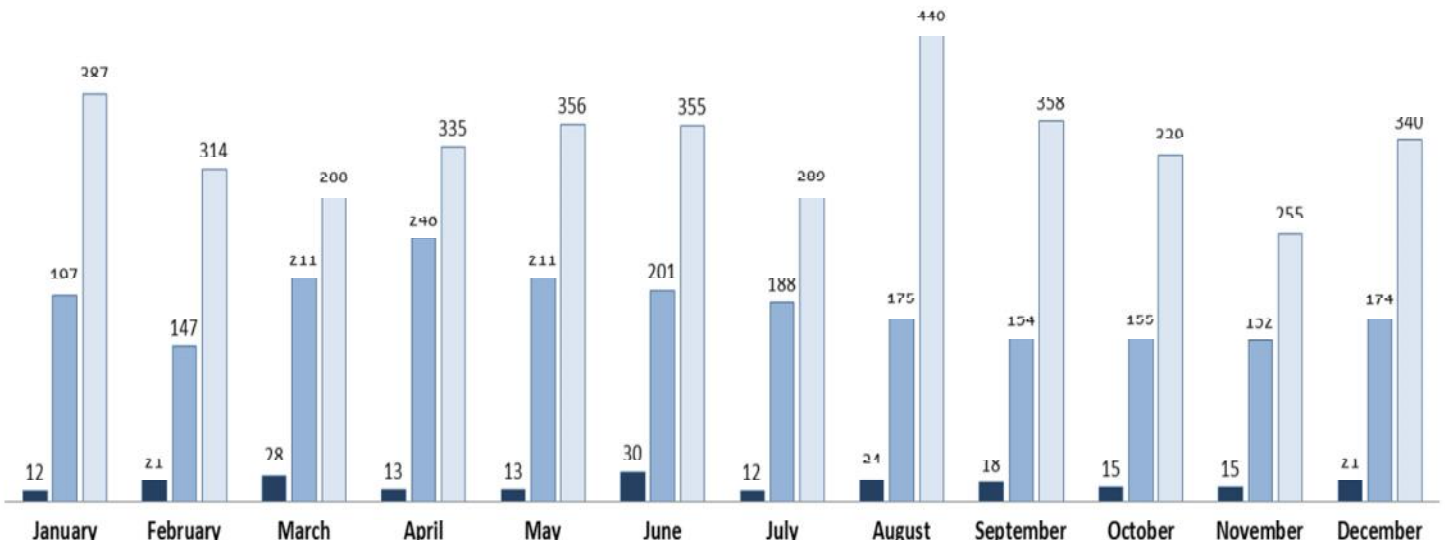
VINE Offender Status Notifications, Court Hearing Notifications and VINE Protective Order Notifications Compared by year for 2011, 2012, 2013 and 2014

2014 VINE Services Notifications



2014 Parole Hearing Manual Notifications

■ 222 Parole Victim Hearings Hold ■ 2,212 Victim Impact Statement ■ 4,046 Victim Notification Letter



Internal Affairs Branch

Branch Manager Mark Wasson

Investigator Supervisors
Jon Collett
Harley Allen



*Mark Wasson, Branch Manager
Internal Affairs Branch*

Internal Affairs Branch

Mark Wasson serves as the Branch Manager of the Internal Affairs Branch.

Wasson began his career with the Department of Corrections in February 1998 as a correctional officer at the Kentucky State Reformatory. During his years of service, he held positions of officer, sergeant, lieutenant, captain, classification and treatment officer and probation and parole officer. Wasson has worked at the Kentucky State Reformatory, Luther Lockett Correctional Complex, Kentucky Correctional Institution for Women and Probation and Parole District 16.

He also served as the LaGrange area CERT (Corrections Emergency Response Team) Commander and the LLCC CERT Commander.

Wasson previously worked for the Federal Bureau of Prisons, the Office of Inspector General and served as a sworn peace officer in Fayette County.

He is a 1996 graduate of Eastern Kentucky University where he earned a bachelor's degree in police administration.

Overview

The Internal Affairs Branch was created in May 2014 to coordinate the investigative efforts of the Division of Adult Institutions and the Division of Probation and Parole. The branch is responsible for investigating allegations of misconduct by departmental staff and allegations of criminal activity by inmates, parolees, probationers, departmental staff, and vendors doing business with the Department of Corrections. The branch provides oversight, assistance and training to the twelve (12) institutional internal affairs officers.

Through the creation of a uniform training program, the Internal Affairs provides a professional approach using the latest investigative techniques and technological advancements. Finally, the Internal Affairs Branch works closely with other local, state, and federal law enforcement agencies on cases of mutual interest.

Highlights

In 2014, the Internal Affairs Branch achieved a 100% conviction rate in cases that were pre-



*Jon Collett,
Investigator Supervisor*

sented for criminal prosecution. A new internal affairs policy was developed, providing a greater scope of capability for the investigators.

A week-long training was provided to all institutional internal affairs officers in conjunction with the Kentucky State Police. This training included investigations, report writing, crime scene evidence photography, policy and procedures, interviewing techniques, courtroom testimony, case preparation, and handling of evidence.

A database module in the Kentucky Offender Management System was also designed specifically for internal affairs investigations.



*Harley Allen,
Investigator Supervisor
Page 13*

Ombudsman Office

Ombudsman

John Dunn

Internal Policy Analyst
Briney King



John Dunn

*Executive Staff Advisor /
ACA Coordinator / Ombudsman*

Executive Staff Advisor

Dunn began his Corrections career in April 1993 at Northpoint Training Center as a Correctional Officer.

He began promoting up through the ranks and in January 2006 he was promoted to program administrator at Central Office supervising the home incarceration and re-entry programs, a position he held until September that year when he was named as Ombudsman.

Dunn also served 21 years in the National Guard and retired in 2006 after achieving the rank of major.

He is a 1990 graduate of the University of Kentucky where he received his bachelor's degree in history. Dunn is a member of the American Correctional Associa-

tion, Correctional Accreditation Managers' Association and the National Guard Association.

Ombudsman/Accreditation

This Ombudsman Office oversees the grievance process throughout the state and is responsible for responding to all inmate grievances appealed to the Commissioner's Office.

Dunn also serves as an Executive Staff Advisor to the Commissioner and coordinates all accreditation efforts within the agency.

Office of Research

The Research Internal Policy Analyst serves as the Department's representative on the Justice & Public Safety Cabinet's legislative team, assists with federal reporting requirements and coordinates corrections-related research requests and projects.

The Research position was restructured to the Ombudsman Office in July 2014. In addition to the restructure, the position also underwent a personnel change. Briney King, a five year employee of the Department, was appointed to the position in August.

During the sixty day 2014 General Assembly Legislative Session, corrections impact statements were drafted and submitted to the Legislative Research Commission.

Department reviews were also entered into the Kentucky



*Briney King,
Internal Policy Analyst*

Legislative Review system.

The Department proposed legislation to include offenders convicted of "Possession or Viewing of Matter a Sexual Performance by a Minor" to the list of offenders required to receive sex offender treatment.

The Department also proposed to amend existing language to allow the department to award sentence credit of less than ninety days for drug treatment or other evidence-based treatment programs approved by the department. This change was necessary due to a shorter timeframe it requires to complete.

This was a successful bid and House Bill 343 was enacted into law July 15, 2014.

The Research Office also coordinated data collection for the National Corrections Reporting Program, Southern Legislative Conference, and State Performance Measures for the Bureau of Justice Assistance and PEW Research Center.

Office of Support Services

Deputy Commissioner Kimberly Potter-Blair

Executive Secretary
Jeri Zoochi Hines

Admin. Coordinator / IT & OIS
John Hall

Information and Technology
Terry Terrell

Offender Information Services
Ashley Sullivan

Re-Entry
Kristin Smith

Administrative Services
Steve Castle

Parole and Victim Services
Melissa Chandler

Corrections Training
Chris Kleymeyer

Probation & Parole
Roberto Rodriguez



*Kimberly Potter-Blair
Deputy Commissioner
Office of Support Services*

at Blackburn before transferring to the Division of Probation & Parole in District 9 in 1999. After supervising a caseload of offenders for approximately four years, she was promoted to Assistant District Supervisor for District 9 in 2003, and then to District Supervisor in 2005.

While working in District 9, which encompasses Fayette County, she was instrumental in the efficient flow of court work in the Fayette Circuit Court system. She was also active in helping to create the Fayette County Re-Entry Program for offenders on probation and parole, as well as coordinating efforts with the CJ-DATS research project with the University of Kentucky.

During her career, Potter-Blair has been a member of the Kentucky State Parole Officers' Association and the Kentucky Council on Crime and Delinquency, where she previously served as President of the Bluegrass Chapter. She is also a graduate of the inaugural Commissioner's Executive Leadership Program, which began in May 2005.

Information and Technology Branch

The Information and Technology branch is charged with supporting and maintaining the systems that are driven by the business needs of the Department.

The Applications Section is tasked with supporting our Kentucky Offender Management System (KOMS), Websites (Justice Cabinet, Corrections, KY Correctional Industries and Parole Board), Helpdesk and other custom applications.

The Information and Technology Branch is in a constant state of change delivering solutions and services to our end users by utilizing available resources and taking advantage of shared resources from other state agencies while impacting the end-user as little as possible.

In March 2014, the KOMS Project Management Team, Appriss, and KY State Police began meeting about an interface to pass information to Appriss, to set up watches for Probation and Parole officers if offenders are incarcerated.



*John Hall, Admin. Coordinator
Information Technology and
Offender Information Services*

Office of Support Services

As Deputy Commissioner of Support Services, Kimberly Potter-Blair is responsible for the Administrative Services Division, Corrections Training Division, Division of Probation and Parole, Division of Parole and Victim Services, Information and Technology Branch, Offender Information Services Branch, Contract Management Branch and the Re-entry Branch.

Potter-Blair began her career with the Department of Corrections in 1998 as a Corrections Officer at Blackburn Correctional Complex. She was promoted to caseworker

Office of Support Services



*Terry Terrell, Info. Systems Mgr.
Information and Technology*

ated, receive an E-Warrant or E-Citation. This JISS interface is scheduled to Go Live in January 2015.

In July 2014, the KOMS Project Management Team completed an interface with the BEAST database. This database is the KY State Police's system of keeping and tracking DNA samples.

In August 2014, the Application section completed the design/construction of the new Justice Cabinet website. They also continued making upgrades to the Corrections, Parole Board and KY Correctional Industries websites.

In October 2014, the IT Branch began working with eClinical-Works to establish a new Electronic Medical Records application that would be housed within the Department of Corrections.

Offender Information Services Branch

The Offender Information Services Branch is charged with the responsibility of calculating the sentences of the entire inmate population in accordance with all

court orders, laws, policies and regulations pertaining to inmate sentence calculations as well as maintaining an inmate file on all offenders currently in the custody of the Department.



*Ashley Sullivan, Branch Manager
Offender Information Services*

A total of 17,976 inmates were released in 2014, either court ordered release, placed on supervision or upon completion of their sentence. This office serves as the last line of defense ensuring that each offender was released on the exact day they were eligible for release. Offender Information Services is also responsible for fielding questions from the court system, law enforcement agencies and the general public; responding to correspondence from the inmate population; and processing open records requests for documents contained in inmate files.

This responsibility is shared among the thirty two staff members and is divided into sections which are each responsible for various duties that contribute to ensuring that every sentence calculation is completed accurately and that all inmates are considered for parole at the appropriate time.

Staff in the Initial Calculations

section are responsible for verifying the sentence calculations for offenders newly committed to the custody of the Department of Corrections.

The Jail Management section serves as the institutional records office for all state inmates housed in county jails and community service centers. They perform all of the routine records processes for each of the approximately 6,000 inmates housed in county jails and halfway houses.

The third section handles what is referred to as "Good Time", which equates to time an inmate can earn that is then deducted from the prison sentence. This section processes between 6,000 and 7,000 sentencing credits each month.

The Community Placement Section is responsible for the oversight of finding suitable home placement for offenders released to community supervision.

The Staff Support section maintains the electronic inmate files for every offender who is or has been incarcerated by scanning and uploading more than 2,500 documents each month.

In March of 2014 this Branch began full implementation of a document imaging solution designed to eliminate the hard copy inmate files stored in Central Office and Kentucky Department for Libraries and Archives. These hard copy files are scanned into the offender management system where they will be maintained as the permanent record.

Office of Support Services

Reentry Branch Manager Kristin Smith

Program Administrators
Kerry Mears
Starlene Smith

Administrative Specialist III
Melissa Moore



*Kristin Smith, Branch Manager
Re-Entry / HIP Branch*

Overview

The Reentry Branch strives to increase public safety and improve recidivism rates by enhancing resources available to offenders transitioning from incarceration to the community. This requires that all branches of the Department collaborate to build a seamless reentry process.

The Department currently uses a validated risk and needs assessment tool, the Level of Service / Case Management Inventory (LS/CMI), and a comprehensive case management plan to prepare for the release of offenders. During 2014, the number of LS/CMI's completed was 39,737. The Reentry Branch oversees the use of the Level of Service Case Management Inventory.

The Reentry Branch supports dedicated reentry coordinator positions in prison facilities and reentry parole officer staff in the Jefferson County supervision districts.

The Reentry Branch also supports the network of reentry councils across the state. Reentry staff attends reentry council meetings routinely to advise service providers of new Department initiatives, gather information about services and develop strategies to address service gaps.

In partnership with the local reentry councils, the Reentry Branch developed a process for families to learn more about the criminal justice system. The first Family Engagement Session was held in Bowling Green in partnership with the Southern Kentucky Reentry Council.

During 2014, a new focus was formed from the previous Family Engagement Sessions and Families of the Incarcerated groups were started. These groups mirror the Reentry councils across the state and provide the families a monthly group meeting to allow for support and networking.

The Reentry Branch provides question and answer session for families in a less formal environments. The Families of the Incarcerated groups began at the end of 2014.

The Home Incarceration Program (HIP) transitioned from the Reentry Branch to the Division of Probation and Parole.



*Kerry Mears, Reentry Program
Administrator*



*Starlene Smith, Reentry Program
Administrator*

Highlights

The Reentry Branch continues to oversee the Second Chance Act Demonstration Grant that increases support for offenders returning to Jefferson County through the following initiatives:

- A validated risk and needs assessment tool
- Dedicated reentry coordinator positions in six prison facilities
- Dedicated reentry parole officer positions in the Jefferson County supervision districts
- Funding to support implementation of evidence-based programs throughout the state
- Services to support job readiness and employment placement
- A Safe Location program to ena-

Office of Support Services

ble offenders in a troubling situation the opportunity to stay at a halfway house and receive counseling, meals and overnight accommodations

- Programs to engage the families of offenders through mentoring children of incarcerated parents and providing art therapy to offenders and their families
- Support for the network of reentry councils across the state
- Train new DOC case management staff on the Case Management Plan in conjunction with training on our validated risk and needs assessment tool.

Training focuses on effective case management planning, including developing goals, tasks and timelines based on identified criminogenic needs. Additionally, caseworkers and probation and parole staff were trained on how to navigate in the Kentucky Offender Management System to create a case management plan.

The release of a monthly newsletter called the Tool Box provides information to staff about reentry initiatives throughout the state as well as to provide information to offenders in prisons, jails, halfway houses and the community.

Quarterly meetings were conducted with institutional reentry coordinators and reentry parole officers to share information on resources, develop strategies to assist high risk offenders and determine needs that may be addressed through collaboration with local reentry task forces.

The Reentry Branch has expanded its reach to additional stakeholders through the network of local reentry taskforces. Staff from institutions and probation and parole typically attend reentry taskforce meetings throughout the state.

The reentry branch also developed the New Direction program to ad-

dress the barriers offenders face upon release. During the 2014 year, New Direction merged with the Probation and Parole PORTAL program and is now combined as PORTAL New Direction. This program is administered in each of the 12 institutions, all Probation and Parole Districts, and several local jails.

THE TOOL BOX: Staff and Offender Newsletter JUNE 2014

KENTUCKY DEPARTMENT OF CORRECTIONS
STAFF AND OFFENDER NEWSLETTER

THE TOOL BOX

VOLUME 3, ISSUE 4 JUNE 2014


**BLUEGRASS FAMILIES OF THE INCARCERATED
FAMILY ENGAGEMENT Q & A**

Families of the Incarcerated is a group of family members in the Lexington, Kentucky area with loved ones incarcerated, committed to providing support to one another and provide for a smoother transition for the families once their loved ones return home. They meet the 3rd Wednesday of each month at Northeast Christian Church and welcome all families who are seeking a place of love, support, and encouragement as they deal with having a member of their family in prison.

Next Meeting: Wednesday June 18, 2014
6:30 PM, Rm 111

Please RSVP to email/phone below or to
Kerry Mears, Program Administrator, KY DOC,
kerry.mears@ky.gov or 502-782-2256.

James and Sheryl Norton, Bluegrass Families of the Incarcerated
A Ministry of Northeast Christian Church
990 Star Shoot Parkway, Lexington, KY 405090
jammorton@gmail.com, sheknorton@gmail.com
859-338-9821 (Jim), 859-543-1494, 859-338-9820 (Sheryl)



Need Information about the Affordable Care Act?
Contact:
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Call Center:
1-855-4knect
(855-459-6328)

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RE-ENTRY COUNCILS	6

"A SECOND CHANCE TO MAKE A FIRST IMPRESSION" 1-877-INMATE 4 OR 1-877-466-2834

The Tool Box, a monthly newsletter, provides information about reentry initiatives throughout the state.

Division of Administrative Services

Director Steve Castle

Assistant Director
Hilarye Dailey

Fiscal Branch
Pat Sudduth



*Steve Castle, Director
Administrative Services Division*

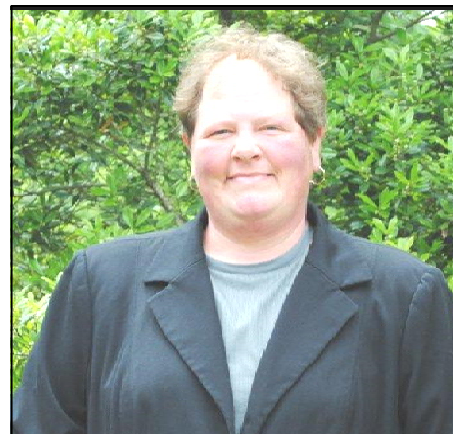
The Division of Administrative Services successfully implemented the FY14 Budget while actively ensuring funds were available to sustain the operational requirements of the department.

Administrative Services continued to provide resource support to the department and staff in a vast array of services including

budget, financial management, accounting, procurement and internal financial audits. The division processes thousands of accounts payable, accounts receivable and procurement documents annually, including travel vouchers for over 700 probation and parole staff. In addition, staff processed over \$1M in drug testing fee receipts.

The EFT/E-Messaging contract with JPay, Inc. was awarded and implemented. This contract eliminates the need for department staff to handle money orders and cash. Instead, electronic funds transactions (EFT) for inmate deposits, as well as fee payments for Probation & Parole, are processed electronically – saving hundreds of hours of staff processing time while reducing the amount of time for inmates to receive funds.

Travel expenditures for the division continue to be reduced through conducting internal audits remotely. Rather than incurring expenditures for travel to each institution on annual basis for cash audits, each institution



*Hilarye Dailey, Asst. Director
Administrative Services Division*

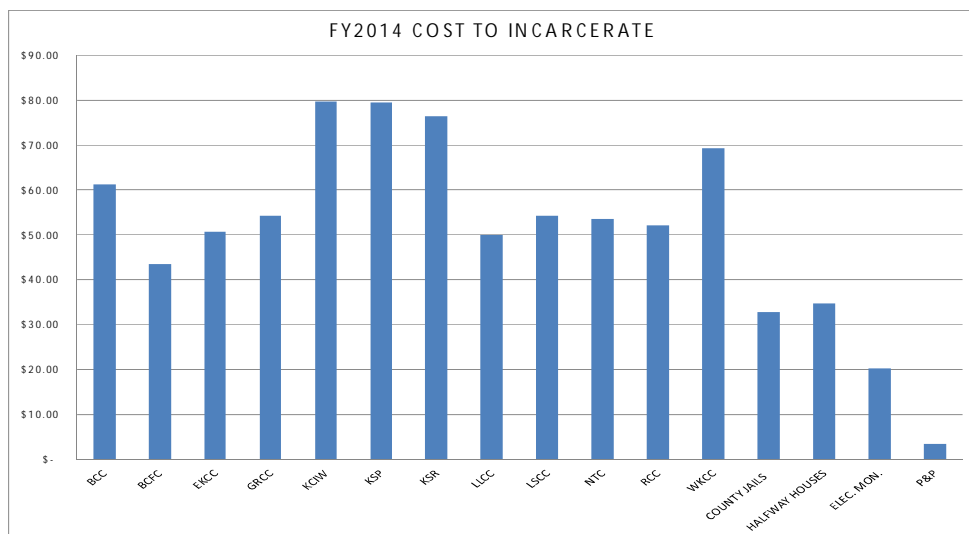
scans all documentation into a network folder so that Administrative Services staff can complete the audits without travelling to each location.

The division's workload has continued to expand while staffing has decreased. The division averages a filled complement of 17 positions – 6 positions less than it averaged in 2005.

The Division conducted a Fiscal Manager's meeting in June to provide training to all Fiscal Managers.



*Pat Sudduth, Branch Manager
Fiscal Branch*



FY 2014 Cost to Incarcerate

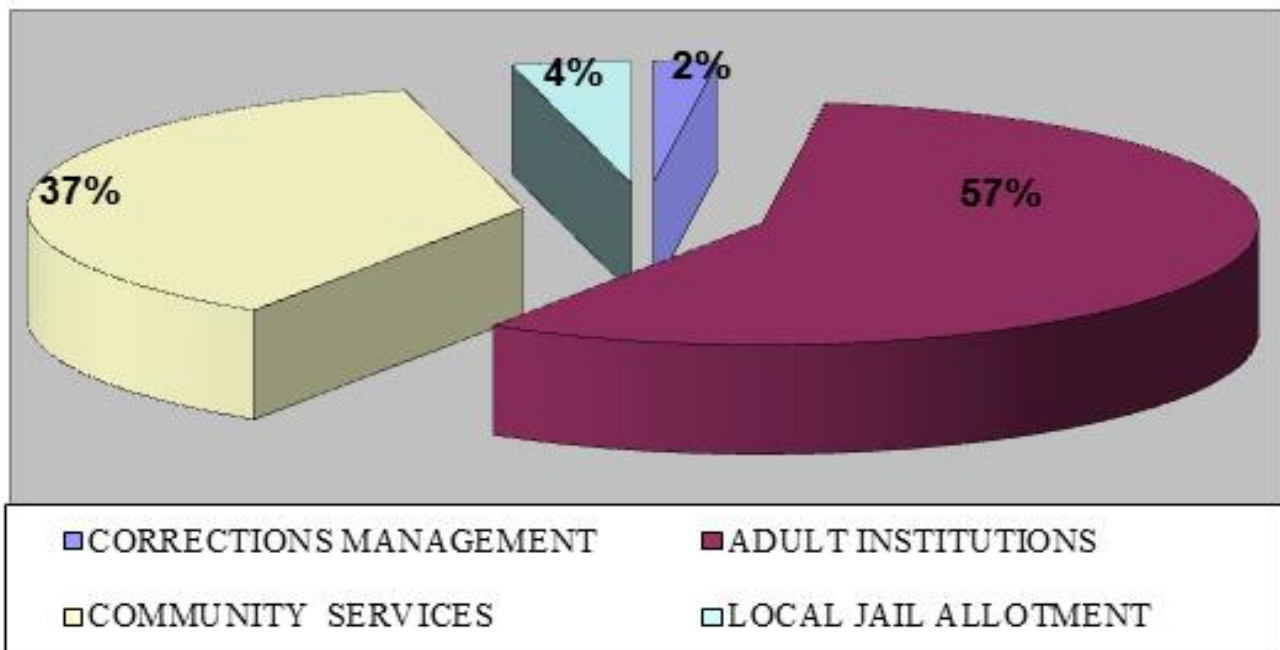
	*CTI Per Day	*CTI Per Annum	**Per Diem (Male)	**Per Diem (Female)
KY DOC Adult Institutions				
Blackburn Corr. Complex	\$ 61.22	\$ 22,343.83		
Bell County Forestry Camp	\$ 43.58	\$ 15,905.05		
Eastern KY Corr. Complex	\$ 50.72	\$ 18,512.30		
Green River Correctional Complex	\$ 54.30	\$ 19,821.10		
KY Correctional Inst. for Women	\$ 79.57	\$ 29,042.62		
KY State Penitentiary	\$ 79.53	\$ 29,027.65		
KY State Reformatory	\$ 76.38	\$ 27,877.77		
Luther Lockett Corr. Complex	\$ 49.98	\$ 18,242.60		
Little Sandy Corr. Complex	\$ 54.30	\$ 19,820.75		
Northpoint Training Center	\$ 53.60	\$ 19,564.17		
Roederer Correctional Complex	\$ 52.05	\$ 18,996.60		
Western KY Corr. Complex	\$ 69.32	\$ 25,303.54		
Other Averages				
Total State Facility Average Cost	\$ 60.38	\$ 22,038.16		
Total State Inmate Average Cost	\$ 49.24	\$ 17,973.76		
County Jails without SAP (State Inmates)	\$ 32.92	\$ 12,014.49	\$ 31.34	\$ 31.34
County Jails with SAP (State Inmates)	\$ 41.92	\$ 15,299.49	\$ 40.34	\$ 40.34
Halfway Houses - Inmate Beds	\$ 34.75	\$ 12,683.59	\$ 31.61	\$ 32.64
***Halfway Houses - Probationer/Parolee Beds & Recovery Ky.	\$ 32.47	\$ 11,851.09	\$27.62/\$31.61	\$27.62/\$32.64
Electronic Monitoring	\$ 20.33	\$ 7,420.70		
Probation & Parole - Cost to Supervise	\$ 3.50	\$ 1,275.95		
State Institution Average Female	\$ 74.45	\$ 27,173.08		
State Institution Average Medium non medical (male)	\$ 52.49	\$ 19,159.59		
State Institution Average Medical (male and female)	\$ 72.39	\$ 26,421.41		
Average Medical Cost per Inmate, Adult Institutions	\$ 12.50	\$ 4,562.77		
Average Substance Abuse Cost per Inmate-Institutions	\$ 4.59	\$ 1,675.95		

Division of Administrative Services

2014 Budget

	CORRECTIONS MANAGEMENT	ADULT INSTITUTIONS	COMMUNITY SERVICES	LOCAL JAIL ALLOTMENT	TOTAL
PERSONNEL	\$ 8,780,934.13	\$ 182,226,995.67	\$ 44,655,141.52	\$ 37,601.96	\$ 235,700,673.28
OPERATING	\$ 1,043,551.26	\$ 46,991,313.94	\$ 6,081,123.08	\$ 444,098.04	\$ 54,560,086.32
CARE & SUPPORT	\$ 251,693.30	\$ 50,014,645.89	\$ 132,099,903.30	\$ 18,555,300.00	\$ 200,921,542.49
DEBT SERVICES		\$ 361,500.00			
CAPITAL OUTLAY	\$ 250.00	\$ 2,086,308.20			\$ 2,086,558.20
TOTAL	\$ 10,076,428.69	\$ 281,680,763.70	\$ 182,836,167.90	\$ 19,037,000.00	\$ 493,268,860.29

FY 2014 BUDGET - DEPARTMENT OF CORRECTIONS



Division of Parole and Victim Services

Division of Parole and Victim Services

Internal Policy Analyst IV
Melissa Chandler

Administrative Law Judges
Kimberly Morris
Eden Stephens
Daniel Schulman



Melissa Chandler, Internal Policy Analyst IV

Overview

The Division of Parole and Victim Services is responsible for completing all administrative duties of the Parole Board as determined by policies, statutes and regulations. The division consists of four sections: Victim Services, Support Services, Risk Assessment, and Revocation.

Victim Services staff in this Division are supervised by the Department's Communications Director, Lisa Lamb. These staff members are responsible for victim and prosecutor notification regarding parole as required by statute. They coordinate victim impact hearings, notify victims per KRS 439.340, respond to concerns/questions from victims, process victim impact statements, and provide hearing outcomes to

victims.

The Support Services section handles incoming calls and correspondence, performs clerical support during parole hearings, enters the Parole Board's decisions, provides administrative support to the Parole Board members and maintains the parole eligibility lists.

The Risk Assessment section is responsible for compiling Parole Guidelines Risk and Need Assessments as required by KRS 439.331. This is an objective and validated tool used by the Parole Board since 2003 when making release decisions.

The Revocation Section consists of administrative staff as well as hearing officers known as Administrative Law Judges. The administrative staff issue and process supervision revocation warrants, provide administrative support to the hearing officers, process preliminary hearing decisions, schedule hearings, and prepare parole violator packets for the Parole Board to use during the final parole revocation hearings. Administrative Law Judges conduct preliminary revocation hearings, which are proceedings to determine if probable cause exists that a parolee has possibly violated the terms and conditions of their parole supervision.

In 2014, the Division of Parole and Victims Services employed one Internal Policy Analyst IV, three full-time Administrative Law Judges, one Corrections Program Administrator, three Internal Policy Analyst III's, four Ad-



*Administrative Law Judges
Kimberly Morris (top),
Eden Stephens (middle), and
Daniel Schulman (bottom)*

ministrative Specialist III's, two Parole Board Specialist II's, and five Parole Board Specialist I's.

Highlights

In 2014, this division processed over 20,000 Parole Board decisions, compiled over 15,000 risk and needs assessments, and issued over 6000 revocation warrants. The Division of Parole and Victim Services staff continues to be focused on the efforts of HB 463 and ensuring that the Parole Board is able to fulfil their duty as part of the criminal justice system of Kentucky.

Division of Corrections Training

Director Mary Godfrey

Regional Branch Managers

Central Region
Steve Faulkner

Eastern Region
Glenn Hance

Western Region
Donnie Youngblood



*Mary Godfrey, Director
Division of Corrections Training*

The Division of Corrections Training (DCT) is charged with planning, coordinating and conducting training for adult institutions, probation and parole, jailers and DOC Central Office. DCT Director, Mary D. Godfrey, maintains an office in La Grange, Kentucky within the Central Region Training Center. This office provides administrative supervision of all DCT operations.

In September 2014 DCT went through the ACA reaccreditation process. Reaccreditation will be awarded in February 2015 at the

ACA conference in Long Beach, California.

Also during 2014, plans for an additional Regional Training Center were initiated. The training center will be located on the grounds of Northpoint Training Center (NTC). The location of this training center will reduce travel and housing costs by approximately \$70,000. NTC, BCC and BCFC staff will attend Institutional pre-service academy at the new Southern Region Training Center.

Training: Correctional Officers

The DCT develops the majority of the new employee and continuing training curriculum for correctional officers, uniformed supervisors and non-security staff of the 12 adult institutions, the probation and parole officers and staff of the 20 Probation and Parole Districts and annual training for the elected jailers and their staff within all 120 counties of Kentucky.

Adult Institutions Non-Security

All non-security staff attends the number of training hours dictated by the requirements of ACA accreditation. During 2014 training Corrections Policy and Procedures (CPP) and Training Policy and Procedures (TPPS) were reviewed and accreditation requirements were addressed.

Training: P&P Officers

A new probation and parole officer completes the Probation and Parole Officer Pre-service Academy by attending New Employee Orientation at the hiring Probation

and Parole Office. The Assistant District Supervisor oversees the New Employee Orientation (NEO) and Phase I of the training. The Phase I portion is equivalent to forty (40) hours of classroom instruction delivered by CBT. After completing the NEO and Phase I, the new officer completes Phase II at the Central Region Training Center. Phase II consists of one hundred and sixty (160) hours of classroom training. Upon completion of the NEO, Phase I and Phase II, the new officer returns to the assigned probation and parole office to complete a one (1) year probation period. The newly trained probation and parole officer will receive an additional forty (40) hours of Probation and Parole In-Service training annually.

Training: Central Office Staff

The Department of Corrections gained Accreditation through ACA for Central Office Staff in 2013. Previously this group of employees had been exempt from training requirements. The departments training policy CPP 4.9 was developed to include Central Office Staff in the training process. The computer based training modules developed for the central office staff have been reviewed and revised for 2015.

Training: County Jailers

Currently, Kentucky Revised Statutes require the Department of Corrections to provide, at no cost to the jailers, a minimum of 40 hours of annual training for elected jailers and 16 hours of annual training for their staff. The DCT develops an annual curricu-

Division of Corrections Training

lum in cooperation with a curriculum committee appointed by the Kentucky Jailers' Association. DCT staff from the three regional training centers also conduct annual deputy jailer training throughout the state at locations requested by the Kentucky jailers.

The elected/appointed jailers meet the majority of their forty (40) hour annual training requirements by attending one or both of the annual Kentucky Jailer's Association Conferences. The DCT in conjunction with the Jailer's curriculum committee conducted a forty (40) hour training session for each newly elected jailers in December 2014 prior to them assuming their new position.

CRTC

The Central Region Training Center (CRTC) provides staff instruction to six adult correctional institutions; Kentucky State Reformatory (KSR), Roederer Correctional Complex (RCC), Luther Lockett Correctional Complex (LLCC), Kentucky Correctional Institution for Women (KCIW), Northpoint Training

Center (NTC) and Blackburn Correctional Complex (BCC); twelve Probation and Parole Districts; Districts 4, 5, 6, 7, 9, 12, 14, 16, 17, 18, 19 and 20; and the elected jailers and their staff within fifty-six (56) counties of the Commonwealth.

ERTC

The Eastern Region Training Center (ERTC) provides staff instruction to three adult correctional institutions; Little Sandy Correctional Complex (LSCC), Eastern Kentucky Correctional Complex (EKCC) and the Bell County Forestry Camp (BCFC); four Probation and Parole Districts; Districts 8, 10, 11 and 15; and the elected jailers and their staff within twenty-nine (29) counties of the Commonwealth.

WRTC

The Western Region Training Center (WRTC) provides staff instruction to three adult correctional institutions; Western Kentucky Correctional Complex (WKCC), Kentucky State Penitentiary (KSP) and Green River

Correctional Complex (GRCC); four (4) Probation and Parole Districts; Districts 1, 2, 3 and 13; and the elected jailers and their staff within thirty-six (36) counties of the Commonwealth.

Curriculum Development Branch

The Curriculum Development Branch is located within the CRTC. They are responsible for management of the curriculum development process for adult institutions, probation and parole, central office, Kentucky Correctional Industries, jails and Computer Based Training (CBT) modules, the archiving of all departmental curriculums and the daily administration of the Department of Corrections Learning Management System (LMS). Crimcast is the department's LMS and also serves as DOC's Training Records System (TRS).

During the Fall of 2014 presentations on diversity were held at Blackburn Correctional Complex.

The Curriculum Branch also worked with Probation & Parole to re-design the pre-service academy, which is now four (4) weeks long and is presented at CRTC.

Relocation of Probation and Parole's in-service was discussed during 2014 allowing staff to remain in their geographic areas thus saving considerable funds that had been allocated for travel and housing. This will begin in 2015.



DCT annual meeting in November at General Butler State Park

Division of Probation and Parole

Director

Roberto Rodriguez

Assistant Director
Cortney Shewmaker

Branch Managers

Central Region - Becky Carter

Eastern Region - Robert Taylor

Western Region - Mark Stonex

Northern Region - Dan Fountain

Overview

The primary function of the Division of Probation and Parole is to protect the citizens of the Commonwealth through the supervision of offenders who have been placed on probation by the courts or released to parole supervision by the Parole Board. Officers serve each of Kentucky's 120 counties which are organized into four operating regions and 20 supervision districts.

The Division employs 819 staff, including 631 sworn officers, who supervise 46,349 offenders. At the end of 2014, the Division supervised 14,808 parolees (32%) released by the Parole Board and 31,541 (68%) offenders placed on supervision by the Court. With the assistance of 53 dedicated Pre-Sentence Investigators, the Division completed 25,831 pre-sentence investigations in 2014. The Division also provides services to approximately jail based inmates, including Class D programs and community custody

residential centers. Additionally, the Division provides supervision services to approximately 1,300 offenders in 25 halfway house facilities and ten Recovery Kentucky treatment programs.

In addition to supervision, Probation and Parole Officers provide investigative services to the courts and Parole Board, rehabilitation services to offenders, and assistance in employment and home placement. Various duties include court appearances and testimony, reports to the releasing authorities, home visits, drug and alcohol testing, transportation of offenders, referral of offenders to appropriate resources, and monitoring payment of fees, restitution, and community service.

The Division is also responsible for the administration of the Interstate Compact which transfers cases between states and the placement office which assists incarcerated offenders with proper home placements. In 2014, the Interstate Compact processed 1,618 transfer requests from other states to Kentucky and 2,171 transfer requests from Kentucky to other states. Kentucky currently supervises 2,002 offenders for other states. Kentucky currently supervises 2,170 offenders for other states.

In accordance with KRS 17.170 and in conjunction with the Kentucky State Police, Probation and Parole is required to complete DNA testing of all convicted felony offenders. Kentucky Department of Corrections submitted 19,129 completed DNA collections submitted to the Kentucky



*Roberto Rodriguez, Director
Division of Probation and Parole*

State Policy database in 2014. The Division also processes Civil Rights Restoration requests submitted by ex-offenders. In 2014, the Division received 1,094 applications and 808 applicants were granted restoration. Probation & Parole conducted 13,110 community parole plan investigations in 2014.

With the number of offenders increasing, the Department continues its focus on re-entry efforts. Focused supervision has had a direct affect on caseload numbers, with a regular caseload average of 80.38 at the end of



*Cortney Shewmaker, Asst. Director
Division of Probation and*

2014. With additional staffing and the use of risk level supervision, the caseload average has decreased from 88:1 in December

Division of Probation and Parole

2011, even with an increase of 4,905 offenders on supervision. The regular caseload average does not include specialized caseloads such as sex offenders, absconders, low risk offenders, or offenders in specialized halfway houses or treatment programs.

The Division has held a specific focus on the increasing number of sex offenders coming under supervision. Thirty-six officers statewide specialize in sex offender supervision. In 2014, 31 offenders were released on sex offender postincarceration supervision and 227 offenders were released on sex offender conditional discharge. As of December 2014, the Division supervised 1,855 sex offenders, with a caseload average of 51.53. This represents an increase of approximately 60 offenders over the past two years.

One of the main focuses for the Division is the assessment and case planning for offenders under supervision. In 2014, the Division completed 34,366 LS/CMI risk/needs assessments.

To effectively manage time and resources, the Division has also implemented specialized caseloads for low risk offenders, allowing staff to focus on offenders at a higher risk to recidivate. As of the end of 2014, the Division supervised 3,303 administrative offenders and 9,299 low risk offenders. In an effort to more adequately address offender needs, the Division also continues to seek other sentencing alternatives such as electronic monitoring, home incarceration and place-

ments in residential treatment programs and drug courts.

The supervision of offenders in the community saves the citizens of Kentucky \$56.88 per offender, per day (\$3.50 cost of community supervision vs. \$60.38 average cost of incarceration). Offenders on supervision are required to obtain and maintain full-time employment as a condition of their release, thus adding intangible benefits to the economy of Kentucky.

Offenders may also be required to pay towards the cost of supervision and make restitution to their victims. During 2014 offenders paid in excess of \$2.4 million in supervision fees. The Department also collected over \$930,000 in drug testing fees to deflect the costs of drug testing. Probationers and paroles also paid over \$7.2 million in restitution in addition to completing over 41,225 hours of community service work.

The Public Safety and Accountability Act of 2011 (HB 463) authorized the release of offenders under post-incarceration supervision and mandatory re-entry supervision.

Throughout 2014, 3,097 offenders were released to mandatory re-entry supervision, with an additional 36 offenders released on postincarceration supervision and 7,854 offenders released on parole. The Division currently supervises 1,961 offenders on mandatory re-entry supervision, 502 offenders under sex offender postincarceration supervision,

and 23 offenders on post-incarceration supervision. In 2014, 966 inmates were released to Home Incarceration in the community. In partnership with Jefferson County District Court, 274 offenders are supervised under a Misdemeanor Intensive Supervision Program.

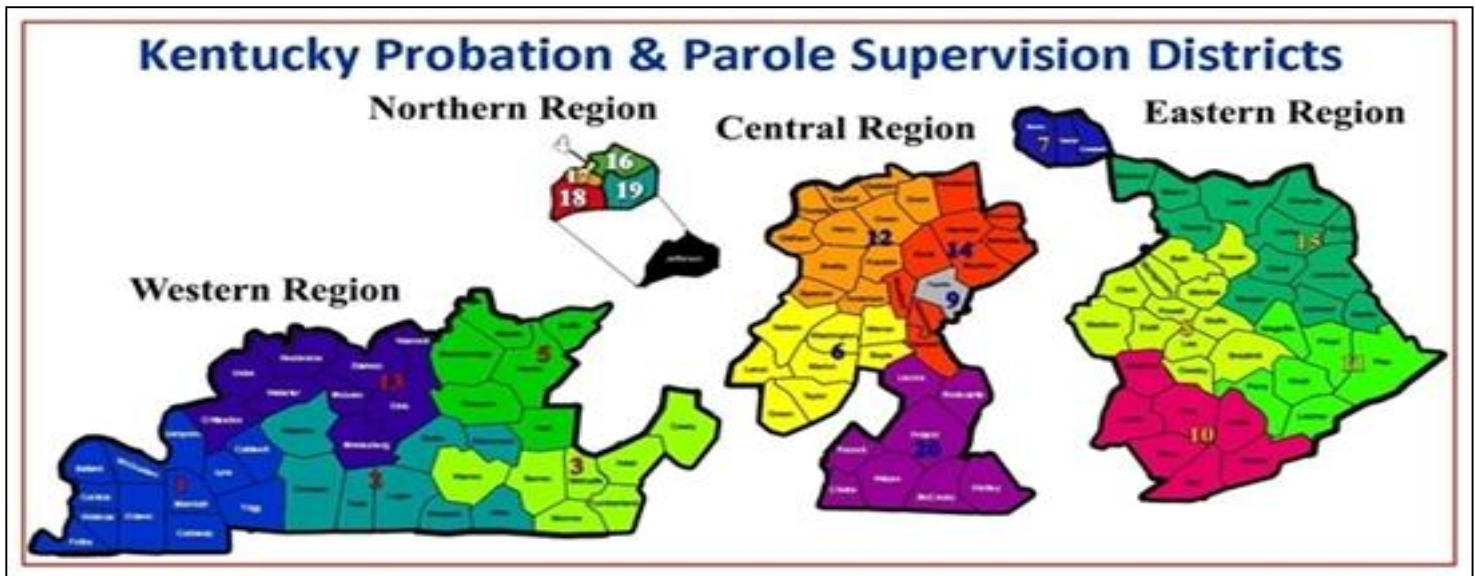
The legislation also structured graduated sanctions for probation violators and early termination from supervision for compliant probationers. In 2014, 399 probationers were granted early termination by the court, bringing the total number of offenders released on early termination under HB463 to 1,406.

The Division continues to work toward the Department's goal of successful re-entry of offenders. The Re-Entry Branch has established four Re-Entry Coordinator positions in Jefferson County to work with high risk offenders upon release. Statewide 85 Re-Entry Liaisons covering every Probation & Parole district work with offenders on re-entry initiatives.

Probation & Parole also works with ten community Re-Entry Councils statewide: Louisville, Lexington, London, Elizabethtown, Bowling Green, Morehead, Pikeville, Paducah, Owensboro, and Northern Kentucky.

Statewide, supervision districts facilitate the PORTAL New Direction barriers program that addresses issues surrounding hous-

Division of Probation and Parole Population Data



ing, employment, transportation, money management, and parenting. In FY2014, 160 offenders successfully completed the program.

In 2011, Kentucky began five pilot SMART programs, a court intervention for immediate and graduated responses to probation violations based on the Hawaii Hope model. These pilot programs are located in Pike, Lincoln/Pulaski/Rockcastle, Shelby/Anderson/Spencer, Allen/Simpson, Campbell, Knott/Magoffin & Jefferson counties. As of December 2014, there are 426 total participants. Analysis of the SMART program by the Administrative Office of the Courts reports that SMART participants are more likely to incur violations, especially substance abuse violations; however, SMART participants were much less apt to have new criminal charges.

The Division of Probation and Parole continues to implement evidence based programs for the field of community corrections.

In FY2014, 59 offenders successfully completed a parenting program for fathers called 24/7 Dads. Currently nine districts offer this offender program. Additionally, 67 offenders completed Thinking for a Change, a cognitive based program that restructures an offender's way of thinking, and 15 offenders successfully completed Moral Reconciliation Therapy, a cognitive based program that examines the psychological component of offender's behavior. Thinking for a Change is offered in ten supervision districts across the state and Moral Reconciliation Therapy is offered in six districts across the state. Six rural Kentucky districts utilize the Carey Guides, a workbook program with offenders focusing on case management interventions.

Also, in 2014, the Division conducted training for Probation & Parole Officers and Investigators in Core Correctional Practices, an evidence based strategic approach to interventions with offenders. The components of this

supervision strategy surround communication with the offender, review of their skills and problem areas, intervention in problematic behavior and experiences, and homework and behavioral rehearsal of new skills through role play.

Probation & Parole Districts are involved with a number of community stakeholders statewide to provide services to offenders. Across Kentucky, the Department partners with community service providers to provide outpatient substance abuse services to offenders, as well as residential treatment programs including Recovery Kentucky Centers, Dismas Charities, The Healing Place, The Hope Center, and the Salvation Army. Crimestoppers and Kynect are examples of other agencies Probation & Parole engages with in efforts to reduce crime and promote offender success in the community. In Louisville, Probation & Parole staff members are also involved with the Louisville Metro Police De

Division of Probation and Parole



Branch Manager, Becky Carter



Branch Manager, Robert Taylor



Branch Manager, Mark Stonex



Branch Manager, Dan Fountain

partment's Violent Crimes Task Force, and Metro Crime Commission meetings. In the Lexington area, officers work with Bluegrass Families of the Incarcerated, the Substance Abuse Violence Intervention Task Force, and the Bluegrass Domestic Violence Prevention Board. Central Kentucky Officers are involved in efforts like the Boyle County Heroin Task Force. In eastern Kentucky, Probation and Parole works with the Hazard Homeless Shelter and Westcare treatment facility in Pike County. In the western part of the state, the Christian County Probation and Parole Officer meets with Veterans Court weekly to assist with treatment and mental health needs of the veterans that are on supervision.

In northern Kentucky, officers work with Catholic Charities for Community Outreach Services as well as a Life Learning Center to help offenders in the work force. Northern Kentucky and Hardin County started the state's first mental health courts. Probation & Parole officers meet regularly with mental health court case managers to assist with treatment, housing, home visits, and monitoring of medication.

The Division of Probation & Parole partners with U.S. Marshals to form Fugitive Task Forces in the Louisville, Lexington, & Newport areas. In 2014, 180 probation and parole fugitives were arrested by the task force in the Newport area, 74 fugitives were apprehended in the Lexington area, and 195 absconders and violators were apprehended in the

Louisville area.

Probation & Parole engages in research projects and utilizes interns and community volunteers. Additionally, Probation & Parole officers participate in offender education programs, career days and school education programs, employer trainings, and job fairs.

Highlights

The Division has expanded to meet the demand of new legislation and a larger supervised population, adding 279 positions since 2011, a 34% increase in staff. Throughout 2014, 104 new staff members attended Probation & Parole Pre-Service Academy.

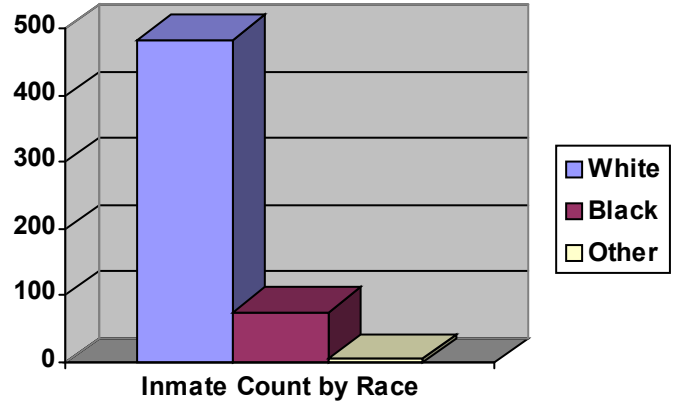
The Division underwent their second ACA re-accreditation audit in October 2012 and received their accreditation certificate in January 2013, with a 99% compliance score.

In addition to their service to the Commonwealth, many employees within the Division of Probation and Parole lend their talents to volunteer organizations in their respective communities. Probation and Parole employees are active supporters of Kentucky Special Olympics, Boys & Girls Club, Kentucky Employee Charitable Campaign, Project Warm, Shop with a Cop, and Crusade for Children On October 1, 2013, following the retirement of Michael Bolcas, Cortney Shewmaker was named the new Assistant Director of the Division of Probation and Parole.

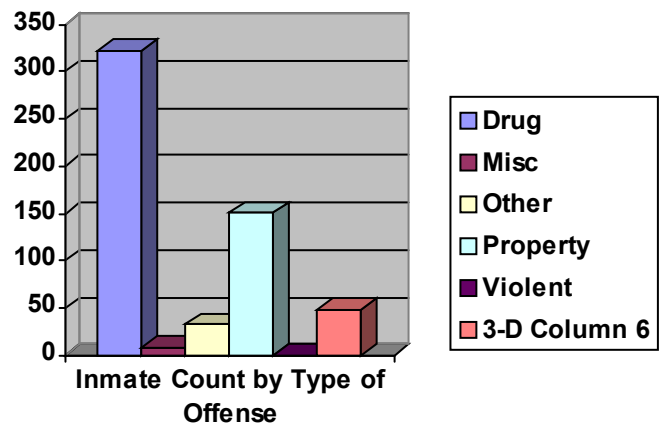
Halfway Houses

2014 Demographic Data

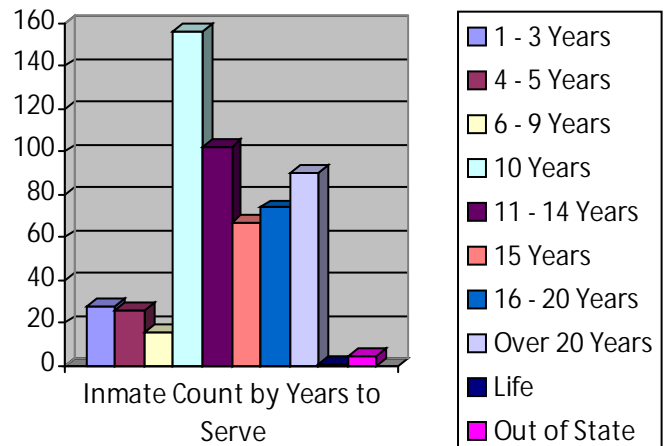
RACE	COUNT	PERCENT-AGE
White	484	85.66%
Black	76	13.45%
Other	5	0.88%
Total	565	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	322	56.99%
Misc	9	1.59%
Other	33	5.84%
Property	151	26.73%
Sex	1	0.18%
Violent	49	8.67%
Total	565	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	28	4.96%
4 - 5 Years	26	4.60%
6 - 9 Years	16	2.83%
10 Years	156	27.61%
11 - 14 Years	102	18.05%
15 Years	67	11.86%
16 - 20 Years	74	13.10%
Over 20 Years	90	15.93%
Life	1	0.18%
Out of State	5	0.88%
Total	565	100.00%



Office of Adult Institutions

Deputy Commissioner James Erwin

Executive Secretary
Valerie Moore

Director of Operations
Chris Kleymeyer

PREA Coordinator
Bryan Henson

Capital Construction
Gunvant Shah

Education Branch
Martha Slemp

Food Services
Susan Williams

Policy Analyst
Sharon Kouns

Policy Analyst-Programs
Debbie Kays

Policies and Procedures
Meredith Sanford

Program Administrators
Jeff Haulker
Webb Strang

Kentucky Correctional Industries
Fred Siegelman

Health Services Division
Cookie Crews
Dr. Doug Crall

Substance Abuse Program
Kevin Pangburn



*James Erwin
Deputy Commissioner
Office of Adult Institutions*

tion Branch, Education Branch, Food Services Branch and Programs and Security Branch.

He is also responsible for Policy and Procedures, Kentucky Correctional Industries, the Health Services Division, Substance Abuse Program Division and the Office of Research, as well as overseeing all 12 of Kentucky's state-run institutions.

Erwin began his career in August of 1985 as a Correctional Officer with Jefferson County. In 1987 he began work with the state of Kentucky as a Classification and Treatment Officer at the Kentucky State Reformatory. He continued his career at KSR as a Unit Administrator until 1996 when he went to work for the Ohio Department of Corrections as an inspector of institutional services.

He continued his career in Ohio as a Unit Management Administrator, Deputy Warden, acting Warden and Warden at several Ohio Prisons, until returning to Kentucky as Director in September 2007.

Erwin is a 1984 graduate of Centre College in Danville and received his masters in Administrative Justice from the University of Louisville in 1987. He is a member of several professional organizations including the American Correctional Association, North American Association of Wardens and Superintendents, Ohio Wardens and Superintendents Association and International Association of Reentry.

Statewide PREA Coordinator

The Prison Rape Elimination Act (PREA) Program consists of the Department's PREA Coordinator, one Acting PREA Coordinator (created in November 2014 due to an impending retirement) and one Program Coordinator. In 2014, Statewide PREA Coordinator, Bryan Henson, announced that he would be retiring in January 2015.



Bryan Henson, Statewide PREA Coordinator receives Champion for Justice Award from the Kentucky Association of Sexual Assault Programs.

Office of Adult Institutions

As Deputy Commissioner of Adult Institutions, Jim Erwin oversees the state-wide PREA Coordinator, Capital Construc-

Operations and Program Services



*Charles Wilkinson, acting
Statewide PREA Coordinator*

C.A. Wilkerson was named Acting PREA Coordinator in November 2014 and trained under Mr. Henson until Mr. Henson's retirement. The responsibilities of the program are to assist all DOC facilities to develop and implement policy and practice as each facility works toward compliance with the National PREA standards as set forth by the United States Department of Justice.

The Prison Rape Elimination Act was signed into law on September 4, 2003 to address the detection, prevention, reduction and prosecution of sexual harassment and abuse in all correctional facilities in the country.

Since the creation of the Department's PREA Coordinator position in November of 2012, several tasks have been accomplished as we move toward compliance of the PREA Standards. Some examples include: PREA training for all staff, contractors and vol-

unteers. Revisions have been made to multiple policies to better guide our staff and offenders regarding incidents of sexual abuse.

A PREA Compliance Manager has been established at each institution. An Internal and External PREA Hotline was created and implemented to ensure multiple ways to report incidents of sexual abuse and sexual harassment.

The Department has entered into a Memorandum of Understanding with the Kentucky Association of Sexual Assault Programs (KASAP) to provide offenders with necessary victim support services. Kentucky also participates in a consortium that consists of 7 states. Kansas, Kentucky, Missouri, Louisiana, Nebraska, South Dakota and Iowa. This consortium consists of circular auditing as required by PREA standards.

During 2014, PREA audits were conducted at three institutions by a seven state consortium with which the Department has entered into an agreement. The institutions audited were Kentucky Correctional Institution for Women, Bell County Forestry Camp and Western Kentucky Correctional Complex. The Department is pleased to report that all institutions received a rating of 100% compliance by the National PREA standards.

The PREA Office has several projects that are on-going. PREA next phase requirements within the Kentucky Offender Management System (KOMS) will pro-

vide PREA Alerts to include movements, jobs, programs and demographics; PREA Allegation & Investigation and Reporting; PREA Investigation to Internal Affairs Investigation; and PREA Statistical Reporting.

The Department continually trains PREA investigators across the state to include PREA Investigators within the state's county jail facilities.

The Kentucky Department of Corrections' continuing goal through this compliance process is to prevent, detect, report and investigate in order to mandate zero tolerance toward all forms of sexual abuse and sexual harassment of offenders within the Department's facilities.

Capital Construction

The Capital Construction Management Branch (CCMB) manages construction and maintenance activity of 627 DOC buildings, with a total occupied area of 4.4 million square feet and oversees funds allotted for capital construction and equipment purchases appropriated by the General Assembly for the Department of Correction's twelve penal institutions.

The staff consists of six employees, including a registered engineer, a registered architect, three project managers and an administrative assistant. Each member of this staff plays a vital role in each project all the way from inception through completion. CCMB also establishes and coordinates semi-

Operations and Program Services



*Guntant Shah,
Capital Construction Branch*

nars for Arc Flash training, OSHA regulations, building code compliance, heating-ventilation, and air conditioning regulation training for all DOC maintenance staff.

The CCMB began calendar year 2014 with 117 projects with allotments totaling \$142,416,441.00 and ended calendar year 2014 with 100 projects with allotments totaling \$134,305,639.00. This decrease is due to an order from OSBD to close any projects that were older than two biennium (or four years old). These totals also represent projects carried forward from the previous biennium that are currently still active.

The Legislature appropriated \$2,750,000.00 for calendar year 2014. In calendar year 2014, CCMB opened sixteen new projects and closed thirty-eight.

The energy projects for all twelve DOC facilities have been completed with a result in energy savings of \$2,940,143.00 for 2014. These savings come from energy improvements in lighting, plumbing and HVAC improvements.

In addition to maintenance activi-

ties, this branch is responsible for designing small construction projects and provides assistance on OSHA & environmental matters; operational guidance on water and sewage plants; assists on lead and asbestos matters; troubleshoots engineering and maintenance problems and prepares EPA permits for boilers and emergency generators.

CCMB also prepares, coordinates and submits the six-year capital plan and the biennial capital budget request for the Department. These requests are facilitated through the effective communication and coordinated efforts with the Finance and Administration Cabinet, Facilities Management and the Governor's Office for Policy and Management.

Cost cutting, increasing work efficiency, total transparency and work ethics have been the primary goal of CCMB. Using this method has saved an estimated \$690,651.00 by (1) training staff, (2) in-house projects design, (3) using inmate labor and (4) project management control. Thus, CCMB has been able to effectively stretch the Miscellaneous Maintenance budget, enabling the completion of many needed projects that would not have been possible utilizing conventional contract methods.

Educational and Vocational Programs

The Corrections Education Branch complies with various State and Federal education requirements and partners with several outside agencies to bring

Kentucky's offenders diverse programming.

Along with other state agencies, the Branch partners with Kentucky Adult Education (KYAE), Kentucky Community & Technical College System (KCTCS), Eastern Kentucky University (EKU), Morehead State University (MSU), and the Kentucky Department of Education (KDE).

Two areas of programming exist within the Branch. Adult Education, which is divided into three levels: literacy; adult basic education; and adult secondary education or GED[®]. There are thirty Adult Education Instructors in DOC Adult Institutions.

During Fiscal Year 2013/2014 the Education Branch maintained all teaching positions allotted. Approximately twenty percent of the offender population is involved in educational programs with 272 offenders earning their GED[®] certificates. In FY 2013/2014, Kentucky Adult Education (KYAE) recognized four schools for meeting or exceeding 100% of their GED[®] enrollment goals.

The Corrections Education Branch remains committed to the preparation for the 2014 Computer-Based GED[®] testing. All twelve DOC Education Centers have been certified by Pearson VUE as official GED[®] Testing Sites, however challenges continue into FY 2014/2015. Maintaining each testing center will require continued collaboration and the need for technological upgrades is anticipated.

Operations and Program Services



Martha Slem, Educational and Vocational Programs Branch

A second area of programming encompassed within the Education Branch includes the following Vocational/Technical Trade Programs: Automotive Body/Collision Repair; Automotive Technology; Cabling/Networking (copper-based & fiber optic); Carpentry/Cabinet-Making; VT Fundamentals (core/career readiness); Construction Management; Electrical Technology; Horticulture & Landscaping; Heating & Air Conditioning (HVAC); Masonry; MOS/IC3 (Microsoft Office Specialist); Small Engine Repair; and Welding.

As a measure to ensure that trade students are meeting business/industry needs obtainment of the OSHA ten Hour General Industry card became a new requirement of our Vocational students as of July 2014.

The National Center for Construction Education and Research (NCCER) nationally recognized curricula is used in the Carpentry/Cabinet-making, Electricity, Masonry, HVAC, Welding, and many components within the VT Fundamentals programs. In FY 2013/2014, offenders completed 6,906 NCCER modules.

The National Occupational Certification Training Institute (NOCTI) - provides the exit examination for non-construction trades including Auto Body/Collision repair, Automotive Technology, Horticulture, and Small Engine Repair. At the close of FY 2013/2014, the Education Branch had sixty students pass the exit examination.

As the success rate continues with the vocational programs, the development of a transcript process began in April 2014 and became fully operational in September. The new process streamlined a procedure of ensuring all course work was successfully completed and allowed quicker awarding of educational good time.

Both the Federal and State Departments of Education strive for students to be college and career ready upon completion of high school; and in the world of Corrections, re-entry into society. The National Career Readiness Certificate (NCRC) is an industry-recognized, portable, evidence-based credential that certifies essential skills needed for workplace success.

During the FY 2013/2014 school year, the Kentucky Department of Corrections Education awarded 457 NCRC Certificates.

The Microsoft Office Specialist (MOS) and Cabling/Networking certification programs are the result of a partnership the Department implemented with the Training Resource Center (at Eastern Kentucky University).

The Education Branch has taken steps throughout the year to move closer to seeking and achieving Corrections Education Association (CEA) accreditation.



Susan Williams, Food Service Branch

Food Services

The goal of the Food Service Branch is to provide each inmate a wholesome and nutritious diet within a set budgetary allowance. Meals are prepared and served under strict sanitary conditions according to regulations set by the Kentucky Department for Health Services, as well as, the American Corrections Association standards including food service and sanitation standards.

The Department maintains its partnership with Aramark Correctional Services (ACS) who operates the twelve state-run institutional food service areas. ACS provides expertise in food service operations, as well as, volume buying power which combine to create efficient and quality food service operations. The DOC oversees the operations to ensure all regulations are met and policies are followed.

Operations and Program Services

Each institution follows a “Master Menu” planned by ACS dietitians in accordance with Recommended Daily Allowances as recognized by the National Academy of Science and approved by the Department dietitian.

Annual resident inmate surveys are conducted and the results of this survey, along with input from institutional personnel, are taken into consideration when revising the Master Menu and improving daily food service operations. Along with the menu surveys, ACS conducts waste management programs in each facility which aids not only in menu development but product specifications as well. Menus are revised mid-year and allow less favorable items to be removed and more popular items to be added.

It is the goal of the Department to improve diet through healthy cooking on the main line. The long-term benefit being reduced medical costs for the inmate population.

In 2014, approximately 13,115,000 inmate meals were served with an additional 146,240 kosher meals and 246,250 staff meals at an approximate cost of \$12,679,000.00.

The Food Services Branch continues to monitor all facilities closely by conducting inspections and monitoring the food service contract.

The Food Services Branch continues its partnership with the Capital Construction Branch to improve food service equipment

efficiency and maintenance with reviews of equipment issues before decisions are made to repair or replace. This partnership has not only maintained costs in this area but saved the Commonwealth significant dollars by repairing equipment instead of purchasing new, whenever cost effective.

The inmate food service training program, In2Work (I2W), conducted by Aramark continues to grow and be successful. This program is an institutional inmate food service training program that provides inmates with food service instruction and practical work experience.

In 2014, the pilot program that allows inmates participating in the I2W program manage and run a grill operation was expanded to other facilities. This program lets inmates place an order through the kitchen, watch it being prepared and consume it either in the dining room or take it to their cell. The payment system is set up similar to a debit card where inmates place money on a card and then spend it at the grill. Not only is the program beneficial for the inmates running the grill but also helps other inmates learn the value of managing money. It continues to be quite successful.

During 2014, the food service department assisted with writing the bid specifications for the re-bid of the Department of Corrections food service operations contract, as well as, the evaluation process of the bid proposals. A new contract will be awarded in early 2015.



*Chris Kleymeyer,
Director of Operations*

Programs and Security Branch

This Branch oversees evidence-based inmate programming within the twelve adult correctional institutions. These programs are operated by both Department of Corrections (DOC) staff and contract facilitators. The oversight includes providing and reviewing quality assurance measures, ensuring contract compliance and coordinating facilitator training programs.

Included in the scope of responsibility are the following staple programs: Moral Recognition Therapy (MRT), Thinking for a Change, Pathfinders, additional components of MRT to include an Evidence Based parenting program for female offenders which are cognitive, behavioral oriented programs, along with Inside Out Dads, a parenting program for male offenders.

An Evidence Based program to address the specific needs of our segregation population has been developed and implemented.

Operations and Program Services

MRT, Thinking for a Change and Inside Out Dads (24/7 Dads) are in the process of being implemented for use in the continuum of care from the institutions to the community to include Probation and Parole. These efforts are also being monitored by this Branch.

Another major responsibility of this branch is to provide oversight relating to the daily security operations and extraordinary occurrences within the Department's twelve (12) institutions. The Director is responsible to the Deputy Commissioner of Adult Institutions for the continual update of the departmental critical incident manuals and is responsible for the operation and maintenance of Central Office's Command Center. These operations are vital to a successful outcome in the event of a critical incident.

A main function of this division is to train staff in Central Office and around the state how to respond should a critical incident occur. The Office of Adult Institutions participated in several planned uses of the Incident Command System throughout the twelve prisons.

Changes in policy development and revision at the department level in 2014 included the initiation of a Perimeter Security Program and revision of the Inmate Marriage policy.

All eight Kentucky Correctional Industry sites were audited for security and safety by Adult Institutions with excellent results and partnership. As always, the



*Meredith Sanford,
Policies and Procedures*

Office of Adult Institutions has been at the forefront of many special projects, providing multimedia support, research assistance and innovative contributions to various solutions. Finally, the PBMS program within the Kentucky Department of Corrections has been greatly enhanced in 2014 and used extensively to guide policy making and operational actions throughout the institutions.

Additionally, the Division is responsible for the statewide program supervision of the Hostage Negotiation teams. The division facilitates the planning and training of the institutional staff on how to respond in the event of a hostage situation to include the proper use of their institutional negotiation teams along with the institutional Corrections Emergency Response Teams.

In 2014, Adult Institutions staff was tasked with overseeing the Department of Corrections' Em-

ployee Drug Testing Program. Staff compile & distribute listings for pre-employment, post-accident, reasonable suspicion and random quarterly employee drug testing, as well as, distribute results, answers questions, maintains logs and reports regarding testing.

Policies and Procedures

The Procedures Development Coordinator is responsible for the development, revisions and procedural review of all Corrections Policies and Procedures (CPP's). A review of all Institutional Policies and Procedures (IPP's) is conducted annually and feedback is given as needed. This office also provides procedural process to Legal Services and the Legislative Research Commission.

In 2014, eleven new Corrections Policies and Procedures (CPP's) were created, and forty policies were revised.

There were also fifty-five CPP's (LRC, Non LRC and Secured) that were approved, effective and placed on the DOC Website in 2014. Five IPP's were also successfully approved through the LRC.

Regulations that were made effective in 2014 include:

- 501 KAR 6:020-Corrections Policies and Procedures
- 501 KAR 6:999-Corrections Secured Policies and Procedures

Kentucky Correctional Industries

Director
Fred Siegelman

Assistant Director
Charles A. Wilkerson

Fiscal Branch
Roger Korby

Shipping / Warehouse
Neil Hille

Farms Branch
Truman Tipton

Sales / Marketing Branch
Vacant



*Fred Siegelman, Director
Kentucky Correctional Industries*

Overview

Kentucky Correctional Industries (KCI) comprises 19 industries in nine facilities within Kentucky’s prison system in addition to four farm operations. It has been producing quality goods and services for government agencies, private businesses and the citizens of the Commonwealth for over 50 years.

KCI currently employs approximately 770 inmate workers with-



*Charles Wilkerson, Asst. Director
Kentucky Correctional Industries*

in the above mentioned 19 industries including up to 100 inmate workers on the farms as seasonal needs dictate.

Our products and services are designed and manufactured by skilled inmate craftsmen. Motivated men and women are voluntarily employed in jobs that develop marketable skills, responsibility and a positive work ethic.

The job opportunities provided through Correctional Industries are highly sought after by our inmate population due to their relatively high pay and learning opportunities.

KCI strives to provide a work environment that as closely as possible matches what would be available on the “outside”. There is an interview process, pay increases based upon performance evaluations, a time clock to punch in and out and opportunities to work in a team environment.

As a result, Kentucky Correctional Industry products and services offered are of high quality and competitively priced. Conse-

quently, our prisons are more effectively managed, society is delivered significantly less repeat offenders, and the tax dollars needed from the citizens of the Commonwealth are greatly reduced.



*Coleman Morrell, acting Asst.
Director Kentucky Correctional
Industries*

Fiscal

KCI continually review and implement changes to operating processes to improve its overall financial positions. These changes reduced operating costs as well as improved cash flow and customer service.

KCI continues to negotiate cash advances from customers on



*Roger Korby, Fiscal Branch
Kentucky Correctional Industries*

Kentucky Correctional Industries



*Neil Hille, Shipping / Warehouse
Kentucky Correctional Industries*

large orders, which enabled us to pay vendors for raw materials in a more timely manner.

Shipping / Warehouse

The recent transfer of the KCI moving crew operation from BCC to the central warehouse has assisted in optimizing logistics functions throughout our system. Overall, logistics have become more professional and more organized than in the past.

Farms

The farm operations at BCC,



*Truman Tipton, Farms Branch
Kentucky Correctional Industries*

NTC, RCC and WKCC sold approximately \$1,000,000 in cattle. The farm operation at WKCC sold approximately \$425,000 in corn and beans.

Operations

With the improvement in operations and cost control, KCI was able to replace out dated and obsolete equipment, i.e. panel saws, paint guns, sanders. KCI was also able to update computer and graphic arts equipment at the Print Shops. These improvement/upgrades allow KCI to pro-

vide a better product to our customers.

Sales / Marketing

Sales Department has expanded the amount of sales being made to the private sector. This includes sales to universities, police department, local governments and churches. The increase in sales to these sectors has offset the lost sales to state agencies due to budget reductions.

Highlights

KCI entered into a Memorandum of Understanding with Kentucky Habitat for Humanity for the manufacture of cabinets, wall panel, roof trusses and other items for use in Habitat homes across the state of Kentucky. Habitat for Humanity will provide all drawing, designs and materials for these items and KCI will manufacture the items requested.



Kentucky Correctional Industries located in Frankfort, Kentucky

Health Services Division

**Health Services
Administrator
Cookie Crews**

**Medical Director
Dr. Douglas Crall**

**Mental Health Director
Dr. Deborah Coleman**

**Nursing Director
Brenda Beehler**



Cookie Crews, Health Services Administrator



Dr. Doug Crall, Medical Director

The Health Service Division provides administrative oversight and direction for all aspects of inmate health care, including pharmacy operations, medical, psychiatric, psychology, dental and nursing services, post-hospitalization and end of life care.

In addition to ensuring that all inmates receive health screenings, physical exams, primary care, specialty care referrals and emergency services, the Division also provides for chronic care clinics designed to monitor and treat inmates with the following conditions: Asthma, Diabetes, Epilepsy, Hepatitis C, High Cholesterol, High Blood Pressure and HIV infection.

Each institution is inspected once a quarter to ensure the health care provider is in compliant with the contract.

General Services

Mental Health staff completed approximately 5567 mental

health appraisals / screeners / brief follow up appointments on male and female intakes within their first two weeks of admission. The contact that is initiated through the Assessment Centers is to identify those inmates needing specialty intensive mental health services, sex offender treatment services, and/or substance abuse treatment services.

Inmates may be referred to these intensive services or may be followed by mental health staff through General Services. There are four licensed Psychologist that provide administrative assistance to the areas of Women's Services, General Services, Seriously Mentally Ill Services and the Sex Offender Treatment Services.

Phoenix, the Co-occurring Unit housed on KSR grounds, provides Evidence-Based substance abuse programming and mental health treatment to the male of-

fender population. This is a 33-bed unit in which male offenders with both substance abuse issues and mental health issues are simultaneously treated for a minimum of six months.

Services continue to be provided through the Shelby Men's Center as a transitional living unit for male offenders who have been paroled from or met sentence expiration in the LaGrange area.

Community Re-integration Services continue to be provided for seriously mentally ill offenders serving out of the institutions. This position covers an active caseload of 25 and is funded through grants.

General Services Mental Health staffing provides coverage in 11 institutions across the state. The General Services provided by Mental Health staff include the completion of other psychological evaluation referrals, individu-

Health Services Division

al contacts/consultations on inmates, crisis intervention, watch initiations, and watch reviews. Cognitive-behavioral Evidence-Based groups are offered to the General Population by Mental Health staff.

Mental health staff have conducted a specially-developed two-hour session of mental health training for the Kentucky State Penitentiary and Eastern Kentucky Correctional Complex. An additional 16 hours of training for Continuing Education Units (CEU) was conducted through the year for mental health staff and those assigned to mental health units in the male facilities.

Women's Mental Health

Seriously Mentally Ill services for female offenders are provided in the Challenges program at the Lonnie Watson Center, a 42-bed unit at KCIW. A licensed Psychologist and Social Service Clinician assigned to this unit provide stabilization and gender-specific treatment services focusing on independent living skills, mental illness recovery and transitional living services.

There is a Co-occurring Unit, Willow, housed at KCIW which provides substance abuse programming and mental health treatment to female offenders. This is a 42-bed unit which opened in May 2012.

The Center for Women and Families in Shelby County continues to offer Domestic Violence groups for incarcerated female offenders as part of their outreach



Dr. Deborah Coleman, Mental Health Director

program.

Mental Health staff at KCIW conducted training on mental health issues for the staff assigned to the mental health unit at this institution. There were approximately 5,681 contacts in the female facilities.

Correctional Psychiatric Treatment Unit (CPTU)

Seriously Mentally Ill services for male offenders are provided at the Correctional Psychiatric Treatment Unit (CPTU), which is a 150-bed unit located at KSR. The A-wing of CPTU serves inmates with persistent and severe mental illness who have concurrent cognitive related disorders and/or severe deficits in activities of daily living.

The B-wing program (FOCUS) serves inmates with persistent and severe mental illness and normative intellectual abilities. C



Brenda Beehler, Nursing Director

-Wing is an acute stabilization wing reserved for the most severely disturbed psychiatric and/or behavioral offenders. Contact with offenders in this program is daily.

Mental Health staff has continued to participate in quarterly inter-agency meetings held at KSR and quarterly meetings with the statewide Mental Health Planning and Advisory Board that funds our Community Re-Integration Services Program. These meetings help to assist with the seamless entry of offenders into the community. Mental Health staff also participates in the monthly Metro Reentry Task Force meetings.

Sex Offender Treatment

The Sex Offender Treatment Program (SOTP) provides treatment to approximately 850 offenders at any given time.

Health Services Division

The SOTP continues to utilize evidence-based practices as well as track and record recidivism data, which demonstrates significant reductions in recidivism rates for treated sexual offenders when compared with untreated sexual offenders.

Additionally, the Sex Offender Risk Assessment Advisory Board (SORAAB) conducted a complete audit of all approved providers Continuing Education (CE) credits statewide resulting in an accurate listing of all clinicians qualified to provide sex offender assessment and treatment. This list assists the courts in selecting qualified professionals.

SORAAB sponsored a two-day statewide training for approved providers on timely topics in sex offender risk assessment and

treatment.

Highlights

The structure of Kentucky State Reformatory was reorganized to provide additional general oversight of mentally ill male offenders. The admission process to Correctional Psychiatric Treatment Unit was also revised in 2014.

The Health Services Division assisted in the development and implementation of a Transitional Unit at Kentucky State Penitentiary. The implementation process for Unit C programming, a Step-Down Unit for mentally ill males transitioning from the Correctional Psychiatric Treatment Unit., was also initiated in 2014.

Other highlights include:

- The Health Services Division began a monthly Mental Health Therapeutic Level of Care meeting to discuss and develop treatment plans on difficult to manage offenders.
- A proposal was submitted for a Behavioral Unit program to assist in the treatment of offenders with self-injurious behaviors.
- The Medical Grievance process has been streamlined.
- Revisions were made to the Hunger Strike Policy.
- Staff attended American Correctional Association auditors training.
- The Medical contract was awarded to Correct Care Solutions.
- Medicaid has been expanded in conjunction with the Affordable Health Care Act.

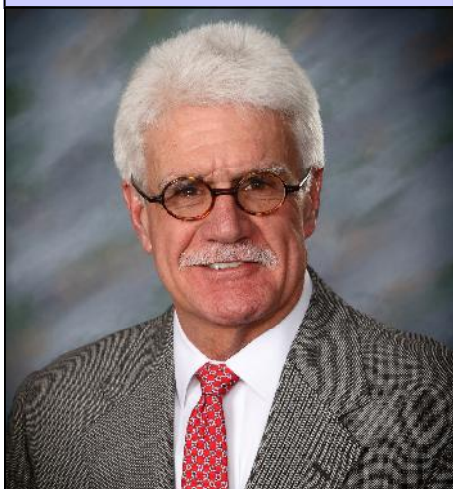


Health Services building located in LaGrange, Kentucky

Substance Abuse Programs Division

Director
Kevin Pangburn

Executive Staff Advisor
Kiernyn Fannin



*Kevin Pangburn, Director
Substance Abuse Programs
Division.*

Substance Abuse Programs

The Division of Substance Abuse is tasked by the Department of Corrections with the planning, development, implementation and oversight of the evidence based substance abuse programming services available to inmates, parolees and probationers. As a result of our continued growth, the department now provides 3,530 treatment beds in prisons, jails, Kentucky Recovery Centers and halfway houses. Through a contractual agreement with the statewide Community Mental Health Center network, we are now able to offer 1,925 Intensive Outpatient Program options for those meeting the protocol for less restrictive substance abuse programming.

Substance abuse treatment programs are available at seven institutions, 18 county jails, 10 Recover Kentucky Centers, 11 halfway houses and 14 Community Mental Health Centers. By comparison, in 2005, the department operated programs in four institutions and two county jails with a total capacity of 475 beds.

Our system of clinically trained Social Service Clinicians provides vital assessment, recommendations, referrals and treatment services in each Probation and Parole District. Through diversion options, these clinicians may also divert high risk probationers and parolees toward treatment as opposed to incarceration.

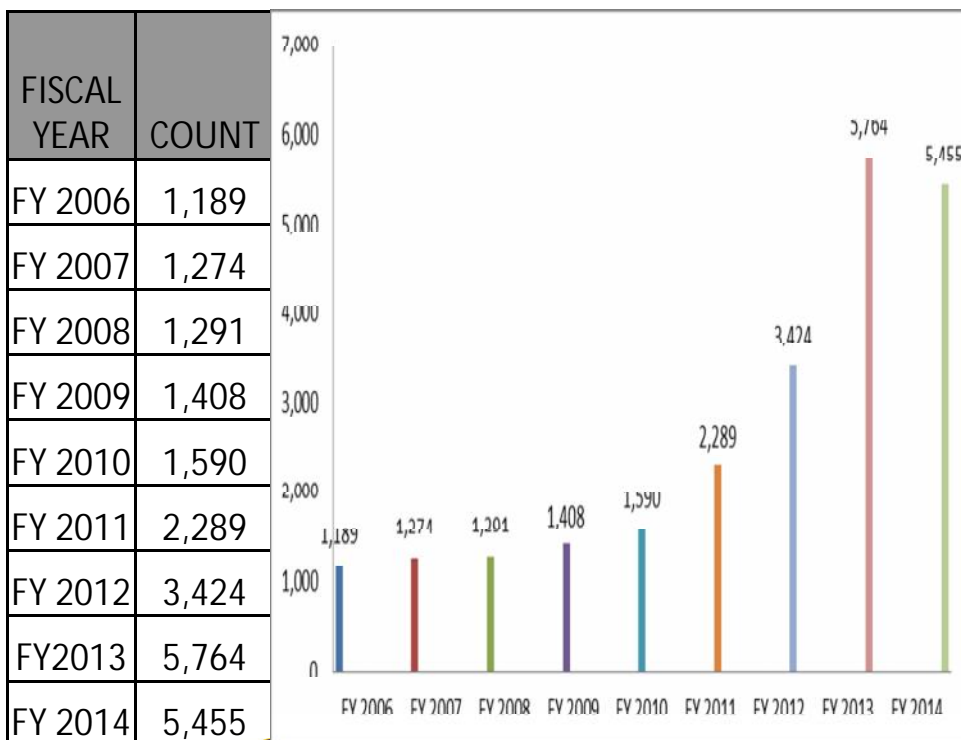
All programs are audited by the University of Kentucky Center of Alcohol and Drug Research to determine outcome results. The

University of Cincinnati Center for Criminal Justice Research reviews our programs to ensure evidence based compliance. Many of our programs are licensed through the State Office of the Inspector General and we are working toward compliance with those yet to be licensed.

The Department of Corrections and the Division of Substance Abuse are proud to be the largest providers of Substance Abuse Services in the commonwealth.

Our evidence based substance abuse programs and intervention services afford our inmates, parolees and probationers the opportunity to confront and alter a debilitation lifestyle resulting in the chance for a more productive lifestyle, healthier family and safer community.

Total Number of SAP Treatment Options by Fiscal Year



Institutions

BELL COUNTY FORESTRY CAMP

560 Correctional Drive
Pineville, KY 40977

Warden: Kathy Litteral

Admin. Spec. III: Betsy Patterson

Phone: 606-337-7065

Fax #: 606-337-1312

BLACKBURN CORRECTIONAL COMPLEX

3111 Spurr Road
Lexington, KY 40511

Warden: Steve Haney

Admin. Spec. III: Rose Sewell

Phone: 859-246-2366

Fax #: 859-246-2376

EASTERN KY CORRECTIONAL COMPLEX

200 Road to Justice
West Liberty, KY 41472

Warden: Gary Beckstrom

Admin. Spec. III: Melissa Howard

Phone: 606-743-2800

Fax #: 606-743-2811

GREEN RIVER CORRECTIONAL COMPLEX

1200 River Road, P.O. Box 9300
Central City, KY 42330

Warden: Alan Brown

Admin. Spec. III: Amy Douglas

Phone: 270-754-5415

Fax #: 270-754-2732

KENTUCKY CORRECTIONAL INSTITUTION FOR WOMEN

3000 Ash Avenue
Pewee Valley, KY 40056

Warden: Janet Conover

Admin. Spec. III: Donna Daily

Phone: 502-241-8454

Fax #: 502-243-0079

KENTUCKY STATE PENITENTIARY

266 Water Street
Eddyville, KY 42038

Warden: Randy White

Admin. Spec. III: Tracey Jordan

Phone: 270-388-2211

Fax #: 270-388-5529

KENTUCKY STATE REFORMATORY

3001 West Highway 146
LaGrange, KY 40032

Warden: Aaron Smith

Admin. Spec. III: Teresa St. Clair

Phone: 502-222-9441

Fax #: 502-222-0240

LITTLE SANDY CORRECTIONAL COMPLEX

505 Prison Connector
Sandy Hook, KY 41171

Warden: Joseph P. Meko

Admin. Spec. III: Deborah Williamson

Phone: 606-738-6133

Fax: 606-738-6143

LUTHER LUCKETT CORRECTIONAL COMPLEX

Dawkins Road, Box 6
LaGrange, KY 40031

Warden: Greg Howard

Admin. Spec. III: Jennifer Fitzgerald

Phone: 502-222-0363/222-0365

Fax #: 502-222-8112

NORTHPOINT TRAINING CENTER

Highway 33, Box 479
Burgin, KY 40310

Warden: Don Bottom

Admin. Spec. III: Ashley S. Kirk

Phone: 859-239-7012

Fax #: 859-239-7560

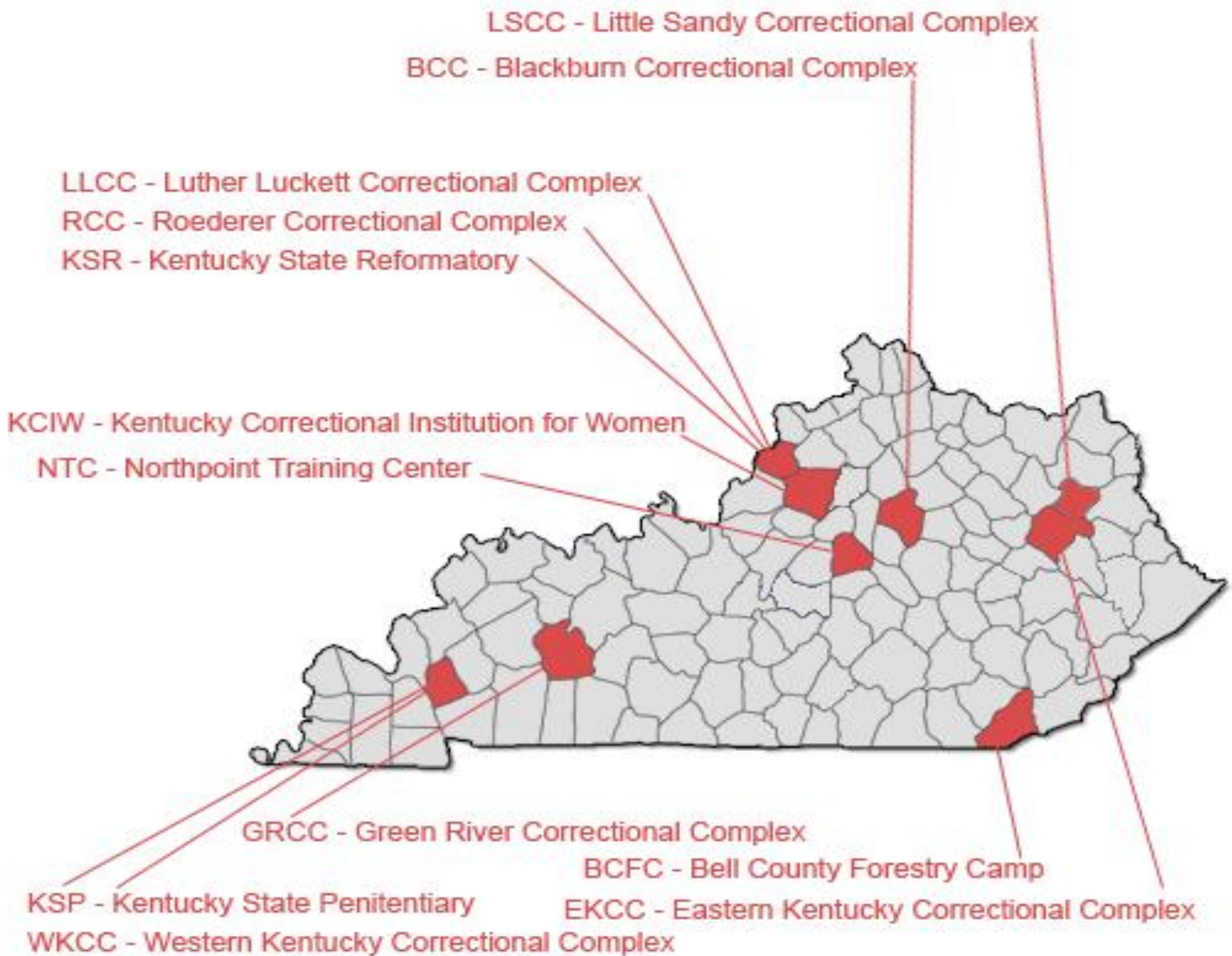
Institutions

ROEDERER CORRECTIONAL COMPLEX

P.O. Box 69
LaGrange, KY 40031
Warden: Ravonne Sims
Admin. Spec. III: Patti Ray
Phone: 502-222-0170/222-0173
Fax #: 502-222-9746

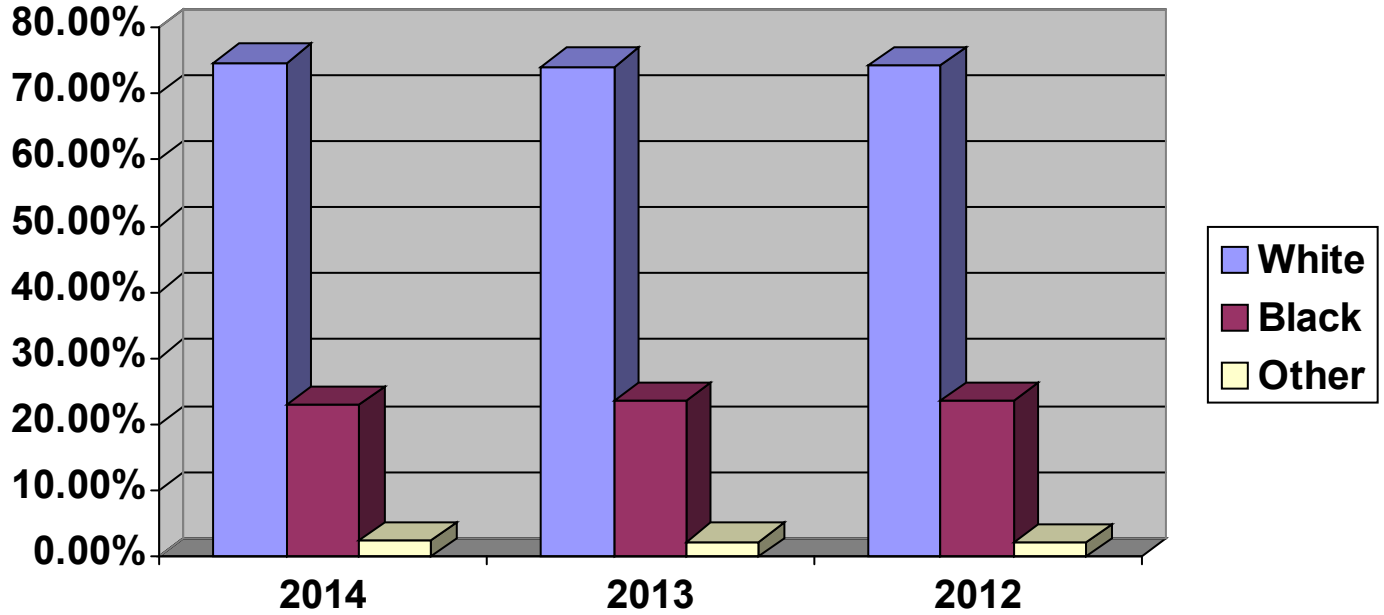
WESTERN KY CORRECTIONAL COMPLEX

374 New Bethel Church Road
Fredonia, KY 42411
Warden: Steve Woodward
Admin. Spec. III: Kim Odom
Phone: 270-388-9781
Fax #: 270-388-0031

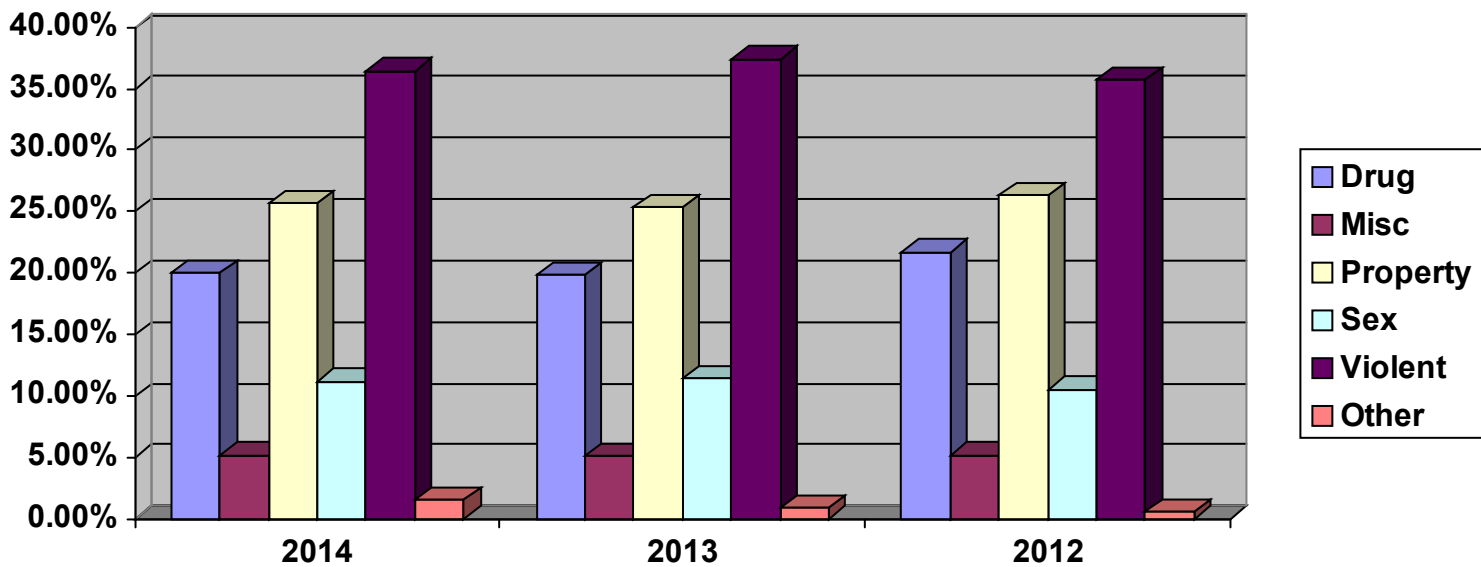


Population Data

All DOC Offenders Three Year Comparison By Race



All DOC Offenders Three Year Comparison by Type of Offense



Population Data

All DOC Offenders Three Year Comparison By Gender

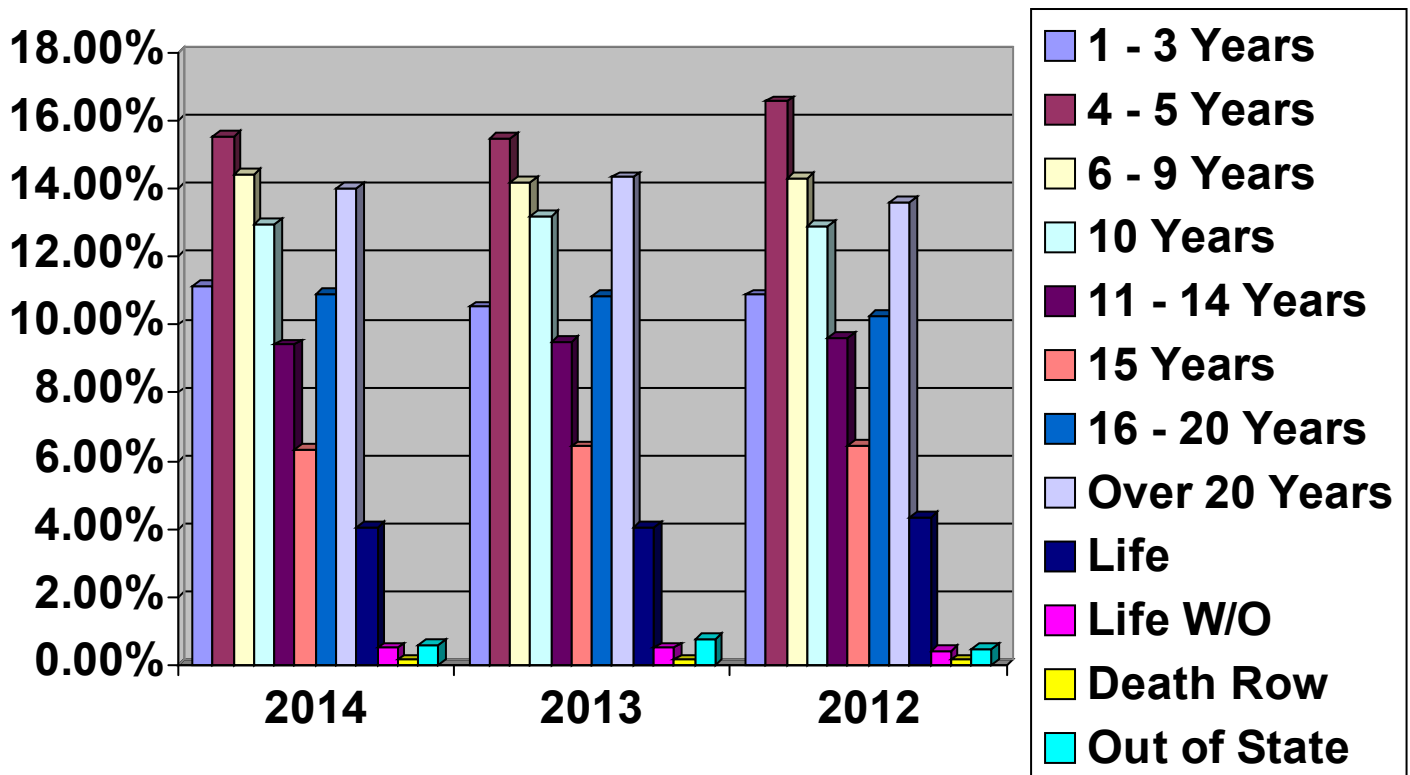
MALE	BCC	BCFC	EKCC	GRCC	KSP	KSR	LLCC	LSCC	MAC	NTC	OCCC	RCC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL
2014	588	300	1710	970	816	1929	1082	1005	0	1241	0	1143	2552	649	564	16563
2013	580	297	1707	974	871	1934	1083	1010	825	1245	0	1110	2398	121	635	16803
2012	591	299	1707	967	878	1944	1085	1006	808	1238	642	1074	2701	87	665	17704
FEMALE	KCIW	WKCC	CLASS D	OUT OF STATE	HALFWAY HOUSE	TOTAL										
2014	648	676	643	0	1	3982										
2013	620	676	539	44	4	3896										
2012	685	675	706	31	4	4113										

Admissions by County

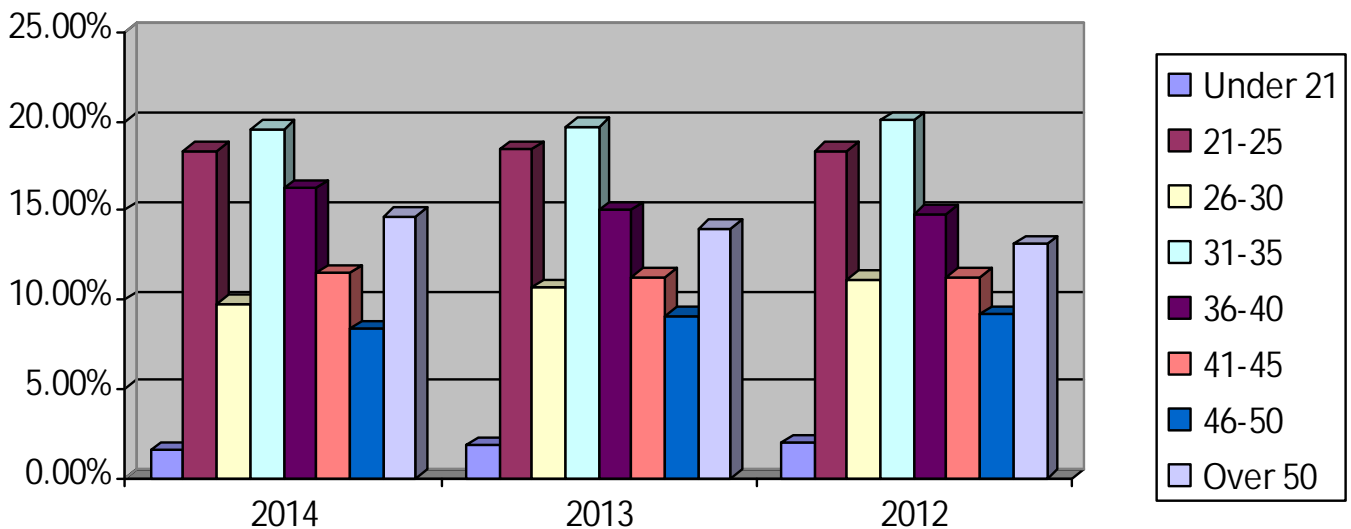
COUNTY OF CONVICTION - TOP 3	2014	2013	2012
Jefferson	17.06%	17.59%	17.64%
Fayette	5.89%	5.89%	5.86%
Kenton	4.04%	4.00%	3.68%

Population Data

Population by Years to Serve / Three Year Comparison



Population by Age / Three Year Comparison



Bell County Forestry Camp



Warden Kathy B. Litteral

Deputy Warden - Security and Programs
Chris Patterson

Administrative Assistant
Betsy Patterson

Address
560 Correctional Drive
Pineville, KY 40977

2014 Average Daily Population
298

Total Number of Staff
48

Security Level
Minimum

Overview

The Bell County Forestry Camp (BCFC), situated on approximately 15 acres in rural Bell County, is approximately 14 miles southwest of Pineville. It is a minimum-security facility housing 300 adult male felons.

BCFC provides inmate labor to the Division of Forestry for fire suppression in Bell and surrounding counties during fire seasons. In addition to forest fire suppression, inmate labor is also supplied to the Transportation Cabinet (4

road crews details), Bell County State Highway Garage, Harlan County State Highway Garage, Knox County State Highway Garage, Bell County fiscal court, City of Middlesboro, City of Pineville, Bell County School System (all sites) and special details intermittently for state parks and Henderson Settlement.

Inmate labor is also utilized in various other areas for day-to-day operations such as landscaping, maintenance, food service, sanitation, etc.

The institution was originally accredited by the American Correctional Association in 1990 and has successfully passed subsequent re-accreditation audits through November 2013.

Highlights

In order to adhere to regulations regarding the new computer based GED testing procedures, the size of the GED room was increased. BCFC also received a donation from the Pineville School System of two sets of bleachers and were placed around the walking track. Services were provided by Native Americans to assist the inmate population in Native American religious services. In addition, a children's play area was developed in the indoor visitation area.

The security of BCFC was increased and services improved by constructing a new triage area in the medical unit to include electronic access, security door and camera system. Outdoor lighting and night-time visibility was im-



Warden Kathy B. Litteral

proved and an alarm system was added to assist in vehicular traffic entering and exiting the grounds and the institution.

As a measure to increase medical services to the inmate population, a third nursing position was added which allowed for seven day medical coverage.

A Veterans Memorial was constructed with area stone by the use of inmate labor to honor the institution's veterans. In addition a stone bridge utilizing inmate labor to teach the skill was built. The inmate bridge builders were honored in a ceremony with their families and BCFC staff in attendance upon the completion of the 3 month project.

A Kentucky Department of Corrections statewide electronic mail and inmate account deposit system was implemented which provides faster services to inmates and their families.

As part of a joint partnership with the Kentucky State Police and Department of Forestry, BCFC's K-9 Officers and K-9's are part

Bell County Forestry Camp



Veteran's Memorial at Bell County Forestry Camp

of the new Arson Task Force. This was implemented in an effort to stop arsonists in the Eastern Kentucky Forests by utilizing BCFC staff and K-9's for tracking the arsonist when brought to the origin of the fire by Forest Rangers. Kentucky State Police assist by taking over and conducting arrests when the BCFC K-9 tracks the arsonist.

BCFC successfully passed a Prison Rape Elimination Act (PREA) audit with the Department of Justice, (DOJ) with 100% compliance and became the second institution in Kentucky to be so accredited.

Programmatic and Operational Areas

A wide range of programs have been developed to meet the needs of the inmate population and to assist with successful reentry into society.

The programs available at BCFC include literacy and GED, Microsoft Office and C-Tech Technical classes, college classes, religious services, organized sports and various forms of recreation,

on the job training, psychological and psychiatric services (outside referrals), Alcoholics Anonymous, Narcotics Anonymous, Parenting and individual counseling as well as a cognitive behavior modification program called Moral Recondition Therapy. BCFC is involved with a Step-Up program which consists of inmates that educate middle school and high school students on the impact that crime can have on their lives. In addition, BCFC served as a pilot program for the state and became the first authorized DOC Pearson VUE testing center for the new statewide GED testing procedures

BCFC is nearing the completion of a Greenhouse for the addition of a Horticulture Program. In anticipation of this new program, BCFC instituted a garden program in the spring of 2013 which provided hundreds of pounds of fresh vegetables to supplement inmate meals and also provided donations to the local food pantry

in addition to teaching inmates valuable skills in raising vegetables.

BCFC provides tracking dogs for other DOC facilities as well as search and rescue squads and other law enforcement agencies. BCFC continues to conduct yearly canine seminars for all state facilities with canine units.

Governmental services program work assignments include the cities of Pineville and Middlesboro, Bell County fiscal court, Knox County fiscal court, Laurel County Department of Transportation weigh station, Pineville Independent School System, Division of Forestry for both fire suppression and support services and four road crew work details for the Department of Transportation. BCFC also assists local communities through the community service program.

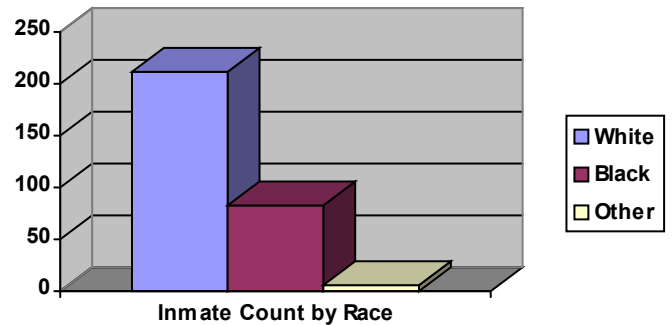


Bell County Forestry Camp

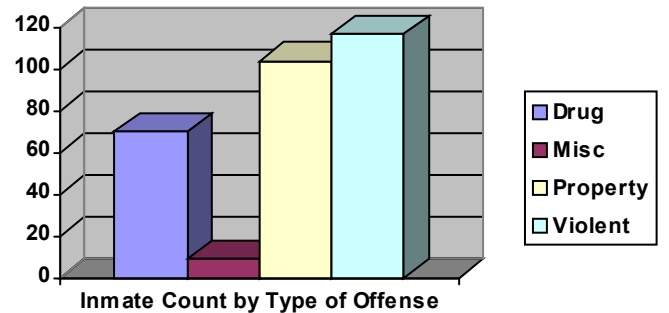
Bell County Forestry Camp

2014 Demographic Data

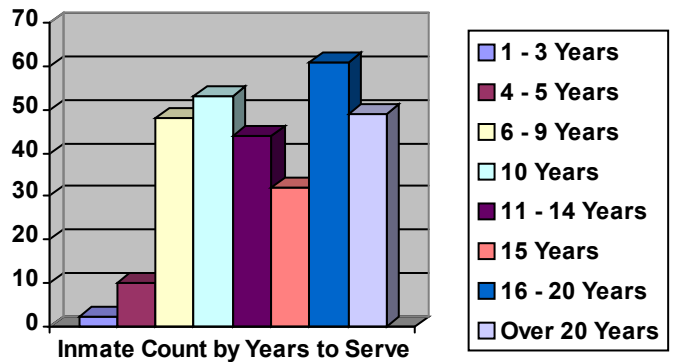
RACE	COUNT	PERCENT-AGE
White	212	70.67%
Black	83	27.67%
Other	5	1.67%
Total	300	100.00%



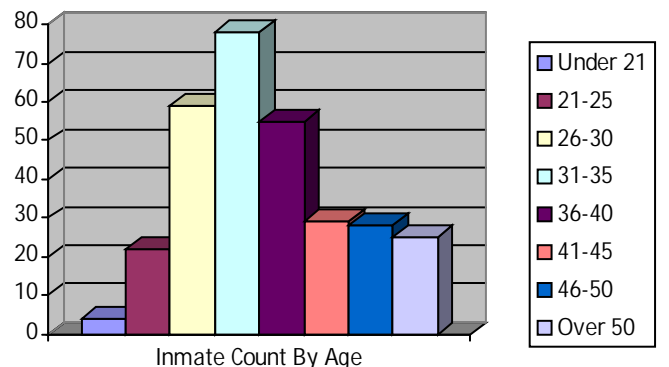
TYPE OF OFFENSE	COUNT	PERCENT-AGE
Drug	70	23.33%
Misc	9	3.00%
Property	104	34.67%
Violent	117	39.00%
Total	300	100.00%



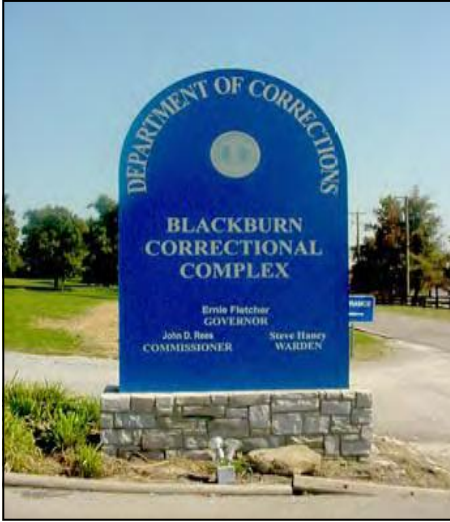
YEARS TO SERVE	COUNT	PERCENT-AGE
1 - 3 Years	4	1.34%
4 - 5 Years	10	3.33%
6 - 9 Years	47	15.67%
10 Years	52	17.33%
11 - 14 Years	44	14.67%
15 Years	32	10.67%
16 - 20 Years	61	20.33%
Over 20 Years	49	16.33%
Total	300	100.00%



AGE	COUNT	PERCENT-AGE
Under 21	4	1.33%
21-25	22	7.33%
26-30	59	19.67%
31-35	78	26.00%
36-40	55	18.33%
41-45	29	9.67%
46-50	28	9.33%
Over 50	25	8.33%
Total	300	100.00%



Blackburn Correctional Complex



Warden Steve Haney

Deputy Warden - Security
Brandy Harm

Deputy Warden – Programs
Dale Martin

Administrative Assistant
Rose Sewell

Address
3111 Spurr Road
Lexington, KY 40511

2014 Average Daily Population
590

Total Number of Staff
130

Security Level
Minimum

Overview

Blackburn Correctional Complex (BCC) is the largest minimum-security institution operated by the Kentucky Department of Corrections.

Blackburn Correctional Complex was made a part of the Kentucky Department of Corrections in 1972 when it was transferred from the old Department of Child Welfare.

Prior to becoming part of the Department of Corrections and given the name Blackburn Correctional Complex, the institution was called Kentucky Village.

Blackburn Correctional Complex presently operates as a 594 bed adult male minimum security institution. All individuals incarcerated here have been convicted of felony charges.

The physical plant consists of a total of thirty-two buildings on 456 acres of land that house Academic and Occupational programs as well as industrial and support services.



Warden Steve Haney

The institution also has a farming operation located on the grounds that is operated by a Correctional Farm Manager. The BCC Cattle Farm is a registered Angus farm.

Highlights

Construction of new farm equip-



Blackburn Correctional Complex located in Lexington, Kentucky

Blackburn Correctional Complex

ment shed/office was continued.

September 2014 - 100% on ACA Reaccreditation Audit

October 2014 – Added MRT Veterans program

As of December 31, 2014 - Added 52 security cameras

Programmatic and Operational Areas

Occupational programs include Masonry and Horticulture.

Blackburn Correctional Complex, in partnership with Eastern Kentucky University’s Training Resource Center, proudly offers an opportunity to gain industry recognized certifications in IC3 Digital Literacy and Microsoft Office 2007.

Prison Industries operations include mattresses, moving services, panels and signs.

Inside Out Dads is a parenting program that provides parent education classes and special visits for fathers and their children.



Horticulture Building and Greenhouse located at BCC



Thoroughbred Retirement Foundation Barn at BCC

Moral Reconciliation Therapy is a cognitive-behavioral program for improving inmates’ moral reasoning and self-image.

New Direction is a reentry program designed to help the inmate understand the concept of reentry and how it will work during incarceration and after release.

Pathfinders is a pre-release program that lasts six months. Areas covered in the program are team building, communication, stress management, anger management, problem solving, values, time management and life planning. Pathfinders is an evidence based program and successful participants will receive a graduation certificate as well as a 90 day educational good time credit.

Blackburn Correctional Complex also houses the Thoroughbred Retirement Foundation program. The State provides a farm manag-

er, the land, barn, and inmates to work with retired horses. The Thoroughbred Retirement Foundation provides all other needs.

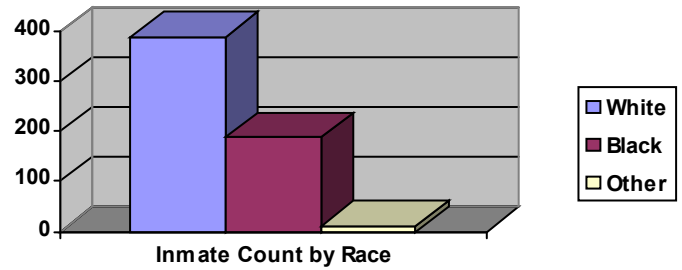
Through participation in the Thoroughbred Retirement Foundation program, the inmates gain rehabilitation skills, riding skills, and learn good horse health management. The inmates that participate in this program also receive a Certificate of Stable Management when they have successfully accomplished these skills.

UK Drugs and Crime an Inside Out Prison Exchange Program examines the use and abuse of alcohol and drugs through the lens of sociological analysis and a social work framework for policy and treatment.

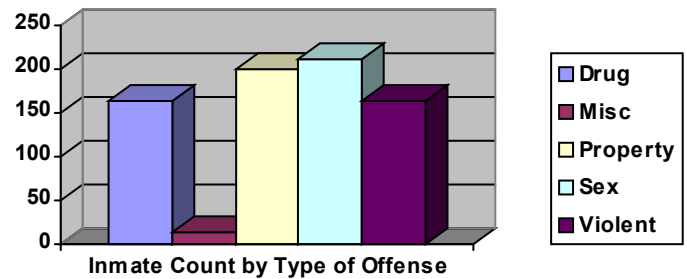
Blackburn Correctional Complex

2014 Demographic Data

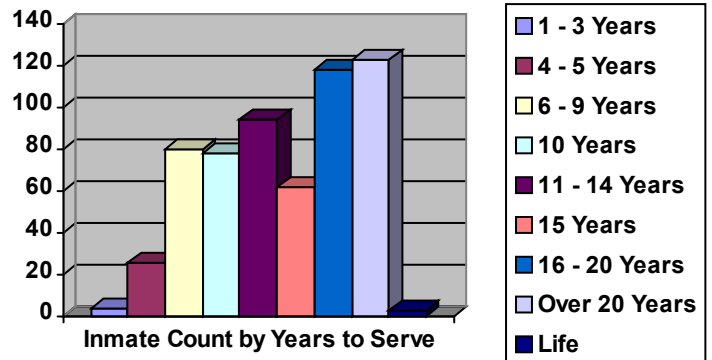
RACE	COUNT	PERCENTAGE
White	389	66.16%
Black	188	31.97%
Other	11	1.87%
Total	588	100.00%



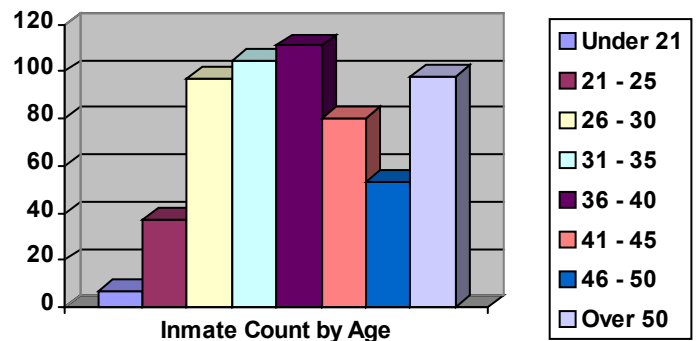
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	163	27.72%
Misc	13	2.21%
Property	201	34.18%
Sex	211	35.88%
Violent	163	27.72%
Total	588	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	5	0.85%
4 - 5 Years	27	4.59%
6 - 9 Years	80	13.60%
10 Years	79	13.43%
11 - 14 Years	94	15.98%
15 Years	62	10.54%
16 - 20 Years	117	19.89%
Over 20 Years	123	20.91%
Life	1	0.17%
Total	588	100.00%



AGE	COUNT	PERCENTAGE
Under 21	7	1.19%
21-25	37	6.29%
26-30	97	16.50%
31-35	105	17.86%
36-40	111	18.88%
41-45	80	13.61%
46-50	53	9.01%
Over 50	98	16.67%
Total	588	100.00%



Eastern Kentucky Correctional Complex



**Warden
Gary Beckstrom**

Deputy Warden - Security
Keith Helton

Deputy Warden - Programs
John Holloway

Deputy Warden - Operations
Michael Sparks

Administrative Assistant
Melissa Howard

Address
200 Road to Justice
West Liberty, KY 41472

2014 Average Daily Population
1709

Total Number of Staff
373

Security Level
Medium

Overview

The Eastern Kentucky Correctional Complex (EKCC) was constructed in two phases and houses minimum, medium, close and maximum custody inmates.

EKCC is distinct from other Kentucky institutions by a very structured inmate controlled movement. Controlled movement is the result of our institutional design, the number of inmates housed and the inmate custody level. Inmates move from one place to another on the hour and half hour and are closely monitored. This provides inmates with fewer opportunities to pass contraband thus creating a safer environment.

Highlights

The Department of Corrections (DOC) statewide effective March 1, 2014. During the transition of the inmate healthcare to Correct Care Solutions (CCS), several members assisted EKCC to ensure appropriate procedures were followed. Medical staff attended several training sessions and completed training modules in addition to the required Department of Corrections training. CCS also allocated additional positions to include a Director of Nursing, three (3) LPNs, and another clerical position which were all filled accordingly in 2014.

EKCC transitioned to the JPay inmate accounts receivables and e-mail messaging system in March 2014, a statewide action. Approximately ten (10) JPay kiosks were installed. Inmates now have access to check balances on their inmate accounts at their convenience.

In October 2014, Keefe Corporation took over operation of EKCC inmate commissary.



Warden Gary Beckstrom

A cost saving initiative was implemented by converting to a new laundry system.

EKCC began the PREA accreditation process which included creating and maintaining mandated files, Programs staff began utilizing PREA risk assessments with inmates, and the staff members assigned to handle PREA investigations went through extensive training and have been reviewing cases accordingly.

Programmatic and Operational Areas

EKCC offers a variety of educational opportunities for inmates. There are four (4) adult basic education instructors offering instruction to assist inmates in earning a GED diploma, which is open to all inmates who qualify for the programming. EKCC utilizes resources designed to reduce the likelihood of recidivism. These resources include a structured work environment along with ac-



Eastern Kentucky Correctional Complex



Eastern Kentucky Correctional Complex Dog Program

academic and vocational education, religious programs and self-help programs. Additional programming within the school consists of evening General Education college courses offered on the traditional semester basis as well as a National Career Readiness Certificate (NCRC) both programs open to all inmates that qualify for enrollment. There are also five (5) vocational programs offered at EKCC including Carpentry, Masonry, Heating and Air Conditioning, Small Engine Technology, and Microsoft Office.

A new program was also started in the Enhanced Supervision Unit called Mastering Individual Life Enhancement Skills (MILES). The MILES program focuses on anger management, hygiene, banking, employment, housing and everyday life skills.

There are four Evidence Based Programs offered – Pathfinders, Anger Management, Inside Out Dad and MRT. PORTAL New Directions, a re-entry program, is also offered. There are 3 programs taught during religious service times. We have one food

service industry program taught by Aramark, titled In2Work.

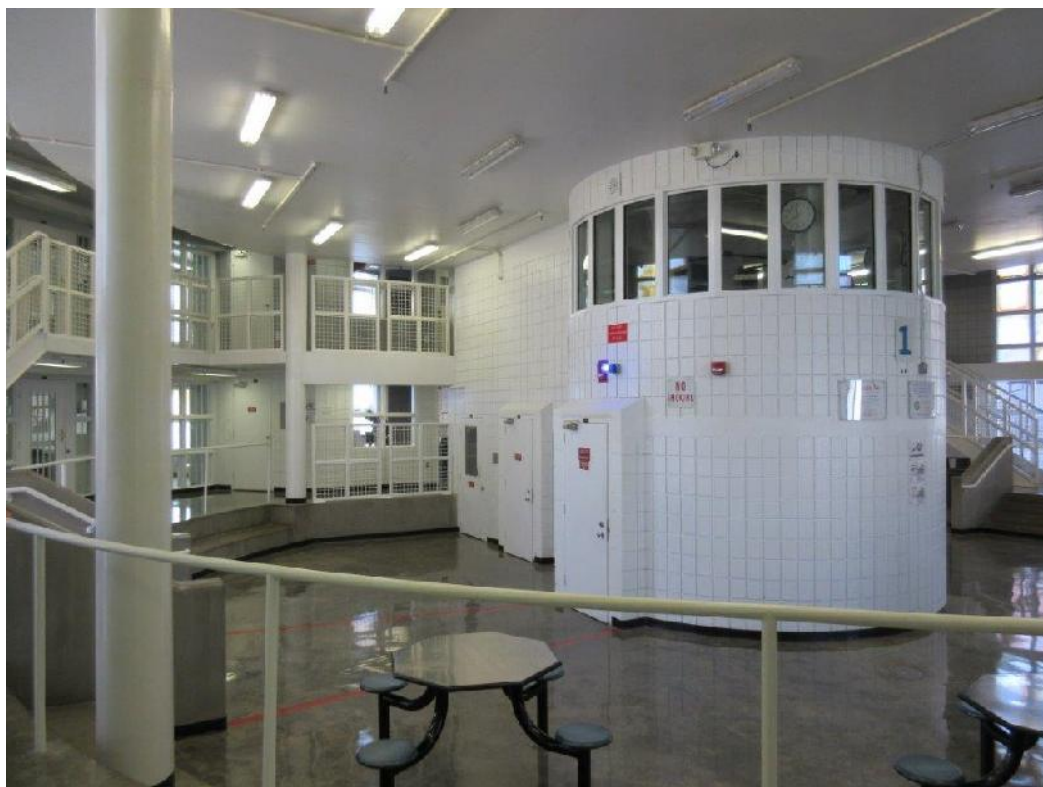
The EKCC Dog Program, a collaborative effort with the Morgan County Dog Shelter, continues to be a success. This endeavor helps the community and has a therapeutic effect on the inmates involved. The program involves 8-10 weeks of basic instruction by minimum security inmates. The dogs are trained in housebreaking, crate training, feeding schedules, grooming, obedience and tricks.

An agreement was reached for a “city crew” to be implemented in 2014 between the city of West Liberty and Eastern Kentucky Correctional Complex. Employees of the city of West Liberty completed training at Eastern

Kentucky Correctional Complex on safety and supervision of Minimum Security inmates to be able to properly take them out to work on community projects. This “city crew” continues to prove to be a benefit to the city of West Liberty due to its focus on sanitation clean up and minor improvement projects.

Security Enhancements

During the year 2014 security and safety upgrades continued to be a priority for EKCC. A security enhancement project first initiated in 2013 was completed as well as other maintenance upgrades including a new fence alarm system was also installed. In addition, a carbon monoxide project that was started in 2013 was finalized and completed in

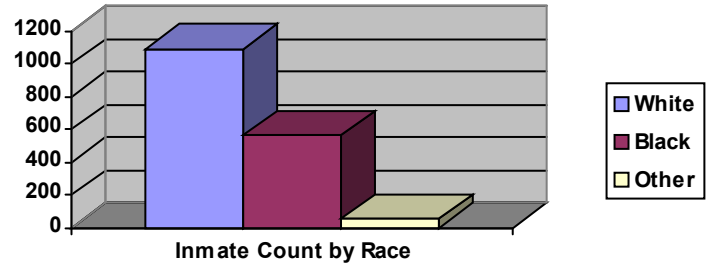


Dorm at Eastern Kentucky Correctional Complex

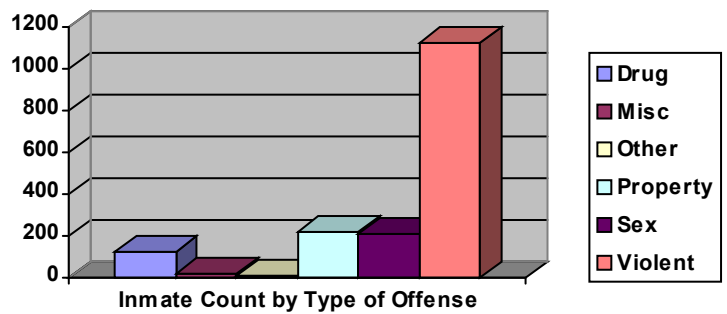
Eastern Kentucky Correctional Complex

2014 Demographic Data

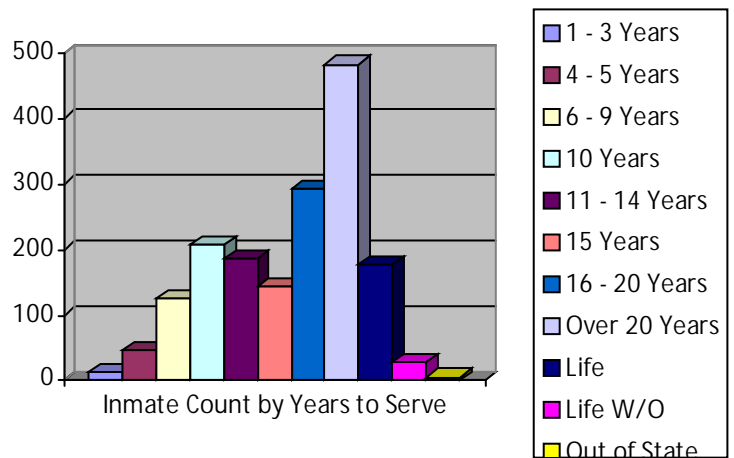
RACE	COUNT	PERCENT-AGE
White	1091	63.80%
Black	562	32.87%
Other	57	3.33%
Total	1710	100.00%



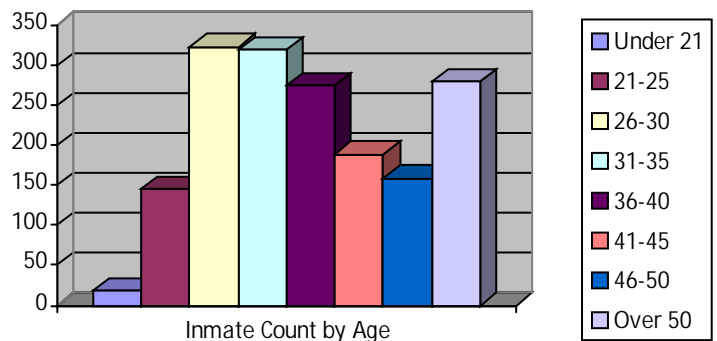
TYPE OF OFFENSE	COUNT	PERCENT-AGE
Drug	126	7.37%
Misc	20	1.17%
Other	9	0.53%
Property	219	12.81%
Sex	214	12.51%
Violent	1122	65.61%
Total	1710	100.00%



YEARS TO SERVE	COUNT	PERCENT-AGE
1 - 3 Years	14	0.82%
4 - 5 Years	46	2.69%
6 - 9 Years	126	7.37%
10 Years	208	12.16%
11 - 14 Years	186	10.88%
15 Years	144	8.42%
16 - 20 Years	292	17.08%
Over 20 Years	482	28.19%
Life	178	10.41%
Life W/O	29	1.70%
Out of State	5	0.29%
Total	1710	100.00%



AGE	COUNT	PERCENT-AGE
Under 21	19	1.11%
21-25	146	8.54%
26-30	323	18.89%
31-35	320	18.71%
36-40	274	16.02%
41-45	189	11.05%
46-50	159	9.30%
Over 50	280	16.37%
Total	1710	100.00%



Green River Correctional Complex



Warden

Alan Brown

Deputy Warden - Security
Ron Beck

Deputy Warden – Programs
David W. Higgs

Administrative Assistant
Amy Douglas

Address
1200 River Road
P.O. Box 9300
Central City, KY 42330

2014 Average Daily Population
972

Total Number of Staff
247

Security Level
Medium

Overview

Construction of the Green River Correctional Complex (GRCC) began in August 1992 and was originally designed to house 550 inmates. The first group of inmates arrived December 16, 1994 with the facility celebrating its 20 years of operation in 2014.

GRCC has since expanded, building a medium security living unit, as well as a minimum security dormitory with a current inmate population capacity of 982. Since opening GRCC has been re-accredited by the ACA six times, most recently in 2012.

The institutional design is “direct supervision.” The dormitories have an open control center (no bars, glass or other barriers). Unit Management is the means of managing and organizing the institution. In this team oriented concept, a management team of administrators, supervisors, correctional officers and classification/treatment officers supervise each living unit and are responsible for the security and management of the Inmate Living Unit and its inmate population.

GRCC does not have security gun towers. Perimeter security is dependent upon an electronic detection perimeter alert system, a microwave detection system, and constant 24-hour armed perimeter vehicle patrol(s).

Highlights

Institutional security enhancements continued to be on the forefront in 2014. Continuing on a project that started in 2012, additional close circuit video cameras were installed within various buildings throughout the facility. These areas have been identified as institutional hot spots or known correctional areas of concern, which include the Laundry and Clothing House, Academic School and Vocational School Carpentry’s tool crib area, Li-



Warden Alan Brown

brary and Legal Office, and the Institutional Religious Center (IRC).

Institutional staff continued throughout the year to progressively prepare for the institution’s first Prison Rape Elimination Act (PREA) compliance audit which will be conducted in late 2015. Institutional command staff attended a national conference conducted by the National Institutional of Corrections regarding PREA standards compliance. Program Staff also conducted an institutional wide Risk Assessment on all inmates to identify those inmates that might be at risk of being an abuser or have a high risk for sexual victimization.



JPay kiosks in GP living areas for the purpose of electronic messaging

Green River Correctional Complex



In2Work program inmates catering Staff Holiday Meal

In June, as a result of a direct lightning strike, one of the institution's transformer that supplied electric power to Building D which houses three inmate dormitories was destroyed. There were approximately 300 inmates whose cells were without electrical power for 10 days. A portable 150 kw generator that was relocated from LSCC to the western area institutions was utilized and the building was brought up to nearly 90% of normal operations. Since then a building has been erected behind the institution to keep the portable generator in out of the weather until the next time we or the other two western area institutions has a need for it.

In December 2014, a revamped security vulnerability audit was conducted at the institution. This audit was conducted utilizing the updated National Institute for Corrections (NIC) Security standards and protocol. The Chairman was an experienced correctional professional who was a Warden at an Iowa Prison with the rest of the team comprised of Kentucky DOC employees. Areas of institutional vulnerability were dis-

cussed as well as ways of improving security practices were recommended.

Programmatic and Operational Areas

During 2014, the Green River Correctional Complex has continued to offer a variety of evidence based life skill programs, academic and vocational classes, and on-the-job-training to its inmate population.

Educational opportunities included Adult Basic Literacy (AED) classes as well as General Education Diploma (GED) classes. The vocational programs consisted of Masonry and Carpentry curriculum where inmates may obtain a diploma and OSHA certifications. During 2014 and new web based GED testing procedure was implemented with 1 GED being awarded. Students also earned 13 Vocational Diplomas, 60 National Career Readiness Certificates (NCRC) of which 18 were gold and 42 silver, 18 CTech and 21 VT Fundamentals certificates. The Education Department enhancements include the upgrading of classroom televisions to flat screens and the Carpentry program receiving a bench planer, unisaw table saw and bench grinder.

Program enhancements included the offering of an additional evidence based program, Moral Recognition Therapy (MRT) Anger Management class to the General Population. The Substance Abuse Program (SAP) program staff continued to work towards the Certified Alcohol and

Drug Counselor (CADC).

The Food Service area of the institution was updated by replacing the commercial dish washing machine and a steam kettle. The old and worn tile flooring in the dining room was removed and the bare concrete floor was cleaned, sealed and waxed. There were 8 inmates who completed the Inmate to Workmate (In2Work) program and became ServSafe Certified. The In2Work program also prepared fresh favorite meals for inmate clubs and catered meals for the SAP program, Correctional Industries and the institutional staff's holiday meal in December.

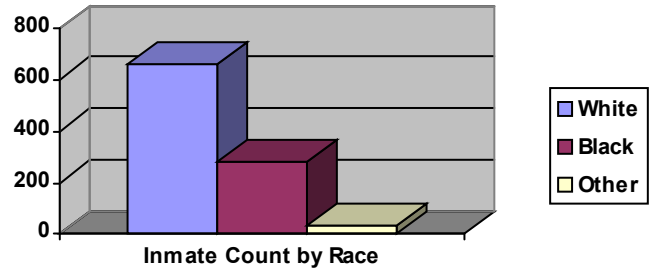
The institution, in conjunction with JPay, installed multiple kiosks throughout the inmate housing units which allows inmates to send and receive electronic mail from friends and families in addition to being able to monitor their inmate accounts and electronic money transfers to them.

Kentucky Correctional Industries (KCI) operates a Furniture Plant at the institution and has a very productive year. An entirely new line of furniture was added to their catalog that was designed and engineered at the institution by KCI staff and inmate workers. They were also able to replace some obsolete equipment and install a new 52 inch wide belt sander, a Northtech wood planer with a spherical cutter head, and a Kreg brand pocket-hole machine.

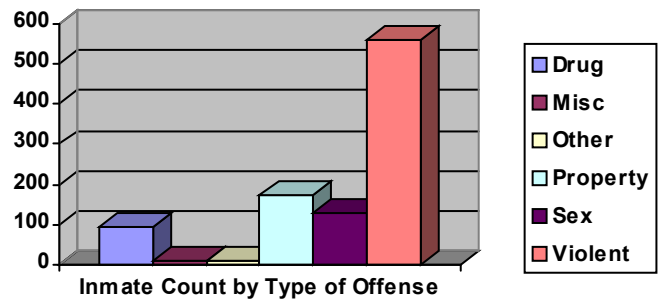
Green River Correctional Complex

2014 Demographic Data

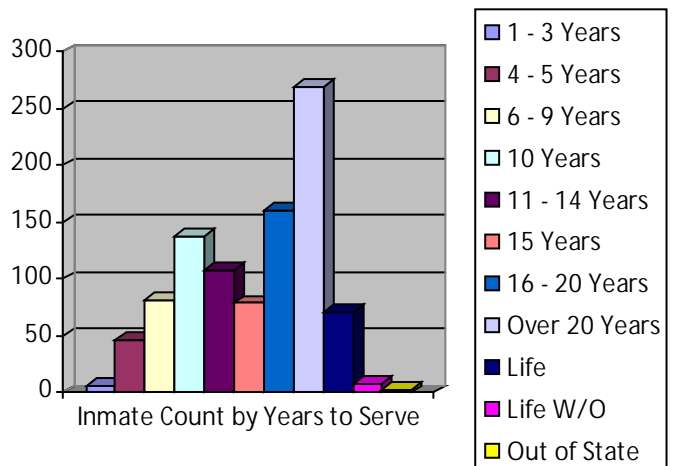
RACE	COUNT	PERCENTAGE
White	659	67.94%
Black	280	28.87%
Other	31	3.20%
Total	970	100.00%



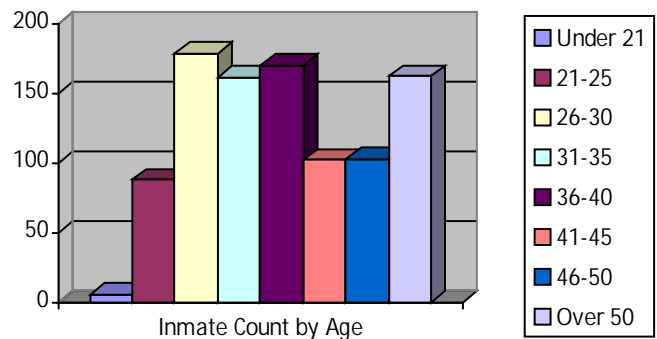
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	93	9.59%
Misc	10	1.03%
Other	8	0.82%
Property	174	17.94%
Sex	127	13.09%
Violent	558	57.53%
Total	970	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	6	0.62%
4 - 5 Years	47	4.85%
6 - 9 Years	81	8.35%
10 Years	137	14.12%
11 - 14 Years	108	11.13%
15 Years	79	8.14%
16 - 20 Years	161	16.60%
Over 20 Years	269	27.73%
Life	71	7.32%
Life W/O	8	0.82%
Out of State	3	0.31%
Total	970	100.00%



AGE	COUNT	PERCENTAGE
Under 21	6	0.62%
21-25	88	9.07%
26-30	178	18.35%
31-35	161	16.60%
36-40	170	17.53%
41-45	102	10.52%
46-50	103	10.62%
Over 50	162	16.70%
Total	970	100.00%



Kentucky Correctional Institution for Women



Warden Janet Conover

Deputy Warden - Security
Randy Hargis

Deputy Warden - Programs
John "Wes" Dawson

Administrative Assistant
Donna Dailey

Address
3000 Ash Avenue
PO Box 337
Pewee Valley, KY 40056

2014 Average Daily Population
638

Total Number of Staff
207

Security Level
Multi-level

Overview

The Kentucky Correctional Institution for Women (KCIW) is considered a multi-custody facility as differential housing and programming must meet the needs of female felons serving one year to life, death row, maximum, medium, minimum, community custody, first offenders, persistent offenders, the disabled and special needs inmates.

Warden Janet Conover provides

fiscal responsibility and oversight as she manages a budget of approximately \$11million. Positive working relationships as well as good communication between the Warden, Deputy Wardens, staff, volunteers and outside visitors add significant value to the Mission Statement of the institution and the DOC.

Highlights

KCIW continues to provide outstanding public protection through a secure facility while providing the inmate population opportunities to rehabilitate and ready themselves for re-entry into life outside the fence.

The Kentucky Department of Corrections announced a perfect score of 100% on the first-ever Prison Rape Elimination ACT (PREA) audit conducted at the Kentucky Correctional Institution For Women. Achieving a perfect score on the department's first PREA audit is a huge accomplishment.

KCIW shows that PREA is a priority with 100% compliance and is dedicated to the safety of our inmates, staff, visitors and the public.

In the spring of 2014 the KCIW Personnel Department was relocated from the Operations building to the Administration Building. This allowed more access to the personnel department by all employees and greater coordination with the Warden and Deputy Warden's offices. In addition, this move provided an even greater level of security to safe-



Warden Janet Conover

guard the confidential information that the personnel office addresses.

KCIW's Training Department continues to train newly hired Correctional Officers during the employee orientation process. The Training Department has also developed a week long program for officers once they have completed the Corrections Basic Academy that focuses on topics covered at the academy and how they relate to KCIW specifically. New Correctional Officers are introduced to the Staff Training and Retention (STAR) program which launched in 2011 and are strongly encouraged to participate in the program while being mentored by Field Training Officers within the institution. KCIW's Training Department continues to conduct annual in-service training and assists staff with meeting their annual training requirements.

The I-LEAD Program, a six month long regional leadership training program with participants from KCIW, KSR, LLCC

Kentucky Correctional Institution for Women

and RCC continues to play an important part in KCIW's quest to effectively raise the chances for professional success of its participants within the DOC.

Security upgrades and changes throughout the year included adjustment of security staffing patterns on all three shifts.

Additional equipment was purchased to enhance security including tazers, additional security cameras were purchased, and Oleoresin Capsicum (OC) Spray.

Programmatic and Operational Areas

The Kentucky Correctional Institution For Women (KCIW) continues to partner with Paws With Purpose (PwP), a non-profit organization that provides highly skilled Assistance Dogs to children and adults with physical disabilities or other special needs. These dogs provide comfort and companionship. One of the only programs of its type in the region, the dog program was first launched in 2003 and has been extremely successful.

The dog program gives the inmates the opportunity to learn job skills that will help them once they are released from prison. The program also provides personal growth such as patience, communication and working well as a team. It also gives them the chance to give back to society to bring trained service dogs to children and adults with physical disabilities. Combined, all of these will assist them with reintegration back into the community.



Paws with a Purpose Dog Program at KCIW

The Education Center offers inmate instruction in Basic Literacy, Adult Basic Education, GED and college courses. Some of the college courses offered include Biology, English, Psychology, Sociology, Women's History, Developmental Math, English and Microsoft Office 2010 Specialist. Vocational training includes a certified program in Horticulture.

Treatment programs such as Parenting, Sex Offender Treatment and Substance Abuse Program are available to inmates. The Substance Abuse Program provides treatment in a Therapeutic Community, with close supervision in a structured environment. It allows inmates to learn accountability for their actions as well as learning relapse prevention tools they will need when they return to the community.

Another therapeutic program called WILLOW (Women Invested in Learning and Loving Ourselves Well) addresses the needs of incarcerated women who suffer from both a mental illness and a co-occurring addiction.

Moral Reconciliation Therapy

(MRT) is also available to the inmate population and is a behavior modification course that uses evidence based practices to understand how decisions are made and the reasoning behind them. The definition of *conation* is "the mental faculty of purpose, desire, or will to perform an action." MRT is designed to restructure the mental processes that allow us to perform certain actions or desires.

Pathfinders another evidence based re-entry program is designed to address criminal thinking patterns and promote successful release to the community. It is conducted in a group setting and requires active group participation.

Kentucky Correctional Industries has been at KCIW for 28 years. Industries provides inmates with job training that produces marketable skills and quality products. Correctional Industries currently operates four separate industries consisting of Bulk Mail Services, Braille Transcription, Warehousing and the Soap Plant.

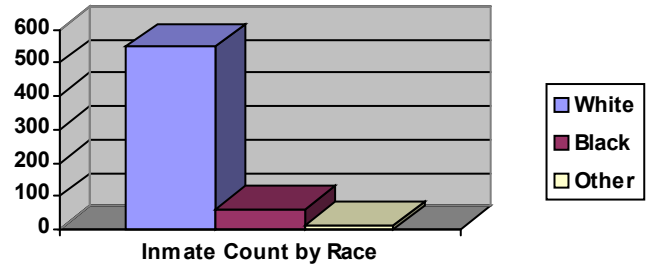


Braille Transcription at KCIW

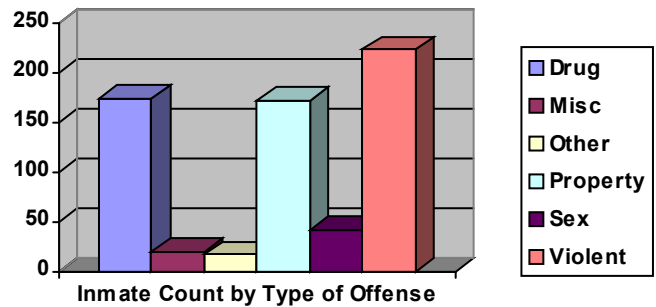
Kentucky Correctional Institution for Women

2014 Demographic Data

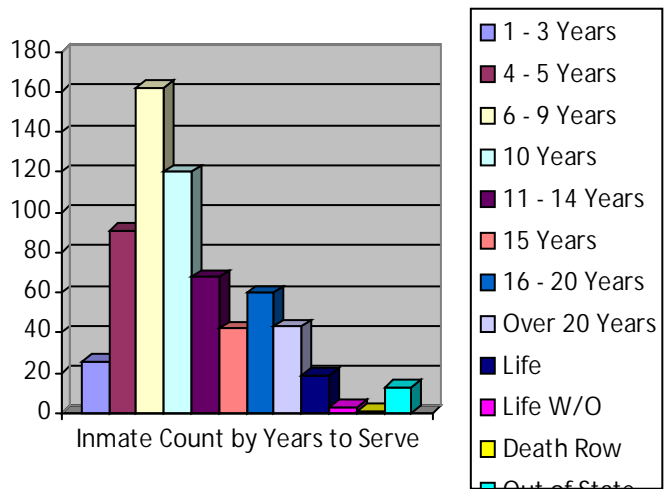
RACE	COUNT	PERCENTAGE
White	571	88.12%
Black	68	10.49%
Other	9	1.39%
Total	648	100.00%



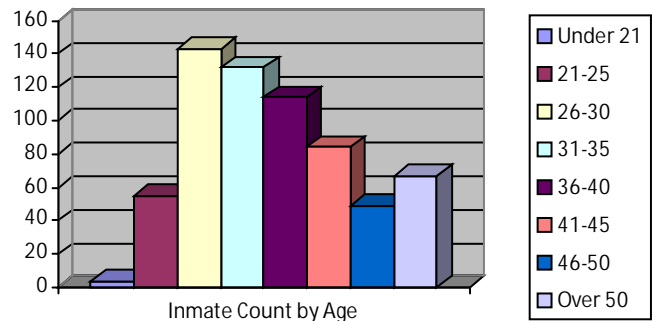
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	174	26.85%
Misc	20	3.09%
Other	17	2.62%
Property	172	26.54%
Sex	42	6.48%
Violent	223	34.41%
Total	648	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	26	4.01%
4 - 5 Years	91	14.04%
6 - 9 Years	162	25.00%
10 Years	120	18.52%
11 - 14 Years	68	10.49%
15 Years	42	6.48%
16 - 20 Years	60	9.26%
Over 20 Years	43	6.64%
Life	19	2.93%
Life W/O	3	0.46%
Death Row	1	0.15%
Out of State	13	2.01%
Total	648	100.00%



AGE	COUNT	PERCENTAGE
Under 21	4	0.62%
21-25	55	8.49%
26-30	143	22.07%
31-35	132	20.37%
36-40	114	17.59%
41-45	84	12.96%
46-50	49	7.56%
Over 50	67	10.34%
Total	648	100.00%



Kentucky State Penitentiary



Warden Randy White

Deputy Warden - Security
Scott Jordan

Deputy Warden – Programs
Skyla Grief

Deputy Warden - Support
Services
Joel Dunlap

Administrative Assistant
Tracey Jordan

Address
266 Water Street
Eddyville, KY 42038

2014 Average Daily Population
804

Total Number of Staff
376

Security Level
Maximum

Overview

The Kentucky State Penitentiary (KSP) serves as the Department of Corrections’ only maximum security facility, housing an average of 804 inmates in 2014.

Our population includes a large Protective Custody Unit, a large Segregation Unit in two separate

cell blocks, Death Row, General Population, and a 30-man minimum outside dormitory. Our first priority is safety and security which requires a large portion of our fiscal and human resources. At the same time, we strive to offer an array of programs to engage the inmates in productive activity that will better their chances of returning to the community as a responsible citizen. These activities include work in one of our industries, assignment to vocational or academic school, involvement in self help and reentry programs and religious activities.

Highlights

There have been numerous security enhancements at KSP for the safety and protection of staff, visitors, inmates and the community. We are continuously looking for ways to enhance our security. Additional razor wire was installed along the interior fencing on the Yard.

Transportation equipment and vehicle upgrades in 2014 increased staff, public, and inmate safety. Changes were implemented to improve upon storage of



New prisoner transport van



Warden Randy White

both inmate property and evidence.

Programmatic and Operational Areas

KSP offered re-entry style programs which are intended to assist offenders in successfully returning to society. Evidence based programming was expanded to include Anger Management and additional Moral Recognition Therapy classes. Additional Pathfinders classes were also offered, have continued throughout 2014 and are facilitated by contract personnel. The program enables inmate participants to think through the consequences of their actions before they act. Every lesson in the program is aimed at attacking thinking errors and replacing those errors with healthy approaches to sorting emotions, making choices and solving problems.

The KSP Segregation Transition Program began in July 2014 with 16 participants. This program was developed to address the problem of inmate’s extended stays in segregation, specifically for inmates with over one year of segregation time. The program is

Kentucky State Penitentiary

based on a model that emphasizes individualized evaluation and treatment of problematic behaviors for which write-ups and increased segregation time have lost the ability to impact the frequency of the behavior.

The program will target those individuals with lengthy segregation time. Groups are arranged so that etiology of behavior and severity of behaviors are matched closely. The Kentucky State Penitentiary aims to follow the national trend of reducing length of time spent in segregation, thereby making the prison environment more safe, secure and conducive to rehabilitation. This also coincides with the national trends towards preparation towards re-entry of prisoners back to society,

and this transition program can be considered a first step towards the same.

A Social Service Clinician was employed by KSP to specifically work with inmates in the Segregation Transition Program

School programs, consisting of academic and vocational classes, continued to offer inmates opportunities to further their education. A total of 18 Vocational Technical Fundamentals, 4 Collision Repair, 10 NCRC certificates and 8 Electricity Diplomas were awarded.

The 2nd Residents Encounter Christ (REC) Christian Spiritual Retreat was held in July 2014. During the three-day event, 18 volunteers from the Owensboro

area led 26 inmate participants into looking at their relationship with Christ.

In 2014 KSP partnered with the Kairos Inside Prison Ministry to offer a lay led ministry which conducts a highly-structured evidenced based program designed to bring inmates together for a 4 day flowing series of planned events. The Kairos returns monthly thereafter to assist the participants in seeking further spiritual growth and becoming productive citizens.

A minimum of 18 different religious services are scheduled each week for seven various faiths and many more activities are scheduled as a result of volunteer participation.

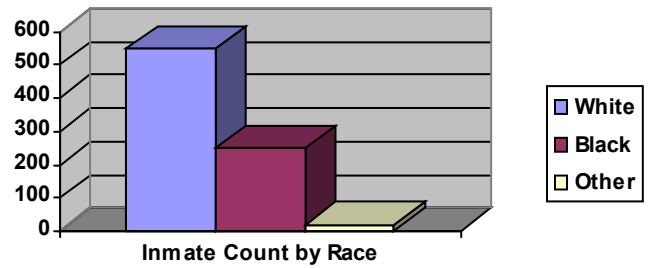


Kentucky State Penitentiary, Eddyville, KY

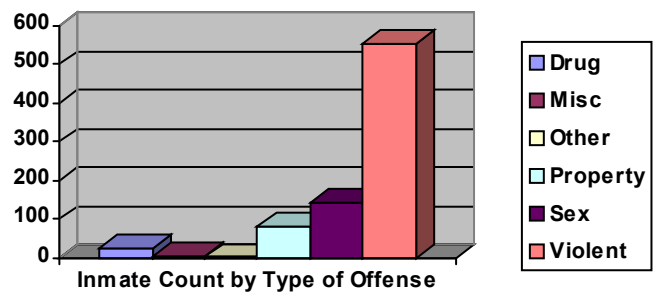
Kentucky State Penitentiary

2014 Demographic Data

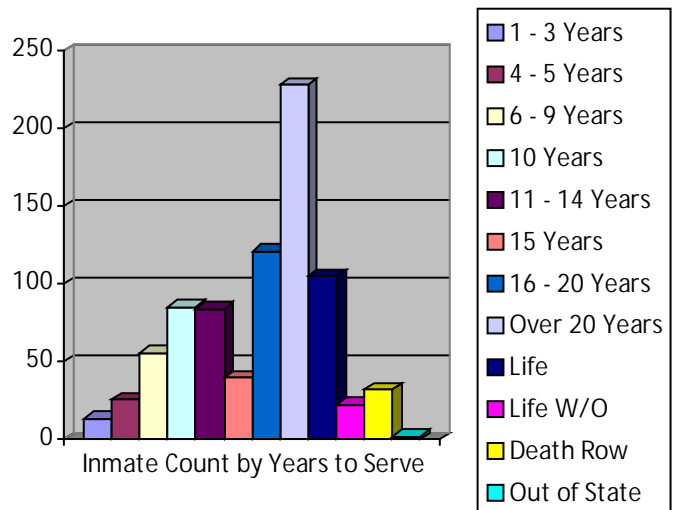
RACE	COUNT	PERCENTAGE
White	548	67.16%
Black	248	30.39%
Other	20	2.45%
Total	816	100.00%



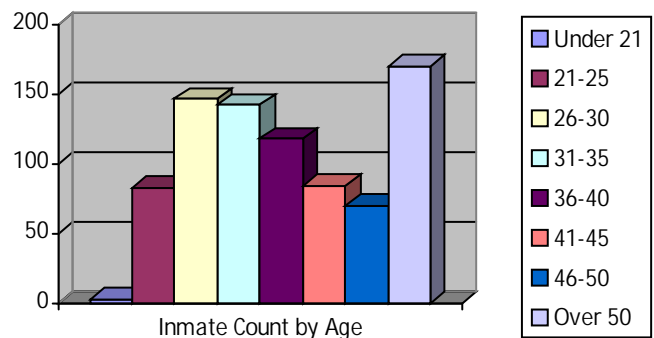
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	27	4.71%
Misc	6	2.12%
Other	3	0.98%
Property	82	8.80%
Sex	145	36.13%
Violent	553	47.26%
Total	816	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	14	1.72%
4 - 5 Years	26	3.19%
6 - 9 Years	56	6.86%
10 Years	85	10.42%
11 - 14 Years	84	10.29%
15 Years	40	4.90%
16 - 20 Years	121	14.83%
Over 20 Years	228	27.94%
Life	105	12.87%
Life W/O	23	2.82%
Death Row	32	3.92%
Out of State	2	0.25%
Total	816	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.37%
21-25	83	10.17%
26-30	146	17.89%
31-35	142	17.40%
36-40	118	14.46%
41-45	84	10.29%
46-50	70	8.58%
Over 50	170	20.83%
Total	816	100.00%



Kentucky State Reformatory



Warden Aaron Smith

Deputy Warden - Security
James Coyne

Deputy Warden - Programs
Anna Valentine

Deputy Warden - Operations
Tiffany Ratliff

Administrative Assistant
Teresa St. Clair

Address
3001 West Hwy. 146
LaGrange, KY. 40032

2014 Average Daily Population
1944

Total Number of Staff
536 Funded Positions

Security Level
Medium

Overview

The Kentucky State Reformatory (KSR) is located in LaGrange, Kentucky. It is the state's largest institution in terms of inmate population with a 1952 bed capacity.

The Kentucky State Reformatory operates under a unit management concept with five separate

living units. The Unit Management Program is designed to foster personal relationships in smaller groups and assures inmate access to staff that are familiar with their needs. An inmate may seek personal counseling from any member of the Unit Team, which consists of a unit administrator, an assistant unit administrator if designated for the unit, classification and treatment officers, a secretary, correctional officers and engineering staff. The unit team assists inmates with institutional programming, case preparation for the Parole Board, classification reviews, developing release plans and problem solving .

Highlights

The Reformatory underwent several staffing changes in 2014. On May 1, 2014, Aaron Smith was promoted to Warden, Tiffany Ratliff began the transition to Deputy Warden of Operations on July 16, 2014 and on September 15, 2014, Unit Manager Anna Valentine was promoted to Deputy Warden of Programs.

Also in 2014, new school principal was hired, a Director of Mental Health Services position was established. The position is assigned to KSR and has the oversight of all Mental Health at the facility, with the exception of the Sex Offender Treatment Program (SOTP).

In August 2014, KSR had its Program Security Review audit. The Soap Plant in Kentucky Correctional Industries (KCI) was moved to KCIW. The grinding



Warden Aaron Smith

operation in the Recycling Plant has been discontinued.

Other improvements include upgraded the camera system, roof repair projects were completed and J-Pay terminals (a new inmate KIOSK service) were installed and implemented.

Programmatic and Operational Areas:

Programs offered include: Academic and vocational education, college classes, life management, organized sports and recreation, religious services and counseling, on-the-job training, correctional industries and services provided by the Division of Mental Health, i.e. Psychological/Psychiatric Services, Sex Offender Treatment Program (SOTP), and individual



New observatory for cell entry room



Kentucky State Reformatory

or group counseling.

KSR offers several specific programs along with several new and revamped programs in 2014. Specific programs continued to be offered at KSR to include the New Directions, Co-Occurring Disorder (Phoenix program), Hospice, Striving Towards A Recovery program (STAR), Inside Out Dads, Moral Reconciliation Therapy (MRT), Enhanced Supervision Program (ESP), Individualized Treatment Working on Re-integration and Keeping Stable (I.T. W.O.R.K.S.), Facing Our Circumstances by Understanding Self (FOCUS) program.

New programs added in 2014 include, "IBEAM" a new inmate expectations and behavioral management program for inmates who do not qualify for C-wing placement. Also, a transitional orientation program for inmates released from CPTU and housed in Unit C. Both the IBEAM program and the transitional orientation program are still in Phase 1, the planning stage. KSR also instituted the new "Roll Call" pilot program, and we began a new staff mentoring program to support staff retention.



Left: New officer cell search skill out room



Right: New cell entry skill out room



Left: New inmate walking track

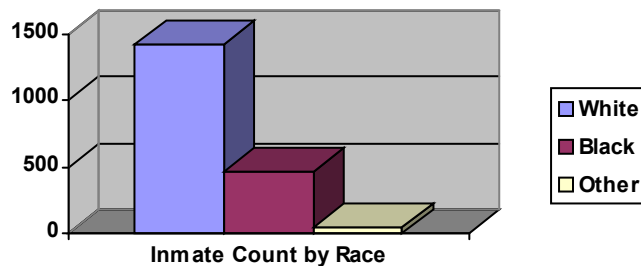


Kentucky State Reformatory, LaGrange, KY

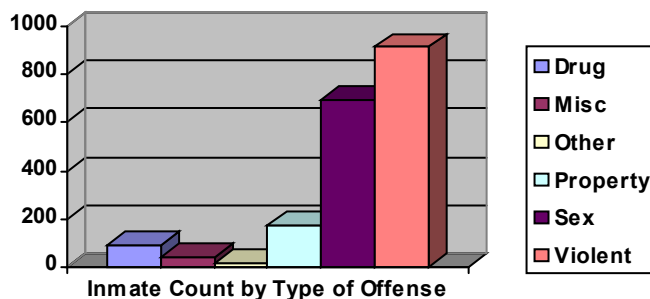
Kentucky State Reformatory

2014 Demographic Data

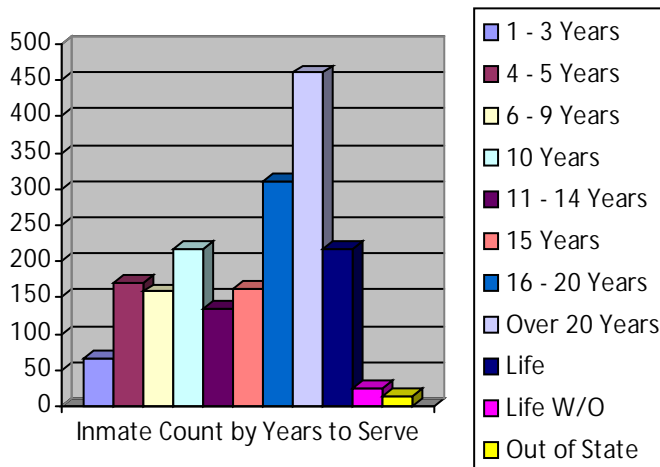
RACE	COUNT	PERCENTAGE
White	1421	73.55%
Black	466	24.12%
Other	45	2.33%
Total	1932	100.00%



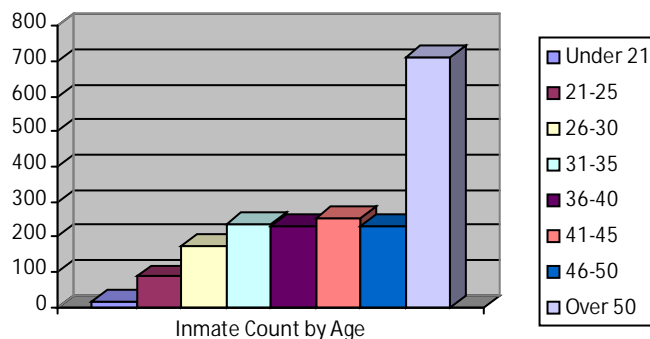
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	91	4.71%
Misc	41	2.12%
Other	19	0.98%
Property	170	8.80%
Sex	698	36.13%
Violent	913	47.26%
Total	1932	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	66	3.42%
4 - 5 Years	170	8.80%
6 - 9 Years	158	8.18%
10 Years	218	11.28%
11 - 14 Years	134	6.94%
15 Years	163	8.44%
16 - 20 Years	310	16.05%
Over 20 Years	460	23.81%
Life	216	11.18%
Life W/O	24	1.24%
Out of State	13	0.67%
Total	1932	100.00%



AGE	COUNT	PERCENTAGE
Under 21	14	0.72%
21-25	85	4.40%
26-30	173	8.95%
31-35	238	12.32%
36-40	228	11.80%
41-45	251	12.99%
46-50	231	11.96%
Over 50	712	36.85%
Total	1932	100.00%



Little Sandy Correctional Complex



Warden
Joseph P. Meko

Deputy Warden - Security
James D. Green

Deputy Warden – Programs
Paul Holbrook

Administrative Assistant
Deborah Williamson

Address
505 Prison Connector
Sandy Hook, KY 41171

2014 Average Daily Population
1013

Total Number of Staff
248

Security Level
Medium

Overview

Little Sandy Correctional Complex (LSCC) is a medium security institution located in Elliott County Kentucky. It is the department’s newest and most technologically advanced institution in the state.

A total of 1008 inmates are housed at LSCC. Our housing includes two general population housing units with 416 beds in each, a 100 bed minimum security unit and a 90 bed special management unit. Little Sandy Correctional Complex can facilitate up to a total of 1022 offenders.

There are numerous support buildings including academic and vocational schools, a medical unit, inmate canteen, Correctional Industries, a gym, dining facility and maintenance area.

Little Sandy Correctional Complex operates under the direct supervision model of supervision. It encourages staff and inmate interaction and allows the inmates to have direct access to staff when they have a concern.

Little Sandy Correctional Complex received its initial accreditation from the American Correctional Association (ACA) on August 13, 2007 and was reaccredited in 2010 and 2013, receiving a score of 100% on both mandatory and non-mandatory standards.

Highlights

LSCC offered the very first Moral Recognition Therapy class to eligible offenders confined to the Special Management Unit.

The construction of a motor vehicle storage building began allowing for the storage of heavy equipment.

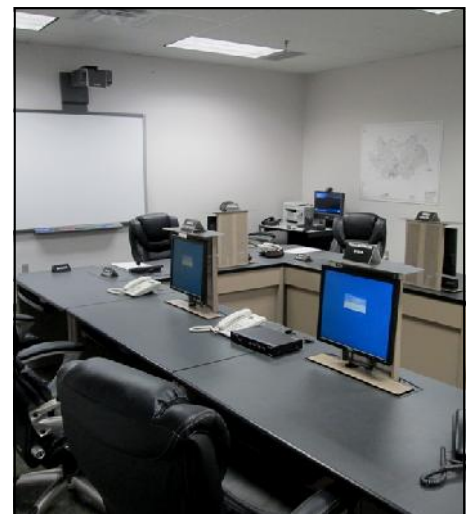
LSCC purchased a golf cart for the Medical department to allow



Warden Joseph Meko

medical staff faster response times to medical emergencies.

LSCC added an outside recreational area for the Substance Abuse Program, including basketball, weights, walking track, and picnic tables.



Command Center which was relocated to the Administration Building

Little Sandy Correctional Complex



Greenhouse located in MSU

Programmatic and Operational Areas

LSCC has very diverse programming to address the needs of a wide spectrum of offenders. We provide quality programs that focus on key fundamentals such as behavior and thinking processes.

When further developed, these fundamentals lead to a more structured and self sufficient individual who will have an increased chance of succeeding and a decreased chance of becoming another statistic of recidivism.

Some of the programs offered at LSCC include Alcoholics Anonymous, Cage Your Rage, Domestic Violence, In 2 Work, Substance Abuse Program, Thinking for a Change, Corrective Action Program, Violent Offenders, Horticulture, Carpentry, Inside Out Dads Program, Life Without a Crutch Program, Moral Recogni-

tion Therapy, New Direction, Responsible Thinking, Social Skills For Survival, Pathfinders, Pulling Punches, and Peaceful Solutions.

New Leash on Life is a canine program that continues to be a pace setter. Over the years we have been able to assist many canines that would have been euthanized had LSCC not intervened.

These programs, as well as others offered at LSCC, assist the inmate in changing their thought process thus changing behaviors. These programs also provide basic interpersonal skills to allow the inmates to better communicate with others when released.

LSCC actively seeks partnerships with community and educational entities to provide a more integral programmatic menu to the inmate population.

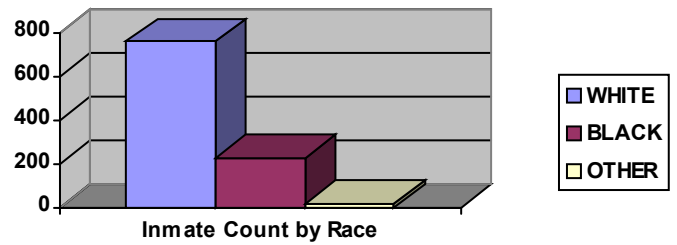


Aerial view of Little Sandy Correctional Complex

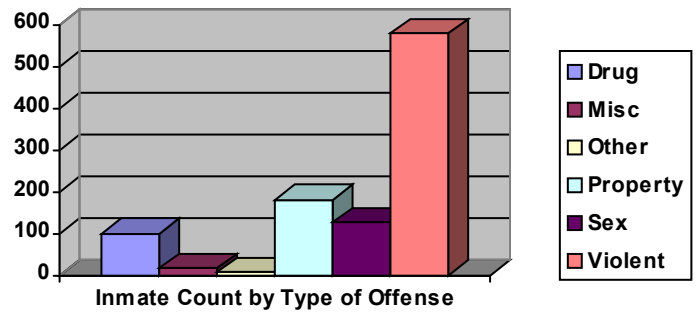
Little Sandy Correctional Complex

2014 Demographic Data

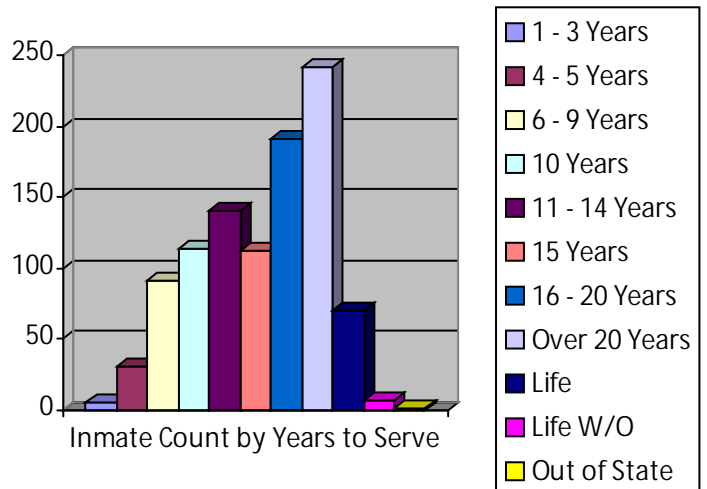
RACE	COUNT	PERCENTAGE
White	757	75.32%
Black	228	22.69%
Other	20	1.99%
Total	1005	100.00%



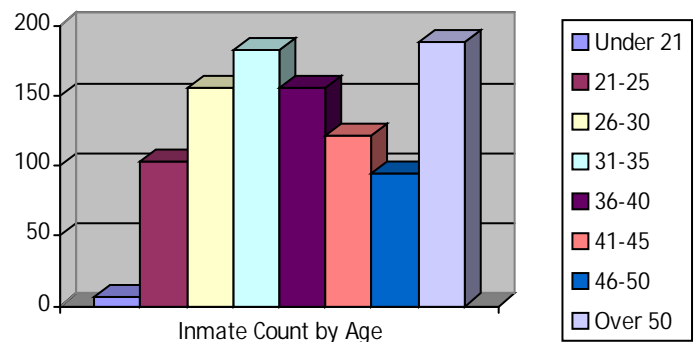
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	99	9.85%
Misc	16	1.59%
Other	5	0.50%
Property	181	18.01%
Sex	125	12.44%
Violent	579	57.61%
Total	1005	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	6	0.60%
4 - 5 Years	31	3.08%
6 - 9 Years	91	9.05%
10 Years	114	11.34%
11 - 14 Years	140	13.93%
15 Years	112	11.14%
16 - 20 Years	191	19.00%
Over 20 Years	242	24.08%
Life	70	6.97%
Life W/O	7	0.70%
Out of State	1	0.10%
Total	1005	100.00%



AGE	COUNT	PERCENTAGE
Under 21	7	0.70%
21-25	103	10.25%
26-30	155	15.42%
31-35	182	18.11%
36-40	155	15.42%
41-45	121	12.04%
46-50	94	9.35%
Over 50	188	18.71%
Total	1005	100.00%



Luther Lockett Correctional Complex



**Warden
Gregory S. Howard**

Deputy Warden - Security
Phillip Bramblett

Deputy Warden – Programs
Tiffany Ratliff

Administrative Assistant
Jennifer Fitzgerald

Address
1612 Dawkins Road
Lagrange, KY 40031

2013 Average Daily Population
1086

Total Number of Staff
265

Security Level
Medium

Overview

The first inmates were received at the Luther Lockett Correctional Complex (LLCC) in March 1981. The institution was originally designed to house 486 inmates. In 1990, the institution double bunked the cells. This brings the present operational capacity to 1097.

LLCC is unique among the other eleven correctional facilities in

that the Kentucky Correctional Psychiatric Center under the Kentucky Family & Health Services Cabinet operates behind the perimeter fence. This provides the sister agency with a safe and secure environment to complete the unique mission it has been tasked with. The memo of understanding with the Luther Lockett Correctional Complex and administrative protocols has ensured a successful ongoing partnership.

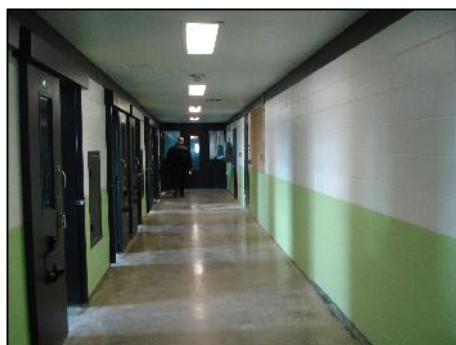
Highlights

LLCC continued to implement safety and security measures for the long term benefit of the institution, the staff members, and the inmate population during 2014.

Administrative Areas

Bears Behind Bars/Grin and Bear It 5K run/walk

The first inaugural grin and bear it 5K held on the grounds of Luther LLCC was very successful. It provided many local and surrounding first responder organizations with enough bears for all of their units. The organizations continue to contact LLCC for replacement. The participation exceeded our expectations.



TCU walkway at Luther Lockett Correctional Complex



Warden Gregory S. Howard

Humane Society/ LLCC Inmate K9 Training program

LLCC worked with the Humane Society of Oldham County through October of 2014 to rescue dogs and place them within the facility in Camp Canine. As of November 1, 2014 LLCC switched services to Adopt Me! Bluegrass Pet Rescue. The program continues to maintain 12 dogs providing them with training, basic obedience, and placement in a forever home. The program employs 12 inmate dog handlers and 2 inmate clerks/janitors. There were approximately 75 dogs adopted in 2014.

Staff Recruitment Development and Retention

LLCC has been preemptive in our efforts to engage in practices that identify and mentor the future leaders of the Kentucky Department of Corrections. The personnel administration engaged in a regional process for the interview and selection process of Correctional Officers. The employees hired as a result of the new process were equally distributed between the four institutions in central region.

Luther Lockett Correctional Complex



Grill at Luther Lockett Correctional Complex

Commissioner Appreciation Luncheon

LLCC held its 2nd annual Commissioner Appreciation luncheon. This luncheon is held each year in the month of December to commend all 2014 recipients of the Employee/Supervisor of the Month with a luncheon provided by the staff canteen and a Commissioner's coin.

Security Areas

Perimeter Security

The perimeter security of the institution was enhanced through revisions to post orders and daily operations of the institution's yard entry and exit point. Enhancements included additional security checks, patrols and transfer of manual control to the base of the tower to ensure the safety of the institution.

Internal Security

LLCC took additional steps to enhance the safety of its Officers and Program staff. Security holding cells were implemented within the Special Management Unit. The holding cells are used as a temporary placement while inmates are being processed into or out of the segregation unit.

Critical Incident Mapping

Mapping enhances accountability to track serious incidents at the facility. These instruments provide accuracy and continue to be effective tools to monitor for areas of concern.

Programmatic and Operational Areas

Barbershop

The barbershop has been increased from three (3) to nine (9) chairs which provided additional inmate job assignments. The institution is in discussion with certified barbers who are doing a curriculum to provide inmates with certifications in barbering.

Transitional Care Unit

LLCC implemented the Transitional Care Unit for long term segregation inmates. This unit will address inmate's behavioral issues as well as cognitive processes, which may lead to disruptive behavior. Included in the program will be a new MRT program, a new Pathfinders program and additional workbook /

journaling.

Electronic GED

LLCC provides numerous educational opportunities and inmates are encouraged to pursue a continued education. During 2014 inmates pursuing general education diplomas (GED) transferred from a written version to the electronic version.

Sex Offender Treatment Program

The SOTP continues to offer a 2 year program. The 2 year program provides accountability and aids in preparation for reentry into society.

Planning process of daybed removal

LLCC has begun the planning process of removing day beds from the units. This will help enhance the sanitation within the units, address security concerns, and eliminates PREA privacy concerns.

Substance Abuse Program transition to KSR

LLCC began phasing out the SAP program.

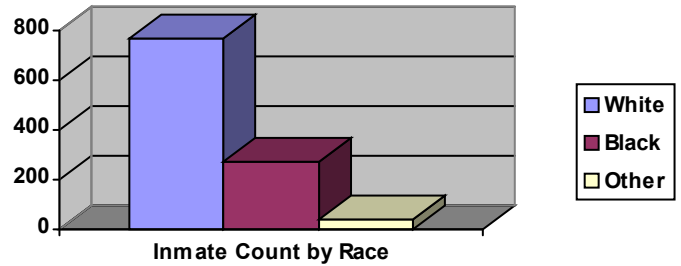


Bears produced by inmates in the Bears Behind Bars program

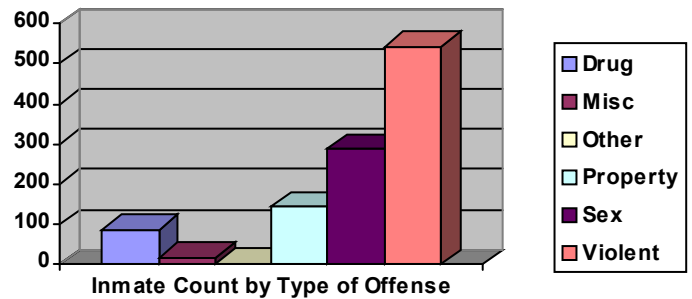
Luther Lockett Correctional Complex

2014 Demographic Data

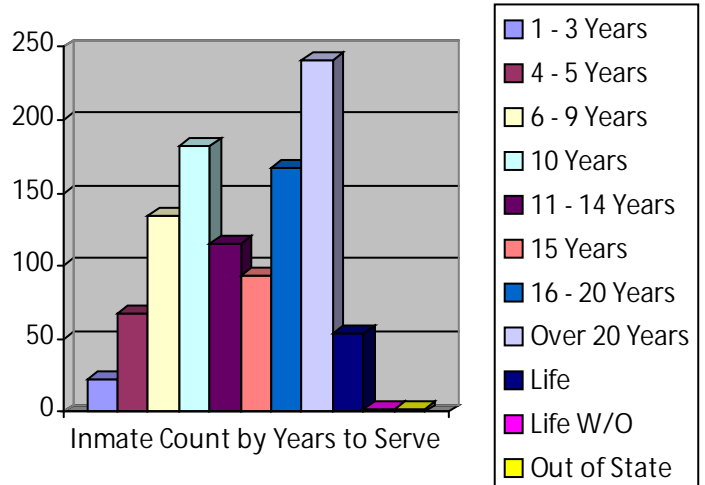
RACE	COUNT	PERCENTAGE
White	771	71.26%
Black	269	24.86%
Other	42	3.88%
Total	1082	100.00%



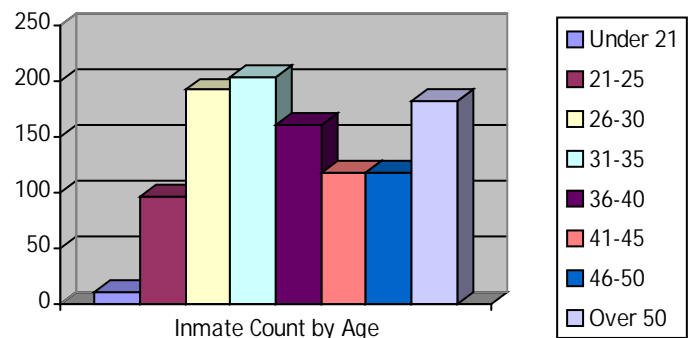
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	87	8.04%
Misc	16	1.48%
Other	2	0.18%
Property	144	13.31%
Sex	289	26.71%
Violent	544	50.28%
Total	1082	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	23	2.12%
4 - 5 Years	68	6.28%
6 - 9 Years	135	12.47%
10 Years	182	16.81%
11 - 14 Years	115	10.62%
15 Years	94	8.68%
16 - 20 Years	167	15.42%
Over 20 Years	241	22.25%
Life	54	4.99%
Life W/O	2	0.18%
Out of State	2	0.18%
Total	1082	100.00%



AGE	COUNT	PERCENTAGE
Under 21	11	1.02%
21-25	97	8.96%
26-30	192	17.73%
31-35	204	18.84%
36-40	161	14.87%
41-45	118	10.90%
46-50	118	10.90%
Over 50	182	16.81%
Total	1082	100.00%



Northpoint Training Center



Warden

Don Bottom

Deputy Warden - Security
Julie W. Thomas

Deputy Warden – Programs
Gary Prestigiacomio

Deputy Warden – Operations
Richard Rowlette

Administrative Assistant
Ashley Kirk

Address
710 Walter Reed Road
Danville, KY 40422

2014 Average Daily Population
1245

Total Number of Staff
284 Full Time
2 Part Time

Security Level
Medium

Overview

Northpoint Training Center (NTC) is a medium security correctional facility for adult male offenders. The facility is located in northern Boyle County near Danville, Kentucky.

The department received control of the property in January 1983.

NTC was initially conceived as a minimum security institution for fewer than 500 inmates. The mission rapidly changed to the development of a medium security institution with a proposed population of 700 inmates. The institution has expanded over the years to a bed capacity of 1,256.

NTC consists of 551 acres. General population inmates are housed in open-bay dormitories within a secure perimeter. Special Management Unit inmates are housed in single cells in a 60-bed segregated structure separated from the main compound. Around 40 minimum security inmates are housed in dormitories outside the secure perimeter.

The perimeter of the compound is a combination of two parallel 12-foot fences with razor wire on the bottom, middle, and top. The inner perimeter fence has a sensor system that alerts the main control, four armed wall towers, an outside patrol, control center, and over 600 state of the art security cameras have been installed to monitor inmate activities.

Highlights

A Veterans Dormitory opened in January at Northpoint Training Center as an honor dormitory for inmates who have served our country through one of the military branches. Counseling services are provided by the Office of Veterans Affairs.

Training was conducted by members of the Health Department to provide essential information to staff in May.



Warden, Don Bottom

Northpoint Training Center hosted the Kentucky Legislative Review Commission Judiciary Committee on Friday, June 6th.

In July, the roadway from the Control Center to the front of the old hospital building was repaved. A new sign was also purchased and placed at the facility's entrance.

Northpoint Training Center completed its ACA Reaccreditation Audit in October and received a score of 100 percent. 2014 marked Northpoint Training Center's twenty-third year of ACA accreditation.

On December 18, 2014, ten randomly selected inmates from Dormitory 5 participated in the completion of a U.S. Census Bureau survey. Also in December, a new roof had been placed on the Maintenance and Warehouse buildings.

Programmatic and Operational Areas

Foothills Christian Appalachian Project provided three beneficial

Northpoint Training Center

federally funded programs in 2014. Financial Peace Program teaches participants how to improve communications with their partner while learning crucial money management skills. Responsible Parenting Program aims to provide fathers an insight into their fathering techniques and how they may improve those to better their children. Job Readiness Program focuses on preparing participants to search for, obtain and maintain employment.

NTC men's chorus is active and has approximately 19 participants. They practice weekly and the chorus gave two performances, one in October and one in December. The NTC theatric group (Voices Inside) consists of 13 inmate performers and is directed by volunteer Robby Henson.

Substance Abuse Program (SAP) uses the group concept, with the whole group living and working together toward a common goal. Participants are isolated as much as possible from negative influences that would impair their movement toward permanent sobriety and recovery. 138 inmates successfully completed the Substance Abuse Program in 2014.

Vocational Carpentry class framed another house for Habitat for Humanity in 2014 and also crafted the kitchen cabinets and vanities for the home.

Veterans Benefit Counselor, Jay Tomlin, from the Department of Veterans Affairs comes in weekly to meet with incarcerated combat veterans. When possible he also assists others veterans in the in-



Northpoint Training Center, Burgin, KY

stitution. He assists combat veterans by providing them with information about benefits and services they are eligible for upon release from prison. A Moral Recognition Therapy class for veterans was begun in July, a Job Readiness program was started in September and, in December 2014, Jeffrey Cunningham with the Office of Employment agreed to provide assistance to inmates who are veterans beginning in 2015.

A Behavior Modification program was started for inmates assigned to the Special Management Unit.

Northpoint Training Center's medical department transitioned from Correct Care Integrated Health to Correct Care Solutions in March.

JPay banking services began in May and allow for electronic payments to be added to an inmate's account. This service will help promote faster inmate account services when compared to the traditional postal money order system. In September, JPay mail

services for inmates began.

Northpoint Training Center opened its Fresh Favorite Grill in August which allows inmates to have a restaurant style experience and allows them the opportunity to select freshly cooked foods. Northpoint Training Center is the second prison in the state to offer this program.

As of December 4, 2014, the inmate population can access the institutional inmate handbook electronically either in the English or Spanish language on the kiosk machines.

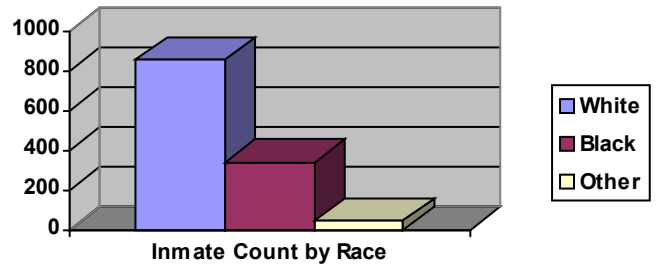
The Chapel had another prosperous year. The wooden pews were replaced with new padded chairs.

Other programs/classes include: Sex Offender Treatment, New Directions, Moral Recognition Therapy, Moral Recognition Therapy-Anger Management, Thinking for a Change, Pathfinders, Inside Out Dads, Parenting Program, IN2WORK Program, Microsoft Office, Vocational Masonry, Vocational Electricity, C-Tech Cabling Classes, and GED classes.

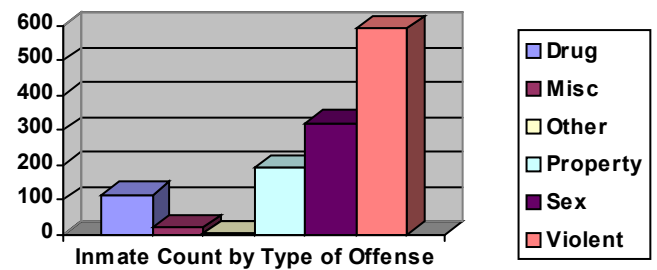
Northpoint Training Center

2014 Demographic Data

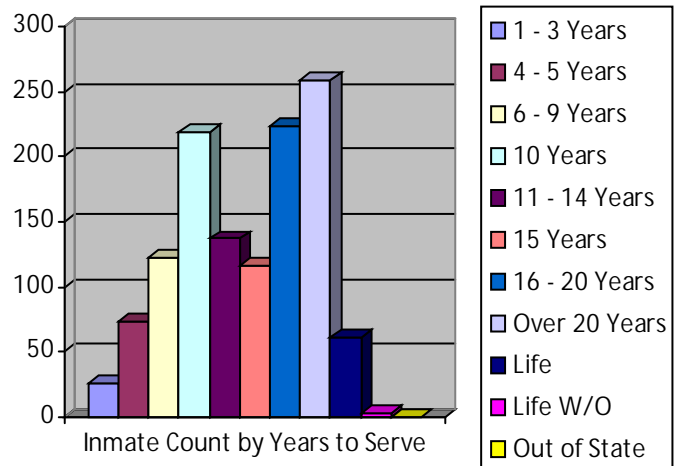
RACE	COUNT	PERCENTAGE
White	854	68.82%
Black	340	27.40%
Other	47	3.79%
Total	1241	100.00%



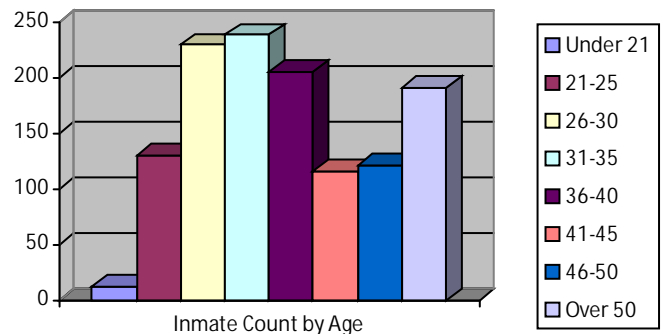
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	115	9.27%
Misc	22	1.77%
Other	3	0.24%
Property	192	15.47%
Sex	319	25.71%
Violent	590	47.54%
Total	1241	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	26	2.10%
4 - 5 Years	74	5.96%
6 - 9 Years	123	9.91%
10 Years	219	17.65%
11 - 14 Years	137	11.04%
15 Years	117	9.43%
16 - 20 Years	223	17.97%
Over 20 Years	258	20.79%
Life	61	4.92%
Life W/O	3	0.24%
Out of State	0	0.00%
Total	1241	100.00%



AGE	COUNT	PERCENTAGE
Under 21	12	0.96%
21-25	130	10.44%
26-30	230	18.47%
31-35	239	19.20%
36-40	206	16.55%
41-45	116	9.32%
46-50	121	9.72%
Over 50	191	15.34%
Total	1241	100.00%



Roederer Correctional Complex



Warden Ravonne Sims

Deputy Warden - Security
Vanessa Kennedy

Deputy Warden – Operations
Sharon Veech

Administrative Assistant
Patti Ray

Address
P.O. Box 69
LaGrange, KY 40031

2014 Average Daily Population
1134

Total Number of Staff
255

Security Level
Medium/Minimum

Overview

The Roederer Correctional Complex (RCC) was opened August 28, 1976 as a 150-bed minimum security “work camp” responsible for the farming operation of approximately 3,000 acres. In December 1987, the first transition occurred. The institution converted to medium security and increased the inmate population to 252. In 1989 with the transfer of

the Assessment and Classification Center from the Kentucky State Reformatory, the inmate population increased to 435 by the double bunking of existing living areas and utilizing five trailers. There are currently five living units at RCC with a total capacity of 1,152 inmates.

RCC has three distinct purposes: The Assessment and Classification Center processes all incoming male felons with the exception of those sentenced to death row. Over 7,000 incoming offenders are processed per year. The Assessment Center is also responsible for all sentence calculations of felons in Controlled Intake (currently 1,600) and for classifying felons for the Class D Program (approximately 4,000 per year).

RCC permanent inmates provide support services for the institution, including 150 inmates who work in the Maintenance Department, Kitchen, Laundry, Legal Office, Grievance Office, etc. The medium custody permanent inmate population totals 222 at full capacity.

Highlights

The mock audit was conducted April in 2014. The team was complimentary and reported staff and inmate morale was excellent.

The ACA Program Security Review audit was conducted June 2014, RCC had a compliance rating of 99.6%. The Unit 5 perimeter fence was constructed to prepare for custody transition.

JPay was also implemented for



Warden Ravonne Sims

the inmate population.

Programmatic and Operational Areas

- The Substance Abuse Program is a 200-bed intensive in-patient treatment unit. The treatment is provided to incarcerated felons and offenders on parole. Treatment is facilitated through former program graduates, treatment staff, security staff, community mentors and volunteers.
- New Directions: This six-month program is broken into 19 modules with 10 sub categories. It covers skills such as banking, employment search, legal documentation and housing that inmates need to be successful.
- New Directions Boost: A one-day condensed version of the New Directions program for inmates that do not have enough time to complete the six-month program.
- Microsoft: This program co-

Roederer Correctional Complex

vers practical applications for Microsoft Excel, PowerPoint, Word and Outlook along with basic computing and windows.

- Cabling: Six-month program that teaches network cabling for home and businesses.
- In2Work (N2W): Six-month program that teaches food safety/prep and service. Participants receive a SafeServ Certification that is applicable on the streets.
- In2Work II (N2W2): Six-month certification program that is the second phase of In2Work.
- GED: Inmates work towards achieving their GED diploma.
- College: Inmates work towards achieving their College Degree. Classes are offered in the Spring and Fall semesters.
- Moral Reconciliation Therapy: A behavioral modification program taught by Community Health Services that takes the form of group and individual counseling using structured group exercises and prescribed homework assignments.
- Post-Traumatic Stress Disorder (PTSD): The PTSD group provides support and psychoeducation to offenders demonstrating PTSD symptoms. These symptoms must be significant, disruptive to social, emotional or occupational functioning of the individual or disruptive to the institution. The majority are combat veterans. However, veteran status is not a prerequisite for participation.
- Inside Out Dads: A six-month parenting program that builds

the relationship between inmates and their children. Upon completion there is a cookout with the children and family invited.

- Bookmark: This six-week program supports Inside Out Dads. Inmates learn age appropriate reading material, learning styles, current trends in their child's education and bonding skills.
- AA/NA (New Directions/New Horizons): This program focuses on the 12 steps of addiction and recovery.
- Horse Program: This six-month program teaches inmates a non restraint method of training horses. The handler is shown how to gain the horse's respect and learns the fundamentals of groundwork and riding.
- Veterans Club: A volunteer membership that links civilian and military resources and incarcerated veterans. The club strives to support veterans by broadening their self-image and encouraging lifestyle management principles that contribute to success with their incarceration, community, spiritual and emotional

needs.

- A ten (10) week Behavior Modification Program was implemented for the Assessment Center inmates. The classes are for a ten (10) week period. Currently there have been 2 classes completed with seventeen (17) inmates graduating.
- Waste Water Treatment Program: Covers the operation and maintenance of a one million gallon per day extended aeration plant. Treatment includes daily readings of different chemical levels and pump and motor readings. Operation also includes filling drying beds and maintaining plant property. Upon successful completion of Waste Water exams, licensing is provided by the Environmental Protection Agency.

The inmate clubs donate proceeds to various community charities. New Directions donated \$200 to the Ronald Mc Donald House, \$200 Angel Tree and New Hope donated \$ 2038.83 Angel Tree. The Veterans club donated \$500 to the Toys for Tots charity.

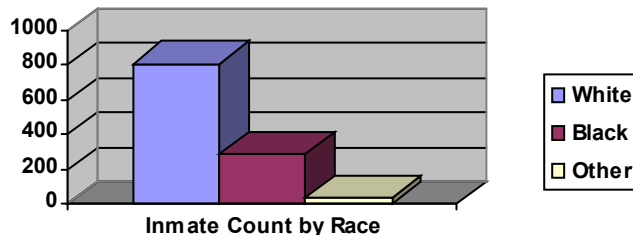


Roederer Correctional Complex

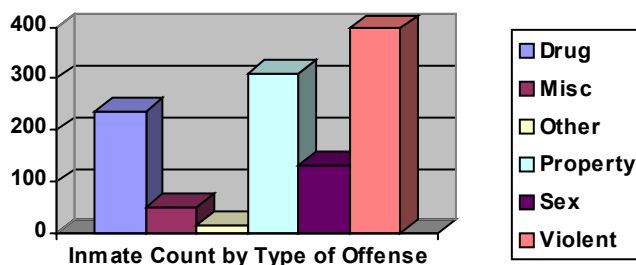
Roederer Correctional Complex

2014 Demographic Data

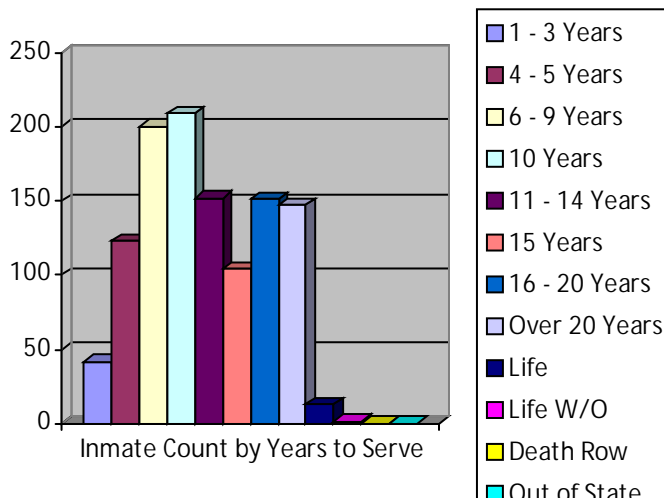
RACE	COUNT	PERCENT-AGE
White	808	70.69%
Black	293	25.63%
Other	42	3.67%
Total	1143	100.00%



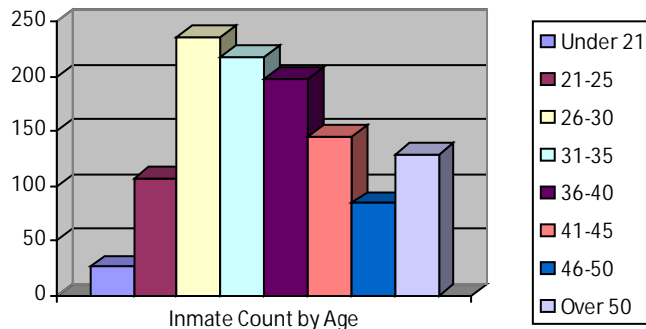
TYPE OF OF-FENSE	COUNT	PERCENT-AGE
Drug	237	20.73%
Misc	50	4.37%
Other	16	1.40%
Property	311	27.21%
Sex	132	11.55%
Violent	397	34.73%
Total	1143	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	42	3.67%
4 - 5 Years	123	10.76%
6 - 9 Years	200	17.50%
10 Years	209	18.29%
11 - 14 Years	152	13.30%
15 Years	104	9.10%
16 - 20 Years	151	13.21%
Over 20 Years	147	12.86%
Life	13	1.14%
Life W/O	2	0.17%
Total	1143	100.00%



AGE	COUNT	PERCENTAGE
Under 21	26	2.27%
21-25	107	9.36%
26-30	236	20.65%
31-35	218	19.07%
36-40	198	17.32%
41-45	145	12.69%
46-50	84	7.35%
Over 50	129	11.29%
Total	1143	100.00%



Western Kentucky Correctional Complex



Warden Steve Woodward

Deputy Warden-Security
DeEdra Hart

Deputy Warden-Programs
Duke Pettit

Administrative Assistant
Kim Odom

Address
374 New Bethel Road
Fredonia, KY 42411

2014 Average Daily Population
674

Total Number of Staff
204

Security Level
Medium

Overview

The Western Kentucky Correctional Complex (WKCC) houses 693 inmates and includes a 200 bed minimum security unit.

Inmates are offered academic, vocational and cognitive thinking programs along with employment opportunities that prepare them to be capable of contributing to so-

ciety in a positive manner upon release.

The facility has been accredited by the American Correction Association since 1988. The institution has successfully completed re-accreditation eight times, with the most recent audit in July of 2012 when the facility scored 100%.

WKCC has a farming operation, situated on 2,200 acres, with a herd of 800 beef cows, 405 acres of corn, 300 acres of wheat and 355 acres of soybeans. There is also a 15 acre garden and a 275 tree apple orchard, as well as a composting operation.

WKCC also operates a Regional Recycling Center that partners with six surrounding counties that result in an average landfill savings of 500 tons per year.

Highlights

In January 2014, PREA Training was held in Louisville, KY.

In February, the Stitch Club donated 28 Afghans to Rivers Bend in Kuttawa, KY and items were donated to the Hope Food Bank. Inmates with clear conduct were allowed to purchase Girl Scout cookies. The inmates purchased 318 boxes for a total \$1,113.00. The money was distributed between five Girl Scout troops.

Also in February, the WKCC Honor Guard represented WKCC at a funeral for a staff member (that worked at Green River Correctional Complex) family that died in a house fire. It was the



Warden Steve Woodward

Honor Guards first participated event.

In March, Deputy Warden Duke Pettit transferred to WKCC as Deputy Warden of Programs.

In April 2014 an inmate hygiene sale was conducted and it raised \$726.66. The money was donated to Happy Feet Equals Learning Feet in Caldwell County. This money will provide quality athletic shoes for school children in Caldwell County.

On April 5, 2014 inmates with clear conduct were allowed to purchase cupcakes from Mrs. McLovett's Cupcakes. The money was donated to the Capitol Theater in Princeton, KY. for a



The Stitch Club donated 28 Afghans to Rivers Bend in Kuttawa, KY

Western Kentucky Correctional Complex

free movie for any child that wished to attend that day.

In April, the Corrections Emergency Response Team sponsored the 2nd Annual Easter Egg Hunt at the Eddyville City Park. There was 4,000 eggs hidden and 250 kids attended the event. The donation of a canned good (per child) was required as part of admission. All canned goods received were donated to the Lyon County Food Bank.

On July 24, 2014 the WKCC Honor Guard represented WKCC at a funeral for a staff member (that worked at Western Kentucky Correctional Complex) that passed away.

In October, the Employee Benefit Team sponsored a Family Day

Picnic for staff. Activities On December 6, 2014 H&R Ministries sponsored the 11th annual Christmas Carnival for the inmates. Brother Harrell Riley and 63 volunteers set up games and prizes for the carnival to spread Christmas cheer to all inmates. The games varied from Frisbee throw, ring toss, hoops and other carnival games.

On December 8th through 12th, 2014 the NIC Security Audit Program was held between WKCC, KSP and GRCC.

On December 22, 2014 the Carpentry Class made wooden cars, wooden trucks and wooden jewelry boxes to be donated to the Human Resource Center in Eddyville, KY.

In 2014 WKCC raised ¼ acre of green beans and tomatoes for the Hope Food Bank in Lyon County, KY. The seed and tomato plants were donated by Akridge Farm Supply in Eddyville, KY.

Programmatic and Operational Areas

In 2014 WKCC had a total of 113 inmates recognized for their achievements in attaining their GED and/or Vocational diplomas. Lisabeth Hughes Abramson, Kentucky Supreme Court Justice was the guest speaker for the graduation ceremony.

GRCC added additional fencing and implemented the J-Pay Kiosk in 2014.

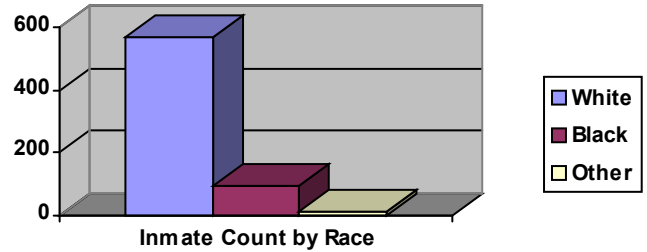


WKCC Honor Guard participated in the Founders Day parade in Eddyville, KY.

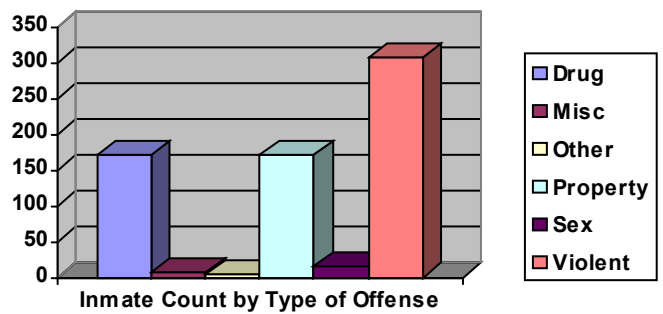
Western Kentucky Correctional Complex

2014 Demographic Data

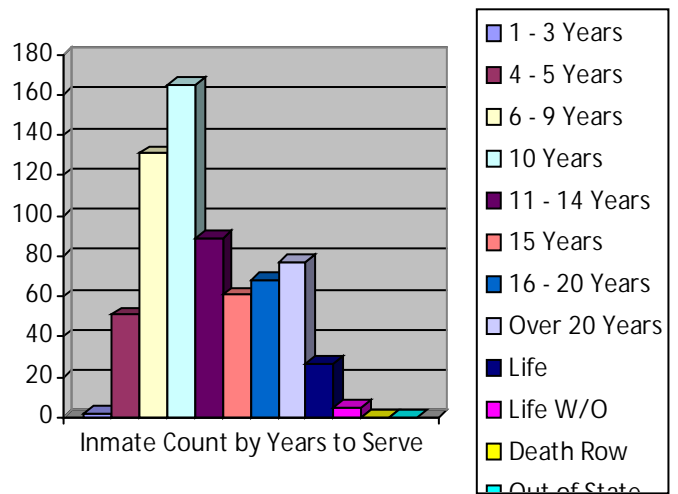
RACE	COUNT	PERCENTAGE
White	569	84.17%
Black	94	13.91%
Other	13	1.92%
Total	676	100.00%



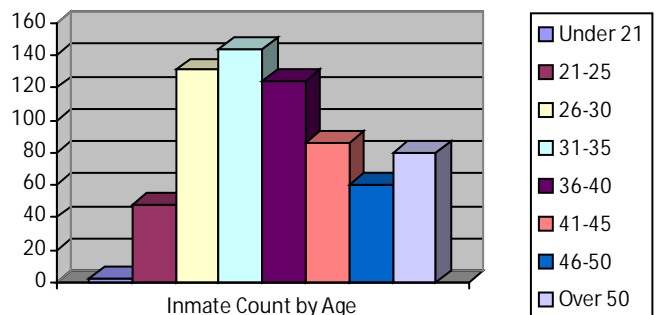
TYPE OF OFFENSE	COUNT	PERCENTAGE
Drug	171	25.30%
Misc	8	1.18%
Other	3	0.44%
Property	172	25.44%
Sex	14	2.07%
Violent	308	45.56%
Total	676	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	2	0.30%
4 - 5 Years	51	7.54%
6 - 9 Years	131	19.38%
10 Years	165	24.41%
11 - 14 Years	89	13.17%
15 Years	61	9.02%
16 - 20 Years	68	10.06%
Over 20 Years	77	11.39%
Life	27	3.99%
Life W/O	5	0.74%
Total	676	100.00%



AGE	COUNT	PERCENTAGE
Under 21	3	0.44%
21-25	48	7.10%
26-30	131	19.38%
31-35	144	21.30%
36-40	124	18.34%
41-45	86	12.72%
46-50	60	8.88%
Over 50	80	11.83%
Total	676	100.00%



Office of Community Services and Local Facilities

Deputy Commissioner Paula Holden

Classification/Population
Division Director
James Sweatt

Division of Local Facilities
Regional Administrators

Western Region Administrator
Kirstie Willard

Eastern Region Administrator
Desi D. Brooks



*Paula Holden
Deputy Commissioner
Offices of Community Services
and Local Facilities*

Office of Community Services and Local Facilities

As Deputy Commissioner of Community Services and Local Facilities, Paula Holden oversees the Division of Classification and Population Management and the Division of Local Facilities.

Holden began her DOC employment at the Kentucky State Reformatory in May 1978 where she was employed as a clerical assistant for three summers while

attending college. She was then hired as a correctional officer at KSR in April 1982 and a year later was promoted to classification and treatment officer at Luther Lockett Correctional Complex.

While at Luther Lockett, Holden also worked as an offender rehabilitation specialist and unit administrator I. She transferred to Roederer Correctional Complex and at that prison held a number of positions including unit administrator II and program administrator of the Assessment/Classification Center.

In December 2003, Holden was promoted to Deputy Warden at Roederer and served in that position until January 2006 when she was promoted to director of population management.

A 1981 graduate of Murray State University, Holden received her bachelor's degree in criminal justice and corrections. She graduated *summa cum laude* and was named the outstanding graduating senior in corrections. She is also the 2005 recipient of the Deputy Commissioner's Award for Adult Institutions.

Classification / Population

The Population Management Division / Classification Branch is responsible for oversight of classification actions in all state institutions, contract facilities, and full-service county jails.

Inmate custody levels are determined through an objective based risk instrument that was devel-



*James Sweatt, Division Director
Classification/Population*

oped with the assistance of the National Institute of Corrections (NIC). The classification manual is reviewed and updated in accordance with recommendations from validity and reliability studies.

The Division conducts annual training for all classification staff addressing any classification issues and changes in policy, procedure, or statute.

The Division is responsible for implementing and updating policies to ensure compliance with changing statutes and system changes.

The Division reviews all inmate transfer and furlough requests, jail disciplinary reports, jail detainees, jail additional sentences and security priority admission requests.

The Division monitors the population of all state institutions, contract facilities and county jails. When necessary, movement is directed by division staff in order to alleviate overcrowding in county jails.

Division of Local Facilities

The Division Director oversees the operation of the Assessment Centers, currently located at the Roederer Correctional Complex and the Kentucky Correctional Institution for Women, and directs admissions / transfers statewide.

Highlights

The Classification Branch developed a new week-long Unit Management Training. This training was created for all new Case Management staff and Assistant Unit Administrators/Unit Administrators who had no previous experience as a Case Manager. The new training was conducted 6 times, received well, and will be presented quarterly in the upcoming years.

Annual Classification Training was held throughout the state. This one day training was presented to experienced Case Management staff statewide for a total of 5 times.

The Division significantly reduced the number of inmates in Protective Custody status at the Kentucky State Penitentiary. A complete classification review of all PC status inmates was done by all members of the Classification staff over a two-day period at KSP. This reduced the number of PC status inmates from 128 to 70. This review also allowed the conversion of 35 PC beds at KSP to become General Population beds.

Changes to CPP 18.15 were made as a direct result of the Protective Custody reviews. All PC hearings are now conducted by the

Division of Population Management.

Division of Local Facilities

The Division of Local Facilities provides support and technical assistance to local jails in overall jail operations, policy and procedure development, training, construction review and consultation and ensures compliance with the Kentucky Jail Standards.

The Division monitors compliance with Kentucky jail Standards by conducting bi-annual inspections, responding to complaints and conducting investigations.



*Kirstie Willard,
Western Region Administrator*

Bi-annual inspections provide a thorough assessment of jail operations, policies and procedures, and fire safety procedures. The inspections provide vital information to the Department and counties about the operation of local facilities. Jailers and local government officials are notified of deficiencies documented during inspections and/or site visits and submit corrective action plans to address these deficiencies. The Department is available to assist in developing these plans.



*Desi D. Brooks,
Eastern Region Administrator*

The Division is responsible for preliminary reviews of construction applications and making recommendations to the Local Correctional Facilities Construction Authority regarding the review. Once approval has been granted, the Division is then responsible for reviewing construction proposals for renovation of existing facilities or construction of new facilities. The Division's Jail Consultant works closely with jailers, county government officials and architects providing guidance on Kentucky Jail Construction and Renovation Standards. The projects are monitored from the planning stage through the opening of a new facility or renovated area.

The Division's administrative staff works closely with county jails to process per diem payments for state inmates housed in jails, inmate pay, and catastrophic medical invoices. In addition, they provide documentation of deficiencies and monitor corrective action requests and reviews.

The Division of Local Facilities provides funding to 120 counties

Division of Local Facilities

to assist them in defraying the cost of care and maintenance of prisoners through the Local Jail Allotment and the Restricted Medical Fund. Additionally, the Division provides a Jailer's Allowance that provides training incentive funding for jailers and jail personnel.

The Catastrophic Medical Fund provides assistance to counties for the cost of providing necessary medical, dental and psychological care to county prisoners. The Local Corrections Assistance Fund provides funding to local jails as a result of savings from House Bill 463.

The Division monitors the use of inmate labor in jails. Inmate labor may be utilized by the Commonwealth, an agency of the Commonwealth, a county or agency of the county or by a non-religious sponsored nonprofit, charitable, or service organization. The use of inmate labor in local community service projects affords offenders an opportunity to develop skills, earn state pay and work for time credit and results in a substantial cost savings to the local communities.

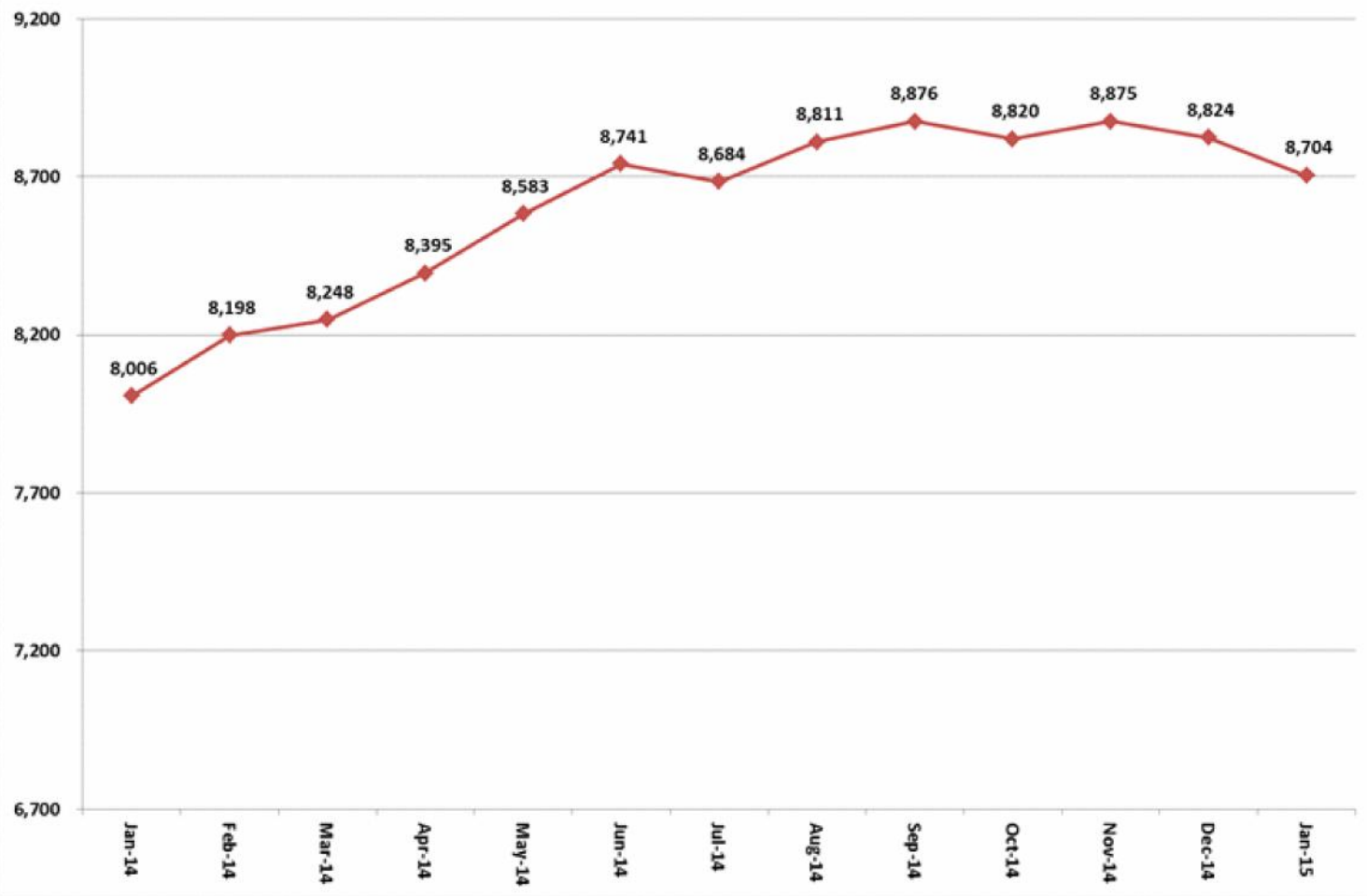
County Jail Population

The number of state inmates in

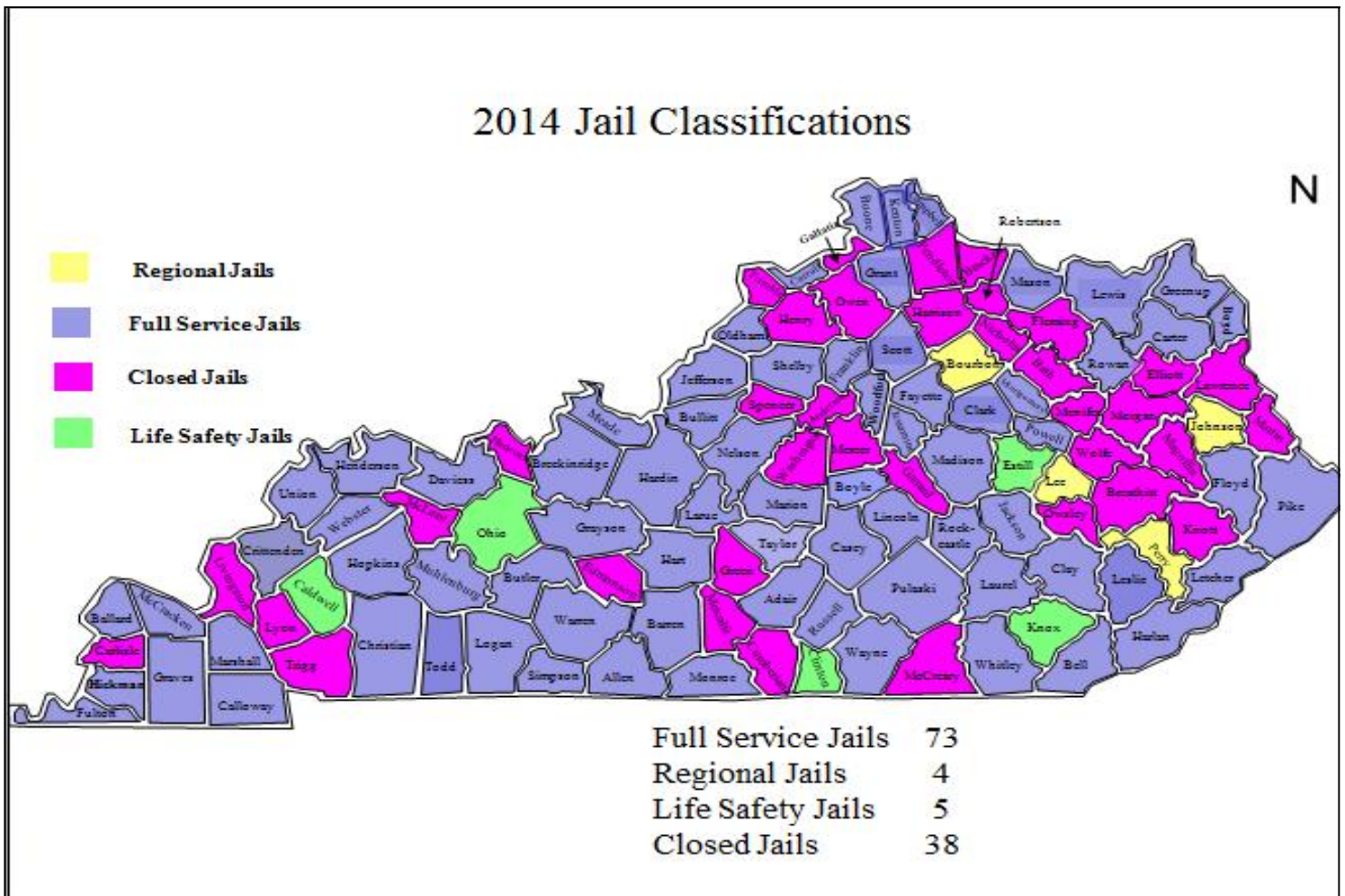
county jails slowly increased during 2014. A comparison of the daily population documented on the 15th of each month is marked by a low 8,006 on January 15 and a high of 8,876 in September with the year ending at 8,824 on December 15. The population has continued to increase steadily since the transfer of all prisoners from Marion Adjustment Center from July 2014 through September 2014 resulting in 826 fewer institutional beds.

Jails provide a population report to the Division once weekly to document the number of state, county, and federal prisoners in

State Inmates In Jail
January 15, 2014 through January 15, 2015



Division of Local Facilities



their custody. For calendar year 2014, these reports indicate that state inmates accounted for 44% of the total county jail population. State inmates in jails included qualified inmates serving their sentence in jails as well as inmates awaiting admission to one of the Department’s Assessment Centers.

Finance

The Division of Local Facilities provided \$118,754,253.05 in funding to the 120 counties across Kentucky in FY 14. This represents a decrease of \$5.65 million from FY13. These funds are provided through several funding programs, such as direct payment for housing state inmates, medical allotment payments, bed allotment payments,

pay for inmate labor, and payments for catastrophic medical claims.

Under the provision of House Bill 463, the department shall measure and document any cost savings resulting from the amendments to, or creation of statutes in KRS 218A to be reinvested or distributed as provided in this section. As a result, the department shall determine the average cost of incarceration for local jails, including health care costs, transportation costs and other related costs beginning in fiscal year 2010/11. The mean daily cost for housing a prisoner in a county jail for FY 11 was calculated to be \$36.12 per day. For FY14 the mean daily cost for housing a prisoner in a county jail

was calculated to be \$36.75 (\$32.25; \$41.25 for SAP inmates).

HB 463 created the Local Correctional Assistance Fund and mandated that the fund receive 25% of any cost savings resulting from its implementation. The original amount of estimated savings from HB 463 appropriated to the LCAF for FY14 was \$5,516,800. \$879,200 of the appropriations was transferred to catastrophic, leaving \$4,637,600 for the LCAF for FY14.

All 120 counties received a total minimum payment of \$20,000 of the first \$2.4 million. The remaining funds were paid to counties that have a jail and are based on the ratio of each county’s

Division of Local Facilities

county inmate population divided by the statewide county inmate population.

Regional Administrators

In November 2014, the Division established Regional Administrators to provide guidance and oversight to jails and staff in the Western and Eastern Regions of the state.

Housing Bills Audits and Payments

During FY14 the Department of Corrections paid Kentucky County jails a total of \$93,828,303.41 for housing state inmates. This amount was paid at a per diem rate of \$31.34 per inmate; \$29.43 for housing and \$1.91 for medical.

The Division of Local Facilities has the responsibility for auditing housing bills on a monthly basis and reimbursing county jails within 30 working days of receipt of their housing bills.

The Kentucky Offender Management System generates invoices listing all state inmates housed in jails. These invoices are compared with invoices submitted from county jails and are reconciled with assistance from jail and probation and parole staff. Once reconciled, the invoices are submitted for payment. The Department and Local Facilities goal continues paying all invoices within 30 business days.

Inmate Labor

Local Facilities provides inmate labor for many local community projects across the Commonwealth. Local jails that house

state prisoners operate the Class D /C Work Program through the direction and guidelines of the Division of Local Facilities. Inmate labor can be utilized by the Commonwealth or an agency of the Commonwealth, a county or agency of the county, or by a nonreligious-sponsored nonprofit, charitable, or service organization. Reduced custody level inmates can be found working at local recycling centers, performing road side cleanup, collecting garbage, and working in the local animal shelters. The Department estimates \$33,352,293 in savings in FY 14 to local communities as a result of inmate labor.

In addition to community service work, state inmates in the county jails also participate in Substance Abuse Programs and GED programming. Inmates are entitled to receive pay for participating in those programs.

Catastrophic Medical Claims

Since FY11, Local Facilities has been allotted \$80,800 to reimburse local jails for catastrophic medical claims incurred by county inmates declared indigent by the courts. For FY 14, \$879,200 was reallocated from the Local Corrections Assistance Fund to the catastrophic medical fund to bring the total funding for FY14 to \$960,000.

The Catastrophic Medical Fund for FY14 was exhausted by November 2013.

The impact of the implementation of the Affordable Care Act on January 1, 2014 is projected to provide savings in the cata-

strophic medical fund as a result of changes in the number of offenders eligible for Medicaid coverage. Medicaid coverage was expanded to all offenders hospitalized for longer than 24 hours.

Inspections

Division staff conducted more than 168 routine and special jail inspections on all jail facilities across the Commonwealth. These inspections provide vital information as to the jail's physical plant condition, jail operations, prisoner program availability and compliance with the Kentucky Jail Standards. These inspections also provided the detention centers with guidance for improving overall operations.

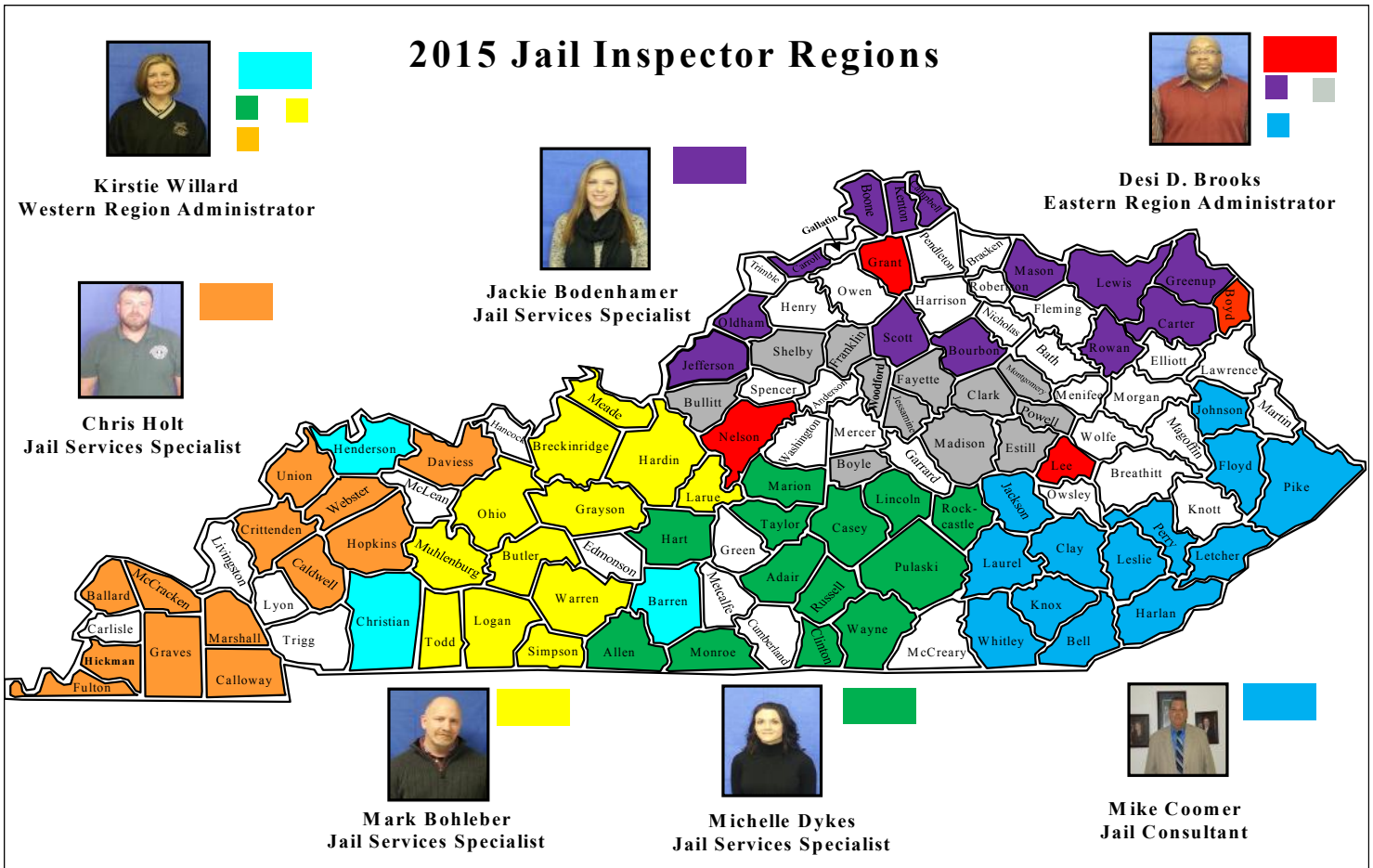
The first inspection of each year is an announced inspection. During this inspection every aspect of the detention center is reviewed and evaluated for compliance with Kentucky Jail Standards.

The inspection for a Full-Service facility contains 187 critical items including administrative function, information systems, personnel, physical plan, security areas, emergency procedures, sanitation, medical services, food service, classification, admission, release, prisoner programs and prisoner rights.

The second yearly inspection is an unannounced inspection. By not announcing this inspection, Division staff has the ability to see how the jail operates on a standard day or night. This inspection also provides a review

Division of Local Facilities

2015 Jail Inspector Regions



of any non-compliant items from the first inspection.

The Division also conducts follow-up inspections when necessary as a result of complaints, investigations, and documentation of corrective action.

Construction

The Local Correctional Facility Construction Authority established in KRS 441.615 is responsible for reviewing construction applications for new facilities and/or renovation of existing facilities.

Applications are submitted to the Department for review. Once an application has been reviewed, it is forwarded, with a recommendation, to the Local Correctional Facility Construction Authority

(LCFCA) and a hearing is conducted to determine if the project is approved.

The LCFCA granted Rowan County approval to begin construction on a new correctional facility. Though Oldham County received approval in 2013 for a new correctional facility, and Campbell County for a renovation/addition, no construction projects began in 2014.

The Department's Jail Consultant reviewed and made recommendations in December 2014 on Knox County's construction application for a new 300 bed correctional facility.

Investigations

The Division of Local Facilities investigated over 700 complaints

in 2014 received through phone calls or written correspondence. Complaints were received from inmates in local jails, inmate family members, legislators and other members of the community and generally involve alleged violations of Kentucky Jail Standards. Complaints are assigned to the Jail Services Specialist assigned to the jail for investigation and response and monitored by two Regional Administrators.

Staff

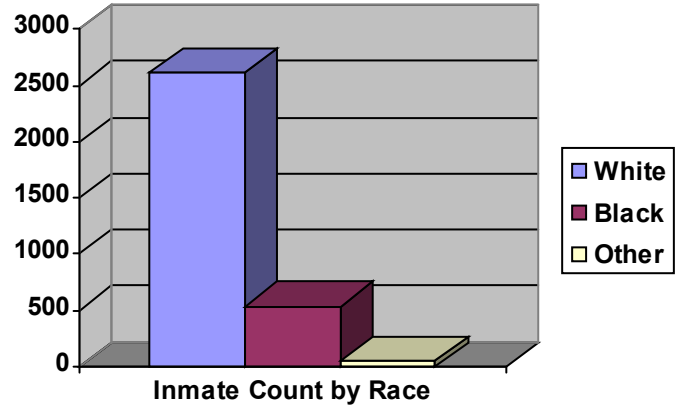
Given the role of the Division in monitoring compliance with Jail Standards, the Division is staffed both in Central Office and regionally across the state. The Division Staff includes a Deputy Commissioner, two Regional Administrators, one Jail Consultant, four Jail Services Specialists and four Administrative Specialists.



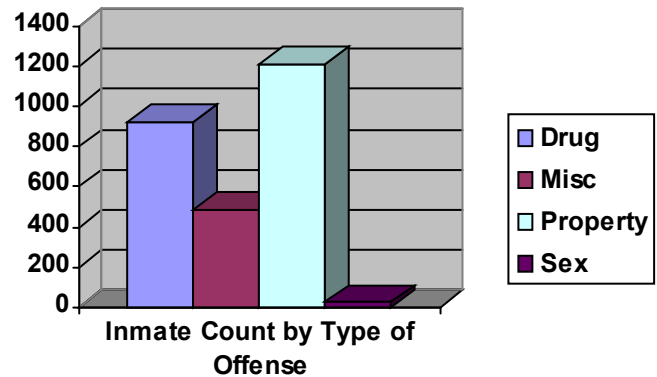
Class D Felons in County Jails

2014 Demographic Data

RACE	COUNT	PERCENT-AGE
White	2606	81.56%
Black	534	16.71%
Other	55	1.72%
Total	3195	100.00%



TYPE OF OFFENSE	COUNT	PERCENT-AGE
Drug	787	24.63%
Other Public Order Crime	898	28.11%
Property	985	30.83%
Sex	30	0.94%
Violent	495	15.49%
Total	3195	100.00%



YEARS TO SERVE	COUNT	PERCENT-AGE
1 - 3 Years	1409	44.10%
4 - 5 Years	1699	53.18%
6 - 9 Years	60	1.88%
10 Years	11	0.34%
11 - 14 Years	9	0.28%
15 Years	3	0.09%
16 - 20 Years	3	0.09%
Over 20 Years	1	0.03%
Total	3195	100.00%

