

Department of Corrections 2012 Annual Report



Steven L. Beshear
Governor

J. Michael Brown
Secretary
Justice & Public Safety
Cabinet

LaDonna H. Thompson
Commissioner
Department of Corrections

Letter From The Commissioner



*Commissioner
LaDonna Thompson*

Our primary mission at the Kentucky Department of Corrections is “To protect the citizens of the Commonwealth and to provide a safe, secure and humane environment for staff and offenders in carrying out the mandates of the legislative and judicial processes; and, to provide opportunities for offenders to acquire skills which facilitate non-criminal behavior.”

2012 proved to be an extremely busy year. The start of the year was marked by the early release of approximately 1,000 inmates under the requirements of the “Mandatory Reentry Supervision” provisions of House Bill 463, the landmark justice reform bill passed in 2011 that was designed to decrease the state’s prison population, reduce incarceration costs, reduce crime and increase public safety.

In 2011, for the first time in history, due to declining prison populations the DOC was able to close a state-run prison. In 2012, with the completion of the rebuild at Northpoint Training Center, we were able to move all Kentucky inmates out of the Otter Creek Correctional Center and thereby reduce the number of privately contracted facilities to one.

The Department also began the process to achieve ACA accreditation for the Central Office, and we are well on the way of achieving this goal. We have already completed one file audit and have scheduled the mock audit for April 2013, with the final ACA audit taking place in June 2013.

All the above, combined with our continuing efforts in the area of reentry and reduced recidivism, have generated a great deal of work, not to mention the enormous amount of “routine” work that you all perform on a daily basis.

I would like to take this opportunity to say “Thank You” to our staff for another successful year. Your dedication to duty never ceases to amaze me and, with your help, I look forward to the challenges that we will face in 2013.

Sincerely,

A handwritten signature in cursive script that reads "LaDonna Thompson".

LaDonna Thompson
Commissioner

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Office of the Commissioner

Commissioner

LaDonna Thompson

Commissioner's Staff

Executive Secretary
Mary Ann Sullivan

Executive Staff Advisor/ ACA
Coordinator / Ombudsman
John Dunn

Director of Communications
Lisa Lamb

Personnel Director
Stephanie Appel

General Counsel
Brenn Combs

Deputy Commissioners

Office of Support Services
Kimberly Potter-Blair

Office of Community Services
and Local Facilities
Paula Holden

Office of Adult Institutions
James Erwin

Office of the Commissioner LaDonna Thompson

In January 2008, Governor Steve Beshear and Justice & Public Safety Cabinet Secretary J. Michael Brown appointed the first female state Corrections chief in Kentucky and named LaDonna Thompson to the Commissioner's post of the Department.

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Commissioner LaDonna Thompson is pictured above with the LSCC Honor Guard members who participated in the Annual National Memorial Ceremony in Washington D.C. to honor fallen Correctional Peace Officers. Pictured left to right are Commissioner Thompson, Sergeant David Garris, Officer Andrew Hayes, Sergeant Mike Cepeda, Honor Guard Commander Josh Ison, Sergeant Brian Dickerson, Officer Betty Gilliam and LSCC Warden Joseph Meko. The ceremony was hosted by the Correctional Peace Officers Foundation (CPOF) and was held in conjunction with their 2012 CPOF conference.

Thompson had spent the previous two and half years as a Deputy Commissioner for DOC and began her career as one of the original correctional officers at the Eastern Kentucky Correctional Complex when it first opened. She also worked as a correctional officer at the Kentucky State Reformatory and Luther Lockett Correctional Complex in LaGrange.

Upon transferring to the Department's Central Office in 1991, Thompson worked in several areas including Offender Records, VINE and Classification. She quickly began moving up the ladder into management positions.

In 1999, she was promoted to Branch Manager of the Classification Branch and during her stint there led the validation study of DOC's inmate classification system. She has also served as a visiting staff member for the National Institute of Corrections to develop curriculum and provide classification training to other state correctional agencies. In 2004, Thompson was promoted to the position of Assistant Director of Operations where her primary duty was inmate population management.

In August 2005, Thompson was promoted to the position of Deputy Commissioner of Support Services.

Office of the Commissioner

During her career in Corrections, Thompson has received numerous awards, including three honors for distinguished service.

In 2005 she was a member of the inaugural class of the Commissioner's Executive Leadership Program, and in 2006 she was selected to attend the National Institute of Corrections Executive Leadership for Women training.

Commissioner Thompson is a graduate of the Leadership Kentucky class of 2007, and is a 2007 recipient of the "Breaking the Glass Ceiling" Award presented by the National Center for Women and Policing.

A graduate of Morehead State University, Thompson received her degree in psychology and sociology.

The Commissioner's Staff

Executive Staff Advisor / Ombudsman



John Dunn
Executive Staff Advisor / ACA
Coordinator / Ombudsman

John Dunn serves as the Ombudsman for the Department of Corrections and oversees the grievance process throughout the state.

Dunn began his Corrections career in April 1993. His first DOC position was at Northpoint Training Center as a Correctional Officer.

In 1999, he was promoted to classification and treatment officer I at Northpoint, and two years later was promoted to an administrative specialist III position at the prison.

In 2004, he was promoted to a classification and treatment officer II at Frankfort Career and Development Center.

In January 2006 Dunn was promoted to program administrator at Central Office supervising the home incarceration program as well as the re-entry program. He held this position until September that year when he was named as Ombudsman.

Dunn also served 21 years in the National Guard and retired in February 2006 after achieving the rank of major.

Dunn is a 1990 graduate of the University of Kentucky where he received his bachelor's degree in history.

He is a member of the American Correctional Association, Correctional Accreditation Managers' Association and the National Guard Association.



Lisa Lamb
Director of Communications

Director of Communications

Lisa Lamb serves as the Department of Corrections' Director of Communications. In this position, she oversees the Office of Victim Services. A native of Harlan, Lamb brought nearly 20 years of experience working with the media to her position with the DOC. In her current role, she serves as the Department's spokesperson, handling all media calls and requests for information and interviews. She also serves as a member of the Commissioner's Executive Staff.

She was the 2003 recipient of the "Jennifer Schaaf Award," presented by the Society of Professional Journalists (SPJ) to an outstanding government communicator. Lamb came to corrections after six years with the Kentucky Press Association where she worked as the News Bureau Director, Member Services Director and as Director of the Kentucky High School Journalism Association. She spent nearly 10 years with the Harlan Daily Enterprise

Office of the Commissioner

and just prior to joining KPA, was the newspaper's executive editor.

She is a graduate of Southeast Community College. In addition, she attended Eastern Kentucky University and the University of Kentucky, majoring in journalism.

Personnel Director



*Stephanie Appel, Director
Division of Personnel Services*

Stephanie Appel serves as the Director of Personnel Services for the Department of Corrections.

Appel began her career with Finance and Administration in 1995, before coming to work for the Department of Corrections in 2000.

Since her appointment with the Department of Corrections, she has been instrumental in the implementation of several new personnel systems, assisted with the opening of Little Sandy Correctional Complex, has helped streamline personnel processes

and has regionalized the personnel services in Oldham and Shelby Counties.

Appel is a long-time member of the International Public Management Association for Human Resources (IPMA-HR) and has held many elected offices, both in Kentucky as well as the Southern Region of IPMA-HR. Appel is currently serving as the President of the Southern Region of IPMA-HR. In September 2012, Ms. Appel was appointed to serve as an Executive Council member for IPMA-HR for a three-year term.

She is also a member of the Society for Human Resources Management (SHRM) and was nominated for a Governors Ambassador Award in 2009 for her dedication with human resources professional organizations.

Deputy Commissioners

Office of Support Services

As Deputy Commissioner of Support Services, Kimberly Potter-Blair is responsible for the Administrative Services Division, Classification and Population Division, Corrections Training Division, Office of Parole and Victim Services, Information and Technology Branch, Offender Information Services Branch and the Re-entry Branch.

Potter-Blair began her career with the Department of Corrections in 1998 as a Corrections Officer at Blackburn Correctional Complex. She was promoted to caseworker at Blackburn before transferring to the Division of Probation &



*Kimberly Potter-Blair
Deputy Commissioner
Office of Support Services*

Parole in District 9 in 1999. After supervising a caseload of offenders for approximately four years, she was promoted to Assistant District Supervisor for District 9 in 2003, and then to District Supervisor in 2005.

While working in District 9, which encompasses Fayette County, she was instrumental in the efficient flow of court work in the Fayette Circuit Court system. She was also active in helping to create the Fayette County Re-Entry Program for offenders on probation and parole, as well as coordinating efforts with the CJ-DATS research project with the University of Kentucky.

During her career, Potter-Blair has been a member of the Kentucky State Parole Officers' Association and the Kentucky Council on Crime and Delinquency, where she previously served as President of the Bluegrass Chapter of KCCD. She is also a graduate of the inaugural Commissioner's Executive Lead-

Office of the Commissioner



Paula Holden
Deputy Commissioner
Offices of Community Services
and Local Facilities

ership Program, which began in May 2005.

Office of Community Services and Local Facilities

As Deputy Commissioner of Community Services and Local Facilities, Paula Holden oversees the Division of Probation and Parole and the Division of Local Facilities.

Holden began her DOC employment at the Kentucky State Reformatory (KSR) in May 1978 where she was employed as a clerical assistant for three summers while attending college. She was then hired as a correctional officer at KSR in April 1982 and a year later was promoted to classification and treatment officer at Luther Lockett Correctional Complex.

While at Luther Lockett, Holden also worked as an offender rehabilitation specialist and unit ad-

ministrator I. She transferred to Roederer Correctional Complex and at that prison held a number of positions including unit administrator II and program administrator of the Assessment/Classification Center.

In December 2003, Holden was promoted to Deputy Warden at Roederer and served in that position until January 2006 when she was promoted to director of population management.

A 1981 graduate of Murray State University, Holden received her bachelor's degree in criminal justice and corrections. She graduated *summa cum laude* and was named the outstanding graduating senior in corrections.

She is also the 2005 recipient of the Deputy Commissioner's Award for Adult Institutions.

Office of Adult Institutions

As Deputy Commissioner of Adult Institutions, Jim Erwin oversees the Capital Construction Branch, Education Branch, Food Services Branch and Programs and Security Branch. He is also responsible for Policy and Procedures, Kentucky Correctional Industries, the Health Services Division, Substance Abuse Program Division and the Office of Research, as well as overseeing all 12 of Kentucky's state-run institutions.

Erwin began his career in August of 1985 as a Correctional Officer with Jefferson County. In 1987 he began work with the state of Kentucky as a Classification and



James Erwin
Deputy Commissioner
Office of Adult Institutions

Treatment Officer at the Kentucky State Reformatory (KSR). Erwin continued his career at KSR as a Unit Administrator until 1996 when he went to work for the Ohio Department of Corrections as an inspector of institutional services. He continued his career in Ohio as a Unit Management Administrator, Deputy Warden, acting Warden and Warden at several Ohio Prisons, until returning to Kentucky as Director in September 2007.

Erwin is a 1984 graduate of Centre College in Danville and received his masters in Administrative Justice from the University of Louisville in 1987.

He is a member of several professional organizations including the American Correctional Association, North American Association of Wardens and Superintendents, Ohio Wardens and Superintendents Association and International Association of Reentry.

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Division of Personnel Services

Director

Stephanie Appel

Personnel Branch Manager
Bobbie Underwood

Payroll Branch Manager
Amanda Coulter

Recruitment and Staff Development Branch Manager
Teresa Harris



*Stephanie Appel, Director
Division of Personnel Services*

Overview

The Division of Personnel Services is comprised of three branches: Personnel Management, Payroll, and Recruitment and Staff Development. These three branches serve a complement of over 4,000 employees.

The mission of the Division of Personnel Services is three-fold: serve as a catalyst to effective and efficient personnel, payroll, and recruitment services; protect the integrity of the Merit System through the proper enforcement and application of the statutes

and regulations; and review global personnel and management strategies to establish positive change for the betterment of the Department.

Personnel Management Branch

The Personnel Management Branch provides assistance to executive staff, department managers and personnel liaisons by implementing personnel programs and initiatives, providing guidance to complex personnel issues and represents the Department at meetings related to Human Resources.

In 2012, the Personnel Management Branch processed over 15,000 personnel actions and over 700 job postings for the Department. They also successfully audited over 3,000 employee evaluations to ensure compliance with state regulations.

During 2012, the Branch added Diana Eads and Heather Gibbons as new HR Generalists. Bobbie Underwood received her HR professional certification (IPMA-CP) through the International Public Management Association and



*Bobbie Underwood
Personnel Branch Manager*

attended the Southern Region IPMA-HR conference in St. Louis, Missouri. Furthermore, the Branch continues to pursue human resource trainings and is actively involved with the Kentucky Chapter of IPMA-HR, KHRIS user group, and Career Opportunities user group. The Personnel Office has continued to revamp internal processes and procedures due to the implementation of KHRIS and continues to streamline our processes to better serve our field HR liaisons and employees.

Payroll Branch

The Payroll Branch experienced numerous changes with staffing in 2012. Amanda Coulter transitioned over as the Payroll Branch Manager to lead this branch. Rodney Moore, Human Resources Administrator, and Teresa Kidwell, Human Resources Specialist III-Payroll, were added to work with Annette Sewell, Human Resources Specialist III-Insurance. This branch is responsible for employee pay and benefit administration, including monitoring and processing pay, retirement, workers compensation, employee safety programs, family medical leave, W-2 information and unemployment benefits. Throughout 2012, the Payroll Branch continued to help other state agencies, such as the assistance provided to the Department of Veteran's Affairs with their transition to KRONOS.

In 2012, Amanda Coulter, Payroll Manager, was elected to serve as the 2012-2013 Secretary for the Kentucky Personnel Council, a

Division of Personnel Services



Amanda Coulter
Payroll Branch Manager

public forum consisting of HR representatives from state agencies to discuss HR administration. Additionally, Rodney Moore was selected by the Personnel Cabinet to participate in the newly offered KHRIS Advanced Payroll training for Commonwealth HR Generalists.

In June 2012, Amanda Coulter attended the IPMA-HR Central/Southern Region Joint Training Conference in St. Louis, Missouri, where she received her International Public Management Association Certified Professional certification. Furthermore, Coulter was elected by her peers to serve as the 2012-2013 Chapter Treasurer and serve on the Board of Directors for the Kentucky Chapter.

Recruitment and Staff Development Branch

Throughout 2012, the Recruitment and Staff Development Branch continued to oversee the applicant recruitment process, EEO investigations, exit interview processes, diversity training, and worked closely with in-

stitutions to update their class specifications and position descriptions.

This branch continues to oversee the drug testing program and processed over 2,200 drug test results in 2012. Additionally, this branch continued to work with colleges and universities by placing 85 interns in the Department in 2012. This branch also processed 535 volunteer forms in 2012.

In 2012, this branch continued to work with the National Guard and Reserve regarding job openings within the Department. The staff attended 14 job fairs which focused on veterans job placement and also continued to promote job postings through Twitter and Facebook.

In October 2012, Teresa Harris received her International Public Management Association Certified Professional (IPMA-CP) certification, an internationally recognized certification program in the field of Human Resources. Ms. Harris continues to be an active member of the Kentucky Chapter of IPMA-HR.



Teresa Harris
Recruitment Branch Manager

Highlights

In 2012, the Division of Personnel developed and conducted disciplinary training for managers and supervisors throughout the state.

In August, the Division organized and conducted specialized training for all DOC Human Resources field staff.

Bobbie Underwood and Amanda Coulter continue to manage the Justice KHRIS Helpdesk to ensure the Cabinet is receiving accurate answers to their HR and KHRIS questions.

In 2012, the Division of Personnel transitioned to an electronic filing system for all personnel, payroll and benefit records. This project has resulted in a more accurate filing system while reducing staff time and errors.

In September 2012, Stephanie Appel, Director, was appointed to an Executive Council position for the International Public Management Association for Human Resources (IPMA-HR). Additionally, Appel continued to serve as the President of the IPMA-HR Southern Region throughout 2012 and was the conference chair of the 1st ever joint Central/Southern Region Conference held in June 2012 in St. Louis, Missouri.

Rodney Moore, Payroll, participated in the APEX Training and Stephanie Appel participated in the Corrections Human Resources Director Meeting at NIC in Aurora, Colorado.

Communications Office

Director

Lisa Lamb

Public Information Officer
Todd Henson

Administrative Specialist
Julie Bowles

Vine Program Administrator
Kristin Smith

Victim Advocate
Tammy Lou Johnson

Victim Advocate
Alexis Williams



Lisa Lamb
Director of Communications

Communications Office

Overview

The Communications Office functions as the voice of the Department of Corrections.

They are responsible for getting the DOC's message out to the public. Lisa Lamb serves as the Director of Communications and Todd Henson serves as the Public Information Officer. Together

they respond to all media requests, be it a simple inquiry or more in depth requests involving interviews, inmates or tours of facilities.

The Communications Office prepares press releases on newsworthy events that happen within the department and distributes them to all media outlets as well as Justice Cabinet personnel.

The Communications Office also prepares talking points and presentations for the commissioner and her staff, prepares and publishes the quarterly newsletter "Inside Corrections," prepares and hosts the DOC Annual Awards Luncheon, handles all Central Office open records requests, as well as prepares and publishes the DOC Annual Report.

In addition to directing all the communications aspects of the department, Lisa Lamb also supervises the Office of Victim Services (OVS) and the victim services staff within the Office of Parole and Victim Services.

Highlights

The Communications Office stayed very busy as there was a great deal of media attention focused on the department throughout 2012.

The year started off with the early release of approximately 1,000 inmates. These inmates were released under the requirements of the "Mandatory Reentry Supervision" provisions of House Bill 463, the landmark justice reform



Todd Henson
Public Information Officer

bill passed in 2011 that was designed to decrease the state's prison population, reduce incarceration costs, reduce crime and increase public safety.

The Northpoint Training Center rebuild was completed and this institution was brought back to full capacity. This enabled the DOC to move all Kentucky inmates out of the Otter Creek Correctional Center and thereby reduce the number of privately contracted facilities to one.

The Communications Office prepared and hosted the DOC's 2012 Awards Luncheon, which was held in conjunction with the 2012 KCCD conference in Lexington. This year's event was also scheduled to coincide with the SSCA's annual training seminar.

This year the Department also began the process to achieve ACA accreditation for the Central Office. A file review has already been completed and the mock audit is scheduled for April 2013. The final ACA audit will be conducted in June 2013.

Office of Victim Services



Kristin Smith
Program Administrator

Overview

The Office of Victim Services is the arm of Corrections that handles requests for information from victims pertaining to their offenders. OVS staff provides a broad range of information-related services such as: explanation of inmate release dates and release type; restitution information; service referrals; and victim rights services. OVS also manages the Kentucky VINE line which now encompasses three fully functioning victim notification services: Offender status, Court Hearings, and Protective Orders. OVS staff also travels the state to promote and train other criminal justice professionals and victim service agencies regarding the KY VINE Services and OVS functions.

Highlights

During 2012 OVS presented to the following groups: Jail Ministry Advisory Council (Northern Kentucky), Domestic Violence and Sexual Assault Symposium (Glasgow), KY River Elder Abuse Law Enforcement Training (Hazard), JailTracker Confer-

ence (Glasgow), Parents of Murdered Children (Grayson), Probation and Parole (Lexington and LaGrange), Focusing on Treatment and Care Conference (Somerset), Offender Information Training (Eddyville and Sandy Hook), Probation and Parole Supervisors Meeting (Rough River), Fiscal Manager's Meeting (Carroll), Contract Management Halfway House Directors Meeting (Rough River), I Lead (LaGrange), and the KY Jailer's Conference (Northern Kentucky).



Tammy Lou Wright
Victim Advocate

In addition to presenting, OVS exhibited the KY VINE Services at the following: Kentucky Association of Chiefs of Police (Bowling Green), Adanta Focusing on Treatment and Care Conference (Somerset), KASAP (Lexington), JailTracker conference (Glasgow), and the KY Jailer's Conference (Northern Kentucky).

Tammy Lou Wright and Alexis Williams-Hall attended the APPA Training held in LaGrange.

Program Administrator, Kristin Smith, attended the VINE user's



Alexis Williams
Victim Advocate

conference in Louisville, KY, completed the Commissioner's Executive Leadership class, and participated on the State Victim's Assistance Academy Steering Committee.

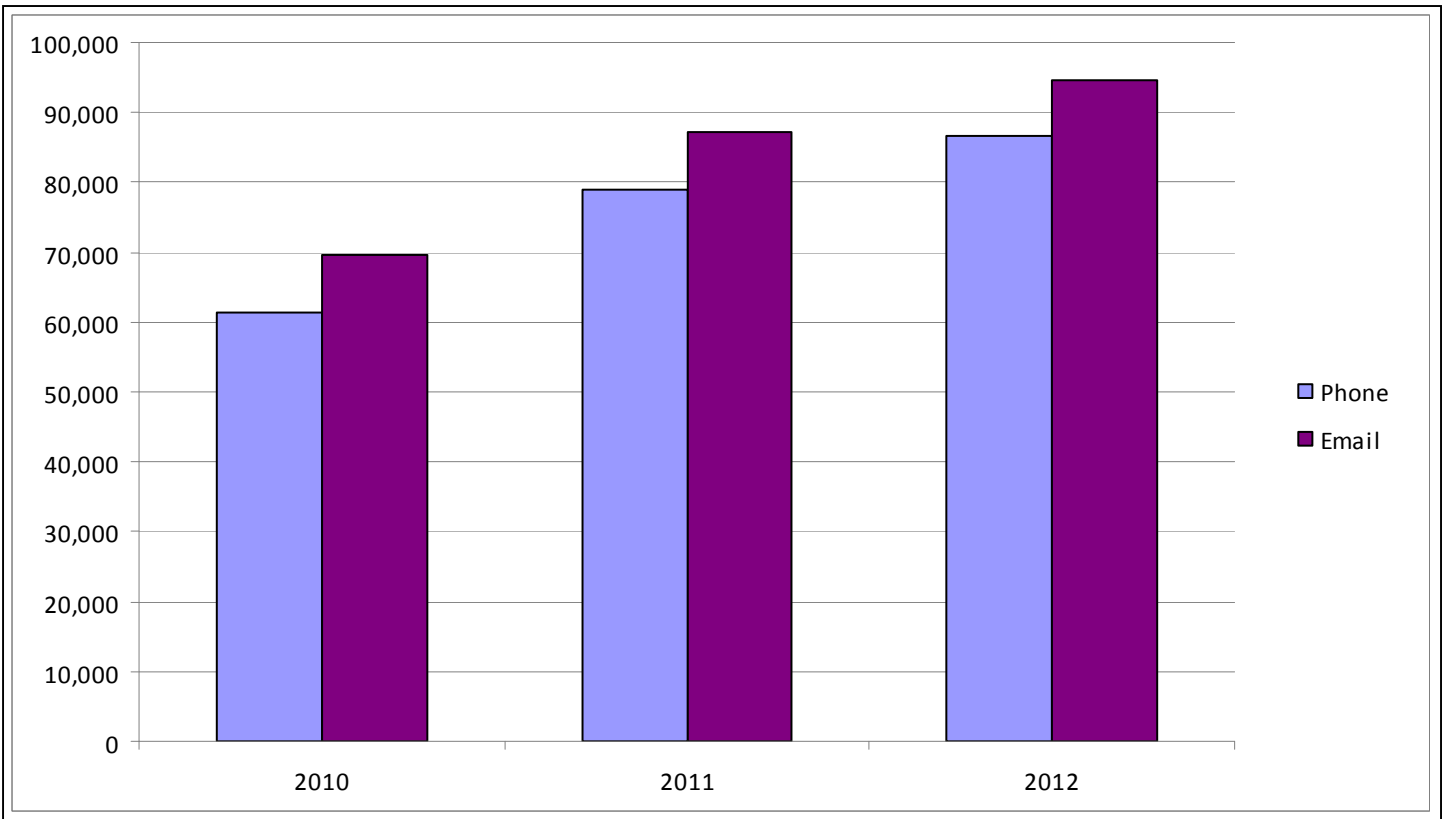
The KY VINE Service implemented a new feature during 2012, registration duplication. The new feature allows seamless registration between jail records and KDOC records which results in a comprehensive set of notifications to the registrant.

Since the inception of HB463, OVS assisted registrations has increased 474% during 2012.

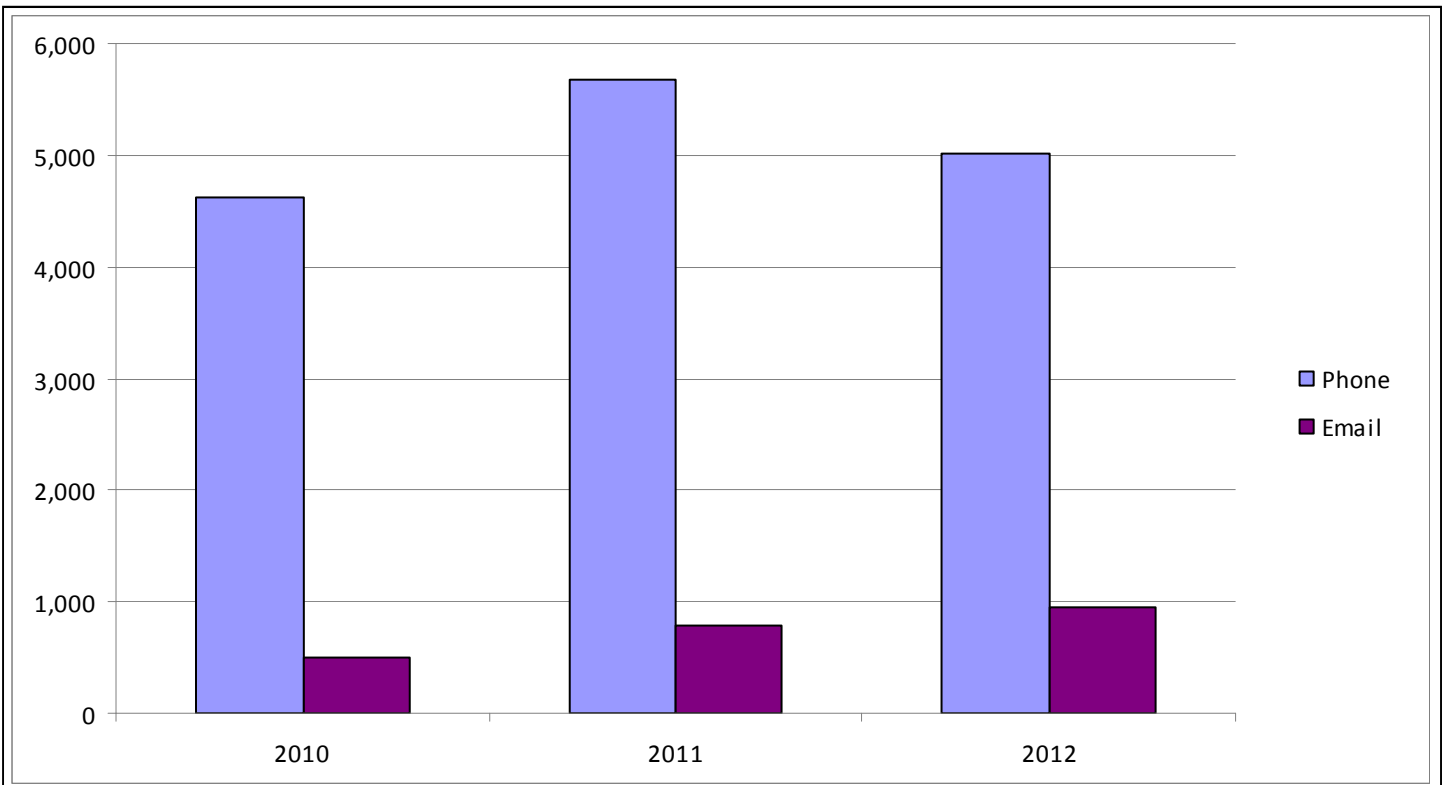
During the year of 2012, VINE saw a 9% increase in notifications for offender status and court hearings and acquired 60,874 new registrations. Total VINE searches for the year 2012 totaled to 1,483,562. The total number of notifications for 2012 for all three VINE services was 187,454. VINE Protective Order had an overall slight decrease in total number of notifications. The decrease stems from fewer phone notifications, however, email notifications rose 19%.

Office of Victim Services

Kentucky VINE Services Statistics



VINE Protective Order Notification Comparison



Office of Support Services

Deputy Commissioner Kimberly Potter-Blair

Executive Secretary

Jeri Zoochi Hines

Admin. Coordinator / IT & OIS

John Hall

Information and Technology

Info Systems Manager

Terry Terrell

Offender Information Services

Admin. Coordinator

John Hall

Re-Entry

Branch Manager

Brigid Adams

Administrative Services

Division Director

Steve Castle

Contract Management

Branch Manager

Eric Buckley

Parole and Victim Services

Internal Policy Analyst

Melissa Clark

Corrections Training

Division Director

Chris Kley Meyer

Probation & Parole

Division Director

Tim Carman



*Kimberly Potter-Blair
Deputy Commissioner
Office of Support Services*

and maintaining the systems and infrastructure that are driven by the business needs of the Department. This includes the management and oversight of network infrastructure, offender management systems, and custom applications/reporting.

The Branch includes two sections: Applications and Networking. The Applications department is tasked with supporting our Kentucky Offender Management System (KOMS), Corrections Website, and other custom applications. The Networking department is tasked with maintaining the Wide Area Network, PC/Server maintenance, and phone systems.

The Information and Technology Branch is in a constant state of change delivering solutions and services to our end users by utilizing available resources and taking advantage of shared resources from other state agencies while impacting the end-user as little as possible.

Highlights

In 2012, the Application section began the upgrades to the Justice Cabinet, Parole Board and KCI's websites. HB 463 was completed with the exception of Section 67, which has a completion date of July, 2013.

In 2012, the Network section took on the responsibility of support for the Justice Cabinet and four Medical Examiner's Offices. The implementation of the Voice Over IP (VOIP) phone system for Central Office was completed. The section was instrumental in setting up the various folders for Central Office and KCI's ACA Accreditation Audit set for June 2013.

The consolidation of the Network support function was instituted via Governor's executive order. All DOC Network staff was detailed to the Commonwealth Office of Technology (COT) effective November 16, 2012.

In 2012, the IT Section, working with the State Auditor's staff, saw the number of formal audit exceptions reduced by 50% for the first time in three years.



*Terry Terrell,
Information Systems Manager*

Information and Technology Branch

The Information and Technology branch is charged with supporting

Office of Support Services

In September 2012, the IT Section, working with COT and Northrup Grumman, began planning the complete hardware and software upgrade for KOMS. The upgrade was successfully completed during the weekend of December 8th and 9th. Due to extensive planning across the 3 agencies/vendors, the upgrade completed 6 hours earlier than had been estimated during testing.

Offender Information Services Branch

The Offender Information Services Branch is charged with the responsibility of calculating the sentences of the entire inmate population in accordance with all court orders, laws, policies and regulations pertaining to inmate sentence calculations as well as maintaining an inmate file on all offenders currently in the custody of the Department.

During 2012, a total of 16,820 inmates were released by shock probation, parole, mandatory reentry supervision or upon completion of their sentence and this office serves as the last line of defense in ensuring that each of those offenders was released on the exact day they were eligible for release. Additionally, Offender Information Services is responsible for fielding questions from the court system, law enforcement agencies and the general public; responding to correspondence from the inmate population; and processing open records requests for documents contained in inmate files.

This tremendous responsibility is shared among the 24 staff mem-

bers of the Branch which include an Administrative Coordinator, two administrators, four supervisors, thirteen Offender Information Specialists and four office support staff.

Offender Information Services is divided into four sections which are each responsible for various duties that contribute to ensuring that every inmate's sentence calculation is completed accurately and that all inmates are considered for parole at the appropriate time.

The first of the four sections is "Initial Calculations". These staff members are responsible for verifying the sentence calculations for offenders newly committed to the custody of the Department of Corrections. During 2012 the average number of sentence calculations processed by the initial calculation section was nearly 1000 per month.

After verifying the parole eligibility for all inmates considered for parole, the "Parole Board/File Management" section oversees the release on parole of approximately 400 inmates each month. In addition, staff from this section maintains the electronic inmate files for every offender who is currently incarcerated by scanning and uploading more than 2,500 documents each month.

The third section within the Branch is the "Jail Management" section. This section serves as the institutional records office for all state inmates housed in the county jails and community service centers. They perform all of



*John Hall, Admin. Coordinator
Information Technology and
Offender Information Services*

the routine records processes for each of the approximately 6,000 inmates housed in county jails and halfway houses. This includes calculating additional sentences, lodging and releasing detainees and approving releases by shock probation, to mandatory reentry supervision or upon completion of sentence.

The fourth section handles what is referred to as "Good Time", which equates to time an inmate can earn that is then deducted from his or her prison sentence. As a result of disciplinary action, previously awarded good time may be forfeited. Staff in this section process between 6,000 and 7,000 actions a month, including awards of meritorious good time, educational good time, program credits, good time loss, good time restoration, and work for time credit.

This section is also responsible for reviewing the sentence calculations and approving the release of every inmate who is released from an institution or who are controlled intake status housed in a county jail.

Office of Support Services

Branch Manager
Brigid Adams

Program Administrators
Cheryl Million
Mavis McCowan

Administrative Specialists III
Melissa Moore
Tanya Stevens



*Brigid Adams, Branch Manager
Re-Entry / HIP Branch*

Reentry Branch

The Reentry Branch strives to increase public safety and improve recidivism rates by enhancing resources available to offenders transitioning from incarceration to the community. This requires that all branches of the Department collaborate to build a seamless reentry process.

The Reentry Branch oversees the use of the Level of Service Case Management Inventory.

The Department currently uses a validated risk and needs assessment tool, the Level of Service / Case Management Inventory (LS/CMI), and a comprehensive case management plan to prepare for the release of offenders. Over 43,800 assessments have been completed since July 2010.

The Reentry Branch supports dedicated reentry coordinator positions in prison facilities and reentry parole officer staff in the Jefferson County supervision districts.

The Reentry Branch supports the network of reentry councils across the state and provides direction for the family engagement project slated to begin in 2013.

The Reentry Branch oversees the Home Incarceration Program (HIP). HIP enables Class C and D offenders with non-violent and non-sexual crimes the opportunity to serve the last nine months of their sentence on home incarceration.

The Home Incarceration Program provides the offender with the ability to secure a job, re-establish family ties, and participate in organized pro-social events like church or volunteering. The offender may be an active participant in the community while being monitored.

The HIP staff includes nine Classification and Treatment Officers, who are responsible to work with offenders in local jail facilities and halfway houses to determine eligibility for the program, and develop home placements.



*Cheryl Million, Reentry
Program Administrator*

In 2012, a total of 1,455 inmates transferred to the HIP program compared to 1,173 in 2011. Also, the number of successful HIP completions increased from 998 in 2011 to 1,124 in 2012. Of those transferred to home incarceration in 2012, 386 were still actively participating in the program on January 1, 2013. The Department continues to utilize Leimac Contracting LLS, as its electronic monitoring vendor.

The Reentry Branch, located in Central Office, includes Reentry Branch Manager Brigid Adams, Program Administrators Cheryl Million and Mavis McCowan, and Administrative Specialist III's Melissa Moore and Tanya Stevens.

Highlights

The Reentry Branch continues to oversee the Second Chance Act Demonstration Grant that increases supports for offenders returning to Jefferson County through the following initiatives: the validated risk and needs assessment tool (Level of Services / Case Management Inventory;

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Office of Support Services

dedicated reentry coordinator positions in six prison facilities; dedicated reentry parole officer positions in the Jefferson County supervision districts; funding to support implementation of evidence-based programs throughout the state; services in Jefferson County to support job readiness and employment placement; a Safe Location program to enable offenders in a troubling situation the opportunity to stay at a half-way house and receive counseling, meals and overnight accommodations; programs to engage the families of offenders through mentoring children of incarcerated parents and providing art therapy to offenders and their families; and support for the network of reentry councils across the state.

In April 2012, the Reentry Branch trained over 400 staff on the Department's new Case Management Plan. Training focused on effective case management planning, including developing goals, tasks and timelines based on identified criminogenic needs. Additionally, caseworkers and probation and parole staff were trained on how to navigate KOMS to create a case management plan.

The Reentry Branch brought individuals involved with the Home Incarceration Program together to include Probation and Parole Officers, Assistant Supervisors and Supervisors for an inaugural training in July 2012. This training was necessary due to the fact that the program has grown from just 64 offenders who were allowed to serve 60 days on the



Home Incarceration Program (left to right): Administrative Specialist III's Melissa Moore, and Tanya Stevens and Program Administrator Mavis McCowan

program in Fiscal Year 2006 to nearly 400 offenders allowed to serve 9 months on the program. The training focused on the revised Home Incarceration Program policy, the Leimac monitoring system, a review of violation and escape trends and how to appropriately respond to violations.

The Reentry Branch continues to release monthly newsletters including The Tool Kit, to provide information to staff about reentry initiatives throughout the state and Homeward Bound, to provide information to offenders in prisons, jails, halfway houses and the community.

The Reentry Branch continues to provide staff support to the Governor's Reentry Task Force. The Task Force was created by Executive Order in April 2009 to encourage state agencies and community partners to work together to address reentry barriers. In 2012, the Task Force continued to review information about the reentry challenges faced

throughout Kentucky. The Steering Committee revised legislative proposals to eliminate reentry barriers for consideration in the 2013 legislative session.

The DOC was selected as a Transition from Prison to the Community model site in 2009. This has enabled a significant amount of strategic planning to address reentry needs at all levels of the corrections system. The DOC completed a closeout plan in 2012 that provided a clear plan for new reentry initiatives in 2013.

The Reentry Branch holds monthly meetings with institutional reentry coordinators and reentry parole officers to share information on resources, develop strategies to assist high risk offenders and determine needs that may be addressed through collaboration with local reentry task forces.

The Reentry Branch has expanded its reach to additional stakeholders through the network of local reentry taskforces. Staff from institutions and probation and parole typically attend reentry taskforce meetings throughout the state.

The Reentry Branch developed the New Direction program to address the barriers offenders face upon release. The branch is also working with Probation and Parole to create an enhanced PORTAL program to include the bulk of the New Direction components to provide continuity from correctional facilities to parole.

Division of Administrative Services

Director
Steve Castle

Assistant Director
Hilarye Dailey

Fiscal Branch
Pat Sudduth



*Steve Castle, Director
Administrative Services Division*

Overview

The Division of Administrative Services is responsible for an array of resource and operation supportive functions including contract management, budget, accounting, procurement, property and insurance, financial analysis, and auditing.



*Pat Sudduth, Branch Manager
Fiscal Branch*

The Division's Fiscal Branch is responsible for budgeting, accounting, financial analysis, auditing, and procurement functions for the Department. For FY 2012, the procurement section managed and administered 58 contracts for the Department, including the Jail Substance Abuse Program contracts. The Department's \$481.9 million budget is managed through the Director's Office in conjunction with the Fiscal Branch. In addition, Fiscal Branch and Administrative Services staff process and oversee thousands of payments through the state's eMARS accounting system. Annual institutional cash and inmate account audits, as well as special financial investigations, are also handled through the Fiscal Branch.

The Department's property, assets and insurance are handled through the Property Section. Once again, property insurance policies were reviewed and updated to insure that all institutions were covered with replacement cost coverage.

Highlights

The Division of Administrative Services successfully implemented the FY12 Budget while actively insuring funds were available to sustain the operational requirements of the Department.

The Division fully executed the Department's FY12 \$481.9M budget while managing budget reductions. Administrative Services continued to provide resource support related to the



*Hilarye Dailey, Asst. Director
Administrative Services Division*

Northpoint Training Center disturbance in areas of insurance, property, claim filing and collection.

During FY12, two Division staff were instrumental in initiating the process and completing billing of eligible inmate medical claims to Medicaid. This initiative saved over \$3M which enabled the Department to reduce the request for Necessary Government Expense funding for the cost of housing inmates in county jails.

The Corrections Management appropriation absorbed a budget reduction while still funding all operational requirements. Inventory reviews were completed and property insurance was updated. On behalf of the Department, fiscal staff filed claims and collected \$6,000,636.58 in insurance proceeds from the NTC disturbance for FY12.

The Community Services and Local Facilities appropriation successfully funded all operational requirements for FY12. Staff insured the Department was able to make timely payments to

Division of Administrative Services

the county jails just as it has in the past several fiscal years. Jail Substance Abuse contracts were continued, enabling the Department to further advance substance abuse recovery initiatives and services to the inmate population.

Fiscal staff deposited drug testing receipts in the amount of \$1,047,048.61 and continued to work with the Division of Probation and Parole to improve internal controls to mitigate receipt of outdated money orders. Staff also renewed copier contracts, worked with Probation and Parole to standardize equipment and set up lease agreements in eMARS for numerous office expansions and relocations.

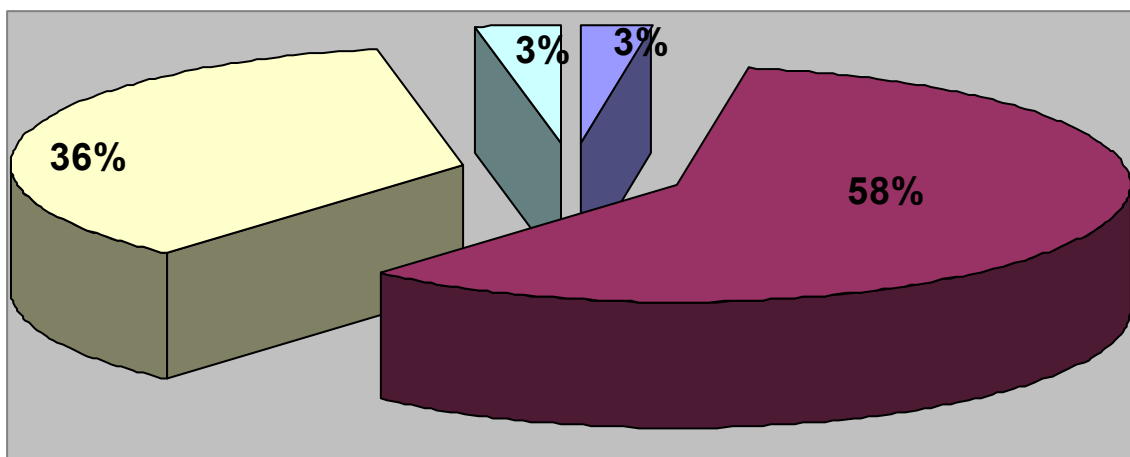
The Adult Institutions appropriation sustained operational mandates while funding numerous rate increases such as hazardous duty retirement contributions, employee insurance, worker's compensation, technology charges and rising utility costs.

The Division conducted two fiscal managers' meetings which included a tour of WKCC. The next fiscal managers meeting is tentatively scheduled in March of 2013 and it will take place at Northpoint Training Center.

The Adult Institutions appropriation sustained operational mandates while funding numerous

The Division conducted two fiscal managers' meetings which included a tour of WKCC. The next fiscal managers meeting is tentatively scheduled in March of 2013 and it will take place at Northpoint Training Center.

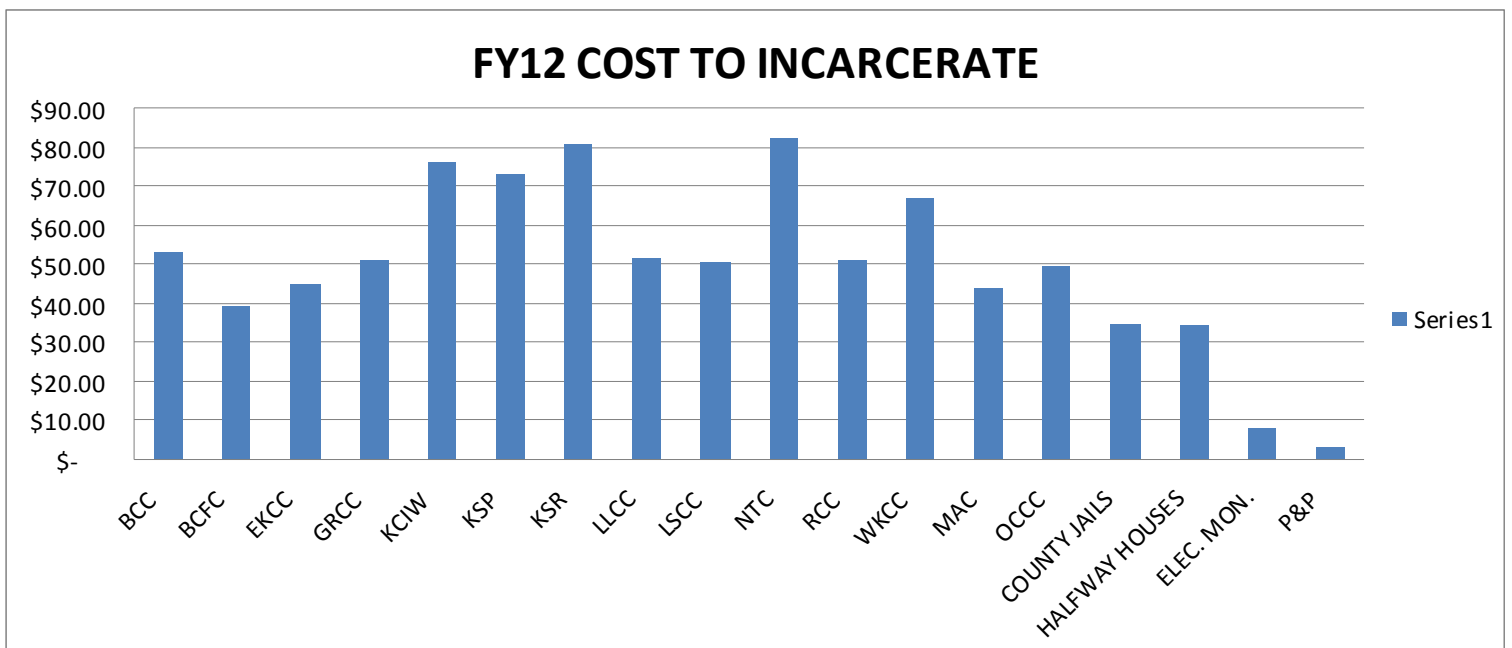
2012 Budget	CORRECTIONS	ADULT	COMMUNITY	LOCAL JAIL	
	MANAGEMENT	INSTITUTIONS	SERVICES	ALLOTMENT	TOTAL
Personnel	\$ 7,967,031.36	\$ 176,463,231.12	\$ 36,465,448.48	\$ 95,267.30	\$ 220,990,978.26
Operating	\$ 1,276,066.62	\$ 45,201,124.97	\$ 5,194,041.14	\$ 386,432.70	\$ 52,057,665.43
Care/Support		\$ 61,305,404.60	\$ 129,567,026.49	\$ 13,624,700.00	\$ 204,497,131.09
Debt Services	\$ 2,977,000.00				
Capital Outlay	\$ 43,539.94	\$ 1,088,185.66	\$ 274,799.52		\$ 1,406,525.12
TOTAL	\$ 12,263,637.92	\$ 284,057,946.35	\$ 171,501,315.63	\$ 14,106,400.00	\$ 478,952,299.90



■ CORRECTIONS MANAGEMENT	■ ADULT INSTITUTIONS	■ COMMUNITY SERVICES	■ LOCAL JAIL ALLOTMENT
------------------------------------------------------------	----------------------------------------------------------	----------------------------------------------------------	----------------------------------------------------------

Division of Administrative Services

Kentucky DOC Fiscal Year 2012 Cost to Incarcerate	*CTI per day	*CTI per annum	**Per Diem (male)	**Per Diem (female)
Adult Institutions				
BCC	\$ 52.94	\$ 19,377.29		
BCFC	\$ 39.55	\$ 14,475.09		
EKCC	\$ 44.96	\$ 16,455.42		
GRCC	\$ 51.32	\$ 18,784.09		
KCIW	\$ 76.07	\$ 27,839.84		
KSP	\$ 73.14	\$ 26,769.10		
KSR	\$ 81.02	\$ 29,652.58		
LLCC	\$ 51.52	\$ 18,857.34		
LSCC	\$ 50.53	\$ 18,494.72		
NTC	\$ 82.16	\$ 30,068.86		
RCC	\$ 51.31	\$ 18,779.25		
WKCC	\$ 67.15	\$ 24,577.19		
Private Prisons				
MAC Total	\$ 43.98	\$ 16,096.63		
MAC (Medium)	\$ 44.49	\$ 16,282.87	\$ 47.98	\$ -
MAC (Minimum)	\$ 43.77	\$ 16,018.11	\$ 37.99	\$ -
OCCC	\$ 49.63	\$ 18,162.80	\$ 44.26	\$ -
Other Averages				
Total State Facility Average Cost	\$ 60.14	\$ 22,010.90		
Private Prison Average	\$ 46.80	\$ 17,129.71		
Total Adult Institutions Average Cost	\$ 59.36	\$ 21,725.11		
Total State Inmate Average Cost	\$ 49.77	\$ 18,215.80		
COUNTY JAILS	\$ 34.79	\$ 12,733.95	\$ 31.34	\$ 31.34
County Jails with SAP (State Inmates)	\$ 43.79	\$ 16,027.14	\$ 40.34	\$ 40.34
HALFWAY HOUSES	\$ 34.46	\$ 12,613.48	\$ 31.61	\$ 32.64
***Halfway Houses - Probationer/Parolee Beds & Recovery Ky.	\$ 31.96	\$ 11,698.30	\$27.62/\$31.61	\$27.62/\$32.64
ELEC. MON.	\$ 8.19	\$ 2,996.37		
P&P	\$ 2.96	\$ 1,081.89		
State Institution Average Female	\$ 71.61	\$ 26,208.51		
State Institution Average Medium non medical (male)	\$ 55.30	\$ 20,239.95		
State Institution Average Medical (male and female)	\$ 70.01	\$ 25,623.24		
Average Medical Cost per Inmate, Adult Institutions	\$ 11.42	\$ 4,179.71		
Average Substance Abuse Cost per Inmate, Institutions & Jails	\$ 3.39	\$ 1,239.92		



Contract Management

Branch Manager Eric Buckley

Office of Grants
Melissa Harrod
Procurement Office
Julie Brewer



*Eric Buckley, Branch Manager
Contract Management*

Contract Management

The Contract Management Branch is responsible for overseeing the 25 community service centers that house state inmates, probationers, and parolees.

This oversight includes auditing and processing per diem payments for an Average Daily Population (ADP) of approximately 617 inmates and 640 probationers/parolees; auditing and processing of inmate state pay and drug testing.

The Branch audits and processes per diem payments for the 10 Recovery Kentucky centers that house up to 500 male/female parolees and probationers in need of substance abuse programming; audits and processes per diem payments for Marion Adjustment Center (MAC), which is owned and operated by Corrections Cor-

poration of America. MAC has a population of 794 minimum and medium custody male inmates.

Also under supervision of the Contract Management Branch is: The Office of Grants, The Procurement Office and Community Corrections Grants.

Office of Grants

The Office of Grants is responsible for the managing of the Department's Federal grants, including the application process.

The Grants Internal Policy Analyst, Melissa Harrod, is responsible for exploring grant opportunities with federal agencies, state agencies, and private foundations. Harrod is also responsible for complete grant management from development, application submission to ongoing financial and programmatic reporting. She reviews potential grants and coordinates grant plans with various outside agencies, including non-profit service organizations, research institutions, and other interested parties.



Melissa Harrod, Office of Grants

Procurement Office

The Procurement Office is responsible for processing Request for Proposals, Personal Service



Julie Brewer, Procurement Office

Contracts, Sole Service Contracts, and all purchasing procedures for the Department of Corrections.

Community Corrections Grants

Community Corrections Grants are responsible for the oversight of grants awarded by the Department that provide alternatives to offender supervision based in a community setting. This includes grantee budget and programmatic compliance.

The Division of Local Facilities had provided oversight of the Community Corrections grants since 2005; however, as the grant awards continued to increase and the programs became more complex, the need for more DOC involvement became apparent. At the end of FY 2012, the Contract Management Branch assumed full oversight of the Community Corrections grants program.

There were a total of 15 programs funded for the 2011 – 2012 grant period.

Note: Please refer to list of currently funded Community Corrections Programs on the following page.

Contract Management

Currently Funded Community Corrections Programs

3rd Judicial District

Counties: Christian
Grant Award: \$15,000
Programs Offered: Home Incarceration, Drug/Rehab Classes

9th Judicial Circuit

Counties: Hardin
Grant Award: \$82,500
Programs Offered: Mental Health Court

16th Judicial District

Counties: Kenton
Grant Award: \$185,552
Programs Offered: Electronic Monitoring

16th Judicial District – Transitions, Inc.

Counties: Kenton
Grant Award: \$33,878
Programs Offered: Employment readiness classes; Employment assistance

17th Judicial Circuit

Counties: Campbell
Grant Award: \$55,160
Programs Offered: Electronic Monitoring; GED/ Technical School; Drug/Alcohol testing & treatment; Life skills; Scheduling child support payments

18th Judicial Circuit

Counties: Harrison, Nicholas, Pendleton & Robertson
Grant Award: \$32,183
Programs Offered: Employment referral, Community Service Monitoring; Provide intensive supervision, home incarceration, monitor and coordinate payments, treatment referrals

21st Judicial Circuit

Counties: Bath, Menifee, Montgomery & Rowan
Grant Award: \$33,915
Programs Offered: Community Service, Shocked Straight, GED/Adult Learning, Counseling Referrals, Drug Treatment Referrals

24th Judicial District

Counties: Johnson, Lawrence & Martin
Grant Award: \$77,280
Programs Offered: Electronic Monitoring, Community Service; Drug Testing; GED

28th Judicial Circuit

Counties: Lincoln, Pulaski & Rockcastle
Grant Award: \$52,846
Programs Offered: Electronic Monitoring; Community Service; Restitution Monitoring; COPE

36th Judicial District

Counties: Knott & Magoffin
Grant Award: \$71,500
Programs Offered: Case Management; Drug Screening; Educational Groups; Substance Abuse Counseling; GED; Alcoholics Anonymous / Narcotics Anonymous

49th Judicial District

Counties: Allen & Simpson
Grant Award: \$42,688
Programs Offered: Electronic Monitoring for Drug Court Participants

51st Judicial Circuit

Counties: Henderson
Grant Award: \$21,400
Programs Offered: Electronic Monitoring; Restitution Monitoring; Drug Court; Drug Testing; Community Service

56th Judicial District

Counties: Caldwell, Livingston, Lyon & Trigg
Grant Award: \$45,000
Programs Offered: Drug Court Monitoring; Community Service Coordination; Electronic Monitoring

Chrysalis House

Counties: All 120 counties in Kentucky are now eligible for funding under this Community Corrections program
Grant Award: \$4,500
Programs Offered: Alcohol & Drug Screening

Northern Kentucky Mental Health Court

Counties: Boone, Campbell & Kenton
Grant Award: \$194,075
Programs Offered: Mental Health Court

Division of Parole and Victim Services

Division of Parole and Victim Services

Internal Policy Analyst IV
Melissa Clark

Administrative Specialist III
Gregg Stratton

Administrative Law Judges
Nancy Barber
Eric Bates
Kimberly Morris



Melissa Clark
Internal Policy Analyst

Overview

The Division of Parole and Victim Services is responsible for completing all administrative duties of the Parole Board as determined by policies, statutes and regulations. The division consists of four sections: Victim Services, Support Services, Risk Assessment, and Revocation.

Victim Services staff in this Division are supervised by the Department's Communications Director, Lisa Lamb. These staff members are responsible for victim and prosecutor notification regarding parole as required by statute. They coordinate victim impact hearings, notify victims per KRS 439.340, respond to concerns/questions from victims,

2012 Annual Report

process victim impact statements, and provide hearing outcomes to victims.

The Support Services section handles incoming calls and correspondence, performs clerical support during parole hearings, enters the Parole Board's decisions, provides administrative support to the Parole Board members and maintains the parole eligibility lists.

The Risk Assessment section is responsible for compiling Parole Guidelines Risk and Need Assessments for all hearings conducted by the Board with the exception of parole violation hearings. This is an objective tool used by the Parole Board when making release decisions.

The Revocation Section consists of an administrative staff as well as hearing officers known as Administrative Law Judges. The administrative staff issue and process parole revocation warrants, provide administrative support to the hearing officers, process preliminary hearing decisions, schedule hearings, and prepare parole violator packets for the Parole Board to use during the final parole revocation hearings. Administrative Law Judges conduct preliminary revocation hearings, which are proceedings to determine if there is probable cause to believe that a parolee has violated the terms and conditions of their parole supervision.

In 2012, the Division of Parole and Victims Services employed one Internal Policy Analyst IV, three full-time Administrative



Administrative Law Judges
Eric Bates (top),
Nancy Barber (middle), and
Kimberly Morris (bottom)

Law Judges, four Internal Policy Analyst III's, five Administrative Specialist III's, two Parole Board Specialist II's, five Parole Board Specialist I's, and one Procedures Development Coordinator.

Highlights

In 2012, this division handled an increase in the Parole Board's caseload, assisted with ACA accreditation preparations of the Parole Board, participated in the training of new Board Members and continued their efforts in implementing House Bill 463.

Division of Corrections Training

Director

Chris Kleymeyer

Regional Branch Managers

Central Region

Steve Faulkner

Eastern Region

Glen Hance

Western Region

Donnie Youngblood

Corrections Training Division

The Division of Corrections Training (DCT) is charged with planning, coordinating and conducting training for three diverse populations of correctional professionals within Kentucky.

The DCT develops the majority of the new employee and continuing education curriculum for all of Correctional Officers and staff, all Probation and Parole Officers and staff and annual training for elected Kentucky Jailers and their staff.

Training Methodology for Correctional Officers

Currently, a new corrections officer will complete the Corrections Officer Basic Course (COBC) by attending New Employee Orientation (NEO) training at the institution in which they were hired. The Institutional Training Coordinator (ITC) oversees the NEO instruction and the Phase I portion of the COBC. After completing the NEO and Phase I of

COBC at the hiring institution, the trainee will complete Phase II of the COBC at one of the three training centers. Upon completing Phase II of COBC, the new correctional officer returns to their hiring institution for the remainder of their eight month probation period. Upon return to their institution the newly trained correctional officer will receive an additional 40 hours of Officer Common Core and Officer In-Service training annually.

Training Methodology for Probation and Parole Officers

Currently, a new probation and parole officer completes the Probation and Parole Officer Basic Course (P&POBC) by attending New Employee Orientation (NEO) at the Probation and Parole Office in which they were hired. The Assistant District Supervisor oversees the NEO and Phase I of the P&POBC. After completing the NEO and Phase I of the P&POBC, the new officer completes Phase II of the P&POBC at the Central Region Training Center. Upon completing Phase II of the P&POBC, the new officer returns to their assigned probation and parole office for the remainder of their six month probation period. Upon return to the district, the newly trained probation and parole officer will receive an additional 40 hours of Probation and Parole In-Service training annually.

Elected County Jailers and their Deputies

Currently, Kentucky Revised Statutes require the Department



*Chris Kleymeyer, Director
Division of Corrections Training*

of Corrections to provide, at no cost to the jailers, a minimum of 40 hours of annual training for elected jailers and 16 hours of annual training for their staff.

The DCT develops an annual curriculum in cooperation with a curriculum committee appointed by the Kentucky Jailers' Association. The DCT provides the deputy jailer curriculum to county jails for delivery by members of the jailer's staff, who have been trained by the DCT, to act as adjunct instructors.

DCT staff from the three regional training centers also conduct numerous iterations of the Annual Deputy Jailer Training throughout the Commonwealth at locations requested by the Kentucky jailers.

The deputy jailer CBT curriculum is available for any jail staff that needs the training in addition to, or in lieu of, the classroom training. The elected/appointed jailers meet the majority of their

Division of Corrections Training

40 hour annual training requirement by attending one or both of the annual Kentucky Jailers' Association Conferences.

New Efficiencies

The Roy Tomlinson Firing Range was refurbished in 2012. The Range will now accommodate 30 shooters at one time which has both saved significant staff time and improved efficiency in firearms training.

The DCT coordinated an iteration of the Safariland Less Lethal Instructor Certification Course at no cost to the DOC. This course certified more than 50 DOC personnel as Less Lethal Instructors who can now train Departmental end users in the safe and effective use of chemical munitions. This course would normally cost \$800 per student. The Instructor Certifications resulted in a cost savings of more than \$40,000.

The CRTC Branch Manager, Steve Faulkner, acquired his TASER Master Instructor Certification at no cost to the Department and is now able to certify Departmental TASER instructors at no cost other than the necessary training supplies. The DCT was able to provide the TASER instructor course for 44 DOC staff members, the TASER end users course for 34 DOC staff members and re-certify 110 DOC personnel as TASER instructor's and end user's. This instruction could have cost as much as \$400 per student. This initiative resulted in a cost savings in excess of more than \$100,000.

Faulkner also acquired his PepperBall Master Trainer Certification at no cost to the Department. Subsequently, he was able to provide the course and certify 23 DOC personnel as instructors that would have cost \$200 per student. This initiative resulted in a cost savings of \$4600.

Corrections Emergency Response Team (CERT) Basic Training for Jails and Adult Institutions was completely revised in 2012. More than 100 students participated in the CERT Training at the Western Region Training Center in October. For the first time ever in the DOC all CERT participants earned certifications in the following areas: Oleoresin Capsicum (OC), PepperBall, TASER, Distraction Devices, Chemical Munitions, Less Lethal munitions, 40MM Grenadier, Riot Baton and CERT Member.

The DCT partnered with the Kentucky Association of County's (KACo) in order improve the weapons training of our CERT Members and the training for some of our DOC Officers involved in inmate transport operations. KACo allowed DOC staff to utilize three state-of-the-art automated scenario weapons training systems throughout 2012 at a number of different locations. These systems are valued in excess of \$300,000.00 and were utilized at no cost to the Department.

The DCT also partnered with the Office of Adult Institutions and provided office space within the Western region Training Center (WRTC) for the newly appointed

DOC Prison Rape Elimination Act (PREA) Coordinator. A DCT curriculum staff member was also assigned to assist the PREA Coordinator in the development of new curriculum concerning the PREA.

Green Initiatives

The Division of Corrections Training continued to increase its awareness of the importance of protecting, reusing and recycling resources. The Eastern Region Training Center recycled expended ammunition casings and spent lead projectiles and provided checks to the Kentucky State Treasury for \$4,472. The Western Region Training Center recycled its expended brass casings and provided a check to the State Treasury for more than \$2000.

Additionally, new energy efficient thermostats were installed in all of the DCT facilities in order to reduce utility usage within the DCT.

American Correctional Association (ACA)

The DCT converted all of its Standard ACA Files to an electronic format. An ACA Mock Audit of the DCT was conducted November 29 and 30, 2012. All ACA applicable standards were found to be in compliance which gave the Training Division 100% for the audit year. The Audit Chair commented that the electronic files made it easy for the audit team to complete them in record time and they made the process run very smoothly.

Division of Probation and Parole

Director

Tim Carman

Assistant Director

Michael Bolcas

Branch Managers

Central Region - Becky Carter

Eastern Region - Mark Davidson

Western Region - Renee Maness

Northern Region - Gary Barnes

Overview

The primary function of the Division of Probation and Parole is to protect the citizens of the Commonwealth through the supervision of offenders who have been placed on probation by the courts or released to parole supervision by the Parole Board. Officers serve each of Kentucky's 120 counties which are organized into four operating regions and 20 supervision districts.

The Division employs 759 staff, including 513 sworn officers, who supervise 43,081 offenders. The Division supervises 12,603 parolees (29%) released by the Parole Board and 30,478 (71%) offenders placed on supervision by the Court. With the assistance of 45 dedicated Pre-Sentence Investigators, the Division completed over 23,000 pre-sentence investigations in 2012. The Division also provides services to approximately 8,769 jail based inmates, including Class D programs and community custody

programs. Additionally, the Division provides supervision services to over 437 offenders in ten Recovery Kentucky treatment programs and 1,588 offenders in 23 halfway house facilities.

In addition to supervision, Probation and Parole Officers provide investigative services to the courts and Parole Board, rehabilitation services to offenders, and assistance in employment and home placement. Various duties include court appearances and testimony, reports to the releasing authorities, home visits, drug and alcohol testing, transportation of offenders, referral of offenders to appropriate resources, and monitoring payment of fees, restitution, and community service.

The Division is also responsible for the administration of the Interstate Compact which transfers cases between states and the placement office which assists incarcerated offenders with proper home placements. In 2012 the Interstate Compact processed 1,734 transfer requests from other states to Kentucky and 2,127 transfer requests from Kentucky to other states. Kentucky currently supervises 2,576 offenders for other states.

In accordance with KRS 17.170 and in conjunction with the Kentucky State Police, Probation and Parole is completing DNA testing of all convicted felony offenders. The DOC submitted 15,320 completed DNA kits to the Kentucky State Police database in 2012. The Division assists in both the distribution and collection of those samples. The Division also



*Tim Carman, Director
Division of Probation and Parole*

processes Civil Rights Restoration requests submitted by ex-offenders. In 2012, the Division received 1,454 applications and 1,153 applicants were granted restoration. The Placement Office processed 16,207 parole plan investigations in 2012.

With the number of offenders increasing, the Department continues its focus on re-entry efforts. Focused supervision has had a direct affect on caseload numbers, with a regular caseload average of 83.36 at the end of 2012, a four point decrease from the previous year. Over the past five years the caseload average has been reduced from 95 offenders to 1 officer, even with an increase of over 4,000 offenders. The regular caseload average



*Michael Bolcas, Asst. Director
Division of Probation and Parole*

Division of Probation and Parole



*Becky Carter, Branch Manager
Central Region*



*Mark Davidson, Branch Manager
Eastern Region*



*Renee Maness, Branch Manager
Western Region*



*Gary Barnes, Branch Manager
Northern Region*

does not include specialized caseloads such as sex offenders, absconders, low risk offenders, or offender in specialized halfway houses or treatment programs.

The Division has held a specific focus on the increasing number of sex offenders coming under supervision. Thirty-five officers statewide specialize in sex offender supervision. As of December 2012, the Division supervised 1,649 sex offenders, with a caseload average of 47.11.

To effectively manage time and resources, the Division has also implemented specialized caseloads for low risk offenders, allowing staff to focus on offenders at a higher risk to recidivate. As of the end of 2012, the Division supervised 4,134 administra-

tive offenders and 8,995 low risk offenders. In an effort to more adequately address offender needs, the Division also continues to seek other sentencing alternatives such as electronic monitoring, home incarceration and placements in residential treatment programs and drug courts.

The supervision of offenders provided by the Division saves the citizens of Kentucky \$57.18 per offender, per day. Offenders on supervision are required to obtain and maintain full-time employment as a condition of their release, thus adding intangible benefits to the economy of Kentucky. Offenders may also be required to pay towards the cost of supervision and make restitution to their victims. During 2012 offenders paid in excess of \$2.6

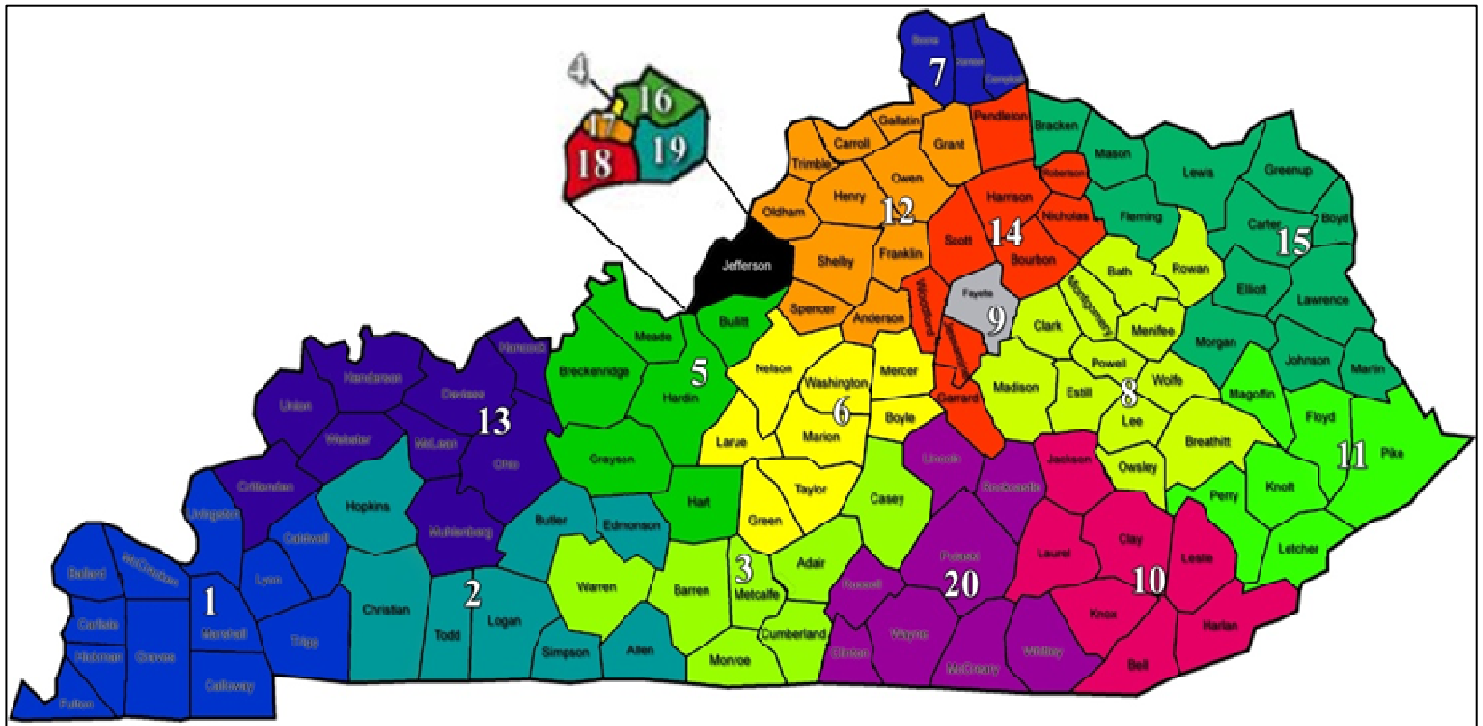
million in supervision fees. The Department also collected over \$800,000 in drug testing fees to deflect the costs of drug testing. Probationers and paroles also paid over \$6.3 million in restitution in addition to completing over 34,074 hours of community service work.

The Division is working toward the Department's goal of increasing the successful re-entry of offenders. The Re-Entry Branch has established four Re-Entry Coordinator positions in Jefferson County to work with high risk offenders upon release. They also works with ten community Re-Entry Councils statewide: Louisville, Lexington, London, Elizabethtown, Bowling Green, Morehead, Pikeville, Paducah, Owensboro, and Northern Kentucky.

Statewide, supervision districts conduct a parolee PORTAL to Success re-entry program (Parolee Orientation, Rehabilitation, Training, Assimilation Lesson Plan to Success). PORTAL includes instruction in the areas of parenting, financial issues, health and wellness, relationship issues, housing issues, employment/career workshops, as well as stress and anger management. To date, 1037 parolees have graduated from the program and 187 parolees are currently enrolled in over 21 PORTAL programs across the state.

In 2011, Kentucky began five pilot SMART programs, a court intervention for immediate and graduated responses to probation violations based on the Hawaii Hope model. These pilot pro-

Division of Probation and Parole Population Data



Division of Probation and Parole Supervision Regions and Supervision Districts

grams are located in Pike, Lincoln/Pulaski/Rockcastle, Shelby/Anderson/Spencer, Allen/Simpson, Green/Taylor/Marion/Washington, & Jefferson counties. To date there are 182 total participants.

The Division of Probation and Parole continues to implement evidence based programs for the field of community corrections. In September 2012, the Division began a pilot program for fathers called 24/7 Dads. This program is currently being piloted in a district in the Central Kentucky area. In 2012, Probation & Parole completed a training program for Moral Reconciliation Therapy, a cognitive based program that examines the psychological component of offender's behavior. This program will begin in January 2013 in Northern Kentucky. In December 2012, officers in Louisville instituted a program with

offenders called Thinking for a Change, a cognitive based program that restructures an offender's way of thinking. In 2012, Probation & Parole officers were also trained in Relapse Prevention Therapy, a cognitive based substance abuse program that teaches offenders how to anticipate and cope with the potential for relapse. Also new to the Division is a program called Effective Practices in Community Supervision, a technique oriented method for improving officer and offender rapport.

Probation & Parole Districts are involved with a number of community stakeholders statewide to provide services to offenders. In partnership with Jefferson County District Court, 295 offenders are supervised under a Misdemeanor Intensive Supervision Program. The Newburg and Shawnee Justice Reinvestment

Project in Louisville is a data driven strategy to increase public safety and reduce crime by engaging local agencies and neighborhoods in the successful reintegration of offenders returning home following release from incarceration. Weed and Seed, a community-based strategy sponsored by the U.S. Department of Justice, is a comprehensive multi-agency approach to law enforcement, crime prevention, and community revitalization. The Goodwill Industries Reentry by Design program seeks to assist parolees with obtaining and maintaining employment.

Additional community partnerships throughout the Division's 20 Districts include: Big Sandy Area Community Action Program for homelessness prevention, Recovery Kentucky Centers, Dismas Charities, The Healing Place, The Hope Center, The

Division of Probation and Parole

Louisville Change Center, and the Salvation Army. In Louisville, Probation & Parole staff members are also involved with the Louisville Metro Police Department's Violent Crimes Task Force, and Metro Crime Commission meetings. In the Lexington area, officers work with Bluegrass Families of the Incarcerated, Project Safe Neighborhood, and the Bluegrass Domestic Violence Prevention Board. In Eastern Kentucky, Bell County Probation and Parole works with the Lighthouse Mission Career Training Center, a program offering vocational classes to at-risk youth and adults. In the London area, sex offender officers work with the Cybercrime Task Force, a collaborative committee comprised of the Office of the Commonwealth's Attorney, the FBI, the US Attorney's Office, Kentucky State Police, and the local Sheriff's Department to examine internet crimes.

Additionally, Murray State University Psychological Center partners with Probation & Parole to provide group and individual counseling to offenders.

The Division of Probation & Parole partners with U.S. Marshals to form Fugitive Task Forces in the Louisville, Lexington, & Newport areas. Probation & Parole is engaged in research projects with the University of Kentucky and the University of Louisville. Additionally, the Division utilizes interns and volunteers to assist in community programs. Probation & Parole officers participate in offender education programs, various school pro-

grams for career days and educational programs, employer trainings, and job fairs.

The Public Safety and Accountability Act, HB 463, authorized the release of offenders under post-incarceration supervision and mandatory re-entry supervision. Throughout 2012, the Division worked to implement legislative mandates, including the release of 3,706 offenders to mandatory re-entry supervision, with an additional 9 offenders released on post-incarceration supervision and 6,678 offenders released on parole. The Division currently supervises 1,406 offenders on mandatory re-entry supervision, 466 offenders on sex offender post-incarceration supervision, and 5 offenders under post-incarceration supervision.

The legislation also structured graduated sanctions for probation violators and early termination from supervision for compliant probationers. In 2012, 401 probationers were granted early termination by the court, bringing the total number of offenders released on early termination under the new legislation to 573.

Highlights

One of the main focuses for the Division in 2012 was the implementation of the Department's offender case management plan. Probation & Parole has completed 8,500 integrated case management plans on probation & parole offenders. To date, the Department has completed over 43,800 LS/CMI risk/needs assessments. The Division is now



PORTAL to Success class.

completing a risk needs assessment at the time of sentencing. At the close of 2012, the Division has completed over 2,200 assessments for the court.

The Division has expanded to meet the demand of new legislation and a larger supervised population, adding an additional 118 positions since July 2011. Throughout 2012, 128 new staff members attended Probation & Parole Basic Academy.

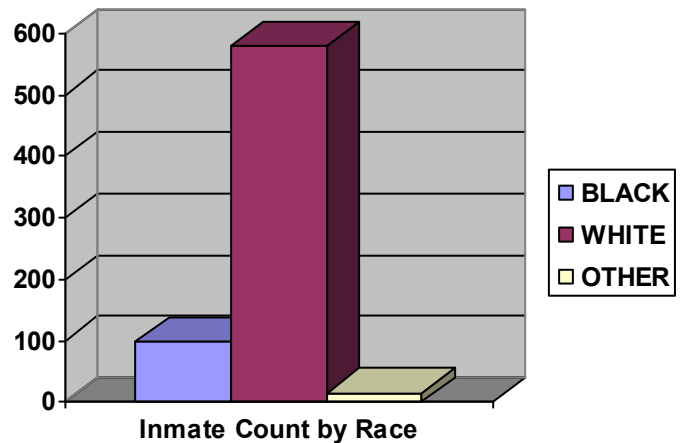
The Division underwent their second ACA re-accreditation audit in October 2012 and will receive their accreditation certificate in January 2013, with a 99% compliance score.

In addition to their service to the Commonwealth, many employees within the Division of Probation and Parole lend their talents to volunteer organizations in their respective communities. Probation and Parole employees are active supporters of Kentucky Special Olympics, Susan G. Komen Race for a Cure, American Cancer Society Relay for Life, Kentucky Employee Charitable Campaign, Project Warm, Shop with a Cop, the Children's Advocacy Center, and the Crusade for Children.

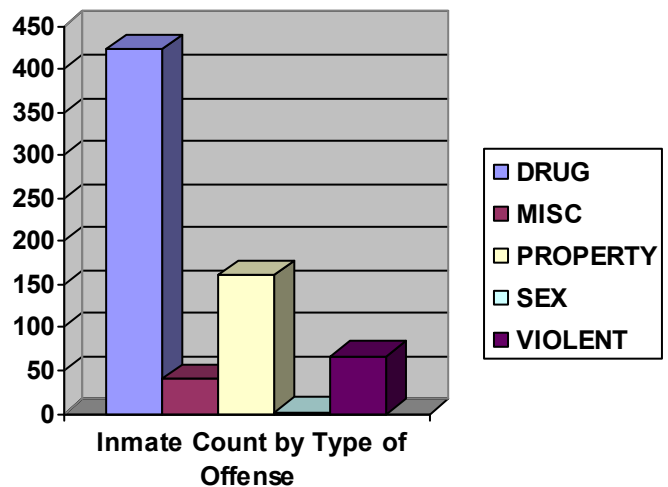
Division of Probation and Parole Population Data

2011 Demographic Data - Halfway Houses

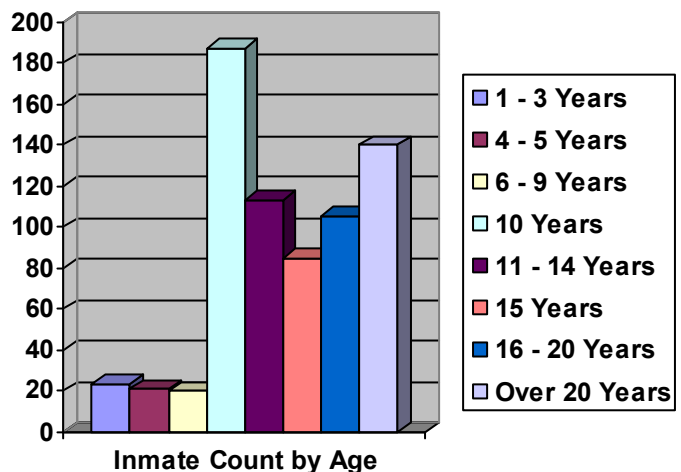
RACE	COUNT	PERCENTAGE
BLACK	99	14.27%
WHITE	579	83.43%
OTHER	16	2.31%
TOTAL	694	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	424	61.10%
MISC	40	5.76%
PROPERTY	161	23.20%
SEX	2	0.29%
VIOLENT	67	9.65%
TOTAL	694	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	23	3.31%
4 - 5 Years	21	3.03%
6 - 9 Years	20	2.88%
10 Years	187	26.95%
11 - 14 Years	113	16.28%
15 Years	85	12.25%
16 - 20 Years	105	15.13%
Over 20 Years	140	20.17%
Total	694	100.00%



Office of Adult Institutions

Deputy Commissioner James Erwin

Executive Secretary
Valerie Moore

PREA Coordinator
Bryan Henson

Program Administrator
Jeff Hulker

Operations Branches

Capital Construction
Gunvant Shah

Education Branch
Martha Slemp

Food Services
Susan Williams

Programs and Security
Aaron Smith
Policy Analyst II
Debbie Kays
Program Administrator
Brad Adams

Professional Standards
Jon Collett
Harley Allen

Policies and Procedures
Meredith Sanford

Kentucky Correctional Industries
C.A. Wilkerson

Health Services Division
Dr. Doug Crall
Cookie Crews

Substance Abuse Program
Division
Kevin Pangburn

Office of Research
Ruth Edwards



*James Erwin
Deputy Commissioner
Office of Adult Institutions*

Operations / Program Services

The Division of Operations/Program Services is responsible for providing operational and programming support to the state's 12 adult correctional facilities.

Additionally, the division is responsible for capital construction, inmate education and vocational programs and inmate food services.



*Bryan Henson,
Statewide PREA Coordinator*

Statewide PREA Coordinator

On November 4, 2012, Bryan Henson was appointed to fill the newly created position of statewide PREA Coordinator. PREA, the Prison Rape Elimination Act, was signed into law on September 4, 2003 to address the detection, prevention, reduction and prosecution of sexual harassment and abuse in all correctional facilities in the country.

This new position was created to develop, implement, and oversee all agency efforts to comply with the National PREA standards as set forth by the United States Department of Justice. The goal through this compliance is to prevent, detect, report, and investigate in order to mandate a zero tolerance toward all forms of sexual abuse and sexual harassment of offenders within the Department's facilities.

Capital Construction

The Capital Construction Management Branch (CCMB) manages construction and maintenance activity and oversees funds allotted for capital construction and equipment purchases appropriated by the General Assembly for the Department of Corrections' 12 penal institutions.

The staff consists of seven employees, including a registered engineer, a registered architect, three project managers, an internal policy analyst and an administrative assistant. Each member of this staff plays a vital role in each project all the way from in-

Operations and Program Services



*Guntant Shah
Capital Construction Branch*

ception through completion. CCMB also establish and coordinate seminars for Arc Flash training; hazardous waste training; building code compliance; heating-ventilation, and air conditioning regulation training for all DOC maintenance staff.

The CCMB began FY12 with 98 projects with allotments totaling \$139,668,134 and ended FY12 with 106 projects with allotments totaling \$140,168,637. These totals also represent projects carried forward from previous biennium that are currently still active.

The FY 10-12 appropriations totaled \$5,500,000 in funds appropriated by the General Assembly. In FY12, the CCMB opened 17 new projects and closed 10. Two energy savings projects were completed in FY11 at KSP and WKCC.

CCMB is currently managing energy projects for seven DOC facilities that will result in energy savings of \$1,997,018 per year.

In addition to maintenance activities, this branch is responsible for

designing small construction projects and provides assistance on OSHA & environmental matters; operational guidance on water and sewage plants; manage removal of underground storage tanks; assist on lead and asbestos matters; and troubleshoots engineering and maintenance problems.

CCMB also prepares, coordinates and submits the six-year capital plan and the biennial capital budget request for the Department. These requests are facilitated through effective communication and coordinated efforts with the Finance and Administration Cabinet, Facilities Management and the Governor's Office for Policy and Management.

The primary goals of CCMB are cost cutting, increased work efficiency, total transparency and work ethics. Using this method has saved an estimated \$201,911.00 by (1) training staff, (2) in-house projects design, (3) using inmate labor and (4) project management control.

The Department has been able to effectively stretch the Miscellaneous Maintenance budget, thus enabling the completion of many needed projects that would not have been possible utilizing conventional contract methods.

Educational and Vocational Programs

The Corrections Education Branch complies with various state and federal education requirements and partners with sev-



Martha Slem, Educational and Vocational Programs Branch

eral outside agencies to bring Kentucky's offenders diverse programming.

Two divisions of programming exist within the corrections education branch. One is adult education, which is divided into three levels: literacy; adult basic education; and adult secondary education or GED. Adult education programs total 30 throughout the state adult correctional facilities.

The second area of programming includes vocational/technical education. Specific program/trade areas that are encompassed within the corrections education branch include the following: automotive body/collision repair; automotive technology; cabling (copper-based & fiber optic); carpentry/cabinet-making; core/career readiness; construction management; electrical technology; horticulture; heating & air conditioning (HVAC); masonry; MOS (Microsoft Office Specialist); small engine/marine repair; and welding. These 14 trade areas equate to 47 trade offerings throughout the 12 state-owned/state operated adult correctional

Operations and Program Services

facilities. Sixteen of the 47 trade programs are offered during the evening hours.

Since the passage of HB 164 (now KRS 196.167), which transitioned corrections education from KCTCS to DOC, the Branch has maintained all teaching positions, added two adult basic education instructors and added six learning center aides. In addition, student achievements have soared.

According to the June 2012 monthly reports, approximately 25% of the offender population is involved in educational programs with 511 offenders earning their GED certificates.

The National Center for Construction Education and Research (NCCER) curricula is used in the carpentry/cabinet-making, electricity, masonry, HVAC, and welding programs. In FY 2011/2012, offenders completed 4,903 NCCER modules. The National Occupational Certification Training Institute (NOCTI) provides the exit examination for non-construction trades including auto body/collision repair, automotive technology, horticulture, and small engine/marine repair. At the close of FY 2011/2012, the branch had 47 students pass the exit examination with most exceeding the national average in their trade. The vocational/technical trade certifications increased 222% in FY 12.

The federal and state departments of education strive for students to be college and career ready upon completion of high school; and in

the world of Corrections, re-entry into society. The National Career Readiness Certificate (NCRC) is an industry-recognized, portable, evidence-based credential that certifies essential skills needed for workplace success.

The NCRC is aligned with ACT® and is emphasized as a career readiness tool that students participating in corrections education programs are required to pass. During the FY 2011/2012 school year, DOC education centers awarded 564 NCRC certifications; a 32% increase from the previous fiscal year.

Along with state agencies, the Department partners with Kentucky Adult Education, KCTCS, Eastern Kentucky University, Morehead State University and the Kentucky Department of Education.

The Microsoft Office Specialist (MOS) and Cabling/Networking certification programs are the result of a partnership the Department implemented with Eastern Kentucky University, Training Resource Center. There are a total of ten Microsoft Office programs and nine cabling/networking programs.

At present, the MOS program includes IC³, Microsoft Office Word, Excel, and PowerPoint. Components of the cabling/networking program include introduction to telecommunications, fiber-optic cabling, copper-based cabling and connections to business. At year's end, June 30, 2012, a total of 457 DOC/EKU certifications were awarded.

In addition to the diverse educational opportunities offered to the offender population, the Corrections Education Branch reaches out to involve the world of post-secondary education (PSE).

Most correctional facilities provide PSE courses; however, the physical location of a few institutions prohibits face-to-face delivery methods. In lieu of the face-to-face PSE courses, the Department does support offenders taking correspondence courses, following CPP 20.1. During FY12, there were a total of 416 PSE enrollments.

The Education & Vocational Training Branch is closer to achieving Corrections Education Association (CEA) accreditation. The CEA has a reciprocal agreement with the American Correctional Association that each entity will honor the other's program accreditation.

The CEA has a total of 67 education standards, 23 of which are mandatory; compared to the ACA, which has 16 standards.

Food Services

The goal of the Food Service Branch is to provide each inmate a wholesome and nutritious diet within a set budgetary allowance. Meals are prepared and served under strict sanitary conditions according to regulations set by the Kentucky Department for Health Services as well as the American Corrections Association food service and sanitation standards.

Operations and Program Services



Susan Williams
Food Service Branch

The Department maintains its partnership with Aramark Correctional Services (ACS) who operates the 12 state-run institutional food service areas. ACS provides expertise in food service operations as well as volume buying power which combine to create efficient and quality food service operations. The DOC oversees the operations to ensure all regulations are met and policies are followed.

Each institution follows a “Master Menu” planned by ACS dietitians in accordance with recommended daily allowances as recognized by the National Academy of Science and approved by the Department dietitian. Annual resident inmate surveys are conducted and the results of these surveys, along with input from institutional personnel, are taken into consideration when revising the Master Menu and improving daily food service operations.

Menu items are prepared for the main line with limited or no salt, limited seasonings, reduced frying with vegetable oils, and restricted fat or meat based season-

ings. It is the goal of the Department to improve diet through healthy cooking on the main line. The long-term benefit being reduced medical costs for the inmate population.

Food Service also feeds one meal per shift to staff while on duty. The Department feels while this benefits staff morale; it also provides additional security in the dining rooms during the meal period.

The Food Services Branch continues to monitor all facilities closely by conducting inspections and monitoring the food service contract. The closer contract monitoring has made the vendor more responsive to the Department and continues to result in improved food service operations.

The Food Services Branch continues its partnership with the Capital Construction Branch to improve food service equipment efficiency and maintenance with reviews of equipment issues before decisions are made to repair or replace. This partnership has been able to maintain repair costs as well as assuring energy and cost effective equipment replacement.

The inmate food service training program, I2W, conducted by Aramark continues to grow and be successful.

During 2012, the Department in conjunction with Aramark studied expansion of this program. A second phase will consist of a

leadership program and aid others in preparing for skills tests and other hands-on skills learning.

Throughout the course, inmates learn not only practical knowledge and its application but are taught interview skills, how to present themselves professionally and how to maintain a job.

This increased participation benefits both the inmates and the institutional food service operations by developing better trained inmate workers and improving the attitude of these workers in their assigned job roles.

Programs and Security Branch



Aaron Smith,
Programs and Security Branch

The Program and Security Branch was developed in the Fall of 2012 and consists of a Branch Manager, four Program Administrators and an Internal Policy Analyst III.

The Branch oversees evidence-based inmate programming. These programs are operated by both Department of Corrections (DOC) staff and contract facilitators. The oversight includes providing and reviewing quality as-

Operations and Program Services

insurance measures, ensuring contract compliance and coordinating facilitator training programs.

Included in the scope of responsibility are the following staple programs: Moral Recognition Therapy (MRT), Thinking for a Change, and Pathfinders which are cognitive, behavioral oriented programs, along with Inside Out Dads, a parenting program for male offenders.

A female parenting program has been put in place at KCIW. This program shows promise and is currently being studied for designation as an evidence-based program.

MRT, Thinking for a Change and Inside Out Dads (24/7 Dads) are in the process of being developed for use in the continuum of care from the institutions to the community to include Probation and Parole. These efforts are also being monitored by this Branch.

The Programs and Security Branch provides oversight of religious programming throughout the state's adult institutions by monitoring compliance with DOC policies and the Departmental Religious Manual.

The branch also provides oversight relating to the day to day security operations and extraordinary occurrences. The Branch Manager provides direct supervision of the DOC private prison contracts and is responsible for the continual update of departmental critical incident manuals.

The statewide Hostage Negotiation teams and the Professional Standards Unit (PSU) fall under the guidance of this Branch. PSU provides departmental investigative services while offering expertise and assistance to DOC staff in the areas of telephone contracts and services, urinalysis testing, background checks and statistical analysis relating to institutional extraordinary occurrences.

The PSU also acts as a liaison with statewide law enforcement agencies and also performs internal security audits of Kentucky Correctional Industries operations within the state adult institutions.

Policies and Procedures

The Procedures Development Coordinator is responsible for the development, revisions and procedural review of all DOC Policies and Procedures (CPP's).

A review of all Institutional Policies and Procedures (IPP's) is conducted annually and feedback is given as needed. This office also provides procedural process to Legal Services and the Legislative Research Commission.

In 2012, approximately 10 new CPP's were created and 60 CPP's were revised to meet the necessary ACA guidelines for an upcoming ACA audit of Central Office and Kentucky Correctional Industries in 2013. There were also 50 Correctional Industries policies that were either created or revised to meet the requirements for this audit. There



Meredith Sanford
Policies and Procedures

were also approximately 20 other policies that were revised for routine changes in 2012.

There were also approximately 20 CPP's (LRC and Non LRC) that were approved, effective and placed on the DOC Website in 2012. (These included CPP's 5.3, 15.4, 25.12, 29.1, 29.2 and 29.3 that were required for HB 463).

Bell County Forestry Camp, Luther Luckett Correctional Complex, Western Kentucky Correctional Complex, Little Sandy Correctional Complex and Roederer Correctional Complex all had their IPP's processed and successfully approved through the Legislative Research Commission in 2012.

Regulations that were effective in 2012 include:

- 501 KAR 6:020-Corrections Policies and Procedures;
- 501 KAR 6:240-Home Incarceration using an Approved Monitoring Device;
- 501 KAR 6:280-Risk and Needs Assessment; and
- 501 KAR 6:999-Corrections Secured Policies and Procedures.

Kentucky Correctional Industries

Director

Vacant

Assistant Director

Charles Wilkerson

Fiscal Branch

Roger Korby

Shipping / Warehouse

Neil Hille

Sales / Marketing Branch

Vacant

Farms Branch

Truman Tipton

Print Operations

Cheri Kraus



*Charles Wilkerson, Asst. Director
Kentucky Correctional Industries*

Overview

Kentucky Correctional Industries (KCI) comprises 23 industries and four farms operating at 11 locations within Kentucky's prison system. It has been producing quality goods and services for government agencies, private

businesses and the citizens of the Commonwealth for over 50 years. KCI Currently employs approximately 770 inmate workers within those industries and 30 to 100 inmate workers on the farms as seasonal needs dictate.

Our products and services are designed and manufactured by skilled inmate craftsmen. Motivated men and women are voluntarily employed in jobs that develop marketable skills, responsibility and a positive work ethic. The job opportunities provided through KCI are highly sought after by our inmate population due to their relatively high pay and learning opportunities.

KCI strives to provide a work environment that as closely as possible matches what would be available on the "outside". There is an interview process, pay increases based upon performance evaluations, a time clock to punch in and out and opportunities to work in a team environment. As a result, the KCI products and services offered are of high quality and competitively priced. Consequently, our prisons are more effectively managed, society is delivered significantly less repeat offenders, and the tax dollars needed from the citizens of the Commonwealth are greatly reduced.

Highlights

Cheri Kraus was named the new Branch Manager of print operations for KCI. This position manages all print, graphic design and mail services including braille transcription and has been



Cheri Kraus, Print Operations

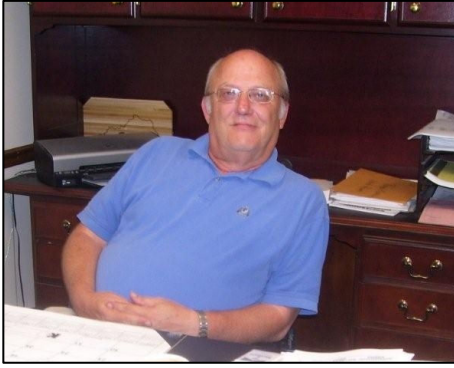
invaluable in our efforts to obtain ACA accreditation.

Our efforts to obtain ACA accreditation brought about the need for many changes at KCI Central Office. To gain ACA compliance, there was also the need to establish several physical controls on such items as flammables and toxics, tools, propane and waste oil storage, safety barriers in workshop/garages and improved key control. Fire and electrical inspections by authorized state agencies were also performed as required.

KCI implemented a new accounting software program, Microsoft Dynamics GP. The new program is a complete software package that covers Financial, Purchasing, Order Entry, Sales, Accounts Receivable and Payables, and Costing. This program will provide more timely and accurate information that can be used in the day to day operation of KCI. It will allow KCI to analyze each operation and make decisions that will improve the operations and overall profitability.

LLCC terminated our service level agreement with Xerox that

Kentucky Correctional Industries



Roger Korby, Fiscal Branch

included staffing and the IGEN digital press. This reduced our payments to \$17K monthly from \$78K monthly. The new service level agreement with Xerox includes two smaller more efficient color digital presses at a reduced monthly.

Initiated review and long term replacement of warehouse lighting to modernize and reduce operating costs.

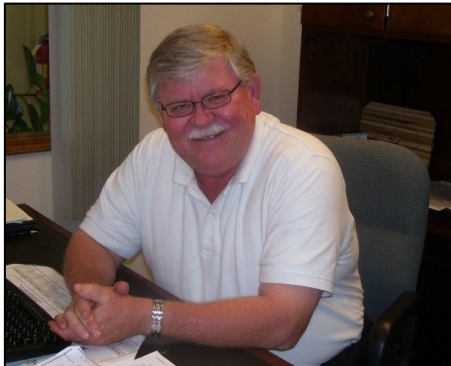
Following the Braille Forum in the fall, KCI established contacts with other braille operations in surrounding states. These contacts have since reached out to KCI to assist with transcribing their state's text books into braille.

BCC finished FY 2012 with a 9.55% operating profit. The entire seating operation including tooling was moved to EKCC leaving only mattress production.

EKCC underwent physical plant changes to expand the coupon processing area. This larger more open area allows for increased security as it is less difficult to manage visually and provides space when additional coupon processing is available. The metal

fabrication operation was consolidated with KSR and most equipment has been moved. Two used 200-ton stamping presses were purchased from the Indiana Department of Corrections.

In an attempt to better manage our resources and manage funds, KCI began shipping the two highest volume industries, Soaps and Garments, with 3rd party carriers. This eliminated the added expense and time to cross dock in Frankfort. This initiated product price increases that includes, in addition to updated material pricing, charges for shipping to customers.



Neil Hille, Shipping / Warehouse

The NTC farm operation sold \$47,309.67 worth of cattle and harvested 18,440 pounds of garden produce. In addition to the cattle and garden operations, they had a successful hay and prawn harvest. Newly acquired equipment included a cattle trailer, gator and disc mower.

The Farm Operation at the Roederer Correctional Complex collected \$325,285.70 from cattle sales. The following items were purchased: two disc mowers, hay rake, tedder, 15' bush hog and a new 3500 Dodge Ram truck.

The RCC Horse Program was implemented in February 2012. The first class completed the program in July with four graduates receiving 90 days meritorious good time awards. The Farm Operation utilizes 25-30 inmates in daily operations. This program also accepts horses that need training from individuals and last year collected approximately \$3000.00 for these services. We have also provided training for the Oldham County Animal Control Agency regarding loading/trailing horses.

Blackburn Correctional Complex sold \$42,000.00 worth of cattle, and 4,610 pounds of produce to Aramark and donated another 5500 pounds to God's Pantry, Hope Center, and the Homeless Shelter. They acquired a used square baler and a new Gator and hay rake.

WKCC sold \$361,270.00 worth of cattle, \$238,167.00 of grain and sold an additional \$113,494.00 worth of grain held over from the 2011 crop. WKCC acquired a used mixer grinder, a new post driver, 7 foot bush hog. We dug one new pond and reworked nine others.



Truman Tipton, Farms Branch

Health Services Division

Health Services

Administrator

Cookie Crews

Medical Director

Dr. Douglas Crall

Mental Health Director

Vacant

General Services

Dr. Deborah Coleman

Women's Mental Health

Cheryl Hall

CPTU

Russell Williams

Sex Offender Treatment Program

Jim VanNort

Nurse Executive

Brenda Beehler

Quality Assurance

Rhonda Coleman

Fiscal Manager

Janice Stanley

Internal Policy Analyst I

Melissa Pollock

Administrative Specialist III

Mindy Klemenz



*Cookie Crews
Health Services Administrator*

and direction for all aspects of inmate health care, including pharmacy operations, medical, psychiatric, psychology, dental and nursing services, post-hospitalization and end of life care. In addition to ensuring that all inmates receive health screenings, physical exams, primary care, specialty care referrals and emergency services, the Division also provides for chronic care clinics designed to monitor and treat inmates with the following conditions: Asthma, Diabetes, Epilepsy, Hepatitis C, High Cholesterol, High Blood Pressure and HIV infection.

General Services

Mental Health staff completed approximately 8,000 mental health appraisals/screeners/brief follow up appointments on male and female intakes within their first two weeks of admission. The contact that is initiated through our Assessment Centers is devised to identify those inmates needing specialty intensive mental health services, sex offender treatment services, and/or substance abuse treatment services. Inmates may be referred to these intensive services or may be fol-



*Dr. Doug Crall,
Medical Director*

lowed by mental health staff through General Services. There are four licensed Psychologist that provide administrative assistance to the Women's Services Area, the General Services area, the Seriously Mentally Ill Services area, and the Sex Offender Treatment Services area.

The Co-occurring Unit, Phoenix, housed on KSR grounds provides Evidence-Based substance abuse programming and mental health treatment to the male offender population. This is a 33-bed unit in which male offenders with both substance abuse issues and mental health issues are simultaneously treated for a minimum of six months. There were 44 graduates in 2012.

Services continue to be provided through the Shelby Men's Center as a transitional living unit for male offenders paroled or served out of the institutions in the La-Grange area. The DOC has a contract for eight beds and mental health services are coordinated with the assistance of one of the DOC's licensed Psychologists. Community Re-integration Services continue to be provided for seriously mentally ill offenders

Health Services Division

The Medical Division went through a reorganization to provide on site monitoring of all institutions medical and mental health departments. We are now known as the Health Services Division and are located in La-Grange, Kentucky. Our building sits directly in front of the Kentucky State Reformatory (KSR).

The Health Service Division provides administrative oversight

Health Services Division



Dr. Deborah Coleman
General Services

serving out of the institutions. This position covers an active caseload of 25 and is funded through grant funds.

General Services Mental Health staffing provides coverage in 11 institutions across the state. The General Services provided by Mental Health staff include the completion of Parole Board or other psychological evaluation referrals, 30/90 day reviews in SMU, individual contacts/consultations on inmates, crisis intervention, watch initiations, and watch reviews. There were approximately 41,000 contacts of this nature throughout the year. Cognitive-behavioral Evidence-Based groups are offered to the General Population by Mental Health staff to include Thinking for a Change and Seeking Safety.

Mental health staff have continued to conduct mental health training at the Basic Academy on a monthly basis and conducted a specially-developed four-hour session of mental health training for the Kentucky State Penitentiary. An additional 16 hours of training for Continuing Education Units (CEU) was conducted through the year for mental

health staff and those assigned to mental health units in the male facilities. Mental Health staff at KSR conducted training for Programs staff on Vitek Hearings and Forced Medication Hearings.

Women's Mental Health



Cheryl Hall
Women's Mental Health Services

Seriously Mentally Ill services for female offenders are provided in the Challenges program at the Lonnie Watson Center, a 442-bed unit at KCIW. A licensed Psychologist and Social Service Clinician assigned to this unit provide stabilization and gender-specific treatment services focusing on independent living skills/mental illness recovery and transitional living services.

There is a Co-occurring Unit, Willow, housed at KCIW which provides substance abuse programming and mental health treatment to female offenders. This is a 42-bed unit which opened in May 2012. There were a total of 15 graduates from this evidence-based program in 2012.

The Center for Women and Families in Shelby County offers Domestic Violence groups for incarcerated female offenders as part of their outreach program.

Mental Health staff at KCIW conducted training on mental health issues for the staff assigned to the mental health unit at this institution.

Correctional Psychiatric Treatment Unit (CPTU)

Seriously Mentally Ill services for male offenders are provided at the Correctional Psychiatric Treatment Unit (CPTU), which is a 150-bed unit located at KSR. The A-wing of CPTU serves inmates with persistent and severe mental illness who have concurrent cognitive related disorders and/or severe deficits in activities of daily living. The newly renovated B-wing program (FOCUS) serves inmates with persistent and severe mental illness and normative intellectual abilities. C-Wing is an acute stabilization wing reserved for the most severely disturbed psychiatric and/or behavioral offenders.

There were 522 male offenders admitted to CPTU during 2012. The average census for CPTU during 2012 was 141 inmates. A-wing admitted 50 male offenders; B-wing admitted 42 male offenders; and C-Wing admitted 430 male offenders. Available statistics for the LWC program Chal-



Russell Williams
CPTU

Health Services Division

Challenges, which show admissions of 11 are likely an underrepresentation as the licensed Psychologist position was unstaffed from March of 2012 to December of 2012. The Challenges program is expected to resume full operations in 2013. These programs can be considered promising practices.

Mental Health staff have continued to participate in quarterly interagency meetings held at KSR and quarterly meetings with the statewide Mental Health Planning and Advisory Board that funds our Community Re-Integration Services Program. These meetings help to assist with the seamless entry of offenders into the community. Mental Health staff also participates in the monthly Metro Re-entry Task Force meetings.

Sex Offender Treatment



Jim VanNort
Sex Offender Treatment Program

The Sex Offender Treatment Program (SOTP) services provide treatment to approximately 850 offenders at any given time. The SOTP continues to utilize evidence-based practices as well as track and record recidivism data, which demonstrates significant

reductions in recidivism rates for treated sexual offenders when compared with untreated sexual offenders.

A new 100-bed treatment program was completed and opened at Northpoint Training Center.

Additionally, the Sex Offender Risk Assessment Advisory Board (SORAAB) conducted a complete audit of all approved providers Continuing Education (CE) credits statewide resulting in an accurate listing of all clinicians qualified to provide sex offender assessment and treatment. This list assists the courts in selecting qualified professionals. SORAAB reviewed and approved curriculum addenda submitted by approved providers that addressed 2011 treatment regulation changes and also sponsored a two-day statewide training for approved providers on timely topics in sex offender risk assessment and treatment.

In 2012, the Sex Offender Risk Assessment (SORA) unit completed 413 Comprehensive Sex Offender Pre-Sentence Evaluations across the state. The evaluation's informed the sentencing courts of the risk levels of sex offenders to facilitate sentencing decisions and informed the treatment program about potential treatment targets. The SORA unit video-conferencing saved approximately \$1,000 in travel expenses in the first quarter of 2012.

Highlights

Increased the number of psychiat-

ric providers for improved quality of care including the utilization of APRN's and increased use of telemedicine.

An Electronic Medication Administration record has been added to the Electronic Health Record for increased medication compliance.

Segregation Health Check mechanism was added to the Electronic Health Record for medical observation of inmates in our segregation units.

Accessed Medicaid for qualifying hospitalized inmates has resulted in significant health care savings.

Purchased additional equipment for the eye clinic at KSR resulting in decreased off-site trips.

Purchased Gynecological equipment to allow on-site treatment of abnormal pap smears at KCIW.

Electronic Utilization Management has been initiated for more efficient treatment and timely off-site medical appointments.

Began using Tele-Medicine for specialties which decreased the need for off-site specialty visits.

Dr. Cheryl Hall, Dr. Russ Williams, Dr. Deb Coleman and Cookie Crews were accepted to the Kentucky Public Health Leadership Institute.

Mental Health is in its third class of pre-doctoral interns in its Association of Psychology Post Doctoral Internship Center approved internship.

Substance Abuse Programs Division



*Kevin Pangburn, Director
Substance Abuse Programs
Division.*

Substance Abuse Programs

As a result of our continued growth, the Division of Substance Abuse is now a stand-alone division. The ever increasing needs of the Department to provide substance abuse services

to inmates, parolees and probationers has pushed our total number of treatment beds in jails, prisons, Recovery Kentucky Centers and halfway houses to an all time high of 3,855. By comparison, in 2005, our total was 475 beds available.

Along with our Social Service Clinicians offering vital assessment, recommendation, referral, and treatment services in each Probation and Parole District, the Department of Corrections has become the largest provider of substance abuse services in the Commonwealth.

Substance abuse treatment programs are now available in eight (8) Kentucky prisons, eighteen (18) jails, ten (10) Recovery Kentucky Centers and eleven (11) community programs.

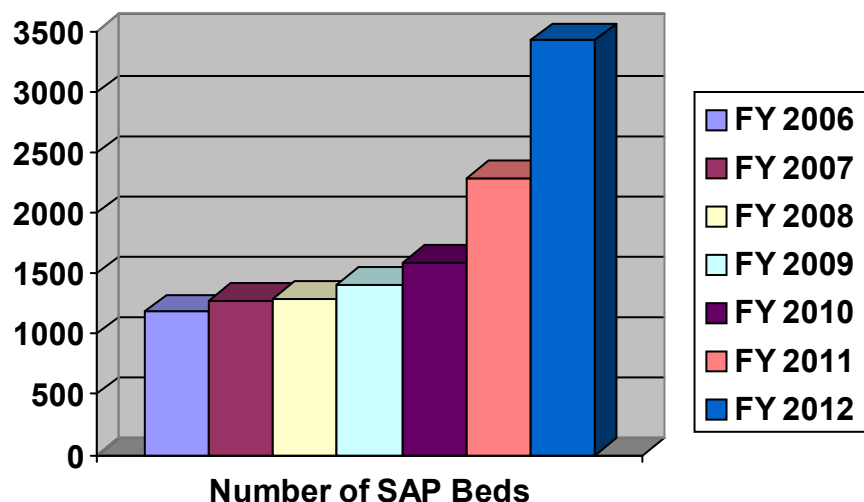


*Amy Baker, Assistant Director
Substance Abuse Programs
Division.*

The division has also developed an additional treatment option for those individuals who meet the criteria for a less restrictive form of substance abuse intervention. The Living in Balance program is an evidenced based program designed to be completed in 90 days.

Total Number of Substance Abuse Program Treatment Beds by Fiscal Year

FISCAL YEAR	COUNT
FY 2006	1,189
FY 2007	1,274
FY 2008	1,291
FY 2009	1,408
FY 2010	1,590
FY 2011	2,289
FY 2012	3,424



Office of Research



Ruth Edwards,
Office of Research

Research and Planning

The Research Internal Policy Analyst serves as the Department's representative on the Justice & Public Safety Cabinet's legislative team, assists with federal reporting requirements, coordinates corrections-related research projects, and serves as the central point of contact for the Office of Adult Institutions annual planning documents.

2012 Accomplishments

Accomplishments during the 60-day 2012 General Assembly include the following:

Drafted one department bill proposal for consideration by the Justice Cabinet; forty impact statements for the 2012 session and nine impact statements on pre-filed 2013 bills to be submitted to the Legislative Research Commission (LRC);

Entered forty bill reviews into the Kentucky Legislative Review (KLIR) system;

Attended Justice and Public Safety Cabinet legislative team meetings;

Attended twenty-two legislative committee meetings during the regular session;

Addressed constituent requests submitted by legislators and the LRC Office of Constituent Services; there were 132 responses to such requests in 2012; and

Wrote an article for the department's newsletter summarizing the 2012 legislative session.

During the interim period between legislative sessions the Research Internal Policy Analyst:

Participated in legislative planning meetings;

Attended six interim joint legislative committee meetings and helped coordinate DOC hosting of the August Interim Joint Committee on Judiciary in western Kentucky; and

Met with legislators and others regarding the Department's legislative concerns.

In addition to legislative activities, the Research function involves federal reporting and the coordination of surveys and research studies.

In 2012 there were five federal reports submitted – *National Prisoner Statistics Population Movement (NPS-1B)*; *Deaths in Custody Reporting Program (NPS-4)*; *Inmates Under Sentence of Death (NPS-8)*; and two new data collections from the Bureau of Justice Statistics (BJS): *Census of State & Federal Adult Correctional (CJ-43B) Facilities*

and *National Survey of Prison Healthcare*.

In support of a developing BJS survey, the *Census of Adult Probation Supervising Agencies (CAPSA)*, which will look at adult probation servicing agencies, the Research Internal Policy Analyst and a member of DOC Probation & Parole attended a work group in Indianapolis, IN to provide ideas and feedback.

In 2012 twenty-seven cooperative research projects were processed; sixty-two surveys, polls, and requests for data addressed; and sixty-one inmate letters were answered by this office. In addition, data collections were coordinated for the *2010 Sourcebook of Criminal Justice Statistics* and the *2011 Southern Legislative Conference Questionnaire*.

The Research Internal Policy Analyst continues to monitor and update the Research & Statistics portion of the DOC web page and coordinate monthly data collection for a portion of the American Society of Correctional Administrators (ASCA) Performance Based Measures System (PBMS).

The Research Internal Policy Analyst also coordinates the submission of annual planning documents for adult institutions and assists with special projects, which included the NIC-sponsored *Achieving Performance Excellence (APEX)* orientation and staff training, CRIMCAST training module review, and the central office ACA accreditation project.

Institutions

BELL COUNTY FORESTRY CAMP

560 Correctional Drive
Pineville, KY 40977
Warden: Kathy Litteral
Secretary: Betsy Patterson
Phone: 606-337-7065
Fax #: 606-337-1312

KENTUCKY STATE PENITENTIARY

266 Water Street
Eddyville, KY 42038
Warden: Randy White
Secretary: Leigh Jent
Phone: 270-388-2211
Fax #: 270-388-5529

BLACKBURN CORRECTIONAL COMPLEX

3111 Spurr Road
Lexington, KY 40511
Warden: Steve Haney
Secretary: Rose Sewell
Phone: 859-246-2366
Fax #: 859-246-2376

KENTUCKY STATE REFORMATORY

3001 West Highway 146
LaGrange, KY 40032
Warden: Clark Taylor
Secretary: Teresa St. Clair
Phone: 502-222-9441
Fax #: 502-222-0240

EASTERN KY CORRECTIONAL COMPLEX

200 Road to Justice
West Liberty, KY 41472
Warden: Gary Beckstrom
Secretary: Mariah F. Lacy
Phone: 606-743-2800
Fax #: 606-743-2811

LITTLE SANDY CORRECTIONAL COMPLEX

505 Prison Connector
Sandy Hook, KY 41171
Warden: Joseph P. Meko
Secretary: Deborah Williamson
Phone: 606-738-6133
Fax: 606-738-6143

GREEN RIVER CORRECTIONAL COMPLEX

1200 River Road, P.O. Box 9300
Central City, KY 42330
Warden: Alan Brown
Secretary: Amy Douglas
Phone: 270-754-5415
Fax #: 270-754-2732

LUTHER LUCKETT CORRECTIONAL COMPLEX

Dawkins Road, Box 6
LaGrange, KY 40031
Warden: Greg Howard
Secretary: Jennifer Fitzgerald
Phone: 502-222-0363/222-0365
Fax #: 502-222-8112

KENTUCKY CORRECTIONAL INSTITUTION FOR WOMEN

3000 Ash Avenue
Pewee Valley, KY 40056
Warden: Janet Conover
Secretary: Donna Armstrong
Phone: 502-241-8454
Fax #: 502-243-0079

NORTHPOINT TRAINING CENTER

710 Walter Reed Road
Burgin, KY 40310
Warden: Don Bottom
Secretary: Sandy Mitchell
Phone: 859-239-7012
Fax #: 859-239-7560

Institutions

ROEDERER CORRECTIONAL COMPLEX

P.O. Box 69
LaGrange, KY 40031
Warden: Martin Granado
Secretary: Amanda Dees
Phone: 502-222-0170/222-0173
Fax #: 502-225-0084

MARION ADJUSTMENT CENTER

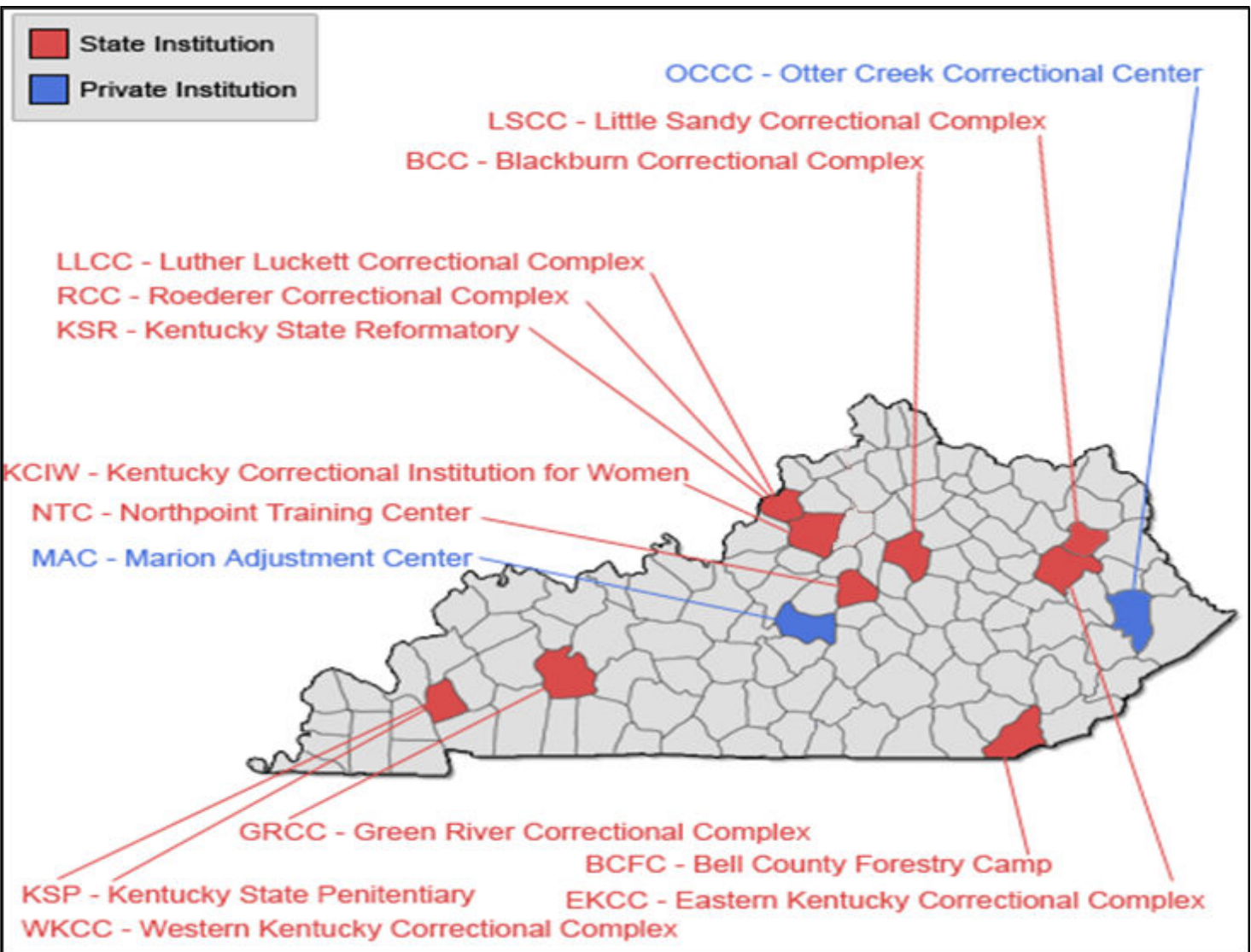
(Contracted through CCA)
95 Raywick Road
St. Mary, KY 40063
Warden: Daniel Akers
Secretary: Dottie Huff
Phone: 270-693-9622
Faz #: 270-692-1333

WESTERN KY CORRECTIONAL COMPLEX

374 New Bethel Church Road
Fredonia, KY 42411
Warden: Steve Woodward
Secretary: Kim Odom
Phone: 270-388-9781
Fax #: 270-388-0031

OTTER CREEK CORRECTIONAL CENTER

(Contracted through CCA)
911 Highway 306
Wheelwright, KY 41669
Warden: Grady Perry
Secretary: Linda Little
Phone: 606-452-9700
Fax #: 606-452-9703

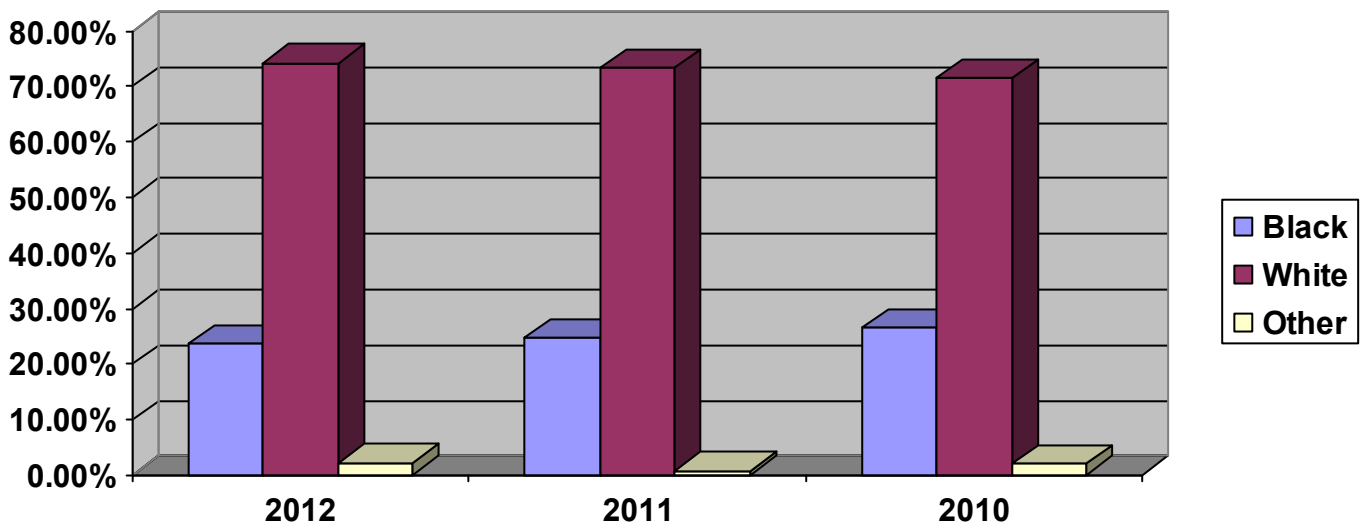


Population Data

All DOC Offenders Three Year Comparison By Demographic Data

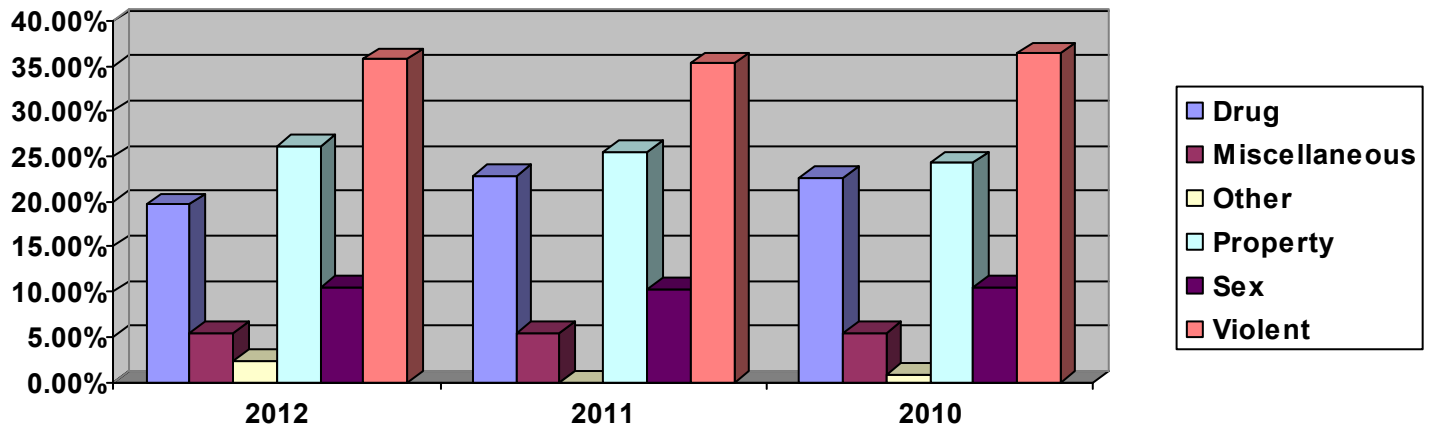
<u>Race</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Black	23.64%	24.70%	26.50%
White	74.18%	73.30%	71.50%
Other	2.18%	0.70%	2.07%
Total	100.00%	100.00%	100.00%
<u>Type of Offense</u>			
Drug	19.70%	22.90%	22.70%
Miscellaneous	5.49%	5.50%	5.40%
Other	2.32%	0.00%	0.82%
Property	26.19%	25.50%	24.30%
Sex	10.56%	10.30%	10.50%
Violent	35.74%	35.30%	36.40%
Total	100.00%	100.00%	100.00%
<u>County Of Conviction (Top 3)</u>			
Jefferson	17.63%	17.70%	18.70%
Fayette	5.89%	5.80%	6.10%
Kenton	3.71%	4.00%	3.90%

All DOC Offenders Three Year Comparison By Race



Population Data

All DOC Offenders Three Year Comparison by Type of Offense



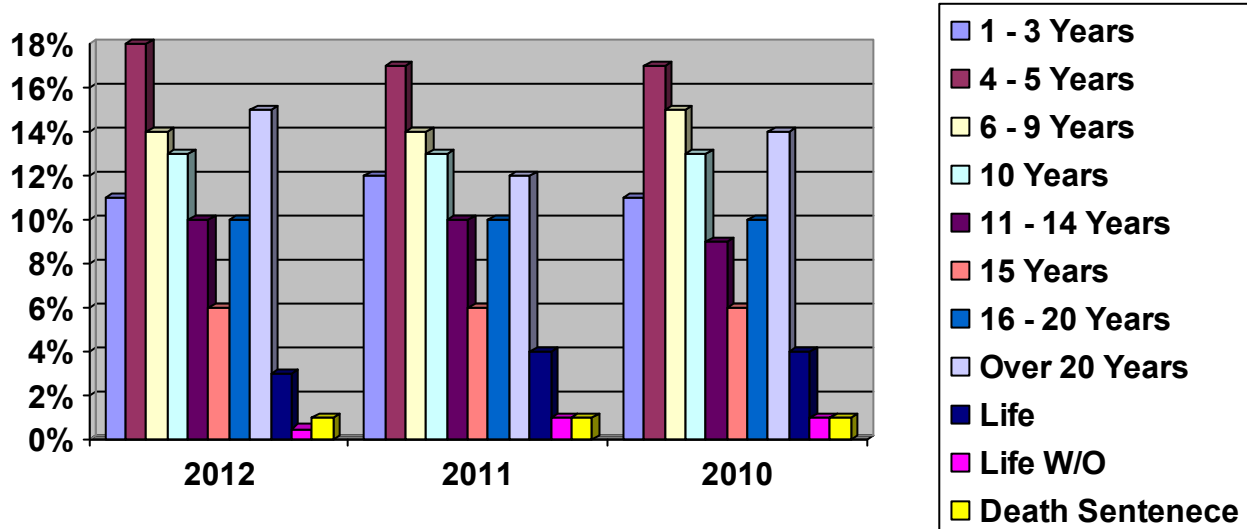
All DOC Offenders Three Year Comparison By Gender

INSTITUTION	FEMALES			MALES			TOTAL		
	2012	2011	2010	2012	2011	2010	2012	2011	2010
BCC				591	590	591	591	590	591
BCFC				299	288	299	299	288	299
EKCC				1707	1711	1703	1707	1711	1703
FCDC				0	0	205	0	0	205
GRCC				967	964	958	967	964	958
KCIW	684	689	543	0	0	0	684	689	543
KSP				878	870	810	878	870	810
KSR				1943	1901	1984	1943	1901	1984
LAC				0	0	0	0	0	0
LLCC				1084	1074	1066	1084	1074	1066
LSCC				1006	1008	1005	1006	1008	1005
MAC				808	817	823	808	817	823
OCCC				628	650	626	628	650	626
NTC				1238	650	646	1238	650	646
RCC				1074	1073	1078	1074	1073	1078
WKCC	675	681	634	0	0	0	675	681	634
CLASS D	1185	1076	838	6794	6891	5891	7979	7967	6729
OUT-OF-STATE	34	25	23	132	59	93	166	84	116
HALFWAY HOUSE	8	4	118	1302	1222	1306	1310	1226	1424
TOTALS	2586	2475	2156	20451	19768	19084	23037	22243	21240

Population Data

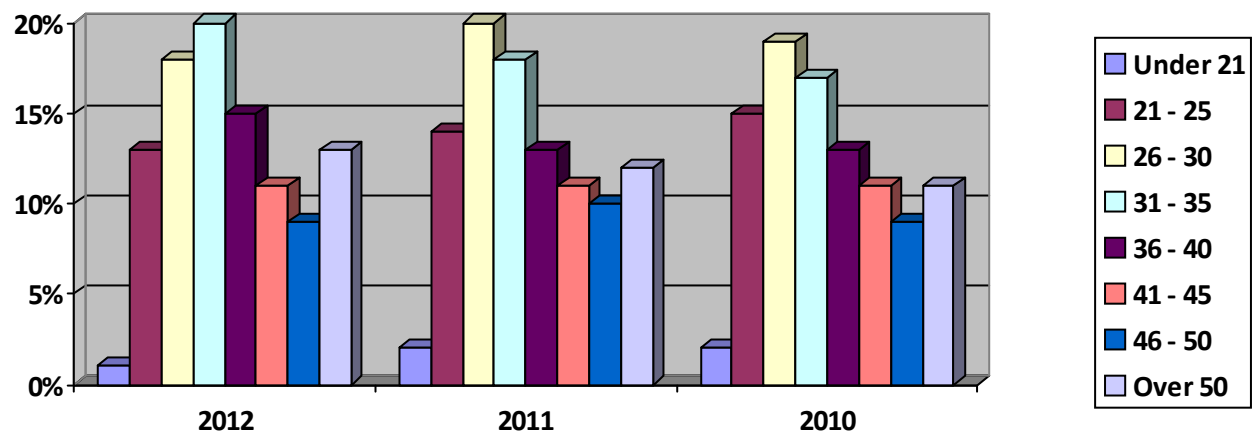
Population by Years to Serve / Three Year Comparison

<u>Years To Serve</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
1 - 3 Years	11%	12%	11%
4 - 5 Years	18%	17%	17%
6 - 9 Years	14%	14%	15%
10 Years	13%	13%	13%
11 - 14 Years	10%	10%	9%
15 Years	6%	6%	6%
16 - 20 Years	10%	10%	10%
Over 20 Years	15%	12%	14%
Life	3%	4%	4%
Life w/o	<1%	1%	1%
Death	1%	1%	1%
Total	100%	100%	100%



Population by Age / Three Year Comparison

<u>Age</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Under 21	1%	2%	2%
21 - 25	13%	14%	15%
26 - 30	18%	20%	19%
31 - 35	20%	18%	17%
36 - 40	15%	13%	13%
41 - 45	11%	11%	11%
46 - 50	9%	10%	9%
Over 50	13%	12%	11%
TOTAL	100%	100%	100%



Population Data

Admissions by County

County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Adair	6	0	79	23	6	0	114
Allen	0	1	51	8	1	0	61
Anderson	5	0	42	6	0	0	53
Ballard	4	0	67	12	3	0	86
Barren	18	0	144	16	5	1	184
Bath	2	0	46	2	0	0	50
Bell	14	1	231	47	1	0	294
Boone	52	6	315	41	11	0	425
Bourbon	9	1	44	11	5	0	70
Boyd	15	0	215	55	2	1	288
Boyle	28	4	93	17	2	3	147
Bracken	0	0	38	4	0	0	42
Breathitt	0	0	67	14	1	0	82
Breckinridge	11	1	96	10	1	0	119
Bullitt	12	0	246	33	4	0	295
Butler	1	0	94	9	2	0	106
Caldwell	23	3	51	14	0	0	91
Calloway	17	2	67	21	2	0	109
Campbell	90	5	317	68	7	1	488
Carlisle	1	1	29	2	0	0	33
Carroll	7	0	58	10	2	0	77
Carter	1	0	108	10	1	1	121
Casey	0	0	111	17	3	0	131
Christian	206	10	134	24	13	0	387
Clark	25	4	104	20	2	1	156
Clay	0	0	52	12	0	0	64
Clinton	0	0	61	12	1	0	74
Crittenden	2	0	42	9	0	0	53
Cumberland	2	0	22	10	0	0	34
Daviess	93	7	291	30	16	3	440

Population Data

Admissions by County

County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Edmonson	1	0	59	4	2	0	66
Elliott	0	0	32	8	0	0	40
Estill	0	0	68	11	0	0	79
Fayette	563	28	573	77	39	2	1282
Fleming	1	0	66	13	1	0	81
Floyd	1	1	126	29	1	0	158
Franklin	51	2	107	12	3	0	175
Fulton	51	2	47	11	1	3	115
Gallatin	2	0	60	8	0	0	70
Garrard	6	0	48	8	0	0	62
Grant	5	0	101	25	2	0	133
Graves	50	0	81	14	6	0	151
Grayson	2	0	128	19	0	0	149
Green	1	0	21	1	0	0	23
Greenup	1	0	131	30	0	0	162
Hancock	2	0	33	2	0	0	37
Hardin	158	13	352	57	15	0	595
Harlan	8	1	114	19	0	0	142
Harrison	12	0	61	9	3	0	85
Hart	19	0	70	15	1	1	106
Henderson	60	2	196	22	5	1	286
Henry	7	0	45	5	2	0	59
Hickman	12	4	23	1	0	0	40
Hopkins	33	2	155	10	2	0	202
Jackson	1	0	36	5	1	0	43
Jefferson	2032	99	1460	149	90	6	3836
Jessamine	20	2	157	30	2	0	211
Johnson	0	0	71	17	0	0	88
Kenton	197	5	502	74	28	2	808
Knott	0	0	44	8	1	0	53

Population Data

Admissions by County

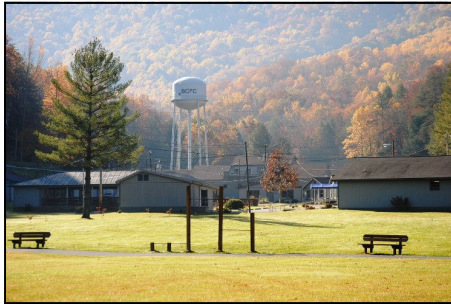
County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Knox	6	0	162	33	0	0	201
Larue	6	0	50	8	0	1	65
Laurel	4	0	339	64	2	0	409
Lawrence	0	0	57	8	0	0	65
Lee	0	0	39	8	0	0	47
Leslie	0	0	23	5	0	0	28
Letcher	6	0	109	22	1	0	138
Lewis	1	0	67	12	0	0	80
Lincoln	7	0	63	9	1	1	81
Livingston	0	0	38	9	1	0	48
Logan	29	1	99	12	5	0	146
Lyon	3	1	23	8	0	0	35
Madison	39	1	229	50	5	0	324
Magoffin	0	0	30	4	0	0	34
Marion	15	0	36	5	1	0	57
Marshall	1	0	109	15	1	0	126
Martin	0	0	44	4	0	0	48
Mason	35	3	137	26	6	0	207
McCracken	272	14	356	60	17	3	722
McCreary	0	1	94	19	0	0	114
McLean	2	0	40	2	0	0	44
Meade	8	0	98	13	1	0	120
Menifee	0	0	24	5	0	0	29
Mercer	5	0	55	11	0	0	71
Metcalf	1	0	42	3	0	0	46
Monroe	10	1	38	6	1	0	56
Montgomery	20	1	144	38	0	0	203
Morgan	1	0	40	9	0	0	50
Muhlenberg	17	2	192	33	2	1	247
Nelson	31	3	162	21	7	0	224
Nicholas	0	0	35	8	1	0	44

Population Data

Admissions by County

County Name	Black		White		Other		TOTAL
	M	F	M	F	M	F	
Ohio	1	0	111	14	3	0	129
Oldham	6	1	46	5	2	0	60
Owen	0	0	63	3	0	0	66
Owsley	0	0	33	9	0	0	42
Pendleton	3	0	55	5	0	0	63
Perry	4	0	129	32	1	0	166
Pike	5	1	196	33	0	0	235
Powell	0	0	76	15	0	0	91
Pulaski	10	0	302	73	6	0	391
Robertson	0	0	12	2	0	0	14
Rockcastle	2	0	88	18	0	1	109
Rowan	2	0	134	35	3	1	175
Russell	0	0	72	13	3	0	88
Scott	19	0	74	14	5	0	112
Shelby	41	2	39	12	9	0	103
Simpson	26	2	53	3	3	0	87
Spencer	0	0	30	4	0	0	34
Taylor	8	0	47	9	2	0	66
Todd	15	0	42	3	1	0	61
Trigg	14	0	28	5	1	0	48
Trimble	1	0	24	1	1	0	27
Union	16	1	53	4	1	0	75
Unknown	20	5	130	53	6	0	214
Warren	199	14	367	52	36	1	669
Washington	6	1	9	2	2	0	20
Wayne	8	0	96	34	0	0	138
Webster	3	1	44	5	1	0	54
Whitley	1	0	181	21	1	1	205
Wolfe	0	0	29	10	0	0	39
Woodford	12	0	36	6	2	0	56

Bell County Forestry Camp



Warden

Kathy B. Litteral

Deputy Warden - Security / Programs

Lenn Neal

Administrative Assistant

Betsy Patterson

Address

560 Correctional Drive
Pineville, KY 40977

2012 Average Daily Population
296

Total Number of Staff
49

Security Level
Minimum

Overview

The Bell County Forestry Camp (BCFC) is a minimum-security institution established in 1962 as a satellite of the Kentucky State Reformatory in LaGrange, Kentucky. BCFC is situated approximately 14 miles southwest of Pineville and covers approximately 15 acres in rural Bell County. There are 25 buildings on the compound including one dormitory divided into six wings. In addition to the dormitory, there is an administration building, procedures and training center,

academic school, recreation hall, food service facility, library, chapel, inmate canteen, medical facility, caustic-toxic/maintenance building and a number of storage, utility and support buildings.

BCFC houses 300 inmates and employs a staff of 48 full time employees and one part time employee along with community volunteers in the areas of religion, substance abuse, and literacy training. The primary program thrust is targeted at developing the living, learning, and working skills of the inmates to assist them in securing and maintaining adequate employment upon release.

BCFC also operates its own water treatment plant and wastewater treatment plant.

Highlights

On October 24, 2012, BCFC celebrated their 50th anniversary. The event was attended by numerous DOC staff, Division of Forestry officials, local and state government representatives as well as many family and friends.

The event included various exhibits including old photographs and newspaper clippings, a display of the tools used by the inmate work crews to combat forest fires, a luncheon and tours of the facility.

BCFC upgraded the computer server to better handle the increase in our technology needs.

A total of 43 new cameras were



Warden Kathy B. Litteral

added for the safety and security of staff and inmates.

Two dogs were acquired and began training for the detection of tobacco and marijuana.

BCFC installed a large awning on the entryway to provide shade and protection from weather.

To insure the preservation of the history of the camp, we have displayed the large dinner bell that was part of the camp from its implementation. We also erected three flag poles to increase aesthetics.



Commemorative Coin marking BCFC's 50th anniversary.

Bell County Forestry Camp



Deputy Commissioner Jim Erwin presents a plaque to Warden Kathy Litteral in recognition of BCFC's 50th anniversary.

New generators were added to the boiler room which will insure electrical service for the dorm and kitchen in times of power outages.

We began a recycling program in conjunction with the Bell County Recycling Center which provided needed funds for the county and saved the camp money by reducing trash pick costs.

Installed new tool room off the compound which provided three times the space as the previous tool room and increased the security by moving tools off the yard.

We converted the old tool room to a barber shop increasing space from one to two barber chairs.

A maintenance shop adjacent to the new tool room was added to provide covered space for projects and protection from the weather.

A wood and metal awning was added to the storage freezer building to protect it from exposure to the sun and thereby increase the freezer's efficiency.

The TV room in the Recreation Hall was converted to a band room with carpet and noise reducing foam. In addition, band instruments were purchased and we began providing music lessons and rehearsal time for organized bands.

BCFC continues to conduct yearly K-9 seminars to include all state facilities with K-9 Units.

Programmatic and Operational Areas

BCFC is a well programmed facility that focuses on providing quality programming to offenders to prepare them for successful re-entry into society. Educational opportunities are plentiful at BCFC. Evening GED courses are available and have been effective due to allowing inmates to work day jobs and attend GED classes in the evening. College courses, offered through Southeast Community College, as well as Microsoft computer classes are also available in the evening hours.

Inmates are encouraged to participate in self-help courses such as AODA (Life without a Crutch), AA/NA and MRT (Moral Recognition Therapy) which deals with cognitive behavior and decision making processes.

BCFC is involved with a Step-Up Program which consists of inmates that educate middle to high school students on the impact that crime can have on their lives.

The following new programs were added in 2012: Pathfinders,

New Directions, Inside/Out Dads, Moral Reconciliation Therapy, Cabling and Microsoft Office.

In addition, the BCFC is preparing for the addition of a Horticulture Program which would provide flowers for the camp and vocational programming for our inmates.

Governmental services program work assignments include the cities of Pineville and Middlesboro; Bell County fiscal court; Knox County fiscal court; Laurel County Department of Transportation weigh station; Pineville Independent School System; Division of Forestry for both fire suppression and support services; and four road crew work details for the Department of Transportation. BCFC also assists local communities through the community service program.

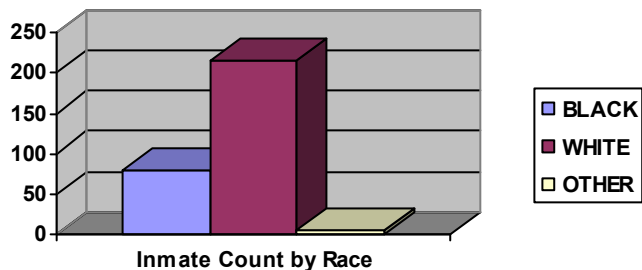
In addition to regular work and program assignments, the Division of Forestry trains in forest fire suppression techniques for each staff and inmate. During the peak fire seasons of fall and spring, BCFC provides fire crews for a 10-county area in southeastern Kentucky. Each fire crew consists of one officer and eight inmates.

BCFC offers a wide variety of recreational activities for the inmates including softball, basketball, handball, chuckhole games, weight lifting, pool tables, ping pong, volley ball, video games and band. Inmates are also taken twice a week to the Clear Creek Baptist College Gymnasium for indoor basketball.

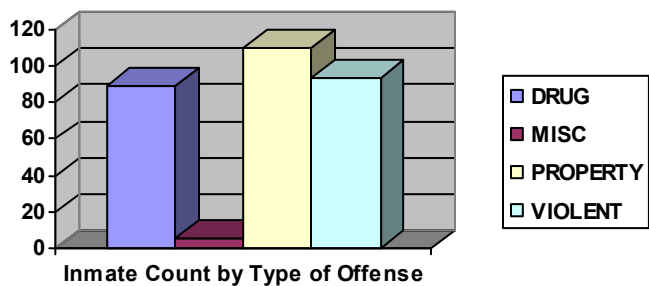
Bell County Forestry Camp

2012 Demographic Data

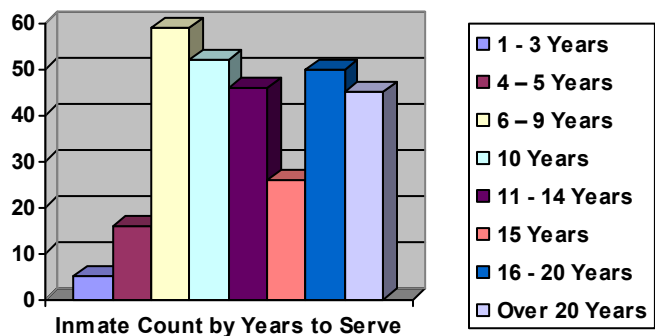
RACE	COUNT	PERCENTAGE
BLACK	79	26.42%
WHITE	216	72.24%
OTHER	4	1.34%
TOTAL	299	100.00%



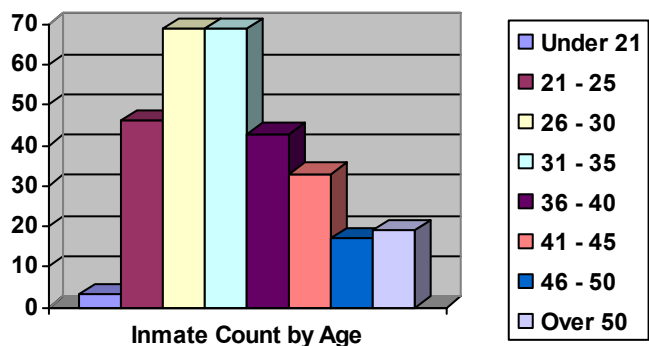
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	89	29.77%
MISC	6	2.00%
PROPERTY	110	36.79%
VIOLENT	94	31.44%
TOTAL	299	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	5	1.67%
4 - 5 Years	16	5.35%
6 - 9 Years	59	19.73%
10 Years	52	17.39%
11 - 14 Years	46	15.38%
15 Years	26	8.70%
16 - 20 Years	50	16.73%
Over 20 Years	45	15.05%
TOTAL	299	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	3	1.00%
21 - 25	46	15.38%
26 - 30	69	23.08%
31 - 35	69	23.08%
36 - 40	43	14.38%
41 - 45	33	11.04%
46 - 50	17	5.69%
Over 50	19	6.35%
TOTAL	299	100.00%



Blackburn Correctional Complex



Warden
Steve Haney

Deputy Warden - Security
Brandy Harm

Deputy Warden – Programs
Dale Martin

Administrative Assistant
Rose Sewell

Address
3111 Spurr Road
Lexington, KY 40511

2012 Average Daily Population
588

Total Number of Staff
128

Security Level
Minimum

Overview

Blackburn Correctional Complex (BCC) is the largest minimum-security institution operated by the Kentucky Department of Corrections.

Blackburn Correctional Complex was made a part of the Kentucky Department of Corrections in 1972 when it was transferred from the old Department of Child Welfare. Prior to becoming part of the Department of Corrections and given the name Blackburn Correctional Complex, the institution was called Kentucky Village.

Blackburn Correctional Complex presently operates as a 594 bed adult male institution. All individuals incarcerated here have been convicted of felony charges.

The physical plant consists of thirty-two buildings on 456 acres of land that house Academic and Occupational programs as well as industrial and support services.

The institution also has a farming operation located on the grounds that is operated by a Correctional Farm Manager. The BCC Cattle Farm is a registered Angus farm.



Warden Steve Haney

Highlights

In February 2012, the inmate canteen vendor contract was awarded to Keefe. A new kiosk system was implemented.

In April 2012, Blackburn Correctional Complex partnered with the dog rescue program called Kentucky Lab Rescue.

In November 2012, an additional Westlaw computer was installed in the Legal Library.



Blackburn Correctional Complex located in Lexington, Kentucky

Blackburn Correctional Complex

In November 2012, Blackburn Correctional Complex implemented the STAR mentoring program. New correctional officers are assigned to a Field Training Officer, identified by the red star worn on their uniforms. Field Training Officers exemplify the knowledge, work ethic, and attitude required of a public servant.

Programmatic and Operational Areas

Blackburn Correctional Complex, through a partnership with Eastern Kentucky University's Training Resource Center, proudly offers an opportunity to gain industry recognized certifications in IC3 Digital Literacy and Microsoft Office 2007.

Inside Out Dads is a parenting program that provides parent education classes and special visits for fathers and their children.



Thoroughbred Retirement Foundation Barn at BCC

Moral Reconciliation Therapy is a cognitive-behavioral program for improving inmates' moral reasoning and self-image.

New Direction is a reentry program designed to help the inmate understand the concept of reentry and how it will work during incarceration and after release.

Occupational programs include Masonry and Horticulture.

Prison Industries operations include mattresses, moving services, panels and signs.

Pathfinders is a pre-release program that lasts six months. Areas covered in the program are team building, communication, stress management, anger management, problem solving, values, time management and life planning. Pathfinders is an evidence based program and successful participants will receive a graduation certificate as well as a 90 day educational good time credit.

The State provides a farm manager position, the land, barn, and inmates to work with retired horses. Thoroughbred Retirement Foundation provides all other needs. The inmates gain rehabilitation skills, riding skills, and learn good horse health management. They receive a Certificate of Stable Management when they have accomplished these skills.

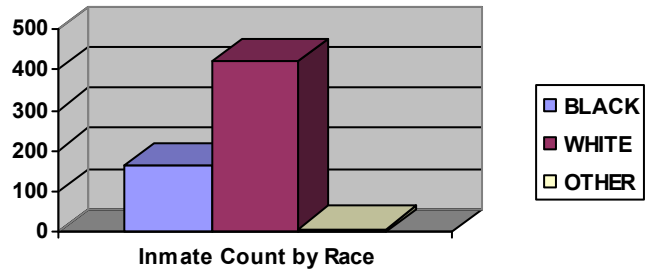


Horticulture Building and Greenhouse located at BCC

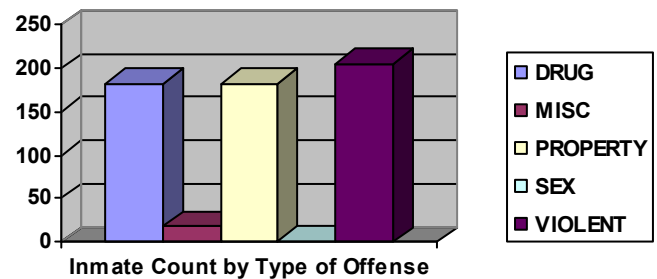
Blackburn Correctional Complex

2012 Demographic Data

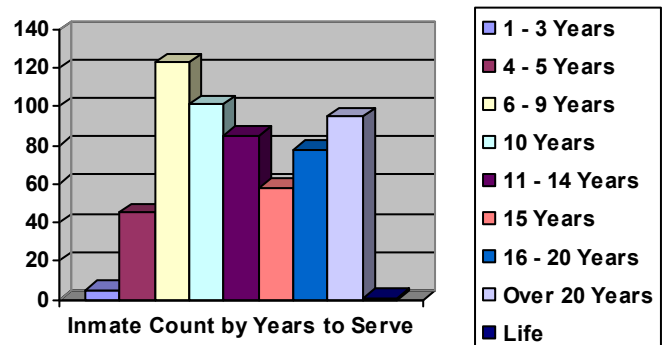
RACE	COUNT	PERCENTAGE
BLACK	164	27.75%
WHITE	421	71.24%
OTHER	6	1.01%
TOTAL	591	100.00%



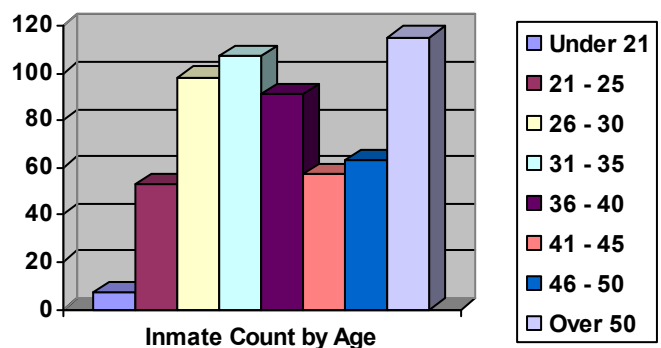
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	183	30.96%
MISC	18	3.05%
PROPERTY	183	30.96%
SEX	1	0.17%
VIOLENT	206	34.86%
TOTAL	591	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	5	0.85%
4 - 5 Years	45	7.61%
6 - 9 Years	123	20.81%
10 Years	101	17.09%
11 - 14 Years	85	14.38%
15 Years	58	9.81%
16 - 20 Years	78	13.20%
Over 20 Years	95	16.08%
Life	1	0.17%
TOTAL	591	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	7	1.18%
21 - 25	53	8.97%
26 - 30	98	16.58%
31 - 35	107	18.10%
36 - 40	91	15.40%
41 - 45	57	9.64%
46 - 50	63	10.66%
Over 50	115	19.47%
TOTAL	591	100.00%



Eastern Kentucky Correctional Complex



Warden

Gary Beckstrom

Deputy Warden - Security
Vacant

Deputy Warden - Programs
John Holloway

Deputy Warden - Operations
Jerry Lindon

Administrative Assistant
Mariah "Francis" Lacy

Address
200 Road to Justice
West Liberty, KY 41472

2012 Average Daily Population
1703

Total Number of Staff
404

Security Level
Medium

Overview

The Eastern Kentucky Correctional Complex was constructed in two phases and houses minimum, medium, close and maximum custody inmates. The contract for construction was awarded in August of 1985 and officially opened in 1990. Phase II construction was completed in December of 1991. EKCC was

designed to house 1122 inmates but double bunking increased the institutional capacity to 1722. The average daily inmate count for 2012 was 1703. EKCC's distinction from other Kentucky institutions is the very structured inmate controlled movement. Controlled movement is the result of our institutional design, the number of inmates housed and the inmate custody level. Inmates move from one place to another on the hour and half hour and are closely monitored. This provides inmates with fewer opportunities to pass contraband thus creating a safer environment.

Highlights

On March 1, 2012, EKCC, in conjunction with all the institutions in the state, went Tobacco Free. On March 2, NIMS was put to the test when a tornado ravaged Morgan County narrowly missing the institution. The town of West Liberty, which is approximately one-half mile from the institution, was devastated. Several EKCC staff lost their homes or received extensive damage. EKCC was without electricity for 5 days, running only on generator power and had minimal telephone usage. A potentially disastrous scenario was averted due to the diligence of the corrections staff at EKCC.

The commitment of the EKCC staff was recognized at the annual KCCD Conference in 2012. Jeff Havens, Electronic Tech., received a Hero Award for the actions he displayed on March 2. While in route to EKCC, he encountered a life or death situation



Warden Gary Beckstrom

when he came upon a seriously injured couple. Havens offered first aid, summoned emergency personnel and assisted in transporting them. Mike Sparks received the Commissioner's Award for his exemplary work record and for going above and beyond to ensure the institution ran smoothly. The entire EKCC staff also received the Governor's Ambassador Award for Teamwork at a banquet in October 2012. The staff was recognized for their efforts of maintaining order within the institution, while aiding the community in their recovery efforts. And last, but certainly not least, Warden Gary Beckstrom received the 2012 Warden of the Year award for his efforts following the devastating tornado strike.

During 2012, EKCC began the Energy Savings Project which is now 95% complete. The coal fire boilers were replaced with natural gas fired boilers located in each mechanical level of each building. The four chillers that provided air conditioning for the institution have been replaced with two modern energy efficient chillers. The indoor lighting was replaced or "retro fitted" with

Eastern Kentucky Correctional Complex



The entire EKCC staff received the Governor's Ambassador Award for Teamwork at a banquet in October 2012.

more energy efficient lights. As part of the Energy Savings Project, toilets in all the dorms except the Segregation units were replaced with water conserving toilets. The institution saw an immediate savings in water usage. This project will undoubtedly pay for itself as projected and continue to pay dividends for the DOC for many years to come.

In October 2012, the institution conducted a NIMS exercise with the scenario being a hazardous material spill. The agencies involved were EKCC, Appalachian Regional Hospital, local fire departments, police departments and the Morgan County Board of Education.

Programmatic and Operational Areas

EKCC utilizes resources designed to reduce the likelihood of recidivism. These resources include a structured work environment, academic and vocational education, religious programs and self-help programs. The self-help programs include six programs moderated by Programs staff and 16 moderated by chapel staff and

volunteers. Four new evidence based programs were started in 2012. This was in accordance with the requirements of HB 463. Two of the programs are moderated by institutional Program staff and two are moderated by contract staff.

EKCC has also partnered with Gateway Community Action to offer the Financial Peace Program which began in April 2012. The program is funded by a Federal Grant that Gateway obtained to offer the program for a two year period.

EKCC offers a variety of educational opportunities for inmates. There are five Vocational programs offered including Carpentry, Masonry, Heating and Air Conditioning, Small Engine Repair, and Microsoft Office. We currently have three Adult Basic Education instructors offering instruction to assist inmates in obtaining their GED diploma. Additional programming within the school consists of evening college courses offered on the traditional semester basis and a National Career Readiness Certificate (NCRC) open to all inmates that qualify for enrollment.

In 2012, EKCC's Kentucky Correctional Industry was restructured from four divisions to three; furniture refinishing and upholstery, chair assembly and an expanded coupon sorting division. These divisions employ 135 inmates who are paid hourly wages with the potential for pay increases. The structured work environment allows inmates to experience the competitive atmos-

phere very similar to working for a business in the private sector.

The EKCC Dog Program, a collaborative effort with the Morgan County Dog Shelter, continues to be a success. This endeavor helps the community and has a therapeutic effect on the inmates involved. The program involves 8-10 weeks of basic instruction by Minimum Security inmates. The dogs are trained in housebreaking, crate training, feeding schedules, grooming, obedience and tricks.

Security Enhancements

During 2012 security upgrades were a priority as many systems are 20+ years old, obsolete and in need of major repairs. More secure tray slots and locking mechanisms were installed in the cell doors of Dorm 5 Segregation. Dorms 1, 3 and 7 also had tray slots installed in the cell doors.

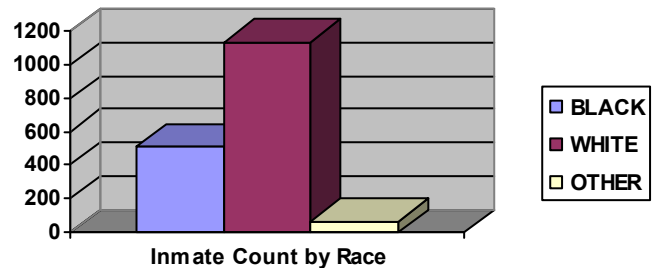
Other upgrades include the continuation of communication cables and the expansion of computer systems along with upgrading security cameras and adding additional lighting to emergency circuits. All radios were switched from wide band to narrow band and correctional staff were issued radios with their assigned badge numbers programmed in order to locate the officer faster in case of emergency. The "man down" feature was also implemented.

Although the toilets were not replaced, the Dorm 5 Segregation unit toilets were installed with an electronic flush valve feature to prevent flooding of the walks.

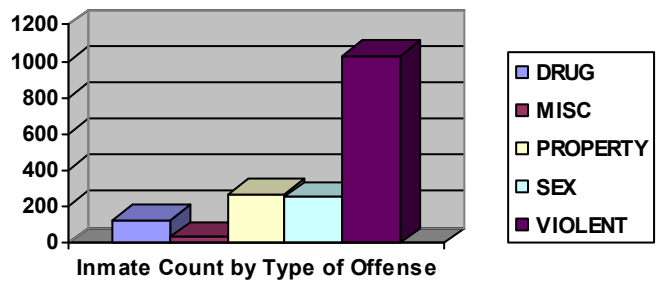
Eastern Kentucky Correctional Complex

2012 Demographic Data

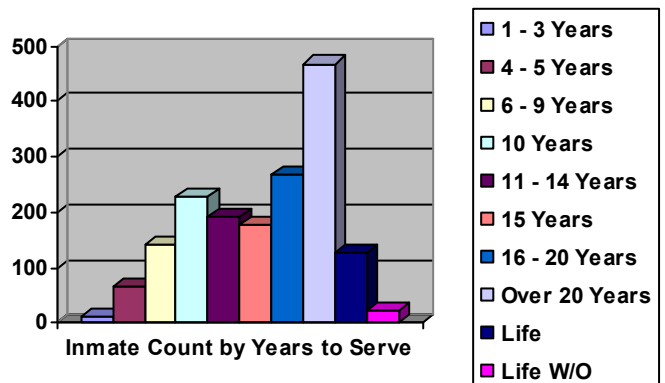
RACE	COUNT	PERCENTAGE
BLACK	507	29.70%
WHITE	1136	66.55%
OTHER	64	3.75%
TOTAL	1707	100.00%



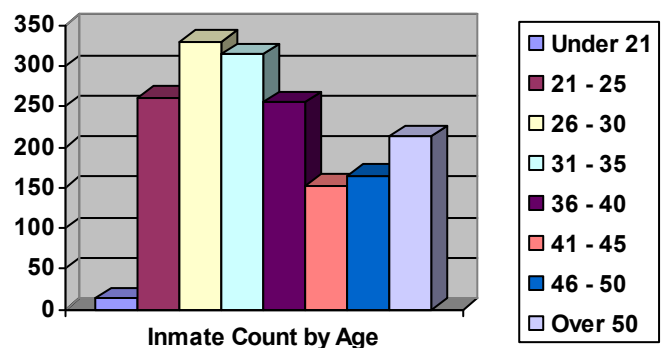
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	123	7.21%
MISC	31	1.81%
PROPERTY	269	15.76%
SEX	251	14.70%
VIOLENT	1033	60.52%
TOTAL	1707	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	12	0.70%
4 - 5 Years	65	3.80%
6 - 9 Years	141	8.26%
10 Years	230	13.47%
11 - 14 Years	191	11.19%
15 Years	179	10.49%
16 - 20 Years	269	15.76%
Over 20 Years	468	27.42%
Life	129	7.56%
Life W/O	23	1.35%
TOTAL	1707	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	13	0.76%
21 - 25	262	15.35%
26 - 30	331	19.39%
31 - 35	315	18.45%
36 - 40	255	14.94%
41 - 45	153	8.96%
46 - 50	165	9.67%
Over 50	213	12.48%
TOTAL	1707	100.00%



Green River Correctional Complex



Warden

Alan Brown

Deputy Warden - Security
Ron Beck

Deputy Warden – Programs
Rickie Williams

Administrative Assistant
Amy Douglas

Address
1200 River Road
P.O. Box 9300
Central City, KY 42330

2012 Average Daily Population
967

Total Number of Staff
270

Security Level
Medium

Overview

Construction of the Green River Correctional Complex (GRCC) began in August 1992 and was originally designed to house 550 inmates. The first group of inmates arrived December 12, 1994. Since then GRCC has been re-accredited by the ACA five times, most recently in 2009. GRCC has since expanded, build-

ing a medium security living unit, as well as a minimum security dormitory. The current inmate population capacity is 982.

The institutional design is “direct supervision.” The dormitories have an open control center (no bars, glass or other barriers). Unit Management is the means of managing and organizing the institution. In this team oriented concept, a management team of administrators, supervisors, correctional officers and classification/treatment officers supervise each living unit and are responsible for the security and management of the Inmate Living Unit and its inmate population.

GRCC does not have security gun towers. Perimeter security is dependent upon an electronic detection perimeter alert system, a microwave detection system, and constant 24-hour armed perimeter vehicle patrol(s).

Highlights

In March, the institution became to a tobacco free campus. This process entailed the removal of any existing material and banning the introduction of any type of tobacco product by inmates, state employees, contract personnel and visitors. Smoking Cessation classes were offered to the inmate population and nicotine products were made available in the Inmate Commissary.

Throughout 2012, great strides were made towards the improvement of the institutional security monitoring capabilities with the conversion of 24 existing analog



Warden Alan Brown

cameras to a digital signal that included ten cameras in the Gym and 14 cameras in the Minimum Security Unit. There was also an addition of 26 digital cameras installed within the institution that included 15 in the kitchen and 11 in the Special Security Unit. Security Monitors capable of viewing these cameras were installed in the Warden, Deputy Wardens and Senior Captain’s offices. There were also new monitors installed in the Special Management Unit’s Control Center to monitor the activity of that unit.

To comply with a Federal Communication requirement, all of the institutional two-way radio communications were converted from a wideband to narrowband transmissions.

Additional improvements were made in the Special Management Unit by replacing the glass in all four walk entrance doors with lexon and installing security bars over the door windows. A food warmer station was purchased for the unit which allows the satellite

Green River Correctional Complex



Replaced walk door windows in SMU with lexon and installed security bars.

kitchen trays to maintain their proper temperature until they are ready to be served.

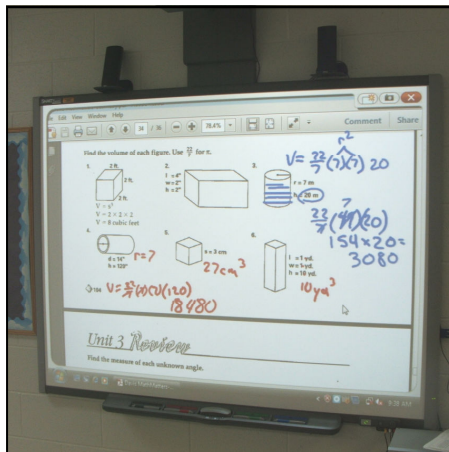
With the introduction of an electronic pill call tracking and documentation process, several institutional processes were changed in 2012. One change required the modification of the institutional schedule to change from a three time a day pill call to twice a day pill call for the inmate population.

Programmatic and Operational Areas

During 2012, Green River Correctional Complex offered various life skills, vocational and academic classes for our inmate population. Adult Basic Literacy (ABE) classes were offered both day and night in addition to General Education Diploma (GED) classes. The vocational programs consisted of Masonry and Carpentry curriculum where an inmate may obtain a diploma. Dur-

ing 2012, 32 GED's were awarded as well as 17 Vocational Diplomas, 75 National Career Readiness Certificates (NCRC), 16 Microsoft Office and 10 Fiber Optic Cabling certificates.

Education enhancements include the addition of two Smart Boards to the department. The Smart Board interactive whiteboard operates as part of a system that includes the interactive whiteboard, a computer, a projector and software. A projector connected to the computer displays the desktop image on the interactive whiteboard. The whiteboard accepts touch input from a finger, pen or other solid object and increases the student's attention to the subject matter and increases the learning possibilities.



Smart Board utilized in Adult Basic Education.

The Substance Abuse Program once again transformed throughout the year as the need for inmate treatment evolved. Their curriculum at the beginning of the year shifted from the 6 month Therapeutic Community treatment to a 3 month Living in Balance curriculum, and remained in that format until the latter part of

the year when the inmate numbers requirement for this particular type of treatment diminished and the transformation back to the Therapeutic Community program began. At the conclusion of the year, this transformation was still in progress with nearly 25% of the inmates still participating in the Living in Balance program while the other 75% were enrolled in the Therapeutic Community style of substance abuse treatment.

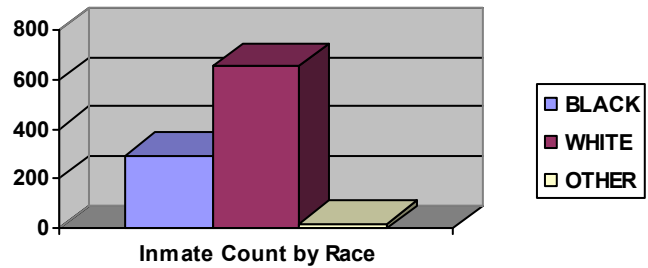
The Re-Entry Staff changed from offering the Prison to the Streets program to a new program call New Directions. This program is presented in 24 sessions once a week over a six month period. This new program covers subjects that include: Personal Organization, Identification, Housing, Transportation, Employment, Work Ethic, Money Management, Education, Health, Relationships, Parenting, Child Support, Victim Awareness, Parole Information and Community Resources.

Program enhancements include the addition of a new evidence based program that was offered to inmates, both in the General Population and also in our Minimum Security Unit (MSU). This new program is called Moral Recognition Therapy (MRT). It is a systematic, cognitive behavioral based treatment plan that is designed to enhance the self image of the inmates. MRT significantly increases moral reasoning levels, enhanced life purpose, facilitates increased social support and gives inmates more perceived control over their lives.

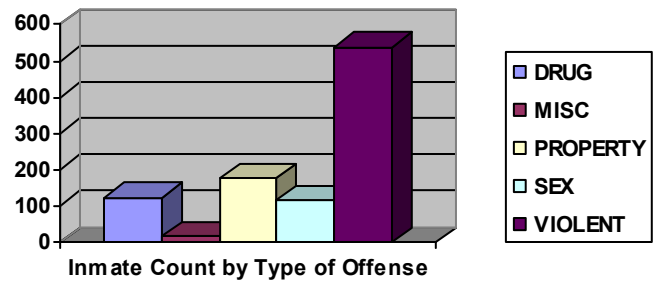
Green River Correctional Complex

2012 Demographic Data

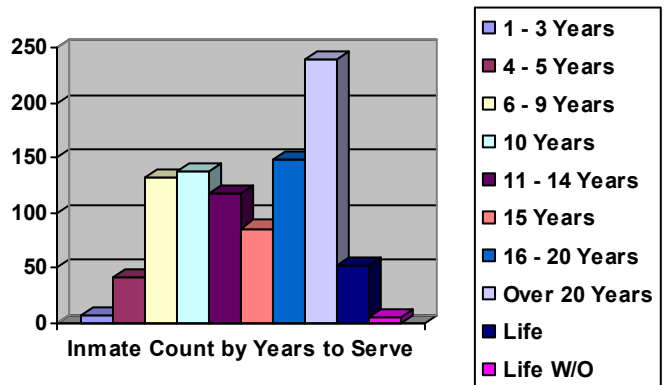
RACE	COUNT	PERCENTAGE
BLACK	295	30.51%
WHITE	653	67.53%
OTHER	19	1.96%
TOTAL	967	100.00%



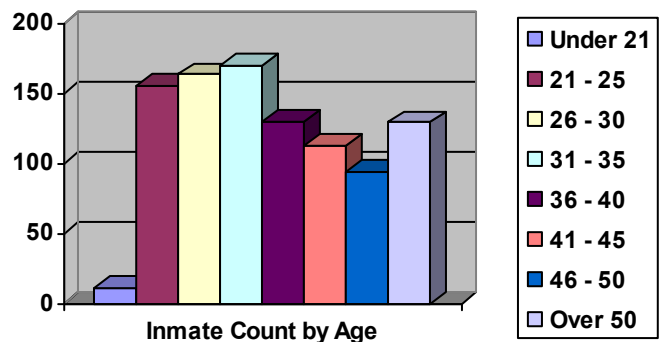
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	123	12.72%
MISC	15	1.55%
PROPERTY	177	18.30%
SEX	114	11.79%
VIOLENT	538	55.64%
TOTAL	967	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	7	.72%
4 - 5 Years	41	4.24%
6 - 9 Years	132	13.65%
10 Years	137	14.17%
11 - 14 Years	118	12.20%
15 Years	86	8.89%
16 - 20 Years	149	15.41%
Over 20 Years	240	24.82%
Life	52	5.38%
Life W/O	5	.52%
TOTAL	967	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	11	1.14%
21 - 25	156	16.13%
26 - 30	164	16.96%
31 - 35	170	17.58%
36 - 40	130	13.44%
41 - 45	113	11.69%
46 - 50	94	9.72%
Over 50	129	13.34%
TOTAL	967	100.00%



Kentucky Correctional Institution for Women



Warden

Janet Conover

Deputy Warden - Security
John "Wes" Dawson

Deputy Warden – Programs
Mary Hargis (Interim)

Administrative Assistant
Donna Dailey

Address
3000 Ash Avenue
PO Box 337
Pewee Valley, KY 40056

2012 Average Daily Population
682

Total Number of Staff
197

Security Level
Multi-level

Overview

The Kentucky Correctional Institution for Women (KCIW) received its first inmates in 1938 as an extension of the Kentucky State Reformatory at LaGrange. In 1962, KCIW was established by the Legislature as an autonomous institution with a Warden as its Chief Executive Officer. KCIW was the only state run institution for female inmates until 2010 when the Western Kentucky

Correctional Complex was converted to a female facility.

Near the town of Pewee Valley, KCIW is located on 270 acres in Shelby County. The facility has been ACA accredited since 1982 and is tobacco free. The institution is a campus style setting with multiple housing units, double and single bunked.

KCIW is considered a multi-custody facility as differential housing and programming must meet the needs of female felons serving one year to life, death row, maximum, medium, minimum, community custody, first offenders, persistent offenders, the disabled and special needs inmates.

Positive working relationships as well as good communication between the Warden, Deputy Wardens, staff, volunteers and outside visitors add significant value to the Mission Statement of the institution and the DOC.

Highlights

KCIW received a perfect score of 100% during the 2012 ACA re-accreditation audit, a first in the history of the institution.

Warden Janet Conover oversees a budget of approximately \$11 million, providing fiscal responsibility for management of the various departments within the institution. Warden Conover communicates as needed with a variety of staff in order to gain insightful institutional perspectives to grasp the "big picture" in making decisions.



Warden Janet Conover

KCIW's Training Department continues to train newly hired Correctional Officers as part of the Staff Training and Retention (STAR) program which launched in 2011. KCIW trainers have several Field Training Officers on each shift to assist new staff with the transition into correctional work.

In 2012, the KCIW Training Department was asked to take the lead role in the administration of the I-LEAD Program, a six month long regional leadership training program with participants from KCIW, KSR, LLCC and RCC. Participants receive guidance in the following areas: upward mobility, resume prepara-



Training Room at KCIW

Kentucky Correctional Institution for Women

tion, public speaking skills, cultural diversity and networking opportunities.

Security upgrades and changes over the past year include reducing the medicine line to twice a day. This eliminates the need to pull security from other areas and enhances security in this particular area.

Tactical stocks were purchased for the CERT shotguns. These new stocks are adjustable and will make the weapon fit the shooter, increasing weapon retention and accuracy.

Locks and hasps were purchased for the food slot doors in the Special Management Unit to eliminate the inmate's ability to smuggle contraband by "fishing."

Transportation received Garmin GPS systems to be used on inmate transport trips. This purchase assists the transportation officers when traveling in an efficient and safe manner.

Nylon transport belts were also purchased for the Special Management Unit and Lonnie Watson Center to assist staff when escorting inmates from their cells.

And two NOVA shields were refurbished so they are once again effective. The shields had become too weak to be effective.

Programmatic and Operational Areas

KCIW continues to partner with the non-profit organization Paws With Purpose (PWP) to bring

trained service dogs to children and adults with physical disabilities. Inmates begin the training program with puppies approximately 2 months old. The program continues until the dog is about a year and half. This program increases the inmate's self-esteem and gives an opportunity for the inmates in the program to give back to the community. The program was first launched in 2003 and has been extremely successful.

The Education Center offers inmate instruction in Basic Literacy, Adult Basic Education, GED and college courses. Some of the college courses offered include Biology, English, Sociology, Women's History, Developmental Math, and English. Vocational training includes a certified program in Horticulture.

When inmates learn job skills, or earn a GED or secondary degree, they are better equipped to be financially self-sufficient when they return to the community, thus reducing recidivism. KCIW offers college and vocational classes through the Kentucky Community & Technical College System (KCTCS).

Treatment programs such as Parenting, Sex Offender Treatment and Substance Abuse Program are available to inmates. The Substance Abuse Program provides treatment in a Therapeutic Community, with close supervision in a structured environment. It allows inmates to learn accountability for their actions as well as learning relapse prevention tools they will need when



Playground in the visiting yard.

they return to the community. The program takes approximately six months to complete.

Another therapeutic program called WILLOW (Women Invested in Learning and Loving Ourselves Well) addresses the needs of incarcerated women who suffer from both a mental illness and a co-occurring addiction.

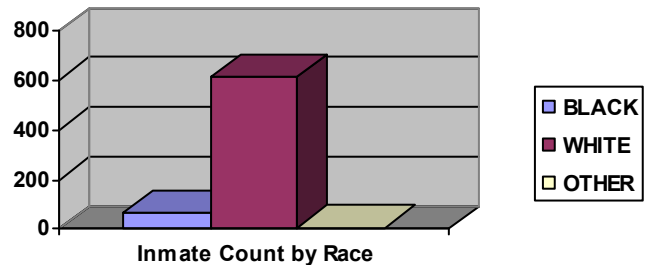
Additional programs available which address mental health issues are Seeking Safety and Thinking for a Change. Seeking Safety is a program presented by The Northern Kentucky Reentry Project that is "trauma-informed care" based with a large substance abuse component. Thinking for a Change is a program for inmates who focus on changing their thoughts and behaviors that lead them towards trouble.

Kentucky Correctional Industries (KCI) has been at KCIW for 26 years. They provide inmates with job training that produces marketable skills and quality products. Correctional Industries currently operates three separate industries consisting of Bulk Mail Services, Braille Transcription and Warehouse.

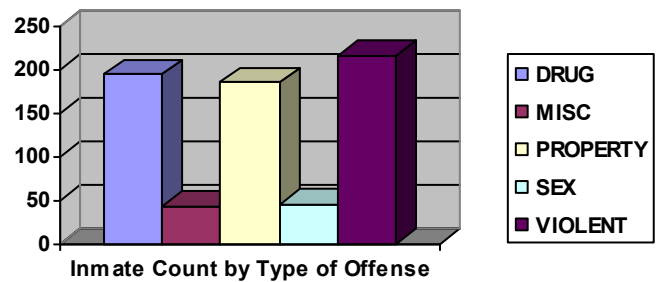
Kentucky Correctional Institution for Women

2012 Demographic Data

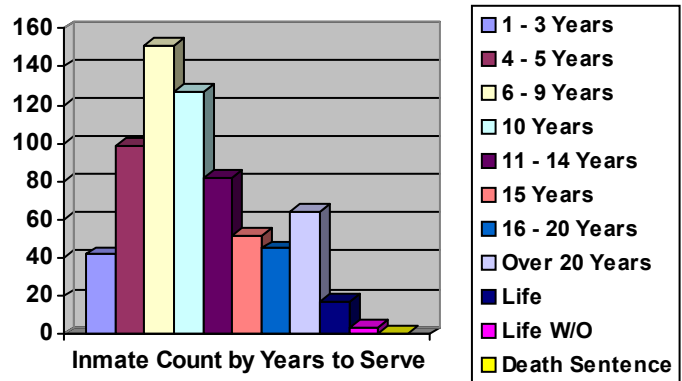
RACE	COUNT	PERCENTAGE
BLACK	66	9.65%
WHITE	611	89.33%
OTHER	7	1.02%
TOTAL	684	100.00%



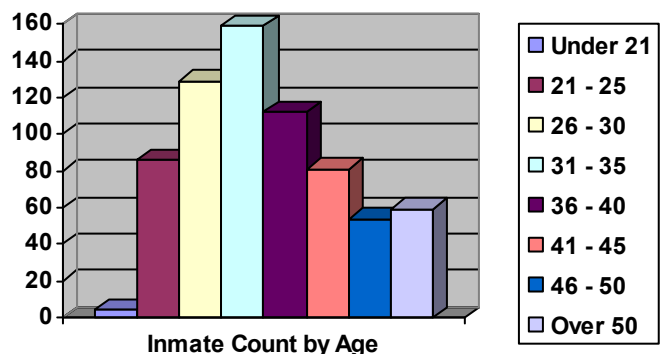
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	194	28.36%
MISC	44	6.43%
PROPERTY	185	27.05%
SEX	46	6.73%
VIOLENT	215	31.43%
TOTAL	684	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	42	6.14%
4 - 5 Years	99	14.47%
6 - 9 Years	151	22.08%
10 Years	127	18.57%
11 - 14 Years	82	11.99%
15 Years	52	7.60%
16 - 20 Years	45	6.58%
Over 20 Years	64	9.37%
Life	17	2.49%
Life W/O	4	0.58%
Death Sentence	1	0.15%
TOTAL	684	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	4	0.06%
21 - 25	86	12.57%
26 - 30	129	18.86%
31 - 35	159	23.25%
36 - 40	112	16.37%
41 - 45	81	11.84%
46 - 50	54	7.89%
Over 50	59	9.15%
TOTAL	684	100.00%



Kentucky State Penitentiary



Warden

Randy White

Deputy Warden - Security
Ernie E. Williams

Deputy Warden – Programs
Duke Pettit

Deputy Warden - Support
Services
Joel W. Dunlap

Administrative Assistant
Leigh Jent

Address
266 Water Street
Eddyville, KY 42038

2012 Average Daily Population
868

Total Number of Staff
348

Security Level
Maximum

Christmas Eve in 1889. The facility sits on the banks of Lake Barkley and is often referred to locally as, “The Castle on the Cumberland”. Many renovations and enhancements have occurred during the numerous years of operation, providing for the utmost stability expected within a maximum security penitentiary. The expressed mission of KSP is managing an adult, male maximum security penitentiary for approximately 900 convicted felons.

Our population includes a large Protective Custody Unit, a large Segregation Unit in two separate cell blocks, Death Row and General Population. Our first priority is safety and security which requires a large portion of our fiscal and human resources. At the same time, we strive to offer an array of programs to engage the inmates in productive activity that will better their chances of returning to the community as a responsible citizen. These activities include work in one of our industries, assignment to vocational or academic school, involvement in self help and reentry programs and religious activity. Inactivity and poor conduct is not acceptable and is discouraged at every level.

Ultimately we realize the majority of our inmates will return to the streets and it is our mission to prepare the inmate for his return.

Highlights

There have been numerous security enhancements at KSP for the safety and protection of staff,



Warden Randy White

visitors, and inmates. We are continuously looking for ways to enhance our security. Razor wire was installed inside 6 Cellhouse surrounding the Death Row Unit. Additional razor wire was installed among the interior fencing on the Yard.

The Command Center was relocated to the 2nd floor of the Annex and remodeled with state of the art equipment. This provides for a proficient work space in case of an emergency.

We also installed a new instantaneous water heater in the Shower House as well as 3 Cellhouse which is also utilized by 1 and 2 Cellhouse. We re-piped the water lines to the Laundry House and the water lines and steam lines were reworked in the Kitchen to



New Command Center

Overview

The Kentucky State Penitentiary (KSP) serves as the Department of Corrections’ only maximum security facility, housing an average of 868 inmates in 2012. The institution has operated for over 120 years, originally opening on

Kentucky State Penitentiary

accommodate a new dishwasher. The generators of 5 Cellhouse were linked to 4 Cellhouse to allow both Cellhouses to be fully operational should electricity fail. Eight new ice machines were installed: four in 5 Cellhouse, three in 6 Cellhouse and one in 4 Cellhouse. Four new air conditioner and heating units were installed in 5 Cellhouse Tower.

A new outdoor exercise pavilion was constructed for the Outside Minimum Security inmates and new exercise equipment was installed on the Yard for inmates behind the fence.



New Recreation Equipment for the Yard.

State House #206 was remodeled and painted and a new carport was added. State House #207 was remodeled and fencing was installed behind State House #201.

KSP removed multiple large trees to eliminate visual obstructions surrounding the front perimeter of the institution.

The Sewer Plant received the following work: new roof, lagoons cleaned out, exterior paint, new sludge pump installed, and drag chain reworked. The Water Plant received the following work: new

safety rails installed around settling basins, pipe gallery painted, and both filter beds reworked.

Programmatic and Operational Areas

KSP offers re-entry style programs which are intended to assist offenders to successfully return to mainstream society. The Pre-Release Program “Prison to the Streets” was restructured in August to “New Direction” and now offers a 90 day educational good time credit upon successful completion. New Directions addresses family issues, housing, employment, education, aftercare treatment and other areas that typically impact the probability of recidivism.

Another evidenced-based re-entry program “Inside Out Dad” began in February. This program is designed to assist the inmates in parenting skills once released from custody.

Work and self-help programs have continued to provide high numbers of inmate involvement. KSP offers ample job opportunities ranging from employment in one of the Prison Industries areas to janitorial services. AA/NA and NAACP are offered. Various cognitive skills programs are available to the inmate population which includes Moral Recognition Therapy, Thinking for a Change, and In2Work. Starting in December Correctional Healthcare Companies began teaching the Moral Recognition Therapy, instead of institutional staff.

Our formalized Canine Program,

Paws for a Cause, was increased to five dogs in February. This program continues to work closely in conjunction with the Mary Hall Rudiman Canine Shelter located in Crittenden County. The primary purpose of this program is for inmates to provide basic obedience training to dogs awaiting adoption and establish specialized training and skills that inmates can take with them once they leave the institution and return to society.

Throughout 2012, the school program which consists of academic and vocational classes, continued to offer inmates opportunities to further their education. A total of 28 GED’s and six Vocational Diplomas were awarded.

The “Scared Straight” Program, established in 1994, continues at KSP. This is a court ordered program for offenders over 18 years of age as a condition of probation. The probationer spends a day in our segregation unit where he is strip searched and dressed in inmate clothing, given a military type haircut, drug tested, placed in a cell for approximately 2 hours and fed an inmate meal.

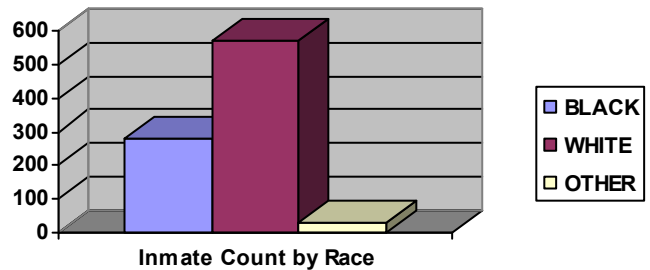
The program concludes in the Warden’s Office with the Warden advising the offender of incidents that have occurred at KSP and advises the offenders they have life choices to make.

There are various religious choices. A minimum of 23 different services are scheduled each week for various faiths and many more activities are scheduled as a result of volunteer participation.

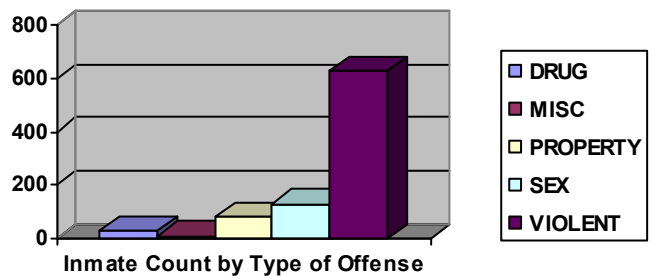
Kentucky State Penitentiary

2012 Demographic Data

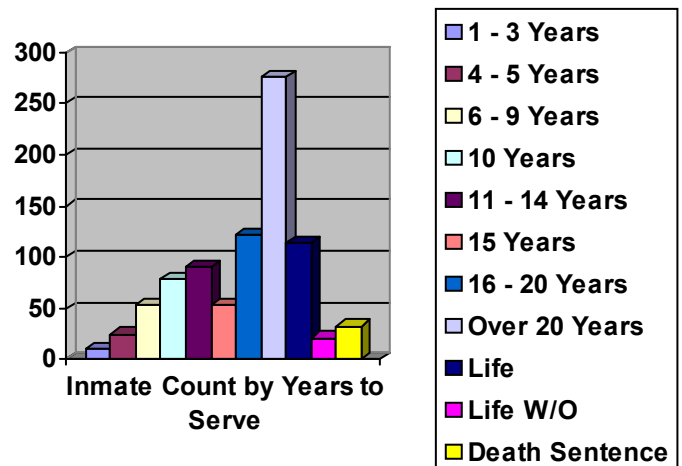
RACE	COUNT	PERCENTAGE
BLACK	280	31.89%
WHITE	570	3.19%
OTHER	28	64.92%
TOTAL	878	100.00%



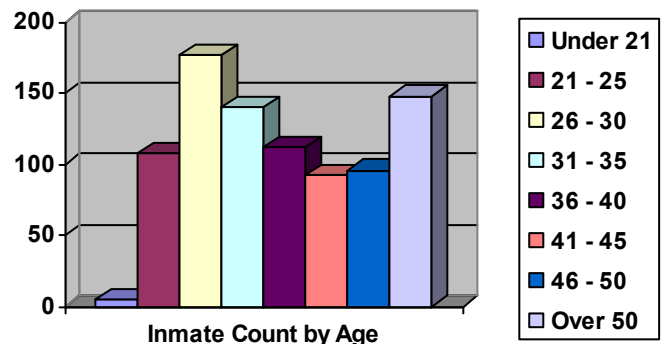
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	28	3.19%
MISC	10	1.14%
PROPERTY	83	9.45%
SEX	130	14.81%
VIOLENT	627	71.41%
TOTAL	878	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	11	1.25%
4 - 5 Years	25	2.85%
6 - 9 Years	53	6.03%
10 Years	79	9.00%
11 - 14 Years	91	10.36%
15 Years	53	6.04%
16 - 20 Years	122	13.91%
Over 20 Years	276	31.44%
Life	114	12.98%
Life W/O	21	2.39%
Death Sentence	33	3.76%
TOTAL	878	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	5	0.57%
21 - 25	108	12.30%
26 - 30	177	20.15%
31 - 35	140	15.95%
36 - 40	112	12.76%
41 - 45	92	10.48%
46 - 50	96	10.93%
Over 50	148	16.86%
TOTAL	878	100.00%



Kentucky State Reformatory



Warden

Clark Taylor

Deputy Warden - Security
Ravonne Sims

Deputy Warden - Programs
James Coyne

Deputy Warden - Operations
Paige McGuire

Administrative Assistant
Teresa St.Clair

Address
3001 West Hwy. 146
LaGrange, KY. 40032

2012 Average Daily Population
1947

Total Number of Staff
693

Security Level
Medium

Overview

The Kentucky State Reformatory (KSR) is located in LaGrange, Kentucky, which is approximately 30 miles northeast of Louisville. It is a medium security facility under the administration of the Justice and Public Safety Cabinet, Department of Corrections. It is the state's largest insti-

tution in terms of inmate population with a 2005 bed capacity.

Up to the present day, the physical plant has essentially retained its original configuration. The 12 story administration building was designed to house the offices of key staff members, a hospital, medical offices and living quarters for correctional staff. The 11th floor houses the machinery of the elevator; the 12th floor houses a now defunct 150,000 gallon water tank.

Today there are 11 dormitories made up of individual rooms, a 150 bed Correctional Psychiatric Treatment Unit, and a 58 bed Nursing Care Facility. In addition, there is a two story cellblock with 130 segregation cells and a 40 bed Daily Assisted Living Unit (DAL).

The Kentucky State Reformatory operates under a unit management concept with five separate living units. The Unit Management Program is designed to foster personal relationships in smaller groups and assures inmate access to staff that are familiar with their needs. An inmate may seek personal counseling from any member of the Unit Team, which consists of a unit administrator, an assistant unit administrator if designated for the unit, classification and treatment officers, a secretary, correctional officers and engineering staff. The unit team assists inmates with institutional programming, case preparation for the Parole Board, classification reviews, developing release plans and problem solving.



Warden Clark Taylor

Highlights

Clark Taylor was named the new Warden in June 2012.

KSR scored 99.10% on the ACA audit which consisted of 468 non-mandatory, 61 mandatory, and 4 non-applicable standards.

Several new databases were generated and implemented to alleviate the amount of time staff spent on paperwork and eliminate human error. These included the following databases: Industries In/Out inmate scanner; Senior Captains Staff Call-In tracking; Grievance tracking; Radio Inventory tracking; Sanitation Inventory tracking; Records Court Trip tracking; Revamped the institutional Volunteer database, and began a new database for Gate One to track Visitors Gate Passes; Policy and Procedure history tracking; and an ACA file tracking.

New Technology was also added in 2012. A TV was installed in the Command Center to view the institutional cameras that are distributed around the facility and a new Power Point was created that links the electronic ACA files so that during an emergency situa-

Kentucky State Reformatory

tion everything is point and click to get to documents, etc. Also a Smart-Board was positioned in the school to aide instructors in their lessons.



New roof on Dorm 1

A new roof was put on Dorm number 1 along with tuck pointing the outside walls and foundation of the dormitory.

New air compressors were in-



Tuck pointing of the outside walls and foundation of Dormitory 1

stalled in the Nursing Care Facility and Dorm 9, and the compressor in the Correctional Psychiatric Treatment Center was rebuilt.

New water reserve ICON boxes

were installed in the showers, sinks and toilets in Dorms 1, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, DAL, CPTU, NCF, Visiting Room, School, Gym, and in Segregation.

Programmatic and Operational Areas:

Programs offered by the Reformatory include: Academic and vocational education, college classes, life management, organized sports and recreation, religious services and counseling, on-the-job training, correctional industries and services provided by the Division of Mental Health, i.e. Psychological/Psychiatric Services, Sex Offender Treatment Program (SOTP), and individual or group counseling. The Reformatory also offers several specific programs along with several new and revamped programs in 2012.

Specific programs offered at KSR include the Fathers and Children program (FACT), Co-Occurring Disorder (Phoenix program) and the Striving Towards A Recovery program (STAR).

New Programs implemented or revamped in 2012 include:

Inside Out Dads: The mission of the Inside Out Dads Program is to reduce recidivism and prevent neglectful parenting practices among incarcerated fathers, by strengthening their parental knowledge and their connections with their children.

Moral Reconciliation Therapy (MRT): This program was developed to help inmates deal with

long term adjustment and disciplinary issues within the facility and upon release. The goal is to reduce recidivism by educating inmates so they do not continue with the decision making patterns that led to their current situation.

Enhanced Supervision Program (ESP): The goal of ESP is to provide therapeutic programming to inmates who have demonstrated poor adjustment to institutional living, have poor coping skills and require extra attention from staff in order to be successful. After successful completion of ESP, the inmate file is presented to the Warden for determination of future programming, reduction in segregation accrual, and/or shelving of current disciplinary time.

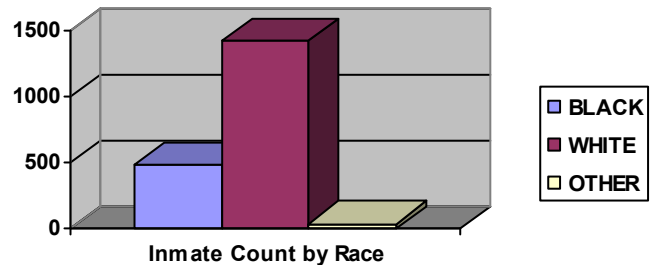
The CHANGES Program ended in 2012 and was replaced by the Facing Our Circumstances by Understanding Self (FOCUS): Program participants learn and practice pro-social behaviors and gain an in-depth understanding of how their mental illness affects their relationships, decision-making and day-to-day activities.

The Re-Entry Program was replaced by New Directions: This program was implemented through Re-Entry. Four classes with up to 30 inmates will be conducted approximately every six weeks. The curriculum is divided into 19 sections and covers everything from buying a car to child support, and finding a job. An offender must be within six to 18 months from release or parole eligibility in order to qualify for the program.

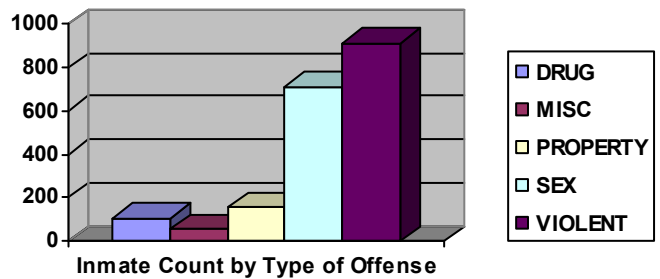
Kentucky State Reformatory

2012 Demographic Data

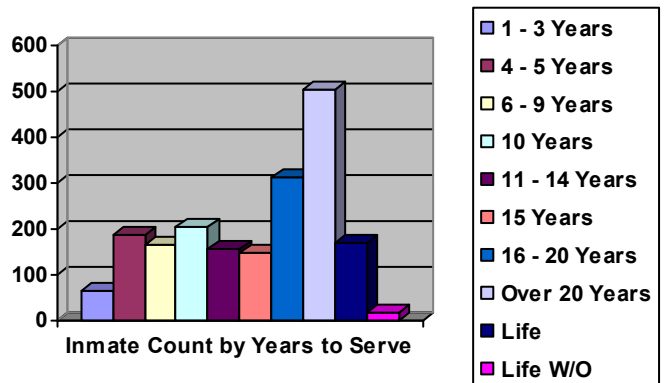
RACE	COUNT	PERCENTAGE
BLACK	486	25.01%
WHITE	1415	72.83%
OTHER	42	2.16%
TOTAL	1943	100.00%



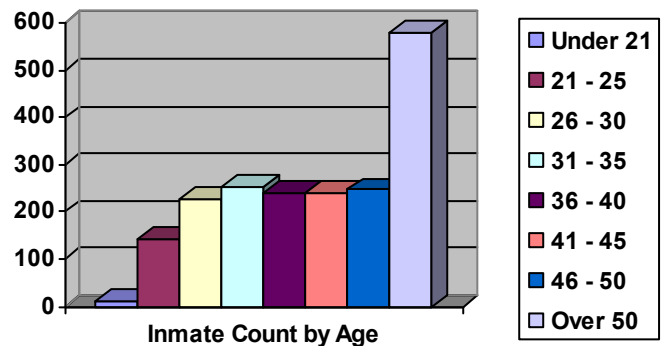
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	103	5.30%
MISC	55	2.83%
PROPERTY	157	8.08%
SEX	713	36.70%
VIOLENT	915	47.09%
TOTAL	1943	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	68	3.50%
4 - 5 Years	188	9.68%
6 - 9 Years	165	8.49%
10 Years	206	10.60%
11 - 14 Years	157	8.08%
15 Years	150	7.72%
16 - 20 Years	314	16.16%
Over 20 Years	503	25.89%
Life	171	8.80%
Life W/O	21	1.08%
TOTAL	1943	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	11	0.57%
21 - 25	144	7.41%
26 - 30	228	11.73%
31 - 35	253	13.02%
36 - 40	240	12.35%
41 - 45	241	12.41%
46 - 50	247	12.71%
Over 50	579	29.80%
TOTAL	1943	100.00%



Little Sandy Correctional Complex



Warden

Joseph P. Meko

Deputy Warden - Security
David Green

Deputy Warden – Programs
Mary Godfrey

Administrative Assistant
Deborah Williamson

Address
505 Prison Connector
Sandy Hook, KY 41171

2012 Average Daily Population
1011

Total Number of Staff
240

Security Level
Medium

Overview

Little Sandy Correctional Complex (LSCC) is a medium security institution located in Elliott County Kentucky. It is the department's newest and most technologically advanced institution.

A total of 1006 inmates are currently housed at LSCC. Our

housing includes two General Population housing units with 416 beds in each, a 100 bed minimum security unit and a 90 bed special management unit. LSCC can facilitate up to 1022 offenders total.

There are numerous support buildings including academic and vocational schools, a medical unit, inmate canteen, Correctional Industries, a gym, dining facility and maintenance area.

Little Sandy Correctional Complex operates under the Direct Supervision model of supervision. It encourages staff and inmate interaction and allows the inmates to have direct access to staff when they have a concern.

LSCC received its initial accreditation on August 13, 2007 by the American Correctional Association (ACA) with 100% compliance. The institution was reaccredited on June 11, 2010 and once again earned 100% compliance.

LSCC implemented one of the first Electronic ACA programs in the state in 2011. During the Program Security Review conducted August 29-31 the staff was commended for creating and implementing a program that was so user-friendly and adaptable.

Highlights

LSCC implemented its first SAP Program. Construction is currently underway to build a recreation area that would better separate SAP and GP inmates.



Warden Joseph Meko

LSCC received a new Deputy Warden of Programs, Mary Godfrey. Her experience and demeanor has proven to be an excellent addition the LSCC Team.

LSCC conducted its annual critical incident training in December 2012. We simulated a helicopter crashing into the Administration Building. Response times were excellent and we were able to identify some key concepts not before realized.

The LSCC Honor Guard traveled to Washington D.C. to attend the Correctional Peace Officers Foundation convention as well as to represent the state of Kentucky during the CPOF National Memorial Ceremony which is held annually to honor fallen Correctional Peace Officers. This year the ceremony took place at the National Law Enforcement Memorial located in Judiciary Square.

LSCC implemented the tobacco free guidelines set forth by Central Office in March of 2012. The transition has been a relatively easy one with little backlash.

Little Sandy Correctional Complex



The LSCC Honor Guard represented Kentucky at the Annual National Memorial Ceremony in Washington D.C. to honor fallen Correctional Peace Officers. Pictured left to right are Officer Andrew Hayes, Sergeant Mike Cepeda, Sergeant David Garris, Honor Guard Commander Josh Ison, Officer Betty Gilliam and Sergeant Brian Dickerson.

Programmatic and Operational Areas

LSCC has very diverse programming to address the needs of a wide spectrum of offenders. We provide quality programs that focus on key fundamentals such as behavior and thinking processes. When further developed, these fundamentals lead to a more structured and self sufficient individual who will have an increased chance of succeeding and a decreased chance of becoming another statistic of recidivism.

Some of the programs offered at LSCC are Alcoholics Anonymous, Cage Your Rage, Domestic Violence, Inmate to Workmate, Tools for Success and Social Skills for Survival.

These programs, as well as others

offered at LSCC, assist the inmate in changing their thought process thus changing behaviors.



Following the CPOF Memorial ceremony, Correctional Honor Guard members from across the United States pose for a picture around the reflecting pool at the National Law Enforcement Memorial located in Judiciary Square where the ceremony took place.

These programs also provide basic interpersonal skills to allow the inmates to better communicate with others when released.

Paws with a Purpose is a canine program that continues to be a pace setter. Over the years we have been able to assist many canines that would have essentially died had LSCC not intervened.

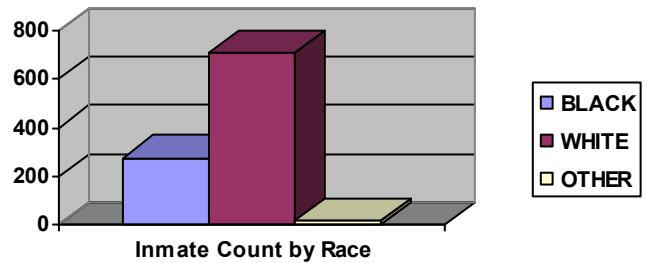
LSCC actively seeks partnerships with community and educational entities to provide a more integral programmatic menu to the inmate population. In 2012 LSCC partnered with Morehead State University to create the class Inside Out learning. This class allows current students at Morehead State University to interact and learn alongside inmates inside the prison.

LSCC also added the Moral Recognition Training program in 2012.

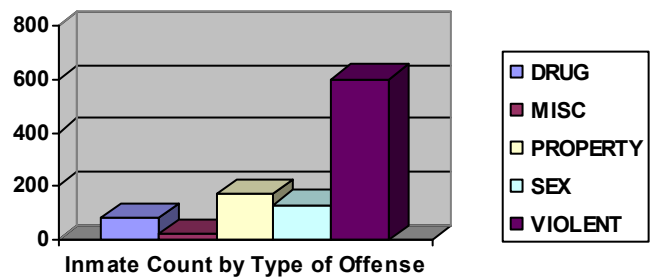
Little Sandy Correctional Complex

2012 Demographic Data

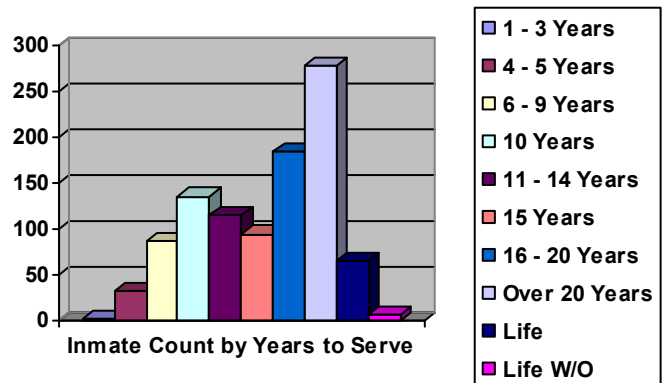
RACE	COUNT	PERCENTAGE
BLACK	276	27.44%
WHITE	711	70.67%
OTHER	19	1.89%
TOTAL	1006	100.00%



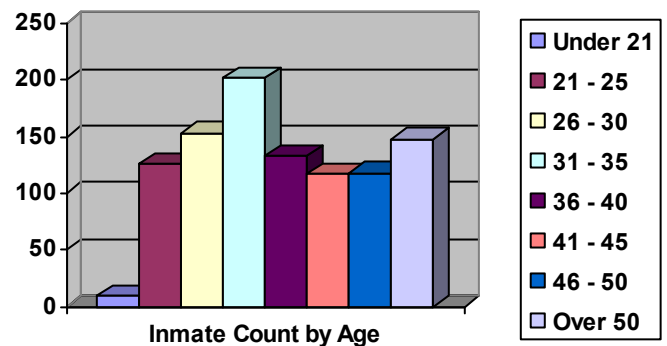
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	79	7.85%
MISC	21	2.09%
PROPERTY	173	17.20%
SEX	130	12.92%
VIOLENT	603	59.94%
TOTAL	1006	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	4	0.40%
4 - 5 Years	34	3.38%
6 - 9 Years	87	8.65%
10 Years	136	13.52%
11 - 14 Years	115	11.43%
15 Years	95	9.44%
16 - 20 Years	185	18.39%
Over 20 Years	277	27.55%
Life	66	6.56%
Life W/O	7	0.69%
TOTAL	1006	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	9	0.90%
21 - 25	126	12.52%
26 - 30	153	15.21%
31 - 35	202	20.08%
36 - 40	133	13.22%
41 - 45	117	11.63%
46 - 50	118	11.73%
Over 50	148	14.71%
TOTAL	1006	100.00%



Luther Luckett Correctional Complex



Warden

Gregory S. Howard

Deputy Warden - Security
Phillip Bramblett

Deputy Warden – Programs
Tiffany Ratliff

Administrative Assistant
Jennifer Fitzgerald

Address
1612 Dawkins Road
Lagrange, KY 40031

2012 Average Daily Population
1086

Total Number of Staff
265

Security Level
Medium

for over 22 years. It stands as a tribute to him and exemplifies his dedication and commitment to duty and excellence in correctional management.

LLCC is unique in that it actually houses two separate institutions. LLCC and the Kentucky Correctional Psychiatric Center (KCPC) operated by the Kentucky Family & Health Services. The two facilities share a number of resources and operate under a written shared services agreement.

The first inmates were received at LLCC in March 1981. The institution was originally designed to house 486 inmates. In 1990, the institution double bunked the cells. In addition, LLCC currently has 102 day beds in units 7A, 7B and 7C. This brings the present operational capacity to 1097.

Luther Luckett operates under a unit concept that stresses management of inmates at the unit level. Sanitation and security are a constant priority and despite the facility being nearly 30 years old, it is well maintained and provides a safe secure living environment for inmates.



Warden Gregory S. Howard

tains multiple work stations equipped with network computers, telephones, an overhead projector, and camera/television monitoring systems. The opening of the new command center allowed us to close the old command center in Tower 11B which had limited space.

An office had previously been provided in Tower 11B for the Hostage Negotiators. This office has now been relocated and is in the Parole Board Room of Building 2. This area allows the Hostage Negotiators immediate access to the Critical Incident Command Center and immediate access to the Captain’s office. The Think Tank will be operated out

Overview

The Luther Luckett Correctional Complex (LLCC) was the first secure institution to be built in Kentucky since the Kentucky State Reformatory in 1937. This complex was built in direct response to the Federal Consent Decree involving the DOC. The complex was named in honor of Mr. Luther Luckett, an employee of the Department of Corrections

Highlights

2012 was a productive year at Luther Luckett Correctional Complex with no serious assaults against staff and a low number of serious incidents.

Highlights for the year include a new modern Critical Incident Command Center, located in Building 2. The new Critical Incident Command Center now con-



LLCC's New Critical Incident Command Center

Luther Lockett Correctional Complex

of the Warden's conference room. Tower 11B is now being manned to provide an enhancement to perimeter security.

Another highlight for 2012 was the preparation of the facility for total lockdown status if needed or required. The main entrance has been enhanced with steel poles mounted in the ground which can be removed to allow normal movement in and out of the complex. Removable steel poles were also placed in front of building 1, which provides a safer and more secure entry point.

An intercom has been installed at Box 1 to allow someone who attempts to enter after hours to have the ability to page Tower 11A. When someone attempts to page Tower 11A via the intercom, it will ring twice in the tower and the third ring will be automatically forwarded to the Captain's office, who will then instruct Perimeter Patrol to report to Box 1 to assist as needed. A wooden fence has been erected at the entrance to prevent vehicles from driving around this check-point. Further plans include running electricity from Box 1 to Tower 11A for the purpose of providing the Tower Officer the ability to raise and lower the electronic barricades at Box 1 if needed.

Directional lines have been painted strategically throughout the compound for the purpose of controlling directional movement on the yard and to allow unimpeded access for staff to all areas of the compound.

Cameras continue to be installed in strategic areas of the facility as finances permit.

Inmate hard files were eliminated from Offender Information Services with all remaining files being scanned into KOMS.

Programmatic and Operational Areas

LLCC is a well programmed facility that focuses on providing quality programming to offenders to prepare them for successful re-entry into society.

Prominent programs include a 192 bed Substance Abuse Program (SAP) that operates under a therapeutic community model. The SAP program requires 6 to 8 months to complete. This program serves as a model for other programs in the department and often serves as a training ground for staff from other facilities and jails.

Sex offenders are offered Sex offender treatment at LLCC. This program is designed to provide inmates accountability and tools to keep from re-offending.

Educational opportunities are plentiful at LLCC. Based on individual needs, inmates are encouraged to pursue basic education, GED and College Courses offered through Jefferson Community College. Vocational Courses include Automotive Technology, Carpentry and Masonry. Night-time adult education courses are offered through Oldham County Adult Education. This allows inmates who work full time jobs

during the day to pursue their education in the evening.

Inmates can also take advantage of self help courses like Pathfinders, Servsafe, Boundaries, Thinking for a Change and Prison to the Streets. Unique among Kentucky facilities, Luther Lockett has a Shakespeare group that performs a different work each spring. Shakespeare Behind Bars has been in existence for over 16 years and several of the original members are still in the program.

LLCC continues to partner with the Oldham County Animal Shelter and the Humane Society of Oldham County to rescue dogs and place them within the facility in Camp Canine. The program maintains 12 dogs at all times and employs trained inmates to teach the dogs' basic obedience. With the third anniversary of the program's inception approaching, we have had over 200 dogs successfully adopted.

Kentucky Correctional Industries operates a print shop at LLCC employing approximately 50 inmates. This allows inmates to earn money and learn legitimate work skills in an environment very similar to that in the business world.

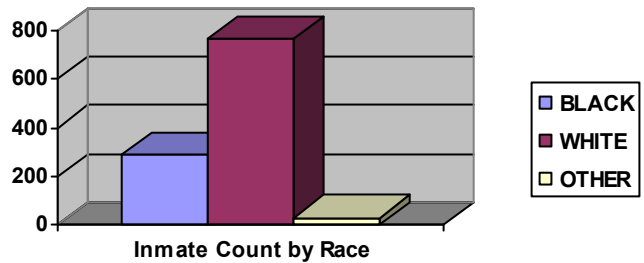


LLCC Print Shop

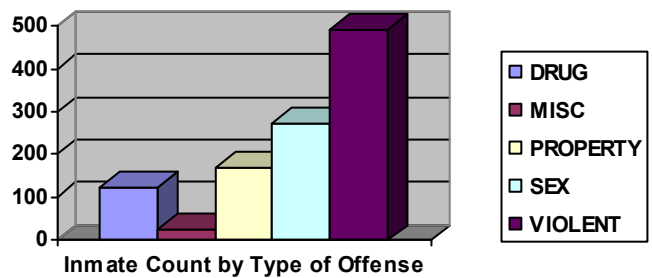
Luther Lockett Correctional Complex

2012 Demographic Data

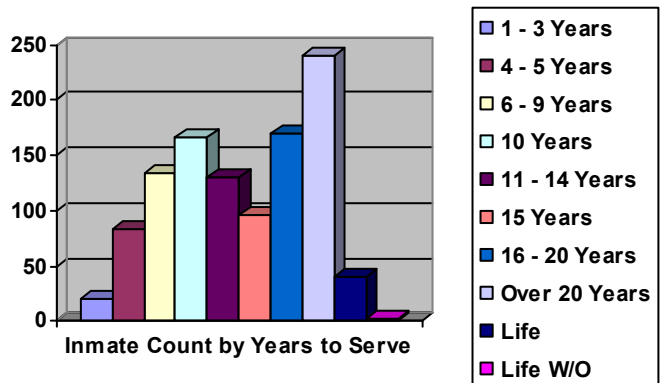
RACE	COUNT	PERCENTAGE
BLACK	286	26.36%
WHITE	771	71.06%
OTHER	28	2.58%
TOTAL	1085	100.00%



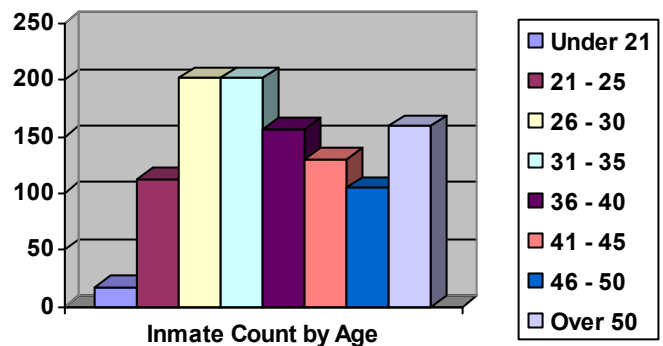
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	124	11.43%
MISC	24	2.21%
PROPERTY	171	15.76%
SEX	273	25.16%
VIOLENT	493	45.44%
TOTAL	1085	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	20	1.84%
4 - 5 Years	83	7.65%
6 - 9 Years	134	12.35%
10 Years	166	15.30%
11 - 14 Years	131	12.07%
15 Years	97	8.94%
16 - 20 Years	170	15.67%
Over 20 Years	241	22.22%
Life	41	3.78%
Life W/O	2	0.18%
TOTAL	1085	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	17	1.57%
21 - 25	113	10.41%
26 - 30	202	18.62%
31 - 35	202	18.62%
36 - 40	157	14.47%
41 - 45	130	11.98%
46 - 50	105	9.68%
Over 50	159	14.65%
TOTAL	1085	100.00%



Northpoint Training Center



Warden

Don Bottom

Deputy Warden - Security
David Gilpin

Deputy Warden – Programs
Julie W. Thomas

Deputy Warden – Operations
Gary Prestigiacomo

Administrative Assistant
Sandy Mitchell

Address

710 Walter Reed Road
Danville, KY 40422

2012 Average Daily Population
945

Total Number of Staff
279

Security Level
Medium

offenders. The facility is located in northern Boyle County near Danville, Kentucky.

The Department of Corrections received control of the property in January 1983. Northpoint Training Center was initially conceived as a minimum security institution for fewer than 500 inmates. The mission rapidly changed to the development of a medium security institution with a proposed population of approximately 700 inmates. The institution has expanded over the years to a bed capacity of 1,256.

Northpoint Training Center consists of 551 acres and approximately 44 structures. General population inmates are housed in open-bay dormitories within a secure perimeter. Special Management Unit inmates are housed in single cells in a 60-bed segregated structure separated from the



Warden Don Bottom

main compound. Minimum Security inmates, numbering approximately 40, are housed in dormitories outside the secure perimeter.

The perimeter of the secure compound is a combination of two parallel 12-foot fences with razor



The Multi-Purpose Building, which houses the Medical Department, Inmate Canteen, Academic School, Library Services and Food Services, was opened for institutional use on June 11, 2012.

Overview

Northpoint Training Center (NTC) is a medium security correctional facility for adult male

Northpoint Training Center

wire on the bottom, middle, and top. The inner perimeter fence has a sensor system that alerts the main control, four armed wall towers, an outside patrol and a control center.

Highlights

The Re-Build Project was completed and the new Visiting Room was utilized beginning May 25, 2012. The Multi-Purpose Building, which houses the Medical Department, Inmate Canteen, Academic School, Library Services and Food Services, was opened for institutional use on June 11, 2012.

As a part of the Re-Build Project, 548 state of the art security cameras were installed to monitor inmate activities. Detention Grade doors and locks were installed on the Dormitory exits to further enhance security. As a result of the completed construction of the new buildings, Northpoint Training Center is now housing the same number of inmates prior to the disturbance of 2009.

Dormitories 1, 2 and 3 were reverted back to housing units, due to the completion of the new Multi-Purpose Building and Visiting Room and the increase in population.

Programmatic and Operational Areas

Through an ongoing federal grant from the National Endowment of the Arts, Northpoint Training Center continues to partner with Pioneer Playhouse to present



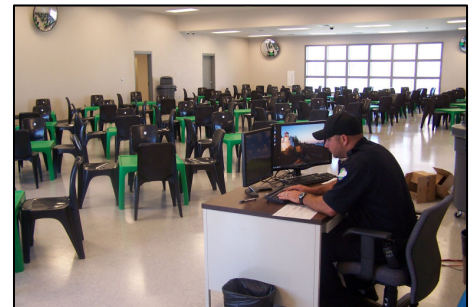
The new Visitation Building at NTC opened May 25, 2012.

plays and writing classes to the inmate population.

The Sex Offender Treatment Program or SOTP, which began in May 2010, continues to serve as a vital component to decrease an offender's chance of reoffending once released into the community. As of December 2012, the program had 55 participants.

Several programs were implemented to help inmates with their transition into society upon leaving incarceration. One such program, New Directions, covers housing needs, transportation, employment, parenting and several other topics to help with a smoother transition into the outside community.

The Substance Abuse Program (SAP) began on September 24, 2012. This program uses the group concept, with the whole group living and working together toward a common goal.



Interior view of the new Visitation Building at NTC.

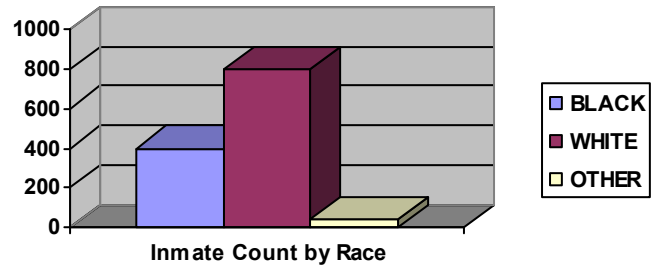
Participants in this program are isolated as much as possible from negative influences that would impair their movement toward permanent sobriety and recovery. By the end of 2012, this program had 100 participants.

Other programs include: Moral Recognition Therapy, Pathfinders, Inside Out Dads and Microsoft Office and C-Tech Cabling Classes. All of these programs will help our inmate population to re-enter society and improve their chances of success.

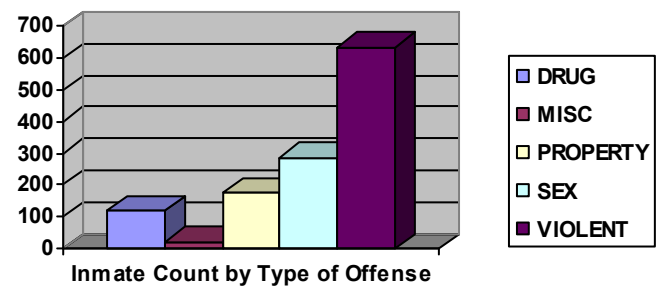
Northpoint Training Center

2012 Demographic Data

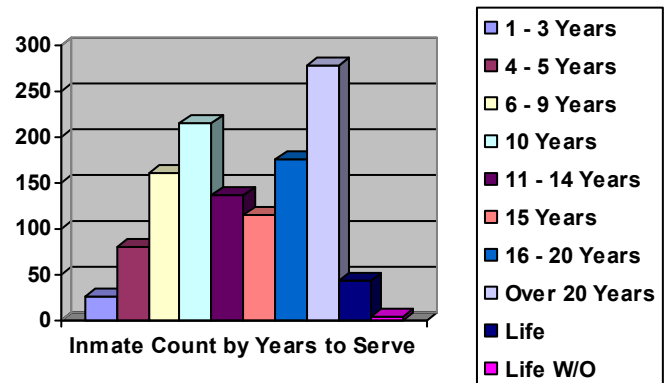
RACE	COUNT	PERCENTAGE
BLACK	400	32.31%
WHITE	802	64.78%
OTHER	36	2.91%
TOTAL	1238	100.00%



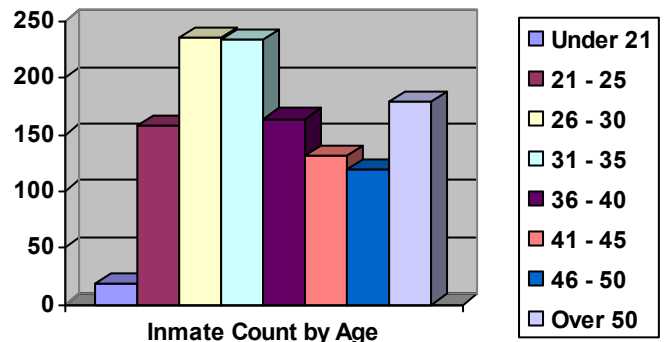
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	120	9.69%
MISC	21	1.70%
PROPERTY	175	14.14%
SEX	288	23.26%
VIOLENT	634	51.21%
TOTAL	1238	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	27	2.18%
4 - 5 Years	81	6.54%
6 - 9 Years	160	12.92%
10 Years	215	17.37%
11 - 14 Years	137	11.07%
15 Years	116	9.37%
16 - 20 Years	175	14.13%
Over 20 Years	277	22.39%
Life	44	3.55%
Life W/O	6	0.48%
TOTAL	1238	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	18	1.46%
21 - 25	158	12.76%
26 - 30	235	18.98%
31 - 35	234	18.90%
36 - 40	164	13.25%
41 - 45	131	10.58%
46 - 50	119	9.61%
Over 50	179	14.46%
TOTAL	1238	100.00%



Roederer Correctional Complex



Warden

**Martin “Dino”
Granado**

Deputy Warden - Security
Vanessa Kennedy

Deputy Warden – Operations
Sharon Veech

Administrative Specialist III
Amanda Dees

Address
P.O. Box 69
LaGrange, KY 40031

2012 Average Daily Population
1084

Total Number of Staff
234

Security Level
Medium

Overview

The Roederer Correctional Complex (RCC) was first opened August 28, 1976 as a 150 bed minimum security “work camp” to operate a 3,000 acre prison farm. In December of 1987, the facility was converted to a medium secu-

urity facility and in 1989 the Assessment and Classification Center was transferred from the Kentucky State Reformatory to RCC.

There are currently five living units at RCC with a total rated capacity of 1,102 inmates.

The Roederer Correctional Complex currently has three missions: 1) The Assessment and Classification Center processes all incoming male felons with the exception of those sentenced to death row; 2) RCC inmates provide support services for the institution including 100 inmates who work in the maintenance department, kitchen, laundry, legal office and grievance office; and 3) The Substance Abuse Treatment Program which is a 200-bed intensive in-patient treatment unit.

Highlights

On June 4, Warden James Sweatt was named to the position of Director of Population Management for the Kentucky Department of Corrections. Martin “Dino” Granado was promoted from the position of Deputy Warden to Warden at RCC.

The Program Security Review (PSR) Audit for the Roederer Correctional Complex was conducted April 23 - 25, 2012. The PSR Audit went very well and the audit team was very complimentary of the facility and the electronic ACA Files.

Medical and Kitchen HVAC upgrades were completed to reconnect them to the central control

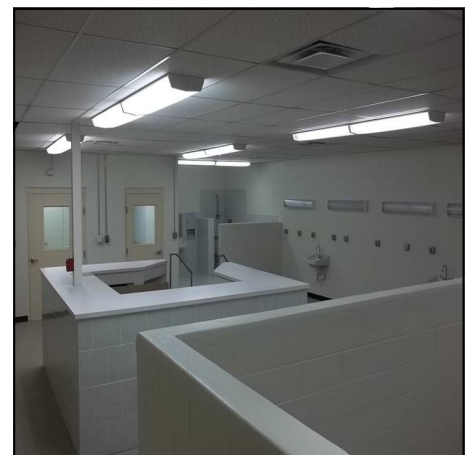


Warden Martin “Dino” Granado

system. This allows remote control and monitoring of these areas.

Construction was completed on the renovation of the recreation area turning it into a medical housing unit. The new medical housing unit includes four opening beds and one negative pressure isolation room.

Construction also began on the new Roederer Chapel / Canteen



New Medical Infirmary at RCC

Roederer Correctional Complex



RCC Chapel / Canteen

building; the structure should be completed in 2013. The Chapel area will be 4500 square feet and the Canteen will be 1500 square feet.

Programmatic and Operational Areas

The Library in Unit 3 was converted into a classroom for the new Microsoft and Cabling classes that are currently being offered to the permanent inmate population.

The Anger Management Program is a 12-week course offered to permanent inmates. To be eligible for the course, inmates must be within one year of their parole eligibility date or serve out date.

The Substance Abuse Program (SAP) underwent a transition from a nine month program, to a ninety day (Living in Balance) program, then back to a nine month program. The original transition was done after an independent study determined that not all offenders in need of substance abuse treatment would require such an intensive program. An evaluation of Substance Abuse Program beds was done once the Living in Balance program was in place—the conclusion was that

the nine month program was needed more at RCC.

The Substance Abuse Program offers many unique re-entry benefits for the inmates including “The Bridge Aftercare Ministries” and an Offender Re-entry Help Line. The Bridge Aftercare Ministries is a faith-based, Christian program for inmates within six months of their release date.

The Offender Re-entry Help Line is staffed by offenders who assist ex-offenders that are seeking resource information in their communities such as social services, financial services, substance abuse treatment and emotional support. Selected offenders who participate in SAP are often invited to speak to “at risk” youth throughout Oldham, Jefferson, Shelby, Henry and other surrounding counties in Kentucky.

The Incarcerated Veterans Transitional Program is a project funded by the Kentucky Department of Veteran’s Affairs in cooperation with the DOC. Eligibility for the program is based on 180 days of active military service with a separation that is not a “bad conduct” or “dishonorable discharge” and incarceration in a Kentucky state prison after April 1, 2004.

The veterans who are enrolled in this transitional program are offered the following services: assistance with transitional housing, job training assistance, job search assistance, job placement services, periodic follow-up contacts and referrals to other service providers.

The Farm Operation continues to utilize a horse program in which inmates use horses to check cattle, fences and perform various other duties. Inmates are also instructed in the proper procedures for feeding, grooming and caring for the horses. The farm manager developed a curriculum based on Clinton Anderson’s Horsemanship philosophy to teach inmates proper horse training methods.

Two members of the Farm Staff were certified as Trainers for the Clinton Anderson program. During 2012, program participants successfully trained 15 horses. After successful completion of the program each inmate receives a certificate of completion and 90 days meritorious good time.



Roederer Correctional Complex Horse program

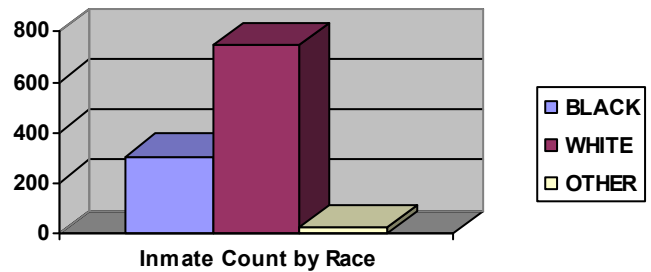
The inmate clubs at RCC conducted fundraisers and donated proceeds to various community based charities. Bridges donated \$500 to Fight Crime Against Children and \$300 to St. Joseph’s Home. New Directions donated \$250 to Blessings in a Backpack.

Program Administrator Abby McIntire participated in the Commissioner’s Executive Leadership Program.

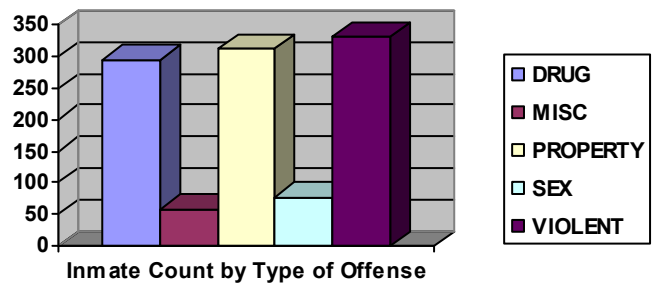
Roederer Correctional Complex

2012 Demographic Data

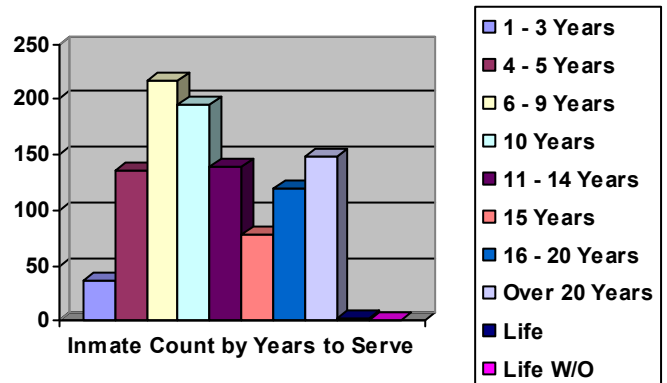
RACE	COUNT	PERCENTAGE
BLACK	305	28.40%
WHITE	747	69.55%
OTHER	22	2.05%
TOTAL	1074	100.00%



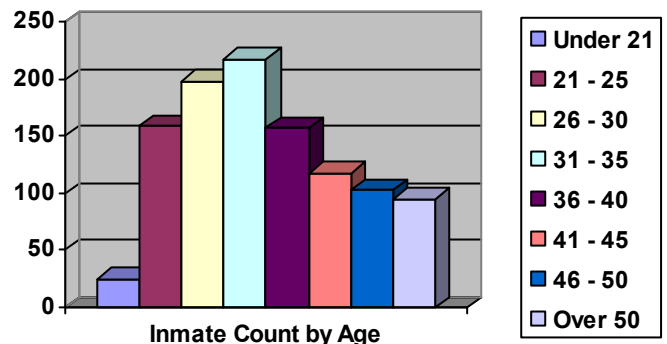
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	295	27.47%
MISC	58	5.40%
PROPERTY	312	29.05%
SEX	77	7.17%
VIOLENT	332	30.91%
TOTAL	1074	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	37	3.45%
4 - 5 Years	136	12.66%
6 - 9 Years	217	20.39%
10 Years	195	17.16%
11 - 14 Years	139	12.94%
15 Years	78	7.26%
16 - 20 Years	120	11.99%
Over 20 Years	148	13.78%
Life	3	0.58%
Life W/O	1	0.09%
TOTAL	1074	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	25	2.33%
21 - 25	159	14.80%
26 - 30	199	18.53%
31 - 35	218	20.31%
36 - 40	157	14.62%
41 - 45	118	10.99%
46 - 50	103	9.59%
Over 50	95	8.85%
TOTAL	1074	100.00%



Western Kentucky Correctional Complex



Warden Steve Woodward

Deputy Warden-Security
Vacant

Deputy Warden-Programs
DeEdra Hart

Administrative Assistant
Kim Odom

Address
374 New Bethel Road
Fredonia, KY 42411

2012 Average Daily Population
675

Total Number of Staff
235

Security Level
Medium

tucky Correctional Complex in July 1990.

The institution houses 693 inmates and includes a 200 bed minimum security unit, 44 cells (with two additional Observation Cells) in a Special Management Unit and 477 medium security inmates housed in three open wing dormitories within the secured perimeter.

Inmates are offered academic and vocational programs along with employment opportunities that prepare them to be capable of contributing to society in a positive manner upon release.

WKCC has a farming operation, situated on 2,200 acres, with a herd of 800 beef cows and calves, 405 acres of corn, 300 acres of wheat and 355 acres of soybeans. There is also a 15 acre garden and a 275 tree apple orchard, as well as a composting operation.

WKCC also operates a Regional Recycling Center that partners with six surrounding counties that result in an average landfill saving of 500 tons per year.

Highlights

In January 2012 the WKCC CERT conducted training at the Crittenden County Jail by assisting them in a search of the jail for contraband. Many items of contraband were found.

On January 17, 2012 WKCC inmates presented a check in the amount of \$2,500.00 to the Livingston County Family First Organization. The funds were



Warden Steve Woodward

raised by a special hygiene sale. The Livingston County Family First Organization provides necessities to local families that have special needs.

In February 2012 WKCC was approved to spend \$12,487.00 on an institutional wide upgrade of fire alarm systems. Many of the non-resident buildings did not have exterior fire indicator alarms. Four of the 10 buildings needed smoke detectors installed in the duct work for interior notification as well as the exterior alarms.

April 6, 2012 marked the second anniversary for WKCC receiving its first female inmates.

In May 2012 WKCC scored 100% on the ACA re-accreditation audit. Since 1988 WKCC has been accredited through ACA however, this was the initial accreditation audit since being changed to a female facility.

On August 19, 2012 WKCC presented a check to Lyon County Judge Executive Wade White in the amount of \$2,004.18. The

Overview

The Western Kentucky Correctional Complex (WKCC) was constructed in 1968 as a satellite facility of the Kentucky State Penitentiary (KSP). In 1977, this facility became a separate minimum institution called the Western Kentucky Farm Center. In 1989, the institution was converted to a medium security facility. The name of the institution was changed to Western Ken-

Western Kentucky Correctional Complex

check was donated for the Lyon County Playground Project. The money was generated from a special commissary sale for the inmate population.

On October 1, 2012 DeEdra Hart was promoted to Deputy Warden of Programs.

On October 6, 2012 H&R Ministries hosted its 3rd annual Celebrate L.I.F.E. day at WKCC. This program encourages the inmates to reconnect with their children while having a festive atmosphere at the prison. 32 inmates, 64 of their children and 222 volunteers participated.



H & R Ministriess 3rd annual Celebrate L.I.F.E. day

On October 20, 2012 the Employee Benefit Team sponsored a Family Day Picnic for staff. Activities that were available were hayride, hay maze, inflatables for the kids, pony ride, fishing tournament, face painting and lots of other activities.

On October 31, 2012 Warden Bryan Henson was named as the PREA Coordinator for the Department.

On December 7, 2012 H&R Ministries sponsored a Staff and Family Christmas Party for

WKCC, KSP and GRCC. There was food, fun, entertainment and gifts for the children.

On December 8, 2012, H&R Ministries sponsored the 9th annual Christmas Carnival for the inmates housed at WKCC. Brother Harold Riley along with 126 volunteers set up games and prizes for the carnival to spread Christmas cheer to all inmates. The games varied from frisbee throw, ring toss, hoops and other carnival games. The volunteers also put on a show of interpretive dance to gospel music and had an excellent speaker to minister to the inmates.

On December 12, 2012 the WKCC's Veterans Club presented a check in the amount of \$500 to the Lyon County Family Resource Center. The donation was for their Angel Tree Project.



Inmate Veterans Club donates \$500 to help with the Angel Tree Project.

Programmatic and Operational Areas

The Living In Balance (LIB) Program began on October 1, 2012. The LIB Program is a 55-bed, 90-day Substance Abuse

Treatment Program intended to help substance abusers who require a less intensive level of treatment than the 6-month SAP Program. The LIB Program consists of 12 core sessions with each session being one week in length. Some of the topics of discussion include alcohol/drug education, identifying triggers, negative emotions, communication and relapse prevention. The LIB Program is built on the therapeutic community model of treatment which allows participants to learn appropriate decision making, reduces criminal thinking, and promotes personal and collective accountability with the overall goal of living a clean and sober life upon release from prison.

On August 29, 2012, WKCC Education Center held its annual Graduation ceremony which was attended by over 200 student inmates. The Education Center recognized 55 inmates for earning their GED, 37 for completing Cabling Technology, 29 for completing Microsoft Office Technology, five for completion of the In 2 Work Program and four for completing Horticulture.

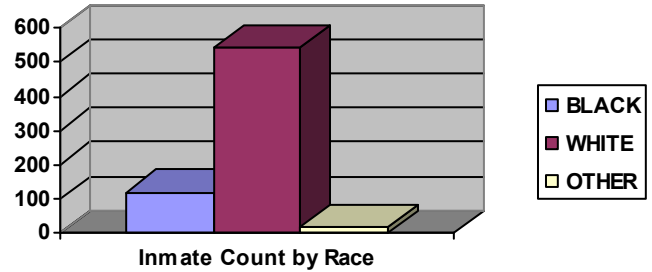


EKCC Education Center annual graduation ceremony takes place on August 29, 2012.

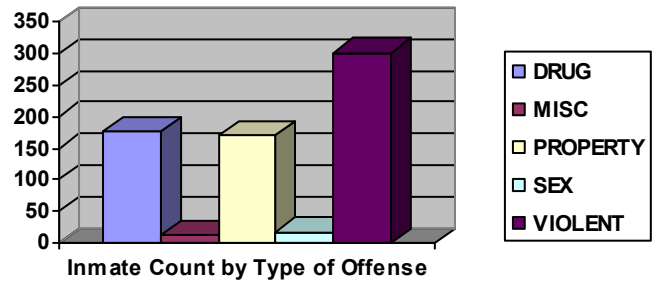
Western Kentucky Correctional Complex

2012 Demographic Data

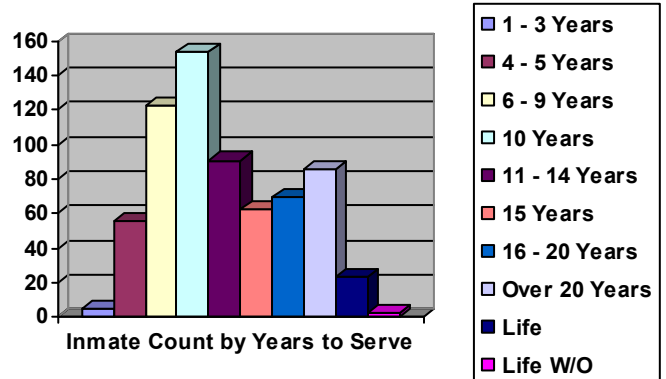
RACE	COUNT	PERCENTAGE
BLACK	118	17.48%
WHITE	542	80.30%
OTHER	15	2.22%
TOTAL	675	100.00%



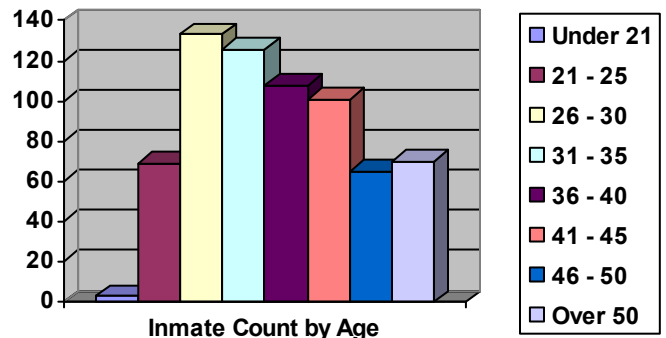
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	176	26.07%
MISC	12	1.78%
PROPERTY	170	25.19%
SEX	16	2.37%
VIOLENT	301	44.59%
TOTAL	675	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	5	0.74%
4 - 5 Years	56	8.30%
6 - 9 Years	123	18.22%
10 Years	154	22.81%
11 - 14 Years	91	13.48%
15 Years	63	9.33%
16 - 20 Years	70	10.37%
Over 20 Years	86	12.74%
Life	24	3.57%
Life W/O	3	0.44%
TOTAL	675	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	3	0.45%
21 - 25	69	10.22%
26 - 30	133	19.70%
31 - 35	126	18.67%
36 - 40	108	16.00%
41 - 45	101	14.96%
46 - 50	65	9.63%
Over 50	70	10.37%
TOTAL	675	100.00%



Marion Adjustment Center



Warden

Daniel Akers

Assistant Warden

Harrell Gray

Chief of Security

Cabrina Gadberry

Chief of Unit Management

Ralph Clifton

Address

95 Raywick Road
P.O. Box 10
St. Mary, KY 40063

2012 Average Daily Population

817

Total Number of Staff

191

Security Level

Medium

Overview

Marion Adjustment Center (MAC) was established through U.S. Corrections Corporation in 1986. It was the first privately owned and operated adult male correctional facility in America.

The facility is located in St. Mary, Kentucky five miles from Lebanon in Marion County. The building and grounds were once

home to a catholic college in 1821 and St. Mary's Catholic Seminary in 1929.

MAC sits on 120 acres and has the capacity to house 862 inmates. It is comprised of three housing units, two minimum and one medium, along with various other buildings that are utilized for recreation, food service, medical and academics as well as substance abuse and other programs.

In 1998, MAC was purchased by Corrections Corporation of America who still operates the facility today.

Highlights

During 2012, Marion Adjustment Community Service inmates continued to rebuild the Marion County Fagan Branch trail and completed the three year expansion. The re-dedication ceremony was held on April 13, 2012. MAC staff and inmates who worked on the project were recognized and included on the re-dedication plaque.

MAC led the state in GED acquisitions in 2012 with a total of 84 inmates achieving their GED.

The Human Kindness Organization (HKO) held its annual fall festival where minimum security inmates interacted with over 50 physically and/or mentally handicapped individuals from three surrounding counties. Some of the activities during the festival included dancing, basketball, softball, bean bag toss, bowling and jump rope. A picnic lunch



Warden Daniel Akers

was provided for the inmates and participants. HKO inmates sponsor various fundraisers throughout the year to cover the expense of the yearly festival.

Programmatic and Operational Areas

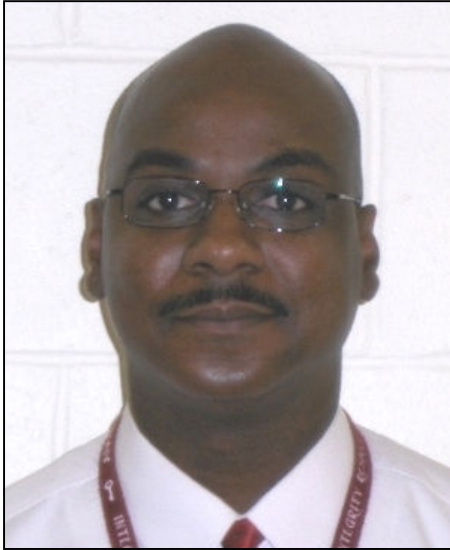
The programs currently offered at MAC are:

MAC provides a comprehensive educational program ranging from literacy to GED preparation. Optional College courses are offered and coordinated with Campbellsville University. We also offers three Vocational classes - Construction Technology, Horticulture, and Microsoft Office Specialist.

Construction Technology is offered through the National Center for Construction Education and Research (NCCER) and teaches the inmates construction techniques for building houses.

Horticulture, the study of plants and flowers, is offered through

Marion Adjustment Center



Assistant Warden Harrell Gray

the National Occupational Competency Testing Institute (NOCTI).

Microsoft Office Specialist is offered through Certiport. This program enables inmates to be certified in Microsoft Office Word, PowerPoint, Excel and Access. Four inmates were certified as Microsoft office specialists this year.

MAC also offers the National Career Readiness Certificate (NCRC) to the Vocational students.

Inmate work programs allow inmates to work both inside and outside the institution in areas such as food service, laundry, maintenance and other jobs throughout the facility. Inmates gain experience and develop work habits that will be beneficial when returning to mainstream society.

Inmates with level-one custody may participate in the community service programs outside the in-

stitution. Outside work programs include such things as set-up and clean-up for the annual Marion County Country Ham Days festival, “adopt-a-highway” program and other projects throughout the year. MAC has two D.O.T. (Department of Transportation) crews who go out into the county clearing brush and debris off highways and assisting D.O.T. where needed.

MAC’s Second Chance Canine Program has proven to be very successful. This program saves dogs from the local animal shelter that would have otherwise been euthanized. The inmates assigned to this program crate train and teach the dogs proper social and interaction skills before adopting them out to loving families. Since the program’s inception, over 157 dogs have been trained and adopted through the program.

The Residential Drug Abuse Program (RDAP) assists inmates in dealing with substance abuse problems. This behavior modification program provides participants with the necessary tools to return to the community, remain sober and make a positive contribution to their families. This is achieved through physiological detoxification, behavior modification and spiritual evolution. Life Line offers its residents the opportunity to develop pro-social behavior and values by learning to live together in a highly structured therapeutic community environment. MAC has the capacity to house 188 minimum and 88 medium security inmates in substance abuse beds. A total of 245

minimum and 61 medium security inmates graduated from the program in 2012.

Narcotics Anonymous and Alcoholics Anonymous are on-going support groups with the purpose of giving encouragement to recovering drug addicts and alcoholics. In addition to these programs MAC also has Anger Management and the Veterans Club. During 2012 several programs have been added such as, Moral Recognition Therapy (MRT), New Directions, Inside Out Dads and Pathfinders.

Thinking for a Change (T4C) is offered in the medium security unit. This program focuses on cognitive restructuring and social skills. It teaches problem solving and helps offenders identify thinking errors that will assist them in taking charge of their life.

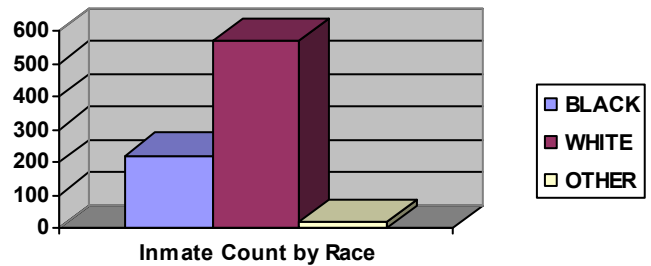
MAC offers a variety of religious programming to the inmate population in order to meet their many diverse needs. There are numerous programs offered throughout the week. Special services are also scheduled and many times include outside religious authority figures that visit the chapel and hold services.

Inmates are provided opportunities to participate in both indoor and outdoor recreational activities every day of the week. Special recreational activities are periodically offered under the direct supervision of the Recreation Supervisor and their staff. Inmate workers are also utilized to assist with the recreational programs.

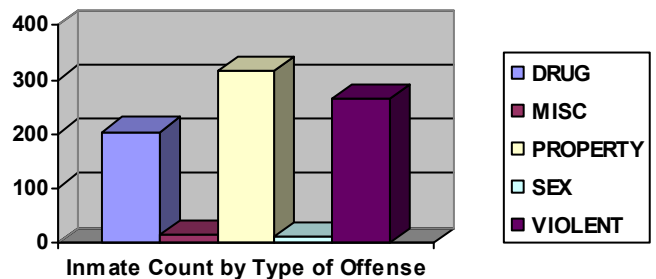
Marion Adjustment Center

2012 Demographic Data

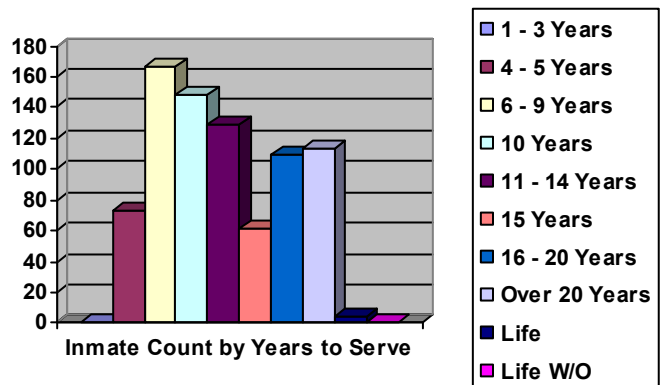
RACE	COUNT	PERCENTAGE
BLACK	221	27.35%
WHITE	571	70.67%
OTHER	16	1.98%
TOTAL	808	100.00%



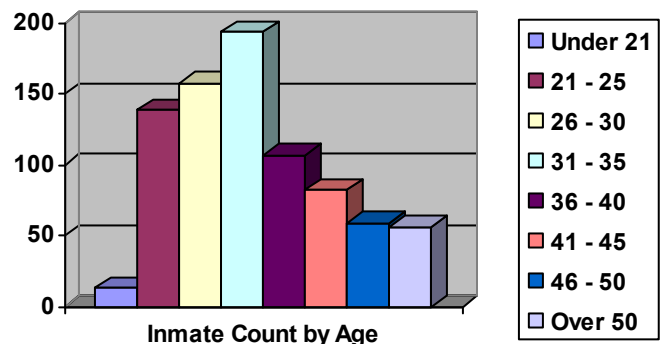
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	204	25.25%
MISC	14	1.73%
PROPERTY	316	39.11%
SEX	10	1.24%
VIOLENT	264	32.67%
TOTAL	808	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1	0.12%
4 - 5 Years	73	9.03%
6 - 9 Years	166	20.67%
10 Years	148	18.32%
11 - 14 Years	130	15.97%
15 Years	62	7.67%
16 - 20 Years	109	13.49%
Over 20 Years	113	13.99%
Life	5	0.62%
Life W/O	1	0.12%
TOTAL	808	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	13	1.62%
21 - 25	139	17.20%
26 - 30	158	19.55%
31 - 35	194	24.01%
36 - 40	107	13.24%
41 - 45	82	10.15%
46 - 50	59	7.30%
Over 50	56	6.93%
TOTAL	808	100.00%



Otter Creek Correctional Center



Warden

Grady Perry

Assistant Warden

Danny Christmas

Administrative Assistant

Linda Little

Address

P.O. Box 500
Wheelwright KY, 41669

2012 Average Daily Population
536

Total Number of Staff
160

Security Level
Medium

Overview

Construction began on Otter Creek Correctional Center (OCCC) in 1993. Otter Creek was originally built by U.S. Corrections Corporation of Louisville. In April 1998 USCC was purchased by Corrections Corporation of America. In August 2005 the facility began accepting female inmates from the states of Kentucky and Hawaii.

The facility began a transition from housing female inmates to

housing Kentucky DOC male inmates in April 2010. The America Correctional Association first accredited this institution in 1996. The facility has been reaccredited five times with the most recent occurring in March 2011.

Leadership of OCCC was under Grady Perry, who was named warden in March 2011. He most recently served as assistant warden at CCA's Silverdale Detention Facilities. Perry started his career with CCA in 1992 as a correctional officer at South Central Correctional Center, where he also served as senior correctional officer, shift supervisor and assistant chief of security. He also spent 13 years serving in the Tennessee National Guard.

Highlights

The contract that allowed the Kentucky Department of Corrections to house inmates at OCCC expired on June 30, 2012. Due to the completed rebuild at Northpoint Training Center (NTC), the DOC opted not to renew this contract. The inmates that were being housed at OCCC were transferred, with the majority being moving to NTC, thus bringing that institution to full capacity.



Otter Creek Correctional Center



Warden Grady Perry

There was an average of 536 male inmates housed at OCCC in 2012. Throughout 2012 OCCC utilized the Unit Management concept. The unit teams actively work with the inmates. They conduct investigations on each incident that occurs in the unit. The unit teams' schedules have been altered to cover more evening hours. They also monitor the chow hall, dorms and all hallways. To increase the effectiveness of the unit teams, permanent officers were assigned to each of the housing units, which allowed them to play an active role in the units they were running.

OCCC's security enhancements included a non-lethal stun fence as well as a PELCO/fence camera system that allowed for three cameras to view an area should a fence alarm be activated. The new system allowed for the warden, assistant warden, chief of security, chief of unit management, shift supervisors and central control to access the cameras from their computer. Otter Creek

Otter Creek Correctional Center

also utilized a management fence to assist in the control of inmate movement. This fence allowed for control of inmates during an emergency situation.

Programmatic and Operational Areas

OCCC offered many different programs for an offender to learn new skills and training that would help them prepare for integration back into society.

The facility offered the “Ideas for Better Communication” program. This program included a collection of materials for leading counseling sessions on ways to improve relationships through communication. The facility also

offered the program “Thinking For a Change”. Other programs that were offered included: AA/NA, Parenting, Anger Management, Life without a Crutch and Prison to the Streets.

OCCC’s education department, which had a daily average attendance of 125 inmates in 2011, had a total of eight teachers, one clerk and one education supervisor. The education department offered classes in both GED and vocational programming.

Otter Creek’s Residential Drug Abuse Program (RDAP) was a six month program. These programs were specifically customized for particular facilities and their inmate population. They

provided a therapeutic community environment, discussion and counseling groups, and post release follow-up support to transitioning offenders. The program was voluntary and offenders chose to enter a “community” within the facility where everyone was sharing a common goal of breaking their addictions.

Otter Creek Correctional Center staff knew that a safe and secure institution was the most important aspect in corrections and facility management.

The DOC’s decision not to renew OCCC’s contract is in no way a reflection on the level of service that was being provided by the staff and management of OCCC.



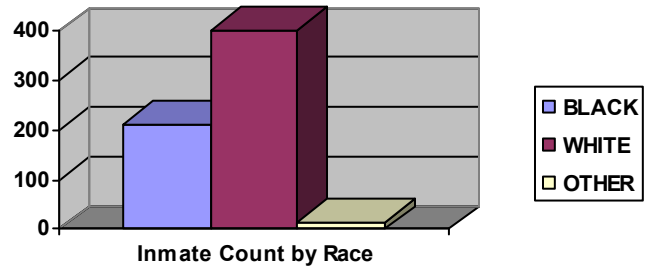
Housing Unit at Otter Creek Correctional Center

Otter Creek Correctional Center

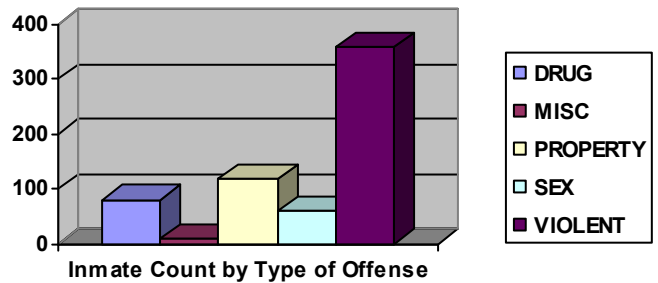
2011 Demographic Data

(as of 04-01-2012; prior to start of draw down at the end of the contract period)

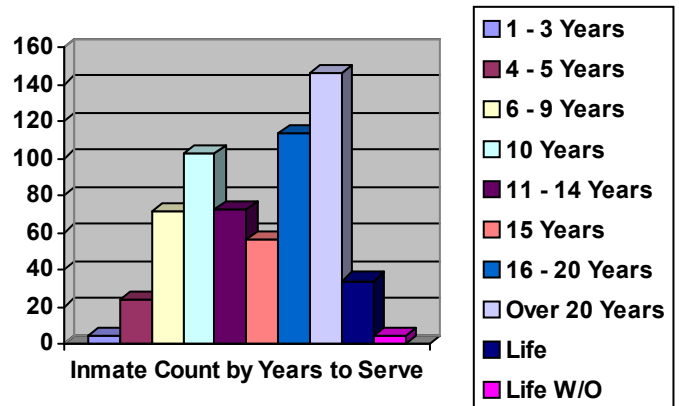
RACE	COUNT	PERCENTAGE
BLACK	212	33.76%
WHITE	400	63.69%
OTHER	16	2.55%
TOTAL	628	100.00%



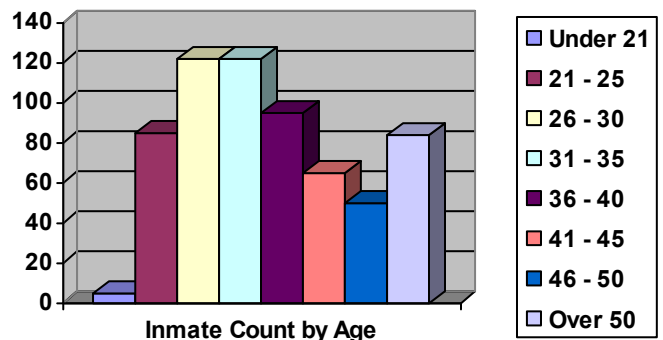
TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	81	12.90%
MISC	10	1.59%
PROPERTY	118	18.79%
SEX	61	9.71%
VIOLENT	358	57.02%
TOTAL	628	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	4	0.63%
4 - 5 Years	24	3.82%
6 - 9 Years	71	11.31%
10 Years	103	16.40%
11 - 14 Years	72	11.46%
15 Years	56	8.92%
16 - 20 Years	114	18.15%
Over 20 Years	146	23.26%
Life	34	5.41%
Life W/O	4	0.64%
TOTAL	628	100.00%



AGE RANGE	COUNT	PERCENTAGE
Under 21	5	0.80%
21 - 25	85	13.54%
26 - 30	122	19.43%
31 - 35	122	19.43%
36 - 40	95	15.13%
41 - 45	65	10.35%
46 - 50	50	7.96%
Over 50	84	13.37%
TOTAL	628	100.00%



Office of Community Services and Local Facilities

Deputy Commissioner Paula Holden

Classification/Population
Division Director
James Sweatt

Division of Local Facilities
Director
Jeff Burton



*Paula Holden
Deputy Commissioner
Offices of Community Services
and Local Facilities*

Classification / Population

The Population Management Division/Classification Branch is responsible for oversight of classification actions in all state institutions, contract facilities, and full-service county jails.

Inmate custody levels are determined through an objective based risk instrument that was developed with the assistance of the National Institute of Corrections (NIC). The classification manual is reviewed and updated in accordance with recommendations from validity and reliability studies; also conducted with the assistance of the NIC.

The Division conducts annual training for all classification staff addressing any classification issues and changes in policy, procedure, and statute. In addition to annual classification training, the Division conducts CourtNet, VINE, and EPO/DVO Training.

The Division is responsible for implementing and updating policies to ensure compliance with statutes and system changes.

The Division reviews all inmate transfer and furlough requests,

jail disciplinary reports, jail detainees, jail additional sentences and security priority admission requests.

The Division monitors the population of all state institutions, contract facilities and county jails. When necessary, movement is directed by division staff in order to alleviate overcrowding in county jails.

The Division Director oversees the Assessment Centers currently located at RCC and KCIW and directs all admissions/transfers.



*James Sweatt,, Division Director
Classification/Population*

Highlights

In March 2012, Director Paula Holden was promoted to Deputy Commissioner of Community Services. In June, James Sweatt was promoted to Director of the Division.

The Division assisted in transferring all Kentucky inmates out of OCCC by July 2012, the end of the contract period. These transfers enabled the Division to repopulate 588 beds at the NTC.

The Division assisted in opening Substance Abuse Programs at Little Sandy Correctional Complex and Northpoint Training Center.

The Classification Branch developed a resource manual for all new Classification & Treatment Officers.

Kieryn Fannin participated in the Commissioner's Executive Leadership Program.

Kieryn Fannin and Amanda Scott participated in APEX training in December 2012.



*Classification / Population Staff
(L to R) Kieryn Fannin, Amanda Dees, Lisa Teague, James Sweatt, Amanda Scott, Jennifer Tracy and Tammy Howard.*

Division of Local Facilities



*Jeff Burton, Director
Local Facilities*

Division of Local Facilities

The Division of Local Facilities is charged with overseeing community-based programs for inmates, enforcement of minimum jail standards, guidance with construction and renovation matters, complaints and investigations.

The Division of Local Facilities provided funding to the 120 Counties across the Commonwealth. These funds were provided through many funding programs, such as direct payment for housing state inmates, medical allotment payments, bed allotment payments, pay for inmate labor, and payments for catastrophic medical claims.

Local Facilities provided inmate labor for many local community projects. This labor provides free labor for community-service-related projects. Inmate labor can be used by the Commonwealth or an agency of the Commonwealth, a county or agency of the county, or by a nonreligious-sponsored

nonprofit, charitable, or service organization.

Local Facilities provides technical assistance in overall jail operations, policy and procedures, and staff development training. In addition, Local Facilities works with county governments to provide guidance during the architectural drawing phase for jail renovation and new construction projects. The Division also monitors the construction process from the planning stages through the opening of the new facility.

The Local Facilities Division conducted jail inspections on all jail facilities across the Kentucky. These inspections provided vital information on the operations of the local detention centers. They also provided the detention centers with guidance for providing safer housing of the inmates, and ways to keep the communities that they serve safer.

The Local Facilities Division has acted as the agent for the Community Corrections Grants Program since 2005. This program provides funding to community based agencies for alternatives to incarceration, including home incarceration, community service projects, drug testing, home visits, counseling, and many other services.

State Inmate Population in County Jails

The status of the local, state and national economy continues to impose significant problems when it comes to properly funding our prisons and jails. While

Kentucky has seen some moderate growth in tax revenues for FY 12, these monies would most likely not be available until the next biennial budget cycle.

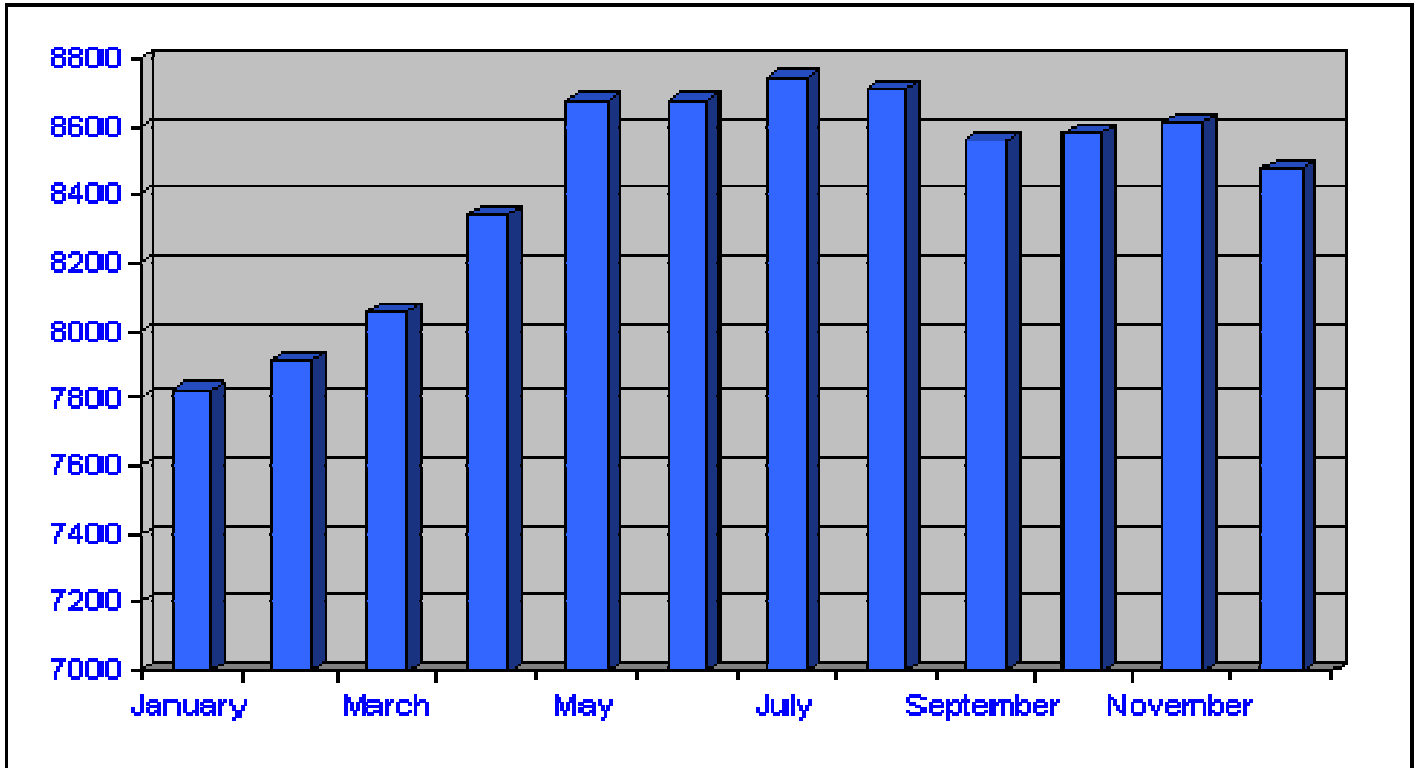
HB 463 was and is expected to have a positive impact on state and local budgets for FY 12 and FY13 by reducing the overall number of inmates in our prisons and jails. However, to date, this has not been the reality, especially for local jails and the fiscal courts trying to keep the jails afloat. The average population of state inmates in county jails has risen steadily since the end of 2010 (7549, 7868, and 8433 respectively). In July 2012, the state inmate population in county jails reached a record high average of 8,747.

The continued growth of state inmates in county jails may be attributed in part to the following: Continued use of the Budget D inmate program; Otter Creek Correctional Complex stopped accepting inmates in March 2012 and later closed (contract not renewed); in April, May, and June all administrative release, parole, and MRS beds were backfilled from OCCC thereby putting this class of inmates in county jails on hold; on June 19, 2012 the Department began filling the 788 beds at North Point Training Center with the remaining inmates from OCCC further reducing the available beds for state inmates; inmates being held for parole upon completion (PUC) in the SAP Program; statutory changes to many of the drug laws that reduced Class B & C felony offenses to Class D offenses; and

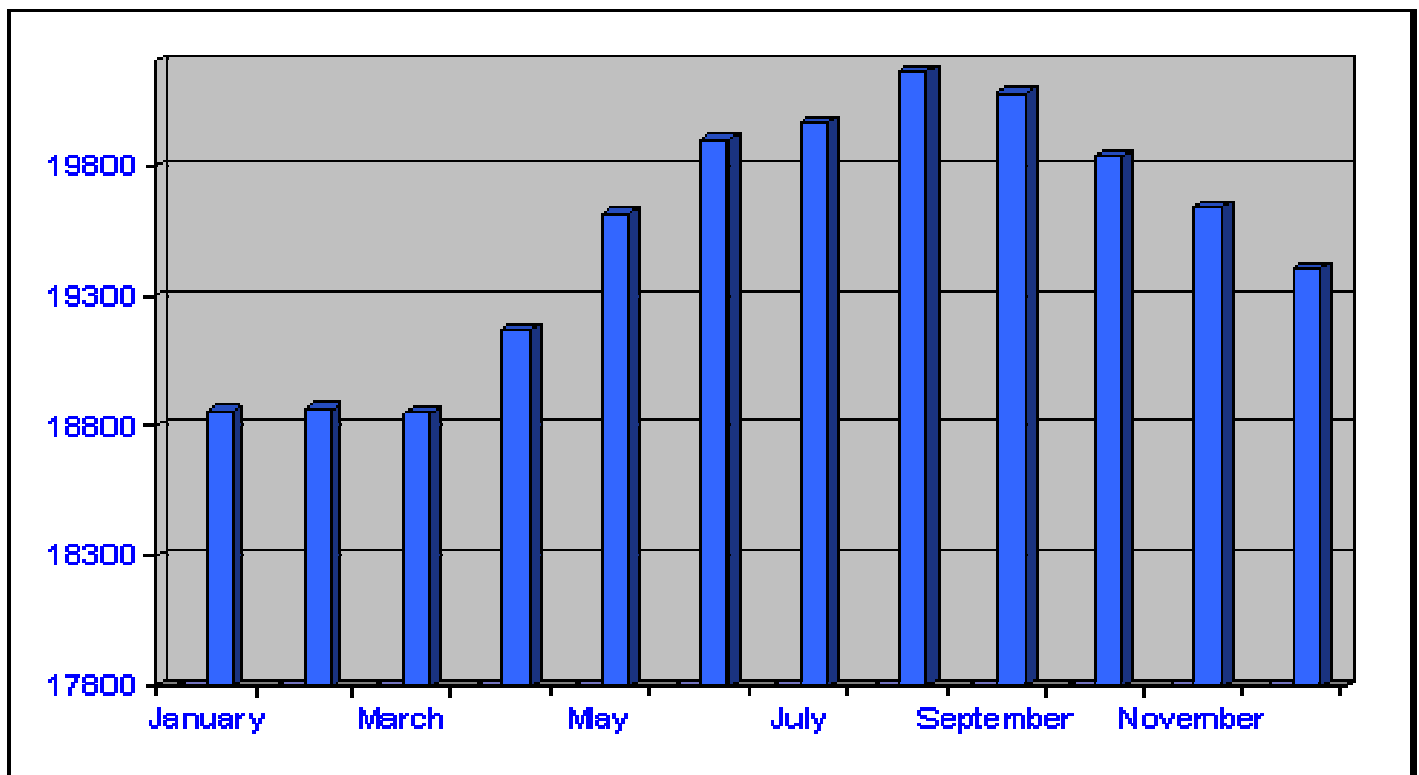
Division of Local Facilities

Average State Inmate Population Housed in County Jails as reported for January - December 2011

The numbers in this chart reflect all classes of state inmates housed in county jails; Including Controlled Intake, Class D & C, Budget D, SB4 and Alternative Sentence Inmates.

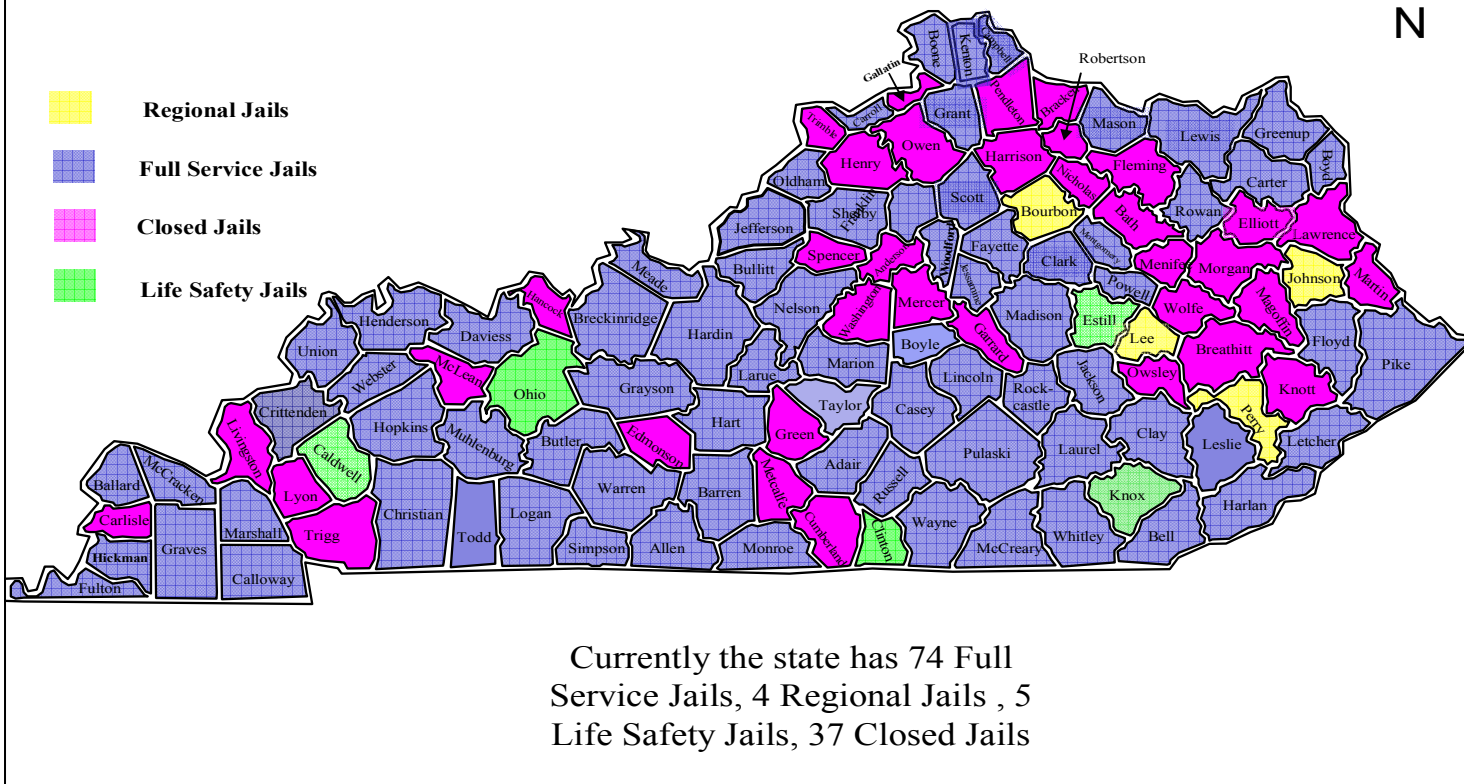


Total Average Inmate Population in County Jails as reported for January - December 2011



Division of Local Facilities

2012 Jail Classifications



the overall increase in inmate population.

In January 2012, the Department believed that with the full implementation of HB 463 the upward trend of state inmates in county jails would be temporary, but the numbers continued to climb steadily through August 2012. Thus, the thought that State inmates housed in local jails would be reduced through the MRS program and as inmates were rolled back into the adult institutions to fill vacant beds and controlled intake inmates were brought into the assessment center in a timelier manner has never really materialized in 2012. This has helped the jails maintain a revenue stream to offset the rising

cost of operating the jails in the short term.

While the average numbers of state inmates has dropped for the last two months of 2012, these numbers are still higher than at the same time in 2009, 2010 and 2011.

The higher average numbers of state inmates is mostly Class D and CC inmates which is a positive factor for the local jails. All other classes of state inmates housed in local jails has remained relatively stable or seen only very small increases.

One thing is certain, if nothing had been done to stem the flow of new inmates coming in to the

jails or release some of the prisoners currently in the system, there would be a serious population problem in all county jails across the state as opposed to just a few jails.

The average number of county inmates (pre-trial detainees & sentenced misdemeanors) in local jails began to decline in September 2010 after topping out at just over 12,000 inmates.

That trend continued into 2012 but there was a moderate increase between June and September 2012 and averaged out at 9,857 for the year.

The population trend of inmates (county vs. state) housed in

Division of Local Facilities

county jails has once again reversed itself with state inmates making the biggest gains in 2012.

The overall population of inmates in county jails has increased by 5.36% from 2009-2012. The full implementation of HB 463 in 2012 continues to appear related to the decline in the number of county inmates in jails.

The county jails in the Eastern part of the state continue to remain the most overcrowded with county inmates. The department will continue its policy of taking state inmates from the most overcrowded jails and moving them to jails where beds are available. When a jail's inmate population exceeds the rated bed capacity by more than 25% percent the Department will remove state inmates from that jail.

While the number of state inmates in county jails has increased in 2012 and the number

of county inmates has decreased, the overall average of inmates in county jails has increased by just over 500 inmates in 2012. However, the jails continue to remain short of community eligible inmates (Level 1 & 2) to be housed in the unsecure areas of their jails and work outside in the community.

Finance

The Division of Local Facilities provided \$117,734,778.70 in funding to the 120 counties across Kentucky in FY 2012. This represents an increase of about \$4.7 million over FY 11. The total for FY 11 was under reported at just over \$106,000,000 and should have been reported as \$113,000,000.

These funds are provided through many funding programs, such as direct payment for housing state inmates, medical allotment payments, bed allotment payments,

pay for inmate labor, and payments for catastrophic medical claims.

As a result of the passage of HB 463 the department shall measure and document any cost savings resulting from the amendments to or creation of statutes in KRS 218A to be reinvested or distributed as provided in this section. As a result, the department shall determine the average cost of incarceration for local jails, including health care costs, transportation costs and other related costs beginning in fiscal year 2010/11.

The mean daily cost for housing a prisoner in a county jail for FY 11 was calculated to be \$36.12 per day.

The financial data for FY 2012 required to calculate the mean daily cost for housing a prisoner has not yet been released by the Department for Local Government.

2012 Department of Corrections Funding to Kentucky Counties

State Inmates	\$95,238,668.42
Bed Allotment & Restricted Medical	\$13,543,900.00
Medical	\$ 4,386,151.30
Inmate Pay	\$ 555,000.64
Other (Jailer Training Allowance)	\$ 427,804.11
Catastrophic Medical	\$ 295,897.94
HB 191 Medical Costs	\$ 788,811.82
JSAP	\$ 2,498,544.43
Total	\$117,734,778.70

Division of Local Facilities

HB 463 created the Local Correctional Assistance Fund (LCAF) and mandated that the fund receive 25% of any cost savings resulting from various statutory changes and amendments.

The original amount of estimated savings from HB 463 appropriated to the LCAF for FY 13 was \$3,443,700. However, the Catastrophic Medical Account was depleted in FY 12 so \$879,200 from the LCAF was approved for transfer to that account, which reduced the total available funds in the LCAF to \$2,564,500 for FY 13.

The first and second quarterly payments allocated from the LCAF were sent out to all 120 counties on October 22, 2012. Of the first \$2.4 million available, every county will receive a total minimum payment of \$20,000. The remaining funds above the \$2.4 million (in this case \$164,500) is paid to all counties with a jail based on the ratio of each county's county inmate population divided by the state-wide county inmate population.

Housing Bills Audits and Payments

During FY 2012 the Department of Corrections paid Kentucky county jails a total of \$98,535,344.76. This amount was paid at a per diem rate of \$31.34 dollars per inmate; \$29.43 for housing and \$1.91 for medical.

The Division of Local Facilities has the responsibility for auditing housing bills on a monthly basis and reimbursing county jails

within 30 working days of receipt of their housing bills.

Historically KOMS generated invoices that are compared with submitted invoices from county jails. These invoices are thoroughly audited by Local Facilities staff for accuracy prior to amounts being submitted for payment. Changes made to housing invoices are clearly documented for reference and submitted for final payment within 30 days.

Jails remain heavily dependent on the revenue from housing state inmates to meet their financial requirements. The Department and Local Facilities continue to do its part by paying all invoices within 30 business days. Several jails continue to request payment within a few days after submitting their invoices to avoid making any financial requests or transfers of funds from their local fiscal courts.

In an effort to expedite payment for housing state inmates, a new system was implemented on October 1st for 26 selected jails. This pilot program resulted in earlier payments to all jails involved.

On November 1, 2012, the new process for auditing housing bills was implemented for all jails. This new process requires the jails to complete the audit process and changed some internal processing within the Administrative Services Branch. Initially, there were significant delays in some counties' payments being processed; however, as of now it appears that with continued training and communications among all

parties involved, this new process is continuing to improve.

Inmate Labor

Local Facilities provided free inmate labor for many local community projects across the Commonwealth. Inmate labor can be used by the Commonwealth or an agency of the Commonwealth, a county or agency of the county, or by a nonreligious-sponsored nonprofit, charitable, or service organization. Community level inmates can be found working at local recycling centers, performing road side cleanup, collecting garbage, and working in the local animal shelters.

On average, there were 3,171 state inmates actively working in communities all across the state. More than 6.8 million hours of free labor were provided through this program. When multiplied by the current minimum wage of \$7.25, the organizations saved more than \$49,060,00.00 in wages.

Catastrophic Medical Claims

Beginning with FY 12, HB 463 implemented a number of changes to KRS 441.045 that made it easier for all counties to file catastrophic claims and receive payment much sooner.

For the last several fiscal years Local Facilities had been allotted \$295,900.00 for reimbursement for catastrophic medical claims incurred by the local jails for county inmates declared indigent by the courts. In FY 11 and FY 12 mandated budget cuts were

Division of Local Facilities

taken from the catastrophic account because it affected less jails and did not require any recalculations to the jail allotment funds which had already been determined. This also made sense because the Department had not expended all of the allotted funds in the preceding fiscal years. This reduced the available funds to \$80,800 for each of those two fiscal years.

Shortly after the mid-point of FY 12, the funds in the catastrophic account were exhausted. The Department was approved to use county jail per diem funds in both of those fiscal years to pay up to the original allotment of \$295,900. Meanwhile, claims eventually totaling \$435,799.81 continued to come in and were on hold for payment until after the

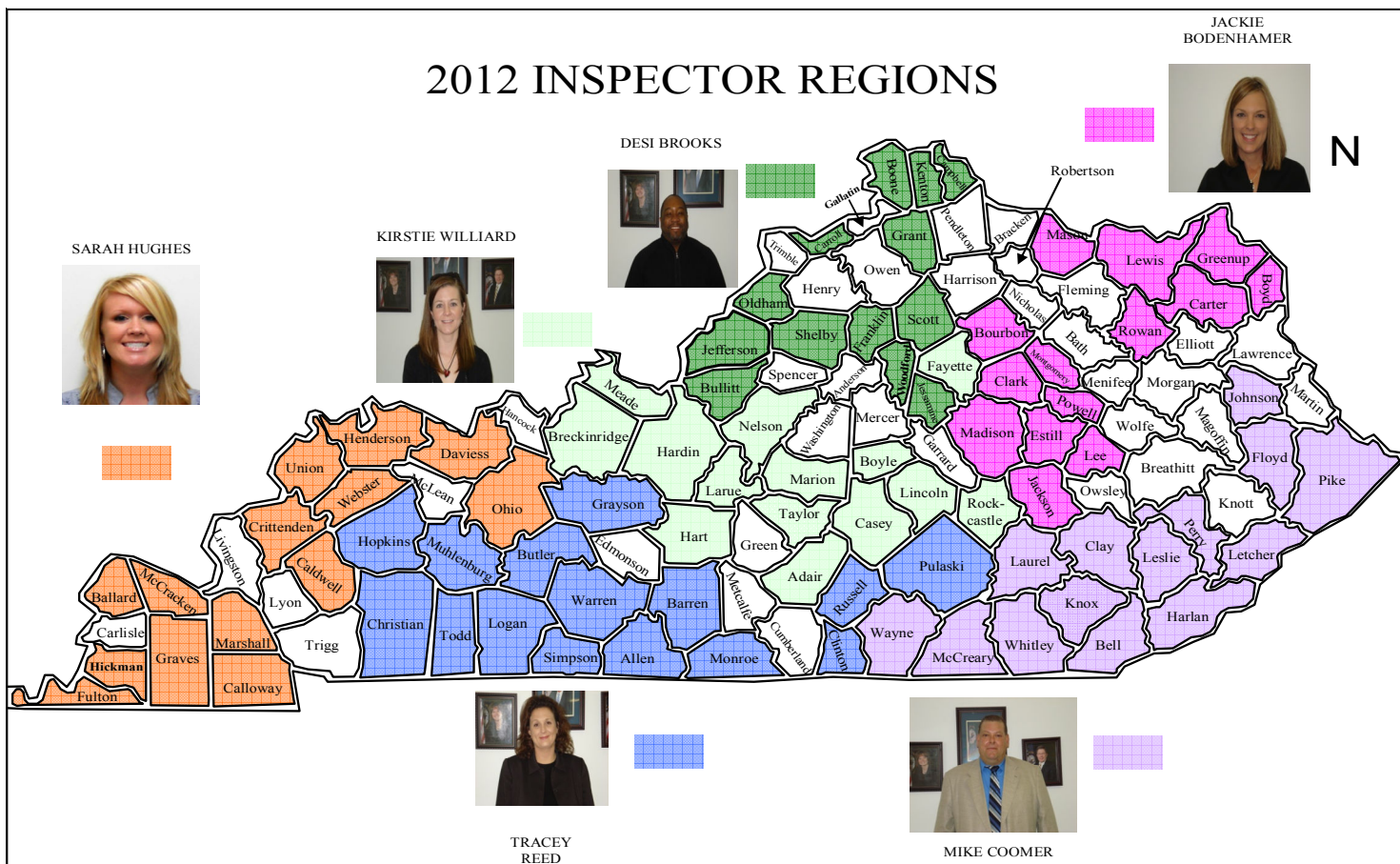
beginning of FY 13. During FY 13, a request was made to move \$879,200 from the LCAF to the catastrophic fund to make the total funding for FY 13 \$960,000 as originally requested in the budget. The amount initially allotted in FY 13 to the Catastrophic Fund was \$80,800. To date, \$622, 083.25 has been disbursed for the current FY 13. This includes payments for claims that came in FY 12 for which there were no funds available.

Inspections

Local Facilities Division conducted more than 168 routine and special jail inspections on all jail facilities across the Commonwealth. These inspections provide vital information as to the jail's physical plant condition, jail op-

erations, prisoner program availability and compliance with the Kentucky Jail Standards. These inspections also provided the detention centers with guidance for providing safer housing for the inmates, and ways to keep the communities that they serve safer.

The first inspection of each year is an announced inspection. During this inspection every aspect of the detention center is reviewed and evaluated to check to see if the center meets the Kentucky Jail Standards. The inspection for a Full-Service facility contains 190 critical items, ranging from administrative functions, information systems, personnel, physical plant, security areas, emergency procedures, sanitation, medical services, food



Division of Local Facilities

service, classification, admission, release, prisoner programs, to prisoner rights.

The second yearly inspection is an unannounced inspection. By not announcing this inspection, the DOC staff has the ability to see how the jail operates on a standard day or night. This inspection also provides a review of any non-compliance items from the first inspection.

In 2012, Local Facilities began the process of automating the inspection documents and related paperwork to make them more user friendly for the inspectors and the office staff. This is being done in an effort to increase the efficiency and reduce the workload of the office staff.

Construction

In 2012, with the implementation of HB 463, the Department began developing guidelines for the local jails to follow when considering new construction and/or renovations. New KRS statutes outlined a more formal process which required an application packet and approval by the Local Correctional Facility Construction Authority. The Department conducted two information sessions for both the Oldham and Rowan county fiscal courts on the new process for construction.

Campbell and Oldham counties have submitted applications for approval. Campbell County completed a renovation of the old district court building that is connected to the rear of the existing jail. They are requesting the re-

maining space in the court building be renovated for restricted custody beds. Oldham County has submitted an application for the construction of a new 250 bed facility. The Department is also completing a feasibility study for Rowan County as requested by the fiscal court.

McCracken County Jail – Construction was completed on a 128 bed low risk restricted custody center. These beds will be added to the 2013 jail bed information instead of 2012.

By the end of 2012 there was a total net gain of 31 jail beds by way of bed adjustments, renovations, or construction.

Investigations

During 2012 the Division of Local Facilities investigated 711 complaints concerning local detention centers. These complaints consisted of medical issues, physical plant issues, conditions of the jail, inmate treatment issues, inappropriate staff/inmate relationships, food services issues and others.

Community Corrections Grants

The Kentucky State Corrections Commission was implemented by KRS Chapter 196 in 1992. The Commission currently consists of 23 members and its primary function is to award grants to community corrections programs.

The purpose of these grants is to reduce jail/prison populations, reduce revocations of probation-

ers and provide treatment, rehabilitation, vocational training and other needs of offenders. As a result, the programs funded through these grants target individuals who have been convicted of misdemeanors or felonies that are eligible for probation or suspended sentences under the law.

The Commission's current budget is \$1,056,000. All grant recipients must submit quarterly reports to the Department. These reports contain information concerning program statistics and expenditures for the quarter. All reports are kept on file in the Department's central office in Frankfort, in accordance with the current retention schedule.

The Division of Local Facilities has provided oversight of the Community Corrections grants since 2005; however, as the grant awards continued to increase and the programs became more complex, the need for more DOC involvement became apparent. Throughout the 2011-2012 grant year, the Division of Local Facilities worked with the Contract Management staff to begin transitioning oversight of the grants to their office. At the end of FY 2012, the Contract Management Branch assumed full oversight of the Community Corrections grants program.

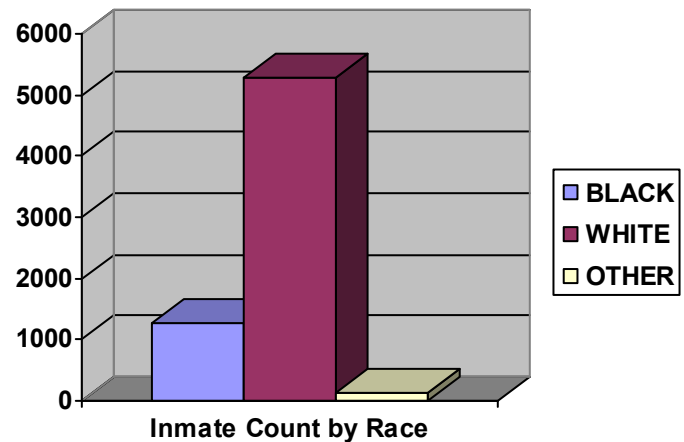
There were a total of 15 programs funded for the 2011 – 2012 grant period.

Please refer to page 22 (Contract Management Section) for a list of currently funded Community Corrections Programs.

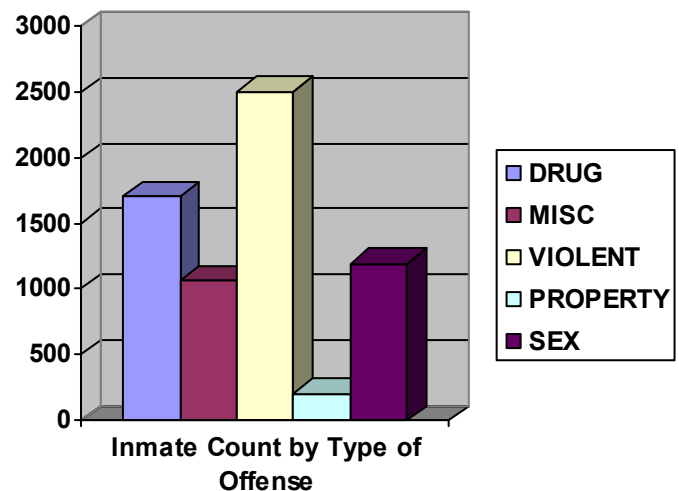
Division of Local Facilities

2012 Demographic Data - Class D Felons in County Jails

RACE	COUNT	PERCENTAGE
BLACK	1260	18.88%
WHITE	5288	79.24%
OTHER	125	1.88%
TOTAL	6673	100.00%



TYPE OF OFFENSE	COUNT	PERCENTAGE
DRUG	1702	25.51%
MISC	1069	16.02%
PROPERTY	2505	37.54%
SEX	203	3.04%
VIOLENT	1194	17.89%
TOTAL	6673	100.00%



YEARS TO SERVE	COUNT	PERCENTAGE
1 - 3 Years	1687	25.28%
4 - 5 Years	2265	33.94%
6 - 9 Years	1148	17.20%
10 Years	592	8.87%
11 - 14 Years	363	5.44%
15 Years	164	2.46%
16 - 20 Years	217	3.25%
Over 20 Years	233	3.49%
Life	2	0.03%
Life W/O	2	0.03%
TOTAL	6673	100.00%

